

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2006

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Public Housing Authority of Butte

PHA Number: MT003

PHA Fiscal Year Beginning: (mm/yyyy) 07/2006

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
The Public Housing Authority of Butte is dedicated to providing safe, high-quality, well-managed, affordable housing opportunities to the citizens of Butte-Silver Bow as well as providing residents with opportunities for economic self-sufficiency and enhanced quality of life with a commitment to serving our clients with professionalism, courtesy, and respect.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)

- Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Goal #1 – Promote a Positive Image for the Public Housing Authority of Butte – its programs and its facilities

Objectives for Goal #1

- A. The Executive Director will develop a public relations program that includes quarterly press releases and contacts with the local media including the Montana Standard Editorial Board.
- B. The housing authority will publish a periodic newsletter regarding the facilities, programs and new initiatives of the PHAB once every two years. *ongoing*
- C. The Board of Commissioners and the Executive Director will develop a specific campaign to enhance the marketability of all housing authority developments.

Goal #2 – Improve opportunities for employment for residents through training and economic development partnerships

Objectives for Goal #2

- A. The Housing Coordinator will coordinate with existing job training programs to provide employment readiness education to PHAB Residents once every six months.
- B. The Executive Director will participate in overall community and economic development efforts to create employment opportunities in Butte-Silver Bow.
- C. The Housing Coordinator will conduct an assessment of skills to determine potential training needs and resident business opportunities.

Goal #3 – Strive for a supportive and encouraging relationship with the Butte-Silver Bow Local Government

Objectives for Goal #3

- A. The Board of Commissioners for the Public Housing Authority of Butte will attempt to secure a Butte-Silver Bow representative on the PHAB Board of Directors (either a commissioner or a department head, such as Community Development). *completed*
- B. The Executive Director will schedule a meeting with the Butte-Silver Bow Chief Executive to encourage open communication between the PHAB and the Butte-Silver Bow Chief Executive. *completed*

Goal #4 – Address law enforcement and security issues related to drug use and vandalism

Objectives for Goal #4

- A. The Executive Director and the Board of Commissioners will re-establish the relationship between the Butte Sheriff's Department and the PHAB through a better overall relationship with Butte-Silver Bow (per Goal #3) *ongoing*
- B. The Executive Director and the Housing Coordinator will work with other area agencies in addressing substance abuse and vandalism including the Sheriff's Department, Butte-Silver Bow Health Department, mental health service providers, St. James Healthcare, the Chamber of Commerce, and others.

Goal #5 – Diversity our funding base

Objectives for Goal #5

- A. The Executive Director will research opportunities to make use of our 501-c-3 organization to secure grant funding not available to government entities.
- B. The Executive Director will explore partnerships with other non-profits to raise funds and share resources
- C. The Executive Director and staff of the Public Housing Authority of Butte will participate in community-wide housing planning efforts to identify strategies to address housing needs in 2005. *ongoing – anticipated completion date is 7/2006*

Goal #6 – Improve and enhance senior programs

Objects for Goal #6

- A. The Housing Coordinator will develop recreational and social programs such as cooking classes, pot luck gatherings and "garage sale" events quarterly.
- B. The Housing Coordinator and the ROSS Program Coordinator will promote wellness programs for seniors such as blood pressure clinics, etc., in partnership with St. James Healthcare, the Butte-Silver Bow Health Department and other organizations and offer programs monthly. *Completed with ROSS grant.*
- C. The Housing Coordinator will consider programs that match seniors with younger residents for companionship, home maintenance and safety.

Goal #7 – Address overall management and facilities needs on an ongoing basis

Objectives for Goal #7

- A. Under the direction of the Executive Director the entire housing authority will initiate property based management by 2006. *Start date will be 1/30/2006.*
- B. Under the direction of the Executive Director and the Maintenance Supervisor the maintenance department will strive for continued improvement in property maintenance and upkeep with an increase in Resident Assessment Sub-system scores so that no area of the survey has scores less than 75%. *2005 RASS score was above 75%*

Annual PHA Plan
PHA Fiscal Year 2006
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Not required.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (mt003c01)
- FY 2006 Capital Fund Program Annual Statement (mt003a01)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart (mt003d01)
- FY 2006 Capital Fund Program 5 Year Action Plan (mt003b01)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (mt003h01)
- Other (List below, providing each attachment name)
- Resident Membership of the PHA Governing Board (mt003e01)
- Membership of the Resident Advisory Board (mt003f01)
- Deconcentration Analysis (mt003g01)
- Definition of Substantial Deviation and Modification (mt003i01)
- Community Service Policy (mt003j01)
- Pet Policy (mt003k01)
- Capital Fund Performance and Evaluations Reports
- Certifications

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|----------------------------------|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| | Fair Housing Documentation: Records reflecting that the PHA has examined its programs | 5 Year and Annual Plans |

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement. | |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| X | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| X | Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| X | Section 8 informal review and hearing procedures | Annual Plan: Grievance |

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Procedures |
| X | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| X | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| X | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| X | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| X | Approved or submitted public housing homeownership programs/plans | Annual Plan: Homeownership |
| | Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| X | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| X | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| | The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| X | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |
| | | |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|---------|----------------|--------|---------|----------------|------|-----------|
| Family Type | Overall | Afford-ability | Supply | Quality | Access-ibility | Size | Loca-tion |
| Income <= 30% of AMI | 3334 | 4 | 4 | 4 | 4 | 3 | 3 |
| Income >30% but <=50% of AMI | 1593 | 3 | 4 | 3 | 3 | 3 | 3 |
| Income >50% but <80% of AMI | 2638 | 3 | 3 | 3 | 3 | 3 | 2 |
| Elderly | 5295 | 4 | 4 | 4 | 4 | 3 | 3 |
| Families with Disabilities | 5993 | 4 | 4 | 4 | 4 | 4 | 3 |
| Race/Ethnicity Native American | 662 | 4 | 3 | 3 | 3 | 3 | 3 |
| Race/Ethnicity African American | 7 | 3 | 3 | 3 | 3 | 3 | 3 |
| Race/Ethnicity | | | | | | | |
| Race/Ethnicity | | | | | | | |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 5-Year Consolidated Plan (2005 – 2010)
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year: 2005 Montana Housing Needs Survey
- Other sources: (list and indicate year of information)
State of Montana Analysis of Impediments to Fair Housing (November 2004)
2000 Census Data

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> Section 8 tenant-based assistance | | | |
| <input type="checkbox"/> Public Housing | | | |
| <input checked="" type="checkbox"/> Combined Section 8 and Public Housing | | | |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 203 | | 138 |
| Extremely low income <=30% AMI | 184 | 91% | |
| Very low income (>30% but <=50% AMI) | 16 | 8% | |
| Low income (>50% but <80% AMI) | 3 | 1% | |
| Families with children | 68 | 33% | |
| Elderly families | 7 | 3% | |
| Families with Disabilities | 13 | 6% | |
| Race/ethnicity Black/African American | 2 | 1% | |
| Race/ethnicity American Indian/Alaska Native | 17 | 8% | |
| Race/ethnicity Hawaiian/Other Pacific Islander | 1 | .4% | |
| Race/ethnicity Multi Racial | 6 | 3% | |

| Housing Needs of Families on the Waiting List | | | |
|---|-----|-----|----|
| | | | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | 124 | 65% | 56 |
| 2 BR | 49 | 25% | 54 |
| 3 BR | 19 | 10% | 26 |
| 4 BR | 0 | | 2 |
| 5 BR | 0 | | 0 |
| 5+ BR | 0 | | 0 |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction

- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2005 grants) | | |
| a) Public Housing Operating Fund | 686,656 | |
| b) Public Housing Capital Fund | 505043 | |
| c) HOPE VI Revitalization | 0 | |
| d) HOPE VI Demolition | 0 | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | 89,148 | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | 0 | |
| g) Resident Opportunity and Self-Sufficiency Grants | 50,000 | |

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|-------------------------------------|
| Sources | Planned \$ | Planned Uses |
| h) Community Development Block Grant | 0 | |
| i) HOME | 0 | |
| Other Federal Grants (list below) | | |
| Shelter + Care | 83,844 | Tenant-based rental assistance |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| Capital Fund | 523,330 | Public housing capital improvements |
| ROSS – Neighborhood Network | 100,000 | Public housing supportive services |
| | | |
| 3. Public Housing Dwelling Rental Income | 626,863 | Public housing operations |
| | | |
| | | |
| 4. Other income (list below) | | |
| Maintenance and non-dwelling income | 25,000 | Public housing operations |
| Interest | 14,653 | Public housing operations |
| 4. Non-federal sources (list below) | | |
| | | |
| | | |
| Total resources | 2,704,537 | |
| | | |

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) at time of application

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) credit report

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?5

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists? 5

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? 5
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
- In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Overhoused
 - Underhoused
 - Medical justification
 - Administrative reasons determined by the PHA (e.g., to permit modernization work)
 - Resident choice: (state circumstances below)
 - Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list) Tenant Handbook

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors below)
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
 - Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
 - Federal public housing
 - Federal moderate rehabilitation
 - Federal project-based certificate program
 - Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extensions are granted when clients can demonstrate that they have searched for a unit but have been unable to find a suitable unit to rent.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families

- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

Notification is sent to non-profit and social service agencies.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---|---|--------------------------|
| Public Housing | 341 | 148 |
| Section 8 Vouchers | 19 | 2 |
| Section 8 Certificates | n/a | n/a |
| Section 8 Mod Rehab | n/a | n/a |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | n/a | n/a |
| Public Housing Drug Elimination Program (PHDEP) | n/a | n/a |
| | | |
| | | |
| Other Federal Programs(list individually) | | |
| Shelter + Care | 13 | 3 |
| | | |

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- a. Tenant Handbook
- b. Personnel Policy
- c. Compensatory Time Policy
- d. Procurement Policy
- e. Asset Capitalization, Depreciation, and Disposition
- f. Maintenance Plan
- g. Investment Policy
- h. Computer Network Use Policy
- i. List of Tenant Charges
- j. Pet Policy
- k. Community Service Policy
- l. Key Policy
- m. Union Contract
- n. Credit Card Policy
- o. Transfer Policy
- p. Admissions and Occupancy Policy

(2) Section 8 Management: (list below)

Section 8 Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) mt003a01

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)
- b. If yes to question a, select one:
- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) mt003b01
- or-
- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description |
|--|
| 1a. Development name: Silver Bow Homes 1b. Development (project) number: MT00301 |
| 2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/> |
| 3. Application status (select one) Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> |
| 4. Date application approved, submitted, or planned for submission: <u>02/15/2006</u> |
| 5. Number of units affected: 0 |

| |
|---|
| 6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development |
| 7. Timeline for activity: a. Actual or projected start date of activity: 04/01/2006 b. Projected end date of activity: 07/31/2006 |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| Designation of Public Housing Activity Description |
|---|
| 1a. Development name: Rosalie Manor 1b. Development (project) number: MT00302 |
| 2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one) |

| |
|--|
| Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: <u>(09/13/2005)</u> |
| 5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan? |
| 6. Number of units affected: 66 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development |

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

| Conversion of Public Housing Activity Description |
|--|
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) |

| |
|---|
| <input type="checkbox"/> Other (explain below) |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) |
| 4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway |
| 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below) |

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S.

Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| Public Housing Homeownership Activity Description (Complete one for each development affected) | |
|--|--|
| 1a. Development name: Scattered sites | |
| 1b. Development (project) number: MT00306 | |
| 2. Federal Program authority: | |
| <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) | |
| 3. Application status: (select one) | |
| <input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application | |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (09/17/2004) | |
| 5. Number of units affected: 12 | |
| 6. Coverage of action: (select one) | |
| <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development | |

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to

high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families

- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents

(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports

- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)
RASS survey

3. Which developments are most affected? (list below)

Silver Bow Homes

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

Silver Bow Homes

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

Silver Bow Homes

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?
N/A

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

- 1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and

other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name) mt003h01
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance
 Any adult member of a resident or assisted family organization
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
 Representatives of all PHA resident and assisted family organizations
 Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

State of Montana

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)
The Certification of Consistency with the Consolidated Plan for the State of Montana is attached.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

- A. FY 2006 Capital Fund Program Annual Statement (mt003a01 – Excel document)
- B. FY 2006 Capital Fund Program 5 Year Action Plan (mt003b01 – Excel document)
- C. Admissions Policy for Deconcentration (mt003c01)
- D. PHAB Organizational Chart (mt003d01)
- E. Resident Membership of the PHA Governing Board (mt003e01)
- F. Membership of the Resident Advisory Board (mt003f01)
- G. Deconcentration Analysis (mt003g01)
- H. Comments of the Resident Advisory Board (mt003h01)
- I. Definition of Substantial Deviation and Modification (mt003i01)
- J. Community Service Policy (mt003k01)
- K. Pet Policy (mt003l01)
- L. Performance and Evaluation Reports for all Capital Fund grants
- M. Certifications

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing

| | |
|--|---|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant Replacement Housing Factor |
|--|---|

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement
 Performance and Evaluation Report for Period Ending:
 Final Performance Report

| Line No. | Summary by Development Account | Total Estimated |
|----------|---|---------------------|
| | | Original |
| 1 | Total Non-CGP Funds | \$0.00 |
| 2 | 1406 Operations | \$30,000.00 |
| 3 | 1408 Management Improvements | \$50,000.00 |
| 4 | 1410 Administration | \$50,000.00 |
| 5 | 1411 Audit | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 |
| 7 | 1430 Fees and Costs | \$42,000.00 |
| 8 | 1440 Site Acquisition | \$0.00 |
| 9 | 1450 Site Improvement | \$33,000.00 |
| 10 | 1460 Dwelling Structures | \$147,644.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | \$125,000.00 |
| 12 | 1470 Nondwelling Structures | \$17,322.00 |
| 13 | 1475 Nondwelling Equipment | \$5,500.00 |
| 14 | 1485 Demolition | \$0.00 |
| 15 | 1490 Replacement Reserve | \$0.00 |
| 16 | 1492 Moving to Work Demonstration | \$0.00 |
| 17 | 1495.1 Relocation Costs | \$3,000.00 |
| 18 | 1499 Development Activities | \$0.00 |
| 19 | 1501 Collateralization or Debt Service | \$0.00 |
| 20 | 1502 Contingency | \$1,577.00 |
| 21 | Amount of Annual Grant (Sum of lines 2 - 19) | \$505,043.00 |
| 22 | Amount of line 21 Related to LBP Activities | \$0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | \$0.00 |
| 24 | Amount of line 21 Related to Security -- Soft Costs | \$25,000.00 |
| 25 | Amount of line 21 Related to Security -- Hard Costs | \$0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | \$72,322.00 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

| | |
|--|-------------------------------|
| Signature of Executive Director and Date | Signature of Public Housing I |
|--|-------------------------------|

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing
Part II: Supporting Pages

| | |
|--|---|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: Replacement Housing Factor Grant No: |
|--|---|

| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estin |
|---|--|----------------------------|----------|--------------------|
| | | | | Original |
| | <u>Operations</u> | | | |
| PHA-Wide | Modernization Funds for Operations | 1406 | LS | \$30,000.00 |
| | Subtotal Account 1406 | | | \$30,000.00 |
| | <u>Management Improvements</u> | | | |
| PHA-Wide | Security Services and Reimbursement of Local Law Enforcement | 1408 | LS | \$25,000.00 |
| PHA-Wide | Commissioner and Staff Training | 1408 | LS | \$15,000.00 |
| PHA-Wide | Professional Services for Consulting, Training, and Technical Assistance | 1408 | LS | \$10,000.00 |
| | Subtotal Account 1408 | | | \$50,000.00 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for

| | |
|--|--------------------------------------|
| Signature of Executive Director and Date | Signature of Public Housing Director |
|--|--------------------------------------|

| | | | | |
|----------|--|------|----|--------------------|
| | <u>Administration</u> | | | |
| PHA-Wide | Salary for Modernization Coordinator | 1410 | LS | \$50,000.00 |
| | Subtotal Account 1410 | | | \$50,000.00 |
| | <u>Fees and Costs</u> | | | |
| PHA-Wide | Professional Services Related to Architecture, Engineering and Construction Management | 1430 | LS | \$40,000.00 |

| | | | | |
|------------------------------|---------------------------------------|------|----|--------------------|
| PHA-Wide | Sundry Costs Related to Modernization | 1430 | LS | \$2,000.00 |
| Subtotal Account 1430 | | | | \$42,000.00 |
| Site Improvement | | | | |
| MT 3-1 | Replace Site Sidewalks as Needed | 1450 | LS | \$8,000.00 |
| MT 3-1 | Repair Storm Drains as Needed | 1450 | LS | \$5,000 |
| MT 3-1 | Repair/Replace front and Back Stoops | 1450 | LS | \$20,000.00 |
| Subtotal Account 1450 | | | | \$33,000.00 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed f

Signature of Executive Director and Date

Signature of Public Housing Direct

| | | | | |
|-----------------------------------|-----------------------------|------|----------|---------------------|
| <u>Dwelling Structures</u> | | | | |
| MT 3-1 | Repair Seams on Roofs | 1460 | 15000 LF | \$45,144 |
| PHA - Wide | Replace Interior Doors (50) | 1460 | 50 EA | \$3,500 |
| MT 3-4 | Modernize Kitchens | 1460 | 30 DU | \$99,000 |
| Subtotal Account 1460 | | | | \$147,644.00 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed f

Signature of Executive Director and Date

Signature of Public Housing Direct

| | | | | |
|----------------------------------|-------------------------|------|----------|-------------|
| <u>Dwelling Equipment</u> | | | | |
| PHA-Wide | Replace Refrigerators | 1465 | 30 EA | \$12,000.00 |
| PHA-Wide | Replace Stoves | 1465 | 50 EA | \$20,000.00 |
| PHA - Wide | Replace Hot Water Tanks | 1465 | 30 EA | \$10,000.00 |
| MT 3-3 | Replace Boilers | 1465 | 14 Bldgs | \$55,000.00 |

| | | | |
|--|------------------------------|--|---------------------|
| | Subtotal Account 1502 | | \$1,577.00 |
| | GRANT TOTAL | | \$505,043.00 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed f

Signature of Executive Director and Date

Signature of Public Housing Direct

ing Factor (CFP/CFPRHF)

| MT06P00350106 | Federal FY of Grant: 2006 | | |
|---|------------------------------|----------------|----------------|
| ated Cost | Total Actual Cost | | Status of Work |
| Revised | Funds Obligated | Funds Expended | |
| \$0.00 | \$0.00 | \$0.00 | |
| \$0.00 | \$0.00 | \$0.00 | |
| \$0.00 | \$0.00 | \$0.00 | |
| \$0.00 | \$0.00 | \$0.00 | |
| \$0.00 | \$0.00 | \$0.00 | |
| \$0.00 | \$0.00 | \$0.00 | |
| for the Performance and Evaluation Report. | | | |
| tor/Office of Native American Programs Administrator and Date | | | |
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| \$0.00 | \$0.00 | \$0.00 | |
| \$0.00 | \$0.00 | \$0.00 | |

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for the Performance and Evaluation Report.

Director/Office of Native American Programs Administrator and Date

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for the Performance and Evaluation Report.

Director/Office of Native American Programs Administrator and Date

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for the Performance and Evaluation Report.

Director/Office of Native American Programs Administrator and Date

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| \$0.00 | \$0.00 | \$0.00 | |
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for the Performance and Evaluation Report.

Director/Office of Native American Programs Administrator and Date

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for the Performance and Evaluation Report.

