

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Federal Fiscal Years 2005 - 2009  
Annual Plan for Federal Fiscal Year 2006  
Annual Plan for Authority Fiscal Year 2007

## SCHUYLKILL COUNTY HOUSING AUTHORITY

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name:** Schuylkill County Housing Authority

**PHA Number:** PA016

**PHA Fiscal Year Beginning: (4/2006)**

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR AGENCY PLAN  
FEDERAL FISCAL YEARS 2005 - 2009**

[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)

*During the first five-years, the Authority also established a nonprofit housing corporation to expand affordable housing options in the County.*

*The Authority is currently developing plans to modernize the Minersville High Rise, to replace deteriorating systems, modernize its apartments, and improve its marketability. A major component of this modernization will be the conversion of obsolete efficiency units into more marketable one-bedroom apartments.*

*Also, during the first five-years, the Housing Authority established a nonprofit corporation to expand affordable housing options in the County. This year a grant in the amount of \$50,000 was provided to the nonprofit by the Schuylkill County Affordable Housing Trust Fund. The Authority's nonprofit has committed a match of \$66,900. Additional affordable housing will be created for low-income families in Schuylkill County with the purchase of at least three housing units that will be rehabilitated. Families participating in the Family Self-Sufficiency program will receive priority for these units with the goal being self-sufficiency and homeownership.*

- PHA Goal: Improve the quality of assisted housing  
Objectives:
- Improve public housing management: (PHAS score)
  - Improve voucher management: (SEMAP score)
  - Increase customer satisfaction:
  - Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
  - Renovate or modernize public housing units:
  - Demolish or dispose of obsolete public housing:
  - Provide replacement public housing:
  - Provide replacement vouchers:
  - Other: (list below)

- PHA Goal: Increase assisted housing choices  
Objectives:
- Provide voucher mobility counseling:
  - Conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Implement public housing or other homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers:
  - Other: (list below)

*The Authority has maintained its Payment Standards at the 2004 FMRs level for two bedroom and larger apartments as the lower 2005 FMRs would have driven current and prospective owners away from the program. The 2005 FMRs were higher than the 2004 level for efficiency and one bedroom apartments so these higher levels have been adopted as the current experience indicates that the higher level would be helpful.*

*The 2006 proposed FMRs are increased from the 2005 level. The Authority will closely monitor the appropriateness of its Payment Standards in order to maintain a workable, high-quality program balanced by the fiscal restraints of the program.*

*The Authority will learn about the voucher homeownership program with the desire of implementing this as an Authority initiative.*

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment  
Objectives:
  - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements:
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
  - Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability:
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
  - Other: (list below) Promote self-sufficiency and assist families to obtain supportive services through the various service agencies with which the Housing Authority has inter-agency agreements.

*During the last five-year period, the Authority established an effective program for Section 8 Voucher participants; outreach was provided to Voucher holders to urge their participation; and families have been enrolled. During the coming period, the Authority is expanding the program to public housing residents. A food bank has been established and will be continued in the senior buildings and expanded to elderly units in our family developments.*

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below) *Continue to provide suitable living environments regardless of race, color, religion, national origin, sex, familial status, or disability. Continue to make special outreach efforts to house disabled persons in handicapped housing through the Anthracite Center for Independent Living. Continue to work closely with Career Link, Schuylkill Community Action, the RedCo Group, Senior Services, and the Department of Public Welfare.*

**Other PHA Goals and Objectives: (list below)**



**Annual PHA Plan  
Federal Fiscal Year 2003**

[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**  
 **Small Agency (<250 Public Housing Units)**  
 **Administering Section 8 Only**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

***[Eliminated per HUD Notice PIH 99-51]***

## Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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### Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### Required Attachments:

- Admissions Policy for Deconcentration (*Available for review but not attached per instructions in Notice PIH 99-51, issued December 14, 1999*)
- FY 2005 Capital Fund Program Annual Statement (*See attachment 11, pa016a01*)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

#### Optional Attachments:

- PHA Management Organizational Chart
- Comments of Resident Advisory Board or Boards (*Included in Section 18*)

Other (List below, providing each attachment name) *(Included in Section 18, D, at close of this document)*

1. Deconcentration
2. Voluntary Conversion
3. Resident Advisory Board Members
4. Statement on Resident Board Member
5. Substantial Deviation Definition
6. Family Self-Sufficiency and Senior Services
7. Conversion of Efficiency Apartments to One-Bedroom
8. Capital Fund Borrowing
9. Performance and Evaluation Reports for Capital Fund – 2003 (pa016a01)
10. Performance and Evaluation Reports for Capital Fund – 2003 Supplement (pa016b01)
11. Performance and Evaluation Reports for Capital Fund – 2004 (pa016c01)
12. Performance and Evaluation Reports for Capital Fund – 2005 (pa016d01)
13. Annual Budget for Capital Fund – 2006 (pa016e01)
14. Five-Year Capital Fund – 2007-2010 (pa016f01)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Schedule of flat rents offered at each public housing	Annual Plan: Rent

**AGENCY PLAN -- SCHUYLKILL COUNTY HOUSING AUTHORITY -- December 8, 2005 FINAL**

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	development <input type="checkbox"/> check here if included in the public housing A & O Policy	Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open	Annual Plan: Safety and Crime Prevention

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	grant and most recently submitted PHDEP application (PHDEP Plan)	
N/A	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
x	Pet Policy	Policy beginning 4/1/01
x	Deconcentration	Statement 11/02
x	Voluntary Conversion	Statement

## **1. Statement of Housing Needs**

[24 CFR Part 903.7 9 (a)]

### **A. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type</b>							
Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	1,625 (57%)	5	2	3	2	4	2
Income >30% but <=50% of AMI	1,478 (50%)	5	2	2	2	4	2
Income >50% but <80% of AMI	510 (18%)	2	1	2	2	1	1
Elderly	1,366 (34%)	5	1	1	3	1	1
Families with Disabilities	1,117 (35%)	5	2	4	4	3	3
White-Non-Hispanic	3,588 (28%)	5	2	3	2	4	2
White-Hispanic	58 (35%)	5	2	3	2	4	2
Black-Non-Hispanic	54 (46%)	5	2	3	2	4	2
Asian (No Pacific Islanders)	33 (46%)	5	2	3	2	4	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s – Northeast Region of State Plan  
Indicate year: 2001
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data  
Indicate year: 2003
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information) – Section 8 and public housing waiting lists.

