

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2006

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Rochester Housing Authority

PHA Number: NY041

PHA Fiscal Year Beginning: 10/2006

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations for PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (Select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

Rochester Housing Authority is an essential housing resource for the Greater Rochester Area providing quality housing opportunities and related services for those in need.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
 - Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
 - Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
 - Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

GOAL ONE: Manage the Rochester Housing Authority's existing public housing

program in an efficient and effective manner thereby qualifying as at least a “successful” performer.

OBJECTIVES:

- 1. The U.S. Department of Housing and Urban Development will recognize the Rochester Housing Authority as a high performer by September 30, 2007.**
- 2. The Rochester Housing Authority will reduce its unit turnaround time from its current 68 days to 30 days by September 30, 2007.**
- 3. The Rochester Housing Authority will reduce its current vacancy rate of approximately 2.63% to 2% by September 30, 2006.**

GOAL TWO: Expand the range and quality of housing choices available to participants in the Rochester Housing Authority’s Housing Choice Voucher (a.k.a. Section 8) and other tenant-based assistance programs administered by its Leasing Operations Department.

OBJECTIVES:

- 1. The Rochester Housing Authority will continue to attract at least 20 new landlords who want to participate in the program in each fiscal year.**
- 2. The Rochester Housing Authority shall continue to address the various Rochester Housing Authority related strategies identified in the Community Choice Action Plan dated August 1999. It is important to clarify that the vast majority of the enumerated strategies contained in the Action Plan are “on-going” activities and not necessarily one that have a definitive start and end time frame. In addition, many of the strategies are related to the Regional Opportunity Counseling Initiative (a.k.a. the ROC Program) and were addressed over the life of that five-year HUD funded program. The Housing Council of Monroe County, under contract with the Authority, served as the agency to implement the ROC program. A further explanation of these referenced Rochester Housing Authority strategies that are part of the “Community Choice Action Plan” can be found in the “Housing Needs” section of the Agency Plan.**
- 3. The Rochester Housing Authority shall annually determine the number of units to project base and develop and issue an appropriate Request For Proposals (RFP) for proposals accordingly. RHA continues to annually assess the local needs for project-basing and issues RFP’s accordingly.**

GOAL THREE: The Rochester Housing Authority will utilize the Deconcentration policy guidelines and the flat rent option in order to achieve a better income mix for public housing residents.

OBJECTIVES:

1. The Rochester Housing Authority will utilize the Fair Market Rent (formerly known as the “Flat Rent”) option in the Public Housing low-income program as a marketing tool, in order to attract and retain higher income residents.

GOAL FOUR: The Rochester Housing Authority will continue to address the implementation of the Olean Kennedy Revitalization Plan which has been approved by the Authority Board of Commissioners.

OBJECTIVES:

1. To develop as part of the Kennedy Revitalization (Phase 1) of the Plan a total of 67 rental housing units, all of which will be eligible for Federal low-income housing tax credits. Of this total, 35 units in the Development will be designated as public housing rental units.

Progress FY 2005: The Kennedy Townhouses development completed at the end of 2005. Ribbon cutting ceremony was held on December 15, 2005 where the development name was announced as Plymouth Manor Townhouses.

2. To develop as part of the Olean Revitalization (Phase 2) of the Plan a total of 77 rental housing units, all of which will be eligible for Federal low-income housing tax credits. Of this total, 35 units in the Development will be designated as public housing rental units.

Progress FY 2005: Ground breaking for Carlson Commons (formerly named Olean Townhouses) occurred in January 2006.

GOAL FIVE: Develop affordable rental units and homeownership opportunities for all low to moderate income residents, without discriminations in the City of Rochester and Monroe County. Also to avert the loss of existing affordable housing stock through rehabilitation and preservations of existing affordable housing.

Objective:

1. Create or preserve 100 affordable housing units (rental or homeownership /senior or family) in the City of Rochester.
2. Create or preserve 100 affordable housing units (rental or homeownership/ senior or family) in Monroe County, outside of the City of Rochester.

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

i. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Rochester Housing Authority has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

The Rochester Housing Authority’s Agency Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission. Additionally, in setting our goals and objectives we have considered the housing needs identified in the Consolidated Plans of the City of Rochester and Monroe County.

Highlights of our Agency Plan:

- **The Section 8 Homeownership program has enabled 34 Section 8 housing voucher program residents to become homeowners.**
- **The Resident Opportunities and Self-Sufficiency (ROSS) Homeownership program has enabled three public housing residents to become homeowners.**
- **RHA has made significant progress in the past year to implement the Olean-Kennedy Revitalization plan, including arrangements for tax credit financing through a private developer.**

ii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- FY 2005 Capital Fund Program Annual Statement
 - ✓ Admissions Policy for Deconcentration (NY041a01)
 - ✓ NY06P04150105 Annual Statement – Part 1 (NY41b01)
 - ✓ NY06P04150105 Annual Statement – Part 2 (NY41b02)
 - ✓ NY06P04150105 5-Year Statement – Part I (NY041b03)
 - ✓ NY06P04150105 5-Year Statement – Part II (NY041b04)
 - ✓ NY06R04150105 RHF Annual Part I, II, III (NY041c01)
 - ✓ NY06P04150104 P & E - Part I (NY041d01)
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 - ✓ NY06P04150102 P & E - Part I (NY041f01)
 - ✓ NY06P04150102 P & E - Part II (NY041f02)
 - ✓ NY06P04150101 P & E - Part I (NY041g01)
 - ✓ NY06P04150101 P & E - Part II (NY041g02)
 - ✓ NY06R04150104 P & E RHF I, II, III (NY041h01)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2005 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Administrative Plan	
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Accessi-bility	Size	Locatio-n
Income <= 30% of AMI		4	3	1	1	1	NA
Income >30% but <=50% of AMI		4	3	1	1	1	NA
Income >50% but <80% of AMI		2	1	1	1	1	NA
Elderly		4	2	1	1	1	NA
Families with Disabilities		4	3	1	1	1	NA
Race/Ethnicity white		2	1	1	1	1	NA
Race/Ethnicity black		3	1	1	1	1	NA
Race/Ethnicity Hispanic		3	1	1	1	1	NA
Race/Ethnicity Native American		3	1	1	1	1	NA
Race/Ethnicity Asian/Pacific Is		3	1	1	1	1	NA

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total			
Extremely low income <=30% AMI	966	75	
Very low income (>30% but <=50% AMI)	258	20	
Low income (>50% but <80% AMI)	64	5	
Families with children			
Elderly families	89	7	
Families with Disabilities	28	2	
Race/ethnicity white	153	12	
Race/ethnicity black	811	63	
Race/ethnicity Hispanic	326	25	
Race/ethnicity other	4		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	362	28	
2 BR	651	51	
3 BR	180	14	
4 BR	88	7	
5 BR			
5+ BR	7	1	

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1919		
Extremely low income <=30% AMI	1746	91%	
Very low income (>30% but <=50% AMI)	173	9%	
Low income (>50% but <80% AMI)	0	0	
Families with children			
Elderly families	131	7%	
Families with Disabilities	324	17%	
Race/ethnicity white	686	36%	
Race/ethnicity black	1206	63%	
Race/ethnicity Hispanic	306	16%	
Race/ethnicity other	27	1%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1037	54%	
2 BR	476	25%	
3 BR	311	16%	
4 BR	79	4%	

Housing Needs of Families on the Waiting List			
5 BR			
5+ BR	16	1%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? since 11/23/03			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Advertise annually for Request for Proposals regarding Section 8 project based units Involving the development of affordable housing.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs

- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2006 grants)		
a) Public Housing Operating Fund	9,370,000	
b) Public Housing Capital Fund	4,305,646	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	41,369,594	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	300,022	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Public Housing Development	290,124	PH Operations
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Prior Year Capital Fund	3,810,673	Capital Improvements
3. Public Housing Dwelling Rental Income	6,176,900	PH Operations
4. Other income (list below)		
Investments	384,000	PH Operations
Other	424,000	PH Operations
4. Non-federal sources (list below)		
Total resources	66,430,959	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

The application process involves two phases. The first phase is the initial application for housing assistance or the pre-application. The pre-application requires the family to provide limited basic information. This first phase results in the family's placement on the waiting list.

Upon receipt of the family's pre-application, the RHA makes a preliminary determination of eligibility based upon the information provided by the applicant. The RHA notifies the family in writing of the date and time of placement on the waiting list, and the approximate wait before housing may be offered. If the RHA determines the family to be ineligible, the notice states the reasons therefore and offers the family the opportunity to receive an informal review of the determination.

The applicant family may at any time report changes in its applicant status including changes in family composition or income. The RHA annotates the applicant family's file and updates its place on the waiting list.

The second phase is the final determination of eligibility, referred to as the full application. The full application takes place when the family nears the top of the waiting list. The RHA ensures that verification of eligibility, suitability and selection factors are current in order to determine the family's final eligibility for admission into the Public Housing Program.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply?)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

Two site based lists with mixed finance tax credit developments. Anthony Square, 15 units of public housing operated by Housing Opportunities. Kennedy-Olean Revitalization, 70 units of public housing, scheduled to come on-line sometime next year (2006), operated by Providence-Cornerstone.

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

Applications Processing Center located in the Murphy Building

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists 2?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

Applications Processing Center located in the Murphy Building
Anthony Square Apartments
Providence-Cornerstone

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
 Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Over housed
 Under housed
 Medical justification
 Administrative reasons determined by the PHA (e.g., to permit modernization work)
 Resident choice: (state circumstances below)
 Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Applicants for RHA units except Anthony Square are accepted and housed on a "first-come, first-served" basis. Applications are reviewed according to date of submission of preliminary applications. The maintenance of waiting lists for Authority housing shall be divided according to (1) "Elderly", which shall encompass disabled and handicapped, and (2) "Family". "Family" shall be further delineated by bedroom size categories, e.g., One Bedroom, Two bedroom, etc. Application shall be filed according to date received and bedroom size needed in both Family and Elderly categories.

Anthony Square is a mixed income housing site with fifteen (15) units of public housing. The waiting list for a unit will be maintained by the Anthony Square property management agency. RHA will monitor the Anthony Square waiting list to ensure compliance with HUD regulations.

In selecting applicants for admission to its units, the RHA will select families who are otherwise qualified for housing and who, at the time they are seeking assistance have the following preferences within each bedroom category:

A. Involuntary displacement: An applicant is or will be involuntarily displaced if the applicant has vacated their housing unit as a result of activity carried on by an agency of the United States or by any state or local governmental body or agency in connection with code enforcement or a public improvement or development program.

B. Buildings Designated as Elderly Only Housing: The following developments have been approved by HUD as being designated for elderly only; Antoinette Brown Blackwell Estates, Danforth Tower East, Danforth Tower West, Glenwood Gardens, Hudson-Ridge Tower, Jonathan Child Apartments, Kennedy Tower and Parliament Arms Apartments. Elderly families will be

given preference for admission to housing in these designated developments. If there are no elderly families on the waiting list, next priority will be given to near-elderly families.

C. Buildings Designed for Elderly and Disabled Families: Preference for admission will be given to elderly and disabled families. If there are no elderly or disabled families on the waiting list, preference will then be given to near-elderly families. If there are no near-elderly families on the waiting list, units will be offered to families who qualify for the appropriate bedroom size.

D. All other applicants.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
Fairfield Village and Federal Street Townhouses

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)
Previous landlords

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)
Applications were made available at local library branches and completed applications were mailed to RHA.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Participants in the Allocation Plan, Mainstream Vouchers, and ROC program provided 120 day period. Under certain circumstances, disabled people may be provided up to 150 days on their Voucher.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to sub-component (5) **Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Local Preferences

1. There is a #1 ranking preference for involuntarily displaced families who meet the following witness re-location criteria: a) family member(s) have provided information on criminal activities to a law enforcement agency, and b) based upon a threat assessment, the law enforcement agency recommends re-housing the family to avoid or minimize the risk of violence against family members as a reprisal for having provided such Information. RHA will issue a Voucher to any individual or family, referred by a law enforcement agency as meeting the above criteria, even if the individual/family is not on the waiting list derived from the lottery drawing.

