

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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**Housing Authority of the City of New London**  
**78 Walden Avenue**  
**New London, CT 06320**

**PHA Plans**

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2006

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name:** Housing Authority of the City of New London

**PHA Number:** CT022

**PHA Fiscal Year Beginning:** 01/2006

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-Year Plan**  
**PHA Fiscal Years 2005 - 2009**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

PHA Goal: Expand the supply of assisted housing

Objectives:

Apply for additional rental vouchers:

Reduce public housing vacancies:

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

Improve public housing management: (PHAS score) 53

Improve voucher management: (SEMAP score) 105

Increase customer satisfaction:

Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)

Renovate or modernize public housing units:

Demolish or dispose of obsolete public housing:

Provide replacement public housing:

Provide replacement vouchers:

Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

Provide voucher mobility counseling:

Conduct outreach efforts to potential voucher landlords

Increase voucher payment standards

Implement voucher homeownership program:

Implement public housing or other homeownership programs:

Implement public housing site-based waiting lists:

Convert public housing to vouchers:

Other: (list below)

### **HUD Strategic Goal: Improve community quality of life and economic vitality**

PHA Goal: Provide an improved living environment

Objectives:

Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:

Implement public housing security improvements:

Designate developments or buildings for particular resident groups (elderly, persons with disabilities)

Other: (list below)

### **HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

Increase the number and percentage of employed persons in assisted families:

Provide or attract supportive services to improve assistance recipients' employability:

Provide or attract supportive services to increase independence for the elderly or families with disabilities.

Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**Annual PHA Plan  
PHA Fiscal Year 2006**

[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

**High Performing PHA**

**Small Agency (<250 Public Housing Units)**

**Administering Section 8 Only**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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### Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### Required Attachments:

- Admissions Policy for Deconcentration
- FY 2006 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

#### Optional Attachments:

- PHA Management Organizational Chart
- FY 2006 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

### Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing	Annual Plan: Rent

	development check here if included in the public housing A & O Policy	Determination
X	Section 8 rent determination (payment standard) policies check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted	Annual Plan: Annual Audit

	under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	
X	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

## **1. Statement of Housing Needs**

[24 CFR Part 903.7 9 (a)]

### **A. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type</b>							
Family Type	Overall	Afford-	Supply	Quality	Access-	Size	Loca-ti
Income <= 30% of AMI	1668	5	5	4	4	3	3
Income >30% but <=50% of AMI	1098	5	5	4	4	3	3
Income >50% but <80% of AMI	1695	3	2	3	4	3	3
Elderly	1160	4	3	4	4	3	3
Families with Disabilities	802	5	4	4	4	3	3
Race/Ethnicity B	4505	4	4	4	4	3	3
Race/Ethnicity A	875	4	4	4	4	3	3
Race/Ethnicity AI	194	4	4	4	4	3	3
Race/Ethnicity H	3459	4	4	4	4	3	3
Race/Ethnicity W	19773	3	3	3	4	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

Consolidated Plan of the Jurisdiction/s

Indicate year: 2004

U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS")

form HUD 50075 (03/2003)

dataset  
 American Housing Survey data  
     Indicate year:  
 Other housing market study  
     Indicate year:  
 Other sources: (list and indicate year of information)

## **B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one) Section 8 tenant-based assistance Public Housing Combined Section 8 and Public Housing Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	251		
Extremely low income <=30% AMI	212	84.5	
Very low income (>30% but <=50% AMI)	29	11.5	
Low income (>50% but <80% AMI)	10	4.0	
Families with children	214	85.3	
Elderly families	12	4.8	
Families with	25	9.9	

Disabilities			
Race/ethnicity W	205	81.7	
Race/ethnicity B	43	17.1	
Race/ethnicity AI	3	1.2	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	90	35.9	
2 BR	112	44.6	
3 BR	43	17.1	
4 BR	6	2.4	
5 BR			
5+ BR			
Is the waiting list closed (select one)? No Yes			
If yes:			
How long has it been closed (# of months)? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
Does the PHA expect to reopen the list in the PHA Plan year? No Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes			

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources

Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction  
Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required  
Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration  
Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program  
Participate in the Consolidated Plan development process to ensure coordination with broader community strategies  
Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

Apply for additional section 8 units should they become available  
Leverage affordable housing resources in the community through the creation of mixed - finance housing  
Pursue housing resources other than public housing or Section 8 tenant-based assistance.  
Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing  
Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance  
Employ admissions preferences aimed at families with economic hardships  
Adopt rent policies to support and encourage work  
Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

