U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Housing Authority of the City of Dothan, Alabama

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2007

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

PHA Plan
Agency Identification

PHA Name: Housing Authority of the City of Dothan, Alabama

PHA Number: AL007

PHA Fiscal Year Beginning: (mm/yyyy) 01/2007

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

☒ Main administrative office of the PHA – 602 S. Lena Street, Dothan, Alabama

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at:

☒ Main administrative office of the PHA – 602 S. Lena Street, Dothan, Alabama

PHA Plan Supporting Documents are available for inspection at:

☒ Main business office of the PHA – 602 S. Lena Street, Dothan, Alabama

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5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

☒ The PHA's mission is: (state mission here) The mission of the Housing Authority of the City of Dothan is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination for eligible low-income, very low-income, and extremely low-income families residing or desiring to reside in the City of Dothan.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS**. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers: 25 Section 8 vouchers
- ☒ Reduce public housing vacancies: Reduce and maintain vacancy rate less than or equal to 3%.
- ☐ Leverage private or other public funds to create additional housing opportunities:
- ☐ Acquire or build units or developments
- ☒ Other (list below)
  Improve and maintain Section 8 lease-up rate to 97% based on funding.

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☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score) Maintain PHAS overall score of 90%
- ☒ Improve voucher management: (SEMAP score) Maintain SEMAP overall score of 90%
- ☐ Increase customer satisfaction:
- ☐ Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units: Obligate Capital Funds within time frames established by HUD.
- ☐ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

☒ PHA Goal: Increase assisted housing choices
Objectives:
- ☐ Provide voucher mobility counseling:
- ☒ Conduct outreach efforts to potential voucher landlords. Hold annual workshop for potential and current landlords.
- ☐ Increase voucher payment standards
- ☐ Implement voucher homeownership program:
- ☐ Implement public housing or other homeownership programs:
- ☐ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

☒ PHA Goal: Provide an improved living environment
Objectives:
- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☒ Implement public housing security improvements: (1) Enforce applicant screening measures. (2) Provide strict enforcement of lease provisions.
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)

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☒ Other: (list below)
(1) Implement measures to promote income mixing in public housing through deconcentration provisions of the Admissions and Continued Occupancy Policy. (2) Implement programs for 50 youth annually.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

☐ Increase the number and percentage of employed persons in assisted families:

☒ Provide or attract supportive services to improve assistance recipients' employability: (1) Provide all new participants with information on available community services. (2) Coordinate and provide community space and/or utilities for agencies who provide job skills and adult education programs.

☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.

☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

☒ Other: (list below)
Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority. Assist families in the completion and filing of any complaint concerning equal opportunity and fair housing.

Other PHA Goals and Objectives: (list below) None

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

i. Annual Plan Type:
Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

☐ **High Performing PHA**
☐ **Small Agency (<250 Public Housing Units)**
☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan
[24 CFR Part 903.7 9 (r)]
Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

In accordance with the Final Rule, this section is not required.

iii. Annual Plan Table of Contents
[24 CFR Part 903.7 9 (r)]
Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☒ Admissions Policy for Deconcentration (al007a01)
- ☒ CF Grant 50107 - 2007 Capital Fund Program Annual Statement (al007i01)
- ☒ CF Grant 50106 – Capital Fund Program Performance and Evaluation Report (al007j01)
- ☒ CF Grant 50105 - Capital Fund Program Performance and Evaluation Report (al007k01)
- ☒ CF Grant 50104-Capital Fund Program Performance and Evaluation Report (al007l01)
- ☒ CF Grant 50203 - Capital Fund Program Performance and Evaluation Report (al007m01)
- ☒ Deconcentration and Income Mixing (al007h01)
- ☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- ☒ Community Service (al007c01)
- ☒ Pet Policy (al007d01)
- ☒ Statement of Progress in Meeting Goals (al007e01)
- ☒ Resident Membership on Board of Commissioners (al007f01)
- ☒ Resident Advisory Board (al007g01)
- ☒ Voluntary Conversion (al007n01)
- ☒ RASS Implementation Plan (al007o01)

Optional Attachments:

- ☒ PHA Management Organizational Chart (al007b01)
- ☒ FY 2006 Capital Fund Program 5 Year Action Plan (al007i01)
- ☐ Public Housing Drug Elimination Program (PHDEP) No longer funded by Congress.
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☐ Other (List below, providing each attachment name)

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Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 *Quality Housing and Work Responsibility Act Initial Guidance; Notice* and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents ☐ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

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List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	Schedule of flat rents offered at each public housing development ☐ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies ☒ check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures ☐ check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures ☒ check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund Program for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
X	Voluntary Conversion Required Initial Assessments	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program ☐ check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention

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List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1,485	N/A	N/A	N/A	N/A	N/A	N/A
Income >30% but <=50% of AMI	819	N/A	N/A	N/A	N/A	N/A	N/A
Income >50% but <80% of AMI	465	N/A	N/A	N/A	N/A	N/A	N/A
Elderly	526	N/A	N/A	N/A	N/A	N/A	N/A
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity White	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity Black	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity Hispanic	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity Other	N/A	N/A	N/A	N/A	N/A	N/A	N/A

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☒ Consolidated Plan of the Jurisdiction/s
Indicate year: 2005-2009 CHAS Housing Needs Table
☐ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
☐ American Housing Survey data
Indicate year:
☐ Other housing market study
Indicate year:
☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s**. Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ Public Housing ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	90		261
Extremely low income <=30% AMI	73	81	
Very low income (>30% but <=50% AMI)	17	19	
Low income (>50% but <80% AMI)	0	0	
Families with children	46	51	
Elderly families	7	8	
Families with	14	16	

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Housing Needs of Families on the Waiting List			
Disabilities			
Race/ethnicity White	11	12	
Race/ethnicity Black	79	88	
Race/ethnicity Other	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	46	51	50
2 BR	27	30	122
3 BR	13	14	69
4 BR	4	4	19
5 BR	0	0	1
5+ BR	0	0	0

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes

Housing Needs of Families on the Waiting List

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Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☒ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	378		90
Extremely low income <=30% AMI	274	73	
Very low income (>30% but <=50% AMI)	86	23	
Low income (>50% but <80% AMI)	18	5	
Families with children	320	85	
Elderly families	5	1	
Families with Disabilities	37	10	
Race/ethnicity White	43	11	
Race/ethnicity Black	333	88	
Race/ethnicity Asian/Pacific Islander/Amer. Indian	2	1	
Race/ethnicity Hispanic	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			

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Housing Needs of Families on the Waiting List			
5+ BR			

Is the waiting list closed (select one)? ☐ No ☒ Yes

If yes: **Waiting list was closed August, 2005.**

How long has it been closed (# of months)? 11

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☒ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Maintain or reduce turnover time for vacated public housing units
- ☐ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☐ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☐ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