2. In conjunction with RHA's HUD-approved Designated Housing

Allocation Plan, a ranking preference, equal to 10% of turnover Vouchers (up to 40 per year) has been established for non-elderly, disabled applicants referred from RHA's Public Housing waiting list.

3. RHA administers three Housing Choice Voucher programs that are targeted toward specific segments of the community, Medicaid Waivers, Family Unification and Mainstream Vouchers for Persons with Disabilities. As Vouchers become available, either through turnover or new increments, in these programs, families on the waiting list, referred and certified by RHA's partnering agencies in the three programs, are given a preference. If there are no families on the waiting list that meet these agencies' criteria, new referrals from the agencies are accepted and, if eligible, issued Vouchers. If there are no Vouchers available at the time of a referral, RHA will establish a waiting list, ordered by the date of referral.

4. There is a waiting list preference for persons determined eligible by the Public Housing Applications processing center for units occupied by participants in Project RUSH. Note – as of May 3, 2005, there is only one unit in this PILOT program.

5. There is a preference for non-subsidized families with children and adult only household applicants who are either elderly, handicapped or disabled. Households will be given this preference ranking as long as they are not residing in Shelter Plus Care Housing subsidized by the Rochester Housing Authority Leasing Operations Department. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

6. The next preference is for income eligible adult households that are not classified as elderly or disabled, are not residing in other housing subsidized by the Rochester Housing Authority Leasing Operations Department, and are working at least 30 hours per week.

7. There is a lower preference for household subsidized in the Shelter Plus Care Program. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

8. The lowest preferences shall be for income eligible adult only households that are not classified as elderly or disabled and do not meet preference

number 6.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Local Preferences

1. There is a #1 ranking preference for involuntarily displaced families who meet the following witness re-location criteria: a) family member(s) have provided information on criminal activities to a law enforcement agency, and b) based upon a threat assessment, the law enforcement agency recommends re-housing the family to avoid or minimize the risk of violence against family members as a reprisal for having provided such information. RHA will issue a Voucher to any individual or family, referred by a law enforcement agency as meeting the above criteria, even if the individual/family is not on the waiting list derived from the lottery drawing.

2. In conjunction with RHA's HUD-approved Designated Housing Allocation Plan, a ranking preference, equal to 10% of turnover

Vouchers (up to 40 per year) has been established for non-elderly, disabled applicants referred from RHA's Public Housing waiting list.

3. RHA administers three Housing Choice Voucher programs that are targeted toward specific segments of the community, Medicaid Waivers, Family Unification and Mainstream Vouchers for Persons with Disabilities. As Vouchers become available, either through turnover or new increments, in these programs, families on the waiting list, referred and certified by RHA's partnering agencies in the three programs, are given a preference. If there are no families on the waiting list that meet these agencies' criteria, new referrals from the agencies are accepted and, if eligible, issued Vouchers. If there are no Vouchers available at the time of a referral, RHA will establish a waiting list, ordered by the date of referral.

4. There is a waiting list preference for persons determined eligible by the Public Housing Applications processing center for units occupied by participants in Project RUSH. Note – as of May 3, 2005, there is only one unit in this PILOT program.

5. There is a preference for non-subsidized families with children and adult only household applicants who are either elderly, handicapped or disabled. Households will be given this preference ranking as long as they are not residing in Shelter Plus Care Housing subsidized by the Rochester Housing Authority Leasing Operations Department. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

6. The next preference is for income eligible adult households that are not classified as elderly or disabled, are not residing in other housing subsidized by the Rochester Housing Authority Leasing Operations Department, and are working at least 30 hours per week.

7. There is a lower preference for household subsidized in the Shelter Plus Care Program. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

8. The lowest preferences shall be for income eligible adult only households that are not classified as elderly or disabled and do not meet preference number 6.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

Information is disseminated through partner agencies

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the highest of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Earned Income Disregard

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

Minimum Rent, Earned Income Disregard, Zero Income Allowance

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

Market comparability study

Fair market rents (FMR)

95th percentile rents

75 percent of operating costs

100 percent of operating costs for general occupancy (family) developments

- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR

Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?
(select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level?
(select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

Earned Income Disregard, Zero Income Allowance, Minimum Rent

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2442	2.3%
Section 8 Vouchers	6470	N/A
Section 8 Certificates	113	N/A
Section 8 Mod Rehab	38	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	550	N/A
Public Housing Drug Elimination Program (PHDEP)	N/A	N/A
Other Federal Programs(list individually)	N/A	N/A

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)

Admissions and Continued Occupancy Policy
Public Housing Rules and Regulations
Grievance Policy
Lease

(2) Section 8 Management: (list below)
Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:	

- Units addressed in a pending or approved HOPE VI demolition application
(date submitted or approved:)
- Units addressed in a pending or approved HOPE VI Revitalization Plan
(date submitted or approved:)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Graduation from the FSS Homeownership program.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 06/01/05

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Various Job Readiness Programs</i>	<i>100</i>	<i>Specific – Unemployed</i>	<i>Other Provides</i>	<i>Public Housing</i>
GED	18	Specific – Non High School Graduates	Rochester City School District	Both
Financial Management	12	Selection – Home Ownership Program	Area Banks	Both
Credit Restoration Classes	10	Selection – Home Ownership Program	Area Banks	Both
Foodlink	500+	Public Housing Residence	Public Housing Community Rooms	Public Housing
After School Program	54	Public Housing Residence	Public Housing Community Rooms	Public Housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: 06/30/06)
Public Housing	42	50
Section 8	276	263

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937
--

COMMUNITY SERVICE POLICY

General

In order to be eligible for continued occupancy, each adult family member, unless exempt from this requirement, must either (1) contribute eight hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program.

Exemptions

The following adult family members of resident families are exempt from this requirement:

- A. Family members who are 62 or older.
- B. Family members who are disabled.
- C. Family members who are the primary care giver for someone who is disabled.
- D. Family members engaged in work activity.
- E. Family members who are exempt from work activity under part A title IV of the Social Security Act or under any other State welfare program, including the welfare-to-work program.
- F. Family members receiving assistance under a State program funded under part A title IV of the Social Security Act or under any other State welfare program, including welfare-to-work and who are in compliance with that program.

Notification of Community Service Requirement

RHA will identify all adult family members who are apparently not exempt from the community service requirement. RHA will notify all such family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status. RHA will verify such claims.

The notification will advise families that their community service obligation will begin upon the effective date of their first annual re-examination on or after 10/1/2000. For family's paying a fair market rent, the obligation begins on the date their annual reexamination would have been effective had an annual re-examination taken place. It will also advise them that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

Volunteer Opportunities

Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the responsibility of the resident within the community.

An economic self-sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, work fare, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

RHA will coordinate with social service agencies, local schools, and the human resources office in identifying a list of volunteer community service positions. Together with the resident advisory councils, RHA may create volunteer positions such as hall monitoring, litter patrols, and supervising and record keeping for volunteers.

Community Service Process

Upon admission to or at the first annual re-examination on or after October 1, 2000, and each annual re-examination thereafter, RHA will:

- A. Provide a list of volunteer opportunities to the family members.
- B. Provide information about obtaining suitable volunteer positions.
- C. Provide a volunteer time sheet to the family member. Instructions for the time sheet
- D. Require the individual to complete the form and have a supervisor date and sign for each period of work.
- E. Assign family members to a volunteer coordinator who will assist the family members in identifying appropriate volunteer positions and in meeting their responsibilities. The volunteer coordinator will track the family member's progress monthly and will meet with the family member as needed to best encourage compliance.

- F. Thirty (30) days before the family's next lease anniversary date, the volunteer coordinator will advise RHA whether each applicable adult family member is in compliance with the community service requirement.

Notification of Non-Compliance with Community Service Requirement

RHA will notify any family found to be in noncompliance of the following:

- A. The family member(s) determined to be in noncompliance.
- B. That the determination is subject to the grievance procedure.
- C. That, unless the family member(s) enter into an agreement to comply, the lease will not be renewed or will be terminated.

Opportunity for Cure

RHA will offer the family member(s) the opportunity to enter into an agreement prior to the anniversary of the lease. The agreement will state that the family member(s) agrees to enter into an economic self-sufficiency program or agrees to contribute to community service for as many hours as needed to comply with the requirement over the past 12-month period. The cure will occur over the 12-month period beginning with the date of the agreement and the resident will at the same time stay current with that year's community service requirement. The first hours a resident earns goes toward the current commitment until the current year's commitment is made.

The volunteer coordinator will assist the family member in identifying volunteer opportunities and will track compliance on a monthly basis.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors

- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
- Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)
The Resident Advisory Board met and reviewed the Agency Plan and subsequently had no comments or change requests.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with PHA - request a place on ballot
- Other: (describe)
- b. Eligible candidates: (select one)
- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance

- Any adult member of a resident or assisted family organization
- Other (list)
Must be a resident in good standing.

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

City of Rochester and County of Monroe

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Rochester Consolidated Plan and Agency RHA Plan both strive to provide additional affordable housing resources in the City and surrounding areas and by offering collaboration opportunities with city agencies to foster economic development and homeownership through supportive service programs. RHA also has developed

Drug Elimination Activities

Programs to further fair housing choice – ROC program

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

#	Attachments	Description
1	ny041a05	NY06P041501-06 – 2006 CFP Budget and Supporting Pages
2	ny041b05	NY06P041501.03 – P & E 2003
3	ny041c05	NY06P041501.03 – P & E 2003 – Supporting Pages
4	ny041d05	NY06P041501.04 – P & E 2004
5	ny041e05	NY06P041501.04 – P & E 2004 – Supporting Pages
6	ny041f05	NY06P041501.05 – P & E 2005 – Including Supporting Pages
7	ny041g05	NY06r041501.05 – RHF
8	ny041h05	RHA Organization Chart
9	ny041i05	RAB Minutes
10	ny041j05	RAB Members
11	ny041k05	Board Members
12	ny041l05	Civil Rights Certification
13	ny041m05	PHA Certification of Compliance
14	ny041n05	Disclosure of Lobbying Activities
15	ny041o05	Certification of Payments to Influence Federal Transactions
16	ny041p05	Certification of Consistency with the City of Rochester Consolidated Plan
17	ny041q05	Public Notice of Agency Plan
18	ny041r05	NY06R041501-06 – RHF
19	Ny041s05	NY06R041502-06 - RHF

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and III

INCLUDED IN ATTACHMENTS

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <p style="text-align: center; color: blue; font-weight: bold;">ROCHESTER HOUSING AUTHORITY</p>	Grant Type and Number Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:	Federal FY of Grant: <p style="text-align: center; color: blue; font-weight: bold;">2006</p>
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<input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:)
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$271,437.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$880,821.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$440,410.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$5,000.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$14,000.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$668,450.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$1,978,659.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$145,330.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$4,404,107.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00

24	Amount of line 21 Related to Security -- Soft Costs	\$880,821.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$51,000.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Print Forms

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No. 0			2,006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
HA-Wide Operations	CFP to Operations	1406		\$271,437.00	\$0.00	\$0.00	\$0.00
Mgmt. Improvmts	Security for High Rises/Projects 24 CFR 968.112 2(i)	1408		\$880,821.00	\$0.00	\$0.00	\$0.00
HA-Wide Admin	General Admin Costs (01027)	1410		\$440,410.00	\$0.00	\$0.00	\$0.00
Audit	Program Audit	1411		\$5,000.00	\$0.00	\$0.00	\$0.00
HA-Wide Fees and Costs	Architectural Fees (01901)	1430		\$14,000.00	\$0.00	\$0.00	\$0.00
41-01A Kennedy Tower	Site: Landscaping (00002)	1450		\$5,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$5,000.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: Install Air Conditioning (02011)	1470		\$12,000.00	\$0.00	\$0.00	\$0.00
			Total ICAs:	\$12,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$17,000.00	\$0.00	\$0.00	\$0.00