Employ admissions preferences aimed at families who are working  
Adopt rent policies to support and encourage work  
Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2005 grants)</b>		
a) Public Housing Operating Fund	675,167	
b) Public Housing Capital Fund	314,441	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	893,206	
f) Public Housing Drug Elimination Program (including any Technical		

Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant	15,000	Unit preparation for occupancy
i) HOME		
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
CAP 501-03	59,332	As defined by CAP
CAP 501-04	328,720	As defined by CAP
6-J	22,047	As defined by CAP
<b>3. Public Housing Dwelling Rental Income</b>		
	453,248	Operating Expenses
<b>4. Other income (list below)</b>		
Interest Income	404	
Other	80,000	
<b>4. Non-federal sources (list below)</b>		
<b>Total resources</b>	<b>2,826,565</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

When families are within a certain number of being offered a unit: (state number)

When families are within a certain time of being offered a unit: (90 Days)

Other: (describe)

- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
  - Rental history
  - Housekeeping
  - Other *Landlord References*
- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

**(2)Waiting List Organization**

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- Community-wide list
  - Sub-jurisdictional lists
  - Site-based waiting lists
  - Other (describe)
- b. Where may interested persons apply for admission to public housing?
- PHA main administrative office
  - PHA development site management office
  - Other (list below)
- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**
1. How many site-based waiting lists will the PHA operate in the coming year?2
  2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
  3. Yes No: May families be on more than one list simultaneously  
If yes, how many lists? 2
  4. Where can interested persons obtain more information about and sign up to be on

the site-based waiting lists (select all that apply)?

PHA main administrative office

All PHA development management offices

Management offices at developments with site-based waiting lists

At the development to which they would like to apply

Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

One

Two

Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

### **(4) Admissions Preferences**

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

Emergencies

Overhoused

Underhoused

Medical justification

Administrative reasons determined by the PHA (e.g., to permit modernization work)

Resident choice: (state circumstances below)

Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to

subsection (5) **Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
*Natural Disaster, Public Action and Condemnation, Fire Victims*

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
- 3 Substandard housing
- 2 Homelessness
- 4 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability

- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote

deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists

If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

Employing new admission preferences at targeted developments

If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8**

assistance program (vouchers, and until completely merged into the voucher program, certificates).

**(1) Eligibility**

- a. What is the extent of screening conducted by the PHA? (select all that apply)
  - Criminal or drug-related activity only to the extent required by law or regulation
  - Criminal and drug-related activity, more extensively than required by law or regulation
  - More general screening than criminal and drug-related activity (list factors below)
  - Other (list below)
  - Credit and eviction records through 3<sup>rd</sup> party verification.*
  
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
  
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
  
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
  
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
  - Criminal or drug-related activity
  - Other (describe below)
  - Information from previous landlords.*

**(2) Waiting List Organization**

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
  - None
  - Federal public housing
  - Federal moderate rehabilitation
  - Federal project-based certificate program
  - Other federal or local program (list below)
  
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
  - PHA main administrative office
  - Other (list below)

**(3) Search Time**

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

*A request for an extension must be submitted in writing with an explanation for such a request.*

**(4) Admissions Preferences**

- a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Lottery

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

The Section 8 Administrative Plan  
Briefing sessions and written materials  
Other (list below)

- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

Through published notices  
Other (list below)

**4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

**(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

- b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

*See page 40 of ACOP*

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

See attachment K – pages 39 - 43 of the NLHA ACOP

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly

families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) 10%
- Other (list below)
- Any Change in Family Composition*

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

The section 8 rent reasonableness study of comparable housing

Survey of rents listed in local newspaper

Survey of similar unassisted units in the neighborhood

Other (list/describe below)

*Fair Market rents*

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)

At or above 90% but below 100% of FMR

100% of FMR

Above 100% but at or below 110% of FMR

Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area

The PHA has chosen to serve additional families by lowering the payment standard

Reflects market or submarket

Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area

Reflects market or submarket

To increase housing options for families

Other (list below)

- d. How often are payment standards reevaluated for adequacy? (select one)  
Annually  
Other (list below)
- e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)  
Success rates of assisted families  
Rent burdens of assisted families  
Other (list below)

## **(2) Minimum Rent**

- a. What amount best reflects the PHA's minimum rent? (select one)  
\$0  
\$1-\$25  
\$26-\$50
- b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.

(select one)

An organization chart showing the PHA's management structure and organization is attached.