### **B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	271		149
Extremely low income <=30% AMI	224	83%	
Very low income (>30% but <=50% AMI)	32	12%	
Low income (>50% but <80% AMI)	15	6%	
Families with children	98	36%	
Elderly families	42	15%	
Families with Disabilities*	86	32%	
Nondisabled, nonelderly, no children	72	27%	
White – Non-Hispanic	227	84%	
White - Hispanic	18	7%	
Black – Non-Hispanic	21	8%	
Asian/Pacific Islander	5	2%	
<i>*Families with disabilities are also included in other categories</i>			
Characteristics by Bedroom Size (Public Housing Only)			
0 BR	20	7%	38
1BR	123	45%	38
2 BR	83	31%	35
3 BR	36	13%	37
4 BR	8	3%	0
5 BR	1	0%	1

<b>Housing Needs of Families on the Waiting List</b>
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one) <input checked="" type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	391		162
Extremely low income <=30% AMI	309	79%	
Very low income (>30% but <=50% AMI)	82	21%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	203	52%	
Elderly families	20	5%	
Families with Disabilities*	59	15%	
Nondisabled, nonelderly, no children	125	32%	
White – Non-Hispanic	347	89%	
White - Hispanic	11	3%	
Black – Non-Hispanic	30	8%	
Asian/Pacific Islander	3	1%	
<i>*Families with disabilities are also included in other categories</i>			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

**C. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

**(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities -- PHFA through Internet and Anthracite Center for Independent Living and Regional Development Corporation (REDCO) and Senior Services.
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants</b>		
a) Public Housing Operating Fund	\$1,346,460	
b) Public Housing Capital Fund	\$857,080	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$1,717,402	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
<b>3. Public Housing Dwelling Rental Income</b>	\$1,403,760	
<b>4. Other income (list below)</b>		
<b>4. Non-federal sources (list below)</b>		
<b>Total resources</b>	\$5,324,702	
<i>2004 figures as 2005 figures not yet provided by HUD.</i>		

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) – Within 45 days of application.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping – information requested from landlord.
- Other (describe)

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below) – home visit if applicant is unable to come to the office.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 9
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists? 9
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
  - One
  - Two
  - Three or More
- b.  Yes  No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

- a. Income targeting:
  - Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused – to meet waiting list demand
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)  
Non-handicapped household occupying a handicapped unit.

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
  
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time – within categories

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action)
- 1 Victims of domestic violence
- 1 Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability and Those enrolled currently in educational, training, or upward mobility programs
- 1 Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers – if needed to meet statutory requirement that 40% be Extremely Low Income.
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing** – *Notice PIH 99-51, issued December 14, 1999 instructs Authorities to not respond to this section.*

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments  
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments

- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below) -- *Information in its possession concerning the tenancy history of family members including the last two places of residence, and any previous violations of program requirements.*

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below) If an applicant has trouble coming the office as the result of a disability, the application will be taken at the applicants home.

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:  
As provided for in the Administrative Plan.

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

*The Housing Authority will first consider applicants that contribute to meeting the statutory requirement that 75 percent of new participants are extremely low income families that are at or below 30 percent of the median family income. The following preferences will then be utilized to prioritize within these two major categories.*

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Within categories      Date and Time

Former Federal preferences

- 1      Involuntary Displacement (Disaster, Government Action)
- 1      Victims of domestic violence
- 1      Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1      Working families and those unable to work because of age or disability
- 1      Veterans and veterans' families
- 1      Residents who live and/or work in your jurisdiction

- 1
- Those enrolled currently in educational, training, or upward mobility programs
  - Households that contribute to meeting income goals (broad range of incomes)
  - Households that contribute to meeting income requirements (targeting) [ This preference takes priority over the others when necessary to assure that the statutory mandate of 75 percent of new admissions being Extremely Low Income is met. The above noted preferences will be used to rank within the two groupings (i.e., those above and those below 30 percent of the median family income.)
  - Those previously enrolled in educational, training, or upward mobility programs
  - Victims of reprisals or hate crimes
  - Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs      N/A**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) *Interim Increases in rent due to a change in income shall only be implemented when total annual gross income increases Three Thousand Five Hundred Dollars (\$3,500) or more, except:*
  - (1) *if a new member is added to the lease, an adjustment will be made regardless of the amount of income:*
  - (2) *if a tenant paying a minimum rent (\$50) obtains income from any source, an adjustment will be made regardless of the amount of income.*
- Other (list below)  
*When there is a change in family composition.*

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

## **(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)  
Based on the Voucher Payment Standard.

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR (for two bedrooms and above)
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard (for efficiencies and one-bedrooms).
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

**5. Operations and Management -- Section Not Applicable to High Performers**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A. PHA Management Structure**

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

### 6. PHA Grievance Procedures -- Not applicable for High Performers -- copies of procedures are attached.

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

#### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office  
 PHA development management offices  
 Other (list below)

#### B. Section 8 Tenant-Based Assistance

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment 11 (pa16d01)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund?  
(if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name Attachment 12 (pa16e01)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
- If yes, list development name/s below:

- Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
- If yes, list developments or activities below:

- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

**8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly <input type="checkbox"/>	
Occupancy by families with disabilities <input type="checkbox"/>	
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one)	
Approved; included in the PHA’s Designation Plan <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	

<input type="checkbox"/> Total development
--------------------------------------------

**10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____)
<input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)
<input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)
<input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent
<input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units
<input type="checkbox"/> Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

*No plan currently exists. The Housing Authority will review its options during the coming year in order to determine whether a feasible program can be developed. It will then amend its Section 8 Administrative Plan to include homeownership as an eligible usage of the funds.*

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

b. PHA established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
If yes, list criteria below:

**12. PHA Community Service and Self-sufficiency Programs – Not Required for High Performers – however, see Attachment 6**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

**A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 12/14/2000

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals  
 Information sharing regarding mutual clients (for rent determinations and otherwise)  
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
 Jointly administer programs  
 Partner to administer a HUD Welfare-to-Work voucher program  
 Joint administration of other demonstration program  
 Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

**(2) Family Self Sufficiency program/s**

**a. Participation Description**

<b>Family Self Sufficiency (FSS) Participation</b>		
<b>Program</b>	<b>Required Number of Participants (start of FY 2000 Estimate)</b>	<b>Actual Number of Participants (As of: DD/MM/YY)</b>
Public Housing		
Section 8		

b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

<p><b>D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937</b></p>
-----------------------------------------------------------------------------------------------------------------------

**13. PHA Safety and Crime Prevention Measures – Not Applicable for High Performing Authorities. Also, the PHDEP program referred to below has been terminated by Congress.**

*The Housing Authority does not have a high incidence of violent or drug related crime.*

*In order to continue to eliminate and remove violent and/or drug related crime, the Housing Authority will work closely with local police departments. The Housing Authority will share and obtain information from local police departments, including information regarding incident reports and criminal activity.*

*A strong anti-drug relationship has been established between the Housing Authority, the Schuylkill County District Attorney's Drug Task Force, and the Commonwealth of Pennsylvania Office of Attorney General, Bureau of Narcotics Investigation and Drug Control. This relationship is designed specifically for anti-drug activities.*

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

**A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents

- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

**14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

**15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

**16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

**17. PHA Asset Management – Not applicable for High Performing Authorities.**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency

will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable  
 Private management  
 Development-based accounting  
 Comprehensive stock assessment  
 Other: (list below)

3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

### **18. Other Information**

[24 CFR Part 903.7 9 (r)]

#### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name)  
 Provided below:

#### **Resident Advisory Board Comments (November 17, 2005):**

In attendance – Ray Putt, Betty Stanley, Doris Shirey, Rose Marteslo, Wilbert Gillinger.

Tenants from the Schuylkill Haven High Rise said the new windows are wonderful.

Tenants think it is a good idea to install surveillance equipment in all of the high rises. The 2006 Capital Fund budget has been amended to do this.

Tenants think it is a good idea to install new entry systems in all of the high rises. The Authority will do this out of its operating funds.

Tenants said it is a good idea to update the policies.

Tenants said they are glad that the minimum rent is at the maximum of \$50.

Tenants agreed that the repairs to the brick structures of the high rise should be completed before new kitchens and bathrooms.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments  
List changes below:
- Other: (list below)

**B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) – State of Pennsylvania, Northeast Region.
  
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - The PHA has partially based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  
  - Other: (list below)
  
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

**Attachment 1 – Deconcentration**

- Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? Yes
  
- Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? Yes
  
- If yes, the PHA completes the table shown below.

