

# Rockford Housing Authority PHA Plans

5-Year Plan for Federal Fiscal Years 2006 - 2010  
Annual Plan for Federal Fiscal Year 2006

**ROCKFORD HOUSING AUTHORITY IL-022**  
**223 SOUTH WINNEBAGO STREET**  
**ROCKFORD, ILLINOIS 61102**

## **RHA BOARD OF COMMISSIONERS**

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**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS  
LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Rockford Housing Authority

**PHA Number:** IL06P022

**PHA Fiscal Year Beginning:** 10/2006

### Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2006 - 2010**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)  
Contribute to human growth and dignity through the effective use of available resources by providing a defined quality living environment to eligible people within the Rockford Community while creating opportunities for an improved quality of life.
- This will be accomplished through the mutual effort and contribution of residents, employees, Commissioners, and the community.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHA's may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHA's should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers: (Contingent upon HUD's NOFA)
  - Reduce public housing vacancies: (to less than 3%)
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)
- PHA Goal: Improve the quality of assisted housing  
Objectives:
- Improve public housing management: (PHAS score)
  - Improve voucher management: (SEMAP score)
  - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers
- Other: (list below) Re-develop obsolete public housing

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling
- Conduct outreach efforts to potential voucher landlords (signed up 50 new landlords)
- Increase voucher payment standards
- Implement voucher homeownership program (13 residents completed and purchased homes under the voucher program).
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below) Undertake Re-development activities targeting mixed-income developments.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
  - Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability:
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
  - Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
  - Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**Annual PHA Plan**  
**PHA Fiscal Year 2006**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Streamlined Plan:**
- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**
- Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**Rockford Housing Authority Profile** – The Rockford Housing Authority currently manages 2005 units of Low Income Public Housing located in sixteen (16) developments. The Rockford Housing Authority is also the managing agent for the Rockford Housing Development Corporation’s (RHDC) multi-family property which is comprised of a Section Eight project based development consisting of two hundred sixteen (216) units called Concord Commons Apartments.

The Rockford Housing Authority also administers a Housing Choice Voucher program located within the city limits of Rockford that currently serves approximately 1800 families through a combination of HCV tenant based assistance, a project based Moderate Rehabilitation program and several small project based HCV developments in which we partner with local agencies who provide services for persons with special needs.

Overall the Rockford Housing Authority and Rockford Housing Development Corporation owned or administered housing serves approximately 3.25% of Rockford’s population and our housing stock and assistance programs comprise approximately 9.5% of the city’s rental housing.

## Objectives for FY2007

The following describes some of the Rockford Housing Authority initiatives, which are either in the planning stage or implementation process.

The Rockford Housing Authority is currently transitioning its organization from a structure which is primarily centralized to a project based management and accounting system. This process will be completed and implemented on or before October 1, 2006. The Rockford Housing Authority is also working to demolish some of its older obsolete housing stock and plans to develop higher quality housing alternatives for the residents of these developments. Currently developments that are targeted for future demolition and re-development are Jane Addams Village, Concord Commons, and Fairgrounds Valley.

The Rockford Housing Authority plans to develop a Section 18 demolition application for submittal to HUD in FY2007 for Jane Addams Village. The basis for the proposed demolition is the elimination of economically inefficient and functionally obsolete housing stock currently in the Authorities portfolio and as a result to de-densify the Brewington Oaks/Jane Addams neighborhood. The achievement of this goal is intended to enhance the marketability of the Brewington Oaks development as a senior/disabled property and to promote the revitalization of the surrounding community. At the same time, the Rockford Housing Authority also plans to develop alternative housing options for the residents of Jane Addams Village and apply for subsequent HOPE VI funds pending funding availability.

In addition the Rockford Housing Authority is working with the Winnebago County Housing Authority, who is in the process of developing a HOPE VI project and the City of Rockford, whose vision is to revitalize the Concord Commons area to construct alternative housing options in this area which will result in an improved quality of life for the residents and diversify the Rockford Housing Authority's housing stock.

The Rockford Housing Authority also plans to explore options for the purpose of demolishing or de-densifying Fairgrounds Valley Apartments. Various options will be reviewed with the intent to develop a mixed income community either in that location or in another area of the city.

Another significant improvement project, energy performance contracting, is currently in the planning phase at the Rockford Housing Authority for the upcoming year. The RHA plans to undertake a multi-million dollar capital improvement project of energy conservation measures at its facilities in an attempt to reduce energy consumption and energy related costs. The end result should be win-win for the Housing Authority, the residents and HUD alike.

In summary the Rockford Housing Authority's focus is to transition to a project based management and accounting system which meets the requirements of HUD as detailed in 24 CFR 990. The Authority also intends to transition our obsolete housing stock through demolition and new development into housing, which will improve the quality of life for our residents and aid in the overall revitalization of their surrounding neighborhoods. Finally, the Rockford Housing Authority desires to develop alternative income streams through new housing development which will result in less reliance on HUD funding in the future for our housing programs.

### **iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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**Attachments:**

Indicate which attachments selecting all that apply provides. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

**Required Attachments:**

- Admissions Policy for Deconcentration
- FY 2006 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHA's that are troubled or at risk of being designated troubled ONLY)

**Optional Attachments:**

- PHA Management Organizational Chart
- FY 2006 Capital Fund Program 5-Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
XX	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
XX	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
XX	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	
XX	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public Housing Deconcentration and Income Mixing Documentation: A) PHA board certifications of compliance with deconcentration requirements (Section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and B) Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

XX	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
XX	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
XX	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
XX	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
XX	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
XX	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to Section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
XX	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
XX	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
XX	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
XX	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
XX	The most recent fiscal year audit of the PHA conducted under Section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHA's: MOA/Recovery Plan	Troubled PHA's
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income <= 30% of AMI	4,853	3	2	3	3	5	2
Income >30% but <=50% of AMI	3,142	3	3	3	3	3	2
Income >50% but <80% of AMI	2,338	3	5	3	3	3	2
Elderly	3,374	3	2	3	3	1	4
Families with Disabilities	6,385	5	4	5	5	4	5
Race/Ethnicity-W	69,883	2	2	5	3	3	2
Race/Ethnicity-B	7,749	3	3	3	3	3	2
Race/Ethnicity-I	2,472	3	3	3	3	3	2
Race/Ethnicity-A	1,204	3	3	3	3	3	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2006 - 2010
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)

**B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance  
Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. Complete one table for each type of PHA-wide waiting list administered by the PHA. PHA’s may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	579		500
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	188	33%	
Race/ethnicity B	372	67%	
Race/ethnicity A	0	0%	
Race/ethnicity Other	1	0%	

Characteristics by Bedroom Size (Public Housing Only)			
1BR	308	53%	
2 BR	166	28%	
3 BR	97	17%	
4 BR	8	2%	
5 BR	0	0%	
5+ BR	0	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one) <input checked="" type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	214		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	40	19%	
Race/ethnicity B	163	16%	
Race/ethnicity A	0	0%	
Race/ethnicity Other	11	5%	

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies:

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through Section 8 replacement housing resources
- Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional Section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly (North Main Manor done) Olesen Plaza next.
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

**(There is a 504 Accessibility list)**

- Seek designation of public housing for families with disabilities. Low rises/high rises
- Carry out the modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)  
Local preference for disabled

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with**

**disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs: Camaraderie Arts after school and summer youth program, CHOICES (youth gang prevention) program, flyers to La Voz Latina, Illinois Employment and Training Resource Center representative, Job Fairs, Mini Resource Fairs, Information Manuals for job search and resources, Rock Valley College GED and Community and Continuing Education classes, Service Coordinators for elderly and disabled, Universal Success tutoring 14-18, University of Illinois Cooperative Extension housekeeping and homeownership classes, Shelter Care Ministries, University of Illinois Health Fairs, and YouthBuild youth skills learning program.
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the Section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## 2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
1. Federal Grants (FY 2005 grants)		
A) Public Housing Operating Fund	\$7,119,074	
B) Public Housing Capital Fund	3,305,347	
C) HOPE VI Revitalization		
D) HOPE VI Demolition		
E) Annual Contributions for Section 8 Tenant-Based Assistance	10,076,277	
F) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
G) Resident Opportunity and Self-Sufficiency Grants-Neighborhood Network	66,667	PHSS
H) Community Development Block Grant		
I) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (un-obligated funds only) (listed below)	853,261	
CFP- #501-04 \$35,604		
CFP- #502-03 1,188		
CFP- #501-05 813,444		
RHF #501-04 1,392		
RHF #501-05 1,633		
3. Public Housing Dwelling Rental Income	1,808,519	
4. Other income (list below)		
4. PH FSS Coordinator	58,152	PHSS
Graduation Incentive	66,133	
HCV FSS Coordinator	177,556	
Rockford School District	34,680	PHSS
<b>Total resources</b>	<b>\$23,565,666</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 I]

#### **A. Public Housing**

Exemptions: PHA's that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)  
 When families are within a certain time of being offered a unit: (state time)  
 Other: (describe)

Based on availability of units and the unit turnover rate.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity  
 Rental history  
 Housekeeping  
 Other (describe) Expectation of complying with the lease. Credit History

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (Either directly or through an NCIC-authorized source).

Results are tracked and reported each month to the Authority's Board of Commissioners.

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)?

- Community-wide list  
 Sub-jurisdictional lists  
 Site-based waiting lists  
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office  
 PHA development site management office  
 Other (list below) Pre-Apps are now issued on site.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year? A maximum of 12 site based

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists? All six would be new and will be considered pilots for Project Based management

3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists? All LIPH lists are available to applicant.

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

### **(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly, near elderly and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly, near elderly, and persons with disabilities.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)?

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)  
Resident Handbook  
Orientation Video

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

- a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?
- b.  Yes  No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:  
IL022-001 Blackhawk  
IL022-007 Fairgrounds  
IL022-005 Brewington Oaks & Jane Addams
- Employing new admission preferences at targeted developments  
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:  
IL022-001 Blackhawk  
IL022-007 Fairgrounds  
IL022-005 Brewington Oaks & Jane Addams

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## B. Section 8

Exemptions: PHA's that do not administer Section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

### (1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

- A) No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last (36) months.
- B) Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted. If the family's payments are current at the time of final eligibility determination, the family will be issued a voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision" will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.
- C) The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal activity within thirty-six (36) months of the date of the initial application will be denied admission.

Drug related criminal activity is defined as: “The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance. “Violent criminal activity” is defined as: “Any criminal activity that has as one of its elements, the use, attempted use or threatened use of physical force against a person or property of another.”

D) No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve (12) months.

A) No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.

Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

If requested in writing, we provide current and previous landlord name.

## **(2) Waiting List Organization**

a. With which of the following program waiting lists is the Section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to Section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

When the wait list is opened, we select 2-3 various locations throughout the city to serve the people.

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If requested by participant and they can show listings of where they have been seeking housing, a 30-day extension will be granted.

Verification is required for extenuating circumstances such as hospitalization or a family emergency. Verification is also required if the family was prevented from finding a unit due to disability accessibility requirements or large size bedroom unit requirements.

**(4) Admissions Preferences**

a. Income targeting

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the Section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to Section 8 tenant-based assistance? (Other than date and time of application) (if no, skip to subcomponent (5) Special purpose Section 8 assistance programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly, and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly, and persons with disabilities.

4. Among applicants on the waiting list with equal preference status, how are applicants selected?  
(select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction”  
(select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements.

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose Section 8 program administered by the PHA contained?  
(select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)
  - Newsletter to landlord and participant
  - Housing Choice Voucher Guidebook

b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- Through published notices
- Other (list below)
  - Newspapers, flyers, community agencies, churches, postings and RHA website.

## **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

### **A. Public Housing**

Exemptions: PHA's that do not administer public housing are not required to complete sub-component 4A.

#### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

#### Hardship

- i) The family has lost eligibility or is awaiting an eligibility determination for federal, state, or local assistance, including a family with a member who is a non-citizen lawfully admitted for permanent residence under the Immigration and Nationality Act and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996.
- ii) The family would be evicted as a result of the imposition of the minimum rent requirement. The income of the family has decreased because of changed circumstances, including loss of employment, death in the family, or other circumstances as determined by the RHA or HUD.

c. Rents set at less than 30% of adjusted income.

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

Flat rent is the option.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one) No

Yes for all developments

Yes but only for some developments

No (Flat Rents Only)

2. For which kinds of developments are ceiling rents in place? (select all that apply) Flat rents only

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below) **Flat rents only**

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below) **Flat rents only**

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) **\$201.00**
- Other (list below)  
Anytime the family has previously been at an income level of zero (0).  
Change in family composition.

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

## **(2) Flat Rents**

1) In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The Section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

## B. Section 8 Tenant-Based Assistance

Exemptions: PHA's that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply) N/A

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or sub market
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or sub market
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

**5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHA’s are not required to complete this section. Section 8 only PHA’s must complete parts A, B, and C (2)

The PHA requests approval through this PHA Plan.

**A. PHA Management Structure**

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served At Year Beginning</b>	<b>Expected Turnover</b>
Public Housing	1,979	500
Section 8 Vouchers	1,365	120
Section 8 Enhanced Vouchers	91	12
Section 8 Mod Rehab	303	25
Special Purpose Section 8 Vouchers SRO	22	5
Other Federal Programs Public Housing FSS Coordinator	15	5
Home Ownership Public Housing Program	15	5
CGP	73	32
Service Coordinator	1,039	80
Section 8 FSS Coordinator	160	21
Neighborhood Network	150	40

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions and Continued Occupancy Policy
- RHA Lease Agreement
- Resident Handbook
- Pest Control Policy
- Emergency Handbook
- LBP Guidebook
- Pet Policy
- Mold Policy (New York Standard)

(2) Section 8 Management: (list below)

- Administrative Plan
- HCV Lease Agreement
- HAP Contract
- Housing Choice Voucher Handbook Guide (HUD)

### 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHA's are not required to complete component 6. Section 8-Only PHA's are exempt from sub-component 6A.

#### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office - Applicants
- PHA development management offices – Residents
- Other (list below)

#### B. Section 8 Tenant-Based Assistance

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below: Federal requirements are followed.

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHA's are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHA's that will not participate in the Capital Fund Program may skip to component 7B. All other PHA's must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment B.

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment C

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHA's administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)
1. Development name:
  2. Development (project) number:
  3. Status of grant: (select the statement that best describes the current status)
    - Revitalization Plan under development
    - Revitalization Plan submitted, pending approval
    - Revitalization Plan approved
    - Activities pursuant to an approved Revitalization Plan underway
- Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:  
Brewington Oaks/Jane Addams, Fairgrounds
- Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:  
Fairgrounds, Brewington Oaks/Jane Addams
- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:  
Brewington Oaks/Jane Addams, Fairgrounds

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHA's are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to Section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.) A demolition application for all of Jane Addams, which comprises part of IL022-005, was submitted to the HUD Special Application Center on 6/30/06. A demolition application for Fairgrounds Valley, IL022-007, is still in the planning stage.

### 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If "yes", skip to component 9. If "No," complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	Fairgrounds
1b. Development (project) number:	IL022-007
	Development name: Jane Addams Family Development
	Development (project) number: IL022-005F
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	<u>(06/30/06) Jane Addams; Unknown for Fairgrounds</u>
5. Number of units affected:	294
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development - Jane Addams (all), Part 22-5 and Fairgrounds (pt), 22-7 <input type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: Jane Addams start date: 01/01/07; Fairground start date: Not yet available b. Projected end date of activity: Jane Addams end date: 06/30/08; Fairgrounds end date: Not yet available

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHA's are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by Section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHA's completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>
1a. Development name: <b>Olesen Plaza</b>
1b. Development (project) number: <b>IL022-009</b>
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <u>(08/2006)</u>
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously approved Designation Plan?
6. Number of units affected: 151
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

## 10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHA's are not required to complete this section.

### A. Assessments of Reasonable Revitalization Pursuant to Section 202 of the HUD FY 1996 HUD Appropriations Act

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under Section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHA's completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHA's are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved Section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under Section 5(h), the HOPE I program, or Section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHA's completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description</b>
1a. Development name: Scattered Sites
1b. Development (project) number: IL22-012, 016 & 017
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission:
5. Number of units affected
6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

## B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHA’s may skip to component 12.)

2. Program Description: The homeownership option is used to assist a family residing in a home purchased and owned by one or more members of the family. The RHA will limit the number of families assisted with homeownership. The RHA will offer the homeownership option only to participating families who:

Are currently enrolled in the Rockford Housing Authority’s Family Self-Sufficiency (FSS) program and are in compliance with the FSS contract.

### a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 – 50 participants
- 51 to 100 participants
- more than 100 participants

### b. PHA-established eligibility criteria

Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Minimum income of \$10,300,

Currently employed (not less than 30 hours per week),

Employed at least one year (continuously),

First time homebuyer,

Attended pre and post counseling,

Signed statement of homeownership.

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHA's are not required to complete this component. Section 8-Only PHA's are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

#### 1. Cooperative agreements:

- Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by Section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? IN PROCESS

#### 2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals  
 Information sharing regarding mutual clients (for rent determinations and otherwise)  
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
 Jointly administer programs  
 Partner to administer a HUD Welfare-to-Work voucher program  
 Joint administration of other demonstration program  
 Other (describe) In kind seminars for staff and residents.

