

PHA Plans

Streamlined Annual Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian
Housing

OMB No. 2577-0226
(exp. 05/31/2006)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan

for Fiscal Year: 2006

PHA Name: Rocky Mount Housing Authority

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: Rocky Mount Housing Authority

PHA Number: NC019

PHA Fiscal Year Beginning: (mm/yyyy) 01/2006

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
Number of public housing units: **656- (PIC)** Number of S8 units: Number of public housing units:
(Note: 768 units before the 1999 Flood)
Number of S8 units: **265**

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: Larry Russell
TDD: 252-977-3141

Phone: 252-450-3528
Email (if available): russell4000@rm-ha.org

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

PHA's main administrative office PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

Main administrative office of the PHA
 PHA development management offices
 Main administrative office of the local, county or State government
 Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA PHA development management offices

Other (list below)

Streamlined Annual PHA Plan

Fiscal Year 2006

[24 CFR Part 903.12(c)]

Table of Contents

[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

A. PHA PLAN COMPONENTS	Page #
<input type="checkbox"/> 1. Site-Based Waiting List Policies	4
903.7(b)(2) Policies on Eligibility, Selection, and Admissions	4
<input checked="" type="checkbox"/> 2. Capital Improvement Needs	5
903.7(g) Statement of Capital Improvements Needed	5
<input checked="" type="checkbox"/> 3. Section 8(y) Homeownership	6
903.7(k)(1)(i) Statement of Homeownership Programs	7
<input type="checkbox"/> 4. Project-Based Voucher Programs	8
<input checked="" type="checkbox"/> 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.	8
<input checked="" type="checkbox"/> 6. Supporting Documents Available for Review	9
<input checked="" type="checkbox"/> 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report - Attachment nc19a01	10
<input checked="" type="checkbox"/> 8. Capital Fund Program 5-Year Action Plan – Attachment nc019a02	15
<input checked="" type="checkbox"/> 9. Other Information – Attachments	
1. RMHA Mission Statement and Executive Summary – nc019b01	
2. RMHA– Definition of Substantial Deviation and Significant Amendment or Modification (903.7(r) – nc019c01	
3. RMHA Progress Report – nc019d01	
4. ResidentMember of the PHA Governing Board – nc19e01	
5. ResidentAdvisory Board – nc019f01	
6. Certifications and Disclosure of Lobbying Activities – nc019g01	

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace:

Form HUD-50071, Certification of Payments to Influence Federal Transactions; and

Form SF-LLL &SF-LLLa, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
<input type="checkbox"/>	Revitalization Plan under development
<input type="checkbox"/>	Revitalization Plan submitted, pending approval
<input type="checkbox"/>	Revitalization Plan approved
<input type="checkbox"/>	Activities pursuant to an approved Revitalization Plan underway

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:

4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

2. Program Description: : **Section 8 Homeownership Program Capacity Statement Rocky Mount Housing Authority will establish a minimum homeowner downpayment requirement of at least three percent and require that at least one percent of the downpayment come from the family resources once a Section 8 Homeownership Plan has been developed and submitted to HUD for approval.**

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? **10%**

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria: **To be developed once Section 8 homeownership Plan has been established and approved by HUD**

c. What actions will the PHA undertake to implement the program this year (list)?

STATEMENT OF ANY HOMEOWNERSHIP PROGRAM ADMINISTERED BY ROCKY MOUNT HOUSING AUTHORITY

This statement describes steps taken by Rocky Mount Housing Authority (RMHA) to address its homeownership programs.

Over the past years RMHA has made three (3) attempts to create additional multi-family public housing units and Elderly housing. None of the HUD three applications were approved.

Within the past four years, RMHA purchased a 3 bedroom single family home under the 203 (k) program and sold it within a four month period. There has not been any attempt to acquire additional 203 (k) units however, cities are given first choice to acquire these homes.

In 1997 RMHA formed a non-profit 501 C (3) named "South Eastern North Carolina Community Development Corporation (SENCCDC)". The primary goal of this corporation is to (1) create affordable housing units and (2) help residents in creating business. Currently SENCCDC is working with the town of Nashville, N.C. to help them create affordable housing. This is a partnership between the U.S. Department of Architecture, Southern Bank and Department of Commerce and SENCCDC using Home funds.

Several homeownership workshops have been conducted by RMHA and SENCCDC over the past five (5) years. During the FYs 2001 thru 2004 RMHA continues to conduct Homebuyers educational workshops.

RMHA is replacing forty-eight (48) units to be demolished with single family homes. Our intent is to sale all 48 of the homes under the 5H Program to qualified families. RMHA has hired a homeownership administrator to sale the 48 single family homes.

Section 8 Homeownership has been established. Other Homeownership Programs to be established: Rural USDA Housing; Tax Credits; Mix Income, Obtain Home Funds, Issuing Bonds and Migrant Housing. RMHA will apply for a loan under the Modernization Express Program to replace 64 apartments that are off-line due to the 1999 Flood. RMHA plans to borrow the funds from Fannie Mae.

As required by HUD, RMHA will be establishing a project base accounting and a project base management system.

RMHA will continue to explore every reasonable means to create homeownership opportunities for Residents.

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):
RMHA has a 5h Homeownership Program that has been in operation for over 2 years

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - low utilization rate for vouchers due to lack of suitable rental units
 - access to neighborhoods outside of high poverty areas
 - other (describe below):
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here) City of Rocky Mount

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) **To continue meeting with the City to determine how the City and RMHA can met housing needs.**

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
X	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
N/A	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans.	Annual Plan:

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
		Homeownership
X	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
N/A	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name:		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant:
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name				<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5
		FFY Grant: PHA FY:	FFY Grant: PHA FY:	FFY Grant: PHA FY:	FFY Grant: PHA FY:
	Annual Statement				
CFP Funds Listed for 5-year planning					
Replacement Housing Factor Funds					

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year : ____ FFY Grant: PHA FY:			Activities for Year: ____ FFY Grant: PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See						
Annual						
Statement						
Total CFP Estimated Cost			\$			\$

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

File Name: Policy Mount Housing Authority Grant Type and Number: Capital Fund Program Grant No. NC19PO1998106 Federal FY of Grant: 2006
 Replacement Housing Factor Grant No.

Original Annual Statement Revised for Discrepancies/Adjusts Revised Annual Statement (revision no.)
 Performance and Evaluation Report for Fiscal Ending Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	Total Actual Cost		
		Original	Revised	Obligated	Expended
1	Total Non-CFP FUND				
2	4208 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	4209 Management Improvements	\$218,047.00	\$0.00	\$0.00	\$0.00
4	4412 Administration	\$107,503.00	\$0.00	\$0.00	\$0.00
5	4411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	4415 Liquidated Charges	\$0.00	\$0.00	\$0.00	\$0.00
7	4412 Fees and Costs	\$24,000.00	\$0.00	\$0.00	\$0.00
8	4412 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	4412 Site Improvement	\$21,722.00	\$0.00	\$0.00	\$0.00
10	4412 Dwelling Structures	\$82,665.00	\$0.00	\$0.00	\$0.00
11	4412 Dwelling Equipment/Meperovable	\$225,087.25	\$0.00	\$0.00	\$0.00
12	4412 Handcuffing Services	\$7,441.00	\$0.00	\$0.00	\$0.00
13	4412 Handcuffing Equipment	\$35,000.00	\$0.00	\$0.00	\$0.00
14	4412 Penalties	\$0.00	\$0.00	\$0.00	\$0.00
15	4412 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	4412 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	4412 Recreation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	4412 Debt Service Payment	\$310,108.20	\$0.00	\$0.00	\$0.00
19	4412 Child/Adult Care or JCH Service	\$0.00	\$0.00	\$0.00	\$0.00
20	4412 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant (sum of lines 2-20)	\$1,033,734.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 reduced to JCH activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 reduced to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 reduced to Security -- Self-Care	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 reduced to Security -- Field Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 reduced to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFPRGPHF)
 Part II: Supporting Pages