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [See step 4 at 24 CFR 903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at 24CFR 903.2(c)(1)(v)]
Schuykill Haven - Family	40	Is below 30% of County Median Income.	
St. Clair	35	Is below 30% of County Median Income.	

**Attachment 2 – Voluntary Conversion**

- a. How many of the PHA’s developments are subject to the Required Initial Assessment? 5
  
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? 4
  
- c. How many assessments were conducted for the PHA’s covered developments? 5
  
- d. Identify developments that may be appropriated for conversion based on the Required Initial Assessment:

Development Name	Number of Units
<i>None</i>	

e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments. *Not applicable*

**Attachment 3 – Resident Advisory Board membership.**

Mr. Ernest Brown, Shenandoah  
Ms. Roseanna Brennan, Ashland  
Ms. Jacqueline Anderson, Minersville  
Ms. Kathy Brown, Schuylkill Haven  
Mr. Martin Foss, Schuylkill Haven  
Mr. Wilbert Gillinger, Minersville  
Ms. Maria Gonzales, Schuylkill Haven  
Ms. Alison Grill, Shenandoah  
Ms. Rose Marteslo, Minersville  
Ms. Gena Maurer, Ashland  
Ms. Linda Newton, Pottsville, Section 8  
Mr. James Reber, Schuylkill Haven  
Mr. Keith See, Minersville  
Ms. Doris Shirey, Schuylkill Haven  
Mr. John Smith and Mamie Smith, Minersville  
Ms. Betty Stanley, Schuylkill Haven  
Ms. Kathleen Urbanowicz, Ashland  
Ms. Barbara Walker, Schuylkill Haven  
Ms. Kathleen Wentz, St. Clair  
Ms. Janet White, Hegins, Section 8

**Attachment 4 – Resident as Commissioner.**

Raymond W. Putt, a resident of public housing in Schuylkill Haven, was appointed to the Authority Board by the Schuylkill County Commissioners effective December 20, 2000. Mr. Putt's term expired on December 15, 2002 and he was re-appointed for a full five-year term expiring on December 15, 2007.

**Attachment 5 – Definition of Substantial Deviation and Significant Amendment or Modification.**

A significant change is defined as:

- (1) Changes to rent or admissions policies or organization of the waiting list except where the changes are to bring them into compliance with HUD requirements or expands options for residents.
- (2) Addition of non-emergency work items (items not included in the current Annual Statement or Five-Year Plan).
- (3) Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

**Attachment 6 – Family Self-Sufficiency and Senior Services.**

During 2003 the Authority established a program for Section 8 Voucher participants. Outreach was provided to Voucher holders to urge their participation and families have been enrolled. During the coming year, the Authority intends to expand its Section 8 program and to expand the program to public housing residents.

A food bank has been established and will be continued in the senior buildings and expanded to elderly units in our family developments.

Also, during the coming year, the Authority will consider applying for Family Self-Sufficiency funding under HUD's ROSS program in order to strengthen its program.

**Attachment 7 – Conversion of Efficiency Apartments to One-Bedroom**

The Authority is developing modernization strategies for its Minersville High Rise building. As part of this modernization process 40 efficiency apartments will be converted into 20 one-bedroom units. This conversion will result in the units becoming more marketable; however, it will also result in a decreased number of available apartments.

**Attachment 8 – Capital Fund Borrowing**

The Authority intends to leverage its annual appropriation of Capital Funds by borrowing funds for a major renovation of the Minersville High-Rise. The Authority will be seeking HUD's approval during the coming year to utilize future fund allocations as security for incurring this indebtedness. The Authority's plans for the additional improvements are included in the 2006 Annual Plan and the repayments are reflected in the five-year plan.

**Attachment 9 – Performance and Evaluation Report for Capital Fund – 2003 (pa016a01)**

**Attachment 10 – Performance and Evaluation Report for Capital Fund – 2003 Supplement (pa016b01)**

**Attachment 11 – Performance and Evaluation Report for Capital Fund – 2004 (pa016c01)**

**Attachment 12 – Performance and Evaluation Report for Capital Fund – 2005 (pa016d01)**

**Attachment 13 – Annual Statement Capital Fund – 2006 (pa016e01)**

**Attachment 14 – Five-year Plan – 2007-20010 (pa016f01)**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Schuylkill County Housing Authority		Capital Type and Number Capital Fund Program Grant No: PA26P01650103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
( <input type="checkbox"/> ) Original Annual Statement		( <input type="checkbox"/> ) Reserve for Disasters/Emergencies		( <input checked="" type="checkbox"/> ) Revised Annual Statement (Revision Number: 5)	
( <input checked="" type="checkbox"/> ) Performance and Evaluation Report for Program Year Ending 09/30/2005				( <input type="checkbox"/> ) Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements-Soft Costs	10,000	15,842	15,842	7,600.00
	Management Improvements-Hard Costs	7,600	1,758	1,758	1,757.36
4	1410 Administration	78,000	78,000	78,000	65,414.05
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000	70,000	70,000	70,000.00
8	1440 Site Acquisition				
9	1450 Site Improvements	48,300	47,276	47,276	47,276.00
10	1460 Dwelling Structures	569,875	570,899	570,899	570,899.00
11	1465.1 Dwelling Equipment-Non-expendable				
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	783,775	783,775	783,775	762,946.41
22	Amount of line 21 Related to LBP Activities	0	0	0	0.00
23	Amount of line 21 Related to Section 504 Compliance	0	0	0	0.00
24	Amount of line 21 Related to Security - Soft Costs	0	0	0	0.00
25	Amount of line 21 Related to Security - Hard Costs	0	0	0	0.00
26	Amount of line 21 Related to Energy Conservation Measures	327,536	338,971	338,971	338,970.70

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development No./Name HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised	Obligated	Expended		
PHA-Wide	Management Improvements								
	1. Automated Systems Update	1408		2,600	1,583	1,583.00	1,582.36		
	2. Automated Systems Training	1408		5,000	175	175.00	175.00		
	3. Staff Training	1408		5,000	1,899	1,899.00	1,300.00		
	4. Update Policies	1408		0	12,045	12,045.00	6,300.00		
	4. Resident Init. Coordinator	1408		0	0	0	0.00		
	5. Residents Activities	1408		5,000	1,898	1,898.00	0.00		
	<b>Sub-Total</b>			<b>17,600</b>	<b>17,600</b>	<b>17,600.00</b>	<b>9,357.36</b>		
PHA-Wide	CGP Coordinator Administration	1410		0	0	0	0.00		
		1410		78,000	78,000	78,000.00	65,414.05		
	<b>Sub-Total</b>			<b>78,000</b>	<b>78,000</b>	<b>78,000.00</b>	<b>65,414.05</b>		
PHA-Wide	Fees and Costs	1430		70,000	70,000	70,000.00	70,000.00		
	<b>Sub-Total</b>			<b>70,000</b>	<b>70,000</b>	<b>70,000.00</b>	<b>70,000.00</b>		
PA-16-2 Coaldale Development	1. Replace Dwelling Steps	1450	2	900	900	900.00	900.00	Emergency Items 100% Completed	
	2. Install Awning over steps	1450	2	15,000	15,000	15,000.00	15,000.00		
	3. Replace meter bases and service cable	1460	49 units	21,760	20,160	20,160.00	20,160.00		
	<b>Sub-Total</b>			<b>37,660</b>	<b>36,060</b>	<b>36,060.00</b>	<b>36,060.00</b>		
PA-16-3 Minersville High Rise	1. Elevator Modifications Generators & Controllers	1460	1	0	0	0	0.00	Moved to CFP # PA26P01650203	
	<b>Sub-Total</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>		