### **B. Services and programs offered to residents and participants**

#### **(1) General**

##### a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies  
 Public housing admissions policies  
 Section 8 admissions policies  
 Preference in admission to Section 8 for certain public housing families  
 Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA  
 Preference/eligibility for public housing homeownership option participation  
 Preference/eligibility for Section 8 homeownership option participation  
 Other policies (list below)

##### b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

## Services and Programs

Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (Waiting list/random selection/specific criteria/other)	Access (Development office / PHA main office / other provider name)	Eligibility (Public housing or Section 8 participants or both)
Employment Resource Center Rock River Training	Part time Representative	Voluntary	Employment Training Center	Both
Job Fairs/Mini Fairs	2X per year	Voluntary	Developments	Both
Work Experience	5 residents per year	Voluntary	YouthBuild	Both
Budgeting	9 residents per year	Voluntary	All RHA	Both
Health Fairs	150 residents per year	Voluntary	All RHA	Both
Transportation	As needed	Voluntary	GED classes, Housekeeping classes, Camaraderie Arts Resident Events Senior Activities Neighborhood Network	Both
CHOICES Drug andGang Prevention	30 residents per year	Voluntary	Fairgrounds	Both
Emotional and physical wellness workshops/fairs	200 per year	Voluntary	High-rises/Low rises	Both
Camaraderie Arts Programs	50 residents per year	Voluntary	Fairgrounds	Both
Outreach Services	80 residents per month	Voluntary	High and Low-rises	LIPH
Homeownership Classes	40 residents per year	Voluntary	Main Office	Both
Opportunity Fair	75 families 1x per year	Voluntary	All RHA	Both
Resident Council Training	35 residents per year	Voluntary	Main Office	LIPH
Neighborhood Network	45 residents per year	Voluntary	Fairgrounds/Orton Keyes Developments	LIPH
Information Centers & Developments	200 residents per year	Voluntary	LIPH Developments	LIPH
Dental Mobile	270 residents per year	Voluntary	All RHA	Both
GED Classes	50 per year	Voluntary	All RHA	Both
<u>Grandparents Support Group</u>	<u>12 per year</u>	<u>Voluntary</u>	<u>All RHA</u>	<u>Both</u>
<u>Crisis Intervention Teams</u>	<u>As Needed</u>		<u>All RHA</u>	<u>Both</u>
<u>Employment Kiosk</u>	<u>100 people/yr</u>	<u>Voluntary</u>	<u>NNC/Fairgrounds</u>	<u>Both</u>
<u>Post Homeownership Classes</u>	<u>25 per year</u>	<u>Mandatory S8</u>	<u>CO-1/Scatt. Sites</u>	<u>Both</u>

**(2) Family Self Sufficiency program/s**

a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	18	56 as of 09/30/05
Section 8	146	92 as of 09/30/05

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

We will send out mass mailings, hold open houses and accept referrals from current participants.  
We will strive to obtain minimum program size by 07/30/05.

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of Section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**D. Reserved for Community Service Requirement pursuant to Section 12I of the U.S. Housing Act of 1937**

### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHA's not participating in PHDEP and Section 8 Only PHA's may skip to component 15. High Performing and small PHA's that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply)?

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below) Review and monitoring of several CCTV systems

3. Which developments are most affected? (list below)

- IL022-001      Blackhawk Courts
- IL022-003      Orton Keyes
- IL022-007      Fairgrounds Valley
- IL022-005      Brewington Oaks & Jane Addams

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-004 Park Terrace
- IL022-005 Brewington Oaks & Jane Addams
- IL022-006 North Main Manor
- IL022-007 Fairgrounds Valley
- IL022-009 Olesen Plaza

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)  
Host safety and awareness fairs for children.

Rockford Housing Authority Police operates under a community oriented policing (COP) format. They attempt to attend Resident Council meetings.

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-005 Brewington Oaks & Jane Addams
- IL022-007 Fairgrounds Valley

**D. Additional information as required by PHDEP/PHDEP Plan**

PHA's eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: N/A)

## **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

### **RHA PET POLICY**

In compliance with the Quality Housing & Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to trained animals that are used to assist persons with disabilities. The RHA will provide formal applications to residents or applicants who desire to have common household pets.

#### **Common Household Pets Are Defined As Follows:**

- Bird-including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. CFR 952.20b1
- A) Fish-in tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20b1
  - B) Dog – not to exceed 20 pounds. Weight at maturity. CFR 942.20b1
  - C) Cat – species commonly used for household pets (felis catus). CFR 942.20b1
  - D) Rabbit, rodent, Guinea pig, gerbils and hamsters CFR 942.20b1
  - E) Turtle – Common household pet does not include reptiles, except turtle CFR 942.20b1

#### **Rules For Owners of Pets**

The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority. The Rockford Housing Authority will direct and approve such initial moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents. A resident that has a dog or cat he/she may apply for a transfer to a building or section of a building where pets are permitted. The Rockford Housing Authority will consider requests for transfer in the same order they are received.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

- a) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however; may keep a bird or aquarium in addition. CFR 942.02b2
- b) All common household pets are not to exceed a weight 20 pounds at maturity. CFR 942.02b2
- c) Dogs are to be licensed yearly with the City of Rockford, and residents must show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.02b1
- d) All cats are to be neutered and all dogs should be spayed prior to issuance of the written permit. CFR 942.20a2
- e) Resident must identify an alternate custodian for their pet(s) in the event of absence from the premises including employed residents, or resident's illness, which would prevent the resident from properly caring for the pet.

- f) Rockford Housing Authority may require the removal of any pet from a project, if the pet's conduct or condition is duly determined to constitute, under the provisions of State or local law, a nuisance or a threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

If pets are left unattended for twenty-four (24) hours or more, the Rockford Housing Authority may enter to remove the pet and transfer the pet to the proper authorities subject to the provisions of the Humane Care for Animals Act 510 ILCS 70/1 et seq. of the City of Rockford, the County of Winnebago, and the State of Illinois. The Rockford Housing Authority accepts no responsibility for the pet under such circumstances. No pet may be kept in violation of humane or health laws of the City, County, or State.

Residents shall not permit any disturbance by their pet, which would interfere with the quiet enjoyment of other residents, whether by loud barking, howling, biting, scratching or other such activities.

The resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet.

Dogs and cats shall remain inside a resident's premises unless they are on a leash and directly controlled by an adult.

Cats are to use litter boxes kept in resident's premises. The resident is not allowed to let waste accumulate, waste must be removed daily, and litter changed a minimum of twice a week. The resident must dispose of the litter in the first floor dumpster, no waste or litter is to be put down the garbage chute.

One pet (dog or cat) will be permitted on an elevator at any time. Pets (excluding working animals) are not allowed in common areas such as lobbies, laundry room, and social rooms except for directly entering or existing the building.

The owner of a dog or cat must properly remove and dispose of all waste caused by the animal in the building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accidents"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste.

Resident shall take adequate precautions to eliminate any pet odors within or around the premises and maintain premises in a sanitary condition at all times.

Resident shall pay a \$100.00 Pet Security Deposit for a dog or a cat; there is no deposit required for any other listed common household pet. This deposit is refundable if no damage occurs, as verified by the Rockford Housing Authority, after the resident disposes of the pet, or moves out.

The resident is responsible for damage in excess of the combined total security deposit. A minimum payment of \$50.00 is to be paid when the pet is brought onto the premises, and subsequent monthly payments of \$10.00 or more until the balance is paid.

Residents shall not alter their units, patio, or premises to create an enclosure for the animal. Caged pets must be confined to a cage at all times. Dogs and cats must be identifiable by an identification collar. Residents are



## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under Section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?  
In process of being submitted.

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHA's are not required to complete this component. High performing and small PHA's are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below) Project Based Management
3.  Yes  No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

## **18. Other Information**

(24 CFR Part 903-.7 9 I

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board's?

2. If yes, the comments are: (if comments were received, the PHA MUST select one)

Attached at Attachment (File Name)

Provided Below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments  
Listed changes below:

Other: (list below)

Public Meeting Comments from Residents and Staff

### **Public Meeting**

June 20, 2006

Rockford Housing Authority

PHA Annual Plan

Agenda

Opening Comments

Steve Anderson

Executive Statement

Steve Anderson

Capital Fund Program

Budget Revision for Additional 10% for  
Operations CFP 501-05

Sherri Tracy

Work Items Budgeted by Development

Paul Lindstrom

Questions or Comments to Staff

Closing Statement

Sherri Tracy

To comply with the Quality Housing and Work Responsibility Act of 1998 requirements for preparing and submitting a Public Housing Agency (PHA) Annual Plan the Rockford Housing Authority (RHA) held a Public Hearing. The public hearing was held on June 20, 2006 at 10:30 a.m. in the RHA Conference Center at 223 S. Winnebago Street, Rockford, Illinois 61102. RHA residents, community support service representatives, local government representatives, and RHA staff were invited to the public hearing. The following questions, comments and responses were recorded:

Steve Anderson welcomed everyone to the RHA PHA Plan Public Meeting of 2006. He began with a review of the Executive Summary. This plan will review what is planned for our capital money, the operation funds and the funding changes.

The objective for this year is transitioning and developing alternatives. Transitioning means we will be doing things in different ways. The first will be Project Based Management, taking centralized services and moving them out to the sites. The site manager will have authority over her or his site. Maintenance will be working for the managers. Accounting processes have been set up for the sites, and each Manager will be responsible for his or her budget to manage. This process is expected to be finalized by October 1, 2006.

We will also be transitioning some of our older obsolete housing stock and plan to develop higher quality housing alternatives for the residents of these sites. The first site will be Jane Addams Village and an application for demolition will be submitted to HUD by the end of the month. By early 2007 we will begin relocation and demolition of the south side of Jane Addams. We will be offering these residents other locations such as scattered sites, other developments, and housing choice vouchers. We will pay for their moving expenses including utility transfers and provide stipends for miscellaneous expenses. There will be no out of pocket expense for the residents. RHA will be sensitive to the residents needs, as to their children and school to help minimize their stress.

The Resident Assessment Satisfaction Survey (RASS) will be mailed from HUD to some of our residents. There will be questions asking how we are doing in such areas as maintenance, management, and their particular site. We encourage you to complete this survey and return it to HUD. Your participation is very important.

Steve then introduced Sherri Tracy, Director of Development. Sherri began with the changes we have made this year with the Capital Fund Program (501-05). We have redirected an additional 10% of our funds to operations in the 2005 Grant, bringing the total spent for operations at 20% of the overall grant. The HUD operating subsidy has declined sharply in the past year and has a serious impact on operations and how the housing authority is able to function. Since we expect the operating subsidy to continue it's downward trend next year, we are also budgeting operations at 20% in the next grant (2006) as well. We welcome any comments or questions you may have at the end of this presentation and encourage you to submit written comments on the plan through the end of June. The Agency Plan must be submitted to HUD by July 15<sup>th</sup>.

We recently received notice that our new Capital Fund amount (2006) will be approximately \$140,000 less than the prior year. So, we have adjusted the budget accordingly to address the areas of highest priority and utilize the funds in the most efficient manner possible.

Sherri then introduced Paul Lindstrom, Modernization Coordinator to inform the group of the Work Items that are budgeted in the future by the Development Department. Paul stated that the Housing Authority is able to shift items as needed by work items. Upgrading the elevators is a project that will be in place for another 1-2 years. Paul called everyone's attention to the Plan, Page 58 Line 20 of the Annual Statement. This figure of \$3,163,845 reflects the funding shortfall of \$140,000. This budget is a tentative plan to spend the money in our grant. Paul also highlighted major work items by the site on both the Annual Statement as well as the 5-year plan.

Paul called our attention to the Elevator work in all of the high rises and low rises. The scattered sites 08's, we will be replacing the roofs. On the 16's we will be redesigning the exterior of those sites. Midvale will be in line for exterior rehab by removing the outside balconies of their buildings and therefore giving it a whole new look. Gwen Anderson (Manager) and Paul Lindstrom met with Larson & Darby (A& E firm) over a year ago reviewing a plan for Midvale's exterior facelift. We also have new furnaces scheduled in the future for several of our sites, although if we enter into an Energy Performance Contract, these furnaces might be included under that contract instead.

Paul then asked for questions and comments from the group.

Q – Rev. Poole asked if we still had 44 vouchers that had not been issued?

A- Steve Anderson replied that all of these 44 vouchers would be issued to clients as that is a process that is ongoing everyday.

Q-Rev.Poole asked about the computer upgrades, training residents & staff, and a service coordinator for the scattered sites? He stated that he was not aware that scattered sites residents had received any of these work items other than training.

A-Paul replied that since the agency is now Project Based, all of the grant money was divided between each site. If the scattered site manager needed a new computer, this money would already be earmarked for it. The Service Coordinators are only currently utilized at the high rises and low rises, so it appears this may be an error. Therefore we will look at this line item and make the necessary changes to the budget. Thank you for calling this to our attention Rev. Poole.

Q-Ann Higgins asked a question referring to Page 42, 12A, Item 1 of the Plan. Does RHA still have an agreement with TANF (Temporary Assistance for Needy Families)?

A-Sherri answered that we would follow up that question with Steve Anderson and get back to Ann with an answer. Management Services was able to answer this question. There was an agreement presented to RHA approximately 8 years ago for us to provide electronic income verifications to Public Aid. This agreement was never signed and therefore no such agreement is in effect at the RHA.

Q-Rev. Poole asked what is Crisis Intervention?

A-Ann Higgins answered that is an agreement we have with Janet Wattles, however in the case of an emergency, such as a fire, the Red Cross provides such assistance.

Q-Rev. Poole asked if it would make a difference or any change to the Plan if the Home Board noted any changes?

A-Sherri said yes, we would make these changes as needed. She also replied that if any Home Board members have questions or concerns, we would be happy to receive them in writing by June 30<sup>th</sup>. In closing, thank you all for coming today.

## **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided Section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.) Appointed by the Rockford Mayor

### **3. Description of Resident Election Process**

#### **a. Nomination of candidates for place on the ballot: (select all that apply)**

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

#### **b. Eligible candidates: (select one)**

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and Section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

City of Rockford, Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the

Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Information is included in the attachments to the Plan.

Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**D. Other Information Required by HUD**

Included in the attachments to the Plan

**Attachment A**  
**Rockford Housing Authority**  
**Deconcentration of Poverty**

**DECONCENTRATION OF POVERTY**

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominately comprised of “lower income” residents and “lower income” residents into developments that are predominately comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

**Developments that are not subject to the deconcentration rule are:**

- A) Developments that house only elderly persons, or persons with disabilities, or both;
- B) Developments approved for demolition or for conversion to tenant based assistance; and
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.

**In implementing this rule the Rockford Housing Authority shall:**

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.
- B) Determine the average income of all families residing in each covered development.
- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan.

**For Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.**

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority’s deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority’s deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority’s Deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's Deconcentration of poverty requirements in its "family" low-income public housing developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.
- D) Is developing a marketing plan that targets working families.
- E) Utilities Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Self-Sufficiency".
- H) Continues to modernize RHA's housing stock through the "Capital Fund".

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its Deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

**Annual Statement  
Attachment B  
Component 7  
Capital Fund Program Annual Statement  
Parts I, II, and III**

**Rockford Housing Authority IL022**

**Capital Fund Program (CFP) Part I: Summary**

**Capital Fund Grant Number IL06P02250106**

Original Annual FFY of Grant Approval ( )  Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	\$632,769
3	1408 Management Improvements	417,000
4	1410 Administration	158,192
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	199,640
8	1440 Site Acquisition	0
9	1450 Site Improvement	72,000
10	1460 Dwelling Structures	1,325,851
11	1465.1 Dwelling Equipment-Nonexpendable	2,500
12	1470 Non-dwelling Structures	15,000
13	1475 Non-dwelling Equipment	37,973
14	1485 Demolition	150,000
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	114,000
18	1498 Mod Used for Development	0
19	1502 Contingency	38,920
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>\$3,163,845</b>
21	Amount of line 20 Related to LBP Activities	0
22	Amount of line 20 Related to Section 504 Compliance	0
23	Amount of line 20 Related to Security	160,000
24	Amount of line 20 Related to Energy Conservation Measures	51,200

**Annual Statement**

**Capital Fund Program (CGP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories IL06P02250106	Development Account Number	Total Estimated Cost	
IL06P022-001 Blackhawk Courts	Capital Fund for Operations	1406	\$61,740	
	Training/Resident & Staff	1408	4,400	
	Computer Upgrades	1408	6,000	
	UPCS Inspections	1408	2,150	
	Resident Screening & Orientation	1408	980	
	Maintenance Tools & Equipment	1408	1,960	
	Marketing-Vacancy Reduction	1408	980	
	Interior Rehab	1460	5,000	
	Exterior Rehab	1460	10,000	
	IL06P022-003 Orton Keys	Capital Fund for Operations	1406	\$55,125
Training/Resident & Staff		1408	3,930	
Computer Upgrades		1408	5,400	
UPCS Inspections		1408	1,920	
Resident Screening & Orientation		1408	875	
Maintenance Tools & Equipment		1408	1,750	
Marketing-Vacancy Reduction		1408	875	
Site Improvements		1450	10,000	
Interior Rehab		1460	5,000	
IL06P022-004 Park Terrace		Capital Fund for Operations	1406	56,700
	Security	1408	11,700	
	Service Coordinator	1408	10,425	
	Computer Upgrades	1408	5,400	
	Training/Resident & Staff	1408	4,040	
	Upgrade Maintenance Fleet	1408	25,000	
	UPCS Inspections	1408	1,975	
	Resident Screening & Orientation	1408	900	
	Maintenance Tools & Equipment	1408	1,800	
	Marketing-Vacancy Reduction	1408	900	
	Site Improvements	1450	5,000	
	IL06P022-06 North Main Manor	Capital Fund for Operations	1406	58,905
		Security	1408	12,100
Service Coordinator		1408	10,830	
Training/Resident & Staff		1408	4,200	
Computer Upgrades		1408	5,600	
UPCS Inspections		1408	2,073	
Resident Screening & Orientation		1408	935	
Maintenance Tools & Equipment		1408	1,870	
Marketing-Vacancy Reduction		1408	935	
A&E		1430	15,000	
Site Improvements		1450	5,000	
Interior Rehab – Elevator Upgrades		1460	381,540	
Interior Rehab – Trash Compactor Replace.		1460	12,000	