Development Item Name Address	General Description of Replacement Project	Development Year	Costs	Total Performance		Performance		Status
				2010	2011	2010	2011	
NO 194 S.W. Westland Terrace	Builder Pooling New	1989	489,900	9,100	12,000	90.00	90.00	
		1989	489,900	10,000	8,000	80.00	80.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
		1989	489,900	10,000	10,000	100.00	100.00	
Total		1989	489,900	90,100	90,000	90.00	90.00	
Total		1989	489,900	90,100	90,000	90.00	90.00	

Annual Statement Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CRP/CRHR)
 Part II Supporting Pages

Year: 2005
 Date: 10/27/05
 Prepared by: [Name]

Line Item Description	Quantity	Unit	2005		2006	
			Estimate	Actual	Estimate	Actual
Total Available						
1.000	1.000	sq ft	1000	1000	1000	1000
2.000	1.000	sq ft	1000	1000	1000	1000
3.000	1.000	sq ft	1000	1000	1000	1000
4.000	1.000	sq ft	1000	1000	1000	1000
5.000	1.000	sq ft	1000	1000	1000	1000
6.000	1.000	sq ft	1000	1000	1000	1000
7.000	1.000	sq ft	1000	1000	1000	1000
8.000	1.000	sq ft	1000	1000	1000	1000
9.000	1.000	sq ft	1000	1000	1000	1000
10.000	1.000	sq ft	1000	1000	1000	1000
11.000	1.000	sq ft	1000	1000	1000	1000
12.000	1.000	sq ft	1000	1000	1000	1000
13.000	1.000	sq ft	1000	1000	1000	1000
14.000	1.000	sq ft	1000	1000	1000	1000
15.000	1.000	sq ft	1000	1000	1000	1000
16.000	1.000	sq ft	1000	1000	1000	1000
17.000	1.000	sq ft	1000	1000	1000	1000
18.000	1.000	sq ft	1000	1000	1000	1000
19.000	1.000	sq ft	1000	1000	1000	1000
20.000	1.000	sq ft	1000	1000	1000	1000
21.000	1.000	sq ft	1000	1000	1000	1000
22.000	1.000	sq ft	1000	1000	1000	1000
23.000	1.000	sq ft	1000	1000	1000	1000
24.000	1.000	sq ft	1000	1000	1000	1000
25.000	1.000	sq ft	1000	1000	1000	1000
26.000	1.000	sq ft	1000	1000	1000	1000
27.000	1.000	sq ft	1000	1000	1000	1000
28.000	1.000	sq ft	1000	1000	1000	1000
29.000	1.000	sq ft	1000	1000	1000	1000
30.000	1.000	sq ft	1000	1000	1000	1000
31.000	1.000	sq ft	1000	1000	1000	1000
32.000	1.000	sq ft	1000	1000	1000	1000
33.000	1.000	sq ft	1000	1000	1000	1000
34.000	1.000	sq ft	1000	1000	1000	1000
35.000	1.000	sq ft	1000	1000	1000	1000
36.000	1.000	sq ft	1000	1000	1000	1000
37.000	1.000	sq ft	1000	1000	1000	1000
38.000	1.000	sq ft	1000	1000	1000	1000
39.000	1.000	sq ft	1000	1000	1000	1000
40.000	1.000	sq ft	1000	1000	1000	1000
41.000	1.000	sq ft	1000	1000	1000	1000
42.000	1.000	sq ft	1000	1000	1000	1000
43.000	1.000	sq ft	1000	1000	1000	1000
44.000	1.000	sq ft	1000	1000	1000	1000
45.000	1.000	sq ft	1000	1000	1000	1000
46.000	1.000	sq ft	1000	1000	1000	1000
47.000	1.000	sq ft	1000	1000	1000	1000
48.000	1.000	sq ft	1000	1000	1000	1000
49.000	1.000	sq ft	1000	1000	1000	1000
50.000	1.000	sq ft	1000	1000	1000	1000
51.000	1.000	sq ft	1000	1000	1000	1000
52.000	1.000	sq ft	1000	1000	1000	1000
53.000	1.000	sq ft	1000	1000	1000	1000
54.000	1.000	sq ft	1000	1000	1000	1000
55.000	1.000	sq ft	1000	1000	1000	1000
56.000	1.000	sq ft	1000	1000	1000	1000
57.000	1.000	sq ft	1000	1000	1000	1000
58.000	1.000	sq ft	1000	1000	1000	1000
59.000	1.000	sq ft	1000	1000	1000	1000
60.000	1.000	sq ft	1000	1000	1000	1000
61.000	1.000	sq ft	1000	1000	1000	1000
62.000	1.000	sq ft	1000	1000	1000	1000
63.000	1.000	sq ft	1000	1000	1000	1000
64.000	1.000	sq ft	1000	1000	1000	1000
65.000	1.000	sq ft	1000	1000	1000	1000
66.000	1.000	sq ft	1000	1000	1000	1000
67.000	1.000	sq ft	1000	1000	1000	1000
68.000	1.000	sq ft	1000	1000	1000	1000
69.000	1.000	sq ft	1000	1000	1000	1000
70.000	1.000	sq ft	1000	1000	1000	1000
71.000	1.000	sq ft	1000	1000	1000	1000
72.000	1.000	sq ft	1000	1000	1000	1000
73.000	1.000	sq ft	1000	1000	1000	1000
74.000	1.000	sq ft	1000	1000	1000	1000
75.000	1.000	sq ft	1000	1000	1000	1000
76.000	1.000	sq ft	1000	1000	1000	1000
77.000	1.000	sq ft	1000	1000	1000	1000
78.000	1.000	sq ft	1000	1000	1000	1000
79.000	1.000	sq ft	1000	1000	1000	1000
80.000	1.000	sq ft	1000	1000	1000	1000
81.000	1.000	sq ft	1000	1000	1000	1000
82.000	1.000	sq ft	1000	1000	1000	1000
83.000	1.000	sq ft	1000	1000	1000	1000
84.000	1.000	sq ft	1000	1000	1000	1000
85.000	1.000	sq ft	1000	1000	1000	1000
86.000	1.000	sq ft	1000	1000	1000	1000
87.000	1.000	sq ft	1000	1000	1000	1000
88.000	1.000	sq ft	1000	1000	1000	1000
89.000	1.000	sq ft	1000	1000	1000	1000
90.000	1.000	sq ft	1000	1000	1000	1000
91.000	1.000	sq ft	1000	1000	1000	1000
92.000	1.000	sq ft	1000	1000	1000	1000
93.000	1.000	sq ft	1000	1000	1000	1000
94.000	1.000	sq ft	1000	1000	1000	1000
95.000	1.000	sq ft	1000	1000	1000	1000
96.000	1.000	sq ft	1000	1000	1000	1000
97.000	1.000	sq ft	1000	1000	1000	1000
98.000	1.000	sq ft	1000	1000	1000	1000
99.000	1.000	sq ft	1000	1000	1000	1000
100.000	1.000	sq ft	1000	1000	1000	1000
Total Available						

Capital Fund Program Five-Year Action Plan
Part I: Summary

10-019-002

Title Name:
Rocky Mount Housing Authority

Capital Program
 Position No.:

Developer/Item Number/Description	Year 1	State Statement for Year 2		State Statement for Year 3	
		TY Oper. 2007 PHACTY	FY Grant 2008 PHACTY	TY Oper. 2009 PHACTY	FY Grant 2010 PHACTY
NO 19-1 Need End The 199	2006	\$08,000	\$88,000	\$100,000	\$200,000
NO 19-2 Need Amenity		\$0	\$0	\$0	\$0
NO 19-3 Need Hrd. 27300		\$200,000	\$400,000	\$0	\$0,532
NO 19-4 Need 20 80000		\$0	\$0	\$0	\$0
NO 19-5 Challenge Sites		\$0	\$0	\$0	\$0
NO 19-6 Security Larc		\$0	\$0	\$310,000	\$40,000
Plat. Oper. Improvment		\$1,000,000	\$1,700,000	\$1,000,000	\$1,700,000
All Paved		\$0	\$0	\$0	\$0
HOV-015 Physical Activities		\$200,000	\$40,000	\$100,000	\$19,000
HOV-016 Rec. Programs/Activities		\$0	\$0	\$0	\$0
HOV-017a Contingency 25%		\$0	\$0	\$0	\$0
HOV-017b Used for Door Services		\$40,000	\$0,000	\$310,000	\$110,000
HOV-018		\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000
HOV-019		\$0	\$0	\$0	\$0
HOV-020		\$0	\$0	\$0	\$0
HOV-021		\$0	\$0	\$0	\$0
HOV-022		\$0	\$0	\$0	\$0
HOV-023		\$0	\$0	\$0	\$0
HOV-024		\$0	\$0	\$0	\$0
HOV-025		\$0	\$0	\$0	\$0
HOV-026		\$0	\$0	\$0	\$0
HOV-027		\$0	\$0	\$0	\$0
HOV-028		\$0	\$0	\$0	\$0
HOV-029		\$0	\$0	\$0	\$0
HOV-030		\$0	\$0	\$0	\$0
HOV-031		\$0	\$0	\$0	\$0
HOV-032		\$0	\$0	\$0	\$0
HOV-033		\$0	\$0	\$0	\$0
HOV-034		\$0	\$0	\$0	\$0
HOV-035		\$0	\$0	\$0	\$0
HOV-036		\$0	\$0	\$0	\$0
HOV-037		\$0	\$0	\$0	\$0
HOV-038		\$0	\$0	\$0	\$0
HOV-039		\$0	\$0	\$0	\$0
HOV-040		\$0	\$0	\$0	\$0
HOV-041		\$0	\$0	\$0	\$0
HOV-042		\$0	\$0	\$0	\$0
HOV-043		\$0	\$0	\$0	\$0
HOV-044		\$0	\$0	\$0	\$0
HOV-045		\$0	\$0	\$0	\$0
HOV-046		\$0	\$0	\$0	\$0
HOV-047		\$0	\$0	\$0	\$0
HOV-048		\$0	\$0	\$0	\$0
HOV-049		\$0	\$0	\$0	\$0
HOV-050		\$0	\$0	\$0	\$0
HOV-051		\$0	\$0	\$0	\$0
HOV-052		\$0	\$0	\$0	\$0
HOV-053		\$0	\$0	\$0	\$0
HOV-054		\$0	\$0	\$0	\$0
HOV-055		\$0	\$0	\$0	\$0
HOV-056		\$0	\$0	\$0	\$0
HOV-057		\$0	\$0	\$0	\$0
HOV-058		\$0	\$0	\$0	\$0
HOV-059		\$0	\$0	\$0	\$0
HOV-060		\$0	\$0	\$0	\$0
HOV-061		\$0	\$0	\$0	\$0
HOV-062		\$0	\$0	\$0	\$0
HOV-063		\$0	\$0	\$0	\$0
HOV-064		\$0	\$0	\$0	\$0
HOV-065		\$0	\$0	\$0	\$0
HOV-066		\$0	\$0	\$0	\$0
HOV-067		\$0	\$0	\$0	\$0
HOV-068		\$0	\$0	\$0	\$0
HOV-069		\$0	\$0	\$0	\$0
HOV-070		\$0	\$0	\$0	\$0
HOV-071		\$0	\$0	\$0	\$0
HOV-072		\$0	\$0	\$0	\$0
HOV-073		\$0	\$0	\$0	\$0
HOV-074		\$0	\$0	\$0	\$0
HOV-075		\$0	\$0	\$0	\$0
HOV-076		\$0	\$0	\$0	\$0
HOV-077		\$0	\$0	\$0	\$0
HOV-078		\$0	\$0	\$0	\$0
HOV-079		\$0	\$0	\$0	\$0
HOV-080		\$0	\$0	\$0	\$0
HOV-081		\$0	\$0	\$0	\$0
HOV-082		\$0	\$0	\$0	\$0
HOV-083		\$0	\$0	\$0	\$0
HOV-084		\$0	\$0	\$0	\$0
HOV-085		\$0	\$0	\$0	\$0
HOV-086		\$0	\$0	\$0	\$0
HOV-087		\$0	\$0	\$0	\$0
HOV-088		\$0	\$0	\$0	\$0
HOV-089		\$0	\$0	\$0	\$0
HOV-090		\$0	\$0	\$0	\$0
HOV-091		\$0	\$0	\$0	\$0
HOV-092		\$0	\$0	\$0	\$0
HOV-093		\$0	\$0	\$0	\$0
HOV-094		\$0	\$0	\$0	\$0
HOV-095		\$0	\$0	\$0	\$0
HOV-096		\$0	\$0	\$0	\$0
HOV-097		\$0	\$0	\$0	\$0
HOV-098		\$0	\$0	\$0	\$0
HOV-099		\$0	\$0	\$0	\$0
HOV-100		\$0	\$0	\$0	\$0
HOV-101		\$0	\$0	\$0	\$0
HOV-102		\$0	\$0	\$0	\$0
HOV-103		\$0	\$0	\$0	\$0
HOV-104		\$0	\$0	\$0	\$0
HOV-105		\$0	\$0	\$0	\$0
HOV-106		\$0	\$0	\$0	\$0
HOV-107		\$0	\$0	\$0	\$0
HOV-108		\$0	\$0	\$0	\$0
HOV-109		\$0	\$0	\$0	\$0
HOV-110		\$0	\$0	\$0	\$0
HOV-111		\$0	\$0	\$0	\$0
HOV-112		\$0	\$0	\$0	\$0
HOV-113		\$0	\$0	\$0	\$0
HOV-114		\$0	\$0	\$0	\$0
HOV-115		\$0	\$0	\$0	\$0
HOV-116		\$0	\$0	\$0	\$0
HOV-117		\$0	\$0	\$0	\$0
HOV-118		\$0	\$0	\$0	\$0
HOV-119		\$0	\$0	\$0	\$0
HOV-120		\$0	\$0	\$0	\$0
HOV-121		\$0	\$0	\$0	\$0
HOV-122		\$0	\$0	\$0	\$0
HOV-123		\$0	\$0	\$0	\$0
HOV-124		\$0	\$0	\$0	\$0
HOV-125		\$0	\$0	\$0	\$0
HOV-126		\$0	\$0	\$0	\$0
HOV-127		\$0	\$0	\$0	\$0
HOV-128		\$0	\$0	\$0	\$0
HOV-129		\$0	\$0	\$0	\$0
HOV-130		\$0	\$0	\$0	\$0
HOV-131		\$0	\$0	\$0	\$0
HOV-132		\$0	\$0	\$0	\$0
HOV-133		\$0	\$0	\$0	\$0
HOV-134		\$0	\$0	\$0	\$0
HOV-135		\$0	\$0	\$0	\$0
HOV-136		\$0	\$0	\$0	\$0
HOV-137		\$0	\$0	\$0	\$0
HOV-138		\$0	\$0	\$0	\$0
HOV-139		\$0	\$0	\$0	\$0
HOV-140		\$0	\$0	\$0	\$0
HOV-141		\$0	\$0	\$0	\$0
HOV-142		\$0	\$0	\$0	\$0
HOV-143		\$0	\$0	\$0	\$0
HOV-144		\$0	\$0	\$0	\$0
HOV-145		\$0	\$0	\$0	\$0
HOV-146		\$0	\$0	\$0	\$0
HOV-147		\$0	\$0	\$0	\$0
HOV-148		\$0	\$0	\$0	\$0
HOV-149		\$0	\$0	\$0	\$0
HOV-150		\$0	\$0	\$0	\$0
HOV-151		\$0	\$0	\$0	\$0
HOV-152		\$0	\$0	\$0	\$0
HOV-153		\$0	\$0	\$0	\$0
HOV-154		\$0	\$0	\$0	\$0
HOV-155		\$0	\$0	\$0	\$0
HOV-156		\$0	\$0	\$0	\$0
HOV-157		\$0	\$0	\$0	\$0
HOV-158		\$0	\$0	\$0	\$0
HOV-159		\$0	\$0	\$0	\$0
HOV-160		\$0	\$0	\$0	\$0
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HOV-165		\$0	\$0	\$0	\$0
HOV-166		\$0	\$0	\$0	\$0
HOV-167		\$0	\$0	\$0	\$0
HOV-168		\$0	\$0	\$0	\$0
HOV-169		\$0	\$0	\$0	\$0
HOV-170		\$0	\$0	\$0	\$0
HOV-171		\$0	\$0	\$0	\$0
HOV-172		\$0	\$0	\$0	\$0
HOV-173		\$0	\$0	\$0	\$0
HOV-174		\$0	\$0	\$0	\$0
HOV-175		\$0	\$0	\$0	\$0
HOV-176		\$0	\$0	\$0	\$0
HOV-177		\$0	\$0	\$0	\$0
HOV-178		\$0	\$0	\$0	\$0
HOV-179		\$0	\$0	\$0	\$0
HOV-180		\$0	\$0	\$0	\$0
HOV-181		\$0	\$0	\$0	\$0
HOV-182		\$0	\$0	\$0	\$0
HOV-183		\$0	\$0	\$0	\$0
HOV-184		\$0	\$0	\$0	\$0
HOV-185		\$0	\$0	\$0	\$0
HOV-186		\$0	\$0	\$0	\$0
HOV-187		\$0	\$0	\$0	\$0
HOV-188		\$0	\$0	\$0	\$0
HOV-189		\$0	\$0	\$0	\$0
HOV-190		\$0	\$0	\$0	\$0
HOV-191		\$0	\$0	\$0	\$0
HOV-192		\$0	\$0	\$0	\$0
HOV-193		\$0	\$0	\$0	\$0
HOV-194		\$0	\$0	\$0	\$0
HOV-195		\$0	\$0	\$0	\$0
HOV-196		\$0	\$0	\$0	\$0
HOV-197		\$0	\$0	\$0	\$0
HOV-198		\$0	\$0	\$0	\$0
HOV-199		\$0	\$0	\$0	\$0
HOV-200		\$0	\$0	\$0	\$0
HOV-201		\$0	\$0	\$0	\$0
HOV-202		\$0	\$0	\$0	\$0
HOV-203		\$0	\$0	\$0	\$0
HOV-204		\$0	\$0	\$0	\$0
HOV-205		\$0	\$0	\$0	\$0
HOV-206		\$0	\$0	\$0	\$0
HOV-207		\$0	\$0	\$0	\$0
HOV-208		\$0	\$0	\$0	\$0
HOV-209		\$0	\$0	\$0	\$0
HOV-210		\$0	\$0	\$0	\$0
HOV-211		\$0	\$0	\$0	\$0
HOV-212		\$0	\$0	\$0	\$0
HOV-213		\$0	\$0	\$0	\$0
HOV-214		\$0	\$0	\$0	\$0
HOV-215		\$0	\$0	\$0	\$0
HOV-216		\$0	\$0	\$0	\$0
HOV-217		\$0	\$0	\$0	\$0
HOV-218		\$0	\$0	\$0	\$0
HOV-219		\$0	\$0	\$0	\$0
HOV-220		\$0	\$0	\$0	\$0
HOV-221		\$0	\$0	\$0	\$0
HOV-222		\$0	\$0	\$0	\$0
HOV-223		\$0	\$0	\$0	\$0
HOV-224		\$0	\$0	\$0	\$0
HOV-225		\$0	\$0	\$0	\$0
HOV-226		\$0	\$0	\$0	\$0
HOV-227		\$0	\$0	\$0	\$0
HOV-228		\$0	\$0	\$0	\$0
HOV-229		\$0	\$0	\$0	\$0
HOV-230		\$0	\$0	\$0	\$0
HOV-231		\$0	\$0	\$0	\$0
HOV-232		\$0	\$0		