Director/Office of Native American Programs Administrator and Date

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housin
 Part III: Implementation Schedule**

| | |
|--|---|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: Replacement Housing Factor Grant No: |
|--|---|

| Development Number / Name HA - Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds |
|---|---|---------|--------|-----------|
| | Original | Revised | Actual | Original |
| PHA -Wide 1406 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1408 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1410 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1430 | 09/14/08 | | | 09/14/10 |
| MT 3-2 -- 1460 | 09/14/08 | | | 09/14/10 |
| MT 3-4 -- 1460 | 09/14/08 | | | 09/14/10 |
| MT 3-1 -- 1470 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1465 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1475 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1495 | 09/14/08 | | | 09/14/10 |
| PHA-Wide 1502 | 09/14/08 | | | 09/14/10 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed

| | |
|--|---|
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Na |
|--|---|

ing Factor (CFP/CFPRHF)

| | | |
|--|---------------|--|
| MT06P00350106 | | Federal FY of Grant: 2006 |
| Amounts Expended (Quarter Ending Date) | | Reasons for Revised Target Dates |
| Revised | Actual | |
| | | |
| Submitted for the Performance and Evaluation Report. | | |
| Submitted by: [Name] Native American Programs Administrator and Date | | |

Capital Fund Program Five-Year Action Plan

Part I: Summary

| PHA Name: Public Housing Authority of Butte, Montana | | | |
|---|----------------------------------|--|--|
| Development Number / Name HA - Wide | Year 1 [See Annual Statement] | Work Statement for Year 2 FFY Grant: 2006 PHA FY: 2007 | Work Statement for Year 3 FFY Grant: 2007 PHA FY: 2008 |
| PHA-Wide 1406 | | \$10,000 | \$10,000 |
| PHA-Wide 1408 | | \$50,000 | \$50,000 |
| PHA-Wide 1410 | | \$50,000 | \$50,000 |
| PHA-Wide 1430 | | \$42,000 | \$42,000 |
| MT 3-1 | | \$97,031 | \$99,328 |
| MT 3-2 | | \$130,340 | \$146,408 |
| MT 3-3 | | \$39,850 | \$25,500 |
| MT 3-4 | | \$10,500 | \$0 |
| MT 3-5 | | \$19,291 | \$6,200 |
| PHA-Wide 1465 | | \$44,000 | \$44,000 |
| PHA-Wide 1470 | | \$0 | \$0 |
| PHA-Wide 1475 | | \$5,500 | \$25,500 |
| PHA-Wide 1495 | | \$5,000 | \$5,000 |
| PHA-Wide 1502 | | \$1,531 | \$1,107 |
| CFP Funds Listed for 5-Year Planning | | \$505,043 | \$505,043 |
| Replacement Housing Factor Funds | | \$0 | \$0 |

| <input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: | |
|---|---------------------------------|
| Work Statement for Year 4 | Work Statement for Year 5 |
| FFY Grant: 2008 PHA FY: 2009 | FFY Grant: 2009 PHA FY: 2010 |
| \$10,000 | \$10,000 |
| \$50,000 | \$50,000 |
| \$50,000 | \$50,000 |
| \$42,000 | \$42,000 |
| \$243,848 | \$257,827 |
| \$1,479 | \$8,500 |
| \$52,500 | \$25,500 |
| \$0 | \$6,000 |
| \$0 | \$0 |
| \$44,000 | \$44,000 |
| \$0 | \$0 |
| \$5,500 | \$5,500 |
| \$5,000 | \$5,000 |
| \$716 | \$716 |
| \$505,043 | \$505,043 |
| \$0 | \$0 |

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages -- Work Activities

| Year 1 [See Annual Statement] | Activities for Year: 2 FFY Grant: 2007 PHA FY: 2008 | | | |
|-------------------------------------|---|---|----------------|----------------------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | PHA-Wide 1406 | Modernization Funds for Operations | \$10,000 | PHA-Wide 1406 |
| | PHA-Wide 1408 | Security/Reimbursement of Local Law Enforcement | \$25,000 | PHA-Wide 1408 |
| | PHA-Wide 1408 | Commissioner and Staff Training | \$15,000 | PHA-Wide 1408 |
| | PHA-Wide 1408 | Professional Services for Consulting, Training, and Technical Assistance | \$10,000 | PHA-Wide 1408 |
| | PHA-Wide 1410 | Salary for Modernization Coordinator | \$50,000 | PHA-Wide 1410 |
| | PHA- Wide 1430 | Professional Services Related to Architecture, Engineering, and Construction Management | \$40,000 | PHA- Wide 1430 |
| | PHA-Wide 1430 | Sundry Costs Related to Modernization | \$2,000 | PHA-Wide 1430 |
| Year 1 [See Annual Statement] | Activities for Year: 2 FFY Grant: 2007 PHA FY: 2008 | | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | MT 3-1 [1450] | Replace Carpet in Community Room | \$1,000 | MT 3-1 [1450] |
| | MT 3-1 [1450] | Replace Security Gate for Playground | \$5,000 | MT 3-2 [1450] |
| | MT 3-3 [1450] | Replace Site Sidewalks as Needed | \$1,000 | MT 3-1 [1460] |

| | | | | |
|---|---|---|-----------------------|--------------------------------|
| | MT 3-5 [1450] | Repair / Replace Concrete Wall @ 911 West Mercury | \$4,291 | MT 3-1 [1460] |
| | MT 3-1 [1460] | Install Security Screens on Second Story Windows | \$85,531 | MT3-1 [1460] |
| | MT3-1 [1460] | Replace Interior Doors (50) | \$3,500 | MT 3-1 [1460] |
| | MT3-2 [1460] | Retube One Mohawk Boiler | \$10,000 | MT 3-2 [1460] |
| | MT 3-2 [1460] | Modernize Bathroom (30 Units) -Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, adding a wall cabinet and replacing the shower head | \$45,000 | MT 3-2[1460] |
| | MT 3-2 [1460] | Modernize Kitchen (30 Units) -Above includes removing 1/2 wall and refinishing, replacing base and wall cabinets and countertops, and replacing vinyl tile with ceramic | \$72,840 | |
| Year 1 [See Annual Statement] | Activities for Year: 2 FFY Grant: 2007 PHA FY: 2008 | | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | MT3-3 [1460] | Replace Kitchen Flooring with Ceramic | \$33,350 | MT 3-3- {1460] |
| | MT 3-3 [1460] | Replace Locksets on Entry Doors | \$5,500 | PHA-Wide 1465 |
| | MT 3-4 [1460] | Replace Locksets on Entry Doors | \$4,500 | PHA-Wide 1465 |
| | MT 3-5 [1460] | Rework Basement Access@ 911 West Mercury | \$15,000 | PHA-Wide 1465 |

| | | | | |
|---|---|---|-----------------------|--------------------------------|
| | PHA-Wide [1465] | Replace Refrigerators (30 Each) | \$12,000 | MT 3-5 [1470] |
| | PHA-Wide [1465] | Replace Stoves (50 Each) | \$20,000 | MT 3-5 [1470] |
| | PHA-Wide[1465] | Replace Hot Water Tanks (30 Each) | \$12,000 | |
| | MT 3-1 [1470] | Replace Community Room Tables | \$2,000 | |
| | MT 3-2 [1470] | Replace Community Room Tables | \$2,500 | |
| | MT 3-4 [1470] | Replace Carpet in Common Area | \$6,000 | |
| Year 1 [See Annual Statement] | Activities for Year: 2 FFY Grant: 2007 PHA FY: 2008 | | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | PHA-Wide 1475 | Replace Maintenance Tools and Equipment As Needed | \$3,000 | PHA-Wide 1475 |
| | PHA-Wide 1475 | Replace Computer and Communications Equipment As Needed | \$2,500 | PHA-Wide 1475 |
| | PHA-Wide 1495 | Temporary Relocation Costs During Modernization | \$5,000 | PHA-Wide 1475 |
| | PHA-Wide 1502 | Contingency Costs | \$1,531 | PHA-Wide 1495 PHA-Wide 1502 |

| | | | | |
|---|---|---|-----------------------|--------------------------------|
| | | | | |
| | Total CFP Estimated Cost | | \$505,043 | |
| Year 1 [See Annual Statement] | Activities for Year: 4 FFY Grant: 2009 PHA FY: 2010 | | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | PHA-Wide 1406 | Modernization Funds for Operations | \$10,000 | PHA-Wide 1406 |
| | PHA-Wide 1408 | Security/Reimbursement of Local Law Enforcement | \$25,000 | PHA-Wide 1408 |
| | PHA-Wide 1408 | Commissioner and Staff Training | \$15,000 | PHA-Wide 1408 |
| | PHA-Wide 1408 | Professional Services for Consulting, Training, and Technical Assistance | \$10,000 | PHA-Wide 1408 |
| | PHA-Wide 1410 | Salary for Modernization Coordinator | \$50,000 | PHA-Wide 1410 |
| | PHA- Wide 1430 | Professional Services Related to Architecture, Engineering, and Construction Management | \$40,000 | PHA- Wide 1430 |
| | PHA-Wide 1430 | Sundry Costs Related to Modernization | \$2,000 | PHA-Wide 1430 |
| | | | | PHA- Wide 1450 |
| Year 1 [See Annual Statement] | Activities for Year: 4 FFY Grant: 2009 PHA FY: 2010 | | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | MT 3-1 [1460] | Replace Boiler Room Floors | \$197,748 | MT 3-1 [1450] |
| | MT 3-1 [1460] | Replace Interior Doors (50) | \$3,500 | MT 3-1 [1460] |
| | MT 3-1 [1460] | Replace Window Coverings (213 Units) | \$42,600 | MT 3-1 [1460] |

| | | | | |
|--|---------------|--|----------|---|
| | MT 3-2 [1460] | Replace Water Line as Needed | \$1,479 | MT 3-1 [1460] |
| | MT 3-3[1460] | Modernize Bathrooms (35 Units) -Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, adding a wall cabinet and replacing the shower head | \$52,500 | MT 3-1 [1460] MT 3-2 [1460] MT 3-2 [1460] MT3-4 [1460] |

| | | | | |
|---|---|---|-----------------------|--------------------------------|
| Year 1 [See Annual Statement] | Activities for Year: 4 FFY Grant: 2009 PHA FY: 2010 | | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number |
| | PHA-Wide 1465 | Replace Refrigerators (30 Each) | \$12,000 | PHA-Wide 1465 |
| | PHA-Wide 1465 | Replace Stoves (50 Each) | \$20,000 | PHA-Wide 1465 |
| | PHA-Wide 1465 | Replace Hot Water Tanks (30 Each) | \$12,000 | PHA-Wide 1465 |
| | PHA-Wide 1475 | Replace Maintenance Tools and Equipment As Needed | \$3,000 | PHA-Wide 1475 |
| | PHA-Wide 1475 | Replace Computer and Communications Equipment As Needed | \$2,500 | PHA-Wide 1475 |
| | PHA-Wide 1495 | Temporary Relocation Costs During Modernization | \$5,000 | PHA-Wide 1495 |

| | | | | |
|--|---------------------------------|-------------------|------------------|---------------|
| | PHA-Wide 1502 | Contingency Costs | \$716 | PHA-Wide 1502 |
| | Total CFP Estimated Cost | | \$505,043 | |

Activities for Year: 3

FFY Grant: 2008

PHA FY: 2009

| Major Work Categories | Estimated Cost |
|---|-----------------------|
| Modernization Funds for Operations | \$10,000 |
| Security/Reimbursement of Local Law Enforcement | \$25,000 |
| Commissioner and Staff Training | \$15,000 |
| Professional Services for Consulting, Training, and Technical Assistance | \$10,000 |
| Salary for Modernization Coordinator | \$50,000 |
| Professional Services Related to Architecture, Engineering, and Construction Management | \$40,000 |
| Sundry Costs Related to Modernization | \$2,000 |