	Interior Rehab – Make Up Air Handler	1460	25,000
	Exterior Rehab	1460	5,000
IL06P022-07	Capital Fund for Operations	1406	66,150
Fairgrounds	Training/Resident & Staff	1408	4,750
	Computer Upgrades	1408	6,300
	UPCS Inspections	1408	2,305
	Resident Screening & Orientation	1408	1,049
	Maintenance Tools & Equipment	1408	2,098
	Marketing – Vacancy Reduction	1408	1,049
IL06P022-008	Capital Fund for Operations	1406	40,005
Scattered Sites	Training/Resident & Staff	1408	2,850
	Computer Upgrades	1408	3,600
	UPCS Inspections	1408	1,346
	Resident Screening & Orientation	1408	595
	Maintenance Tools & Equipment	1408	1,260
	Marketing – Vacancy Reduction	1408	595
	Site Improvements	1450	5,000
	Interior Rehab	1460	7,500
	Exterior Rehab	1460	15,000
IL06P022-009	Capital Fund for Operations	1406	47,565
Olesen Plaza	Security	1408	9,800
	Service Coordinator	1408	8,745
	Training/Resident & Staff	1408	3,400
	Computer Upgrades	1408	4,600
	UPCS Inspections	1408	1,675
	Resident Screening & Orientation	1408	755
	Maintenance Tools & Equipment	1408	1,510
	Marketing – Vacancy Reduction	1408	755
	A&E	1430	20,000
	Site Improvements	1450	5,000
	Interior Rehab	1460	5,000
	Interior Rehab – Kitchen Cabinets/Counter	1460	5,000
	Interior Rehab – Community Room	1460	100,000
	Appliances	1465	2,500
IL06P022-012	Capital Fund for Operations	1406	3,150
Scattered Sites	Training/Resident & Staff	1408	220
	Computer Upgrades	1408	250
	UPCS Inspections	1408	110
	Resident Screening & Orientation	1408	50
	Maintenance Tools & Equipment	1408	90
	Marketing – Vacancy Reduction	1408	50
	Interior Rehab	1460	4,000
	Exterior Rehab	1460	5,000
IL06P022-013	Capital Fund for Operations	1406	15,120
Scattered Sites	Training/Resident & Staff	1408	1,075
	Computer Upgrades	1408	1,370
	UPCS Inspections	1408	525

	Resident Screening & Orientation	1408	240
	Maintenance Tools & Equipment	1408	450
	Marketing – Vacancy Reduction	1408	240
	A&E	1430	10,000
	Interior Rehab	1460	4,000
	Exterior Rehab – Window Replacement	1460	20,000
IL06P022-016	Capital Fund for Operations	1406	15,750
Scattered Sites	Training/Resident & Staff	1408	1,122
	Computer Upgrades	1408	1,350
	UPCS Inspections	1408	550
	Resident Screening & Orientation	1408	250
	Maintenance Tools & Equipment	1408	480
	Marketing – Vacancy Reduction	1408	250
	A&E	1430	15,000
	Site Improvements	1450	12,000
	Interior Rehab	1460	10,000
	Exterior Rehab	1460	70,921
IL06P022-017	Capital Fund for Operations	1406	9,765
Scattered Sites	Training/Resident & Staff	1408	695
	Computer Upgrades	1408	1,100
	UPCS Inspections	1408	330
	Resident Screening & Orientation	1408	155
	Maintenance Tools & Equipment	1408	300
	Marketing – Vacancy Reduction	1408	155
	Site Improvements	1450	5,000
	Interior Rehab	1460	5,000
	Exterior Rehab	1460	5,000
IL06P022-019	Capital Fund for Operations	1406	6,300
Scattered Sites	Training/Resident & Staff	1408	380
	Computer Upgrades	1408	650
	UPCS Inspections	1408	210
	Resident Screening & Orientation	1408	100
	Maintenance Tools & Equipment	1408	200
	Marketing – Vacancy Reduction	1408	100
	Interior Rehab	1460	5,000
	Exterior Rehab	1460	5,000
IL06P022-020	Capital Fund for Operations	1406	6,300
Scattered Sites	Training/Resident & Staff	1408	380
	Computer Upgrades	1408	650
	UPCS Inspections	1408	210
	Resident Screening & Orientation	1408	100
	Maintenance Tools & Equipment	1408	200
	Marketing – Vacancy Reduction	1408	100
	Interior Rehab	1460	5,000
	Exterior Rehab	1460	5,000
IL06P022-023	Capital Fund for Operations	1406	315
Scattered Site	Training/Resident & Staff	1408	25

	Computer Upgrades	1408	30
	UPCS Inspections	1408	11
	Resident Screening & Orientation	1408	5
	Maintenance Tools & Equipment	1408	10
	Marketing – Vacancy Reduction	1408	5
IL06P022-052 Brewington Oaks A	Capital Fund for Operations	1406	65,835
	Security	1408	60,000
	Service Coordinator	1408	12,105
	Training/Resident & Staff	1408	4,690
	Computer Upgrades	1408	6,300
	UPCS Inspections	1408	2,295
	Resident Screening & Orientation	1408	1,043
	Maintenance Tools & Equipment	1408	2,086
	Marketing – Vacancy Reduction	1408	1,043
	A&E	1430	15,000
	Site Improvements	1450	5,000
	Interior Rehab	1460	5,000
	Interior Rehab – Elevator Upgrades	1460	416,190
	Interior Rehab – Public Restroom Renov.	1460	20,000
IL06P022-052 Brewington Oaks B	Capital Fund for Operations	1406	65,835
	Security	1408	60,000
	Service Coordinator	1408	12,105
	Training/Resident & Staff	1408	6,600
	Computer Upgrades	1408	8,900
	UPCS Inspections	1408	2,295
	Resident Screening & Orientation	1408	1,468
	Maintenance Tools & Equipment	1408	2,936
	Marketing – Vacancy Reduction	1408	1,468
	A&E	1430	5,640
	Developer Fees	1430	25,000
	Site Improvements	1450	5,000
	Interior Rehab – HVAC Upgrade	1460	15,100
	Interior Rehab – Fire Code Renovations	1460	25,000
	Interior Rehab – Public Restroom Renov.	1460	20,000
IL06P022-051 Jane Addams	Capital Fund for Operations	1406	26,709
	UPCS Inspections	1408	935
	Developer Fees	1430	50,000
	Demolition	1485	150,000
	Relocation	1495	114,000
IL06P022-14A Buckbee	Capital Fund for Operations	1406	13,545
	Security	1408	2,800
	Service Coordinator	1408	2,490
	Training/Resident & Staff	1408	965
	Computer Upgrades	1408	1,075
	UPCS Inspections	1408	470
	Resident Screening & Orientation	1408	215
	Maintenance Tools & Equipment	1408	430
	Marketing – Vacancy Reduction	1408	215

	Site Improvements	1450	5,000
	Interior Rehab	1460	15,000
	Interior Rehab – PTAC Replacement	1460	3,200
	Interior Rehab – Hallway Carpet Replace.	1460	20,000
IL06P022-14B	Capital Fund for Operations	1406	8,505
Summit Green	Security	1408	1,800
	Service Coordinator	1408	1,563
	Training/Resident & Staff	1408	605
	Computer Upgrades	1408	675
	UPCS Inspections	1408	295
	Resident Screening & Orientation	1408	135
	Maintenance Tools & Equipment	1408	270
	Marketing – Vacancy Reduction	1408	135
	Site Improvements	1450	5,000
	Interior Rehab	1460	15,000
	Interior Rehab – PTAC Replacement	1460	3,200
	Interior Rehab – Hallway Carpet Replace.	1460	15,000
IL06P022-14C	Capital Fund for Operations	1406	9,450
Midvale	Security	1408	1,800
	Service Coordinator	1408	1,737
	Training/Resident & Staff	1408	673
	Computer Upgrades	1408	750
	UPCS Inspections	1408	320
	Resident Screening & Orientation	1408	150
	Maintenance Tools & Equipment	1408	300
	Marketing – Vacancy Reduction	1408	150
	Site Improvements	1450	5,000
	Interior Rehab	1460	15,000
	Interior Rehab – PTAC Replacement	1460	3,200
	Interior Rehab – Hallway Carpet Replace.	1460	15,000
<b>HA Wide</b>			
Mgmt Improvements	Safety Program Supplies	1408	5,000
Non Tech Salaries	Non-Technical Salaries	1410.000	98,000
Travel	Travel/Training	1410.100	9,000
Telephone, Telegraph & Facsimile	Telephone Expense	1410.160	1,200
Sundry	Sundry	1410.190	7,500
Employee Benefits	Employee Benefit Package	1410.900	42,492
A&E Fees	Energy Audit	1430.1	24,000
Consultant Fees	Consultant Fees	1430.2	20,000

Non-Dwelling Struct.	Computer Room Fire Control	1470	15,000
Non-Dwelling Eq.	Computers/Office Equipment	1475	37,973
Contingency	Contingency	1502	38,920
	<b>Grand Total</b>		<b>\$3,163,845</b>

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: <b>IL06P02250106</b> Replacement Housing Factor No:				Federal FY of Grant: <b>2006</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	07/18/08			07/18/10			
IL22-001 Blackhawk	07/18/08			07/18/10			
IL22-003 Orton Keyes	07/18/08			07/18/10			
IL22-004 Park Terrace	07/18/08			07/18/10			
IL22-006 N. Main Manor	07/18/08			07/18/10			
IL22-007 Fairgrounds	07/18/08			07/18/10			
IL22-008 Scattered Sites	07/18/08			07/18/10			
IL22-009 Olesen Plaza	07/18/08			07/18/10			
IL22-012 Scattered Sites	07/18/08			07/18/10			
IL22-013 Scattered Sites	07/18/08			07/18/10			
IL22-014 Low Rises	07/18/08			07/18/10			
IL22-016 Scattered Sites	07/18/08			07/18/10			
IL22-017 Scattered Sites	07/18/08			07/18/10			
IL22-019 Scattered Sites	07/18/08			07/18/10			
IL22-020 Scattered Sites	07/18/08			07/18/10			
IL22-021 Scattered Sites	07/18/08			07/18/10			
IL22-051 Jane Addams	07/18/08			07/18/10			
IL22-052 Brewington Oaks	07/18/08			07/18/10			
Mgmt Improvements	07/18/08			07/18/10			

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan  
Part I: Summary**

PHA Name ROCKFORD HOUSING AUTHORITY		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
A. Development Number/Name/HA-Wide	Year 1 FY: 2006	Work Statement for Year 2 FFY Grant: IL06P02250-107 PHA FY: <b>2007</b>	Work Statement for Year 3 FFY Grant: IL06P02250-108 PHA FY: <b>2008</b>	Work Statement for Year 4 FFY Grant: IL06P02250-109 PHA FY: <b>2009</b>	Work Statement for Year 5 FFY Grant:IL06P02250-110 PHA FY: <b>2010</b>
PHA Wide		\$	\$	\$	
IL22-001 Blackhawk		0	110,000	300,000	97,332
IL22-003 Orton Keys		10,000	681,050	125,000	235,390
IL22-004 Park Terrace		272,500	0	105,000	0
IL22-006 N. Main Manor		10,000	15,000	50,000	50,000
IL22-007 Fairgrounds		104,884	349,000	460,000	250,000
IL22-008 Scattered Sites		412,712	165,000	260,000	710,000
IL22-009 Olesen Plaza		55,000	50,000	45,000	45,000
IL22-012 Scattered Sites		5,000	10,000	10,000	10,000
IL22-013 Scattered Sites		330,000	15,000	15,000	25,000
IL22-014A Buckbee Apartments		28,200	63,200	209,750	38,200
IL22-014B Summit Green		33,200	152,200	48,200	18,200
IL22-014C Midvale Apartments		128,200	33,200	28,200	18,200
IL22-016 Scattered Sites		202,000	172,400	202,400	210,400
IL22-017 Scattered Sites		20,000	10,000	10,000	8,000
IL22-019 Scattered Sites		20,000	5,000	10,000	5,000
IL22-020 Scattered Sites		15,000	8,000	5,000	10,000
IL22-023 Scattered Site		0	0	0	0
IL22-051 Jane Addams		0	0	0	0
IL22-052 Brewington Oaks A		70,000	185,000	0	210,000
IL22-052 Brewington Oaks B		10,000	0	170,000	170,000
B. Physical Improvements Sub Total		1,726,696	2,024,050	2,053,550	2,110,722
C. Management Improvements		417,000	417,000	417,000	417,000
D. HA Wide Non Dwelling Structures		25,000	0	0	0
E. Administration		158,192	158,192	158,192	158,192
F. Other		170,420	261,420	231,920	174,748
G. Operations		316,537	303,183	303,183	303,183
H. Demolition		350,000	0	0	0
K. Total CGP Funds		3,163,845	3,163,845	3,163,845	3,163,845
M. Grand Total		<b>3,163,845</b>	<b>3,163,845</b>	<b>3,163,845</b>	<b>3,163,845</b>
Signature of Executive Director	Date	Sign. of Public Housing Dir.		Date	

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages— Physical Needs**

Activities for Year 1 2006	Activities for Year :02 FFY Grant: IL06P022501-07 PHA FY: 2007			Activities for Year: 03 FFY Grant: IL06P022501-08 PHA FY: 2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-003 Orton Keys	Interior Rehab	\$10,000	IL22-001 Blackhawk	Interior Rehab	\$10,000
<b>Annual</b>	IL22-004 Park Terrace	Site Improvements	7,500	IL22-001 Blackhawk	Window Prototype	100,000
<b>Statement</b>	IL22-004 Park Terrace	Exterior Rehab	125,000	IL22-003 Orton Keys	Site Improvements	5,000
	IL22-004 Park Terrace	Lobby Renovation	140,000	IL22-003 Orton Keys	Siding	276,050
	IL22-006 North Main	Interior Rehab	10,000	IL22-003 Orton Keys	Window Replacement	400,000
	IL22-007 Fairgrounds	Site Improvements	56,000	IL22-006 North Main	Site Improvements	5,000
	IL22-007 Fairgrounds	Window Prototype	48,884	IL22-006 North Main	Interior Rehab	10,000
	IL22-008 Scattered Sites	Site Improvements	52,712	IL22-007 Fairgrounds	Site Improvements	50,000
	IL22-008 Scattered Sites	Exterior Rehab	35,000	IL22-007 Fairgrounds	Exterior Rehab	5,000
	IL22-008 Scattered Sites	Interior Rehab	25,000	IL22-007 Fairgrounds	Sidewalk Replacement	289,000
	IL22-008 Scattered Sites	Roof Replacement	300,000	IL22-008 Scattered Sites	Exterior Rehab	20,000
	IL22-009 Olesen Plaza	Interior Rehab	5,000	IL22-008 Scattered Sites	Sidewalks/Stoops	125,000
	IL22-009 Olesen Plaza	Closet Doors	15,000	IL22-009 Olesen Plaza	Interior Rehab	5,000
	IL22-009 Olesen Plaza	Kitchen Cab/Countertops	10,000	IL22-009 Olesen Plaza	Closet Doors	25,000
	IL22-009 Olesen Plaza	Fire Code Renovations	25,000	IL22-009 Olesen Plaza	Kitchen Cab/Countertops	20,000
	IL22-012 Scattered Sites	Exterior Rehab	5,000	IL22-012 Scattered Sites	Exterior Rehab	5,000
	IL22-013 Scattered Sites	Site Improvements	25,000	IL22-013 Scattered Sites	Site Improvements	10,000
	IL22-013 Scattered Sites	Interior Rehab	5,000	IL22-013 Scattered Sites	Interior Rehab	5,000
	IL22-013 Scattered Sites	Ext Rehab/Windows	300,000	IL22-016 Scattered Sites	Site Improvements	12,000
	IL22-016 Scattered Sites	Site Improvements	12,000	IL22-016 Scattered Sites	Exterior Rehab	145,400
	IL22-016 Scattered Sites	Exterior Rehab	175,000	IL22-016 Scattered Sites	Interior Rehab	15,000
	IL22-016 Scattered Sites	Interior Rehab	15,000	IL22-017 Scattered Sites	Exterior Rehab	5,000
	IL22-017 Scattered Sites	Exterior Rehab	15,000	IL22-019 Scattered Sites	Exterior Rehab	5,000
	IL22-017 Scattered Sites	Interior Rehab	5,000	IL22-020 Scattered Sites	Exterior Rehab	3,000
	IL22-019 Scattered Sites	Exterior Rehab	15,000	IL22-020 Scattered Sites	Interior Rehab	5,000
	IL22-019 Scattered Sites	Interior Rehab	5,000	IL22-052 Brew Oaks A	HVAC Upgrades	20,000
	IL22-020 Scattered Sites	Exterior Rehab	10,000	IL22-052 Brew Oaks A	Closet Door Replace.	15,000
	IL22-020 Scattered Sites	Interior Rehab	5,000	IL22-052 Brew Oaks A	Lobby Renovation	150,000