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development No./Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PA-16-5 Sch. Haven High-Rise	1. Replace Windows	1460	220	327,536	338,971	338,970.70	338,970.70	100% Complete
	2. Bathroom Renovations -sinks toilets, showers/tubs, pipes, call switch, floor tile, accessories painting, remove asbestos	1460	47 units	0	0	0	0	Moved to FY 2010
	3. Kitchen Renovations - sinks, cabinets, pipes, walls, floor tile, painting, remove asbestos	1460	47 units	0	0	0	0	Moved to FY 2010
	4. Repair/Replace Aluminum Awnings	1450	2	22,400	22,400	22,400.00	22,400.00	100% Complete
	5. Repair Corner Cracks & Joints	1460	940 ln. ft.	0	23,658	23,658.03	23,658.03	100% Complete Additional Work Moved from 502-03
	6. Seal cracks on penthouse and replace bricks	1460	130 ln. ft. 40 bricks	0	3,800	3,800.00	3,800.00	100% Complete Additional Work from 502-03
	<b>Sub-Total</b>			<b>349,936</b>	<b>388,829</b>	<b>388,828.73</b>	<b>388,828.73</b>	
PA-16-7 Shenandoah High-Rise	Elevator Modifications	1460	1	23,220	0	0	0.00	Moved to FY 2010
	<b>Sub-Total</b>			<b>23,220</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	
PA-16-8 Shenandoah Family	1. Replace electric breaker panels	1460	70 units	95,000	81,951	81,951.30	81,951.30	100% Complete
	<b>Sub-Total</b>			<b>95,000</b>	<b>81,951</b>	<b>81,951.30</b>	<b>81,951.30</b>	

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development No./Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised	Obligated	Expended		
PA-16-10 Cass/Miners Family	1. Kitchen Renovations - sinks, pipes, walls, floor tile, painting, remove asbestos	1460	16 units	74,519	74,519	74,518.97	74,518.97	100% Complete	
	2. Replace sidewalks, steps, & handrails	1450		0	0	0	0.00	Moved to FY 2007	
	<b>Sub-Total</b>				<b>74,519</b>	<b>74,519</b>	<b>74,518.97</b>	<b>74,518.97</b>	
PA-16-12 St Clair Family	1. Replace electric breaker panels and service cable	1460	35 units	27,840	27,840	27,840.00	27,840.00	100% Complete	
	2. Mine Subsidence Site Repair	1450	1 subsidence area	10,000	8,976	8,976.00	8,976.00	New Emergency 100% Complete	
	<b>Sub-Total</b>			<b>37,840</b>	<b>36,816</b>	<b>36,816.00</b>	<b>36,816.00</b>		
<b>TOTAL</b>				<b>783,775</b>	<b>783,775</b>	<b>783,775.00</b>	<b>762,946.41</b>		

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Funds Obligated			All Funds Expended		(Quarter Actual	Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised		
Management Improvements	Sep-05		Jul-05	Sep-07			
Administration	Sep-05		Jul-05	Sep-07			
Fees & Costs	Sep-05		Jul-05	Sep-07		Sep-05	
PA-16-2 Coaldale	Sep-05		Jul-05	Sep-07		Sep-05	
PA-16-3 Minersville High-Rise	Sep-05		*****	Sep-07		*****	Moved to # PA26P01650203
PA-16-5 Schuylkill Haven High-Rise	Sep-05		Jul-05	Sep-07		Sep-05	
PA-16-7 Shenandoah High-Rise	Sep-05		*****	Sep-07		*****	
PA-16-8 Shenandoah Family	Sep-05		Jul-05	Sep-07		Sep-05	
PA-16-10 Cass/Minersville	Sep-05		Jul-05	Sep-07		Jun-04	
PA-16-12 Saint Clair	Sep-05		Jul-05	Sep-07		Sep-05	

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Schuylkill County Housing Authority		Capital Type and Number Capital Fund Program Grant No: PA26P01650203 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003 Supplement	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (Revision Number: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending 09/30/2005				<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements-Soft Costs				
	Management Improvements-Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvements	21,000	0		
10	1460 Dwelling Structures	135,204	156,204	39,394	39,393.84
11	1465.1 Dwelling Equipment-Non-expendable				
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	<b>156,204</b>	<b>156,204</b>	<b>39,394</b>	<b>39,393.84</b>
22	Amount of line 21 Related to LBP Activities	0	0	0	0.00
23	Amount of line 21 Related to Section 504 Compliance	0	0	0	0.00
24	Amount of line 21 Related to Security -- Soft Costs	0	0	0	0.00
25	Amount of line 21 Related to Security -- Hard Costs	0	0	0	0.00
26	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0.00

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development No./Name HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PA-16-2 Coaldale Development	1. Replace electric transformers	1450	4 transformers	16,000	0			Moved to FY 2006
	2. Replace damaged overhead electrical lines and airbrake	1450	1 elect. line 1 airbrake	5,000	0			
	<b>Sub-Total</b>			<b>21,000</b>	<b>0</b>			
PA-16-3 Minersville High Rise	1. Elevator Modifications Generators & Controllers	1460	2 elevators	0	0			Moved to FY 2009
	2. Kitchen & Bath. Renovations sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting, remove asbestos	1460	4 units	0	0			Moved to FY 2008
	3. Install Water tight roof over ash elevator	1460	1 roof 1 elevator	8,345	8,345	8,345	8,345.00	100% Complete
	<b>Sub-Total</b>			<b>8,345</b>	<b>8,345</b>	<b>8,345</b>	<b>8,345.00</b>	
PA-16-5 Sch. Haven High-Rise	1. Repair Corner Cracks & Joints and apply sealer to concrete panels	1460	720 In. ft. 2,000 sq. ft.	16,000	22,049	22,049	22,048.84	100% Complete
	2. Seal cracks on penthouse and apply sealer	1460	400 In. ft. 1,500 sq. ft.	9,000	9,000	9,000	9,000.00	100% Complete
	<b>Sub-Total</b>			<b>25,000</b>	<b>31,049</b>	<b>31,049</b>	<b>31,048.84</b>	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development No./Name HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PA-16-7 Shenandoah High-Rise	Replace hot & cold water lines in (5) pipe chases	1460	25 units	101,859	116,810			Contract to be awarded 11/8/05
	<b>Sub-Total</b>			101,859	116,810			
	<b>TOTAL</b>			<b>156,204</b>	<b>156,204</b>	<b>39,394</b>	<b>39,393.84</b>	

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003 Supplement	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PA-16-2 Coaldale	02/12/06	*****	*****	02/12/08	*****	*****	Moved to FY 2006
PA-16-3 Minersville High Rise	02/12/06		06/30/05	02/12/08		09/30/05	
PA-16-5 Sch. Haven High Rise	02/12/06		09/30/05	02/12/08		09/30/05	
PA-16-7 Shenandoah High-Rise	02/12/06			02/12/08			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schuylkill County Housing Authority		Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
( <input type="checkbox"/> ) Original Annual Statement		( <input type="checkbox"/> ) Reserve for Disasters/Emergencies		( <input checked="" type="checkbox"/> ) Revised Annual Statement (Revision Number: 3)	
( <input checked="" type="checkbox"/> ) Performance and Evaluation Report for Program Year Ending 09/30/2005				( <input type="checkbox"/> ) Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements-Soft Costs	10,000	10,000		
	Management Improvements-Hard Costs	10,000	10,000		
4	1410 Administration	80,000	80,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000	70,000	70,000	57,099.43
8	1440 Site Acquisition				
9	1450 Site Improvements	10,000	10,000		
10	1460 Dwelling Structures	695,693	695,643	221,845	42,440.60
11	1465.1 Dwelling Equipment-Non-expendable	7,000	7,050		
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment	25,000	25,000		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	907,693	907,693	291,845	99,540.03
22	Amount of line 21 Related to LBP Activities	0	0	0	0.00
23	Amount of line 21 Related to Section 504 Compliance	0	0	0	0.00
24	Amount of line 21 Related to Security -- Soft Costs	0	0	0	0.00
24	Amount of line 21 Related to Security -- Hard Costs	25,000	25,000	0	0.00
25	Amount of line 21 Related to Energy Conservation Measures	186,000	414,677	194,069	14,664.60