A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

– List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing	224	43
Section 8 Vouchers	114	6
Section 8 Certificates	N/A	
Section 8 Mod Rehab	N/A	
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)	N/A	
Other Federal Programs(list individually)	N/A	

**C. Management and Maintenance Policies**

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
  - Admissions and Continued Occupancy Policy, HACNL
  - Occupancy Lease, Grievance procedure, Procurement Policy ,
  - Write-off Policy,
  - Personnel Policy,
  - Public Housing Manager Manual (NAHRO)
  - Financial Management for Local Housing Authorities (NAHRO)
  - Occupancy Manual (NAHRO)
  - Pet Policy, One Strike Policy, Key Tag Policy, Maintenance Plan,
  - Refuse Policy, Fair Housing Policy, Affirmative Action/Equal Employment

(2) Section 8 Management: (list below)

Section 8 Administrative Plan

Section 8 housing Choice Voucher Manual (Nan McKay)

HAP contract, briefing material, Section 8 voucher, Section 8 inspection report, Owner's Handbook

## **6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### **A. Public Housing**

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

PHA main administrative office

PHA development management offices

Other (list below)

### **B. Section 8 Tenant-Based Assistance**

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

PHA main administrative office

Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

## **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)  
*See Table Library – Component 7*

### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund?  
(if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program form HUD 50075 (03/2003)

Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)  
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

Revitalization Plan under development  
Revitalization Plan submitted, pending approval  
Revitalization Plan approved  
Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:

## **8. Demolition and Disposition** -

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	Thames River Apartments
1b. Development (project) number:	CT026P022-01
2. Activity type:	Demolition Disposition
3. Application status (select one)	Approved Submitted, pending approval Planned application
4. Date application approved, submitted, or planned for submission:	(01/01/06)
5. Number of units affected:	
6. Coverage of action (select one)	Part of the development Total development
7. Timeline for activity:	<i>Date unknown pending approval</i> a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined

submissions may skip to component 10.)

**2. Activity Description**

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	Williams Park
1b. Development (project) number:	CT022-002
2. Designation type:	Occupancy by only the elderly Occupancy by families with disabilities Occupancy by only elderly families and families with disabilities
3. Application status (select one)	Approved; included in the PHA’s Designation Plan Submitted, pending approval Planned application
4. Date this designation approved, submitted, or planned for submission:	<u>03/09/2006</u>
5. If approved, will this designation constitute a (select one)	New Designation Plan Revision of a previously-approved Designation Plan?
6. Number of units affected:	99
7. Coverage of action (select one)	Part of the development Total development

**10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	Assessment underway Assessment results submitted to HUD Assessment results approved by HUD (if marked, proceed to next question) Other (explain below)
3. Yes No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	Conversion Plan in development Conversion Plan submitted to HUD on: (DD/MM/YYYY) Conversion Plan approved by HUD on: (DD/MM/YYYY) Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	Units addressed in a pending or approved demolition application (date submitted or approved: Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) Requirements no longer applicable: vacancy rates are less than 10 percent Requirements no longer applicable: site now has less than 300 units Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of**

## **11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

### **A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

### 2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: HOPE I 5(h) Turnkey III Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) Approved; included in the PHA’s Homeownership Plan/Program Submitted, pending approval Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)

- |  |
|--|
| <p>5. Number of units affected:</p> <p>6. Coverage of action: (select one)</p> <p>Part of the development</p> <p>Total development</p> |
|--|

## B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
If yes, list criteria below:

## **12.PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

## A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

Client referrals

Information sharing regarding mutual clients (for rent determinations and otherwise)

Coordinate the provision of specific social and self-sufficiency services and programs to eligible families

Jointly administer programs

Partner to administer a HUD Welfare-to-Work voucher program

Joint administration of other demonstration program

Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

Public housing rent determination policies

Public housing admissions policies

Section 8 admissions policies

Preference in admission to section 8 for certain public housing families

Preferences for families working or engaging in training or education

programs for non-housing programs operated or coordinated by the PHA

Preference/eligibility for public housing homeownership option

participation

Preference/eligibility for section 8 homeownership option participation

Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)



- 6) Develop a tracking procedure for the program.
- 7) Join in Cooperation Agreements with outside Agencies to develop the program.