**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages – Physical Needs**

Activities for Year 1 2006	Activities for Year :04 FFY Grant: IL06P022501-109 PHA FY: 2009			Activities for Year: 05 FFY Grant: I106P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-001 Blackhawk	Window Replacement	\$300,000	IL22-001 Blackhawk	Site Improvements	\$75,000
<b>Annual</b>	IL22-003 Orton Keys	Siding	125,000	IL22-001 Blackhawk	Exterior Rehab	22,332
<b>Statement</b>	IL22-004 Park Terrace	Site Improvements	5,000	IL22-003 Orton Keys	Furnace Replacement	235,390
	IL22-004 Park Terrace	Interior Rehab	75,000	IL22-006 North Main	Exterior Rehab	50,000
	IL22-004 Park Terrace	Appliances	25,000	IL22-007 Fairgrounds	Interior Rehab	10,000
	IL22-006 North Main	HVAC Upgrades	30,000	IL22-007 Fairgrounds	Furnace Replacement	240,000
	IL22-006 North Main	Closet Door Replace.	20,000	IL22-008 Scattered Sites	Exterior Rehab	150,000
	IL22-007 Fairgrounds	Window Replacement	410,000	IL22-008 Scattered Sites	Interior Rehab	20,000
	IL22-007 Fairgrounds	Sidewalk Replacement	50,000	IL22-008 Scattered Sites	Furnace Replacement	260,000
	IL22-008 Scattered Sites	Siding Replacement	260,000	IL22-008 Scattered Sites	Siding Replacement	220,000
	IL22-009 Olesen	Closet Doors	25,000	IL22-008 Scattered Sites	Sidewalks/Stoops	60,000
	IL22-009 Olesen	Kitchen Cab/Counters	20,000	IL22-009 Olesen	Closet Doors	25,000
	IL22-012 Scattered Sites	Exterior Rehab	5,000	IL22-009 Olesen	Kitchen Cab/Counters	20,000
	IL22-012 Scattered Sites	Interior Rehab	5,000	IL22-012 Scattered Sites	Exterior Rehab	5,000
	IL22-013 Scattered Sites	Site Improvements	10,000	IL22-012 Scattered Sites	Interior Rehab	5,000
	IL22-013 Scattered Sites	Interior Rehab	5,000	IL22-013 Scattered Sites	Site Improvements	20,000
	IL22-016 Scattered Sites	Site Improvements	12,000	IL22-013 Scattered Sites	Interior Rehab	5,000
	IL22-016 Scattered Sites	Exterior Rehab	175,400	IL22-016 Scattered Sites	Site Improvements	20,000
	IL22-016 Scattered Sites	Interior Rehab	15,000	IL22-016 Scattered Sites	Exterior Rehab	175,400
	IL22-017 Scattered Sites	Exterior Rehab	5,000	IL22-016 Scattered Sites	Interior Rehab	15,000
	IL22-017 Scattered Sites	Interior Rehab	5,000	IL22-017 Scattered Sites	Exterior Rehab	3,000
	IL22-019 Scattered Sites	Exterior Rehab	5,000	IL22-017 Scattered Sites	Interior Rehab	5,000
	IL22-019 Scattered Sites	Interior Rehab	5,000	IL22-019 Scattered Sites	Exterior Rehab	5,000
	IL22-020 Scattered Sites	Interior Rehab	5,000	IL22-020 Scattered Sites	Exterior Rehab	5,000
	IL22-052 Brew Oaks B	HVAC Upgrades	20,000	IL22-020 Scattered Sites	Interior Rehab	5,000
	IL22-052 Brew Oaks B	Lobby Renovation	150,000	IL22-052 Brew Oaks A	Site Improvements	15,000
	IL22-14A Buckbee	Interior Rehab	15,000	IL22-052 Brew Oaks A	Appliances	25,000
	IL22-14A Buckbee	PTAC Replacement	3,200	IL22-052 Brew Oaks A	Roof Replacement	150,000
	IL22-14A Buckbee	Closet Door Replace.	20,000	IL22-052 Brew Oaks A	HVAC Upgrades	20,000
	IL22-14A Buckbee	Hallway Lighting	10,000			



**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :02 FFY Grant: IL06P022501-07 PHA FY: 2007			Activities for Year: 03 FFY Grant: I106P022501-08 PHA FY: 2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-001 Blackhawk	Training/Resident-Staff	\$4,400	IL22-001 Blackhawk	Training/Resident-Staff	\$4,400
<b>Annual</b>	IL22-001 Blackhawk	Computer Upgrades	6,000	IL22-001 Blackhawk	Computer Upgrades	6,000
<b>Statement</b>	IL22-001 Blackhawk	UPCS Inspections	2,150	IL22-001 Blackhawk	UPCS Inspections	2,150
	IL22-001 Blackhawk	Resident Screening	980	IL22-001 Blackhawk	Resident Screening	980
	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,960	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,960
	IL22-001 Blackhawk	Marketing/Vacancy Red	980	IL22-001 Blackhawk	Marketing/Vacancy Red	980
	IL22-003 Orton Keyes	Training/Resident-Staff	3,930	IL22-003 Orton Keyes	Training/Resident-Staff	3,930
	IL22-003 Orton Keyes	Computer Upgrades	5,400	IL22-003 Orton Keyes	Computer Upgrades	5,400
	IL22-003 Orton Keyes	Upgrade Maint. Fleet	25,000	IL22-003 Orton Keyes	UPCS Inspections	1,920
	IL22-003 Orton Keyes	UPCS Inspections	1,920	IL22-003 Orton Keyes	Resident Screening	875
	IL22-003 Orton Keyes	Resident Screening	875	IL22-003 Orton Keyes	Maintenance Tools/Eq.	1,750
	IL22-003 Orton Keyes	Maintenance Tools/Eq.	1,750	IL22-003 Orton Keyes	Marketing/Vacancy Red	875
	IL22-003 Orton Keyes	Marketing/Vacancy Red	875	IL22-004 Park Terrace	Security	11,700
	IL22-004 Park Terrace	Security	11,700	IL22-004 Park Terrace	Service Coordinator	10,425
	IL22-004 Park Terrace	Service Coordinator	10,425	IL22-004 Park Terrace	Training/Resident-Staff	4,040
	IL22-004 Park Terrace	Training/Resident-Staff	4,040	IL22-004 Park Terrace	Computer Upgrades	5,400
	IL22-004 Park Terrace	Computer Upgrades	5,400	IL22-004 Park Terrace	UPCS Inspections	1,975
	IL22-004 Park Terrace	UPCS Inspections	1,975	IL22-004 Park Terrace	Resident Screening	900
	IL22-004 Park Terrace	Resident Screening	900	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,800
	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,800	IL22-004 Park Terrace	Marketing/Vacancy Red	900
	IL22-004 Park Terrace	Marketing/Vacancy Red	900	IL22-006 North Main	Security	12,100
	IL22-006 North Main	Security	12,100	IL22-006 North Main	Service Coordinator	10,830
	IL22-006 North Main	Service Coordinator	10,830	IL22-006 North Main	Training/Resident-Staff	4,200
	IL22-006 North Main	Training/Resident-Staff	4,200	IL22-006 North Main	Computer Upgrades	5,600
	IL22-006 North Main	Computer Upgrades	5,600	IL22-006 North Main	UPCS Inspections	2,073
	IL22-006 North Main	UPCS Inspections	2,073	IL22-006 North Main	Resident Screening	935
	IL22-006 North Main	Resident Screening	935	IL22-006 North Main	Maintenance Tools/Eq.	1,870
	IL22-006 North Main	Maintenance Tools/Eq.	1,870	IL22-006 North Main	Marketing/Vacancy Red	935
	IL22-006 North Main	Marketing/Vacancy Red	935	IL22-006 North Main	Upgrade Maint. Fleet	25,000
	IL22-007 Fairgrounds	Training/Resident-Staff	4,750	IL22-007 Fairgrounds	Training/Resident-Staff	4,750

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :02 FFY Grant: IL06P022501-07 PHA FY: 2007			Activities for Year: 03 FFY Grant: I106P022501-08 PHA FY: 2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-007 Fairgrounds	Computer Upgrades	\$6,300	IL22-007 Fairgrounds	Computer Upgrades	\$6,300
<b>Annual</b>	IL22-007 Fairgrounds	UPCS Inspections	2,305	IL22-007 Fairgrounds	UPCS Inspections	2,305
<b>Statement</b>	IL22-007 Fairgrounds	Resident Screening	1,049	IL22-007 Fairgrounds	Resident Screening	1,049
	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,098	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,098
	IL22-007 Fairgrounds	Marketing/Vacancy Red	1,049	IL22-007 Fairgrounds	Marketing/Vacancy Red	1,049
	IL22-008 Scattered Sites	Training/Resident-Staff	2,850	IL22-008 Scattered Sites	Training/Resident-Staff	2,850
	IL22-008 Scattered Sites	Computer Upgrades	3,600	IL22-008 Scattered Sites	Computer Upgrades	3,600
	IL22-008 Scattered Sites	UPCS Inspections	1,346	IL22-008 Scattered Sites	UPCS Inspections	1,346
	IL22-008 Scattered Sites	Resident Screening	595	IL22-008 Scattered Sites	Resident Screening	595
	IL22-008 Scattered Sites	Maintenance Tools/Eq.	1,260	IL22-008 Scattered Sites	Maintenance Tools/Eq.	1,260
	IL22-008 Scattered Sites	Marketing/Vacancy Red	595	IL22-008 Scattered Sites	Marketing/Vacancy Red	595
	IL22-009 Olesen	Security	9,800	IL22-009 Olesen	Security	9,800
	IL22-009 Olesen	Service Coordinator	8,745	IL22-009 Olesen	Service Coordinator	8,745
	IL22-009 Olesen	Training/Resident-Staff	3,400	IL22-009 Olesen	Training/Resident-Staff	3,400
	IL22-009 Olesen	Computer Upgrades	4,600	IL22-009 Olesen	Computer Upgrades	4,600
	IL22-009 Olesen	UPCS Inspections	1,675	IL22-009 Olesen	UPCS Inspections	1,675
	IL22-009 Olesen	Resident Screening	755	IL22-009 Olesen	Resident Screening	755
	IL22-009 Olesen	Maintenance Tools/Eq.	1,510	IL22-009 Olesen	Maintenance Tools/Eq.	1,510
	IL22-009 Olesen	Marketing/Vacancy Red	755	IL22-009 Olesen	Marketing/Vacancy Red	755
	IL22-012 Scattered Sites	Training/Resident-Staff	220	IL22-012 Scattered Sites	Training/Resident-Staff	220
	IL22-012 Scattered Sites	Computer Upgrades	250	IL22-012 Scattered Sites	Computer Upgrades	250
	IL22-012 Scattered Sites	UPCS Inspections	110	IL22-012 Scattered Sites	UPCS Inspections	110
	IL22-012 Scattered Sites	Resident Screening	50	IL22-012 Scattered Sites	Resident Screening	50
	IL22-012 Scattered Sites	Maintenance Tools/Eq.	90	IL22-012 Scattered Sites	Maintenance Tools/Eq.	90
	IL22-012 Scattered Sites	Marketing/Vacancy Red	50	IL22-012 Scattered Sites	Marketing/Vacancy Red	50
	IL22-013 Scattered Sites	Training/Resident-Staff	1,075	IL22-013 Scattered Sites	Training/Resident-Staff	1,075
	IL22-013 Scattered Sites	Computer Upgrades	1,370	IL22-013 Scattered Sites	Computer Upgrades	1,370
	IL22-013 Scattered Sites	UPCS Inspections	525	IL22-013 Scattered Sites	UPCS Inspections	525
	IL22-013 Scattered Sites	Resident Screening	240	IL22-013 Scattered Sites	Resident Screening	240
	IL22-013 Scattered Sites	Maintenance Tools/Eq.	450	IL22-013 Scattered Sites	Maintenance Tools/Eq.	450

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :02 FFY Grant: IL06P022501-07 PHA FY: 2007			Activities for Year: 03 FFY Grant: I106P022501-08 PHA FY: 2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-013 Scattered Sites	Marketing/Vacancy Red	240	IL22-013 Scattered Sites	Marketing/Vacancy Red	240
<b>Annual</b>	IL22-016 Scattered Sites	Training/Resident-Staff	1,122	IL22-016 Scattered Sites	Training/Resident-Staff	1,122
<b>Statement</b>	IL22-016 Scattered Sites	Computer Upgrades	1,350	IL22-016 Scattered Sites	Computer Upgrades	1,350
	IL22-016 Scattered Sites	UPCS Inspections	550	IL22-016 Scattered Sites	UPCS Inspections	550
	IL22-016 Scattered Sites	Resident Screening	250	IL22-016 Scattered Sites	Resident Screening	250
	IL22-016 Scattered Sites	Maintenance Tools/Eq.	480	IL22-016 Scattered Sites	Maintenance Tools/Eq.	480
	IL22-016 Scattered Sites	Marketing/Vacancy Red	250	IL22-016 Scattered Sites	Marketing/Vacancy Red	250
	IL22-017 Scattered Sites	Training/Resident-Staff	695	IL22-017 Scattered Sites	Training/Resident-Staff	695
	IL22-017 Scattered Sites	Computer Upgrades	1,100	IL22-017 Scattered Sites	Computer Upgrades	1,100
	IL22-017 Scattered Sites	UPCS Inspections	330	IL22-017 Scattered Sites	UPCS Inspections	330
	IL22-017 Scattered Sites	Resident Screening	155	IL22-017 Scattered Sites	Resident Screening	155
	IL22-017 Scattered Sites	Maintenance Tools/Eq.	300	IL22-017 Scattered Sites	Maintenance Tools/Eq.	300
	IL22-017 Scattered Sites	Marketing/Vacancy Red	155	IL22-017 Scattered Sites	Marketing/Vacancy Red	155
	IL22-019 Scattered Sites	Training/Resident-Staff	380	IL22-019 Scattered Sites	Training/Resident-Staff	380
	IL22-019 Scattered Sites	Computer Upgrades	650	IL22-019 Scattered Sites	Computer Upgrades	650
	IL22-019 Scattered Sites	UPCS Inspections	210	IL22-019 Scattered Sites	UPCS Inspections	210
	IL22-019 Scattered Sites	Resident Screening	100	IL22-019 Scattered Sites	Resident Screening	100
	IL22-019 Scattered Sites	Maintenance Tools/Eq.	200	IL22-019 Scattered Sites	Maintenance Tools/Eq.	200
	IL22-019 Scattered Sites	Marketing/Vacancy Red	100	IL22-019 Scattered Sites	Marketing/Vacancy Red	100
	IL22-020 Scattered Sites	Training/Resident-Staff	380	IL22-020 Scattered Sites	Training/Resident-Staff	380
	IL22-020 Scattered Sites	Computer Upgrades	650	IL22-020 Scattered Sites	Computer Upgrades	650
	IL22-020 Scattered Sites	UPCS Inspections	210	IL22-020 Scattered Sites	UPCS Inspections	210
	IL22-020 Scattered Sites	Resident Screening	100	IL22-020 Scattered Sites	Resident Screening	100
	IL22-020 Scattered Sites	Maintenance Tools/Eq.	200	IL22-020 Scattered Sites	Maintenance Tools/Eq.	200
	IL22-020 Scattered Sites	Marketing/Vacancy Red	100	IL22-020 Scattered Sites	Marketing/Vacancy Red	100
	IL22-023 Scattered Site	Training/Resident-Staff	25	IL22-023 Scattered Site	Training/Resident-Staff	25
	IL22-023 Scattered Site	Computer Upgrades	30	IL22-023 Scattered Site	Computer Upgrades	30
	IL22-023 Scattered Site	UPCS Inspections	11	IL22-023 Scattered Site	UPCS Inspections	11
	IL22-023 Scattered Site	Resident Screening	5	IL22-023 Scattered Site	Resident Screening	5
	IL22-023 Scattered Site	Maintenance Tools/Eq.	10	IL22-023 Scattered Site	Maintenance Tools/Eq.	10

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :02 FFY Grant: IL06P022501-07 PHA FY: 2007			Activities for Year: 03 FFY Grant: I106P022501-08 PHA FY: 2008		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-023 Scattered Site	Marketing/Vacancy Red	5	IL22-023 Scattered Site	Marketing/Vacancy Red	5
<b>Annual</b>	IL22-052 Brew Oaks A	Security	60,000	IL22-052 Brew Oaks A	Security	60,000
<b>Statement</b>	IL22-052 Brew Oaks A	Service Coordinator	12,105	IL22-052 Brew Oaks A	Service Coordinator	12,105
	IL22-052 Brew Oaks A	Training/Resident-Staff	4,690	IL22-052 Brew Oaks A	Training/Resident-Staff	4,690
	IL22-052 Brew Oaks A	Computer Upgrades	6,300	IL22-052 Brew Oaks A	Computer Upgrades	6,300
	IL22-052 Brew Oaks A	UPCS Inspections	2,295	IL22-052 Brew Oaks A	UPCS Inspections	2,295
	IL22-052 Brew Oaks A	Resident Screening	1,043	IL22-052 Brew Oaks A	Resident Screening	1,043
	IL22-052 Brew Oaks A	Maintenance Tools/Eq.	2,086	IL22-052 Brew Oaks A	Maintenance Tools/Eq.	2,086
	IL22-052 Brew Oaks A	Marketing/Vacancy Red	1,043	IL22-052 Brew Oaks A	Marketing/Vacancy Red	1,043
	IL22-052 Brew Oaks B	Security	60,000	IL22-052 Brew Oaks B	Security	60,000
	IL22-052 Brew Oaks B	Service Coordinator	12,105	IL22-052 Brew Oaks B	Service Coordinator	12,105
	IL22-052 Brew Oaks B	Training/Resident-Staff	6,600	IL22-052 Brew Oaks B	Training/Resident-Staff	6,600
	IL22-052 Brew Oaks B	Computer Upgrades	8,900	IL22-052 Brew Oaks B	Computer Upgrades	8,900
	IL22-052 Brew Oaks B	UPCS Inspections	2,295	IL22-052 Brew Oaks B	UPCS Inspections	3,230
	IL22-052 Brew Oaks B	Resident Screening	1,468	IL22-052 Brew Oaks B	Resident Screening	1,468
	IL22-052 Brew Oaks B	Maintenance Tools/Eq.	2,936	IL22-052 Brew Oaks B	Maintenance Tools/Eq.	2,936
	IL22-052 Brew Oaks B	Marketing/Vacancy Red	1,468	IL22-052 Brew Oaks B	Marketing/Vacancy Red	1,468
	IL22-051 Jane Addams	Training/Resident-Staff	0	IL22-051 Jane Addams	Training/Resident-Staff	0
	IL22-051 Jane Addams	Computer Upgrades	0	IL22-051 Jane Addams	Computer Upgrades	0
	IL22-051 Jane Addams	UPCS Inspections	935	IL22-051 Jane Addams	UPCS Inspections	0
	IL22-051 Jane Addams	Resident Screening	0	IL22-051 Jane Addams	Resident Screening	0
	IL22-051 Jane Addams	Maintenance Tools/Eq.	0	IL22-051 Jane Addams	Maintenance Tools/Eq.	0
	IL22-051 Jane Addams	Marketing/Vacancy Red	0	IL22-051 Jane Addams	Marketing/Vacancy Red	0
	IL22-14A Buckbee	Security	2,800	IL22-14A Buckbee	Security	2,800
	IL22-14A Buckbee	Service Coordinator	2,490	IL22-14A Buckbee	Service Coordinator	2,490
	IL22-14A Buckbee	Training/Resident-Staff	965	IL22-14A Buckbee	Training/Resident-Staff	965
	IL22-14A Buckbee	Computer Upgrades	1,075	IL22-14A Buckbee	Computer Upgrades	1,075
	IL22-14A Buckbee	UPCS Inspections	470	IL22-14A Buckbee	UPCS Inspections	470
	IL22-14A Buckbee	Resident Screening	215	IL22-14A Buckbee	Resident Screening	215
	IL22-14A Buckbee	Maintenance Tools/Eq.	430	IL22-14A Buckbee	Maintenance Tools/Eq.	430