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No. 0			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		
				Original	Revised	Obligated	Expended	
41-2A Scattered Sites	Dwelling Units: Major Int/Ext Renovations (02037)	1460		\$50,000.00	\$0.00	\$0.00	\$0.00	
				Total DUs:	\$50,000.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$50,000.00	\$0.00	\$0.00	\$0.00
41-2B Danforth West	Site: Landscaping (00551) Fencing (04066)	1450		\$35,000.00	\$0.00	\$0.00	\$0.00	
				Total Site:	\$35,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Clean Air Vents 5-7 Yr Sch (01216)	1460		\$5,000.00	\$0.00	\$0.00	\$0.00	
				Total DUs:	\$5,000.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: Paint Halls - 5 Yr Schedule (00692)	1470		\$20,000.00	\$0.00	\$0.00	\$0.00	
				Total ICAs:	\$20,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$60,000.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
41-2B1 Danforth East	Site: Landscaping (00551) Fencing (04066)	1450	Total Site:	\$35,000.00	\$0.00	\$0.00	\$0.00
				\$35,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Clean Air Vents 5-7 Yr Sch (01216)	1460	Total DUs:	\$5,000.00	\$0.00	\$0.00	\$0.00
				\$5,000.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: Paint Halls - 5 Yr Schedule (00692)	1470	Total ICAs:	\$20,000.00	\$0.00	\$0.00	\$0.00
				\$20,000.00	\$0.00	\$0.00	\$0.00
Project Total:			\$60,000.00	\$0.00	\$0.00	\$0.00	
41-2C Atlantic T/H	Building Exterior: Repair Roofs (00752)	1460	Total B.E.:	\$80,000.00	\$0.00	\$0.00	\$0.00
				\$80,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Repair Flooring (00608)	1460	Total DUs:	\$150,000.00	\$0.00	\$0.00	\$0.00
				\$150,000.00	\$0.00	\$0.00	\$0.00
Project Total:			\$230,000.00	\$0.00	\$0.00	\$0.00	
41-2C1 Bay Street T/H	Dwelling Units: Repair Flooring (04023)	1460	Total DUs:	\$20,000.00	\$0.00	\$0.00	\$0.00
				\$20,000.00	\$0.00	\$0.00	\$0.00
				Project Total:			\$135,000.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Fa Parking Lot Sealing 5-7 Yr Sch(04053)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		
				Original	Revised	Obligated	Expended	
41-03 Scattered Sites	Dwelling Units: Major Int/Ext Renovation (00621)	1460		\$125,000.00	\$0.00	\$0.00	\$0.00	
				Total DUs:	\$125,000.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$125,000.00	\$0.00	\$0.00	\$0.00
41-06 Fairfield Village	Site: Landscape/SiteWork (01972)	1450		\$100,000.00	\$0.00	\$0.00	\$0.00	
				Total Site:	\$100,000.00	\$0.00	\$0.00	\$0.00
				Dwelling Units: Upgrade Kitchens (01538) Upgrade Bathrooms (00868)	1460		\$350,000.00	\$0.00
				Total DUs:	\$350,000.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$450,000.00	\$0.00	\$0.00	\$0.00
41-7A Parkside Apts	Building Exterior: Repalce Roof (00753)	1460		\$250,000.00	\$0.00	\$0.00	\$0.00	
				Total B.E.:	\$250,000.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$250,000.00	\$0.00	\$0.00	\$0.00

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
41-7D Parliament Arms	Site: Building Exterior: Insulation/Venting (00754) Repair/Replace Roof (00752)	1460	Total B.E.:	\$92,000.00	\$0.00	\$0.00	\$0.00
				\$92,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Replace Windows (00761) Repair/Replace Flooring (04027)	1460	Total DUs:	\$174,000.00	\$0.00	\$0.00	\$0.00
				\$174,000.00	\$0.00	\$0.00	\$0.00
	Project Total:			\$266,000.00	\$0.00	\$0.00	\$0.00
41-08 Scattered Sites	Site: Replace Aluminum Wiring (04082)	1450	Total Site:	\$28,000.00	\$0.00	\$0.00	\$0.00
				\$28,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Major Int/Ext Renovation (00932)	1460	Total DUs:	\$50,000.00	\$0.00	\$0.00	\$0.00
				\$50,000.00	\$0.00	\$0.00	\$0.00
	Project Total:			\$78,000.00	\$0.00	\$0.00	\$0.00
41-09 Holland T/H	Site: Landscaping/SiteWork (00551)	1450	Total Site:	\$15,000.00	\$0.00	\$0.00	\$0.00
				\$15,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Replace 2 Handled Faucets (09662)	1460	Total DUs:	\$19,250.00	\$0.00	\$0.00	\$0.00
				\$19,250.00	\$0.00	\$0.00	\$0.00
	Project Total:			\$34,250.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
41-10 Scattered Sites	Site: Update Site Lighting (04082) Landscaping/Tree Removal (00551)	1450		\$35,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$35,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Waterproof Basements (04043)	1460		\$15,000.00	\$0.00	\$0.00	\$0.00
			Total DUs:	\$15,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$50,000.00	\$0.00	\$0.00	\$0.00
41-14 University Tower	Site: Landscaping/SiteWork (00551)	1450		\$10,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$10,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$10,000.00	\$0.00	\$0.00	\$0.00
41-15 Glenwood Gardens	Building Exterior: Repair Exterior Soffits (09781)	1460		\$63,760.00	\$0.00	\$0.00	\$0.00
			Total B.E.:	\$63,760.00	\$0.00	\$0.00	\$0.00
	Site-Wide Facilities: Repair/Seal Ext Brickwork5-7 Yr Sch	1470		\$31,880.00	\$0.00	\$0.00	\$0.00
			Total SWFs:	\$31,880.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$95,640.00	\$0.00	\$0.00	\$0.00

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
41-18A Hudson-Ridge Tower	Site: Building Exterior: Exterior Brick Restoration (04089)	1460		\$82,500.00	\$0.00	\$0.00	\$0.00
			Total B.E.:	\$82,500.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Paint Units - 5 Yr Schedule (00692)	1460		\$40,000.00	\$0.00	\$0.00	\$0.00
			Total DUs:	\$40,000.00	\$0.00	\$0.00	\$0.00
	Site-Wide Facilities: Repair Lobby Roof (00752) Build Maint/Storage Shed (03085)	1470		\$12,450.00	\$0.00	\$0.00	\$0.00
			Total SWFs:	\$12,450.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$134,950.00	\$0.00	\$0.00	\$0.00
41-18B Seneca Manor T/H	Building Exterior: Insulation/Venting (00754) Repair/Replace Roofs (00752)	1460		\$55,500.00	\$0.00	\$0.00	\$0.00
			Total B.E.:	\$55,500.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$55,500.00	\$0.00	\$0.00	\$0.00
41-19 Glide St Apts	Site: Landscaping/SiteWork (00551)	1450		\$10,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$10,000.00	\$0.00	\$0.00	\$0.00
	Building Exterior: Repair Masonry at Entries (00771)	1460		\$3,500.00	\$0.00	\$0.00	\$0.00
			Total B.E.:	\$3,500.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$13,500.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		
				Original	Revised	Obligated	Expended	
41-22 Lake Tower	Dwelling Units: Replace Closet Doors (03062)	1460		\$5,324.00	\$0.00	\$0.00	\$0.00	
				Total DUs:	\$5,324.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$5,324.00	\$0.00	\$0.00	\$0.00
41-34 Lexington Ct	Building Exterior: Repair/Wash/Seal Brick5-7 Yr Sch (0	1460		\$28,200.00	\$0.00	\$0.00	\$0.00	
				Total B.E.:	\$28,200.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$28,200.00	\$0.00	\$0.00	\$0.00
41-35 H Tubman Estates	Site: Repair/Replace Parking Lot (02024)	1450		\$115,450.00	\$0.00	\$0.00	\$0.00	
				Total Site:	\$115,450.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Replace 2 Handled Faucets (09662)	1460		\$40,625.00	\$0.00	\$0.00	\$0.00	
				Total DUs:	\$40,625.00	\$0.00	\$0.00	\$0.00
				Project Total:	\$156,075.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rochester Housing Authority		Capital Fund Program Grant No. NY06P041501-06 Replacement Housing Factor Grant No:			2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
41-38 Lena Gantt Estates	Site: Landscaping/SiteWork (00551)	1450		\$20,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$20,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Replace 2 Handled Faucets (09662)	1460		\$24,000.00	\$0.00	\$0.00	\$0.00
			Total DUs:	\$24,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$44,000.00	\$0.00	\$0.00	\$0.00
41-39 Jonathan Child Apts	Site: Landscaping/Site Work (00551)	1450		\$10,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$10,000.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: Wall Repair (00751) Replace Dining Rm Floor (00750)	1470		\$9,000.00	\$0.00	\$0.00	\$0.00
			Total ICAs:	\$9,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$19,000.00	\$0.00	\$0.00	\$0.00
41-40 Blackwell Estates	Site: Seal/Stripe Parking Areas 5-7 Yr Sch	1450		\$250,000.00	\$0.00	\$0.00	\$0.00
			Total Site:	\$250,000.00	\$0.00	\$0.00	\$0.00
	Building Exterior: Replace Roofs (00753)	1460		\$250,000.00	\$0.00	\$0.00	\$0.00
			Total B.E.:	\$250,000.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: Replace Flooring (00750)	1470		\$40,000.00	\$0.00	\$0.00	\$0.00
			Total ICAs:	\$40,000.00	\$0.00	\$0.00	\$0.00
			Project Total:	\$540,000.00	\$0.00	\$0.00	\$0.00

		Grant Type and Number					Federal FY of Grant:	
		Capital Fund Program Grant No.: NY06P041501-06					2006	
		Replacement Housing Factor Grant No:						
		All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
		Original	Revised	Actual	Original	Revised	Actual	
0	Operations	30-Sep-08			30-Sep-10			
"	Mgmt Improvements	30-Sep-08			30-Sep-10			
"	Admin	30-Sep-08			30-Sep-10			
"	Program Audit	30-Sep-08			30-Sep-10			
"	Fees & Costs	30-Sep-08			30-Sep-10			
"	24 CFR 968.112 2(i)	30-Sep-08			30-Sep-10			
0	Install Air Conditioning (02011	30-Sep-08			30-Sep-10			
0	0	30-Sep-08			30-Sep-10			
0	0	30-Sep-08			30-Sep-10			
0	0	30-Sep-08			30-Sep-10			
"	\$0.00	30-Sep-08			30-Sep-10			
41-01A	Kennedy Tower	30-Sep-08			30-Sep-10			
41-2A	Scattered Sites	30-Sep-08			30-Sep-10			
41-2B	Danforth West	30-Sep-08			30-Sep-10			
41-2B1	Danforth East	30-Sep-08			30-Sep-10			
41-2C	Atlantic T/H	30-Sep-08			30-Sep-10			
41-2C1	Bay Street T/H	30-Sep-08			30-Sep-10			
41-03	Scattered Sites	30-Sep-08			30-Sep-10			
41-06	Fairfield Village	30-Sep-08			30-Sep-10			
41-7A	Parkside Apts	30-Sep-08			30-Sep-10			
41-7C	Elmdorf Apts	30-Sep-08			30-Sep-10			
41-7D	Parliament Arms	30-Sep-08			30-Sep-10			
41-08	Scattered Sites	30-Sep-08			30-Sep-10			
41-09	Holland T/H	30-Sep-08			30-Sep-10			
41-10	Scattered Sites	30-Sep-08			30-Sep-10			
41-12A	Capsule Dwellings	30-Sep-08			30-Sep-10			
41-12B	Federal Street T/H	30-Sep-08			30-Sep-10			
41-14	University Tower	30-Sep-08			30-Sep-10			
41-15	Glenwood Gardens	30-Sep-08			30-Sep-10			