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development No./Name HA Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PHA-Wide	Management Improvements							
	1. Automated Systems Update	1408		5,000	5,000			
	2. Automated Systems Training	1408		5,000	5,000			
	3. Staff Training	1408		5,000	5,000			
	4. Resident Init. Coordinator	1408		0	0			
	5. Residents Activities	1408		5,000	5,000			
	<b>Sub-Total</b>			<b>20,000</b>	<b>20,000</b>			
PHA-Wide	CGP Coordinator Administration	1410		0	0			
	<b>Sub-Total</b>	1410		<b>80,000</b>	<b>80,000</b>			
PHA-Wide	Fees and Costs	1430		70,000	70,000	70,000	57,099.43	
	<b>Sub-Total</b>			<b>70,000</b>	<b>70,000</b>	<b>70,000</b>	<b>57,099.43</b>	
PA-16-2 Coaldale Development	Replace Ranges and Refrigerators	1465.1	18 ranges 10 refrigerators	7,000	7,050			Contract awarded Oct. 2005
	<b>Sub-Total</b>			<b>7,000</b>	<b>7,050</b>			
PA-16-3 Minersville High Rise	1, Kitchen & Bathroom Renovation sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting, remove asbestos	1460	47 units	0	0	0	0.00	Moved to FY 2008
	2. Install water tight roof over ash elevator	1460	1 roof 1 elevator	7,000	5,545	5,545	5,545.00	100% Completed
	3. Repair framing, cables, & electrical system on ash elevator	1460	1 frame 1 cable 1 electrical system	10,000	10,000	0	0.00	Work to be complete by Spring 2006

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development No./Name HA Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PA-16-3 Minersville High Rise	4. Relocate (4) Dryers. Install new electrical and venting system	1460	4 Dryers	5,000	5,916	5,916	5,916.00	100% Complete
	5. Remove deteriorating asbestos from (7) dwelling units and (1) hallway.	1460	7 units 1 hallway	10,000	10,000	10,000	10,000.00	100% Complete
<b>Sub-Total</b>				<b>32,000</b>	<b>31,461</b>	<b>21,461</b>	<b>21,461.00</b>	
PA-16-7 Shenandoah High-Rise	1. Replace hot & cold water lines in (10) pipe chases.	1460	52 units	470,693	203,190			Contract awarded Nov. 2005 Contract awarded Nov. 2005 Moved from FY 2006
	2. Replace ceiling tile with fire rated acoustical tile	1460	10,000 sq. ft.	0	40,000			
	3. Replace windows	1460	200	0	220,608			
<b>Sub-Total</b>				<b>470,693</b>	<b>463,798</b>			
PA-16-10 Cass/Miners Family	Replace Electrical Wires & Poles	1450	3 poles 3 wires	10,000	10,000			Work to be complete by Summer 2006
<b>Sub-Total</b>				<b>10,000</b>	<b>10,000</b>			
PA-16-12 St Clair Family	Install Security Cameras and Monitoring System	1475	8 cameras 1 monitoring system	25,000	25,000			Work to be complete by Summer 2006
<b>Sub-Total</b>				<b>25,000</b>	<b>25,000</b>			
PA-16-14 Ashland High-Rise	1. Replace Windows	1460	128	186,000	194,069	194,069	14,664.60	100% Completed
	2. Repair boiler system, new plumbing, mixing valves, and controls	1460	1 Boiler	7,000	6,315	6,315	6,315.00	
<b>Sub-Total</b>				<b>193,000</b>	<b>200,384</b>	<b>200,384</b>	<b>20,979.60</b>	
<b>Total</b>				<b>907,693</b>	<b>907,693</b>	<b>291,845</b>	<b>99,540.03</b>	

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Funds Obligaged (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide							
1. Management Improvements	Sep-06			Sep-08			
2. Administration.	Sep-06			Sep-08			
3. Fees and Costs.	Sep-06		Sep-05	Sep-08			
PA-16-2 Coaldale	Sep-06			Sep-08			
PA-16-3 Minersville High-Rise	Sep-06			Sep-08			
PA-16-7 Shenandoah High-Rise	Sep-06			Sep-08			
PA-16-10 Cass/Minersville	Sep-06			Sep-08			
PA-16-12 St. Clair	Sep-06			Sep-08			
PA-16-14 Ashland High-Rise	Sep-06		Jun-05	Sep-08			

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Schuylkill County Housing Authority		Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (Revision Number:2 )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending 09/30/2005				<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds (Privately financed with future CFP for repayment)				
2	1406 Operations	0	113,498		
3	1408 Management Improvements-Soft Costs	9,392	9,392		
	Management Improvements-Hard Costs	13,000	13,000		
4	1410 Administration	80,000	80,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000	70,000		
8	1440 Site Acquisition				
9	1450 Site Improvements				
10	1460 Dwelling Structures	634,688	481,190		
11	1465.1 Dwelling Equipment-Non-expendable				
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment	50,000	90,000		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	857,080	857,080		
22	Amount of line 21 Related to LBP Activities	0	0		
23	Amount of line 21 Related to Section 504 Compliance	0	0		
24	Amount of line 21 Related to Security -- Soft Costs	0	0		
25	Amount of line 21 Related to Security -- Hard Costs	50,000	90,000		
26	Amount of line 20 Related to Energy Conservation Measures	100,000	191,300		