**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :04 FFY Grant: IL06P022501-109 PHA FY: 2009			Activities for Year: 05 FFY Grant: II06P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-001 Blackhawk	Training/Resident-Staff	\$4,400	IL22-001 Blackhawk	Training/Resident-Staff	\$4,400
<b>Annual</b>	IL22-001 Blackhawk	Computer Upgrades	6,000	IL22-001 Blackhawk	Computer Upgrades	6,000
<b>Statement</b>	IL22-001 Blackhawk	UPCS Inspections	2,150	IL22-001 Blackhawk	UPCS Inspections	2,150
	IL22-001 Blackhawk	Resident Screening	980	IL22-001 Blackhawk	Resident Screening	980
	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,960	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,960
	IL22-001 Blackhawk	Marketing/Vacancy Red	980	IL22-001 Blackhawk	Marketing/Vacancy Red	980
	IL22-003 Orton Keyes	Training/Resident-Staff	3,930	IL22-003 Orton Keyes	Training/Resident-Staff	3,930
	IL22-003 Orton Keyes	Computer Upgrades	5,400	IL22-003 Orton Keyes	Computer Upgrades	5,400
	IL22-003 Orton Keyes	UPCS Inspections	1,920	IL22-003 Orton Keyes	UPCS Inspections	1,920
	IL22-003 Orton Keyes	Resident Screening	875	IL22-003 Orton Keyes	Resident Screening	875
	IL22-003 Orton Keyes	Maintenance Tools/Eq.	1,750	IL22-003 Orton Keyes	Maintenance Tools/Eq.	1,750
	IL22-003 Orton Keyes	Marketing/Vacancy Red	875	IL22-003 Orton Keyes	Marketing/Vacancy Red	875
	IL22-004 Park Terrace	Security	11,700	IL22-004 Park Terrace	Security	11,700
	IL22-004 Park Terrace	Service Coordinator	10,425	IL22-004 Park Terrace	Service Coordinator	10,425
	IL22-004 Park Terrace	Training/Resident-Staff	4,040	IL22-004 Park Terrace	Training/Resident-Staff	4,040
	IL22-004 Park Terrace	Computer Upgrades	5,400	IL22-004 Park Terrace	Computer Upgrades	5,400
	IL22-004 Park Terrace	UPCS Inspections	1,975	IL22-004 Park Terrace	UPCS Inspections	1,975
	IL22-004 Park Terrace	Resident Screening	900	IL22-004 Park Terrace	Resident Screening	900
	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,800	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,800
	IL22-004 Park Terrace	Marketing/Vacancy Red	900	IL22-004 Park Terrace	Marketing/Vacancy Red	900
	IL22-006 North Main	Security	12,100	IL22-006 North Main	Security	12,100
	IL22-006 North Main	Service Coordinator	10,830	IL22-006 North Main	Service Coordinator	10,830
	IL22-006 North Main	Training/Resident-Staff	4,200	IL22-006 North Main	Training/Resident-Staff	4,200
	IL22-006 North Main	Computer Upgrades	5,600	IL22-006 North Main	Computer Upgrades	5,600
	IL22-006 North Main	UPCS Inspections	2,073	IL22-006 North Main	UPCS Inspections	2,073
	IL22-006 North Main	Resident Screening	935	IL22-006 North Main	Resident Screening	935
	IL22-006 North Main	Maintenance Tools/Eq.	1,870	IL22-006 North Main	Maintenance Tools/Eq.	1,870
	IL22-006 North Main	Marketing/Vacancy Red	935	IL22-006 North Main	Marketing/Vacancy Red	935
	IL22-007 Fairgrounds	Training/Resident-Staff	4,750	IL22-007 Fairgrounds	Training/Resident-Staff	4,750

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :04 FFY Grant: IL06P022501-109 PHA FY: 2009			Activities for Year: 05 FFY Grant: I106P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-007 Fairgrounds	Computer Upgrades	\$6,300	IL22-007 Fairgrounds	Computer Upgrades	\$6,300
<b>Annual</b>	IL22-007 Fairgrounds	UPCS Inspections	2,305	IL22-007 Fairgrounds	UPCS Inspections	2,305
<b>Statement</b>	IL22-007 Fairgrounds	Resident Screening	1,049	IL22-007 Fairgrounds	Resident Screening	1,049
	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,098	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,098
	IL22-007 Fairgrounds	Marketing/Vacancy Red	1,049	IL22-007 Fairgrounds	Marketing/Vacancy Red	1,049
	IL22-007 Fairgrounds	Upgrade Maint. Fleet	25,000	IL22-008 Scattered Sites	Training/Resident-Staff	2,850
	IL22-008 Scattered Sites	Training/Resident-Staff	2,850	IL22-008 Scattered Sites	Computer Upgrades	3,600
	IL22-008 Scattered Sites	Computer Upgrades	3,600	IL22-008 Scattered Sites	UPCS Inspections	1,346
	IL22-008 Scattered Sites	UPCS Inspections	1,346	IL22-008 Scattered Sites	Resident Screening	595
	IL22-008 Scattered Sites	Resident Screening	595	IL22-008 Scattered Sites	Maintenance Tools/Eq.	1,260
	IL22-008 Scattered Sites	Maintenance Tools/Eq.	1,260	IL22-008 Scattered Sites	Marketing/Vacancy Red	595
	IL22-008 Scattered Sites	Marketing/Vacancy Red	595	IL22-008 Scattered Sites	Upgrade Maint. Fleet	25,000
	IL22-009 Olesen	Security	9,800	IL22-009 Olesen	Security	9,800
	IL22-009 Olesen	Service Coordinator	8,745	IL22-009 Olesen	Service Coordinator	8,745
	IL22-009 Olesen	Training/Resident-Staff	3,400	IL22-009 Olesen	Training/Resident-Staff	3,400
	IL22-009 Olesen	Computer Upgrades	4,600	IL22-009 Olesen	Computer Upgrades	4,600
	IL22-009 Olesen	UPCS Inspections	1,675	IL22-009 Olesen	UPCS Inspections	1,675
	IL22-009 Olesen	Resident Screening	755	IL22-009 Olesen	Resident Screening	755
	IL22-009 Olesen	Maintenance Tools/Eq.	1,510	IL22-009 Olesen	Maintenance Tools/Eq.	1,510
	IL22-009 Olesen	Marketing/Vacancy Red	755	IL22-009 Olesen	Marketing/Vacancy Red	755
	IL22-012 Scattered Sites	Training/Resident-Staff	220	IL22-012 Scattered Sites	Training/Resident-Staff	220
	IL22-012 Scattered Sites	Computer Upgrades	250	IL22-012 Scattered Sites	Computer Upgrades	250
	IL22-012 Scattered Sites	UPCS Inspections	110	IL22-012 Scattered Sites	UPCS Inspections	110
	IL22-012 Scattered Sites	Resident Screening	50	IL22-012 Scattered Sites	Resident Screening	50
	IL22-012 Scattered Sites	Maintenance Tools/Eq.	90	IL22-012 Scattered Sites	Maintenance Tools/Eq.	90
	IL22-012 Scattered Sites	Marketing/Vacancy Red	50	IL22-012 Scattered Sites	Marketing/Vacancy Red	50
	IL22-013 Scattered Sites	Training/Resident-Staff	1,075	IL22-013 Scattered Sites	Training/Resident-Staff	1,075
	IL22-013 Scattered Sites	Computer Upgrades	1,370	IL22-013 Scattered Sites	Computer Upgrades	1,370
	IL22-013 Scattered Sites	UPCS Inspections	525	IL22-013 Scattered Sites	UPCS Inspections	525
	IL22-013 Scattered Sites	Resident Screening	240	IL22-013 Scattered Sites	Resident Screening	240
	IL22-013 Scattered Sites	Maintenance Tools/Eq/	450	IL22-013 Scattered Sites	Maintenance Tools/Eq.	450

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :04 FFY Grant: IL06P022501-109 PHA FY: 2009			Activities for Year: 05 FFY Grant: I106P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-013 Scattered Sites	Marketing/Vacancy Red	240	IL22-013 Scattered Sites	Marketing/Vacancy Red	240
<b>Annual</b>	IL22-016 Scattered Sites	Training/Resident-Staff	1,122	IL22-016 Scattered Sites	Training/Resident-Staff	1,122
<b>Statement</b>	IL22-016 Scattered Sites	Computer Upgrades	1,350	IL22-016 Scattered Sites	Computer Upgrades	1,350
	IL22-016 Scattered Sites	UPCS Inspections	550	IL22-016 Scattered Sites	UPCS Inspections	550
	IL22-016 Scattered Sites	Resident Screening	250	IL22-016 Scattered Sites	Resident Screening	250
	IL22-016 Scattered Sites	Maintenance Tools/Eq.	480	IL22-016 Scattered Sites	Maintenance Tools/Eq.	480
	IL22-016 Scattered Sites	Marketing/Vacancy Red	250	IL22-016 Scattered Sites	Marketing/Vacancy Red	250
	IL22-017 Scattered Sites	Training/Resident-Staff	695	IL22-017 Scattered Sites	Training/Resident-Staff	695
	IL22-017 Scattered Sites	Computer Upgrades	1,100	IL22-017 Scattered Sites	Computer Upgrades	1,100
	IL22-017 Scattered Sites	UPCS Inspections	330	IL22-017 Scattered Sites	UPCS Inspections	330
	IL22-017 Scattered Sites	Resident Screening	155	IL22-017 Scattered Sites	Resident Screening	155
	IL22-017 Scattered Sites	Maintenance Tools/Eq.	300	IL22-017 Scattered Sites	Maintenance Tools/Eq.	300
	IL22-017 Scattered Sites	Marketing/Vacancy Red	155	IL22-017 Scattered Sites	Marketing/Vacancy Red	155
	IL22-019 Scattered Sites	Training/Resident-Staff	380	IL22-019 Scattered Sites	Training/Resident-Staff	380
	IL22-019 Scattered Sites	Computer Upgrades	650	IL22-019 Scattered Sites	Computer Upgrades	650
	IL22-019 Scattered Sites	UPCS Inspections	210	IL22-019 Scattered Sites	UPCS Inspections	210
	IL22-019 Scattered Sites	Resident Screening	100	IL22-019 Scattered Sites	Resident Screening	100
	IL22-019 Scattered Sites	Maintenance Tools/Eq.	200	IL22-019 Scattered Sites	Maintenance Tools/Eq.	200
	IL22-019 Scattered Sites	Marketing/Vacancy Red	100	IL22-019 Scattered Sites	Marketing/Vacancy Red	100
	IL22-020 Scattered Sites	Training/Resident-Staff	380	IL22-020 Scattered Sites	Training/Resident-Staff	380
	IL22-020 Scattered Sites	Computer Upgrades	650	IL22-020 Scattered Sites	Computer Upgrades	650
	IL22-020 Scattered Sites	UPCS Inspections	210	IL22-020 Scattered Sites	UPCS Inspections	210
	IL22-020 Scattered Sites	Resident Screening	100	IL22-020 Scattered Sites	Resident Screening	100
	IL22-020 Scattered Sites	Maintenance Tools/Eq.	200	IL22-020 Scattered Sites	Maintenance Tools/Eq.	200
	IL22-020 Scattered Sites	Marketing/Vacancy Red	100	IL22-020 Scattered Sites	Marketing/Vacancy Red	100
	IL22-023 Scattered Site	Training/Resident-Staff	25	IL22-023 Scattered Site	Training/Resident-Staff	25
	IL22-023 Scattered Site	Computer Upgrades	30	IL22-023 Scattered Site	Computer Upgrades	30
	IL22-023 Scattered Site	UPCS Inspections	11	IL22-023 Scattered Site	UPCS Inspections	11
	IL22-023 Scattered Site	Resident Screening	5	IL22-023 Scattered Site	Resident Screening	5
	IL22-023 Scattered Site	Maintenance Tools/Eq.	10	IL22-023 Scattered Site	Maintenance Tools/Eq.	10

**ATTACHMENT C - Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

Activities for Year 1 2006	Activities for Year :04 FFY Grant: IL06P022501-109 PHA FY: 2009			Activities for Year: 05 FFY Grant: I106P022501-110 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	IL22-023 Scattered Site	Marketing/Vacancy Red	5	IL22-023 Scattered Site	Marketing/Vacancy Red	5
<b>Annual</b>	IL22-052 Brew Oaks A	Security	60,000	IL22-052 Brew Oaks A	Security	60,000
<b>Statement</b>	IL22-052 Brew Oaks A	Service Coordinator	12,105	IL22-052 Brew Oaks A	Service Coordinator	12,105
	IL22-052 Brew Oaks A	Training/Resident-Staff	4,690	IL22-052 Brew Oaks A	Training/Resident-Staff	4,690
	IL22-052 Brew Oaks A	Computer Upgrades	6,300	IL22-052 Brew Oaks A	Computer Upgrades	6,300
	IL22-052 Brew Oaks A	UPCS Inspections	2,295	IL22-052 Brew Oaks A	UPCS Inspections	2,295
	IL22-052 Brew Oaks A	Resident Screening	1,043	IL22-052 Brew Oaks A	Resident Screening	1,043
	IL22-052 Brew Oaks A	Maintenance Tools/Eq.	2,086	IL22-052 Brew Oaks A	Maintenance Tools/Eq.	2,086
	IL22-052 Brew Oaks A	Marketing/Vacancy Red	1,043	IL22-052 Brew Oaks A	Marketing/Vacancy Red	1,043
	IL22-052 Brew Oaks B	Security	60,000	IL22-052 Brew Oaks B	Security	60,000
	IL22-052 Brew Oaks B	Service Coordinator	12,105	IL22-052 Brew Oaks B	Service Coordinator	12,105
	IL22-052 Brew Oaks B	Training/Resident-Staff	6,600	IL22-052 Brew Oaks B	Training/Resident-Staff	6,600
	IL22-052 Brew Oaks B	Computer Upgrades	8,900	IL22-052 Brew Oaks B	Computer Upgrades	8,900
	IL22-052 Brew Oaks B	UPCS Inspections	3,230	IL22-052 Brew Oaks B	UPCS Inspections	3,230
	IL22-052 Brew Oaks B	Resident Screening	1,468	IL22-052 Brew Oaks B	Resident Screening	1,468
	IL22-052 Brew Oaks B	Maintenance Tools/Eq.	2,936	IL22-052 Brew Oaks B	Maintenance Tools/Eq.	2,836
	IL22-052 Brew Oaks B	Marketing/Vacancy Red	1,468	IL22-052 Brew Oaks B	Marketing/Vacancy Red	1,468
	IL22-051 Jane Addams	Training/Resident-Staff	0	IL22-051 Jane Addams	Training/Resident-Staff	0
	IL22-051 Jane Addams	Computer Upgrades	0	IL22-051 Jane Addams	Computer Upgrades	0
	IL22-051 Jane Addams	UPCS Inspections	0	IL22-051 Jane Addams	UPCS Inspections	0
	IL22-051 Jane Addams	Resident Screening	0	IL22-051 Jane Addams	Resident Screening	0
	IL22-051 Jane Addams	Maintenance Tools/Eq.	0	IL22-051 Jane Addams	Maintenance Tools/Eq.	0
	IL22-051 Jane Addams	Marketing/Vacancy Red	0	IL22-051 Jane Addams	Marketing/Vacancy Red	0
	IL22-14A Buckbee	Security	2,800	IL22-14A Buckbee	Security	2,800
	IL22-14A Buckbee	Service Coordinator	2,490	IL22-14A Buckbee	Service Coordinator	2,490
	IL22-14A Buckbee	Training/Resident-Staff	965	IL22-14A Buckbee	Training/Resident-Staff	965
	IL22-14A Buckbee	Computer Upgrades	1,075	IL22-14A Buckbee	Computer Upgrades	1,075
	IL22-14A Buckbee	UPCS Inspections	470	IL22-14A Buckbee	UPCS Inspections	470
	IL22-14A Buckbee	Resident Screening	215	IL22-14A Buckbee	Resident Screening	215
	IL22-14A Buckbee	Maintenance Tools/Eq.	430	IL22-14A Buckbee	Maintenance Tools/Eq.	430



**ATTACHMENT D - Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name:</b> Rockford Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250105</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2005</b>
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Original Annual Statement 
  Reserve for Disasters/ Emergencies 
  Revised Annual Statement (revision no: ) 
  Performance and Evaluation Report for Period Ending: 03/31/06 
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	330,534	661,068	330,534	330,534
3	1408 Management Improvements	661,060	554,500	333,407	45,938
4	1410 Administration	330,534	330,534	327,356	59,400
5	1411 Audit	0	0		0
6	1415 Liquidated Damages	0	0		0
7	1430 Fees and Costs	281,579	246,997	198,830	45,565
8	1440 Site Acquisition	0	0		0
9	1450 Site Improvement	143,000	126,202	87,063	82,645
10	1460 Dwelling Structures	1,104,209	1,104,492	1,048,553	84,631
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Non-dwelling Structures	113,791	115,278	105,278	0
13	1475 Non-dwelling Equipment	40,000	162,688	57,293	52,393
14	1485 Demolition	200,000	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	54,400	3,589	3,589	835
18	1499 Development Activities				0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	46,240	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>3,305,347</b>	<b>3,305,347</b>	<b>2,491,903</b>	<b>701,941</b>
22	Amount of line 21 Related to LBP Activities	53,000	60,000	60,000	0
23	Amount of line 21 Related to Section 504 comp	33,000	19,513	0	0
24	Amount of line 21 Related to Security – Soft	240,000	211,985	211,985	12,166
25	Amount of Line 21 Related to Security – Hard	0	70,533	70,533	66,115
26	Amount of line 21 Related to Energy Conservation Measures	52,500	28,638	28,638	28,638