Activities for Year: 3

FFY Grant: 2008

PHA FY: 2009

| Major Work Categories | Estimated Cost |
|-------------------------------------|-----------------------|
| Prepare and Pave Lot Arizona Street | \$6,108 |
| Replace Site Sidewalks as Needed | 5,000 |
| Replace Tub Surrounds | 50,000 |

| | |
|--|----------|
| Paint Porch Rails and Poles | \$14,720 |
| Replace Interior Doors (50) | \$3,500 |
| Repair/Replace front and rear stoops and steps as needed. | \$25,000 |
| Modernize Bathrooms (36 Units) | \$54,000 |
| -Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, adding a wall cabinet and replacing the shower head | |
| Modernize Kitchens (36 Units) | \$87,408 |
| -Above includes removing 1/2 wall and refinishing, replacing base and wall cabinets and countertops, and replacing vinyl tile with ceramic | |

Activities for Year: 3
FFY Grant: 2008
PHA FY: 2009

| Major Work Categories | Estimated Cost |
|--|----------------|
| Install Carpet Livingrooms & Bedrooms (30 Units) | \$25,500 |
| Replace Refrigerators (30 Each) | \$12,000 |
| Replace Stoves (50 Each) | \$20,000 |
| Replace Hot Water Tanks (30 Each) | \$12,000 |

| | |
|------------------------------------|---------|
| Replace Roof on Garage 3002 Paxson | \$3,500 |
| Scrape and Paint Garage 3218 Evans | \$2,700 |

Activities for Year: 3
FFY Grant: 2008
PHA FY: 2009

| Major Work Categories | Estimated Cost |
|---|----------------|
| Replace Maintenance Vehicles | \$20,000 |
| Replace Maintenance Tools and Equipment As Needed | \$3,000 |
| Replace Computer and Communications Equipment As Needed | \$2,500 |
| Temporary Relocation Costs During Modernization | \$5,000 |
| Contingency Costs | \$1,107 |

| | |
|---------------------------------|------------------|
| | |
| Total CFP Estimated Cost | \$505,043 |

Activities for Year: 5
FFY Grant: 2010
PHA FY: 2011

| Major Work Categories | Estimated Cost |
|---|-----------------------|
| Modernization Funds for Operations | \$10,000 |
| Security/Reimbursement of Local Law Enforcement | \$25,000 |
| Commissioner and Staff Training | \$15,000 |
| Professional Services for Consulting, Training, and Technical Assistance | \$10,000 |
| Salary for Modernization Coordinator | \$50,000 |
| Professional Services Related to Architecture, Engineering, and Construction Management | \$40,000 |
| Sundry Costs Related to Modernization | \$2,000 |
| Replace Asphalt in Parking Lots as Needed | \$25,500 |

Activities for Year: 5
FFY Grant: 2010
PHA FY: 2011

| Major Work Categories | Estimated Cost |
|--------------------------------|-----------------------|
| Update Security Cameras | \$4,502 |
| Replace Interior Doors (50) | \$3,500 |
| Modernize Bathrooms (71 Units) | \$96,500 |

| | |
|--|-----------|
| -Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, replacing bathroom accessories, replacing the shower head, and repainting | |
| Replace Playground Equipment | \$14,625 |
| Modernize Kitchens (71 Units) | \$138,700 |
| -Above includes replacing base and wall cabinets and countertops, and installing new sink and faucet | |
| Replace Carpet in Common Area | \$6,000 |
| Replace Water Line as Needed | \$2,500 |
| Replace Window Coverings (30Units) | \$6,000 |

Activities for Year: 5
FFY Grant: 2010

| Major Work Categories | Estimated Cost |
|---|----------------|
| Replace Refrigerators (30 Each) | \$12,000 |
| Replace Stoves (50 Each) | \$20,000 |
| Replace Hot Water Tanks (30 Each) | \$12,000 |
| Replace Maintenance Tools and Equipment As Needed | \$3,000 |
| Replace Computer and Communications Equipment As Needed | \$2,500 |
| Temporary Relocation Costs During Modernization | \$5,000 |

| | |
|---------------------------------|------------------|
| Contingency Costs | \$716 |
| Total CFP Estimated Cost | \$505,043 |

ATTACHMENT C

ADMISSIONS POLICY FOR DECONCENTRATION

DECONCENTRATION OF POVERTY AND INCOME-MIXING

The PHA's admission policy is designed to provide for deconcentration of poverty and income mixing by bringing higher income tenants into lower income projects and lower income tenants into higher income projects.

Nothing in the deconcentration policy relieves the PHA of the obligation to meet the income-targeting requirement.

Gross annual income is used for income limits at admission and for income-mixing purposes.

Deconcentration and Income-Mixing Goals

The PHA's deconcentration and income-mixing goal, in conjunction with the requirement to target at least 40 percent of new admissions to public housing in each fiscal year to "extremely low-income families" will be to admit families above the PHA's Established Income Range (EIR) to developments below the EIR, and families below the PHA's EIR to developments above the EIR.

Deconcentration applies to transfer families as well as applicant families.

Deconcentration Applicability

The PHA has covered developments (general occupancy, family developments) subject to the deconcentration requirement. These covered developments are described in the PHAB Plan.

Annually, the PHA will determine on an annual basis the average income of all families residing in general occupancy developments.

The PHA will then determine the average income of all families residing in each general occupancy development.

The PHA will then determine whether each general occupancy development falls above, within or below the Established Income Range (EIR).

The EIR is 85 percent of 115 percent (inclusive of 85 percent and 115 percent) of the PHA-wide average income for general occupancy developments.

The PHA will then determine whether or not developments outside the EIR are consistent

with local goals and strategies in the PHA Plan.

The PHA may explain or justify the income profile for these developments as being consistent with and furthering two sets of goals:

1. Goals of deconcentration of poverty and income mixing (bringing higher income families into lower income developments and vice versa); and
2. Local goals and strategies contained in the PHA Plan.

Deconcentration Policy

If, at annual review, there are found to be development(s) with average income above or below the EIR, and where the income profile for a general occupancy development above or below the EIR is not explained or justified in the PHA Plan, the PHA shall list these covered developments in the PHA Annual Plan.

The PHA shall adhere to the following policies for deconcentration of poverty and income mixing in applicable developments:

Skipping a family on the waiting list or the transfer list to reach another family in an effort to further the goals of the PHA's deconcentration policy:

If a unit becomes available at a development below the EIR, the first eligible family on the waiting list or transfer list with income above the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list or transfer list with income above the EIR will be offered the unit. The process will continue in this order. For the available unit at the development below the EIR, if there is no family on the waiting list or the transfer list with income above the EIR, or no family with income above the EIR accepts the offer, then the unit will be offered to the first eligible family on the waiting list or the transfer list in preference order regardless of income.

If a unit becomes available at a development above the EIR, the first eligible family on the waiting list or transfer list with income below the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list or transfer list with income below the EIR will be offered the unit. The process will continue in this order. For the available unit at the development above the EIR, if there is no family on the waiting list or the transfer list with income below the EIR, or no family with income below the EIR accepts the offer, then the unit will be offered to the first eligible family on the waiting list or the transfer list in preference order regardless of income.

Skipping of families for deconcentration purposes will be applied uniformly to all families.

A family has the sole discretion whether to accept an offer of a unit made under the PHA's deconcentration policy. The PHA shall not take any adverse action toward any eligible family for choosing not to accept an offer of a unit under the PHA's deconcentration policy. However, the PHA shall uniformly limit the number of offers received by applicants, and transfer families, described in this Chapter.

Deconcentration Compliance

If, at annual review, the average incomes at all general occupancy developments are within the Established Income Range, the PHA will be considered to be in compliance with the deconcentration requirement.

Annual Statement Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing

PHA Name

Public Housing Authority of Butte Montana

Grant Type and Number

Capital Fund Program Grant
Replacement Housing Fund

Original Annual Statement
Performance and Evaluation Report for Period

Agencies
Final Performance

No

Summary by Development Account

Total Non-CGP Funds

Total Estimated
Original

1406 Operations

Clarisa Hogart
Housing Coordinator

Grant Personnel for
ROSS Grant
Computer Lab
Technician
Youth Program
Coordinator

1408 Management Improvements

Mike Lyons
Modernization
Coordinator

Maintenance
laborers

1410 Administration

1411 Audit

1415 Liquidated Damages

1430 Fees and Costs

1440 Site Acquisition

1450 Site Improvement

1460 Dwelling Structures

1465.1 Dwelling Equipment - Nonexpendable

1470 Nondwelling Structures

1475 Nondwelling Equipment

1485 Demolition

1490 Replacement Reserve

1492 Moving to Work Demonstration

1495.1 Relocation Costs

1499 Development Activities

1501 Collateralization or Debt Service

1502 Contingency

Amount of Annual Grant (Sum of lines 2 - 19)

Amount of line 21 Related to LBP Activities

Amount of line 21 Related to Section 504 Compliance

Amount of line 21 Related to Security -- Soft Costs

Amount of line 21 Related to Security -- Hard Costs

Amount of line 21 Related to Energy Conservation Measures

to be completed for the Performance and Evaluation Report of a Revised Annual Statement.