		Grant Type and Number						Federal FY of Grant:
		Capital Fund Program Grant No.: NY06P041501-06						2006
		Replacement Housing Factor Grant No:						
		All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
		Original	Revised	Actual	Original	Revised	Actual	
41-17	Bronson Court	30-Sep-08			30-Sep-10			
41-18A	Hudon-Ridge Tower	30-Sep-08			30-Sep-10			
41-18B	Seneca Manor T/H	30-Sep-08			30-Sep-10			
41-19	Glide Street Apts	30-Sep-08			30-Sep-10			
41-22	Lake Tower	30-Sep-08			30-Sep-10			
41-33	Scattered Sites	30-Sep-08			30-Sep-10			
41-34	Lexington Court	30-Sep-08			30-Sep-10			
41-35	H. Tubman Estates	30-Sep-08			30-Sep-10			
41-36	Scattered Sites	30-Sep-08			30-Sep-10			
41-38	Lena Gantt Estates	30-Sep-08			30-Sep-10			
41-39	Jonathan Child Apts	30-Sep-08			30-Sep-10			
41-40	AB Blackwell Estates	30-Sep-08			30-Sep-10			
41-50	Scattered Sites	30-Sep-08			30-Sep-10			
41-55	Scattered Sites	30-Sep-08			30-Sep-10			
41-58	Scattered Sites	30-Sep-08			30-Sep-10			

Capital Fund Program Five-Year Act

Part I: Summary

Part I: Summary

PHA Name:

Rochester Housing Authority

Development

Number/Name/HA-

Wide

Original 5-Year Plan

Revision No:

	Year 1	Work Statement for Year	Work Statement for Year	Work Statement for Year 4	
	2006	FFY Grant: 2007	FFY Grant: 2008	FFY Grant: 2009	FFY Grant: 2010
41-01A Kennedy Tower		\$125,000	\$180,000	\$0	\$0
41-2A Scattered Sites		\$0	\$50,000	\$25,000	\$0
41-2B Danforth West		\$220,000	\$0	\$80,000	\$20,000
41-2B1 Danforth East		\$220,000	\$0	\$80,000	\$20,000
41-2C Atlantic T/H	Annual	\$0	\$15,332	\$25,000	\$0
41-2C1 Bay Street T/H		\$0	\$60,525	\$25,000	\$25,000
41-03 Scattered Sites	Statement	\$15,000	\$10,500	\$0	\$165,000
41-06 Fairfield Village		\$320,000	\$0	\$322,639	\$95,000
41-7A Parkside Apts		\$0	\$120,000	\$35,000	\$70,000
41-7C Elmdorf Apts		\$0	\$55,000	\$100,000	\$25,000
41-7D Parliament Arms		\$20,800	\$75,000	\$50,000	\$0
41-08 Scattered Sites	See	\$32,000	\$112,000	\$45,000	\$0
HA-Wide Physical Activities		\$0	\$0	\$0	\$0
HA-Wide Non-Physical Activities		\$1,879,292	\$1,879,292	\$1,879,292	\$1,879,292
HA-Wide Contingency @ X%		\$0	\$0	\$0	\$0
CFP Funds Listed for					
5-year planning		\$2,832,092	\$2,557,649	\$2,666,931	\$2,299,292
Replacement Housing					
Factor Funds		\$0	\$0	\$0	\$0

**Capital Fund Program Five-Year Action Plan
Part I: Summary (Continuation)**

Rochester Housing Authority						<input checked="" type="checkbox"/> Original 5-Year Plan
		Development Number/Name/HA- Wide	Year 1 2006	Work Statement for Year FFY Grant: 2007	Work Statement for Year FFY Grant: 2008	Work Statement for Year 4 FFY Grant: 2009
41-09	Holland T/H		\$0	\$339,446	\$215,000	\$0
41-10	Scattered Sites	Annual	\$0	\$50,000	\$100,000	\$0
41-12A	Capsule Dwellings		\$0	\$70,000	\$65,000	\$142,000
41-12B	Federal Street T/H	Statement	\$50,000	\$30,000	\$35,000	\$0
41-14	University Tower		\$7,000	\$306,000	\$66,000	\$98,000
41-15	Glenwood Gardens		\$0	\$115,000	\$65,000	\$0
41-17	Bronson Court		\$100,000	\$25,000	\$0	\$0
41-18A	Hudon-Ridge Tower		\$373,800	\$0	\$210,000	\$467,500
41-18B	Seneca Manor T/H		\$0	\$100,000	\$55,000	\$0
41-19	Glide Street Apts		\$5,000	\$120,000	\$120,000	\$31,800
41-22	Lake Tower		\$0	\$80,000	\$145,000	\$50,000
41-33	Scattered Sites		\$203,830	\$112,500	\$38,000	\$50,000
41-34	Lexington Court		\$0	\$25,000	\$265,000	\$0
41-35	H. Tubman Estates		\$150,000	\$406,436	\$241,800	\$0
41-36	Scattered Sites		\$200,000	\$118,500	\$180,000	\$250,000
41-38	Lena Gantt Estates		\$300,000	\$114,200	\$87,500	\$40,750
41-39	Jonathan Child Apts		\$0	\$16,500	\$15,500	\$130,889
41-40	AB Blackwell Estates		\$0	\$0	\$0	\$300,000
41-50	Scattered Sites		\$167,000	\$72,500	\$75,000	\$465,000
41-55	Scattered Sites		\$142,000	\$6,000	\$40,000	\$141,750
41-58	Scattered Sites		\$155,009	\$21,000	\$0	\$191,750
CFP Funds Listed for 5-year planning			\$1,796,639	\$1,217,636	\$1,472,800	\$2,119,439
Replacement Housing Factor Funds			\$0	\$0	\$0	\$0
CFP Funds Listed for 5-year planning Grand Total			\$4,628,731	\$3,775,285	\$4,139,731	\$4,418,731

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-01A Kennedy Tower	Building Exterior: Roof Repairs (00752)	\$125,000	Building Exterior: Roof Repair (02036)	\$100,000				
				Dwelling Units: Replace Kitchen Cabinets (030)	\$80,000				
	Subtotal	\$125,000	Subtotal	\$180,000	Subtotal	0	Subtotal	0	
	41-02A Scattered Sites			Dwelling Units: Major Int/Ext Rehab (02037)	\$50,000	Dwelling Units: Major Int/Ext Rehab (02037)	\$25,000		
	Subtotal	0	Subtotal	\$50,000	Subtotal	\$25,000	Subtotal	\$0	
	41-02B Danforth West	Site: Replace Fin Converter (0)	\$10,000			Dwelling Units: Paint Units 5-7yr Schedule (00692)	\$80,000	Site: Parkg Lot Sealing 5-7yr Sch (04053)	\$5,000
		Buidling Exterior: Rpr/Seal Brick 5-7yr Sch (0)	\$200,000					Site-Wide Facilities: Paint Stairwells 5yr Schedule	\$5,000
		Interior Common Areas: Replace Air Conditioning (0)	\$10,000					Upgrade Lighting (04085)	\$10,000
	Subtotal	\$220,000	Subtotal	\$0	Subtotal	\$80,000	Subtotal	\$20,000	
	41-2B1 Danforth East	Site: Replace Fin Converter (0)	\$10,000			Dwelling Units: Paint Units 5-7yr Schedule (00692)	\$80,000	Site: Parkg Lot Sealing 5-7yr Sch (04053)	\$5,000
		Buidling Exterior: Rpr/Seal Brick 5-7yr Sch (0)	\$200,000					Site-Wide Facilities: Paint Stairwells 5yr Schedule	\$5,000
		Interior Common Areas: Replace Air Conditioning (0)	\$10,000					Upgrade Lighting (04085)	\$10,000
	Subtotal	\$220,000	Subtotal	\$0	Subtotal	\$80,000	Subtotal	\$20,000	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-02C Atlantic T/H			Site: Landscaping (01970)	\$15,332	Dwelling Units: Paint Units on 5-7yr Sch(00692)	\$25,000		
		Subtotal	\$0	Subtotal	\$15,332	Subtotal	\$25,000	Subtotal	\$0
	41-2C1 Bay Street T/H			Dwelling Units: Paint Units on 5-7yr Sch(00692)	\$60,525	Site: Landscaping (01972) Repair/Stripe Driveways (00601)	\$10,000 \$15,000	Dwelling Units: Clean Air Vents 5-7yr Sche(01216)	\$25,000
		Subtotal	\$0	Subtotal	\$60,525	Subtotal	\$25,000	Subtotal	\$25,000
	41-03 Scattered Sites	Building Exterior: Masonry Work(04089)	\$3,000	Site: Repair Drives/Parking Lot (00806)	\$7,500			Site: Landscaping/Sitework (01977)	\$15,000
		Dwelling Units: Paint Units on 5yr Sch (00606) Window Screen Replmt(00700)	\$5,000 \$7,000	Dwelling Units: Tile Flooring/Carpet (00750)	\$3,000			Dwelling Units: Major Int/Ext Rehab (00621)	\$150,000
		Subtotal	\$15,000	Subtotal	\$10,500	Subtotal	\$0	Subtotal	\$165,000
	41-06 Fairfield Village	Site: Paving (00806)	\$150,000			Site: Repair/Replace Drives (00806)	\$10,000	Site: Upgade Fencing (04066)	\$35,000
		Mechanical and Electrical: Relocate Meters ()	\$50,000			Building Exterior: Upgrade Ext Design (01689) Replace Roof (02046) Siding (02047)	\$120,000 \$100,000 \$90,000	Building Exterior: Replace Ext/Int Doors (00921)	\$30,000
		Building Exterior: Repl Siding/Add Shutters (00700)	\$120,000			Site-Wide Facilities: Garbage Storage Sheds (01942)	\$2,639	Dwelling Units: Clean Air Vents 5-7yr Sch (01216)	\$30,000
		Subtotal	\$320,000	Subtotal	\$0	Subtotal	\$322,639	Subtotal	\$95,000

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-7A Parkside Apts			Site: Upgrade Parking Lot (03096)	\$50,000	Site: Landscaping (01973)	\$10,000	Dwelling Units: Paint Units on 5-7yr Sch (00692)	\$25,000
				Mechanical and Electrical: Mechanical Upgrade (02049)	\$20,000	Dwelling Units: Paint Units on 5-7yr Sch (00692)	\$25,000	Clean Air Ducts (01216)	\$45,000
				Dwelling Units: Upgrade Bathrooms (00868)	\$50,000				
		Subtotal	\$0	Subtotal	\$120,000	Subtotal	\$35,000	Subtotal	\$70,000
	41-7C Elmdorf Apts			Building Exterior: Replace Roof (00753)	\$30,000	Site: Landscaping (01385)	\$5,000	Site: Landscaping (01385)	\$10,000
				Dwelling Units: Inst Security Screens 1st Flr (00844)	\$25,000	Mechanical and Electrical: Mechanical Upgrade (02049)	\$10,000	Dwelling Units: Paint Units on 5-7yr Sch (00692)	\$15,000
						Building Exterior: Replace Windows (00761)	\$75,000		
		Subtotal	\$0	Subtotal	\$55,000	Subtotal	\$100,000	Subtotal	\$25,000
	41-7D Parliament Arms	Dwelling Units: Upgrade Faucets (04042)	\$20,800	Site: Landscaping/SiteWork (00844)	\$10,000	Site: Repair/Replace Driveways(02024)	\$20,000		
				Repair/Replace Driveways (04042)	\$15,000	Building Exterior: Rpr/Rpl Entrance Doors (01390)	\$30,000		
				Dwelling Units: Upgrade Bathrooms (00868)	\$50,000				
		Subtotal	\$20,800	Subtotal	\$75,000	Subtotal	\$50,000	Subtotal	\$12,500