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250105</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		330,534	661,068	330,534	330,534	In Progress
PHA Wide	1470 Non-Dwelling Structures	All		103,791	103,791	103,791	0	In Progress
PHA Wide	1475 Computer Hardware	All		40,000	160,488	55,093	50,193	In Progress
PHA Wide	1502 Contingency	All		46,240	0	0	0	Changed
001 Blackhawk	1430 Lead Testing A & E	001		40,000	9,600	9,600	0	In Progress
001 Blackhawk	1450 Site Improvements	001		0	1,986	1,986	1,986	Complete
001 Blackhawk	1450 Camera's & Security Lights	001		25,000	0	0	0	Changed
001 Blackhawk	1450 ADA Compliance / Parking Lots	001		0	0	0	0	Changed
001 Blackhawk	1460 Interior Rehab	001		10,000	0	0	0	Changed
001 Blackhawk	1460 Exterior Rehab	001		20,000	3,775	3,775	3,775	Complete
001 Blackhawk	1460 LBP Abatement	001		5,000	0	0	0	Changed
001 Blackhawk	1460 ADA Compliance	001		5,000	0	0	0	Changed
003 Orton Keyes	1430 Hazardous A & E	003		3,000	0	0	0	Changed
003 Orton Keyes	1450 Site Improvements	003		0	1,170	1,170	1,170	Complete
003 Orton Keyes	1450 Camera's & Security Lights	003		25,000	70,058	70,058	65,640	In Progress
003 Orton Keyes	1450 Interior Rehab	003		3,000	0	0	0	Changed
003 Orton Keyes	1460 Interior Rehab	003		0	3,000	0	0	In Progress
003 Orton Keyes	1460 ADA Compliance / Apartments	003		2,000	2,000	0	0	In Progress
003 Orton Keyes	1470 ADA Compliance / Office	003		10,000	10,000	0	0	In Progress
004 Park Terrace	1450 ADA Compliance / Parking Lots	004		0	3,000	0	0	In Progress
004 Park Terrace	1460 Elevator Upgrades	004		408,600	409,244	409,244	644	In Progress
004 Park Terrace	1460 Fire Code Renovations	004		20,000	15,556	7,301	7,301	In Progress
004 Park Terrace	1460 ADA Compliance / Apartments	004		3,000	0	0	0	Changed
006 North Main	1430 Hazard A & E	006		2,000	2,000	0	0	In Progress
006 North Main	1450 ADA Compliance / Parking Lots	006		2,000	0	0	0	Changed

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250105</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
006 North Main	1450 Site Improvements	006		15,000	15,000	4,930	4,930	In Progress
006 North Main	1460 Interior Rehab	006		10,000	132,931	128,276	34,043	In Progress
006 North Main	1460 Fire Code Renovations	006		20,000	2,667	2,667	2,667	Complete
006 North Main	1495 Relocation	006		0	3,589	3,589	835	In Progress
007 Fairgrounds	1430 Lead Testing A & E	007		3,000	0	0	0	Changed
007 Fairgrounds	1450 Site Improvements	007		20,000	20,000	8,444	8,444	In Progress
007 Fairgrounds	1450 Camera's & Security Lights	007		25,000	0	0	0	Changed
007 Fairgrounds	1450 ADA Compliances / Parking Lots	007		3,000	1,513	0	0	In Progress
007 Fairgrounds	1460 Interior Rehab	007		0	22,218	22,218	0	In Progress
007 Fairgrounds	1460 Exterior Rehab	007		0	685	685	685	Complete
007 Fairgrounds	1460 LBP Abatement	007		5,000	0	0	0	Changed
007 Fairgrounds	1470 Non-Dwelling Structures	007		0	1,487	1,487	0	In Progress
008 Scattered Sites	1430 Hazard A & E	008		2,000	0	0	0	Changed
008 Scattered Sites	1430 Lead Testing A & E	008		0	6,240	6,240	0	In Progress
008 Scattered Sites	1450 Site Improvements	008		10,000	10,000	0	0	In Progress
008 Scattered Sites	1460 Interior Rehab	008		18,919	18,919	9,056	0	In Progress
008 Scattered Sites	1460 Exterior Rehab	008		25,000	25,000	0	0	In Progress
009 Olesen Plaza	1450 ADA Compliance / Parking Lots	009		3,000	3,000	0	0	In Progress
009 Olesen Plaza	1460 Interior Rehab	009		10,000	0	0	0	Changed
012 Scattered Sites	1430 Lead Testing A & E	012		2,000	4,800	4,800	0	In Progress
012 Scattered Sites	1460 Interior Rehab	012		5,000	0	0	0	Changed
012 Scattered Sites	1460 Exterior Rehab	012		5,000	0	0	0	Changed
013 Scattered Sites	1430 Hazard A & E	013		5,000	0	0	0	Changed
013 Scattered Sites	1450 Site Improvements	013		5,000	0	0	0	Changed
013 Scattered Sites	1460 Interior Rehab	013		5,000	0	0	0	Changed
013 Scattered Sites	1460 Exterior Rehab	013		0	6,300	6,300	6,300	Complete

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250105</b> Replacement Housing Factor Grant No:				Federal FY of Grant: <b>2005</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Total Actual Cost	Status of Work	Total Estimated Cost		Total Actual Cost		
		Funds Obligated	Funds Expended		Revised	Funds Obligated	Funds Expended	
014 Low Rises	1430 Hazard A & E - Midvale	014		2,000	0	0	0	Changed
014 Low Rises	1460 Interior Rehab	014		0	23,696	23,696	18,836	In Progress
014 Low Rises	1460 Interior Rehab PTAC Buckbee	014		7,500	4,200	3,756	0	In Progress
014 Low Rises	1460 Interior Rehab PTAC Midvale	014		7,500	3,000	2,504	0	In Progress
014 Low Rises	1460 Interior Rehab PTAC Summit	014		7,500	3,000	2,504	0	In Progress
014 Low Rises	1475 Non-Dwelling Equipment	014		0	2,200	2,200	2,200	Complete
014 Low Rises	1495 Relocation	014		2,000	0	0	0	Changed
016 Scattered Sites	1430 Hazard A & E	016		2,000	2,000	0	0	In Progress
016 Scattered Sites	1460 Interior Rehab	016		5,000	0	0	0	Changed
016 Scattered Sites	1460 Exterior Rehab	016		40,000	0	0	0	Changed
017 Scattered Sites	1430 Hazard A & E	017		4,000	0	0	0	Changed
017 Scattered Sites	1430 Lead Testing A & E	017		0	14,880	14,880	0	In Progress
017 Scattered Sites	1460 Interior Rehab	017		10,000	5,000	3,270	3,270	In Progress
017 Scattered Sites	1460 Exterior Rehab	017		10,000	0	0	0	Changed
019 Scattered Sites	1430 Hazard A & E	019		4,000	0	0	0	Changed
019 Scattered Sites	1430 Lead Testing A & E	019		0	9,600	9,600	0	In Progress
019 Scattered Sites	1460 Interior Rehab	019		5,000	0	0	0	Changed
019 Scattered Sites	1460 Exterior Rehab	019		5,000	0	0	0	Changed
020 Scattered Sites	1430 Hazard A & E	020		2,000	0	0	0	Changed
020 Scattered Sites	1430 Lead Testing A & E	020		0	6,720	6,720	0	In Progress
020 Scattered Sites	1460 Interior Rehab	020		5,000	0	0	0	Changed
020 Scattered Sites	1460 Exterior Rehab	020		5,000	0	0	0	Changed
051 Jane Addams	1430 Hazard A & E	051		3,579	0	0	0	Changed
051 Jane Addams	1430 Lead Testing A & E	051		0	8,160	8,160	0	In Progress
051 Jane Addams	1450 Cameras & Security Lights	051		5,000	475	475	475	Complete
051 Jane Addams	1485 Demolition	051		200,000	0	0	0	Changed
051 Jane Addams	1495 Relocation	051		50,400	0	0	0	Changed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250105</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2005</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		
				Original	Revised	Funds Obligated	Funds Expended	
052 Brewington Oaks	1430 Hazard A & E	052		5,000	0	0	0	Changed
052 Brewington Oaks	1450 ADA Compliance / Parking Lots	052		5,000	0	0	0	Changed
052 Brewington Oaks	1460 Elevator Upgrades	052		416,190	416,190	416,190	0	In Progress
052 Brewington Oaks	1460 Fire Code Renovations	052		0	7,111	7,111	7,111	Complete
052 Brewington Oaks	1495 Relocation	052		2,000	0	0	0	Changed
PHA Wide	1408 Service Coordinators – High rises	All		60,000	60,000	764	0	In Progress
PHA Wide	1408 Training – Staff/Residents	All		97,000	97,000	31,497	23,143	In Progress
PHA Wide	1408 Computer Software/Office Equipment	All		115,000	103,377	9,812	9,812	In Progress
PHA Wide	1408 Security	All		160,000	211,985	211,985	12,166	In Progress
PHA Wide	1408 Upgrade Maintenance/Admin Fleet	All		50,000	0	0	0	Changed
PHA Wide	1408 UPCS Inspections	All		22,000	22,000	19,238	0	In Progress
PHA Wide	1408 Resident Screening/Orientation	All		10,000	10,000	88	88	In Progress
PHA Wide	1408 Maintenance Tools & Equipment	All		35,640	5,138	240	240	In Progress
PHA Wide	1408 Marketing – Vacancy/Reduction	All		10,000	10,000	0	0	In Progress
PHA Wide	1408 Resident/Section 3 Initiatives	All		25,000	25,000	0	0	In Progress
PHA Wide	1408 Safety Supplies	All		5,000	5,000	1,311	489	In Progress
PHA Wide	1408 Inventory Upgrade/Security System	All		56,420	0	0	0	Changed
PHA Wide	1408 Safety/Loss Prevention	All		15,000	5,000	0	0	In Progress
PHA Wide	1410 Non Technical Salaries	All		325,534	325,534	325,534	57,727	In Progress
PHA Wide	1410 Sundry	All		5,000	5,000	1,822	1,673	In Progress
PHA Wide	1430 A&E Costs	All		72,000	44,167	0	0	In Progress
PHA Wide	1430 A&E Costs – Energy Audit	All		30,000	0	0	0	Changed
PHA Wide	1430 A&E Costs – Consulting	All		100,000	138,830	138,830	45,565	In Progress
				<b>3,305,347</b>	<b>3,305,347</b>	<b>2,491,903</b>	<b>701,942</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: <b>IL06P02250105</b> Replacement Housing Factor No:					Federal FY of Grant: <b>2005</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
PHA Wide	08/18/07			08/18/09				
IL22-001 Blackhawk	08/18/07			08/18/09				
IL22-003 Orton Keyes	08/18/07			08/18/09				
IL22-004 Park Terrace	08/18/07			08/18/09				
IL22-006 N. Main Manor	08/18/07			08/18/09				
IL22-007 Fairgrounds	08/18/07			08/18/09				
IL22-008 Scattered Sites	08/18/07			08/18/09				
IL22-009 Olesen Plaza	08/18/07			08/18/09				
IL22-012 Scattered Sites	08/18/07			08/18/09				
IL22-013 Scattered Sites	08/18/07			08/18/09				
IL22-014 Low Rises	08/18/07			08/18/09				
IL22-016 Scattered Sites	08/18/07			08/18/09				
IL22-017 Scattered Sites	08/18/07			08/18/09				
IL22-019 Scattered Sites	08/18/07			08/18/09				
IL22-020 Scattered Sites	08/18/07			08/18/09				
IL22-021 Scattered Sites	08/18/07			08/18/09				
IL22-051 Jane Addams	08/18/07			08/18/09				
IL22-052 Brewington Oaks	08/18/07			08/18/09				
Mgmt Improvements	08/18/07			08/18/09				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

<b>PHA Name:</b> Rockford Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R02250105</b>	<b>Federal FY of Grant:</b> <b>2005</b>
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Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: )
  Performance and Evaluation Report for Period Ending: 03/31/06
  Final Performance and Evaluation Report

Line #	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	1,633	1,633		
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 comp				
24	Amount of line 21 Related to Security – Soft				
25	Amount of Line 21 Related to Security – Hard				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	<b>\$1,633</b>	<b>1,633</b>	<b>0</b>	<b>0</b>





**ATTACHMENT D - Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name:</b> Rockford Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250104</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2004</b>
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Original Annual Statement 
  Reserve for Disasters/ Emergencies 
  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/06 
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	360,918	360,918	360,918	360,918
3	1408 Management Improvements	721,836	666,554	636,660	550,124
4	1410 Administration	360,918	360,918	360,918	360,918
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	339,200	352,182	352,182	302,203
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	190,000	288,986	283,276	280,113
10	1460 Dwelling Structures	1,402,350	1,421,066	1,421,066	674,485
11	1465.1 Dwelling Equipment—Nonexpendable	25,000	7,948	7,948	7,948
12	1470 Non-dwelling Structures		103,768	103,768	99,367
13	1475 Non-dwelling Equipment	60,000	46,111	46,111	46,111
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	12,000	728	728	728
18	1499 Development Activities				
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	136,958	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>3,609,180</b>	<b>3,609,180</b>	<b>3,573,576</b>	<b>2,682,915</b>
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 comp	0	0	0	0
24	Amount of line 21 Related to Security – Soft	0	239,127	239,127	239,127
25	Amount of Line 21 Related to Security – Hard	213,200	182,250	182,250	182,250
26	Amount of line 21 Related to Energy Conservation Measures	0	42,233	42,233	42,233

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250104</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2004</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
001 Blackhawk	1450 Site Improvements	001		0	71,956	71,956	71,956	Complete
001 Blackhawk	1460 Interior/Exterior Rehab	001		206,000	24,465	24,465	24,465	Complete
001 Blackhawk	1470 Non-Dwelling Structures	001		0	8,616	8,616	4,216	In Progress
003 Orton Keys	1450 Site Improvements	003		0	18,383	18,383	18,383	Complete
003 Orton Keys	1460 Interior/Exterior Rehab	003		0	24,038	24,038	24,038	Complete
003 Orton Keys	1470 Non-Dwelling Equipment	003		0	2,400	2,400	2,400	Complete
004 Park Terrace	1450 Site Improvements	004		0	7,224	7,224	7,224	Complete
004 Park Terrace	1460 Interior/Exterior Rehab.	004		225,000	0	0	0	Changed
004 Park Terrace	1495 Relocation	004		2,000	0	0	0	Changed
006 North Main	1450 Site Improvements	006		0	1,792	1,792	1,792	Complete
006 North Main	1460 Interior/Exterior Rehab	006		15,000	44,116	44,116	44,017	In Progress
006 North Main	1475 Non-Dwelling Equipment	006		0	9,858	9,858	9,858	Complete
007 Fairgrounds	1450 Site Improvements	007		125,000	78,335	78,335	78,335	Complete
007 Fairgrounds	1460 Interior/Exterior Rehab	007		0	3,291	3,291	3,291	Complete
007 Fairgrounds	1460 Furnaces	007		0	3,248	3,248	3,248	Complete
007 Fairgrounds	1470 Non-Dwelling Structures	007		0	92,751	92,751	92,751	Complete
008 Scattered Sites	1450 Site Improvements	008		40,000	739	739	739	Complete
008 Scattered Sites	1460 Interior/Exterior Rehab	008		85,000	11,640	11,640	11,640	Complete
008 Scattered Sites	1465 Appliances	008		2,000	0	0	0	Changed
009 Olesen Plaza	1450 Site Improvements	009		0	9,082	8,208	8,208	In Progress
009 Olesen Plaza	1460 Interior/Exterior Rehab	009		70,000	415,041	415,041	12,039	In Progress
009 Olesen Plaza	1495 Relocation	009		2,000	0	0	0	Changed
012 Scattered Sites	1460 Interior/Exterior Rehab	012		20,000	0	0	0	Changed
013 Scattered Sites	1450 Site Improvements	013		20,000	0	0	0	Changed
013 Scattered Sites	1460 Interior/Exterior Rehab	013		85,000	129,281	129,281	129,281	Complete
013 Scattered Sites	1495 Relocation	013		0	709	709	709	Complete

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250104</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2004</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
014 Low Rises	1450 Site Improvements	014		0	2,271	2,271	2,271	Complete
014 Low Rises	1460 Interior/ Exterior Rehab/PTAC	014		190,000	588,575	588,575	245,094	In Progress
014 Low Rises	1465 Appliances	014		3,000	7,948	7,948	7,948	Complete
014 Low Rises	1495 Relocation	014		6,000	19	19	19	Complete
016 Scattered Sites	1450 Site Improvements	016		5,000	8,195	5,358	2,195	In Progress
016 Scattered Sites	1460 Interior/Exterior Rehab	016		15,000	995	995	995	Complete
017 Scattered Sites	1450 Site Improvements	017		0	2,000	0	0	In Progress
017 Scattered Sites	1460 Interior/Exterior Rehab	017		30,000	63,671	63,671	63,671	Complete
019 Scattered Sites	1450 Site Improvements	019		0	14,715	14,715	14,715	Complete
019 Scattered Sites	1460 Interior/Exterior Rehab	019		15,000	25,996	25,996	25,996	Complete
020 Scattered Sites	1460 Interior/Exterior Rehab	020		15,000	8,547	8,547	8,547	Complete
051 Jane Addams	1450 Site Improvements	051		0	70,978	70,978	70,978	Complete
051 Jane Addams	1460 Interior/Exterior Rehab	051		15,000	1,587	1,587	1,587	Complete
052 Brewington Oaks	1450 Site Improvements	052		0	1,041	1,041	1,041	Complete
052 Brewington Oaks	1460 Interior/Exterior Rehab –Elev. –HVAC	052		416,350	76,576	76,576	76,576	Complete
052 Brewington Oaks	1465 Appliances	052		20,000	0	0	0	Changed
052 Brewington Oaks	1495 Relocation	052		2,000	0	0	0	Changed
PHA Wide	1406 Mod for Operations	All		360,918	360,918	360,918	360,918	Complete
PHA Wide	1408 Security	All		160,000	239,127	239,127	160,000	In Progress
PHA Wide	1408 Security Analyst	All		53,200	53,200	53,200	53,200	Complete
PHA Wide	1408 Computer Software Upgrades	All		55,000	49,210	48,734	48,734	In Progress
PHA Wide	1408 Service Coordinator/Elderly	All		130,350	37,660	37,660	37,660	Complete
PHA Wide	1408 Resident Orientation	All		20,000	6,903	6,903	6,903	Complete
PHA Wide	1408 Resident Business/Section 3	All		20,000	10,000	0	0	In Progress
PHA Wide	1408 Com. Marketing/Vacancy Turnover	All		20,000	34,637	34,637	33,827	In Progress
PHA Wide	1408 Maintenance Tools & Equipment	All		37,000	50,725	50,581	50,581	In Progress
PHA Wide	1408 UPCS Inspections	All		20,000	20,040	20,040	20,040	Complete
PHA Wide	1408 Inventory Upgrade/Security System	All		20,000	10,000	7,275	7,275	In Progress