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- [x] Apply for additional section 8 units should they become available
- [ ] Leverage affordable housing resources in the community through the creation of mixed - finance housing
- [ ] Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- [ ] Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- [ ] Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- [ ] Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- [ ] Employ admissions preferences aimed at families with economic hardships
- [ ] Adopt rent policies to support and encourage work
- [ ] Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- [ ] Employ admissions preferences aimed at families who are working
- [ ] Adopt rent policies to support and encourage work
- [ ] Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- [ ] Seek designation of public housing for the elderly
- [ ] Apply for special-purpose vouchers targeted to the elderly, should they become available
- [ ] Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
Select all that apply

☐ Seek designation of public housing for families with disabilities
☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
☐ Affirmatively market to local non-profit agencies that assist families with disabilities
☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:
Select if applicable

☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing
Select all that apply

☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
☒ Market the section 8 program to owners outside of areas of poverty /minority concentrations
☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies
Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

☒ Funding constraints
☒ Staffing constraints
☐ Limited availability of sites for assisted housing
☐ Extent to which particular housing needs are met by other organizations in the community

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- ☐ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☐ Influence of the housing market on PHA programs
- ☐ Community priorities regarding housing assistance
- ☐ Results of consultation with local or state government
- ☐ Results of consultation with residents and the Resident Advisory Board
- ☐ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
1. **Federal Grants (FY 2007 grants)**		
a) Public Housing Operating Fund	1,536,236	
b) Public Housing Capital Fund	1,036,354	
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	2,404,626	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	N/A	
g) Resident Opportunity and Self-Sufficiency Grants	N/A	
h) Community Development Block Grant	N/A	
i) HOME	N/A	
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)	0	

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Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
3. Public Housing Dwelling Rental Income	538,374	Public Housing Operations
4. Other income (list below)		
Investment income, late fees, maintenance charges	108,184	Public Housing Operations
4. Non-federal sources (list below)		
Total resources	5,623,774	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

☐ When families are within a certain number of being offered a unit: (state number)

☐ When families are within a certain time of being offered a unit: (state time)

☒ Other: (describe) Upon receipt of a completed application, the PHA begins the verification process immediately (3 business days).

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

☒ Criminal or Drug-related activity

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☒ Rental history
☒ Housekeeping
☐ Other (describe)

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
e. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
☒ Community-wide list
☐ Sub-jurisdictional lists
☐ Site-based waiting lists
☐ Other (describe)

b. Where may interested persons apply for admission to public housing?
☒ PHA main administrative office – 602 S. Lena Street, Dothan, AL 36301
☐ PHA development site management office
☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
☐ PHA main administrative office

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☐ All PHA development management offices
☐ Management offices at developments with site-based waiting lists
☐ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

☐ One
☐ Two
☒ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

☒ Emergencies
☐ Overhoused
☒ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in the jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☒ Other preference(s) (list below) Wait list skipping to meet federal income targeting requirements.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

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Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in the jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☒ Other preference(s) (list below) Priority 1 – Wait list skipping to meet federal income targeting requirements.

4. Relationship of preferences to income targeting requirements:

☒ The PHA applies preferences within income tiers
☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

☒ The PHA-resident lease
☒ The PHA's Admissions and (Continued) Occupancy policy
☒ PHA briefing seminars or written materials
☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

☒ At an annual reexamination and lease renewal
☒ Any time family composition changes
☒ At family request for revision
☒ Other (list) If family income changes except for cost-of-living adjustments.

(6) Deconcentration and Income Mixing (See attachment al007h01)

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

☒ Criminal or drug-related activity only to the extent required by law or regulation

☐ Criminal and drug-related activity, more extensively than required by law or regulation

☐ More general screening than criminal and drug-related activity (list factors below)

☐ Other (list below)

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

☒ Criminal or drug-related activity

☐ Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☒ None

☐ Federal public housing

☐ Federal moderate rehabilitation

☐ Federal project-based certificate program
☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

☒ PHA main administrative office – 602 S. Lena Street, Dothan, AL 36301
☐ Other (list below)

(3) Search Time

a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

a. Extenuating circumstances such as hospitalization or a family emergency for an extended period of time.
b. The family has evidence that they have made a consistent effort to locate a unit and request support services from the PHA, throughout the initial 60 day period.
c. The family has turned in a Request for Lease Approval prior to the expiration of the 60 day period, but the unit has not passed HQS.
d. Disabled persons.

(4) Admissions Preferences

a. Income targeting

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

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☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☒ Other preference(s) (list below) Wait list skipping to meet federal income targeting requirements.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences
Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)

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☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☒ Other preference(s) (list below) Priority 1 – Wait list skipping to meet federal income targeting requirements.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)
☒ Date and time of application
☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)
☐ This preference has previously been reviewed and approved by HUD
☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)
☒ The PHA applies preferences within income tiers
☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
☒ The Section 8 Administrative Plan
☐ Briefing sessions and written materials
☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?
☒ Through published notices
☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

☐ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

☒ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

☐ $0
☒ $1-$25
☐ $26-$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? Only those required by federal regulations.

3. If yes to question 2, list these policies below**:**

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c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member
☐ For increases in earned income
☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

☐ For household heads
☐ For other family members
☐ For transportation expenses
☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☐ Yes for all developments
☐ Yes but only for some developments
☒ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☐ For all developments
☐ For all general occupancy developments (not elderly or disabled or elderly only)
☐ For specified general occupancy developments
☐ For certain parts of developments; e.g., the high-rise portion

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☐ For certain size units; e.g., larger bedroom sizes
☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

☐ Market comparability study
☐ Fair market rents (FMR)
☐ 95th percentile rents
☐ 75 percent of operating costs
☐ 100 percent of operating costs for general occupancy (family) developments
☐ Operating costs plus debt service
☐ The "rental value" of the unit
☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

☐ Never
☐ At family option
☒ Any time the family experiences an income increase except for cost-of-living adjustments.
☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
☐ Other (list below)

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

☒ The section 8 rent reasonableness study of comparable housing
☐ Survey of rents listed in local newspaper
☒ Survey of similar unassisted units in the neighborhood

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☐ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies**.**

a. What is the PHA's payment standard? (select the category that best describes your standard)

☐ At or above 90% but below100% of FMR
☒ 100% of FMR
☐ Above 100% but at or below 110% of FMR
☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ The PHA has chosen to serve additional families by lowering the payment standard
☐ Reflects market or submarket
☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ Reflects market or submarket
☐ To increase housing options for families
☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

☒ Annually
☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

☐ Success rates of assisted families

☒ Rent burdens of assisted families
☒ Other (list below)
If the PHA determines that vouchers are not being leased to properly utilize funding.