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development No./Name HA Wide	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
PHA-Wide	Operations	1406		0	113,498			
PHA-Wide	Management Improvements							
	1. Automated Systems Update	1408		10,000	10,000			
	2. Automated Systems Training	1408		3,000	3,000			
	3. Staff Training	1408		4,000	4,000			
	4. Residents Activities	1408		5,392	5,392			
	<b>Sub-Total</b>			<b>22,392</b>	<b>22,392</b>			
PHA-Wide	Administration	1410		80,000	80,000			
	<b>Sub-Total</b>			<b>80,000</b>	<b>80,000</b>			
PHA-Wide	Fees and Costs	1430		70,000	70,000			
	<b>Sub-Total</b>			<b>70,000</b>	<b>70,000</b>			
PA-16-3 Minersville High Rise	1. Replace & relocate emergency generator	1460	1 generator	55,000	55,000			Working on Specifications
	2. Repair 300 linear ft. cracked brick, 400 linear ft. expansion joint, 225 linear ft spall repair, seal & coat parapet wall, seal & waterproof building 41,445 sq ft	1460	41,445 sq. ft. 925 ln. ft.	0	152,890			New Work Item
	3. Install security cameras and monitoring system	1475	8 cameras 1 monitoring system	0	10,000			Working on Specifications
	<b>Sub-Total</b>			<b>55,000</b>	<b>217,890</b>			New Work Item
PA-16-5 Sch. Haven High-Rise	1. Replace & relocate Emergency Generator	1460	1 generator	45,000	45,000			Working on Specifications
	2. Install security cameras and monitoring system	1475	8 cameras 1 monitoring system	0	10,000			New Work Item
	<b>Sub-Total</b>			<b>45,000</b>	<b>55,000</b>			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development No./Name HA Wide	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
PA-16-7 Shenandoah High-Rise	1. Replace hot & cold water lines (3) pipe chases, asbestos abatement in (14) units, plumb. kitchs & baths	1460	14 units	150,000	0			Moved to FY 2004 Asbestos work not required
	2. Install drywall on walls and ceilings of units	1460	50 units	384,688	0			
	3. Replace Windows	1460	83	0	91,300			Moved from 2006
	4. Repair 250 linear ft. cracked brick, 200 linear ft. expansion joint repair, caulk expansion joint, Seal brick 26,000 sq ft	1460	26,000 sq. ft. 450 ln. ft.	0	102,000			New Work Item Working on Specifications
	5. Install security cameras and monitoring system	1475	8 cameras 1 monitoring system	0	10,000			New Work Item
	<b>Sub-Total</b>			<b>534,688</b>	<b>203,300</b>			
PA-16-8 Shenandoah Family	Install security cameras and monitoring system	1475	16 cameras 1 monitoring system	25,000	25,000			Working on Specifications
	<b>Sub-Total</b>			<b>25,000</b>	<b>25,000</b>			
PA-16-10 Cass/Miners. Family	Install security cameras and monitoring system	1475	16 cameras 1 monitoring system	25,000	25,000			Working on Specifications
	<b>Sub-Total</b>			<b>25,000</b>	<b>25,000</b>			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development No./Name HA Wide	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
PA-16-14 Ashland High-Rise	1. Repair 250 linear ft. cracked brick, 300 linear ft. expansion joint, paint & repair 125 linear ft spall repair.	1460	675 ln. ft.	0	35,000			New Work Item Working on Specifications
	2. Install security cameras and monitoring system	1475	8 cameras 1 monitoring system	0	10,000			New Work Item
	<b>Sub-Total</b>			<b>0</b>	<b>45,000</b>			
	<b>TOTAL</b>			<b>857,080</b>	<b>857,080</b>			

<b>Annual Statement/Performance and Evaluation Report</b>							
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>							
<b>Part III: Implementation Schedule</b>							
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PA-16-3 Minersville High Rise	Aug-07			Aug-09			
PA-16-5 Schuylkill Haven High Rise	Aug-07			Aug-09			
PA-16-7 Shenandoah High-Rise	Aug-07			Aug-09			
PA-16-8 Shenandoah Family	Aug-07			Aug-09			
PA-16-10 Cass/Minersville Family	Aug-07			Aug-09			
PA-16-14 Ashland High-Rise	Aug-07			Aug-09			
PHA-Wide	Aug-07			Aug-09			

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Schuylkill County Housing Authority		Capital Type and Number Capital Fund Program Grant No: PA26P01650106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006	
(X) Original Annual Statement		( ) Reserve for Disasters/Emergencies		( ) Revised Annual Statement (Revision Number: )	
( ) Performance and Evaluation Report for Program Year Ending _____				( ) Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds (Privately financed with future CFP for repayment)				
2	1406 Operations	163,580			
3	1408 Management Improvements-Soft Costs	10,000			
	Management Improvements-Hard Costs	10,000			
4	1410 Administration	80,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000			
8	1440 Site Acquisition				
9	1450 Site Improvements	223,500			
10	1460 Dwelling Structures	300,000			
11	1465.1 Dwelling Equipment-Non-expendable				
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	857,080			
22	Amount of line 21 Related to LBP Activities	0			
23	Amount of line 21 Related to Section 504 Compliance	0			
24	Amount of line 21 Related to Security -- Soft Costs	0			
25	Amount of line 21 Related to Security -- Hard Costs	0			
26	Amount of line 20 Related to Energy Conservation Measures	300,000			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development No./Name HA-Wide	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
PHA-Wide	Operations	1406		<b>163,580</b>				
PHA-Wide	Management Improvements							
	1. Automated Systems Update	1408		10,000				
	2. Automated Systems Training	1408		2,000				
	3. Staff Training	1408		4,000				
	4. Residents Activities	1408		4,000				
	<b>Sub-Total</b>			<b>20,000</b>				
PHA-Wide	Administration	1410		80,000				
	<b>Sub-Total</b>			<b>80,000</b>				
PHA-Wide	Fees and Costs	1430		70,000				
	<b>Sub-Total</b>			<b>70,000</b>				
PA-16-2 Coaldale Development	1. Replace electric transformers	1450	4 transformers	20,000				From FY 2003
	2. Replace damaged overhead electrical lines and airbrake	1450	1 electrical line 1 airbrake	5,000				
	<b>Sub-Total</b>			<b>25,000</b>				
PA-16-5 Sch. Haven High-Rise	1. Replace Electric hot water boiler	1460	1 boiler	160,000				
	<b>Sub-Total</b>			<b>160,000</b>				
PA-16-10 Cass/Miners. Family	1. Replace sidewalks 8,000 sq. ft.	1450	8,000 sq. ft.	40,000				
	<b>Sub-Total</b>			<b>40,000</b>				
PA-16-12 St Clair Family	1. Replace windows	1460	105 windows	84,000				
	2. Replace sidewalks & curbs 5,300 sq. ft. sidewalk 700 ln. ft. 7" curb	1450	5,300 sq. ft. sidewalk 700 ln. ft. 7" curb	65,000				
	<b>Sub-Total</b>			<b>149,000</b>				

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: Schuylkill County Housing Authority				Capital Type and Number Capital Fund Program Grant No: PA26P01650106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development No./Name HA-Wide	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
PA-16-13 Sch. Haven Family	1. Repave parking lots 13,500 sq. ft.lot, install 56 new bumper blocks	1450	13,500 sq. ft. lot 56 bumper blocks	17,000				Moved from FY 2009	
	2. Replace sidewalks & curbs 8,500 sq. ft. sidewalk 450 ln. ft. 7" curb	1450	8,500 sq. ft. sidewalk 450 ln. ft. 7" curb	76,500					
	3. Replace storm doors	1460	80 storm doors	24,000					
	4. Replace entrance doors	1460	80 doors	32,000					
	<b>Sub-Total</b>			<b>149,500</b>					
	<b>TOTAL</b>			<b>857,080</b>					

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	Aug-08			Aug-10			
PA-16-2 Coaldale Family	Aug-08			Aug-10			
PA-16-5 Schuylkill Haven High Rise	Aug-08			Aug-10			
PA-16-10 Cass/Minersville Family	Aug-08			Aug-10			
PA-16-12 St. Clair Family	Aug-08			Aug-10			
PA-16-13 Schuylkill Haven Family	Aug-08			Aug-10			

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Schuylkill County Housing Authority	Capital Type and Number Capital Fund Program Grant No: PA26P01650203 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003 Supplement
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Original Annual Statement     Reserve for Disasters/Emergencies     Revised Annual Statement (Revision Number: 2)  
 Performance and Evaluation Report for Program Year Ending 09/30/2005     Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements-Soft Costs				
	Management Improvements-Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvements	21,000	0		
10	1460 Dwelling Structures	135,204	156,204	39,394	39,393.84
11	1465.1 Dwelling Equipment-Non-expendable				
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	<b>156,204</b>	<b>156,204</b>	<b>39,394</b>	<b>39,393.84</b>
22	Amount of line 21 Related to LBP Activities	0	0	0	0.00
23	Amount of line 21 Related to Section 504 Compliance	0	0	0	0.00
24	Amount of line 21 Related to Security -- Soft Costs	0	0	0	0.00
25	Amount of line 21 Related to Security -- Hard Costs	0	0	0	0.00
26	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0.00