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250104</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2004</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1408 Time Keeping System	All		25,000	41,827	28,925	22,325	In Progress
PHA Wide	1408 Upgrade Maintenance Fleet	All		70,000	19,441	16,686	16,686	In Progress
PHA Wide	1408 Safety Supplies	All		0	2,500	2,055	2,055	In Progress
PHA Wide	1408 Staff/Resident Training	All		91,286	91,286	90,839	90,839	In Progress
PHA Wide	1410 Non-Technical Salaries	All		358,518	354,269	354,269	354,269	Complete
PHA Wide	1410 Sundry	All		2,400	6,649	6,649	6,649	Complete
PHA Wide	1430 A&E Costs	All		339,200	232,377	232,377	189,148	In Progress
PHA Wide	1430 A&E Costs (Consulting)	All		0	119,805	119,805	113,055	In Progress
PHA Wide	1450 Site Improvements	All		0	2,275	2,275	2,275	Complete
PHA Wide	1475 Computer Equipment	All		60,000	36,253	36,253	36,253	Complete
PHA Wide	1502 Contingency	All		136,958	0	0	0	Complete
				<b>3,609,180</b>	<b>3,609,180</b>	<b>3,573,576</b>	<b>2,682,915</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

<b>PHA Name:</b> Rockford Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: <b>IL06P02250104</b> Replacement Housing Factor No:				<b>Federal FY of Grant:</b> <b>2004</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/06			09/30/08			
IL22-001 Blackhawk	09/30/06			09/30/08			
IL22-003 Orton Keyes	09/30/06			09/30/08			
IL22-004 Park Terrace	09/30/06			09/30/08			
IL22-006 N. Main Manor	09/30/06			09/30/08			
IL22-007 Fairgrounds	09/30/06			09/30/08			
IL22-008 Scattered Sites	09/30/06			09/30/08			
IL22-009 Olesen Plaza	09/30/06			09/30/08			
IL22-012 Scattered Sites	09/30/06			09/30/08			
IL22-013 Scattered Sites	09/30/06			09/30/08			
IL22-014 Lowrises	09/30/06			09/30/08			
IL22-016 Scattered Sites	09/30/06			09/30/08			
IL22-017 Scattered Sites	09/30/06			09/30/08			
IL22-019 Scattered Sites	09/30/06			09/30/08			
IL22-020 Scattered Sites	09/30/06			09/30/08			
IL22-021 Scattered Sites	09/30/06			09/30/08			
IL22-051 Jane Addams	09/30/06			09/30/08			
IL22-052 Brewington Oakes	09/30/06			09/30/08			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name:</b> Rockford Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R02250104</b>	<b>Federal FY of Grant:</b> <b>2004</b>
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/06  Final Performance and Evaluation Report

Line #	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	27,198	27,198	25,805	25,805
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 comp				
24	Amount of line 21 Related to Security – Soft				
25	Amount of Line 21 Related to Security – Hard				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	<b>\$27,198</b>	<b>27,198</b>	<b>25,805</b>	<b>25,805</b>





<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name:</b> Rockford Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250203</b> Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <b>2003</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/06 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	65,634	65,634	65,634	65,634
3	1408 Management Improvements	121,268	122,717	122,717	122,717
4	1410 Administration	65,634	65,634	65,634	65,680
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement		55,199	55,199	31,031
10	1460 Dwelling Structures	393,804	344,031	342,842	342,282
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	10,000	3,125	3,125	3,125
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>656,340</b>	<b>656,340</b>	<b>655,152</b>	<b>630,469</b>
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250203</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		65,634	65,634	65,634	65,634	Complete
PHA Wide	1430 Fees & Costs	All		0	0	0	0	Changed
PHA Wide	1502 Contingency	All		0	0	0	0	Changed
PHA Wide	1475 Non-Dwelling Equipment	All		10,000	3,125	3,125	3,125	Complete
001Blackhawk	1450 Site Improvements	001		0	390	390	390	Complete
001 Blackhawk	1460 Interior Rehab	001		143,804	0	0	0	Changed
003 Orton Keyes	1450 Site Improvements	003		0	30,641	30,641	30,641	Complete
003 Orton Keyes	1460 Exterior Rehab	003		250,000	335,530	334,341	334,341	In Progress
008 Scattered Sites	1450 Site Improvements	008		0	15,424	15,424	0	In Progress
014 Low Rises	1460 Interior/Exterior Rehab	014		0	8,501	8,501	7,941	In Progress
016 Scattered Sites	1450 Site Improvements	016		0	5,920	5,920	0	In Progress
017 Scattered Sites	1450 Site Improvements	017		0	2,825	2,825	0	In Progress
PHA Wide	1408 Computer Software Upgrades	All		32,932	32,466	32,466	32,466	Complete
PHA Wide	1408 Service Coordinator-Elderly	All		29,908	26,621	26,621	26,621	Complete
PHA Wide	1408 Staff/Resident Training	All		38,388	43,589	43,589	43,589	Complete
PHA Wide	1408 UPCS Inspections	All		20,040	20,040	20,040	20,040	Complete
PHA Wide	1410 Non-Technical Salaries	All		64,140	64,140	64,140	64,140	Complete
PHA Wide	1410 Sundry	All		1,494	1,494	1,494	1,539	Complete
				<b>656,340</b>	<b>656,340</b>	<b>655,152</b>	<b>630,469</b>	



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name:</b> Rockford Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250103</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2003</b>
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/06  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	308,429	616,856	616,856	616,856
3	1408 Management Improvements	583,290	536,618	536,618	536,618
4	1410 Administration	308,429	308,429	308,429	308,429
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	93,700	278,650	278,650	278,216
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	142,500	413,874	413,874	412,673
10	1460 Dwelling Structures	1,319,135	901,551	901,551	900,748
11	1465.1 Dwelling Equipment—Nonexpendable	60,400	17,250	17,250	15,200
12	1470 Non Dwelling Structures	0	0		
13	1475 Non Dwelling Equipment	0	0		
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	42,000	11,059	11,059	11,059
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	226,405	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>3,084,288</b>	<b>3,084,288</b>	<b>3,084,288</b>	<b>3,079,799</b>
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	1,750	1,750	1,750
24	Amount of line 21 Related to Security – Soft Costs	227,000	342,156	342,156	342,156
25	Amount of Line 21 Related to Security – Hard Costs	445,035	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	57,600	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250103</b> Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		308,429	616,856	616,856	616,856	Complete
PHA Wide	1430 Fees & Costs	All		93,700	278,650	278,650	278,216	In Progress
PHA Wide	1502 Contingency	All		226,405	0	0	0	Changed
001 Blackhawk	1450 Site Improvements	001		40,000	166,869	166,869	166,869	Complete
001 Blackhawk	1460 Exterior Rehab	001		50,000	0	0	0	Changed
003 Orton Keys	1450 Site Improvements	003		20,000	0	0	0	Changed
003 Orton Keys	1460 Interior/Exterior Rehab	003		185,000	168,768	168,768	168,768	Complete
004 Park Terrace	1450 Site Improvements	004		17,500	0	0	0	Changed
004 Park Terrace	1460 Interior/Exterior Rehab	004		154,000	11,326	11,326	11,326	Complete
004 Park Terrace	1465 Appliances	004		16,800	0	0	0	Changed
004 Park Terrace	1495 Relocation	004		16,000	5,028	5,028	5,028	Complete
006 North Main	1460 Interior/Exterior Rehab	006		60,000	49,336	49,336	48,533	In Progress
006 North Maim	1465 Appliances	006		0	2,050	2,050	0	In Progress
007 Fairgrounds	1450 Site Improvements	007		10,000	27,650	27,650	27,650	Complete
007 Fairgrounds	1460 Interior Rehab	007		0	28	28	28	Complete
008 Scattered Sites	1450 Site Improvements	008		50,000	156,678	156,678	155,476	In Progress
008 Scattered Sites	1460 Interior/Exterior Rehab	008		70,000	55,615	55,615	55,615	Complete
009 Olesen Plaza	1460 Interior Rehab	009		75,000	42,823	42,823	42,823	Complete
012 Scattered Sites	1450 Site Improvements	012		0	4,194	4,194	4,194	Complete
012 Scattered Sites	1460 Interior/Exterior Rehab	012		11,000	35,634	35,634	35,634	Complete
013 Scattered Sites	1450 Site Improvements	013		0	6,960	6,960	6,960	Complete
013 Scattered Sites	1460 Interior/Exterior Rehab	013		70,000	78,116	78,116	78,116	Complete
013 Scattered Sites	1495 Relocation	013		0	576	576	576	Complete
014 Low Rises	1460 Interior/Exterior Rehab	014		215,000	390,576	390,576	390,576	Complete
014 Low Rises	1465 Appliances	014		10,000	15,200	15,200	15,200	Complete
014 Low Rises	1495 Relocation	014		10,000	2,269	2,269	2,269	Complete
016 Scattered Sites	1450 Site Improvements	016		0	4,152	4,152	4,152	Complete



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

<b>PHA Name:</b> Rockford Housing Authority	<b>Grant Type and Number</b> Capital Fund Program No: <b>IL06P02250103</b> Replacement Housing Factor No:	<b>Federal FY of Grant:</b> <b>2003</b>
--	---	--

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	9/30/05		09/30/05	09/30/07			
IL22-001 Blackhawk	9/30/05		09/30/05	09/30/07			
IL22-003 Orton Keyes	9/30/05		12/31/04	09/30/07			
IL22-004 Park Terrace	9/30/05		12/31/04	09/30/07			
IL22-006 N. Main Manor	9/30/05		11/30/05	09/30/07			Grant was 97% obligated as of 9/30/05; 100% obligated 11/05
IL22-007 Fairgrounds	9/30/05		12/31/04	09/30/07			
IL22-008 Scattered Sites	9/30/05		09/30/05	09/30/07			
IL22-009 Olesen Plaza	9/30/05		09/30/04	09/30/07			
IL22-012 Scattered Sites	9/30/05		06/30/05	09/30/07			
IL22-013 Scattered Sites	9/30/05		06/30/05	09/30/07			
IL22-014 Low Rises	9/30/05		06/30/05	09/30/07			
IL22-016 Scattered Sites	9/30/05		12/31/04	09/30/07			
IL22-017 Scattered Sites	9/30/05		09/30/05	09/30/07			
IL22-019 Scattered Sites	9/30/05		06/30/05	09/30/07			
IL22-020 Scattered Sites	9/30/05		09/30/04	09/30/07			
IL22-051 Jane Addams	9/30/05		09/30/05	09/30/07			
IL22-052 Brewington Oaks	9/30/05		08/31/04	09/30/07			

## PHA Plan Attachment F

### Optional Public Housing Asset Management Table

<b>Public Housing Asset Management</b>								
<b>Development Identification</b>		<b>Activity Description</b>						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / Disposition <i>Component 8</i>	Designated Housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL22-001 Blackhawk Courts	196	Attachment B	NA	NA	NA		NA	
IL22-003 Orton Keyes	175	Attachment B	NA	NA	NA		NA	
IL22-004 Park Terrace	183	Attachment B	NA	NA	NA		NA	
IL22-051 Jane Addams	84	Attachment B	Redevelopment to begin in upcoming year	Application submitted in 2006	NA		NA	
IL22-052 Brewington Oakes	418	Attachment B	NA	NA	NA		NA	
IL22-006 North Main Manor	187	Attachment B	NA	NA	#1 Elderly Only		NA	
IL22-007 Fairgrounds Valley	210	Attachment B	Redevelopment under review	Application in planning stage	NA		NA	
IL22-008 Scattered Sites	120	Attachment B	NA	NA	NA		NA	
IL22-009 Olesen Plaza	151	Attachment B	NA	NA	#2 Elderly Only		NA	
IL22-012 Scattered Sites Rehab	10	Attachment B	NA	NA	NA		NA	
IL22-013 Scattered Sites	46	Attachment B	NA	NA	NA		NA	
IL22-014 Low-Rises	100	Attachment B	NA	NA	NA		NA	
IL22-016 Scattered Sites – New	49	Attachment B	NA	NA	NA		NA	
IL22-017 Scattered Sites – Rehab	30	Attachment B	NA	NA	NA		NA	
IL22-019 Scattered Sites – FSS	20	Attachment B	NA	NA	NA		NA	
IL22-020 Scattered Sites	20	Attachment B	NA	NA	NA		NA	

## PHA Plan Attachment G

### Resident Advisory Board

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Ron Jackson	515 Seminary St. Apt. 817B, Rockford, IL 61104
H.O.M.E. Board	Benny Miller	515 Seminary St. Apt. 1102B, Rockford, IL 61104
H.O.M.E. Board	Adlene Bowah	2829 Echo St. Rockford, IL 61109
H.O.M.E. Board- COMMISSIONER	Virginia Starks	531 South Third St.-Rockford, IL 61104
H.O.M.E. Board	Thisha Anderson	425 College Ave. Rockford, IL 61104
H.O.M.E. Board	Melvin Bradley	1000 Chamberlain Apt 1006 Rockford, IL 61107
H.O.M.E. Board	Chyrene Mitchell	1000 Chamberlain Apt 207 Rockford, IL 61107
H.O.M.E. Board	Charles Fletcher	1000 Chamberlain Apt 1203 Rockford, IL 61107
H.O.M.E. Board	Mike Mc Donald	505 N. Main St. Apt 402 Rockford, IL 61103
H.O.M.E. Board	Linda Jones	505 N. Main St. Apt 504 Rockford, Il 61103
H.O.M.E. Board	Sheryl Alexander	301 Underwood Rockford, IL 61101
H.O.M.E. Board	Diane Foster	337 Underwood St. Rockford, IL 61101
H.O.M.E. Board	Alice Jenkins	511 N. Church St. Apt 202 Rockford, IL 61103
H.O.M.E. Board	Johnny Simmons	511 N. Church St. Apt 611 Rockford, IL 61103
H.O.M.E. Board	Carla Nabors	4124 Harrison Ave Apt 305 Rockford, IL 61108
H.O.M.E. Board	Yvonne Foseca	4124 Harrison Ave Apt 200 Rockford, IL 61108
H.O.M.E. Board	Robert Heaslip	1514 East State St. Apt 203 Rockford, IL 61108
H.O.M.E. Board	John Tyler	5410 Midvale Dr. #316, Rockford, IL 61108
H.O.M.E. Board	Dyane Dismuke	2217 Richmond. Rockford, IL 61108
H.O.M.E. Board	George Poole	320 Kenilworth Dr., Rockford, IL 61108
Orton Keyes Resident Council	Tamika Hawkins	2802 Echo. Rockford, IL 61109
Orton Keyes Resident Council	Shanika Holmes	634 Score St. Rockford, IL 61109
Orton Keyes Resident Council	Adlene Bowah	2829 Echo St. Rockford, IL 61109

The Resident Advisory Board consists of all Residents on the HOME Board and Resident Councils. These Residents are elected by developments on the third Tuesday of September, every odd year, with the exception of the Low-Rises. They hold their election on the third Tuesday of September, every year

## Attachment H

### Rockford Housing Authority

#### Component 10 (B) Voluntary Conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessments? Eleven
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? Four
- c. How many Assessments were conducted for the PHA's covered developments? Eleven
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: None

Development Name	Number of Units
Blackhawk Courts IL-022-001	196
Orton Keyes IL-022-003	175
Brewington Oaks & Jane Addams IL022-005	502
Fairgrounds Valley IL-022-007	210
Scattered Sites IL-022-008	127
Scattered Sites IL-022-012	10
Scattered Sites IL-022-013	46
Scattered Sites IL-022-016	49
Scattered Sites IL-022-017	30
Scattered Sites IL-022-019	20
Scattered Sites IL-022-020	20

# Attachment I

## Community Service Requirement Policy & Status

The Rockford Housing Authority met with employers that could use intermittent workers to find out what their needs are. A list of those agencies wishing to participate was created for resident distribution.

RHA staff has made the initial contact with all 528 residents that are required to fulfill this mandate and we have documented those in compliance as well as those not in compliance. To date, 131 residents have begun to fulfill the mandate.