### **C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
  - Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
  - Informing residents of new policy on admission and reexamination
  - Actively notifying residents of new policy at times in addition to admission and reexamination.
  - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
  - Establishing a protocol for exchange of information with all appropriate TANF agencies
  - Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

## **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
  - High incidence of violent and/or drug-related crime in some or all of the PHA's developments
  - High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
  - Residents fearful for their safety and/or the safety of their children
  - Observed lower-level crime, vandalism and/or graffiti
  - People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
  - Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

Safety and security survey of residents

Analysis of crime statistics over time for crimes committed "in and around" public housing authority

Analysis of cost trends over time for repair of vandalism and removal of graffiti

Resident reports

PHA employee reports

Police reports

Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs

Other (describe below)

2. Which developments are most affected? (list below)

*Thames River Apartments*

*Williams Park Apartments*

### **B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities

Crime Prevention Through Environmental Design

Activities targeted to at-risk youth, adults, or seniors

Volunteer Resident Patrol/Block Watchers Program

Other (describe below)

*Agreement in place with local police to patrol both federal properties on a regular basis.*

3. Which developments are most affected? (list below)

*CT-022-01/CT-022-02*

### **C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan



5. Yes No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
  - Attached at Attachment Attachment J
  - Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
  - Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

RAB did not have any comments – see attachment J

## **B. Description of Election process for Residents on the PHA Board**

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

### 3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
  - Candidates were nominated by resident and assisted family organizations
  - Candidates could be nominated by any adult recipient of PHA assistance
  - Self-nomination: Candidates registered with the PHA and requested a place on ballot
  - Other: (describe)
- b. Eligible candidates: (select one)
  - Any recipient of PHA assistance
  - Any head of household receiving PHA assistance
  - Any adult recipient of PHA assistance
  - Any adult member of a resident or assisted family organization
  - Other (list)
- c. Eligible voters: (select all that apply)
  - All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
  - Representatives of all PHA resident and assisted family organizations
  - Other (list)

## **C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (City of New London)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- *Continued maintenance of public housing stock*
- *Improve security*
- *Improve turnaround of vacant units*
- *Outreach to local agencies*
- *Expand services available for residents*

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

*Strong Police assistance; commitment by the City to our co-operation agreement; and support of Housing Authority management.*

#### **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **Attachments**

Use this section to provide any additional attachments referenced in the Plans.

Attachment A: Statement of Progress in meeting 5 year Plan Mission and Goals

Attachment B: Deconcentration and Income Mixing

Attachment C: Voluntary Conversion to Vouchers

Attachment D: Community Service Requirement

Attachment E: Pet Policy

Attachment F: Operating Budget (To be mailed under separate cover)

Attachment G: Thames River Resident Advisory Board

Attachment H: Resident Advisory Board Recommendations

Attachment I: Resident Membership of the PHA Governing Board

Attachment J: Resident Advisory Board

Attachment K: Page 39-43 of Admissions and Continued Occupancy Policy

Attachment L: Organizational Chart

Certifications will be mailed under separate cover

## **PHA Plan Table Library**

### **Component 7 Capital Fund Program Annual Statement Parts I, II, and II**

#### **Annual Statement Capital Fund Program (CFP) Part I: Summary**

Capital Fund Grant Number 501-05 FFY of Grant Approval: (12/2006)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	34,025
3	1408 Management Improvements	68,050
4	1410 Administration	34,024
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	25,000
8	1440 Site Acquisition	
9	1450 Site Improvement	91,928
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	60,000
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	27,220
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>340,247</b>
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

**Annual Statement**

**Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
HA-Wide	Operating Costs	1406	34,025
HA-Wide	Maintenance Training	1408	10,000
HA-Wide	Asset Management Training	1408	15,000

CT026P022-01-02	Security	1408	43,050
HA-Wide	Administration	1410	34,024
CT026P022-02	Fees & Costs	1430	25,000
CT026P022-02	Sidewalks	1450	32,903
CT026P022-02	Fencing & Landscaping	1450	20,000
CT026P022-01	Entranceways	1450	39,025
CT026P022-01	Security Hardware	1475	35,000
CT026P022-02	Security Hardware	1475	25,000
HA-Wide	Contingency	1502	27,220



**Annual Statement  
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
Operating Costs	03/31/06	03/31/06
Maintenance Trng	09/30/06	12/31/06
Asset Managment	09/30/06	12/31/06
Trng		
Security	12/31/06	03/31/07
Administration	09/30/06	12/31/06
Fees & Costs	12/31/06	03/31/07
Sidewalks	12/31/06	03/31/07
Contingency	12/31/06	03/31/07
Fencing &	06/30/06	12/31/06
Landscaping		
Entranceways	09/30/06	12/31/06
Security Hardware	09/30/06	12/31/06

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
Description of Needed Physical Improvements or Management		Estimated	Planned Start Date

<b>Improvements</b>	<b>Cost</b>	<b>(HA Fiscal Year)</b>
<b>Total estimated cost over next 5 years</b>		