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650203 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development No./Name HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PA-16-2 Coaldale Development	1. Replace electric transformers	1450	4 transformers	16,000	0			Moved to FY 2004
	2. Replace damaged overhead electrical lines and airbrake	1450		5,000	0			
	<b>Sub-Total</b>			<b>21,000</b>	<b>0</b>			
PA-16-3 Minersville High Rise	1. Elevator Modifications Generators & Controllers	1460	2 elevators	0	0			Moved to FY 2009
	2. Kitchen & Bath. Renovations sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting, remove asbestos	1460	4 units	0	0			Moved to FY 2008
	3. Install Water tight roof over ash elevator	1460		8,345	8,345	8,345	8,345.00	100% Complete
	<b>Sub-Total</b>			<b>8,345</b>	<b>8,345</b>	<b>8,345</b>	<b>8,345.00</b>	
PA-16-5 Sch. Haven High-Rise	1. Repair Corner Cracks & Joints and apply sealer to concrete panels	1460		16,000	22,049	22,049	22,048.84	100% Complete
	2. Seal cracks on penthouse and apply sealer	1460		9,000	9,000	9,000	9,000.00	100% Complete
	<b>Sub-Total</b>			<b>25,000</b>	<b>31,049</b>	<b>31,049</b>	<b>31,048.84</b>	





**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Schuylkill County Housing Authority		Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (Revision Number: 3)		<input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending 09/30/2005 <input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements-Soft Costs	10,000	10,000		
	Management Improvements-Hard Costs	10,000	10,000		
4	1410 Administration	80,000	80,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000	70,000	70,000	57,099.43
8	1440 Site Acquisition				
9	1450 Site Improvements	10,000	10,000		
10	1460 Dwelling Structures	695,693	695,643	221,845	42,440.60
11	1465.1 Dwelling Equipment-Non-expendable	7,000	7,050		
12	1470 Non-dwelling Structure				
13	1475 Non-dwelling Equipment	25,000	25,000		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	907,693	907,693	291,845	99,540.03
22	Amount of line 21 Related to LBP Activities	0	0	0	0.00
23	Amount of line 21 Related to Section 504 Compliance	0	0	0	0.00
24	Amount of line 21 Related to Security -- Soft Costs	0	0	0	0.00
24	Amount of line 21 Related to Security -- Hard Costs	25,000	25,000	0	0.00
25	Amount of line 21 Related to Energy Conservation Measures	186,000	194,069	194,069	14,664.60

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development No./Name HA Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PHA-Wide	Management Improvements							
	1. Automated Systems Update	1408		5,000	5,000			
	2. Automated Systems Training	1408		5,000	5,000			
	3. Staff Training	1408		5,000	5,000			
	4. Resident Init. Coordinator	1408		0	0			
	5. Residents Activities	1408		5,000	5,000			
	<b>Sub-Total</b>			<b>20,000</b>	<b>20,000</b>			
PHA-Wide	CGP Coordinator Administration	1410		0	0			
		1410		80,000	80,000			
	<b>Sub-Total</b>			<b>80,000</b>	<b>80,000</b>			
PHA-Wide	Fees and Costs	1430		70,000	70,000	70,000	57,099.43	
	<b>Sub-Total</b>			<b>70,000</b>	<b>70,000</b>	<b>70,000</b>	<b>57,099.43</b>	
PA-16-2 Coaldale Development	Replace Ranges and Refrigerators	1465.1	18 ranges 10 refrigerators	7,000	7,050			Contract awarded Oct. 2005
	<b>Sub-Total</b>			<b>7,000</b>	<b>7,050</b>			
PA-16-3 Minersville High Rise	1, Kitchen & Bathroom Renovation sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting, remove asbestos	1460	47 units	0	0	0	0.00	Moved to FY 2008
	2. Install water tight roof over ash elevator	1460		7,000	5,545	5,545	5,545.00	100% Completed
	3. Repair framing, cables, & electrical system on ash elevator	1460		10,000	10,000	0	0.00	Work to be complete by Spring 2006

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development No./Name HA Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Obligated	Expended	
PA-16-3 Minersville High Rise	4. Relocate (4) Dryers. Install new electrical and venting system	1460	4 Dryers	5,000	5,916	5,916	5,916.00	100% Complete
	5. Remove deteriorating asbestos from (7) dwelling units and (1) hallway.	1460	7 units 1 hallway	10,000	10,000	10,000	10,000.00	100% Complete
<b>Sub-Total</b>				<b>32,000</b>	<b>31,461</b>	<b>21,461</b>	<b>21,461.00</b>	
PA-16-7 Shenandoah High-Rise	1. Replace hot & cold water lines in (10) pipe chases.	1460	52 units	470,693	203,190			Contract awarded Nov. 2005 Contract awarded Nov. 2005 Moved from FY 2006
	2. Replace ceiling tile with fire rated acoustical tile	1460	10,000 sq. ft.	0	40,000			
	3. Replace windows	1460	200	0	220,608			
<b>Sub-Total</b>				<b>470,693</b>	<b>463,798</b>			
PA-16-10 Cass/Miners Family	Replace Electrical Wires & Poles	1450	3 poles	10,000	10,000			Work to be complete by Summer 2006
<b>Sub-Total</b>				<b>10,000</b>	<b>10,000</b>			
PA-16-12 St Clair Family	Install Security Cameras and Monitoring System	1475	1	25000	25,000			Work to be complete by Summer 2006
<b>Sub-Total</b>				<b>25000</b>	<b>25,000</b>			
PA-16-14 Ashland High-Rise	1. Replace Windows	1460	128	186,000	194,069	194,069	14,664.60	100% Completed
	2. Repair boiler system, new plumbing, mixing valves, and controls	1460	1 Boiler	7,000	6,315	6,315	6,315.00	
<b>Sub-Total</b>				<b>193,000</b>	<b>200,384</b>	<b>200,384</b>	<b>20,979.60</b>	
<b>Total</b>				<b>907,693</b>	<b>907,693</b>	<b>291,845</b>	<b>99,540.03</b>	

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide							
1. Management Improvements	Sep-06			Sep-08			
2. Administration.	Sep-06			Sep-08			
3. Fees and Costs.	Sep-06		Sep-05	Sep-08			
PA-16-2 Coaldale	Sep-06			Sep-08			
PA-16-3 Minersville High-Rise	Sep-06			Sep-08			
PA-16-7 Shenandoah High-Rise	Sep-06			Sep-08			
PA-16-10 Cass/Minersville	Sep-06			Sep-08			
PA-16-12 St. Clair	Sep-06			Sep-08			
PA-16-14 Ashland High-Rise	Sep-06		Jun-05	Sep-08			