Quarterly reminder notices will be sent to residents that are not in compliance prior to their lease expiration. The following is our newly created policy:

### ***1. COMMUNITY SERVICE REQUIREMENT POLICY***

#### *INTRODUCTION*

*Section 512 of the "Quality Housing and Work Responsibility Act" of 1998, entitled "Public Housing Community Service Requirements", imposes a requirement on all adult housing residents, with important exceptions, to participate for a minimum of eight (8) hours per month in community service or in an economic self-sufficiency program. The Rockford Housing Authority may not renew any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who is subject to these requirements and has failed to comply with his or her obligation under this provision.*

#### *REQUIREMENTS*

*All adult public housing household members shall contribute:*

- 1. Eight (8) hours per month of community service (not including political activities) within the local community in which the adult resident resides; or*
- Participate in an economic self-sufficiency program approved by the RHA for eight (8) hours per month.*

#### *TYPES OF COMMUNITY SERVICE*

*Community Service is defined as any volunteer activity designed to improve the quality of life in the City of Rockford, Illinois. Such activities may include, but are not limited to the following.*

- 1. Resident Council membership activities;*
- Participation in local community clean up activities;*
- Participation in PTA, church, school activities or other local community service organization or governmental body;*
- Any other local community service activity approved in advance by the Rockford Housing Authority.*

### EXEMPTIONS

Exemptions are given to any individual who;

1. Is sixty two (62) years of ago or older; or
- Is a blind or disabled individual, as defined in Section 216 or 1614 of the Social Security Act, and who is unable to comply with this section, or who is a primary caretaker of such an individual; or
- Engaged in work activities as defined in Section 407(d) of the Social Security Act, specified below:
  - Unsubsidized employment;
  - Subsidized private-sector employment;
  - Subsidized public-sector employment;
  - Work experience (including work associated with the Refurbishing of publicly assisted housing) if sufficient private sector employment is not available;
  - On-the-job-training;
  - Job-search and job-readiness assistance;
  - Community service programs;
  - Vocational educational training (not to exceed 12 months with respect to any individual);
  - Job-skills training directly related to employment;
  - Education directly related to employment in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency;
  - Satisfactory attendance at secondary school or in a course of study leading to a certificate of general equivalence, in the case of a recipient who has not completed secondary school or received such a certificate; and
  - The provision of childcare services to an individual who is participating in a community service program.

### ANNUAL DETERMINATIONS

The Rockford Housing Authority shall review and determine compliance of the resident's household for this requirement thirty (30) days before the expiration of each lease term (annually).

### NONCOMPLIANCE

If the Rockford Housing Authority determines that a resident subject to this requirement has not fully complied with the community service requirement, the RHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household. Nothing in the act shall prevent a resident from seeking timely redress in court for a failure to renew based upon such noncompliance.

The RHA shall notify the head of household:

- Of the noncompliance;
- That the determination of noncompliance is subject to the RHA Grievance Procedure; and
- That unless the resident enters into an agreement to remedy the noncompliance, the resident's lease will not be renewed.

### REMEDY OF THE NONCOMPLIANCE

In order for the resident to remedy the noncompliance the resident must enter into an agreement before the expiration of the lease term, to complete the community services requirement by contributing as many additional hours as the resident needs in order to comply in aggregate with such requirement over the twelve (12) month term of the lease agreement.

**This requirement applies exclusively to the Rockford Housing Authority Low Income Public Housing Program.**

## Attachment J

### Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing and Urban Development**

OMB Approval No. 2529-0043  
(exp. 4/30/2001)

Office of Fair Housing and Equal Opportunity

HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) <b>Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102</b>		2. Federal Identification: (contract/award no.) <b>IL06-PO22-0104-0103-0203-0105</b>	3. Dollar Amount of Award: <b>\$10,655.15</b>
		4. Contact Person: <b>Paul Lindstrom</b>	5. Phone: (include area code) <b>815-987 3888</b>
		6. Reporting Period: <b>7-1-2005 thru 6-30-2006</b>	7. Date Report Submitted: <b>July 15, 2006</b>
8. Program Code: * <b>3</b>	<b>C</b>	(Use a separate sheet for each program code)	9. Program Name: <b>Capital Fund Program</b>

**Part I: Employment and Training** (\*\* Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade)	0				
Trade – Carpenter	0				
Trade – Plumber	0				
Trade – Painter	0				
Trade					
Other Seasonal workers Temporary Clerical Engineer Temp	0				
Pre-Apprenticeship Building Maintenance Repairer	0				

Pre-Employment Training	0				
<b>Total</b>	0	0			

<b>*Program Codes</b>	3 = Public/Indian Housing	4 = Homeless Assistance	8 = CDBG-State Administered
1 = Flexible Subsidy	A = Development	5 = HOME	9 = Other CD Programs
2 = Section 202/811	B = Operation	6 = HOME-State Administered	10 = Other Housing Programs
	C = Modernization	7 = CDBG-Entitlement	

form HUD-60002 (6/2001)

<b>Part II: Contracts Awarded</b>		
	1. Construction Contracts: %	
	A. Total dollar amount of all contracts awarded on the project	\$928,523
	B. Total dollar amount of contracts awarded to Section 3 businesses	\$22,218
	C. Percentage of the total dollar amount that was awarded to Section 3 businesses	2%
	D. Total number of Section 3 businesses receiving contracts	1
	2. Non-Construction Contracts:	
	A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$311,958
	B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0
	C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0
	D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or non-metropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002 (2/2001)  
Page 2 of 2.

## Attachment J

<b>Section 3 Summary Report</b> Economic Opportunities for Low- and Very Low-Income Persons	<b>U.S. Department of Housing And Urban Development</b>	OMB Approval No. 2529-0043 (exp. 4/30/2001)
Office of Fair Housing And Equal Opportunity		HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102		2. Federal Identification: (contract/award no.) <b>Operations</b>	3. Dollar Amount of Award:
		4. Contact Person: <b>Paul Lindstrom</b>	5. Phone: (include area code) <b>815-987 3888</b>
		6. Reporting Period: <b>7-1-2005 thru 6-30-2006</b>	7. Date Report Submitted: <b>July 15, 2006</b>
8. Program Code: * <b>3</b>	<b>B</b>	(Use a separate sheet for each program code)	9. Program Name: <b>Operations</b>

**Part I: Employment and Training** (\*\* Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	1	0	0	0	
Technicians	0	0	0	0	
Office/Clerical	3	0	0	0	
Construction by Trade (List Trade)					
Trade – Carpenter	0	0	0	0	0
Trade – Plumber	0	0	0	0	0
Trade – Painter	0	0	0	0	0
Trade	0	0	0	0	
Other Seasonal workers Temporary Clerical Engineer Temp	30	30	100%		
Pre-Apprenticeship Building Maintenance Repairer	0	0	0		0

Pre-Employment Training					
<b>Total</b>	34	33			

<b>*Program Codes</b>	3 = Public/Indian Housing	4 = Homeless Assistance	8 = CDBG-State Administered
1 = Flexible Subsidy	A = Development	5 = HOME	9 = Other CD Programs
2 = Section 202/811	B = Operation	6 = HOME-State Administered	10 = Other Housing Programs
	C = Modernization	7 = CDBG-Entitlement	

form HUD-60002 (6/2001)

<b>Part II: Contracts Awarded</b>		
	1. Construction Contracts: %	
	A. Total dollar amount of all contracts awarded on the project	\$2,063,971
	B. Total dollar amount of contracts awarded to Section 3 businesses	\$1,415,000
	C. Percentage of the total dollar amount that was awarded to Section 3 businesses	69%
	D. Total number of Section 3 businesses receiving contracts	2
	2. Non-Construction Contracts:	
	A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$648,971
	B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0
	C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0
	D. Total number of Section 3 businesses receiving non-construction contracts	0

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or non-metropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002 (2/2001)  
Page 2 of 2.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/ training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F) or the number of new hires utilized on the Section 3 covered project (columns B, C and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.

2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.

3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.

4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.

6. Reporting Period: Indicate the time period (months and year) this report covers.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.

9. Program Name: Enter the name of the HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories.

Professionals are defined as people who have special knowledge of an occupation (i.e., supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B:** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C:** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F:** Enter the number of Section 3 residents that were employed and trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount

<p>7. Date Report Submitted: Enter the appropriate date.</p>	<p>of contracts connected with this project/program awarded to Section 3 businesses. Item D: Enter the number of Section 3 businesses receiving awards.</p>
<p>Submit two (2) copies of this report to the to the HUD Field Office of Fair Housing and Equal Opportunity, Program Operations and Compliance Center Director, at the same time the performance report is submitted to the program office. For those programs where such a report is not required, the Section 3 report is submitted by January 10. Include only contracts executed during the reporting period specified in item 8. PHAs/Has are to report all contracts/subcontracts.  * The terms “low-income persns” and “very low -income persons” have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. <b>Low-income persons</b> mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with</p>	<p><b>Part III: Summary of Efforts</b> – Self explanatory adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary’s findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. <b>Very low-income persons</b> mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary’s findings that such variations are necessary because of unusually high or low family incomes.</p>

## **Attachment K Progress Report**

The Rockford Housing Authority has made significant progress on our five-year and annual plans. A needs assessment of our internal computer network was completed during the past year. Based on the recommendations contained in the assessment, the RHA is in the process of implementing a substantial upgrade to the computer network.

With capital funds, we are able to maintain and improve security in our developments with security cameras, security lights, and security guards. We are also able to supply outreach workers in our elderly/disabled high-rise buildings and our three disabled low-rise complexes through capital fund assistance.

A major investment in security was completed during the past year at the RHA. Security Cameras were installed in all of the family sites, which the local police department can monitor from their squad cars. The cameras are modeled after the system used in the City of Chicago and are intended to be overt, with blue flashing strobe lights, in an effort to deter crime. Although the RHA cameras have been in operation for less than a year, the preliminary results are a significant reduction in the level of loitering and associated crime.

Another major improvement project occurred at North Main Manor in the past year. The RHA completed a total renovation of the entire first floor at this elderly building. The completion of the project was marked with an open house to celebrate and market the improvements. The project was so successful the RHA will be renovating all of its high rise and low rise buildings over the next five (5) years.

Elevator upgrades are underway in all of the high-rise and low-rise buildings. This work is scheduled over three (3) years and will address life safety issues as well as emergency generator power to all locations upon completion. Major work items planned for the upcoming year include elevator upgrades (year three) building exterior rehab, site improvements, lobby upgrade, window replacements, and the demolition of Jane Addams family development.

The RHA will continue to strive to improve the quality of life for the residents and the community we serve.

## **Attachment L**

### **Significant Amendments and Substantial Deviations/Modifications to the Plan**

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence which meets one or more of the following criteria:

- Any changes to the organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

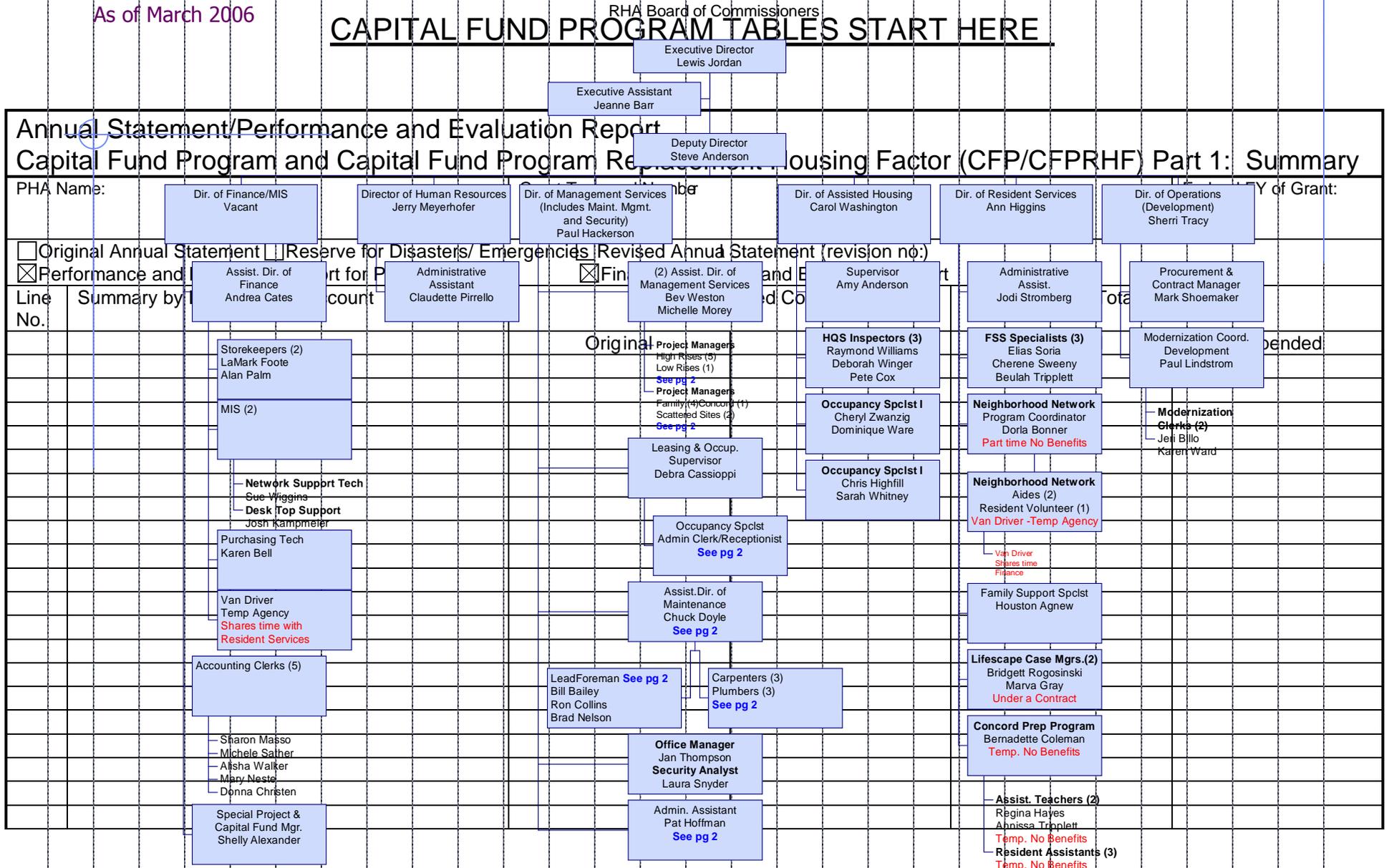
The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

# RHA 2006 Organization

As of March 2006

## CAPITAL FUND PROGRAM TABLES START HERE

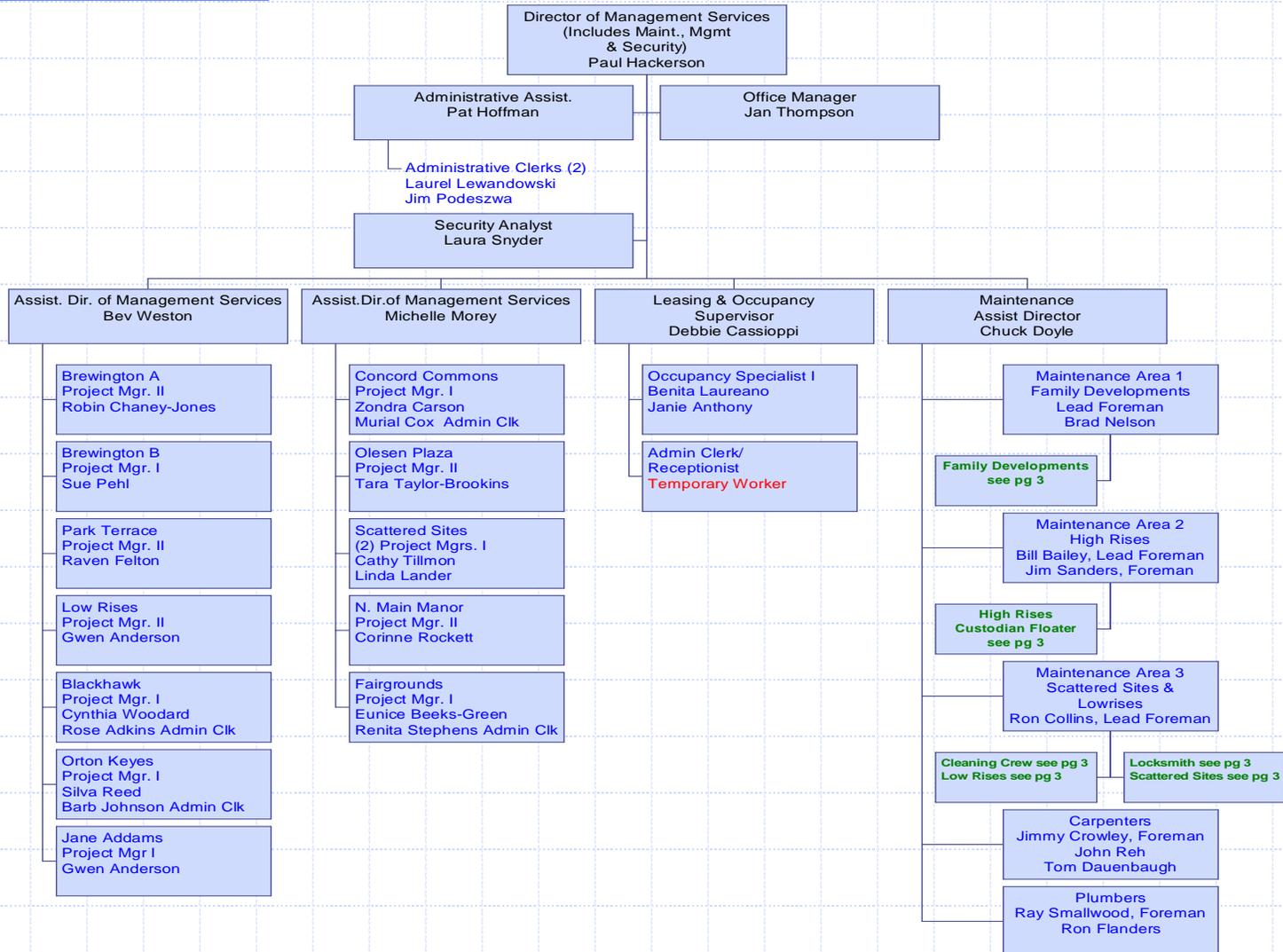


# RHA 2006 Organization

As of March 2006

## Management Services (Management & Maintenance Sections)

Page 2



# RHA 2006 Organization

As of March 2006

## Management Services

(Maintenance Section) Page 3