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-08 Scattered Sites	Site: Landscaping/Tree Removal	\$12,000	Site: Additional Parking (02024)	\$5,000	Building Exterior: Major Int/Ext Rehab (00932)	\$25,000		
		Dwelling Units: Replace Prime Doors (0406)	\$20,000	Building Exterior: Insulation/Venting (00754) Roof Repairs (00752)	\$40,000 \$30,000	Dwelling Units: Replace Prime Doors (04063)	\$20,000		
		Subtotal	\$32,000	Subtotal	\$112,000	Subtotal	\$45,000	Subtotal	\$0
	41-09 Holland T/H			Site: Asphalt Repair/Replace (0188)	\$30,000	Site: Perimeter Fencing (03024) Seal/Stripe Asphalt 5-7yr Sch (03025)	\$40,000 \$25,000		
				Building Exterior: Rpr/Rpl Brick Veneer (01246) Rpr/Rpl Roofs (04008)	\$175,000 \$25,000	Dwelling Units: Paint Units on 5-7yr Sch (00692) Replace Kitchens/Baths (03098)	\$75,000 \$75,000		
				Dwelling Units: Paint Units on 5-7yr Sch (0069)	\$109,446	Subtotal	\$215,000	Subtotal	\$0
	41-10 Scattered Sites			Dwelling Units: Major Int/Ext Rehab (00150)	\$50,000	Dwelling Units: Major Int/Ext Rehab (00150)	\$100,000		
	Subtotal		\$0	Subtotal	\$50,000	Subtotal	\$100,000	Subtotal	\$0

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-12A Capsule Dwellings			Mechanical and Electrical Mech Repairs/Upgrades (0205)	\$3,000	Building Exterior: Masonry Repairs (03099)	\$15,000	Site: Landscaping/SiteWork (01977) Lot Resurfacing (04034)	\$7,500 \$14,500
				Building Exterior: Repair/Replace Siding (01723)	\$5,000	Dwelling Units: Replace Rear Prime Doors (01251) Paint Units on 5-7yr Sch (00161)	\$10,000 \$40,000	Building Exterior: Ext Lighting Upgrade (04033)	\$20,000
				Dwelling Units: Replace Tubs (01870) Replace Kitchens (03095)	\$10,000 \$50,000			Dwelling Units: Major Int/Ext Rehab (01913)	\$100,000
		Interior Common Areas: Heat System Repairs (02006)	\$2,000						
		Subtotal	\$0	Subtotal	\$70,000	Subtotal	\$65,000	Subtotal	\$142,000
	41-12B Federal St T/H	Site: Fencing (04066)	\$15,000	Building Exterior: Repair Roofs (04035)	\$30,000	Site: Landscaping/SiteWork(01978)	\$5,000		
		Mechanical and Electrical: Mechanical Rep/Upgrades Rpl Ducts/Grills/Flues (0017)	\$5,000 \$30,000			Dwelling Units: Paint Units on 5-7yr Sch (00161)	\$30,000		
		Subtotal	\$50,000	Subtotal	\$30,000	Subtotal	\$35,000	Subtotal	\$0
	41-14 Univ Tower	Dwelling Units: Paint Units on 5-7yr Sch (0006)	\$5,000	Mechanical and Electrical: Plumbing Repair (04042)	\$26,000	Site: Lower Curb for Plowing (03028)	\$10,000	Dwelling Units: Clean Air Vents 5-7yr Sch (01216)	\$65,000
		Interior Common Areas: Rpl 12th Flr Flooring (00750)	\$2,000	Building Exterior: Roof Replacement (00753)	\$130,000	Building Exterior: Replace Windows (02008)	\$50,000	Interior Common Areas: Replace Hallway Flooring (00750) Paint Hallways 5-7yr Sch (00693)	\$10,000 \$20,000
				Dwelling Units: Paint Units on 5-7yr Sch (0006) Apartment Upgrade (04001)	\$15,000 \$135,000	Dwelling Units: Security Plates for Doors (04050)	\$6,000	Site-Wide Facilities: Buzzer System/Visitor Entry (04050)	\$3,000
		Subtotal	\$7,000	Subtotal	\$306,000	Subtotal	\$66,000	Subtotal	\$98,000

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-15 Glenwood Gardens			Site: Landscaping/SiteWork(02065)	\$15,000	Building Exterior: Weatherize Masonry 5-7yr Sch(01921) Insulate outside Walls (01444)	\$20,000 \$20,000		
				Dwelling Units: Upgrade Bathrooms(04002)	\$100,000	Dwelling Units: Replace Porch Windows (01988)	\$25,000		
		Subtotal	\$0	Subtotal	\$115,000	Subtotal	\$65,000	Subtotal	\$0
	41-17 Bronson CT	Building Exterior: Roof Replacement (00753)	\$100,000	Dwelling Units: Replace Doors/Peeps (00271)	\$25,000				
		Subtotal	\$100,000	Subtotal	\$25,000	Subtotal	\$0	Subtotal	\$0
	41-18A Hudson-Ridge Tower	Site: Upgrade Site Fencing (0400) Pidgeon Pest Control ()	\$10,000 \$13,800			Site: Repair Sidewalks (01964)	\$10,000	Mechanical and Electrical: Inst Elec Conserv Devices (02015) Replace Domestic Pumps (00348)	\$20,000 \$60,000
		Building Exterior: Balcony Repairs (00772)	\$350,000			Building Exterior: Replace Roof (00323)	\$150,000	Dwelling Units: High Efficiency Toilets (00347) Paint Units 5-7yr Sch (00692) Replace Mixing Valves (01453) Clean Vents 5-7yr Sch (01216)	\$55,000 \$115,000 \$15,000 \$170,000
		Subtotal	\$373,800	Subtotal	\$0	Subtotal	\$210,000	Interior Common Areas: Inst Sprinkler System (00350) Ins Elec Conserv Devices (02015) Site-Wide facilities: Install BBQ for Patio (01712)	\$18,000 \$9,500 \$5,000
		Subtotal	\$373,800	Subtotal	\$0	Subtotal	\$210,000	Subtotal	\$467,500

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-18B Seneca Manor T/H			Dwelling Units: Major Int/Ext Rehab (01913)	\$100,000	Site: Replace/Repair Drivesways (01594)	\$5,000		
				Dwelling Units: Major Int/Ext Rehab (01913)	\$50,000				
		Subtotal		\$0	Subtotal	\$100,000	Subtotal	\$55,000	Subtotal
See Annual Statement	41-19 Glide St Apts	Dwelling Units: Paint Units on 5-7yr Sch (00601)	\$5,000	Mechanical and Electrical: Rpl Baseboard Covers/valves	\$100,000	Site: Repair Parking Lots/Signage (01966)	\$20,000	Site: Seal Lot on 5-7yr Sch (00601)	\$8,000
		Dwelling Units: Remove/Rpl Plexiglass(03084)	\$20,000	Interior Common Areas: Upgrade Int Common Area (01758)	\$100,000	Interior Common Areas: Upgrade Int Common Area (01758)	\$23,800		
		Subtotal	\$5,000	Subtotal	\$120,000	Subtotal	\$120,000	Subtotal	\$31,800
See Annual Statement	41-22 Lake Tower			Site: Gates for rear Parking (04019)	\$20,000	Building Exterior: Replace Roof Exhaust Fans (01799) Clean Air handler Stacks (04022)	\$75,000 \$70,000	Site: Landscaping/SiteWork(01980)	\$15,000
				Mechanical and Electrical: New Heat Runs in Apts (04020)	\$50,000	Building Exterior: R. Door Alarm/Access Card(04017)	\$10,000		
				Dwelling Units: Paint units on 5-7yr Sch (0048)	\$10,000	Interior Common Areas: Replace Hallway Lighting (04018)	\$25,000		
	Subtotal	\$0	Subtotal	\$80,000	Subtotal	\$145,000	Subtotal	\$50,000	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-33 Scattered Sites	Building Exterior: Masonry Work (04089) \$2,130 Porch Repairs (04074) \$1,700		Site: Repair/Seal Drives 5-7yr Sch(00750) \$6,000		Site: Tree Removal (00551) \$8,000		Dwelling Units: Major Int/Ext Rehab (01132) \$50,000	
		Dwelling Units: Waterproof Basements(04072) \$105,000 Major Int/Ext Rehab (01132) \$95,000		Building Exterior: Repair/Replace Roof (00752) \$25,000 Repair/Replace Siding (04070) \$40,000		Interior Common Areas: Repair/Replace Stairs (01806) \$20,000			
				Dwelling Units: Tile/Flooring/Carpet (00750) \$11,500 Major Int/Ext Rehab (01132) \$30,000		Site-Wide Facilities: Fence btwn Playground/Site (03054) \$10,000			
		Subtotal \$203,830		Subtotal \$112,500		Subtotal \$38,000		Subtotal \$50,000	
	41-34 Lexington Ct			Site: Correct Site Drainage (00978) \$5,000		Mechanical and Electrical: Replace Boilers/Pumps(00981) \$50,000			
				Dwelling Units: Paint Units 5-7yr Sch (00653) \$20,000		Building Exterior: Masonry Repairs/Waterproof(02072) \$15,000			
						Dwelling Units: New Intercom System (03035) \$200,000			
		Subtotal \$0		Subtotal \$25,000		Subtotal \$265,000		Subtotal \$0	
	41-35 H Tubman Estates	Mechanical and Electrical: Mechanical Repairs/Upgrad \$150,000		Building Exterior: Repair Brickwork on 5-7yr Sch \$135,000 Replace Roofs (04025) \$125,000		Site: Repair/Replace Sidewalks (03037) \$10,000			
				Dwelling Units: Paint Units on 5-7yr Sch (00653) \$146,436		Building Exterior: Replace Roofs (00753) \$100,000 Repair Brickwork on 5-7yr Sch (04026) 58000			
						Dwelling Units: Replace Bathroom Flr Tiles (00750) \$73,800			
		Subtotal \$150,000		Subtotal \$406,436		Subtotal \$241,800		Subtotal \$0	

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010					
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost				
See Annual Statement	41-36 Scattered Sites	Building Exterior: Masonry Work (04089)	\$19,500	Site: Repair Drives/Lots (00806)	\$13,500	Site: Tree Removal (00551)	\$8,000	Dwelling Units: Major Int/Ext Rehab (00710)	\$250,000				
		Porch Repairs (04074)	\$2,500							Building Exterior: Replace/Repair Siding (04070)	\$20,000	Dwelling Units: Major Int/Ext Rehab (00710)	\$172,000
		Repair Roof (00752)	\$4,000								Repair/Replace Roof (00752)		
	Dwelling Units: Waterproof basements(040)	\$54,000	Dwelling Units: Major Int/Ext Rehab (00710)	\$30,000	Subtotal	Subtotal	\$180,000	Subtotal	\$250,000				
	Paint Units on 5-7yr Sch (00761)	\$25,000		Tile Flooring/Carpet (00750)						\$10,000			
	Replace Windows (00761)	\$95,000											
		Subtotal	\$200,000		\$118,500		\$180,000		\$250,000				
	41-38 Lena Gantt Estates	Site: Fence for Defensible Space	\$50,000	Dwelling Units: Paint Units on 5-7yr Sch (0069)	\$114,200	Site: Repair/Replace Sidewalks (04006)	\$25,000	Site: Sea/Stripe Lots 5-7yr Sch(04053)	\$27,200				
	Site-Wide Facilities: Indiv tenant Storage Sheds	\$250,000	Dwelling Units: Replace Bathroom Flr Tiles(00750)							\$62,500	Repair Sidewalks(01738)	\$13,550	
	Subtotal	\$300,000											Subtotal
	41-39 Jonathan Child Apts			Site: Seal/Stripe Lots 5-7yr Sch(040)	\$3,500	Site: Landscaping (00551)	\$2,500	Site: Additional parking (01719)	\$35,000				
				Dwelling Units: Replace Interior Doors (00860)	\$10,000	Interior Common Areas: Renovate Bathrooms (00868)	\$8,500	Dwelling Units: Radiant Heat (00772)	\$35,000				
				Interior Common Areas: New Furn/Common Areas(041)	\$3,000		Replace Ceiling Tiles (00751)		\$4,500	Replace Floors (01026)	\$2,500		
								Paint Units on 5-7yr Sch (00692)	\$40,000				
								Elec Door Strikes (01496)	\$4,500				
								Interior Common Areas: Replace Light Fixtures(00776)	\$13,889				
		Subtotal	\$0	Subtotal	\$16,500	Subtotal	\$15,500	Subtotal	\$130,889				