Capital Fund Program Five-Year Action Plan
 Part II: Supporting Pages--Work Activities

Approved for Year 2
 FY Grant: 2007
 F-14-17

Submitted by: SAJ
 FY Grant: 2008
 F-14-17

Activity for Year 1	Activity for Year 2	Activity for Year 3	Activity for Year 4	Activity for Year 5
2003	2004	2005	2006	2007
Management Improvement				
Site Management Improvement				
Mechanical and Electrical				
Building Exterior				
Dwelling Units				
Dwelling Equipment				
Interior Common Areas				
Site-wide Facilities				
Manufacturing Equipment				
Subtotal of Estimated Cost				
\$176,000	\$176,000	\$176,000	\$176,000	\$176,000

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages--Work Activities

Activity for Year	Actual for Year 4 FFY Start 2008 HA Yr		Actual for Year 5 FFY Start 2010 HA Yr	
	Quantity Year/Number	Estimate Cost	Quantity Year/Number	Estimate Cost
2005	Management Improvement			
	Site Mechanical Improvement	\$175,000	Site Mechanical Improvement	\$175,000
	Total Site	\$175,000	Total Site	\$175,000
	Mechanical and Electrical Name	\$0	Mechanical and Electrical Name	\$0
	Total M&E	\$0	Total M&E	\$0
	Building Exterior Name	\$0	Building Exterior Name	\$0
	Total B/E	\$0	Total B/E	\$0
	Dwelling Units: None	\$0	Dwelling Units: None	\$0
	Total DUs	\$0	Total DUs	\$0
	Dwelling Equipment None	\$0	Dwelling Equipment None	\$0
	Total D.E.	\$0	Total D.E.	\$0
	Interior Common Areas: None	\$0	Interior Common Areas: None	\$0
	Total ICA	\$0	Total ICA	\$0
	Site/Field Facilities: None	\$0	Site/Field Facilities: None	\$0
	Total S/F	\$0	Total S/F	\$0
	Nonbuilding Equipment None	\$0	Nonbuilding Equipment None	\$0
	Total NBE	\$0	Total NBE	\$0
	Subtotal of Estimated Cost	\$175,000	Subtotal of Estimated Cost	\$175,000