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)
☐ $0
☐ $1-$25
☒ $26-$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.
(select one)
☒ An organization chart showing the PHA's management structure and organization is attached. (al007b01)
☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	670	261
Section 8 Vouchers	615	90

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Section 8 Certificates	N/A	
Section 8 Mod Rehab	N/A	
Special Purpose Section 8 Certificates/Vouchers (list individually)	N/A	
Public Housing Drug Elimination Program (PHDEP)	N/A	
Capital Fund (CGP)	670	
Other Federal Programs(list individually)	N/A	

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

a. Admissions and Continued Occupancy Policy
b. Collection Policy
c. Maintenance Operations Policy
d. Trespass Policy
e. Community Space Policy
f. Grievance Procedures
g. Pet Policy

The following policies are applicable to all programs administered by the PHA:

h. Procurement Policy
i. Capitalization and Disposition Policy
j. Personnel Policy
k. Organization Policy
l. Drug Free Policy

m. Safety Policy
n. Infectious Disease Policy

(2) Section 8 Management: (list below)
a. Section 8 Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

☒ PHA main administrative office
☒ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

☒ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) al007i01

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
   - ☐ Revitalization Plan under development
   - ☐ Revitalization Plan submitted, pending approval
   - ☐ Revitalization Plan approved

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☐ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition ☐ Disposition ☐
3. Application status (select one) Approved ☐

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Submitted, pending approval ☐ Planned application ☐
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected: 6. Coverage of action (select one) ☐ Part of the development ☐ Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description

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1a. Development name 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly ☐ Occupancy by families with disabilities ☐ Occupancy by only elderly families and families with disabilities ☐
3. Application status (select one) Approved; included in the PHA's Designation Plan ☐ Submitted, pending approval ☐ Planned application ☐
4. Date this designation approved, submitted, or planned for submission: (_____)
5. If approved, will this designation constitute a (select one) ☐ New Designation Plan ☐ Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) ☐ Part of the development ☐ Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

1. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? ☐ Assessment underway ☐ Assessment results submitted to HUD ☐ Assessment results approved by HUD (if marked, proceed to next question) ☐ Other (explain below)
3. ☐ Yes ☐ No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) ☐ Conversion Plan in development ☐ Conversion Plan submitted to HUD on: (DD/MM/YYYY) ☐ Conversion Plan approved by HUD on: (DD/MM/YYYY) ☐ Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) ☐ Units addressed in a pending or approved demolition application (date submitted or approved: ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) ☐ Requirements no longer applicable: vacancy rates are less than 10 percent ☐ Requirements no longer applicable: site now has less than 300 units ☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

(See attachment al007m01)

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

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11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: ☐ HOPE I ☐ 5(h) ☐ Turnkey III

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☐ Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) ☐ Approved; included in the PHA's Homeownership Plan/Program ☐ Submitted, pending approval ☐ Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) ☐ Part of the development ☐ Total development

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☒ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 07/26/2001

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

☒ Client referrals
☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
☐ Jointly administer programs
☐ Partner to administer a HUD Welfare-to-Work voucher program
☐ Joint administration of other demonstration program
☒ Other (describe)

a. The TANF agency will work with the PHA to develop appropriate PREP placements to give useful work experience to JOBS participants.

b. A PHA representative will be invited to participate on the county JOBS Task Force.

c. The PHA agrees to act as a host agency for JOBS participants when feasible and to extend preference in filling jobs to qualified JOBS participants whenever possible.

d. The PHA agrees not to reduce rents of any residents who fail to comply with the TANF agency's requirements for work activities or commit fraud in connection with receipt of FA benefits.

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

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☐ Public housing rent determination policies
☐ Public housing admissions policies
☐ Section 8 admissions policies
☐ Preference in admission to section 8 for certain public housing families
☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
☐ Preference/eligibility for public housing homeownership option participation
☐ Preference/eligibility for section 8 homeownership option participation
☐ Other policies (list below

b. Economic and Social self-sufficiency programs

☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Adult Basic Education	110	Waiting List	Family Services Ctr.	Both
Career Center	120	Waiting List	Family Services Ctr.	Both

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Daycare	60	Waiting List	Family Services Ctr.	Both
EvenStart	80	Waiting List	McRae Homes, Martin Homes, Johnson Homes, Ussery Homes	Public Housing
Job Fairs- 2 annually	N/A	N/A	Family Services Ctr.	Both
Youth Activities-Sports, Girl Scouts, Tutorial, Cultural Arts	50	Specific Criteria	Various Housing Developments	Public Housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2006 Estimate)	Actual Number of Participants (As of: 06/30/06)
Public Housing	N/A	N/A
Section 8	18	18

b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies

☒ Informing residents of new policy on admission and reexamination

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☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937 (SEE ATTACHMENT al007c01)

13. PHA Safety and Crime Prevention Measures [24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
☒ Residents fearful for their safety and/or the safety of their children
☒ Observed lower-level crime, vandalism and/or graffiti
☒ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

☒ Safety and security survey of residents
☒ Analysis of crime statistics over time for crimes committed “in and around” public housing authority

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☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
☒ Resident reports
☐ PHA employee reports
☒ Police reports
☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
☐ Other (describe below)

3. Which developments are most affected? (list below)
McRae Homes, Martin Homes, Johnson Homes
However, all public housing developments have had some problems at one time or another.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)
☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
☐ Crime Prevention Through Environmental Design
☒ Activities targeted to at-risk youth, adults, or seniors
☐ Volunteer Resident Patrol/Block Watchers Program
☐ Other (describe below)

2. Which developments are most affected? (list below)
McRae Homes, Martin Homes, Johnson Homes

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

☒ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
☒ Police provide crime data to housing authority staff for analysis and action
☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
☐ Police regularly testify in and otherwise support eviction cases
☒ Police regularly meet with the PHA management and residents
☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
☐ Other activities (list below)

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2. Which developments are most affected? (list below)
Henry Green, McRae Homes, Martin Homes, Johnson Homes, Ussery Homes

D. Additional information as required by PHDEP/PHDEP Plan (No longer applicable.)

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2002 in this PHA Plan?
☐ Yes ☐ No: This PHDEP Plan is an Attachment.

14. RESERVED FOR PET POLICY (Attachment al007d01)

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U S.C. 1437c(h))? (If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☒ Yes ☐ No: Were there any findings as the result of that audit?

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4. ☐ Yes ☒ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain?____

5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

☐ Not applicable
☐ Private management
☐ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)

3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☐ Yes ☒ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

☐ Attached at Attachment (File name)

☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

☐ Considered comments, but determined that no changes to the PHA Plan were necessary.

☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

☐ Candidates were nominated by resident and assisted family organizations

☐ Candidates could be nominated by any adult recipient of PHA assistance

☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot

☐ Other: (describe)

b. Eligible candidates: (select one)

☐ Any recipient of PHA assistance

☐ Any head of household receiving PHA assistance

☐ Any adult recipient of PHA assistance

☐ Any adult member of a resident or assisted family organization

☐ Other (list)

c. Eligible voters: (select all that apply)

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☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
☐ Representatives of all PHA resident and assisted family organizations
☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Dothan, Alabama

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
   a. Additional housing vouchers.
   b. Capital Fund grant funding.

☐ Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: Agreement to execute Certification of Consistency for PHA Plan and other Certification of Consistencys as needed to support application for additional housing assistance which may come available.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definition of Substantial Deviation from the 5-Year Plan:
   a. Any change to the Mission Statement.
      1. Changes to the Stated Goals which are not a result of decreased HUD funding.

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2. Changes required by HUD for approval of the 5-Year Plan will not be considered a substantial deviation.