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-40 AB Blackwell Estates							Site: Repair Sewer Lines (01302)	\$25,000
								Repair Sidewalks (01738)	\$25,000
								Dwelling Units: Handicap Accessibility (00801)	\$100,000
								Paint Doors 5yr Sch (00790)	\$20,000
								Paint Units on 5-7yr Sch (00692)	\$100,000
							Interior Common Areas: Upgrade Lighting in Halls/Stairs(01507)	\$30,000	
	Subtotal		\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$300,000
	41-50 Scattered Sites	Building Exterior: Insulation (00754) Repair Roof (00752) Masonry Work (04089)	\$50,000 \$85,000 \$18,000	Site: Repair Drives 5-7yr Sch(00806)	\$6,000	Building Exterior: Replace Roofs (00752)	\$40,000	Building Exterior: Install Gutters/Siding(01317) Replace Roof (00752)	\$200,000 \$115,000
		Dwelling Units: Paint Units on 5-7yr Sch (00790) Rpl Windows/Screens (00752)	\$6,000 \$8,000	Building Exterior: Replace Windows (00761)	\$35,000	Dwelling Units: Major Int/Ext Rehab (01320)	\$35,000	Dwelling Units: Major Int/Ext Rehab (01320)	\$150,000
				Dwelling Units: Tile Flooring/Carpet (00750)	\$31,500				
	Subtotal		\$167,000	Subtotal	\$72,500	Subtotal	\$75,000	Subtotal	\$465,000
	41-55 Scattered Sites	Building Exterior: Insulation/Venting (00754) Porch Repairs (04074)	\$100,000 \$42,000	Site: Repair Drives 5-7yr Sch(00806)	\$6,000	Dwelling Units: Major Int/Ext Rehab (02031)	\$40,000	Building Exterior: Replace/Repair Roofs (00752)	\$100,000
	Subtotal		\$142,000	Subtotal	\$6,000	Subtotal	\$40,000	Subtotal	\$141,750

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2006	Activities for Year 2 FFY Grant: 2007			Activities for Year 3 FFY Grant: 2008		Activities for Year 4 FFY Grant: 2009		Activities for Year 5 FFY Grant: 2010	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-58 Scattered Sites	Building Exterior:		Site:				Building Exterior:	
		Insulation/Venting (00754)	\$80,000	Repair Drives on 5-7yr Sch (00	\$6,000			Replace/Repair Roofs (00752)	\$150,000
		Porch Repairs (04074)	\$62,000						
		Dwelling Units:		Dwelling Units:				Dwelling Units:	
	Major Int/Ext Rehab (03093)	\$13,009	Waterproof Basements (04043)	\$15,000			Major Int/Ext Rehab (02031)	\$41,750	
	Subtotal	\$155,009	Subtotal	\$21,000	Subtotal	\$0	Subtotal	\$191,750	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 6) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/06 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	175,000	175,000	175,000.00	175,000.00
3	1408 Management Improvements Soft Costs	388,993	392,233	388,993.09	388,993.09
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	400,000	400,000	400,000.00	400,000.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	21,515	23,585	23,585.00	23,370.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	2,282,263	2,289,185	2,283,984.38	2,169,987.57
10	1460 Dwelling Structures	699,011	686,778	695,219.03	483,863.88
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	366,874	366,874	366,874.15	94,237.46
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	45,267	45,268	45,267.35	45,267.35
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,378,923	4,378,923	4,378,923.00	3,780,719.35
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	351,105	351,105	351,105.00	351,105.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

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**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-1A	Revitalization/Marketability (4038)	1450		1,901,015	1,907,611	1,902,411.45	1,788,414.64	Work nearing completion
Kennedy Tower	Tenant Moving Expense (4038T)	1450		40,416	40,742	40,742.30	40,742.30	Complete
	TOTAL 41-1A			1,941,431	1,948,353	1,943,153.75	1,829,156.94	
NY41-02B	Maintenance tightening of electrical terminals (1821)	1460		2,308	2,308	2,308.50	1,660.95	Work Nearing completion
Danforth Tower West								
	Total 41-02B			2,308	2,308	2,308.50	1,660.95	
NY41-2B1	Repalace air conditioner (1646)	1460		5,616	5,616	5,615.79	5,615.79	Complete
Danforth Tower East	Maintenance tightening of electrical terminals (1822)	1460		2,309	2,309	2,308.50	1,660.95	Work nearing completion
	Total 41-02B1			7,925	7,925	7,924.29	7,276.74	
NY41-02C	Mechanical Upgrades (2042)	1460		8,271	8,271	8,271.48	8,271.48	Complete
Atlantic Townhouses								

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	Total 41-02C			8,271	8,271	8,271.48	8,271.48	

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**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-03	Interior/Exterior Rehab (0621)	1460		15,080	15,080	15,080.28	15,080.28	Complete
Scattered Sites								
Total 41-03				15,080	15,080	15,080.28	15,080.28	
NY41-04	Demo/Redevelop Site (2045)	1450		3,912	3,912	3,911.56	3,911.56	Complete
Olean Townhouses								
Total 41-04				3,912	3,912	3,911.56	3,911.56	
NY41-07D	Asphalt Repairs (3025)	1450		30,652	30,652	30,652.11	30,652.11	Complete
Parliament Arms	Repair/Wash Seal Brick Veneer (4056)	1460		9,500	9,500	9,500.00	9,500.00	Complete
Total 41-07D				40,152	40,152	40,152.11	40,152.11	
NY41-09	Replace furnaces and ducts (1853)	1460		5,890	5,890	5,889.63	5,889.63	Complete
Holland Townhouses								
Total 41-09				5,890	5,890	5,889.63	5,889.63	

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-10	Architectural fees	1430		315	315	315.00	315.00	Complete
Scattered Sites	Interior/exterior rehab (0150)	1460		123,029	123,029	123,029.34	112,685.82	Work nearing completion
	Total 41-10			123,344	123,344	123,344.34	113,000.82	
NY41-18B	Asphalt repair (1594)	1450		13,245	13,245	13,244.89	13,244.89	Complete
Seneca Manor T/H								
	Total 41-18B			13,245	13,245	13,244.89	13,244.89	
NY41-19	Renovate community room (3032)	1470		13,638	13,638	13,637.83	13,637.83	Complete
Glide Court								
	Total 41-19			13,638	13,638	13,637.83	13,637.83	
NY41-22	Architectural fees (1902)	1430		400	400	400.00	400.00	Complete
Lake Tower	Upgrade interior/exterior to improve marketability (1753)	1460		45,157	45,157	45,157.40	45,157.40	Complete

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	Repair building exterior (4111)	1460		335,003	335,003	335,003.00	174,925.80	Work nearing completion
	Replace exterior doors (1796)	1460		8,034	8,034	8,033.68	8,033.68	Complete
	Upgrade elevators	1470		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-22			406,514	406,514	406,514.08	246,436.88	

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**Annual Statement/Performance and Evaluation Report
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Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-33	Interior/exterior rehab (1132)	1460		28,470	28,470	28,470.00	28,470.00	Complete
Scattered Sites								
	Total 41-33			28,470	28,470	28,470.00	28,470.00	
NY41-34	Architectural fees (1902)	1430		20,800	22,870	22,870.00	22,655.00	Complete
Lexington Court	Landscaping/sitework (1980)	1450		93,214	93,214	93,213.67	93,213.67	Complete
	Replace parking lot (3010)	1450		27,754	27,754	27,753.60	27,753.60	Complete
	Build community room (3085)	1470		335,316	335,316	335,316.32	62,679.63	Work nearing completion
	Total 41-34			477,084	479,154	479,153.59	206,301.90	
NY41-36	Interior/exterior rehab (0710)	1460		47,370	47,370	47,370.30	47,370.30	Complete
Scattered Sites								
	Total 41-36			47,370	47,370	47,370.30	47,370.30	
NY41-38	Repair/replace uneven sidewalk (4006)	1450		72,055	72,055	72,054.80	72,054.80	Complete

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Lena Gantt Estates	Repair/seal/stripe parking lot (4014)	1450		100,000	100,000	100,000.00	100,000.00	Complete
	Total 41-38			172,055	172,055	172,054.80	172,054.80	

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**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-39 Jonathan Child Apts	Repair/wash/seal brick (4089)	1460		43,432	31,199	39,639.33	0.00	Work to begin pending warmer weather
	Total 41-39			43,432	31,199	39,639.33	0.00	
NY41-40 Blackwell Estates	Replace heat and domestic hot water system (0990)	1460		19,542	19,542	19,541.80	19,541.80	Complete
	Total 41-40			19,542	19,542	19,541.80	19,541.80	
NY41-59 Scattered Sites	Purchase new units	1499		45,267	45,268	45,267.35	45,267.35	Complete
	Total 41-59			45,267	45,268	45,267.35	45,267.35	

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Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: ROCHESTER HOUSING AUTHORITY			Grant Type and Number Capital Fund Program Grant No: NY06P04150103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
HA-Wide Operations	CFP funds for operations	1406		175,000	175,000	175,000.00	175,000.00	Complete
	Total 1406			175,000	175,000	175,000.00	175,000.00	
HA-Wide Mgmt. Improvements	Staff training employees (0997)	1408		29,445	29,445	29,444.70	29,444.70	Complete
	FIC tenant training (0998)	1408		1,831	5,071	1,830.89	1,830.89	Work nearing completion
	Security for sites (1002)	1408		351,105	351,105	351,105.00	351,105.00	Complete
	Software & training (1004)	1408		6,612	6,612	6,612.50	6,612.50	Complete
	Total 1408			388,993	392,233	388,993.09	388,993.09	
HA-Wide Admin	General admin. Costs (1027)	1410		400,000	400,000	400,000.00	400,000.00	Complete
	Total 1410			400,000	400,000	400,000.00	400,000.00	
	Total Housing Authority Wide			963,993	967,233	963,993.09	963,993.09	
	Total Grant			4,378,923	4,378,923	4,378,923.00	3,780,719.35	

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Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 5)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-06 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	335,000	335,000	235,000.00	235,000.00
3	1408 Management Improvements Soft Costs	389,397	389,397	359,757.46	341,810.61
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	510,000	510,000	510,000.00	510,000.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	107,020	109,430	102,020.00	27,805.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	730,254	730,254	40,815.00	40,815.00
10	1460 Dwelling Structures	2,778,874	2,774,131	1,030,698.21	680,033.43
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	243,312	248,055	185,998.52	175,691.01
13	1475 Nondwelling Equipment	35,840	35,840	35,840.00	35,840.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	0	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	5,129,697	5,132,107	2,500,129.19	2,046,995.05
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	339,435	339,435	339,435.00	339,435.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	172,639	172,639	172,639.00	172,639.00