Signature of Executive Director and Date

Signature of Public Housing I

| No | Summary by Development Account | Original | Total Estimated |
|----|---|----------|-----------------|
| | Total Non-CGP Funds | | |
| | 1406 Operations | | |
| | 1408 Management Improvements | | |
| | 1410 Administration | | |
| | 1411 Audit | | |
| | 1415 Liquidated Damages | | |
| | 1430 Fees and Costs | | |
| | 1440 Site Acquisition | | |
| | 1450 Site Improvement | | |
| | 1460 Dwelling Structures | | |
| | 1465.1 Dwelling Equipment - Nonexpendable | | |
| | 1470 Nondwelling Structures | | |
| | 1475 Nondwelling Equipment | | |
| | 1485 Demolition | | |
| | 1490 Replacement Reserve | | |
| | 1492 Moving to Work Demonstration | | |
| | 1495.1 Relocation Costs | | |
| | 1499 Development Activities | | |
| | 1501 Collateralization or Debt Service | | |
| | 1502 Contingency | | |
| | Amount of Annual Grant (Sum of lines 2 - 19) | | |
| | Amount of line 21 Related to LBP Activities | | |
| | Amount of line 21 Related to Section 504 Compliance | | |
| | Amount of line 21 Related to Security -- Soft Costs | | |
| | Amount of line 21 Related to Security -- Hard Costs | | |
| | Amount of line 21 Related to Energy Conservation Measures | | |

Teri Kellogg
Financial Manager

Michelle
Davis
Tenant
Specialist

Deby Bergsing
Administrative
Assistant

Tom Petritz
Artie Laramie
Dave Newby
Pat Price
Dave Ronning
Maintenance
Mechanics

Attachment E

Resident Membership of the PHA Governing Board

Resident

Address

Michele Verrall 4001 Hancock, Butte, MT 59701
Appointed by city's Chief Executive
Term: appointed 5/2005 for 5 years, term expires 10/2010

Attachment F

Membership of the Resident Advisory Board

| NAME | ADDRESS |
|---------------|--|
| Lyle Adams | 1125 S. Main Street, Apt. 406, Butte, MT |
| David Panchot | 50 W. Broadway, Apt. 303, Butte, MT |
| Jackie Smith | 106 Silver Bow Homes, Butte, MT |
| Dorinda Smith | 1125 S. Main Street, Apt. 115, Butte, MT |
| John From | 1528 Elm Street, Butte, MT |

Attachment G

Deconcentration Analysis

The Public Housing Authority of Butte only has two (2) family developments and only one of those developments has more than 100 units. Silver Bow Homes has 213 units and Elm Street Apartments only has 35 units. The other units owned by the housing authority are for elderly and/or disabled persons with the exception of the 12 scattered site single-family homes. Since only one development is covered under the deconcentration rule it is not necessary to revise admission policies for the housing authority.

Attachment H

Comments about the Annual Plan from the Resident Advisory Board

The Resident Advisory Board met on February 28, 2006. In attendance were:

1. Revonda Stordahl, Executive Director of the Public Housing Authority of Butte
2. Jackie Smith, 106 Silver Bow Homes, Butte, MT 59701
3. John From, 1528 Elm Street, Butte, MT 59701
4. David Panchot, 50 W. Broadway, #303, Butte, MT 59701
5. Lyle Adams, 1125 S. Main Street, #406, Butte, MT 59701
6. Clarisa Hogart, Housing Coordinator for the Public Housing Authority of Butte
7. Alan From, 1528 Elm Street, Butte, MT 59701

The following are the comments the Resident Advisory Board (RAB) had concerning the Public Housing Authority of Butte's Annual Plan for FY 2006:

- The RAB was informed that the Public Housing Authority of Butte intends to create site-based waiting lists for each property. They agreed that the policy change is good and makes sense. Members of the Resident Advisory Board had no other specific comments about policies, management, or maintenance of the housing authority.
- The RAB reviewed the 2006 Capital Fund plan and the 5-year plan for the Capital Fund. The RAB thought the proposed activities are good. One comment was in regards to putting carpet in the living rooms and bedrooms at the Elm Street Apartments. The RAB member suggested that we look at tile versus carpet for the apartments.
- The RAB always has a wish list of items for each complex:
 - Windows washed at the Rosalie Manor and the Leggat Apartments.
 - Front door at the Leggat needs weather stripping.
 - New tables are needed in the community room at the Leggat.

Attachment I

Definition of Substantial Deviation to the Annual Plan or Significant Amendment or Modification

A significant amendment to the Annual Plan will include changes to rent or admissions policies and any changes with regard to demolition or disposition, designation of public housing properties, homeownership programs, or conversion activities.

ATTACHMENT J

PUBLIC HOUSING AUTHORITY OF BUTTE

COMMUNITY SERVICE REQUIREMENT

As of November 1, 2002, all adult residents of public housing are required to perform 8 hours of community service per month, or 96 hours per re-exam year. This order applies to all tenants aged 18 and older on the lease, even if they are not the head-of-household. In order for the housing authority to renew a resident's lease, the resident, and all required family members, must have performed all the required hours of community service. Many residents may be exempt from performing community service. The exemptions for the Community Service Requirement are as follows:

- A. If the tenant is currently employed.
- B. If the tenant is 62 years old or older.
- C. If the tenant is disabled and receiving Social Security or SSI.

TENANT OBLIGATIONS

Tenant must complete 96 hours of Community Service per re-exam year. Not doing so will be cause for termination of tenancy.

TERMINATION OF TENANCY

Failure to comply with the Community Service requirement as set out above will result in a thirty-day eviction notice.



ATTACHMENT K

PUBLIC HOUSING AUTHORITY OF BUTTE PET POLICY

1. Purpose:

In compliance with 24 CFR Part 5, Subpart C – Pet Ownership for the Elderly or Persons with Disabilities, and Section 526 of the Quality Housing and Work Responsibility Act of 1998, the Public Housing Authority of Butte will permit residents to own and keep common household pets in their apartment or house.

The purpose of the policy is to ensure that pet ownership will not be injurious to persons or property, or violate the rights of all residents to clean, quiet, and safe surroundings.

2. Screening/Registration:

Pet owners must complete a Pet Application and Registration form before the pet will be allowed in the apartment or house. If the pet is either a cat or dog, a current photograph should be attached. Every pet must be registered with the Public Housing Authority of Butte prior to moving the pet into the unit and updated annually thereafter. Registration requires the following:

- A. A certificate signed by a licensed veterinarian stating that the animal has received all inoculations required by state and local law.
- B. Proof of current license, if applicable (cats and dogs).
- C. Identification tag bearing the owner's name, address and phone number.
- D. Proof of neutering/spaying, if applicable (cats and dogs).
- E. Photograph of pet.
- F. The name, address, and phone number of a responsible party that will care for the pet if the owner becomes temporarily incapacitated.

3. Permissible Pets:

Only **ONE** of the following is allowed in each unit.

- A. **BIRDS:** Including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. (There is a \$50.00 pet deposit required for a bird).
- B. **FISH:** Tanks or aquariums are not to exceed 20 gallons in capacity. Poisonous or dangerous fish are not permitted. Only one (1) tank or aquarium is permitted per apartment or house. (The \$200.00 deposit only applies to aquariums that are 20 gallons. Any aquarium less than 20 gallons will not be charged a deposit.)
- C. **DOGS:** Not to exceed twenty (20) pounds at time of maturity. All dogs must be neutered or spayed. Only one (1) dog is permitted per apartment or house.
- D. **CATS:** All cats must be neutered or spayed. No wild cats are permitted. Only one (1) cat is permitted per apartment or house.
- E. **CAGED ANIMALS:** Only guinea pigs, hamsters, and gerbils are allowed. Only two (2)-caged animals are permitted per apartment or house. (There is a \$50.00 deposit required for caged animals.)



F. **EXOTIC/UNCONVENTIONAL ANIMALS:** Pets such as snakes, reptiles, monkeys, farm animals, etc., are not allowed.

4. Pet Deposit:

Every resident who decides to have a pet must pay a refundable pet deposit in the amount of \$200.00 at the time the pet is registered with the Housing Authority. If the pet is a bird or a caged animal the deposit is only \$50.00.

5. Restrictions:

- A. Pets shall not be kept, bred or used for any commercial purpose.
- B. Pets must be confined to the pet owner's apartment or house, must not be allowed to roam free, and may not be tied unattended in any common area.
- C. All dogs must be on a leash when not in the owner's apartment or house. The leash must not be longer than three (3) feet. Cats must be in a caged container or on a leash when taken out of the owner's apartment or house. Birds must be in a cage when inside of the resident's apartment or house, or when entering or leaving the building.
- D. Persons who walk pets are responsible for immediately cleaning up after their animals, and discarding securely bagged pet droppings into the dumpsters. Cat litter may not be disposed of in toilets, nor may any pet waste be dropped down trash chutes unless securely bagged.
- E. Doghouses are not allowed on Housing Authority property. Pet carriers and enclosed kennels may be kept in the apartment or house.
- F. Pet owners will be required to make arrangement for their pets in the event of vacation or hospitalization.
- G. No pet shall be allowed to become a nuisance or create any unreasonable disturbance. Examples of nuisance behavior for the purposes of this paragraph are:
 - 1) Personal injury or property damage caused by unruly behavior.
 - 2) Pets who make noise continuously and/or incessantly for a period of 10 minutes or intermittently for ½ hour or more to the disturbance of any person at any time of day or night.
 - 3) Pets in common areas that are not in complete control of a responsible human companion, and on a short hand-held leash or in a pet carrier.
 - 4) Animals who relieve themselves on walls or floors of common areas.
 - 5) Animals who exhibit aggressive or vicious behavior.
 - 6) Pets who are conspicuously unclean or parasite-infested.

6. Sanitary Standards and Waste Disposal:

- A. Litter boxes must be provided for cats with use of odor-reducing chemicals.
- B. Fur-bearing pets must be flea-free. Should flea extermination become necessary, the cost of such extermination will be charged to the pet owner.
- C. In accordance with City Code, pet owners are responsible for immediate removal of the feces of their pet and shall be charged in instances of removal of pet feces by staff and where damages occur to Housing Authority property due to the pet.



More than three (3) such charges during a twelve- (12) month period may be cause for pet removal.

- 1) All pet waste must be placed in a plastic bag and tied securely to reduce odor and placed in designated garbage containers.
- 2) Residents with litter boxes must clean them regularly. Non-compliance may result in the removal of the pet. Litter box garbage shall be placed in a secured plastic bag and deposited outside the building in the garbage container.

D. All apartments or houses with pets must be kept free of pet odors and maintained in a clean and sanitary manner. A housekeeping inspection shall be conducted after 30 days of pet moving into the household. If the household fails the housekeeping inspection, which constitutes a failure to care for the pet in an appropriate manner, a notice of violation will be issued and the household will have seven (7) days to correct the deficiencies. Pet owners' apartments or houses may be subject to inspections once a month.

7. Pet Areas:

At no time will pets be allowed in any public area such as community space, laundry rooms, sitting rooms, etc. Pets should only be in the lobby when entering or leaving the building.

8. Pet Rule Violation and Pet Removal:

A. If it is determined on the basis of objective facts that a pet owner has neglected to appropriately care for a pet and has violated a rule governing the pet policy, the Public Housing Authority of Butte shall serve a notice of Pet Rule Violation on the pet owner. Serious or repeated violations may result in pet removal or termination of the pet owner's tenancy, or both.