Definition of Significant Amendment or Modification:

a. Any change to the following sections of the Annual Plan:
   1. Capital Improvement Needs (deletions or additions to 5-Year Plan)
   2. Demolition and Disposition
   3. Designation of Public Housing
   4. Conversions of Public Housing
   5. Homeownership

b. Any decrease in Financial Resources significantly affecting resident services.

c. Any deletion of a stated Objective in the 5-Year Plan.

d. Amendments or modifications required due to HUD's failure to issue timely publication of Final Rules or to facilitate HUD approval of the Annual Plan will not be considered a significant amendment or modification.

Other Attachments

Use this section to provide any additional attachments referenced in the Plan

Table Library

(Attachment al007a01)

HOUSING AUTHORITY OF THE CITY OF DOTHAN, ALABAMA

ADMISSIONS POLICY FOR DECONCENTRATION

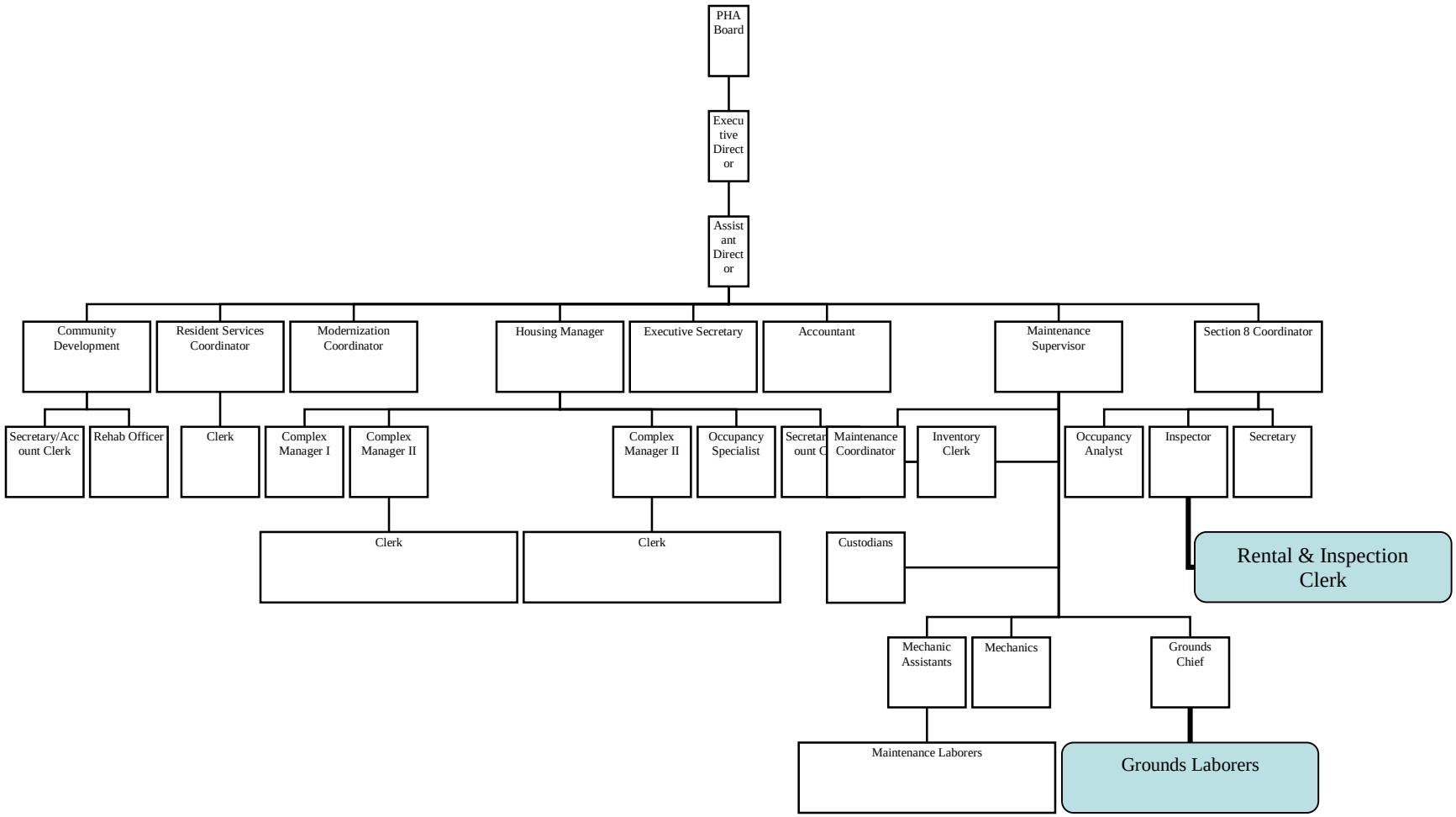
A. **PUBLIC HOUSING (excerpted from Admissions and Continued Occupancy Policy)**

1. Objective: The objective of the Deconcentration Rule for public housing units is to ensure that families are housed in a manner that will prevent a concentration of poverty families and/or a concentration of higher income families in any one development. The specific objective of the housing authority is to house no less than 40% of its public housing inventory with families that have income at or below 30% of the area median income by public housing development. Also the housing authority will take actions to insure that no individual development has a concentration of higher income families in one or more of the developments. To insure that the housing authority does not concentrate families with higher income levels, it is the goal of the housing authority not to house more than 60% of its units in any one development with families whose income exceeds 30% of the area median income. The housing authority will track the status of family income, by development, on a monthly basis by utilizing income report generated by the housing authority's computer system.
2. Actions: To accomplish the deconcentration goals, the housing authority will take the following actions:
    a. At the beginning of each housing authority fiscal year, the housing authority will establish a goal for housing 40% of its new admissions with families whose incomes are at or below the area median income. The annual goal will be calculated by taking 40% of the total number of move-ins from the previous housing authority fiscal year.
    b. To accomplish the goals of:
        (1) Housing not less than 40% of its public housing inventory on an annual basis with families that have incomes at or below 30% of area median income, and
        (2) Not housing families with incomes that exceed 30% of the area median income in developments that have 60% or more of the total household living in the development with incomes that exceed 30% of the area median income, the housing authority's Tenant Selection and Assignment Plan, which is a part of this policy, provides for skipping families on the waiting list to accomplish these goals.

B. SECTION 8 (excerpted from the Section 8 Administrative Plan)

1. The objective of the deconcentration rule for Section 8 tenant-based assistance is to admit no less than 75% of its new admissions to the program to families that have income at or below 30% of the area median income. The housing authority will track the status of all new admissions monthly by utilizing income reports generated by the housing authority's computer system. The goal will be tracked monthly and if the housing authority is not reaching its goal, families will be skipped on the waiting list to admit a family that has income that is at or below 30% of area median income. The practice will continue until the housing authority achieves its goal. The housing authority's Section 8 applicant selecton process, which is contined in the Section 8 Administrative Plan, provides for the skipping of families on the waiting list to accomplish this goal.

(Attachment al007b01)



(Attachment al007c01)

HOUSING AUTHORITY OF THE CITY OF DOTHAN

COMMUNITY SERVICE POLICY

A. Each non-exempt adult public housing resident must contribute eight (8) hours of community service or participate in a self-sufficiency program for eight (8) hours in each month. Community Service is the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. Community service does not include political activities.