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**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-14	Repair wash/seal building exterior (4007)	1460		383,000	383,000	0.00	0.00	In planning stages
University Tower	Replace exterior doors (4063)	1460		14,167	14,167	14,167.25	14,167.25	Complete
	Install new radiation (2009)	1460		5,100	5,100	5,100.00	5,100.00	Complete
	Upgrade elevators (4096)	1475		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-14			420,187	420,187	37,187.25	37,187.25	
NY41-15	Architectural Fees(00526)	1430		0	2,410	2,410.25	0.00	Budget revision required
Glenwood Gardens	Community building repairs (2010)	1470		7,000	7,000	0.00	0.00	Budget revision required
	Convert laundries to gas (1989)	1470		8,000	10,700	10,683.19	1,983.19	Work in progress
	Total 41-15			15,000	20,110	13,093.44	1,983.19	
NY41-17	Replace prime windows (0956)	1460		704	704	703.80	703.80	Complete
Bronson Court Apts.								
	Total 41-17			704	704	703.80	703.80	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-18A	Architectural fees (1901)	1430		9,450	9,450	9,450.00	9,450.00	Complete
Hudson-Ridge Tower	Upgrade site fencing (4066)	1450		20,000	20,000	0.00	0.00	In planning stages
	Provide additional parking (2024)	1450		124,000	124,000	15,112.20	15,112.20	In planning stages
	Repair/wash/seal building exterior (4069)	1460		651,613	646,870	0.00	0.00	In planning stages
	Install comm. Baseboard covers (4200)	1460		4,200	4,200	3,700.59	3,700.59	Budget revision required
	Upgrade elevators	1475		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-18A			827,183	822,440	46,182.79	46,182.79	
NY41-19	Upgrade kitchen cabinets/counters (3082)	1460		65,000	65,000	0.00	0.00	In planning stages
Glide Court Apts.	Repair/replace overhangs (3084)	1460		50,000	50,000	0.00	0.00	In planning stages
	Repair/replace entry enclosures (4074)	1460		150,000	150,000	0.00	0.00	In planning stages
	Total 41-19			265,000	265,000	0.00	0.00	
NY41-22	Architectural fees (1902)	1430		7,270	7,270	7,270.00	7,270.00	Complete
Lake Tower	Upgrade/improve site marketability (1753)	1450		117,000	117,000	710.70	710.70	Work put out to Bid
	Mechanical upgrades (2070)	1460		32,000	32,000	0.00	0.00	Specs being written
	Repair exterior of building (4111)	1470		10,000	10,000	4,961.40	4,961.40	Work nearing completion
	Upgrade elevators (4098)	1460		17,920	17,920	0.00	0.00	In planning stages
	Total 41-22			184,190	184,190	12,942.10	12,942.10	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-34	Build community room (3085)	1470		3,995	6,038	6,037.41	4,429.90	Work nearing completion
Lexington Court								
	Total 41-34			3,995	6,038	6,037.41	4,429.90	
NY41-35	Architectural fees (4081)	1430		11,400	11,400	6,400.00	6,400.00	Work in progress
Harriet Tubman Estates								
	Repair/replace sidewalks (3037)	1450		200,000	200,000	0.00	0.00	In planning stages
	Upgrade site lighting (4082)	1450		50,000	50,000	0.00	0.00	In planning stages
	Repair/remove playground (4080)	1450		10,000	10,000	0.00	0.00	In planning stages
	Paint dwelling units (0692)	1460		44,200	44,200	44,200.00	44,200.00	Complete
	Total 41-35			315,600	315,600	50,600.00	50,600.00	
NY41-38	Repair/replace uneven sidewalk (4006)	1450		704	704	703.80	703.80	Complete
Lena Gantt Estates								
	Upgrade site lighting (4085)	1450		50,000	50,000	0.00	0.00	In planning stages
	Total 41-38			50,704	50,704	703.80	703.80	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	450,000	450,000	0.00	0.00
3	1408 Management Improvements Soft Costs	378,000	378,000	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	468,573	468,573	468,573.00	337,754.29
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	150,000	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	40,000	40,000	0.00	0.00
10	1460 Dwelling Structures	2,914,158	2,914,158	2,116.00	2,116.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	160,000	160,000	0.00	0.00
13	1475 Nondwelling Equipment	25,000	25,000	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	100,000	100,000	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,685,731	4,535,731	470,689.00	339,870.29
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	340,000	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Thomas F. McHugh
Executive Director

Joan K. Spilman
Director of Public Housing

8/29/2006

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-1A Kennedy Tower	Roof Repairs (00752)	1460		20,000	20,000	0.00	0.00	In planning stages
	Total 41-1A			20,000	20,000	0.00	0.00	
NY41-2B Danforth West	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	Specs being written
	Roof Repairs (00752)	1460		50,000	50,000	0.00	0.00	In planning stages
	Total 41-2B			55,000	55,000	0.00	0.00	
NY41-2B1 Danforth East	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	Specs being written
	Roof Repairs (00752)	1460		50,000	50,000	0.00	0.00	In planning stages
	Total 41-2B1			55,000	55,000	0.00	0.00	
NY41-2C Atlantic T/H	Landscape/Sitework (00551)	1450		10,000	10,000	0.00	0.00	In planning stages
	HW Radiation (00704)	1460		10,000	10,000	0.00	0.00	Specs being written
	Total 41-2C			20,000	20,000	0.00	0.00	
NY41-03 Scattered Sites	Furnace Replacements (00702)	1460		10,000	10,000	0.00	0.00	Specs being written
	Total 41-03			10,000	10,000	0.00	0.00	
NY41-7A Parkside Apts	Replace Prime Windows (00761)	1460		250,000	250,000	0.00	0.00	In planning stages
	Total 41-7A			250,000	250,000	0.00	0.00	

Thomas F. McLugh
Executive Director

Joan K. Spilman
Director of Public Housing

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Thomas F. McHugh
Executive Director

Joan K. Spilman
Director of Public Housing

8/29/2006

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: ROCHESTER HOUSING AUTHORITY			Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-7C	Architectural Fees (00526)	1430		5,000	5,000	0.00	0.00	In planning stages
Elmdorf Apts	Repairs to Fire System (00727)	1460		25,000	25,000	0.00	0.00	Work out for bid
	Total 41-7C			30,000	30,000	0.00	0.00	
NY41-7D	Architectural Fees (00526)	1430		5,000	5,000	0.00	0.00	In planning stages
Parliament Arms	Repairs to Fire System (00727)	1460		50,000	50,000	0.00	0.00	Work out for bid
	Emerg Electric Power System (00726)	1460		5,000	5,000	2,116.00	2,116.00	Work in progress
	Hallway Emergency Light (00727)	1470		10,000	10,000	0.00	0.00	Specs being written
	Total 41-7D			70,000	70,000	2,116.00	2,116.00	
NY41-08	Furnace Replacement (00702)	1460		10,000	10,000	0.00	0.00	Specs being written
Scattered Sites	Total 41-08			10,000	10,000	0.00	0.00	
NY41-09	Replace Roofs (00753)	1460		150,000	150,000	0.00	0.00	In planning stages
Holland T/H	Total 41-09			150,000	150,000	0.00	0.00	
NY41-10	Furnace Replacements (00702)	1460		10,000	10,000	0.00	0.00	Specs being written
Scattered Sites	Total 41-10			10,000	10,000	0.00	0.00	

Thomas F. McHugh
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: ROCHESTER HOUSING AUTHORITY			Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
NY41-12B Federal St T/H	Seal masonry (00771)	1460		25,000	25,000	0.00	0.00	In planning stages	
	Total 41-12B			25,000	25,000	0.00	0.00		
NY41-14 University Tower	Architectural Fees (00526)	1430		100,000	100,000	0.00	0.00	In planning stages	
	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	specs being written	
	Roof Repairs (00752)	1460		100,000	100,000	0.00	0.00	In planning stages	
	Replace Windows (00761)	1460		617,000	617,000	0.00	0.00	In planning stages	
	Total 41-14			822,000	822,000	0.00	0.00		
NY41-15 Glenwood Gardens	Architectural Fees (00526)	1430		10,000	10,000	0.00	0.00	In planning stages	
	Landscape/Sitework (00551)	1450		20,000	20,000	0.00	0.00	In planning stages	
	Repair/Wash/Seal Brick Veneer (00771)	1460		200,000	200,000	0.00	0.00	In planning stages	
	Repairs to Ext Soffits (00781)	1460		200,000	200,000	0.00	0.00	In planning stages	
	Total 41-15			430,000	430,000	0.00	0.00		
NY41-17 Bronson Ct Apts	Landscape/Sitework (00551)	1460		50,000	50,000	0.00	0.00	In planning stages	
	Total 41-17			50,000	50,000	0.00	0.00		

Thomas F. McHugh
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-18A	Repair/Clean Ventilation Shaft (00703)	1460		10,000	10,000	0.00	0.00	Work put out to bid
Hudson Ridge Tower	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	Specs being written
	Isolation Ball Valves (00735)	1460		50,000	50,000	0.00	0.00	Contract awarded
	Roof Repairs (00752)	1460		10,000	10,000	0.00	0.00	In planning stages
	Balcony Repairs Int/Ext (00772)	1460		342,158	342,158	0.00	0.00	In planning stages
	Video System Int/Ext (00951)	1475		25,000	25,000	0.00	0.00	In planning stages
	Total 41-18A			442,158	442,158	0.00	0.00	
NY41-22	Emerg Electric Power System (00726)	1460		5,000	5,000	0.00	0.00	Specs being written
Lake Tower	Roof Replacements (00753)	1460		200,000	200,000	0.00	0.00	In planning stages
	Total 41-22			205,000	205,000	0.00	0.00	
NY41-33	Furnace Replacements (00702)	1460		10,000	10,000	0.00	0.00	Specs being written
Scattered Sites	Total 41-33			10,000	10,000	0.00	0.00	
NY41-34	Architectural Fees (00526)	1430		5,000	5,000	0.00	0.00	In planning stages
Lexington Ct Apts	Replace Tin Coils (00704)	1460		200,000	200,000	0.00	0.00	Specs being written
	Repairs to Fire System (00727)	1460		50,000	50,000	0.00	0.00	Work put out to bid
	Repair/Wash/Seal Brick Veneer (00771)	1460		100,000	100,000	0.00	0.00	In planning stages

Thomas F. McHugh
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Joan K. Spilman
Director of Public Housing

	Total 41-34			355,000	355,000	0.00	0.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-35	Landscape/Sitework (00551)	1450		10,000	10,000	0.00	0.00	In planning stages
Harriet Tubman Estates	Repair/Replace Sidewalks (00576)	1470		100,000	100,000	0.00	0.00	In planning stages
	Total 41-35			110,000	110,000	0.00	0.00	
NY41-36	Furnace Replacements (00702)	1460		10,000	10,000	0.00	0.00	Specs being written
Scattered Sites								
	Total 41-36			10,000	10,000	0.00	0.00	
NY41-39	Emerg Electrical Power System (00726)	1460		5,000	5,000	0.00	0.00	Specdcs being written
Jonathon Child Apts	Roof Repairs (00752)	1460		20,000	20,000	0.00	0.00	In planning stages
	Community Room Renovations (00876)	1470		50,000	50,000	0.00	0.00	In planning stages
	Total 41-39			75,000	75,000	0.00	0.00	
NY41-40	Architectural Fees (00526)	1430		5,000	5,000	0.00	0.00	In planning stages
A.B. Blackwell Estates	Repairs to Fire System (00727)	1460		50,000	50,000	0.00	0.00	Work put out to bid
	Total 41-40			55,000	55,000	0.00	0.00	

Thomas F. McHugh
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Joan K. Spilman
Director of Public Housing

NY41-61	Purchase New Rehab units (00999)	1499		100,000	100,000	0.00	0.00	In planning stages
Scattered Sites								
	Total 41-61			100,000	100,000	0.00	0.00	