B. If a pet poses a nuisance such as excessive noise, barking, odor, or whining, which disrupts the peace or quality of life of other residents, the owner will permanently remove the pet from the premises upon request of management within forty-eight (48) hours. Nuisance complaints regarding pets are subject to immediate inspections.

C. If a pet owner becomes unable either through hospitalization, or illness, to care for the pet and the person so designated to care for the pet in the pet owner's absence refuses or is unable physically to care for the pet, the Public Housing Authority of Butte can officially remove the pet. Animal control will be called to remove the pet. The Housing Authority accepts no responsibility for pets removed.

9. Rule Enforcement:

Violation of these pet rules will prompt a written notice of violation. The pet owner will have seven (7) days to correct the violation. Failure to correct the violation will result in the immediate removal of the pet and/or the termination of the pet owner's tenancy.

10. Visitors and Guests:



No visitor or guest will be allowed to bring pets on the premises at anytime. Residents will not be allowed to pet sit, harbor, or house a pet without fully complying with this policy. Feeding or caring for stray animals is prohibited and will be considered keeping a pet without permission.

11. Liability:

Residents owning pets shall be liable for the entire amount of all damages to the Public Housing Authority of Butte premises caused by their pet and all cleaning, de-fleaing, and deodorizing required because of such pet.

12. Exceptions:

A. **SERVICE ANIMALS:** This policy does not apply to service animals that are used to assist persons with disabilities. This exclusion applies to service animals that reside in the Housing Authority complexes, as well as service animals used to assist persons with disabilities that visit the Housing Authority complexes. Pets used for the purpose of aiding residents with disabilities must have appropriate certification.

B. **K-9 SERVICE ANIMALS:** Police officers who have an assigned K-9 service animal are exempt from this policy.

ACKNOWLEDGEMENT OF RECEIPT:

A Public Housing Authority of Butte representative has reviewed the Pet Policy in its entirety and has fully explained the rules and regulations regarding pet ownership.

Tenant (**Print Name**)

Tenant (**Signature**)

Date

PHAB Representative (**Print Name**)

PHAB Representative (**Signature**)

Date



Witness (**Print Name**)



Witness (**Signature**)



Date

Public Housing Authority of Butte

PET APPLICATION/REGISTRATION FORM

| | |
|-------------------|--|
| Name of Pet Owner | |
| Apartment Number | |
| Home Telephone | |
| Work Telephone | |

| PET INFORMATION | | | |
|-----------------|--|----------|--|
| Pet's Name | | | |
| Type/Breed | | | |
| Age | | Coloring | |



| | | | |
|----------------------|--|--------|--|
| Spayed Neutered | | Date | |
| License # | | | |
| Veterinarian Name | | Number | |

| | |
|--|--|
| | |
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350203 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2003 | | |
|---|--|--|-----------|--|--------------------|------------------------------|-------------------|----------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| <u>Site Improvements</u> | | | | | | | | |
| MT 3-2 | Resurface and Reseal Parking Lots and Drives | 1450 | 23,575 SF | \$9,000.00 | \$4,270.00 | \$4,270.00 | \$4,270.00 | Completed |
| MT 3-2 | Restripe Spaces and Replace Stops | 1450 | 47 EA | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | Completed |
| MT 3-4 | Repair / Replace Vaulted Sidewalk | 1450 | 200 SF | \$0.00 | \$5,852.00 | \$5,852.00 | \$0.00 | Winter 05 |
| Subtotal Account 1450 | | | | \$14,000.00 | \$10,122.00 | \$10,122.00 | \$4,270.00 | |
| <u>Dwelling Structures</u> | | | | | | | | |
| MT 3-2 | Replace all Drapes on Doors and Windows | 1460 | 66 EA | \$25,982.00 | \$11,448.00 | \$11,448.00 | \$0.00 | Winter 05 |
| MT 3-3 | Replace Flooring in Boiler Rooms | 1460 | 15 Bldgs | \$28,000.00 | \$0.00 | \$0.00 | \$0.00 | Move to 06 |
| MT 3-4 | Modernize Bathrooms | 1460 | 30 DU | \$15,541.00 | \$0.00 | \$0.00 | \$0.00 | Move to 04 |
| MT 3-3 | Abate Mold In Units As Needed | 1460 | 35 DU | \$0.00 | \$55,017.85 | \$55,017.85 | \$55,017.85 | Completed |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report. | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350203 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2003 | | |
|---|--|---|----------|--|--------------------|-------------------------------------|--------------------|----------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| MT 3-2 | Replace Carpet in Units as Needed | 1460 | 10 DU | \$0.00 | \$6,935.15 | \$6,935.15 | \$6,085.00 | Winter 05 |
| | Subtotal Account 1460 | | | \$69,523.00 | \$73,401.00 | \$73,401.00 | \$61,102.85 | |
| | <u>Nondwelling Structures</u> | | | | | | | |
| MT 3-4 | Replace Carpet in Common Areas | 1470 | LS | \$2,224.00 | \$2,224.00 | \$2,224.00 | \$2,224.00 | Completed |
| | Subtotal Account 1470 | | | \$2,224.00 | \$2,224.00 | \$2,224.00 | \$2,224.00 | |
| | <u>Nondwelling Equipment</u> | | | | | | | |
| PHA-Wide | Replace Tractor for Mowing and Snow Removal | 1475 | LS | \$10,235.00 | \$10,235.00 | \$10,235.00 | \$10,235.00 | Completed |
| | Subtotal Account 1475 | | | \$10,235.00 | \$10,235.00 | \$10,235.00 | \$10,235.00 | |
| | GRAND TOTAL | | | \$95,982.00 | \$95,982.00 | \$95,982.00 | \$77,831.85 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report. | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | |
|--|---|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350203 Replacement Housing Factor Grant No: |
|--|---|

| Development Number / Name HA - Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | |
|---|---|---------|--------|--|---------|--------|
| | Original | Revised | Actual | Original | Revised | Actual |
| MT 3-2 -- 1450 | 02/13/06 | | | 02/13/08 | | |
| MT 3-2 -- 1460 | 02/13/06 | | | 02/13/08 | | |
| MT 3-3 -- 1460 | 02/13/06 | | | 02/13/08 | | |
| MT 3-4 -- 1460 | 02/13/06 | | | 02/13/08 | | |
| MT 3-4 -- 1470 | 02/13/06 | | | 02/13/08 | | |
| PHA-Wide 1475 | 02/13/06 | | | 02/13/08 | | |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

| | |
|--|--|
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Native American Programs Administrator and Date |
|--|--|

Federal FY of Grant:
2003

Reasons for Revised Target Dates

Annual Statement/Performance and Evaluation Report Captial Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|--|---|----------------------------------|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | Federal FY of Grant: 2004 |
|--|---|----------------------------------|

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision Number: 2)
 Performance and Evaluation Report for Period Ending:12-31-05
 Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|--------------|-------------------|--------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CGP Funds | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 2 | 1406 Operations | \$10,000.00 | \$10,000.00 | \$10,000.00 | \$10,000.00 |
| 3 | 1408 Management Improvements | \$47,500.00 | \$65,394.48 | \$65,394.48 | \$51,617.54 |
| 4 | 1410 Administration | \$32,000.00 | \$45,600.00 | \$45,600.00 | \$32,000.00 |
| 5 | 1411 Audit | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 7 | 1430 Fees and Costs | \$47,000.00 | \$47,000.00 | \$38,449.25 | \$33,954.25 |
| 8 | 1440 Site Acquisition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9 | 1450 Site Improvement | \$83,650.00 | \$0.00 | \$0.00 | \$0.00 |
| 10 | 1460 Dwelling Structures | \$260,600.00 | \$301,918.81 | \$218,488.19 | \$210,805.19 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | \$32,000.00 | \$31,993.81 | \$21,276.56 | \$17,362.84 |
| 12 | 1470 Nondwelling Structures | \$20,649.00 | \$33,478.00 | \$15,829.00 | \$15,829.00 |
| 13 | 1475 Nondwelling Equipment | \$20,500.00 | \$20,500.00 | \$1,887.34 | \$1,198.95 |
| 14 | 1485 Demolition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 15 | 1490 Replacement Reserve | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 16 | 1492 Moving to Work Demonstration | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 17 | 1495.1 Relocation Costs | \$3,000.00 | \$1,870.90 | \$1,870.90 | \$1,870.90 |
| 18 | 1499 Development Activities | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 19 | 1501 Collaterization or Debt Service | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 20 | 1502 Contingency | \$857.00 | \$0.00 | \$0.00 | \$0.00 |
| 21 | Amount of Annual Grant (Sum of lines 2 - 19) | \$557,756.00 | \$557,756.00 | \$418,795.72 | \$374,638.67 |
| 22 | Amount of line 21 Related to LBP Activities | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 24 | Amount of line 21 Related to Security -- Soft Costs | \$27,500.00 | \$27,500.00 | \$27,500.00 | \$20,973.06 |
| 25 | Amount of line 21 Related to Security -- Hard Costs | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | \$95,000.00 | \$95,000.00 | \$95,000.00 | \$95,000.00 |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual St (2) To be completed for the Performance and Evaluation Report.

| | |
|--|--|
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Native American Programs Administrator and Date |
|--|--|