Note: For purposes of the community service requirement an adult is a person eighteen (18) years or older.

B. Exempt: The following adult family members are exempt:

(1) 62 years of age or older.
(2) Persons with qualifying disabilities which prevent the individual's compliance. The individual must provide appropriate documentation to support the qualifying disability, which may include self-certification. In addition, any person who is the primary caretaker of such individual is exempt.
(3) Persons engaged in work activities as defined in section 407(d) of the Social Security Act.
(4) Persons participating at least eight (8) hours a month in a welfare-to-work program.
(5) Persons receiving assistance from and in compliance with a State program funded under Part A, Title IV of the Social Security Act.

C. Proof of Compliance: Each head of household must present to the HA office documentation that he/she and all other persons eighteen years of age or older living in the household, who are not exempt, have complied with this section. Any such documentation shall be verifiable by the HA. Failure to comply with the Community Service Requirement and to provide appropriate verifiable documentation prior to the date required shall result in the lease not being renewed by the HA. Provided, however, that the HA may allow the family member who is not in compliance to complete the requirements within the following year as follows: The head of household and the person not in compliance shall sign an agreement stating that the deficiency will be cured within the next twelve months. Proof of compliance with the agreement shall be made by the head of household annually at re-certification. Failure to comply with the agreement shall result in the lease being terminated for such non-compliance, unless the person(s), other than the head of household, no longer resides in the unit and has been removed from the lease.

D. Changes in Exempt or Non-exempt status will be handled during an interim or annual re-certification.

(Attachment al007d01)

HOUSING AUTHORITY OF THE CITY OF DOTHAN

PET POLICY
(Excerpts from full Pet Policy)

A. A tenant may own one or more common household pets or have one or more common household pets present in the dwelling unit of such tenant, subject to certain conditions as approved by the HA and detailed in the fully adopted Pet Policy.
B. All pets must be housed within the unit and no facilities can be constructed outside for any pet. No animal shall be permitted to be loose and if taken outside must be on a leash. All authorized pets must be under the control of an adult.
C. Pets may not be left unattended for more than twenty-four consecutive hours.
D. Each pet must be maintained responsibly and in accordance with the required pet ownership lease addendum and with all applicable ordinances, state and local public health, animal control, and animal anti-cruelty laws and regulations governing pet ownership. Pets shall not disturb, interfere or diminish the peaceful enjoyment of other tenants.
E. Tenants shall have pets restrained so that maintenance can be performed in the apartment.
F. An annual fee and deposit is required for each pet in accordance with the fee and deposit schedule outlined in the adopted Pet Policy. The annual fee shall be paid at the time of reexamination each year and all proof of inoculations and other requirements shall be made available to the HA at such time. The annual fee is not reimbursable. The deposit shall be utilized to offset damages caused by the pet and/or tenant. Any balance, if any, from the deposit will be refunded to the tenant.
G. It shall be a serious violation of the lease for any tenant to have a pet without proper approval and without having complied with the terms of the Pet Policy. Such violation shall be considered to be a violation of Paragraph IV (L) of the lease (a serious violation) and the HA will issue a termination notice.

The Pet Policy does not apply to service animals that are used to assist persons with disabilities. The exclusion applies to animals that reside in projects for the elderly or persons with disabilities. Nothing in the Pet Policy limits or impairs the rights of persons with disabilities.

(Attachment al007e01)

HOUSING AUTHORITY OF THE CITY OF DOTHAN

STATEMENT OF PROGRESS IN MEETING 5 YEAR GOALS

PHA Goal: Expand the supply of assisted housing.

1. Apply for 25 additional rental Section 8 Vouchers. Housing Authority applied for 100 vouchers due to owner opt out of HUD contract for Charter House Apts. Funding is expected at any time.
2. Reduce public housing vacancies. Reduce and maintain vacancy rate less than or equal to 3%. Presently goal is being met with a year to date average of 2.81% excluding the vacant units at the Henry Green Apts. which are undergoing substantial modernization.
3. Improve and maintain Section 8 lease-up rate to 97%. Average lease up rate during last 6 months is 93.02% based on funds available. The Section 8 office has issued 90 vouchers this year and 43 have found units. As of 7/25//06 there were 18 vouchers outstanding and an additional 40 to be issued in the next two weeks.

PHA Goal: Improve the quality of assisted housing.

1. Improve public housing management. Maintain PHAS overall score of 90%. PHAS score for FY 2005 has not been released by HUD as of July 21, 2006. PHAS score for FY 04 was 87% with Housing Authority being designated as a "Standard Performer".
2. Improve voucher management. Maintain SEMAP overall score of 90%. SEMAP score for FY 05 was 90% with Housing Authority being designated as a "High Performer".
3. Renovate or modernize public housing units. Obligate Capital Funds within 18 months of receipt. FY 04 capital funds were fully expended as of July, 2006. FY 05 capital funds are 75% obligated as of 6/30/06. Deadline for full obligation of FY 05 capital funds is 8/17/07.

PHA Goal: Increase assisted housing choices.

1. Conduct outreach efforts to potential voucher landlords. Hold annual workshop for potential and current landlords. The annual workshop has not been accomplished for this year but will be prior to end of year.

PHA Goal: Provide an improved living environment.

1. Implement public housing security improvement. Seek PHDEP funding. Enforce applicant screening measures. Provide strict enforcement of lease provisions. All goals accomplished. Housing Authority is using Capital Funds to provide for 8 police officers through the City of Dothan to patrol public housing units. Criminal background checks are done on all applicants.

2. Implement measures to promote income mixing in public housing through deconcentration provisions of the ACOP. Measures have been implemented but higher income families have been difficult to attract to public housing.
3. Implement programs for 50 youth annually. Goal has been met through youth sports, 252 Club and girl scout and boy scout programs.

PHA Goal: Promote self-sufficiency and asset development of assisted households.

1. Provide all new participants with information on available community services. Goal met as all new admissions are given this information.
2. Coordinate and provide community space for annual workshops on available job skills and adult education programs. Families are referred to the Alfred Saliba Family Services Center for information on job skills and adult education programs. In addition, Housing Authority provides utilities for the Alfred Saliba Family Services Center to provide on site programs through their TLC program.
3. Improve participation in Section 8 Family Self Sufficiency Program through additional outreach to families. Outreach is an ongoing task with information being provided to new participants in the Section 8 program. Currently 18 families are enrolled in the FSS program.

PHA Goal: Ensure equal opportunity and affirmatively further fair housing.

1. Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority. Assist families in the completion and filing of any complaint concerning equal opportunity and fair housing. Housing Authority enforces all equal opportunity and fair housing policies. No family has requested help in filing a complaint.