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages--Work Activities

Activity for:
Year 1
2008

Activity in Year 3
FY Start 2008
FY End 2008

Activity for: Year 1 2008	Activity in Year 3 FY Start 2008 FY End 2008
<p>HA-Wide Physical Improvements</p> <p>HA-WIDE Site: Water, Sewer, Gas, and Electric: Total Cost: \$100,000</p> <p>ON-DEMAND Mech. and Electrical: Level on Third Level: Total Cost: \$0</p> <p>ON-DEMAND Building Exterior Work: Total S.E.: \$1,500</p> <p>ON-DEMAND Dwelling Units: Uninspected physical needs: Total Cost: \$20,000</p> <p>HA-WIDE Dwelling Equipment Work: Total Cost: \$0</p> <p>HA-WIDE Interior Common Areas: Plans: Total Cost: \$0</p> <p>HA-WIDE Structural Facilities: Work: Total Cost: \$0</p> <p>HA-WIDE Nonworking Equipment Work: Total Cost: \$10,000</p> <p>Subtotal of Estimated Cost: \$200,000</p>	<p>HA-Wide Physical Improvements</p> <p>HA-WIDE Site: Water, Sewer, Gas, and Electric: Total Cost: \$200,000</p> <p>ON-DEMAND Mech. and Electrical: Electric Panel Upgrade: Total Cost: \$0</p> <p>ON-DEMAND Building Exterior Work: Total Cost: \$0</p> <p>ON-DEMAND Dwelling Units: Uninspected physical needs: Total Cost: \$20,000</p> <p>HA-WIDE Dwelling Equipment Work: Total Cost: \$0</p> <p>HA-WIDE Interior Common Areas: Work: Total Cost: \$0</p> <p>HA-WIDE Structural Facilities: Upgrade Structural Facilities: Total Cost: \$0</p> <p>HA-WIDE Nonworking Equipment: Work: Total Cost: \$10,000</p> <p>Subtotal of Estimated Cost: \$200,000</p>

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages--Work Activities

Activity for Year 1 2009		Activity for Year 1 FY Cost 2009 PHYS.		Activity for Year 2 FY Cost 2010 PHYS.	
Category	Subcategory	Category	Subcategory	Category	Subcategory
HA-WIDE Physical Improvements	HA-WIDE Physical Improvements	HA-WIDE Physical Improvements	HA-WIDE Physical Improvements	HA-WIDE Physical Improvements	HA-WIDE Physical Improvements
Operative Expenses	Operative Expenses	Operative Expenses	Operative Expenses	Operative Expenses	Operative Expenses
HA-WIDE Site	HA-WIDE Site	HA-WIDE Site	HA-WIDE Site	HA-WIDE Site	HA-WIDE Site
1001 SWP	1001 SWP	1001 SWP	1001 SWP	1001 SWP	1001 SWP
ON-DEMAND Mech. and Electrical	ON-DEMAND Mech. and Electrical	ON-DEMAND Mech. and Electrical	ON-DEMAND Mech. and Electrical	ON-DEMAND Mech. and Electrical	ON-DEMAND Mech. and Electrical
None	None	None	None	None	None
Total M&P	Total M&P	Total M&P	Total M&P	Total M&P	Total M&P
ON-DEMAND Building Exterior	ON-DEMAND Building Exterior	ON-DEMAND Building Exterior	ON-DEMAND Building Exterior	ON-DEMAND Building Exterior	ON-DEMAND Building Exterior
None	None	None	None	None	None
Total P.L.L.	Total P.L.L.	Total P.L.L.	Total P.L.L.	Total P.L.L.	Total P.L.L.
ON-DEMAND Dwelling Units	ON-DEMAND Dwelling Units	ON-DEMAND Dwelling Units	ON-DEMAND Dwelling Units	ON-DEMAND Dwelling Units	ON-DEMAND Dwelling Units
ON-DEMAND Physical Needs	ON-DEMAND Physical Needs	ON-DEMAND Physical Needs	ON-DEMAND Physical Needs	ON-DEMAND Physical Needs	ON-DEMAND Physical Needs
None	None	None	None	None	None
Total O&M	Total O&M	Total O&M	Total O&M	Total O&M	Total O&M
HA-WIDE Dwelling Equipment	HA-WIDE Dwelling Equipment	HA-WIDE Dwelling Equipment	HA-WIDE Dwelling Equipment	HA-WIDE Dwelling Equipment	HA-WIDE Dwelling Equipment
None	None	None	None	None	None
Total T&E	Total T&E	Total T&E	Total T&E	Total T&E	Total T&E
HA-WIDE Interior Common Areas	HA-WIDE Interior Common Areas	HA-WIDE Interior Common Areas	HA-WIDE Interior Common Areas	HA-WIDE Interior Common Areas	HA-WIDE Interior Common Areas
None	None	None	None	None	None
Total O&M	Total O&M	Total O&M	Total O&M	Total O&M	Total O&M
HA-WIDE Site-Wide Facilities	HA-WIDE Site-Wide Facilities	HA-WIDE Site-Wide Facilities	HA-WIDE Site-Wide Facilities	HA-WIDE Site-Wide Facilities	HA-WIDE Site-Wide Facilities
None	None	None	None	None	None
Total O&M	Total O&M	Total O&M	Total O&M	Total O&M	Total O&M
HA-WIDE Handwashing Equipment	HA-WIDE Handwashing Equipment	HA-WIDE Handwashing Equipment	HA-WIDE Handwashing Equipment	HA-WIDE Handwashing Equipment	HA-WIDE Handwashing Equipment
None	None	None	None	None	None
Total N.C.L.	Total N.C.L.	Total N.C.L.	Total N.C.L.	Total N.C.L.	Total N.C.L.
Subtotal of Estimated Cost	Subtotal of Estimated Cost	Subtotal of Estimated Cost	Subtotal of Estimated Cost	Subtotal of Estimated Cost	Subtotal of Estimated Cost
	\$133,263		\$133,263		\$133,263

Capital Fund Program Five-Year Action Plan
 Part II: Supporting Pages—Work Activities

Activity Year	Activity for Year 4 Fiscal Year 2009		Activity for Year 5 Fiscal Year 2010		Estimate Cost
	Description NAJGFC/CR	NAJGFC Category	Description NAJGFC/CR	NAJGFC Category	
	NC19-1, West End Terrace		NC19-1, West End Terrace		
	Site Ramp	\$0	Site Ramp	\$0	\$0
	Total Site	\$0	Total Site	\$0	\$0
	Mechanics and Electrical: Crew & Expense	\$162,426	Mechanics and Electrical:	\$40,000	\$40,000
	Labor Rate	\$162,426	Labor Rate	\$20,000	\$20,000
	Building Exterior Work	\$0	Building Exterior Work	\$0	\$0
	Total Work	\$0	Total Work	\$0	\$0
	Dwelling Units: New	\$0	Dwelling Units: New	\$0	\$0
	Total Work	\$0	Total Work	\$0	\$0
	Dwelling Equipment: HVAC	\$0	Dwelling Equipment: HVAC	\$200,000	\$200,000
	Total D.E.	\$0	Total D.E.	\$200,000	\$200,000
	Interior Common Areas Work	\$0	Interior Common Areas Work	\$0	\$0
	Total Work	\$0	Total Work	\$0	\$0
	Site/Shop Finish Work	\$0	Site/Shop Finish Work	\$0	\$0
	Total Work	\$0	Total Work	\$0	\$0
	Merchandise Equipment Work	\$0	Merchandise Equipment Work	\$0	\$0
	Total Work	\$0	Total Work	\$0	\$0
	Subtotal of Unimproved Cost	\$162,426	Subtotal of Improved Cost	\$260,000	\$260,000

Capital Fund Program Five-Year Action Plan
 Part II: Supporting Pages---Work Activities

Activity for Year 1
 2008
 Address for Year 2
 11 - Canal 2008
 PHA FY:

Activity for Year 2
 2008
 Address for Year 2
 11 - Canal 2008
 PHA FY:

Activity for Year 1 2008	2008-2009 Description	Estimated Cost	NC 50-50 Match Description	2008-2009 Description	Estimated Cost
	Site:	\$0	Site:	\$0	
	ICB Site:	\$0	ICB Site:	\$0	
	Mechanical and Electrical P&E:	\$0	Mechanical and Electrical P&E:	\$0	
	Total M&E:	\$0	Total M&E:	\$0	
	Building Exterior P&E:	\$0	Building Exterior P&E:	\$0	
	Total B&E:	\$0	Total B&E:	\$0	
	Dwelling Units: NOC:	\$0	Dwelling Units: NOC:	\$0	
	Total ELU:	\$0	Total ELU:	\$0	
	Dwelling Equipment NOC:	\$0	Dwelling Equipment NOC:	\$0	
	Total D.E.	\$0	Total D.E.	\$0	
	Incisor Corridor Access: None	\$0	Incisor Corridor Access: None	\$0	
	Total CAS:	\$0	Total CAS:	\$0	
	Site Work Facilities: None	\$0	Site Work Facilities: None	\$0	
	Total SW-F:	\$0	Total SW-F:	\$0	
	Non-dwelling Equipment: NOC:	\$0	Non-dwelling Equipment: None	\$0	
	Total NEP:	\$0	Total NEP:	\$0	
	Subtotal of Estimated Cost	\$0	Subtotal of Estimated Cost	\$0	

Capital Fund Program Five-Year Action Plan
 Part II: Supporting Pages---Work Activities

Activity for Year 1	Activity for Year 2	Activity for Year 3	Activity for Year 4	Activity for Year 5
2006	2007	2008	2009	2010
<p>NC 10.3 Ward End Terrace</p> <p>Site: None Total Site: \$0</p> <p>Mechanical and Electrical: None Total ME: \$0</p> <p>Building Exterior: None Total B E: \$0</p> <p>Dwelling Units: None Total DU: \$0</p> <p>Dwelling Equipment: None Total D.E.: \$0</p> <p>Interior Common Areas: None Total CA: \$0</p> <p>Site/Work Facilities: None Total SWF: \$0</p> <p>Non-dwelling Equipment: None Total NE: \$0</p> <p>Total V/P: \$0</p>	<p>NC 9.3 Ward End Terrace</p> <p>Site: None Total Site: \$0</p> <p>Mechanical and Electrical: None Total ME: \$0</p> <p>Building Exterior: None Total B E: \$0</p> <p>Dwelling Units: None Total DU: \$0</p> <p>Dwelling Equipment: None Total D.E.: \$0</p> <p>Interior Common Areas: None Total CA: \$0</p> <p>Site/Work Facilities: None Total SWF: \$0</p> <p>Non-dwelling Equipment: None Total NE: \$0</p> <p>Total V/P: \$0</p>	<p>Site: None Total Site: \$0</p> <p>Mechanical and Electrical: None Total ME: \$0</p> <p>Building Exterior: None Total B E: \$0</p> <p>Dwelling Units: None Total DU: \$0</p> <p>Dwelling Equipment: None Total D.E.: \$0</p> <p>Interior Common Areas: None Total CA: \$0</p> <p>Site/Work Facilities: None Total SWF: \$0</p> <p>Non-dwelling Equipment: None Total NE: \$0</p> <p>Total V/P: \$0</p>	<p>Site: None Total Site: \$0</p> <p>Mechanical and Electrical: None Total ME: \$0</p> <p>Building Exterior: None Total B E: \$0</p> <p>Dwelling Units: None Total DU: \$0</p> <p>Dwelling Equipment: None Total D.E.: \$0</p> <p>Interior Common Areas: None Total CA: \$0</p> <p>Site/Work Facilities: None Total SWF: \$0</p> <p>Non-dwelling Equipment: None Total NE: \$0</p> <p>Total V/P: \$0</p>	<p>Site: None Total Site: \$0</p> <p>Mechanical and Electrical: None Total ME: \$0</p> <p>Building Exterior: None Total B E: \$0</p> <p>Dwelling Units: None Total DU: \$0</p> <p>Dwelling Equipment: None Total D.E.: \$0</p> <p>Interior Common Areas: None Total CA: \$0</p> <p>Site/Work Facilities: None Total SWF: \$0</p> <p>Non-dwelling Equipment: None Total NE: \$0</p> <p>Total V/P: \$0</p>
Subtotal of Estimated Cost	\$0	\$0	\$0	\$0

Capital Fund Program Five-Year Action Plan
 Part II: Supporting Pages--Work Activities

Activities for Year 1
 FY 2007

Activities for Year 2
 FY 2008

Activity Name	Category	Activity Name	Category
NC 18-4 Waste Armington		NC 18-4 Waste Armington	
Site Name	Site Name	Site Name	Site Name
Total Site	Total Site	Total Site	Total Site
Mechanical and Electrical	Mechanical and Electrical	Mechanical and Electrical	Mechanical and Electrical
ACU	ACU	ACU	ACU
Total ACU	Total ACU	Total ACU	Total ACU
Building Exterior	Building Exterior	Building Exterior	Building Exterior
Roofs	Roofs	Roofs	Roofs
Total R.E.	Total R.E.	Total R.E.	Total R.E.
Dwelling Units	Dwelling Units	Dwelling Units	Dwelling Units
None	None	None	None
Total DU	Total DU	Total DU	Total DU
Dwelling Equipment	Dwelling Equipment	Dwelling Equipment	Dwelling Equipment
Advised for Removal	Advised for Removal	Advised for Removal	Advised for Removal
Total D.E.	Total D.E.	Total D.E.	Total D.E.
Interior Common Areas	Interior Common Areas	Interior Common Areas	Interior Common Areas
None	None	None	None
Total C.A.	Total C.A.	Total C.A.	Total C.A.
Site-Wide Facilities	Site-Wide Facilities	Site-Wide Facilities	Site-Wide Facilities
None	None	None	None
Total S.W.F.	Total S.W.F.	Total S.W.F.	Total S.W.F.
Handwringing Equipment	Handwringing Equipment	Handwringing Equipment	Handwringing Equipment
None	None	None	None
Total H.E.	Total H.E.	Total H.E.	Total H.E.
Subtotal of Estimated Cost			
\$0	\$0	\$0	\$0

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages--Work Activities

Activities for Year 2
Fiscal Year 2007
P-4A-F2

Activities for Year 3
Fiscal Year 2008
P-4A-F3

Address for Year 1	Program - Fund/Activity	Major Work Category	Estimated Cost	Program - Fund/Activity	Major Work Category	Estimated Cost
	NC 19 9 McIntyre Lane			NC 19 9 McIntyre Lane		
	Site:		\$0	Site:		\$0
	Notes			Notes		
		Total Site:	\$0		Total Site:	\$0
		Mechanical and Electrical:			Mechanical and Electrical:	
		Total ME:	\$0		Total ME:	\$0
		Building Exterior:			Building Exterior:	
		Total B.E.:	\$0		Total B.E.:	\$0
		Dwelling Units:			Dwelling Units:	
		Notes			Notes	
		Total F.A.S.:	\$0		Total F.A.S.:	\$0
		Dwelling Equipment:			Dwelling Equipment:	
		Notes			Notes	
		Total D.E.:	\$0		Total D.E.:	\$0
		Interior Common Areas:			Interior Common Areas:	
		Notes			Notes	
		Total C.A.S.:	\$0		Total C.A.S.:	\$0
		Site Wide Facilities:			Site Wide Facilities:	
		Notes			Notes	
		Total S.W.F.:	\$0		Total S.W.F.:	\$0
		Nonbuilding Equipment:			Nonbuilding Equipment:	
		Notes			Notes	
		Total N.B.E.:	\$0		Total N.B.E.:	\$0
		Subject of Estimated Cost			Subject of Estimated Cost	
			\$0			\$0