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
|---|--|--|----------|--|--------------------|--|--------------------|----------------|
| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Operations</u> | | | | | | | |
| PHA-Wide | Modernization Funds for Operations | 1406 | LS | \$10,000.00 | \$10,000.00 | \$10,000.00 | \$10,000.00 | Completed |
| | Subtotal Account 1406 | | | \$10,000.00 | \$10,000.00 | \$10,000.00 | \$10,000.00 | |
| | <u>Management Improvements</u> | | | | | | | |
| PHA-Wide | Security Services and Reimbursement of Local Law Enforcement | 1408 | LS | \$27,500.00 | \$27,500.00 | \$27,500.00 | \$20,973.06 | Fall 2006 |
| PHA-Wide | Commissioner and Staff Training | 1408 | LS | \$10,000.00 | \$26,823.48 | \$26,823.48 | \$26,823.48 | Completed |
| PHA-Wide | Professional Services for Consulting, Training, and Technical Assistance | 1408 | LS | \$10,000.00 | \$11,071.00 | \$11,071.00 | \$3,821.00 | Winter 05 |
| | Subtotal Account 1408 | | | \$47,500.00 | \$65,394.48 | \$65,394.48 | \$51,617.54 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report. | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
|---|--|--|----------|--|--------------------|--|--------------------|----------------|
| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Administration</u> | | | | | | | |
| PHA-Wide | Salary for Modernization Coordinator | 1410 | LS | \$32,000.00 | \$45,600.00 | \$45,600.00 | \$32,000.00 | Fall 2006 |
| | Subtotal Account 1410 | | | \$32,000.00 | \$45,600.00 | \$45,600.00 | \$32,000.00 | |
| | <u>Fees and Costs</u> | | | | | | | |
| PHA-Wide | Professional Services Related to Architecture, Engineering and Construction Management | 1430 | LS | \$45,000.00 | \$45,000.00 | \$37,332.00 | \$32,837.00 | Fall 2006 |
| PHA-Wide | Sundry Costs Related to Modernization | 1430 | LS | \$2,000.00 | \$2,000.00 | \$1,117.25 | \$1,117.25 | Fall 2006 |
| | Subtotal Account 1430 | | | \$47,000.00 | \$47,000.00 | \$38,449.25 | \$33,954.25 | |
| <p>(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.</p> | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| | | |
|--|--|--|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | Federal FY of Grant: 2004 |
|--|--|--|

| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|--|----------------------------|----------|----------------------|---------------|-------------------|----------------|----------------|
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Site Improvements</u> | | | | | | | |
| MT 3-1 | Install Curbing Aroud Perimeter of New Parking Areas | 1450 | 725 LF | \$7,250.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| MT 3-1 | Prepare and Pave Lots | 1450 | 3500 SY | \$30,000.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| MT 3-1 | Stripe Lots and Install Parking Stops | 1450 | 64 EA | \$6,400.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| MT 3-1 | Install New Sidewalk Along Ohio Street | 1450 | 500 LF | \$7,500.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| MT 3-1 | Leveling and Landscaping in Park Area | 1450 | LS | \$20,000.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| MT 3-1 | Install Sprinkler System in Park Area | 1450 | LS | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| MT 3-1 | Install Benches, Tables, Grills, etc. | 1450 | LS | \$7,500.00 | \$0.00 | \$0.00 | \$0.00 | Moved to 05 |
| | Subtotal Account 1450 | | | \$83,650.00 | \$0.00 | \$0.00 | \$0.00 | |

| | |
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| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | (2) To be completed for the Performance and Evaluation Report. |
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Native American Programs Administrator and Date |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
|--|--|--|----------|--|-------------|--|----------------|----------------------|
| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Dwelling Structures</u> | | | | | | | |
| MT 3-1 | Replace Existing Forced Air Furnaces (Phase II) | 1460 | 45 DU | \$65,000.00 | \$65,000.00 | \$65,000.00 | \$65,000.00 | Completed |
| MT 3-2 | Replace Carpet in Units as Needed | 1460 | LS | \$10,000.00 | \$15,228.00 | \$15,228.00 | \$7,545.00 | Winter 05 |
| MT 3-3 | Install Crawl Space Ventilation System in Each Building to Reduce Mold | 1460 | 15 Bldgs | \$30,000.00 | \$30,000.00 | \$30,000.00 | \$30,000.00 | Completed |
| MT 3-3 | Abate Mold Inside Units as Needed | 1460 | 35 DU | \$31,350.00 | \$41,379.75 | \$41,379.75 | \$41,379.75 | Completed |
| MT 3-6 | Rework Basement Access @ 911 West Mercury | 1460 | LS | \$12,000.00 | \$0.00 | \$0.00 | \$0.00 | Moved back to 5-Year |
| MT 3-6 | Rework Basement Access or Build Ground Level Utility Room @ 4001 Hancock | 1460 | LS | \$13,000.00 | \$19,772.00 | \$0.00 | \$0.00 | Summer 2006 |
| MT 3-6 | Replace Furnaces and Boilers as Needed | 1460 | 4 DU | \$14,000.00 | \$14,000.00 | \$0.00 | \$0.00 | Summer 2006 |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | | | | (2) To be completed for the Performance and Evaluation Report. | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

| | | |
|--|--|--|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | Federal FY of Grant: 2004 |
|--|--|--|

| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|--|----------------------------|----------|----------------------|---------------------|---------------------|---------------------|----------------|
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| <u>Dwelling Structures (Continued)</u> | | | | | | | | |
| MT 3-6 | Repairs Necessary for the Implementation of Homeownership Plan | 1460 | LS | \$14,000.00 | \$14,000.00 | \$0.00 | \$0.00 | Summer 2006 |
| MT 3-1 | Replace Vent Pipes on All Boilers (Phase II) | 1460 | 213 DU | \$36,250.00 | \$31,880.44 | \$31,880.44 | \$31,880.44 | Completed |
| MT 3-1 | Cover Metal Fascia on Roof Edges with Prepainted Metal | 1460 | 18 Bldgs | \$35,000.00 | \$35,000.00 | \$35,000.00 | \$35,000.00 | Completed |
| MT 3-4 | Modernize Bathrooms | 1460 | 30 DU | \$0.00 | \$30,000.00 | \$0.00 | \$0.00 | Summer 2006 |
| MT 3-1 | Replace Interior Doors | 1460 | 50 EA | \$0.00 | \$5,658.62 | \$0.00 | \$0.00 | Summer 2006 |
| Subtotal Account 1460 | | | | \$260,600.00 | \$301,918.81 | \$218,488.19 | \$210,805.19 | |
| <u>Dwelling Equipment</u> | | | | | | | | |
| PHA-Wide | Replace Refrigerators | 1465 | 30 EA | \$12,000.00 | \$12,000.00 | \$10,882.75 | \$8,709.85 | Winter 2005 |
| PHA-Wide | Replace Stoves | 1465 | 50 EA | \$20,000.00 | \$10,393.81 | \$10,393.81 | \$8,652.99 | Winter 2005 |
| PHA-Wide | Replace Hot Water Tanks | 1465 | 30 EA | \$0.00 | \$9,600.00 | \$0.00 | \$0.00 | Spring 2006 |
| Subtotal Account 1465 | | | | \$32,000.00 | \$31,993.81 | \$21,276.56 | \$17,362.84 | |

| | | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | | | | (2) To be completed for the Performance and Evaluation Report. | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
|--|---|--|--|--|--------------------|--|--------------------|----------------|
| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Nondwelling Structures</u> | | | | | | | |
| [MT 3-2] | Replace Common Area Carpet | 1470 | LS | \$6,000.00 | \$5,829.00 | \$5,829.00 | \$5,829.00 | Completed |
| [MT 3-1] | Repair/Replace front and Back Stoops | 1470 | LS | \$14,649.00 | \$14,649.00 | \$10,000.00 | \$10,000.00 | Summer 2006 |
| [MT 3-2] | Replace Community Room Furniture | 1470 | LS | \$0.00 | \$8,000.00 | \$0.00 | \$0.00 | Spring 2006 |
| [MT 3-4] | Replace Community Room Furniture | 1470 | LS | \$0.00 | \$5,000.00 | \$0.00 | \$0.00 | Spring 2006 |
| | Subtotal Account 1470 | | | \$20,649.00 | \$33,478.00 | \$15,829.00 | \$15,829.00 | |
| | <u>Non-Dwelling Equipment</u> | | | | | | | |
| PHA-Wide | Replace Tractors for Mowing and Snow Removal | 1475 | LS | \$15,000.00 | \$15,000.00 | \$0.00 | \$0.00 | Winter 2005 |
| PHA-Wide | Replace Maintenance Tools and Equipment as Needed | 1475 | LS | \$3,000.00 | \$3,000.00 | \$1,887.34 | \$1,198.95 | Summer 2006 |
| PHA-Wide | Replace Computer and Communications Equipment as Needed | 1475 | LS | \$2,500.00 | \$2,500.00 | \$0.00 | \$0.00 | Summer 2006 |
| | Subtotal Account 1475 | | | \$20,500.00 | \$20,500.00 | \$1,887.34 | \$1,198.95 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | | | | (2) To be completed for the Performance and Evaluation Report. | | | | |
| Signature of Executive Director and Date | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
|---|---|--|----------|--|---------------------|--|---------------------|----------------|
| Development Number / Name HA - Wide Activities | Summary by Development Account | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA-Wide | <u>Relocation</u> | 1495 | 123 DU | | | | | Completed |
| | Temporary Relocation Costs During Modernization | | | \$3,000.00 | \$1,870.90 | \$1,870.90 | \$1,870.90 | |
| | Subtotal Account 1495 | | | \$3,000.00 | \$1,870.90 | \$1,870.90 | \$1,870.90 | |
| PHA-Wide | <u>Contingency</u> | 1502 | LS | | | | | |
| | Contingency Costs | | | \$857.00 | \$0.00 | \$0.00 | \$0.00 | |
| | Subtotal Account 1502 | | | \$857.00 | \$0.00 | \$0.00 | \$0.00 | |
| | GRANT TOTAL | | | \$557,756.00 | \$557,756.00 | \$418,795.72 | \$374,638.67 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report. | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | | |
|--|--|--|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No: | Federal FY of Grant: 2004 |
|--|--|--|

| Development Number / Name HA - Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|---|---|---------|--------|--|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| PHA -Wide 1406 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1408 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1410 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1430 | 09/14/06 | | | 09/14/08 | | | |
| MT 3-1 -- 1460 | 09/14/06 | | | 09/14/08 | | | |
| MT 3-2 -- 1460 | 09/14/06 | | | 09/14/08 | | | |
| MT 3-3 -- 1460 | 09/14/06 | | | 09/14/08 | | | |
| MT 3-6 -- 1460 | 09/14/06 | | | 09/14/08 | | | |
| MT 3-1 -- 1470 | 09/14/06 | | | 09/14/08 | | | |
| MT 3-2 --1470 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1465 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1475 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1495 | 09/14/06 | | | 09/14/08 | | | |
| PHA-Wide 1502 | 09/14/06 | | | 09/14/08 | | | |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

| | |
|--|--|
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Native American Programs Administrator and Date |
|--|--|

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|--|---|-------------------------------------|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | Federal FY of Grant: 2005 |
|--|---|-------------------------------------|