(Attachment al007f01)

HOUSING AUTHORITY OF THE CITY OF DOTHAN

RESIDENT MEMBERSHIP OF THE PHA GOVERNING BOARD

Resident Commissioner Name:	Willie Williams
Method of Selection:	Appointment by Mayor
Term of Office:	01/31/2006 to 01/31/2011

Annual StatementPerformance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFPCFPRHF)

Part mplementation Schedule

PHA ame	Grant Type and umber	Federal FY of Grant
	Cata Fn gam Gant N:	**00**
	Reacement Hng Fact Gant N:	

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	Ogna	**Ree**	**Acta**	**Ogna**	**Ree**	**Acta**
PHA ide						
10 Oeatn	**0070**		**000**	**0070**		**000**
10 Man Imement	**0070**		**000**	**0070**		**110**
110 Amntatn	**0070**		**000**	**0070**		
10 Fee Ct	**0070**		**000**			

(Attachment al007h01)

Component 3, (6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. ☒ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	**Number of Units**	**Explanation (if any) [see step 4 at §903.2(c)(1)((iv)]**	**Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]**
Henry Green	99	N/A	See Attachment
Martin Homes	148	N/A	al007a01 for details of
Ussery Homes	86	N/A	policy.
		N/A	

CAPITAL GRANT PROGRAM TABLES START HERE

(Attachment al007i01)

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007

☒ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	205,000			
4	1410 Administration	90,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	61,354			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	612,500			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	67,500			
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007

☒ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,036,354			
22	Amount of line 21 Related to LBP Activities	315,000			
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	175,000			
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
7-03/Martin	LBP Abatement	1460	45	138,500				
	Exterior Doors	1460	45	54,000				
	Interior Doors	1460	45	55,000				
	Floor Tile	1460	45	90,000				
	Painting	1460	45	45,000				
	Walls & Ceilings	1460	45	117,000				
	Interior Plumbing	1460	45	90,000				
	Cabinets	1460	45	23,000				
	Relocation	1495 1	45	67,500				
	A&E	1430		61,354				
	Subtotal			741,354				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		**Grant Type and Number** Capital Fund Program Grant No: AL09P00750107 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Security – Partial Reimb. for 8 Police Officer	1408		175,000				
	Youth Programs – 2 basketball teams, Boy & Girl Scout Troops, 252 Club – Education programs & field trips	1408		30,000				
	Administration: Coordinator – 100% Planning & Inspection Accountant – 10% Reporting & ELOCCS Executive Director – 5% Overall Supervision	1410		90,000				
	Subtotal			295,000				
	Total			1,036,354				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		**Grant Type and Number** Capital Fund Program Grant No: AL09P00750107 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of Dothan	**Grant Type and Number** Capital Fund Program No: AL09P00750107 Replacement Housing Factor No:	**Federal FY of Grant:** 2007

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
7-03/Martin	08-31-09			08-31-11			
HA-Wide	08-31-09			08-31-11			

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name				☒ **Original 5-Year Plan** ☐ **Revision No:**	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: AL09P00750108 PHA FY: 2008	Work Statement for Year 3 FFY Grant: AL09P00750109 PHA FY: 2009	Work Statement for Year 4 FFY Grant: AL09P00750110 PHA FY: 2010	Work Statement for Year 5 FFY Grant: AL09P00750111 PHA FY: 2011
	Annual Statement				
7-01/Henry Green				80,000	80,000
7-02/McRae				76,000	80,000
7-03/Martin		741,354	741,354	314,300	120,000
7-04/Johnson				188,000	228,000
7-04/Ussery				21,700	100,000
7-07/MLV					72,000
HA-Wide		295,000	295,000	356,354	356,354
CFP Funds Listed for 5-year planning		1,036,354	1,036,354	1,036,354	1,036,354
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: AL09P00750108 PHA FY: 2008			Activities for Year: 3 FFY Grant: AL09P00750109 PHA FY: 2009		
	Development Name/Number	**Major Work Categories**	**Estimated Cost**	**Development Name/Number**	**Major Work Categories**	**Estimated Cost**
See	7-03/Martin Homes	LBP Abatement	138,500	7-03/Martin Homes	LBP Abatement	138,500
Annual	45 units	Exterior Doors	54,000	45 units	Exterior Doors	54,000
Statement		Interior Doors	55,000		Interior Doors	55,000
		Floor Tile	90,000		Floor Tile	90,000
		Painting	45,000		Painting	45,000
		Walls & Ceilings	117,000		Walls & Ceilings	117,000
		Interior Plumbing	90,000		Interior Plumbing	90,000
		Cabinets	23,000		Cabinets	23,000
		Relocation	67,500		Relocation	67,500
		A&E	61,354		A&E	61,354
	Subtotal		741,354	Subtotal		741,354
	HA-Wide	Security	175,000	HA-Wide	Security	175,000
		Youth Programs	30,000		Youth Programs	30,000
		Administration	90,000		Administration	90,000
	Subtotal		295,000	Subtotal		295,000
		Total CFP Estimated Cost	$ 1,036,354			$ 1,036,354

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year : 4 FFY Grant: AL09P00750110 PHA FY: 2010			Activities for Year: 5 FFY Grant: AL09P00750111 PHA FY: 2011		
Development Name/Number	**Major Work Categories**	**Estimated Cost**	**Development Name/Number**	**Major Work Categories**	**Estimated Cost**
7-01/Henry Green	HVAC	80,000	7-01/Henry Green	HVAC	80,000
7-02/McRae Homes	HVAC	76,000	7-02/McRae	HVAC	80,000
7-03/Martin	HVAC	120,000	7-03/Martin	HVAC	120,000
	LBP Abatement (13)	40,000	7-04/Johnson	HVAC	150,000
	Exterior Doors	15,600		Painting	78,000
	Interior Doors	13,900		Subtotal	228,000
	Floor Tile	26,000	7-04/Ussery	HVAC	70,000
	Painting	13,000		Painting	30,000
	Walls & Ceilings	33,800		Subtotal	100,000
	Interior Plumbing	26,000	7-07/MLV	HVAC	62,000
	Cabinets	6,500		Painting	10,000
	Relocation	19,500		Subtotal	72,000
	Subtotal	314,300	HA-Wide	Security	175,000
7-04/Johnson	HVAC	188,000		Youth Programs	30,000
7-04/Ussery	HVAC	21,700		Administration	90,000
HA-Wide	Security	175,000		A&E	61,354
	Youth Programs	30,000		Subtotal	356,354
	Administration	90,000			
	A&E	61,354			
	Subtotal	356,354			
	Total CFP Estimated Cost	$1,036,354			$1,036,354

CAPITAL GRANT PROGRAM TABLES START HERE

(Attachment al007j01)

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750106 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006

☐Original Annual Statement ☐Reserve for Disasters/ Emergencies ☐Revised Annual Statement (revision no:)
☒Performance and Evaluation Report for Period Ending: 6-30-06 ☐Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	205,000		0	0
4	1410 Administration	90,000		0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	62,000		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	349,314		0	0
10	1460 Dwelling Structures	330,040		0	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750106 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006