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages--Work Activities

Activity Year	Activity for Year 4 FY 2009		Activity for Year 5 FY 2010		Estimated Cost
	Department Element	NAE Code Element	Department Element	NAE Code Element	
2009	NC 19-8 Machinery Lease		MD 19-8 Machinery Lease		
		Site: See also: 19-119 (see entry)	Site: Curt Apts		\$80,000
		Total Site:	Total Site:		\$90,000
		Mechanical and Electrical P-900	Mechanical and Electrical NAE		\$0
		Total P-900:	Total M&E:		\$0
		Building Exterior Power Misc	Building Exterior NAE		\$0
		Total P-900:	Total P-900:		\$0
		Dwelling Units: NAE	Dwelling Units: NAE		\$0
		Total DUE:	Total DUE:		\$0
		Dwelling Equipment NAE	Dwelling Equipment NAE		\$0
		Total DUE:	Total DUE:		\$0
		Interior Common Areas: NAE	Interior Common Areas: NAE		\$0
		Total ICAE:	Total ICAE:		\$0
		Site-Wide Facilities: LA-CERTIFY	Site-Wide Facilities: NAE		\$0
		Total SWEF:	Total SWEF:		\$0
		Manufacturing Equipment: NAE	Manufacturing Equipment: NAE		\$0
		Total MFE:	Total MFE:		\$0
		Subtotal of Estimated Cost:	Subtotal of Estimated Cost:		\$90,000

CAPITAL FUNDS PROGRAM 2006
HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NC

ACCOUNT 1410

ADMINISTRATION COST ALLOCATION
METHODOLOGY

<u>LINE ACCOUNT ITEM:</u>	<u>BUDGET:</u>
Travel Expenses	\$1,500.00
Printing of Publications	\$1,500.00
Membership Dues and Fees (Internet)	\$1,000.00
Telephone and Facsimile	\$1,000.00
Sundries	\$6,890.00
Maintenance/Modernization Assistant Salary (100%)	\$27,497.00
PM Mechanic Salary (100%)	\$25,374.00
Benefits for above two salaries and shortage on 1408 Benefits	\$42,762.00
<u>GRAND TOTAL:</u>	<u>\$107,523.00</u>

/TWR

CAPITAL FUNDS PROGRAM 2006
HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NC

ACCOUNT 1408

MANAGEMENT COST ALLOCATION
METHODOLOGY

<u>LINE ACCOUNT ITEM:</u>	<u>% FACTOR:</u>	<u>BUDGET:</u>
Accounting Assistant Salary	10%	\$ 3,411.00
Accounting Clerk Salary	5%	\$ 1,353.00
Administrative Assistant Salary	15%	\$ 5,198.00
Executive Director Salary	15%	\$15,048.00
Finance/MIS Director Salary	15%	\$ 6,817.00
Director of Facilities Salary	100%	\$61,312.00
Maintenance Superintendent Salary	100%	\$45,165.00
Receptionist	5%	\$ 1,104.00
Benefits (for above eight salaries)	N/A	\$49,801.00
Computer Software Management	N/A	\$13,796.00
Management and Maintenance Training	N/A	\$12,042.00
Consultant Fees	N/A	\$ 0.00
<u>GRAND TOTAL:</u>		<u>\$215,047.00</u>

TWR/

Resolution No. 32 (2005)

**Approval of FY 2006 Capital Funds Statement and Five (5) Year Plans
For Submission to HUD**

WHEREAS, Rocky Mount Housing Authority (RMHA) staff is recommending approval of the attached FY 2006 Capital Funds Statement and Conventional Housing Five (5) Year Annual Plans; and

WHEREAS, the subject Annual Statement and Five (5) Year and Annual Plans were discussed with RMHA Resident Advisory Board who participated in the development of the said plans; and

NOW THEREFORE BE IT RESOLVED the Rocky Mount Housing Authority Board of Commissioners does hereby approve the attached FY 2006 Capital Funds and Conventional Housing Five (5) Year and Annual Plans for submission to HUD.

Date Adopted: October 11, 2005

Distribution: U.S. Department of HUD
RMHA Director of Facilities
RMHA Finance Director
File

Rocky Mount Housing Authority Mission Statement:

The goal of the Rocky Mount Housing Authority (RMHA) is to provide drug free, decent, safe and sanitary housing for eligible families; and provide opportunities and promote self-sufficiency and economic independence for all residents. In order to achieve this mission, the RMHA will:

- RECOGNIZE residents are our ultimate customers;
- IMPROVE RMHA management and service delivery efforts through effective and efficient management of staff;
- SEEK problem-solving partnerships with residents, community, and government leadership;
- APPLY limited RMHA resources to the effective and efficient management and operation of public housing programs.

EXECUTIVE SUMMARY

In accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA), RMHA has developed a Five Year Plan. The Five Year Plan covers specific goals and objectives to be done by various departments at RMHA. The Five Year Plan was developed with input from staff, commissioners, and residents. A brief summary of the projections and conclusions of the Five Year Plan are as follows:

1. To maintain maximum operational effectiveness;
2. to increase the level of awareness of policy makers of the need and benefits of affordable housing;
3. to provide new housing opportunities and preserve the existing housing stock with fiscal responsibility; and
4. to promote self-sufficiency among residents through education and employment training.
5. RMHA plans to create and implement the following:
 - a) Create a 501 c(3) and 501c(4) to use for creating more affordable housing units.
 - b) Get Certification from HUD as a “Certified Housing Counseling Agency”.
 - c) Apply for HUD Grants: (a) ROSS Elderly, (b) ROSS Family, (c) ROSS Homeownership.
 - d) Develop and Implement a Public Housing FSS Program.
 - e) Develop more affordable Housing thru: (a) Leveraging existing agency funds, (b) Tax Credits, (c) Mixed Finance, (d) Bonds, (e) Section 811 (f.) Section 8 Homeownership (g) Section 32, (h) Project Base Section 8 Housing, (i) Partner with other agencies to create affordable housing. (j) Apply for Fannie Mae Loan.

- f) Complete an “Asset Management Plan”
- g) Create a ten (10) year Strategic Plan
- h) Create Economic Development Projects

Additional goals:

- To expand the supply of assisted housing by applying for rental vouchers, reduce public housing vacancies, Leverage private or other public funds to create additional housing opportunities, acquire or build units or developments.
- Improve the quality of assisted housing by increasing RMHA high performer PMAP and SEMAP scores
- Increase Resident Satisfaction
- Renovate public housing units
- Demolish obsolete public housing
- Provide replacement public housing
- Increase assisted housing choices by conducting outreach efforts to potential voucher landlords
- Implement voucher homeownership program
- Implement public housing and homeownership programs
- Convert public housing to vouchers
- To improve community quality of life and economic vitality by implementing measures to deconcentrate poverty by bringing higher income public housing households into lower income developments
- Implement public housing security improvements
- Designate development or buildings for particular residents (elderly, persons with disabilities)
- Promote self-sufficiency and asset development of assisted households by increasing the number and percentage of employed persons in assisted families
- Provide or attract supportive services to increase independence for the elderly or families with disabilities
- Ensure equal opportunity and affirmatively further fair housing by undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability
- Explore the possibilities of Residents paying for all of their own utilities

Unfortunately RMHA has not finished its 1999 Flood Recovery due to a lack of HUD Emergency Disaster Funding. HUD Washington denied our last application for Emergency Disaster Funds. Therefore, RMHA will be applying to Fannie Mae for a loan. We are four years behind on HUD approval of the last Emergency Disaster Grant. RMHA plans to acquire more land to develop more affordable housing.

The overall plan for the Conventional/Public Housing is designed to achieve five major goals:

1. To maintain maximum operational effectiveness.
2. To provide decent, safe, and sanitary housing for eligible participants.
3. To increase the level of public awareness of the need for affordable

housing.