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (Revision Number:2)
 Performance and Evaluation Report for Period Ending:12-31-05
 Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|--------------|-------------------|-------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CGP Funds | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 2 | 1406 Operations | \$30,000.00 | \$30,000.00 | \$30,000.00 | \$30,000.00 |
| 3 | 1408 Management Improvements | \$45,000.00 | \$55,000.00 | \$26,693.73 | \$1,693.73 |
| 4 | 1410 Administration | \$63,600.00 | \$50,000.00 | \$50,000.00 | \$3,142.70 |
| 5 | 1411 Audit | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 7 | 1430 Fees and Costs | \$42,000.00 | \$42,000.00 | \$0.00 | \$0.00 |
| 8 | 1440 Site Acquisition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9 | 1450 Site Improvement | \$0.00 | \$135,966.00 | \$0.00 | \$0.00 |
| 10 | 1460 Dwelling Structures | \$237,366.00 | \$95,000.00 | \$0.00 | \$0.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | \$22,000.00 | \$32,000.00 | \$13,979.95 | \$0.00 |
| 12 | 1470 Nondwelling Structures | \$15,000.00 | \$15,000.00 | \$0.00 | \$0.00 |
| 13 | 1475 Nondwelling Equipment | \$45,500.00 | \$45,500.00 | \$0.00 | \$0.00 |
| 14 | 1485 Demolition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 15 | 1490 Replacement Reserve | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 16 | 1492 Moving to Work Demonstration | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 17 | 1495.1 Relocation Costs | \$3,000.00 | \$3,000.00 | \$0.00 | \$0.00 |
| 18 | 1499 Development Activities | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 19 | 1501 Collateralization or Debt Service | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 20 | 1502 Contingency | \$1,577.00 | \$1,577.00 | \$0.00 | \$0.00 |
| 21 | Amount of Annual Grant (Sum of lines 2 - 19) | \$505,043.00 | \$505,043.00 | \$120,673.68 | \$34,836.43 |
| 22 | Amount of line 21 Related to LBP Activities | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 24 | Amount of line 21 Related to Security -- Soft Costs | \$25,000.00 | \$25,000.00 | \$25,000.00 | \$1,681.78 |
| 25 | Amount of line 21 Related to Security -- Hard Costs | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | \$100,000.00 | \$80,000.00 | \$0.00 | \$0.00 |

| | |
|--|--|
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Native American Programs Administrator and Date |
|--|--|

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2005 | | |
|--|--|--|----------|--|--------------------|-------------------------------------|--------------------|----------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Operations</u> | | | | | | | |
| PHA-Wide | Modernization Funds for Operations | 1406 | LS | \$30,000.00 | \$30,000.00 | \$30,000.00 | \$30,000.00 | Completed |
| | Subtotal Account 1406 | | | \$30,000.00 | \$30,000.00 | \$30,000.00 | \$30,000.00 | |
| | <u>Management Improvements</u> | | | | | | | |
| PHA-Wide | Security Services and Reimbursement of Local Law Enforcement | 1408 | LS | \$25,000.00 | \$25,000.00 | \$25,000.00 | \$1,681.74 | Winter 06 |
| PHA-Wide | Commissioner and Staff Training | 1408 | LS | \$10,000.00 | \$20,000.00 | \$1,693.73 | \$11.99 | Winter 06 |
| PHA-Wide | Professional Services for Consulting, Training, and Technical Assistance | 1408 | LS | \$10,000.00 | \$10,000.00 | \$0.00 | \$0.00 | Summer 07 |
| | Subtotal Account 1408 | | | \$45,000.00 | \$55,000.00 | \$26,693.73 | \$1,693.73 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report. | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|--------------------------------|----------|----------------------|---------------------|----------------------|-------------------|----------------|
| Public Housing Authority of Butte, Montana | | Capital Fund Program Grant No: | | MT06P00350105 | | 2005 | | |
| Replacement Housing Factor Grant No: | | | | | | | | |
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Administration</u> | | | | | | | |
| PHA-Wide | Salary for Modernization Coordinator | 1410 | LS | \$63,600.00 | \$50,000.00 | \$50,000.00 | \$3,142.70 | Winter 06 |
| | Subtotal Account 1410 | | | \$63,600.00 | \$50,000.00 | \$50,000.00 | \$3,142.70 | |
| | <u>Fees and Costs</u> | | | | | | | |
| PHA-Wide | Professional Services Related to Architecture, Engineering and Construction Management | 1430 | LS | \$40,000.00 | \$40,000.00 | \$0.00 | \$0.00 | Summer 07 |
| PHA-Wide | Sundry Costs Related to Modernization | 1430 | LS | \$2,000.00 | \$2,000.00 | \$0.00 | \$0.00 | Summer 07 |
| | Subtotal Account 1430 | | | \$42,000.00 | \$42,000.00 | \$0.00 | \$0.00 | |
| | <u>Site Improvements</u> | | | | | | | |
| MT 3-1 | Ohio Street Parking lots and Park. | 1450 | | \$0.00 | \$135,966.00 | \$0.00 | \$0.00 | Summer 06 |
| | Subtotal Account 1450 | | | \$0.00 | \$135,966.00 | \$0.00 | \$0.00 | |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2005 | | | |
|--|--|---|----------|----------------------|-------------------------------------|-------------------|----------------|----------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | | | | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2005 | | |
|--|---|--|----------|--|--------------------|--|----------------|---------------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Dwelling Structures</u> | | | | | | | |
| MT 3-2 | Separate Domestic Hot Water from Heating System | 1460 | LS | \$50,000.00 | \$40,000.00 | \$0.00 | \$0.00 | Summer 06 |
| MT 3-2 | Replace Carpet in Units as Needed | 1460 | LS | \$15,000.00 | \$15,000.00 | \$0.00 | \$0.00 | |
| MT 3-2 | Modernize Bathroom | 1460 | 24 DU | \$30,009.00 | \$0.00 | \$0.00 | \$0.00 | Move back to 5-year |
| MT 3-4 | Separate Domestic Hot Water from Heating System | 1460 | LS | \$50,000.00 | \$40,000.00 | \$0.00 | \$0.00 | Summer 06 |
| MT 3-4 | Modernize Kitchens | 1460 | 30 DU | \$92,357.00 | \$0.00 | \$0.00 | \$0.00 | Move 06 |
| | Subtotal Account 1460 | | | \$237,366.00 | \$95,000.00 | \$0.00 | \$0.00 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | | | | (2) To be completed for the Performance and Evaluation Report. | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2005 | | |
|---|--|--|----------|--|--------------------|--|----------------|----------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Dwelling Equipment</u> | | | | | | | |
| PHA-Wide | Replace Refrigerators | 1465 | 30 EA | \$12,000.00 | \$12,000.00 | \$3,979.95 | \$0.00 | Winter 06 |
| PHA-Wide | Replace Stoves | 1465 | 50 EA | \$10,000.00 | \$10,000.00 | \$10,000.00 | \$0.00 | Spring 06 |
| PHA - Wide | Replace Hot Water Tanks | 1465 | 30 EA | \$0.00 | \$10,000.00 | \$0.00 | \$0.00 | Winter 06 |
| | Subtotal Account 1465 | | | \$22,000.00 | \$32,000.00 | \$13,979.95 | \$0.00 | |
| | <u>Nondwelling Structures</u> | | | | | | | |
| MT 3-1 | Repair/Replace front and Back Stoops | 1470 | LS | \$15,000.00 | \$15,000.00 | \$0.00 | \$0.00 | Summer 06 |
| | Subtotal Account 1470 | | | \$15,000.00 | \$15,000.00 | \$0.00 | \$0.00 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report. | | | | | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| | | |
|--|--|--|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | Federal FY of Grant: 2005 |
|--|--|--|

| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|----------------------------|----------|----------------------|--------------------|-------------------|----------------|----------------|
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | <u>Non-Dwelling Equipment</u> | | | | | | | |
| PHA-Wide | Replace Tractors for Mowing and Snow Removal | 1475 | LS | \$15,000.00 | \$15,000.00 | \$0.00 | \$0.00 | Fall 06 |
| PHA-Wide | Replace Maintenance Tools and Equipment as Needed | 1475 | LS | \$3,000.00 | \$3,000.00 | \$0.00 | \$0.00 | Winter 06 |
| PHA-Wide | Replace Computer and Communications Equipment as Needed | 1475 | LS | \$2,500.00 | \$2,500.00 | \$0.00 | \$0.00 | Winter 06 |
| PHA-Wide | Replace Maintenance Vehicles | 1475 | LS | \$25,000.00 | \$25,000.00 | \$0.00 | \$0.00 | Summer 06 |
| | Subtotal Account 1475 | | | \$45,500.00 | \$45,500.00 | \$0.00 | \$0.00 | |
| | <u>Relocation</u> | | | | | | | |
| PHA-Wide | Temporary Relocation Costs During Modernization | 1495 | LS | \$3,000.00 | \$3,000.00 | \$0.00 | \$0.00 | Summer 06 |
| | Subtotal Account 1495 | | | \$3,000.00 | \$3,000.00 | \$0.00 | \$0.00 | |

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

| | |
|--|--|
| Signature of Executive Director and Date | Signature of Public Housing Director/Office of Native American Programs Administrator and Date |
|--|--|

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Public Housing Authority of Butte, Montana | | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2005 | | |
|--|--|--|----------|--|---------------------|--|--------------------|----------------|
| Development Number / Name HA - Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA-Wide | <u>Contingency</u> | 1502 | LS | | | | | Winter 06 |
| | Contingency Costs | | | \$1,577.00 | \$1,577.00 | \$0.00 | \$0.00 | |
| | Subtotal Account 1502 | | | \$1,577.00 | \$1,577.00 | \$0.00 | \$0.00 | |
| | GRANT TOTAL | | | \$505,043.00 | \$505,043.00 | \$120,673.68 | \$34,836.43 | |
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | | | | (2) To be completed for the Performance and Evaluation Report. | | | | |
| Signature of Executive Director and Date | | | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | | |
|--|---|-------------------------------------|
| PHA Name: Public Housing Authority of Butte, Montana | Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No: | Federal FY of Grant: 2005 |
|--|---|-------------------------------------|

| Development Number / Name HA - Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|---|---|---------|--------|--|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| PHA -Wide 1406 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1408 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1410 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1430 | 09/14/07 | | | 09/14/09 | | | |
| MT 3-2 -- 1460 | 09/14/07 | | | 09/14/09 | | | |
| MT 3-4 -- 1460 | 09/14/07 | | | 09/14/09 | | | |
| MT 3-1 -- 1470 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1465 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1475 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1495 | 09/14/07 | | | 09/14/09 | | | |
| PHA-Wide 1502 | 09/14/07 | | | 09/14/09 | | | |

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|--|--|--|--|
| (1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. | | (2) To be completed for the Performance and Evaluation Report. | |
| Signature of Executive Director and Date | | Signature of Public Housing Director/Office of Native American Programs Administrator and Date | |

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