☐Original Annual Statement ☐Reserve for Disasters/ Emergencies ☐Revised Annual Statement (revision no:)
☒Performance and Evaluation Report for Period Ending: 6-30-06 ☐Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,034,354		0	0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	175,000			
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		**Grant Type and Number** Capital Fund Program Grant No: AL09P00750106 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
7-01/Henry Green	Condenser Guards	1460	99	51,680				
	Subtotal			51,680				
7-02/McRae	Condenser Guards	1460	92	48,300				
	Subtotal			48,300				
7-03/Martin	Erosion Control	1450		24,657				
	Condenser Guards	1460	148	77,700				
	Subtotal			102,357				
7-04/Johnson	Resurface Parking Areas	1450		182,717				
	Erosion Control	1450		30,000				
	Postal Areas	1450	3	46,940				
	Painting	1460	50	50,000				
	Condenser Guards	1460 214		72,360				
	Subtotal			382,017				
7-04/Ussery	Resurface Parking Areas	1450		65,000				
	Painting	1460		30,000				
	Subtotal			95,000				
HA-Wide	Security – Partial Reimb. for 8 police officers	1408		175,000				
	Youth Programs: 2 basketball teams, 2 softball teams, Boy & Girl Scout troops, 252 Club	1408		30,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		**Grant Type and Number** Capital Fund Program Grant No: AL09P00750106 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Administration: Coordinator-100% planning & Inspect. Accountant-10% reporting & ELOCCS Ex. Director-5% overall supervision	1410		90,000				
	Subtotal			357,000				
	Totals			1,036,354		0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of Dothan	**Grant Type and Number** Capital Fund Program No: AL09P00750106 Replacement Housing Factor No:	**Federal FY of Grant:** 2006

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
7-01/Henry Green	07-18-08			07-17-10			
7-02/McRae	07-18-08			07-17-10			
7-03/Martin	07-18-08			07-17-10			
7-04/Johnson	07-18-08			07-17-10			
7-04/Ussery	07-18-08			07-17-10			

As of 07/24/2006 the U.S. Department of Housing and Urban Development had not released funds for this program. Thus, the Housing Authority was prohibited from obligating or expending funds for this Capital Fund project.

CAPITAL GRANT PROGRAM TABLES START HERE

(Attachment al007k01)

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 6-30-06 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	166,476	166,476	0
2	1406 Operations				
3	1408 Management Improvements	205,000	205,000	0	0
4	1410 Administration	78,600	78,600	50,699	50,699
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	57,167	13,000	13,000	0
8	1440 Site Acquisition				
9	1450 Site Improvement	0	46,940	46,940	0
10	1460 Dwelling Structures	728,527	739,754	739,754	0
11	1465.1 Dwelling Equipment—Nonexpendable	14,000	0	0	0
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	75,000	75,000	75,000	0
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 6-30-06 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,158,294	1,158,294	850,393	50,699
22	Amount of line 21 Related to LBP Activities	466,000	452,490		
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	175,000	175,000		
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
7-01/Henry Green	Non-CGP Funds							
	Cabinetry		99	0	124,040	124,040	0	Underway
	Range hoods/Backsplash		99	0	20,680	20,680	0	Underway
	Security screens		30	0	21,756	21,756	0	Underway
	Total Non-CGP Funds			0	166,476	166,476		
	LBP Abatement	1460	99	150,000	129,420	129,420	0	Underway
	Exterior door units	1460	99	91,000	113,700	113,700	0	Underway
	Interior door units & hardware	1460	99	150,000	134,370	134,370	0	Underway
	Floor tile	1460	65	155,000	135,950	135,950	0	Uwy Note 1
	Interior painting & wall repair	1460	99	95,000	175,720	175,720	0	Underway
	Appliances	-	0	14,000	0	0	-	Note 2
	Security screens	1460	69	0	50,594	50,594	0	Uwy Note 3
	Relocation	1495 1	75	75,000	75,000	0	0	Note 4
	A&E	1430		57,167	13,000	13,000	0	Uwy Note 5
	Subtotal			767,167	827,754	752,754		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
7-04/Johnson	Condenser guards	1460	214	77,000	0			Note 6
	Postal areas	1450	3	0	46,940	46,940	0	Note 7
	Subtotal			77,000	46,940	46,940	0	
7-04/Ussery	Condenser Guards	1460	86	30,527	0	0	0	Note 8
	Subtotal			30,527	0	0	0	
HA-Wide	Security-partial reimb. for 8 police officer	1408		175,000	175,000	0	0	Note 9
	Youth Programs- 2 basketball teams, Boy & Girl Scouts, 252 Club	1408		30,000	30,000	0	0	Underway
	Administration: Coordinator 100% planning & inspection Accountant 10% reporting & ELOCCS Ex. Director 5% overall supervision	1410		78,600	78,600	50,699	50,699	
	Subtotal			283,600	283,600	50,699	50,699	
	Totals CGP			1,158,294	1,158,294	850,393	50,699	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of Dothan	**Grant Type and Number** Capital Fund Program No: AL09P00750105 Replacement Housing Factor No:	**Federal FY of Grant:** 2005

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
7-01/Henry Green	8-18-07			8-17-09			
7-04/Johnson	-	8-18-07		-	8-17-09		Addition of work item from future CFP project
HA-Wide	8-18-07			8-17-09			

Notes for Status of Work
Performance and Evaluation Report
AL09P00750105
6-30-2006

1. Work is underway. 34 units from the original 99 units were transferred to CFP Project No. AL09P00750104 .
2. Appliances were deleted from the original budget due to cost of total LBP project at Henry Green.
3. Security screens were added to work at Henry Green due to existing screens not being able to be used with new exterior door units. 30 units are being paid out of non-CFP funds with 69 units being paid out of CFP funds.
4. As of 6-30-2006 no families have been relocated at Henry Green.
5. A&E contract is underway. Budget was reduced as CFP Project No. AL09P00750203 picked up $36,945 of the cost and AL09P00750104 picked up $15,055 of the cost of the LBP project at Henry Green.
6. Condenser guards at Johnson Homes were transferred to CFP Project No. AL09P00750104.
7. Postal areas at Johnson Homes were added from future CFP project work.
8. Condenser guards at Ussery Homes were transferred to CFP Project No. AL09P00750104.
9. Contract between Housing Authority and City of Dothan has not been entered as of 6-30-2006.
10. Scheduled completion for items 1, 3, & 7 is May 14, 2007.