- 4. To promote self-sufficiency among residents through education and employment.**
- 5. To continue the placement of families (from waiting list) in accordance with RMHA' Flood Recovery Plan as rebuilding or unit replacement occur.**

Rocky Mount Housing Authority “Definition of Substantial Deviation” and “Significant Amendment or Modification” [903.7(r)]:

The Rocky Mount Housing Authority (RMHA) definition to meet the requirement of final rule 903.7(r) and PIH 99-51, pertaining to “Substantial Deviation” and “Significant Amendment or Modification” is as follows:

1. Any changes to rent or admissions policies or other policies including organization of the waiting list.
2. Any significant change in use of funds under the Capital Fund Program.
3. Any change significant with regard to demolition or disposition, designation, homeownership programs or conversion activities.
4. Any substantial deviation from the Mission Statement and/or Goals and Objectives presented in the Five-Year and Annual Plan that cause changes in the services provided to Residents or significant changes to the Agency’s financial situation will be documented in subsequent Agency Plans. An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements offered by HUD.

nc019d01

Rocky Mount Housing Authority Progress Report - FY 2006

- 903.7a Housing Needs - In the process of borrowing monies from Fannie Mae to replace 64 flood-damaged units**

- 903.7b. Eligibility, Selection, and Admissions Policies - Giving local preference to Katrina victims**

- 903.7k Homeownership Programs - Completed an Section 8 Homeownership Program**

Required Attachment: Resident Member of the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)
 - A. Name of resident member(s) on the governing board: **Minnie Knight**
 - B. How was the resident board member selected: (select one)?
 Elected
 Appointed
 - C. The term of appointment is (include the date term expires): **06/30/04 - 06/30/08**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?
 the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):

- B. Date of next term expiration of a governing board member: **6/30/08**

- C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Mayor Frederick Turnage
City of Rocky Mount
P.O. Box 1180
Rocky Mount, NC 27802-1180

Resident Advisory Board (RAB)

***Officers and Members
March 5, 2004***

OFFICER

**Minnie Knight, WAH President
1409 Shirley Leak Avenue
Rocky Mount, NC 27801**

MEMBERS

**Pamela Knight
1450 Hunter Street
Rocky Mount, NC 27801**

**Calvenia Jenkins, WET Secretary
102 Manning Court
Rocky Mount, NC 27803**

**Dorothy Williams
1207-A Raleigh Road
Rocky Mount, NC 27803**

**Sherry Pittman
103 Queen Court
Rocky Mount, NC 27801**

**Andrea Hendricks
925 Stokes Avenue
Rocky Mount, NC 27801**

**Annie Underhill
944 Stokes Avenue
Rocky Mount, NC 27801**

**Mary Knight
104 Loop Court
Rocky Mount, NC 27801**

**Fannie Harrison
1207-A Raleigh Road
Rocky Mount, NC 27803**

Resolution Nu. 33 (2005)

**Approval of Rocky Mount Housing Authority (RMHA)
FY 2006 Streamlined Annual Plan**

WHEREAS, in accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA) Public Housing Authorities are required to develop and implement an Annual Plan; and

WHEREAS, because RMHA's fiscal year ends December 2005, the required Annual Plan must be submitted to HUD by October 18, 2005 for implementation January 1, 2006; and

WHEREAS, the Executive Director did solicit Residents and the general public comments and input; and

WHEREAS, the Executive Director is recommending the RMHA approve certification of compliance with HUD's requirements of QHWRA;

NOW THEREFORE BE RESOLVE, Rocky Mount Housing Authority Board of Commissioners does hereby approve the attached Certification of Compliance with QHWRA and the FY 2006 Annual Plan as presented by the Executive Director.

Date Adopted: October 11, 2005

Distribution: **Housing Urban Development (HUD)**
 Housing Management Department
 Resident Advisory Board
 Post
 File

**Streamlined PHA Plan
PHA Certifications of Compliance**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the Streamlined Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the streamlined Annual PHA Plan for PHA fiscal year beginning 7005, hereinafter referred to as the Streamlined Annual Plan, of which this document is a part and make the following certifications, agreements with, and assurances to the Department of Housing and Urban Development (HUD) in connection with the submission of the Streamlined Plan and implementation thereof:

1. The streamlined Annual Plan is consistent with the applicable comprehensive housing affordability strategy (or any streamlined Plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, and provided this Board or Boards an opportunity to review and comment on any program and policy changes since submission of the last Annual Plan.
3. The PHA made the proposed streamlined Annual Plan, including policy and program revisions since submission of the last Annual Plan, and all information relevant to the public hearing available for public inspection at least 15 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the streamlined Plan and invited public comment.
4. The PHA will carry out the streamlined Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
5. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
6. For streamlined Annual Plans that include a policy or change in policy for site-based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PHA Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites, and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at such site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(h)(2).
7. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
8. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
9. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Lower-Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 125.
10. The PHA has submitted with the streamlined Plan a certification with regard to a drug-free workplace required by 24 CFR Part 24, Subpart F.
11. The PHA has submitted with the streamlined Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.

Agency Annual Plan

HOUSING AUTHORITY

Civil Rights Certification

January 1, 2006

The Housing Authority of hereby certifies that all programs and activities included in the Authority's Streamlined Annual PIA Plan will be carried out in full compliance with Title VI of the Civil Rights Act of 1964. The Fair Housing Act, Section 504 of the Rehabilitation Act of 1973 and Title II of the Americans with Disabilities Act of 1990.

In addition, the Housing Authority confirms that to affirmatively further fair housing, the Authority has reviewed programs and activities to identify any impediments to fair housing choice and finding none, further certifies that it will continue to be cognizant of any impediments and work with the City/County to implement initiative to further fair housing jurisdiction.

Housing Authority of the City of Rocky Mount

By:



Cleveland D. Whatley, RMHA Chairman
For: Mr. Larry Russell, Executive Director

Date: 10/11/05

Its

Approved as to Form:

General Counsel _____

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Rocky Mount Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidies

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibitions.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(3) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant.

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above. Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are worksheets on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010; 41 U.S.C. 3729, 3802)

Name of Authorized Official

Larry Russell

Title

Executive Director

Signature

Date

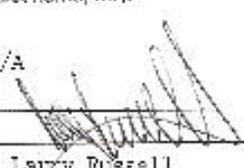
10/11/05

X

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0548-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report: _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: _____	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known: _____	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): N/A Signature:  Print Name: <u>Larry Russell</u> Title: <u>Executive Director</u> Telephone No.: <u>252-977-3141</u> Date: <u>10/12/05</u>	
11. Information provided through this form is submitted by the filer and is not to be disseminated to the public. This disclosure is for informational purposes only and is not to be used for any other purpose. The information provided is for informational purposes only and is not to be used for any other purpose. The information provided is for informational purposes only and is not to be used for any other purpose.		
Federal Use Only:	Authorized for Limited Reproduction Standard Form 298 (Rev. 7-97)	

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Rocky Mount Housing Authority

Program/Activity Receiving Federal Grant/Funding

Public Housing Operating Subsidies

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form L.L.I., Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

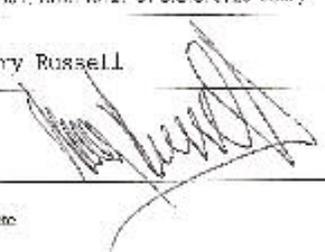
I hereby certify that all the information stated herein, as well as any information provided in the accompanying documents, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Terry Russell

Signature



Title

Executive Director

Date (mm/dd/yyyy)

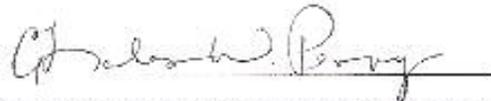
10/11/05

Previous edition is obsolete.

Form HUD 50071 (5/98)
ref. Handbook 7417.1, 7475.12, 7495.1, & 7085.2

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Charles W. Peavy the Asst. City Manager - City of Rocky Mount certify
that the ~~five~~ Year and Annual PHA Plan of the Rocky Mount Housing Authority is
consistent with the Consolidated Plan of Down East Home Consortium prepared
pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official