Thomas F. McHugh
Executive Director

Joan K. Spilman
Director of Public Housing

8/29/2006

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: ROCHESTER HOUSING AUTHORITY			Grant Type and Number Capital Fund Program Grant No: NY06P04150105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
HA-Wide Operations	CFP to OPS	1406		450,000	450,000	0.00	0.00	In planning stages
Mgmt improvements	Security for Projects (00002)	1408		340,000	340,000	0.00	0.00	In planning stages
	Software and Training (00004)	1408		25,000	25,000	0.00	0.00	In planning stages
	Staff Training CM (00997)	1408		13,000	13,000	0.00	0.00	In planning stages
Admin	General/Administrative (00027)	1410		468,573	468,573	468,573.00	337,754.29	In planning stages
Architectural Fees	Engineer/Compactors all Hi-Rises (00527)	1430		20,000	20,000	0.00	0.00	In planning stages
Energy Program		1460		0	0	0.00	0.00	
Non-Dwelling Improvements		1470		0	0	0.00	0.00	
	Total 41-ZZ			1,316,573	1,316,573	468,573.00	337,754.29	
	Total Grant			4,685,731	4,685,731	470,689.00	339,870.29	

Thomas F. McHugh
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Joan K. Spilman
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Thomas F. McHugh
Executive Director

8/29/2006

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	114,993	114,993	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	114,993	114,993	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Thomas F. McHugh
Executive Director

Joan K. Spilman
Director of Public Housing

8/29/2006

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Thomas F. McHugh
Executive Director

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Director of Public Housing

8/29/2006

Thomas F. McHugh
Executive Director

8/29/2006

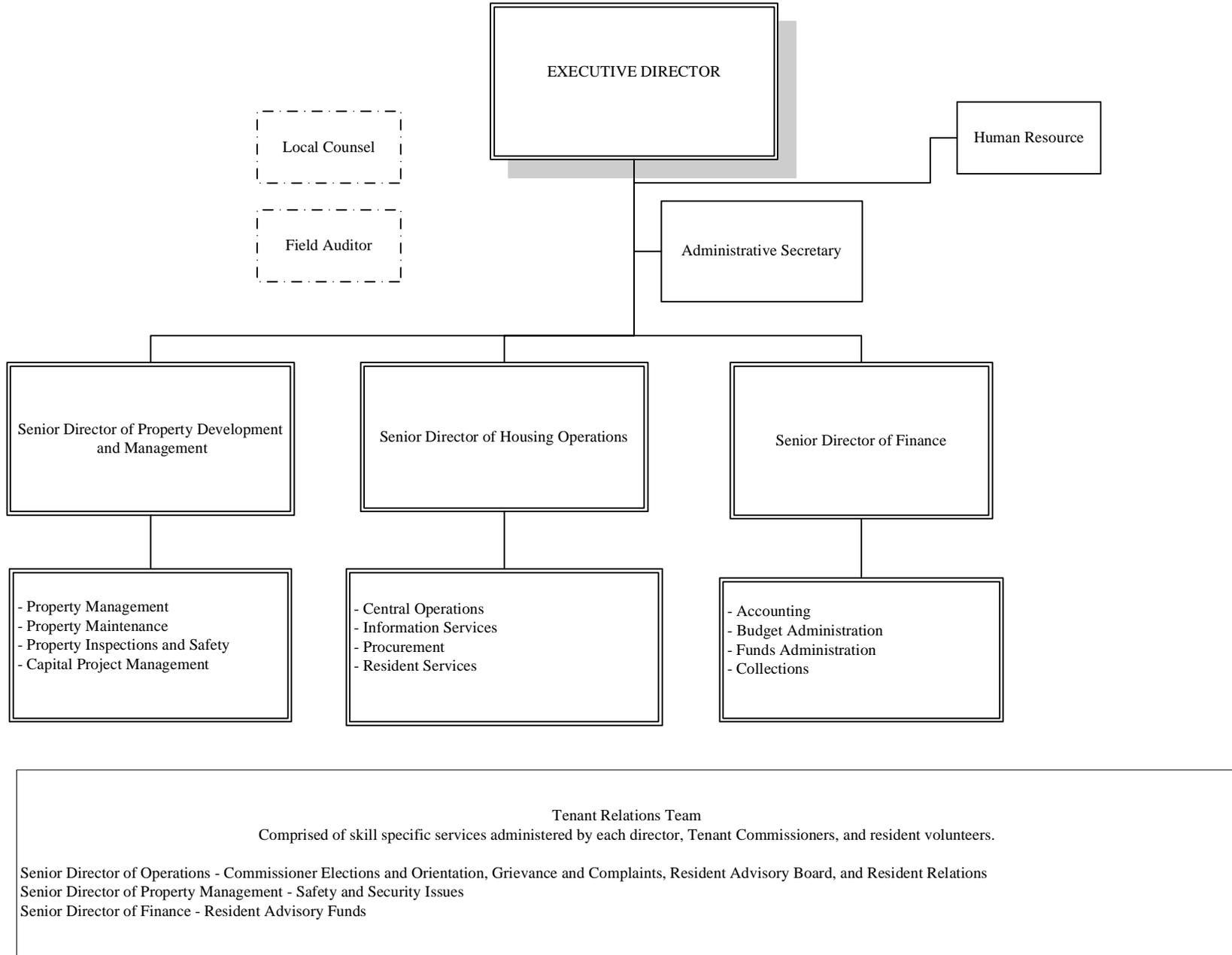
Joan K. Spilman
Director of Public Housing

Thomas F. McHugh
Executive Director

8/29/2006

Joan K. Spilman
Director of Public Housing

ROCHESTER HOUSING AUTHORITY



RAB MEETING 6/14/06

Attendance: Dorcatha Gaydon
Francis Ruffin-Scales
James Brown
Donnie Hall
Lynn Gaines
Linda Huntley
Sandra Whitney (RHA)

Meeting called to order @6:25

Pledge of Allegiance to Flag
Silent Prayer for the Soldiers

RAB attendees stated that the Agency Plan submitted is approved and no changes are recommended. Ms. Gaydon stated that the next step would be for it to go before the Board of Commissioners for approval.

2006 Resident Advisory Board Member Meeting Sign-In
19-Jan-05

Signature	RAB Representative	Address	Zip	Area Representing	Phone	ID
	Bodison, Delois	140 West Ave., #640	14611	Danforth East	279-9191	00223
	Brown, Barbara	235 Hudson Ave.	14605	Holland Townhouses	325-3573	54054
	Brown, James	321 Lake Ave., #314	14608	Lake Tower	342-1832	
	Carter, Doremus	625 University Ave, #1002	14607	University Tower	271-3701	
	Curley, Thomas	133 Glide St., Apt. E43	14611	Glide Court	442-6163	
	Gaines, Lynn	67 William Warfield Drive	14605	Harriett Tubman Estates	530-3404	68315
	Gayden, Doreatha	194 Brooks Avenue	14619	Resident Commissioner	527-9366	58710
	Hall, Donnie	666 S. Plymouth, #910	14608	Kennedy Tower	328-1812	59538
	Hebert, Dorothy	2138 St. Paul St., #4	14621	Parliament Arms	544-3097	
	Huntley, Linda	100 Vienna Street	14605	Lena Gantt Estates	454-7237	60372
	Jackson, Brenda	45 Luther Circle	14611	Fairfield Village	527-9654	
	Jackson, Lillie	140 West Ave., #840	14611	Danforth East	464-8338	
	Jones, Lawrence	401 Seneca Mnr. Dr. #419	14621	Hudson Ridge Tower	544-2955	
	Jordan, Hattie	2140 St. Paul Street, #4	14621	Parliament Arms	467-0681	00208
	Kelley, Joseph	625 University Ave, #302	14607	Resident Commissioner	241-3017	00209
	McCloud, Shirley	321 Lake Ave., #702	14608	Lake Tower	328-4226	68555
	Pedersen, Jeffrey	33 Kestrel Street	14613	Glenwood Gardens	719-9637	

	Ratliff, Jessie	160 West Ave., #720	14611	Danforth West	529-4935	
	Salem, George	2124 St. Paul St., #3	14621	Parliament Arms	266-7734	
	Scales, Frances	236 Seneca Manor Drive	14621	Seneca Manor	336-9291	68727
	Thomas, Na'Keya	229 Seneca Manor Dr.	14621	Seneca Manor	266-1433	
	Ward, Karen	160 West Ave., #190	14611	Danforth West	436-2327	71910
	Westbrook, Benita	72 Wm. Warfield	14605	Harriett Tubman Estates	232-6823	
	Williams, LaDawn	24 Christopher Crt., #104	14606	Lexington Court	328-4137	68606

ROCHESTER HOUSING AUTHORITY
BOARD OF COMMISSIONERS
NY041E01

Mr. Elston Hernandez
RHA Chairperson
c/o ISLA
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Ms. Doreatha Gayden
RHA Commissioner
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Mr. Joseph R. Kelley
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Phone: 241-3017
jkelley@netacc.net

RESIDENT COMMISSIONER

Ms. Carol Schwartz
RHA Commissioner
166 Danforth Street
Rochester, NY 14611

Phone: 428-5242
Fax: 428-5236
cschwartz@monroecounty.gov

January 2006

Rochester Housing Authority – Civil Rights Certification

The Rochester Housing Authority does hereby agree and certify that it will carry out this Agency Plan (both our Five-Year Plan and our Annual Plan) in compliance with all applicable civil rights requirements and will affirmatively further fair housing. In particular, we will comply with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990. This is in continuation of our longstanding anti-discrimination tradition.

Anthony P. DiBeise ^{Sal}
Executive Director

7/12/06
Date

13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

PHA Name

PHA Number/HA Code

- ___ Standard PHA Plan for Fiscal Year: 20__
- ___ Standard Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__
- ___ Streamlined Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Signature X 	Date 6/8/06

**Standard PHA Plan
PHA Certifications of Compliance**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and
Streamlined 5-Year/Annual PHA Plans***

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the __standard Annual, __standard 5-Year/Annual or __streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning _____, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: N/A Congressional District, if known:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>ANTHONY P. DiBIASE</u> Print Name: <u>ANTHONY P. DiBIASE</u> Title: <u>EXECUTIVE DIRECTOR</u> Telephone No.: <u>(585) 497-3602</u> Date: <u>7/7/04</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Rochester Housing Authority
Applicant Name

Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

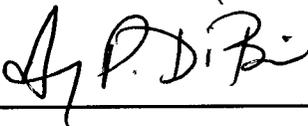
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	ANTHONY P. DiBIASE	Title	EXECUTIVE DIRECTOR
Signature		Date (mm/dd/yyyy)	7/7/06

Certification of Consistency

The City of Rochester has reviewed the 2007 Agency Plan of the Rochester Housing Authority and certifies that:

1. The Agency Plan assists in meeting the housing needs of the City of Rochester through its housing programs and by carrying out the goals and objectives of the Rochester Housing Authority.
2. The Agency Plan is consistent with housing affordability strategies of the City of Rochester.
3. The Agency Plan is consistent with the Consolidated Plan of the City of Rochester and the Analysis of Impediments to Fair Housing Choice.

For: Robert J. Duffy PK Malgouie
Mayor, City of Rochester Deputy Mayor

Date 7/7/06

**CITY OF ROCHESTER
30 CHURCH STREET**

PUBLIC NOTICE

The Rochester Housing Authority announces a 45-day public comment period beginning May 15, 2006 and ending June 28, 2006 to solicit suggestions concerning the FY 2007 Agency Plan. A copy of the draft Agency Plan may be accessed at the following web address:

<http://www.rochesterhousing.org/files/2007ap.pdf>. A hard copy version may be reviewed at the following locations: Executive Office, 675 West Main Street or the Application Processing Center, 495 Upper Falls Blvd. between the hours of 10:00 a.m. and 3:00 p.m. M-F.

A Public Hearing will be held on FY 2007 Agency Plan and Capital Fund Program, a program funded by the U.S. Department of Housing and Urban Development (HUD) for physical and management improvements in public housing. The hearing will be held: Wednesday, June 21, 2006 at 7:00 p.m. T.F.McHugh Training Center at 675 West Main Street, Rochester, NY 14611

At the June 21st hearing, interested parties are encouraged to SIGN IN and speak for a limited time. Those having lengthy comments should be submitted in writing: Attention - 2007 Agency Plan

LEGALS

4900

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Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	90,552	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	90,552	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150206 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	6,732	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	6,732	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

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