CAPITAL GRANT PROGRAM TABLES START HERE

(Attachment al007l01)

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 06-30-2006 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	223,620	200,589	200,589	200,589
4	1410 Administration	56,000	56,000	56,000	56,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	57,050	17,493	17,493	17,493
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	746,454	830,074	830,074	672,320
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	35,000	13,968	13,968	13,968
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 06-30-2006 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,118,124	1,118,124	1,118,124	960,370
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	175,000	175,000		
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	See Notes Attached
7-01/Henry Green	Bath surrounds	1460	66	198,000	110,004	110,004	110,004	Completed
	Tub plumbing	1460	83	0	62,250	62,250	62,250	Cmp-Note 1
	Kitchen Cabinets	1460	33	0	14,500	14,500	14,500	Cmp-Note 2
	Condenser Guards	1460	99	0	51,680	51,680	51,680	Cmp-Note 3
	Floor Tile	1460	34	0	70,780	70,780	24,566	Uwy-Note 4
	Subtotal			198,000	309,214	309,214	263,000	
7-02/McRae	Painting	1460	54	50,000	96,025	96,025	96,025	Completed
	Kitchen Cabinets	1460	11	0	4,840	4,840	4,840	Cmp-Note 5
	Subtotal			50,000	100,865	100,865	100,865	
7-03/Martin	Electrical upgrade & bath vent fans	1460	148	213,454	0			Note 6
	Ceiling repairs	1460		40,000	0			Note 7
	Subtotal			253,454	0			
7-04/Johnson	Condenser Guards	1460	214	0	111,540	111,540	0	Uwy-Note 8
	Subtotal			0	111,540	111,540	0	
7-04/Ussery	Sewer Lines	1460		105,000	125,370	125,370	125,370	Completed
	Fencing	1460		75,000	106,625	106,625	106,625	Completed
	Condenser Guards	1460	86	0	39,700	39,700	39,700	Note 9
	Subtotal			180,000	271,695	271,695	271,695	
7-07/MLV	Roofs	1460	10	65,000	36,760	36,760	36,760	Completed
	Subtotal			65,000	36,760	36,760	36,760	
HA-Wide	Security	1408		175,000	175,000	175,000	175,000	Completed

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		**Grant Type and Number** Capital Fund Program Grant No: AL09P00750104 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide (Cont)	Youth Programs:							
	3 Basketball teams							
	2 Softball teams							
	Boy & Girl Scout Troops							
	252 Club-Educational Programs	1408		48,620	25,589	25,589	25,589	Completed
	Administration:							
	Coordinator – 100% Mgt. & Inspection							
	Accountant – 10% Rept. & ELOCCS							
	Ex. Director – 5% Overall Supervision	1410		56,000	56,000	56,000	56,000	Completed
	Computer Upgrades	1475		35,000	13,968	13,968	13,968	Completed
	A&E	1430		57,050	17,493	17,493	17,493	Cmp-Note10
	Subtotal			371,670	288,050	288,050	288,050	
	Totals			1,118,124	1,118,124	1,118,124	960,370	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of Dothan	**Grant Type and Number** Capital Fund Program No: AL09P00750104 Replacement Housing Factor No:	**Federal FY of Grant:** 2004

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
7-01/Henry Green	9-14-06		3-31-06	9-14-08			
7-02/McRae Homes	9-14-06		3-31-06	9-14-08		3-31-06	
7-04/Johnson Homes	9-14-06		3-31-06	9-14-08			
7-04/Ussery Homes	9-14-06		3-31-06	9-14-08		6-30-06	
7-07/MLV	9-14-06		3-31-06	9-14-08		6-30-06	
HA-Wide	9-14-06		3-31-06	9-14-08		3-31-06	

Notes for Status of Work
Final Report
AL09P00750104
6-30-2006

1. This item was necessary due to plumbing problems found when installing the bath surround systems.
2. This item was transferred from future CF projects.
3. This item was transferred from future CF projects.
4. This item was transferred from future CF projects. Project is underway and should be completed July 2006.
5. This item was transferred from future CF projects.
6. Original plans called for electrical upgrades in each apartment. Upon closer investigation, this item was deemed not to be necessary and was therefore deleted. The bathroom vent fans were accomplished in a prior CF project.
7. Ceiling repairs have been delayed until a future CF project when units will be vacant due to LBP abatement work.
8. This item was transferred from future CF projects. Project is underway and should be completed July, 2006.
9. This item was transferred from future CF projects.
10. Prior CF project paid most of A&E fees for this project.

CAPITAL GRANT PROGRAM TABLES START HERE

(Attachment al007m01)

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750203 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 06-30-2006 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		36,945	36,945	36,945
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	100,416	100,416	100,416	100,416
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	92,000	55,055	55,055	55,055
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Grant Program Part I: Summary

PHA Name: Housing Authority of the City of Dothan	Grant Type and Number CF Program Grant No: AL09P00750203 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 06-30-2006 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	192,416	192,416	192,416	192,416
22	Amount of line 21 Related to LBP Activities	192,416	192,416		
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750203 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
7-02/McRae	Lead based paint abatement	1460	92	100,416	100,416	100,416	100,416	Completed
	Relocation due to LBP abatement	1495 1	92	92,000	55,055	55,055	55,055	Completed
	Subtotal			192,416	155,471	155,471	155,471	
7-01/Henry Green	A&E for LBP Abatement	1430		0	36,945	36,945	36,945	Ctd. Note 1
	Subtotal			0	36,945	36,945	36,945	
	Totals			192,416	192,416	192,416	192,416	
	Note 1 – Funds leftover were used to partially fund A&E contract for LBP abatement at Henry Green.							

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of Dothan	**Grant Type and Number** Capital Fund Program No: AL09P00750203 Replacement Housing Factor No:	**Federal FY of Grant:** 2003

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
7-02/McRae Homes	2-12-06		1-6-06	2-12-08		1-6-06	
7-01/Henry Green	-	8-26-05	8-26-05	-	2-17-06	2-17-06	Leftover funds used to partially fund A&E contract for next CFP Project

(Attachment al007n01)

Component 10 (B) Voluntary Conversion Initial Assessments

a. How many of the PHA's developments are subject to the Required Initial Assessments?

1. Henry Green Apts. 2. McRae Homes 3. Martin Homes
4. Johnson Homes 5. Ussery Homes

b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and or disabled developments)?

1. Marvin Lewis Village

c. How many Assessments were conducted for the PHA's covered developments?

1. Henry Green Apts. 2. McRae Homes 3. Martin Homes
4. Johnson Homes 5. Ussery Homes

d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
None	N/A

e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments: N/A

(Attachment al007o01)

Implementation Plan for Resident Survey Deficiency

The Resident Service and Satisfaction Survey conducted in FY 05 reveal the following scores:

Maintenance and Repair	**85.30%**
Communication	**82.00%**
Safety	**74.20%**
Services	**90.20%**
Neighborhood Appearance	**61.30%**

Any area scoring below 75% requires the Housing Authority to develop an Implementation Plan to try and correct any problems existing in the opinion of the residents surveyed. Neighborhood Appearance and Safety scored below the 75% threshold and the Housing Authority has developed this Implementation Plan.

Neighborhood Appearance

The overall biggest problem according to the resident survey was the general upkeep of the grounds and parking areas. The Housing Authority proposes to improve these areas as follows:

(1) Contract with outside entities to cut grass and pick up trash before cuttings.
(2) Assign a visible two person detail to pick up trash/litter between grass cuttings and in parking areas as well as to pick up trash/litter during non-grass cutting months.
(3) Encourage residents to pick up trash/litter in the area around their units and counsel with residents who repeatedly have visible litter in and around their units.

Safety

The Housing Authority intends to continue contracting with the Dothan Police Department to provide for the partial reimbursement of 8 police officers assigned to patrol public housing developments.