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I:Summary**

PHA Name: Schuylkill County Housing Authority	Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
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( ) Original Annual Statement      ( ) Reserve for Disasters/Emergencies      ( x ) Revised Annual Statement (Revision Number:2 )  
 (X) Performance and Evaluation Report for Program Year Ending 09/30/2005      ( ) Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds (Privately financed with future CFP for repayment)				
2	1406 Operations	0	153,498		
3	1408 Management Improvements-Soft Costs	9,392	9,392		
	Management Improvements-Hard Costs	13,000	13,000		
4	1410 Administration	80,000	80,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	70,000	70,000		
8	1440 Site Acquisition				
9	1450 Site Improvements				
10	1460 Dwelling Structures	534,688	381,190		
11	1465.1 Dwelling Equipment-Non-expendable				
12	1470 Non-dwelling Structure	100,000	100,000		
13	1475 Non-dwelling Equipment	50,000	50,000		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	<b>Amount of Annual Grant (Sum of lines 2-20)</b>	857,080	857,080		
22	Amount of line 21 Related to LBP Activities	0	0		
23	Amount of line 21 Related to Section 504 Compliance	0	0		
24	Amount of line 21 Related to Security -- Soft Costs	0	0		
25	Amount of line 21 Related to Security -- Hard Costs	50,000	50,000		
26	Amount of line 20 Related to Energy Conservation Measures	100,000	191,300		

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Schuylkill County Housing Authority			Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development No./Name HA Wide	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
PHA-Wide	Operations	1406		0	153,498			
PHA-Wide	Management Improvements							
	1. Automated Systems Update	1408		10,000	10,000			
	2. Automated Systems Training	1408		3,000	3,000			
	3. Staff Training	1408		4,000	4,000			
	4. Residents Activities	1408		5,392	5,392			
	<b>Sub-Total</b>			<b>22,392</b>	<b>22,392</b>			
PHA-Wide	Administration	1410		80,000	80,000			
	<b>Sub-Total</b>			<b>80,000</b>	<b>80,000</b>			
PHA-Wide	Fees and Costs	1430		70,000	70,000			
	<b>Sub-Total</b>			<b>70,000</b>	<b>70,000</b>			
PA-16-3 Minersville High Rise	1. Replace & relocate emergency generator	1470	1 generator	55,000	55,000			Working on Specifications
	2. Repair 300 linear ft. cracked brick, 400 linear ft. expansion joint, 225 linear ft spall repair, seal & coat parapet wall, seal & waterproof building 41,445 sq ft	1460	41,445 sq. ft. 925 ln. ft.	0	152,890			New Work Item Working on Specifications
	<b>Sub-Total</b>			<b>55,000</b>	<b>207,890</b>			
PA-16-5 Sch. Haven High-Rise	Replace & Relocate Emergency Generator	1470	1 generator	45,000	45,000			Working on Specifications
	<b>Sub-Total</b>			<b>45,000</b>	<b>45,000</b>			
PA-16-7 Shenandoah High-Rise	1. Replace hot & cold water lines (3) pipe chases, asbestos abatement in (14) units, plumb. kitchs & baths	1460	14 units	150,000	0			Moved to FY 2004
	2. Install drywall on walls and ceilings of units	1460	50 units	384,688	0			Asbestos work not required



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Schuylkill County Housing Authority	Capital Type and Number Capital Fund Program Grant No: PA26P01650105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
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Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PA-16-3 Minersville High Rise	Aug-07			Aug-09			
PA-16-5 Schuylkill Haven High Rise	Aug-07			Aug-09			
PA-16-7 Shenandoah High-Rise	Aug-07			Aug-09			
PA-16-8 Shenandoah Family	Aug-07			Aug-09			
PA-16-10 Cass/Minersville Family	Aug-07			Aug-09			
PA-16-14 Ashland High-Rise	Aug-07			Aug-09			
PHA-Wide	Aug-07			Aug-09			

<b>Capital Fund Program Five-Year Action Plan</b>					
<b>Part I: Summary</b>					
PHA Name Schuylkill County Housing Authority		(X) Original 5-Year Plan ( ) Revision No:			
Development Number/Name/ HA-Wide	Year 1 2006	Work Statement for Year 2 FFY Grant: 2007 PHA FY: 2008	Work Statement for Year 3 FFY Grant: 2008 PHA FY: 2009	Work Statement for Year 4 FFY Grant: 2009 PHA FY: 2010	Work Statement for Year 5 FFY Grant: 2010 PHA FY: 2011
PA-16-2 Coaldale		143,500	0	0	0
PA-16-3 Minersville High Rise		0	501,488	584,688	524,688
PA-16-5 Schuylkill Haven High Rise		0	0	0	0
PA-16-7 Shenandoah High Rise		280,000	0	0	0
PA-16-8 Shenandoah Family		0	0	0	0
PA-16-10 Cass-Minersville Family		0	0	0	60,000
PA-16-12 St. Clair Family		0	0	0	0
PA-16-13 Schuylkill Haven Family		161,188	83,200	0	0
PA-16-14 Ashland High Rise		0	0	0	0
Warehouse		0	0	0	0
Physical Improvements		584,688	584,688	584,688	584,688
Operations		100,000	100,000	100,000	100,000
Management Improvements		22,392	22,392	22,392	22,392
Administration		80,000	80,000	80,000	80,000
Other		70,000	70,000	70,000	70,000
Total CFP Funds (Est.)		857,080	857,080	857,080	857,080
Total Replacement Housing Factor Funds					

<b>Capital Fund Program Five-Year Action Plan</b>						
<b>Part II: Supporting Pages - Work Activities</b>						
Activities for Year 1 2006	Activities for Year: 2 FFY Grant: 2007 PHA FY: 2008			Activities for Year: 3 FFY Grant: 2008 PHA FY: 2009		
	PA-16-2 Coaldale Family Development	1. Replace 156 windows in 48 units. 2. Replace 17 windows in community room 3. Replace 3 entrance doors in community room	125,000 17,000 1,500	PA-16-3 Minersville High Rise	1. Kitchen & Bathroom Renovations/Unit conversion sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting remove asbestos. (42 units)	501,488
		<b>Sub-Total</b>	<b>143,500</b>		<b>Sub-Total</b>	<b>501,488</b>
	PA-16-7 Shenandoah High Rise	1. Replace Biolers (8) 2. Replace Thermostats (77)	150,000 130,000	PA-16-13 Schuylkill Haven Family Development	1. Replace 104 windows in 13 units.	83,200
		<b>Sub-Total</b>	<b>280,000</b>		<b>Sub-Total</b>	<b>83,200</b>
	PA-16-13 Schuylkill Haven Family Development	1. Replace 201 windows in 27 units.	161,188			
		<b>Sub-Total</b>	<b>161,188</b>			
		<b>Total</b>	<b>584,688</b>		<b>Total</b>	<b>584,688</b>

<b>Capital Fund Program Five-Year Action Plan</b>						
<b>Part II: Supporting Pages - Work Activities</b>						
Activities for Year 1 2006	Activities for Year: 4 FFY Grant: 2009 PHA FY: 2010			Activities for Year: 5 FFY Grant: 2010 PHA FY: 2011		
	PA-16-3 Minersville High Rise	1. Kitchen & Bathroom Renovations/Unit conversion sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting remove asbestos. (48 units)	584,688	PA-16-3 Minersville High Rise	1. Kitchen & Bathroom Renovations/Unit conversion sinks, toilets, showers/tubs, pipes, call switch, walls, floors, accessories, painting remove asbestos. (10 units)	120,000
					2. Replace hot, cold, and waste lines in (10) risers	300,000
					3. Elevator Modifications	104,688
					<b>Sub-Total</b>	<b>524,688</b>
				PA-16-10 Cass-Minersville Family Development	1. Replace Sidewalks 7,800 sq. ft.	60,000
					<b>Sub-Total</b>	<b>60,000</b>
			<b>Total</b>	<b>584,688</b>		
					<b>Total</b>	<b>584,688</b>