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT26PO2250102 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:)  
  Performance and Evaluation Report for Period Ending: 06/30/05  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	36,000	36,000	36,000	36,000
3	1408 Management Improvements				
4	1410 Administration	33,000	33,000	33,000	33,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,000	22,787	22,787	22,787
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	184,614	268,042	268,042	265,829
11	1465.1 Dwelling Equipment—Nonexpendable	83,215	2000	2000	2000
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	361,829	361,829	361,829	359,616
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26PO2250102 Replacement Housing Factor Grant No:		Federal FY of Grant: 2002	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26PO02250102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CT-02202	New Premier Fencing	1450	1	0	0	0	0	Moved to 2007
CT-022-2	Elevator Upgrade	1465.1	100	83,215	2000	2000	2000	Moved to 2005
CT-022-2	Abatement & Replacement of Asbestos Floors (partial)	1460	109	84,614	84,614	84,614	84,614	Completed
CT-022-2	Upgrade of Balconies/Lounge Areas	1460	10	25,000	25,000	0	0	
CT-022	Operations	1406		36,000	36,000	36,000	36,000	Completed
CT022	Fees & Costs	1430	1	25,000	22,787	22,787	22,787	In Progress
CT022	Administration	1410		33,000	33,000	33,000	33,000	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26PO02250102 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CT022	To correct ADA errors by architect	1460	2	75,000	75,000	268,042	265,829	In Progress
CT022-2	Kitchens	1460	3	0	81,215	28,407	28,407	In Progress





## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT26PO22502-03 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:)  
  Performance and Evaluation Report for Period Ending: 06/30/05  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	5,933	11,866	11,866	11,866
3	1408 Management Improvements				
4	1410 Administration	5,933	0	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	47,466	47,466	47,466	47,466
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	59,332	59,332	53,332	53,332
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation				

### Annual Statement/Performance and Evaluation Report

#### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26PO22502-03 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Measures				

### Annual Statement/Performance and Evaluation Report

#### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P022502-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CT-022-2	New Kitchens Williams Park	1460	100	47,466	47,466	47,466	47,466	Completed
CT-022	Administration	1410	100%	5,933	11,866	11,866	11,866	Completed
CT-022	Operations	1406	100%	5,933	0	0	0	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P022502-03 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	





## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT26PO2250103 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:)  
  Performance and Evaluation Report for Period Ending: 06/30/05  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	28,091	28,091	28,091	28,091
3	1408 Management Improvements				
4	1410 Administration	28,091	28,091	28,091	28,091
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	22,000	593	593	593
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	202,733	224,140	224,140	224,140
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	280,915	280,915	280,915	280,915
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26PO2250103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/05 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P022501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CT-022-2	New kitchens	1460	100	202,733	224,140	224,140	224,140	
CT-022-2-1	Administration Salary	1410	100%	28,091	28,091	28,091	28,091	
CT-022-2-1	Operating Funds	1406	100%	28,091	28,091	28,091	28,091	
CT-022-2	Premier Fencing	1450	100%					
CT-022-2	Fees & Costs	1430	100%	22,000	20,000	593	593	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P022501-03 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	





## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT26PO2250104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004
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Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: 3)
  Performance and Evaluation Report for Period Ending:
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	34,024	65,744	65,744	65,744
3	1408 Management Improvements		65,744		
4	1410 Administration	34,024	32,872		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		24,719		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures		128,000		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition	136,100			
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	136,100			
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency		11,641		
21	Amount of Annual Grant: (sum of lines 2 – 20)	340,248	328,720	65,744	65,744
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26PO2250104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P02250104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Operating Costs	1406	N/A	34,024	65,744	65,744	65,744	
HA-Wide	Phone System	1408	1		10,000			
HA-Wide	Asset Management Training	1408	8		20,000			
HA-Wide	PHM Training	1408	2		8,000			
Thames River	New Offices	022-1 1408	1		2,000			
Wms Park	New Offices	022-2 1408	1		3,000			
HA-Wide	Staff Training	1408	12		13,000			
HA-Wide	Computer Upgrades	1408	15		9,744			
HA-Wide	Staff salaries	1410	5	34,024	32,872			
Thames River	A & E Services	1430	29		12,000			
Wms Park	A & E Services	1430	1		10,919			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P02250104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Wms Park	Environmental Survey	1430			1,800			
Thames River	Prepare Units for Occupancy	1460	29		80,940			
Wms Park	Repair Stone Wall	1460	1		7,000			
Wms. Park	ADA Apartment Upgrade	1460			25,000			
Wms Park	Compactor	1460			11,630			
Thames River	Boiler Installation	1460			3,430			
Thames River	Demolish Buildings	1485	3	136,100				
Thames River	Relocate Residents	1495	124	136,100				
HA-Wide	Contingency Costs	1502	1		11,641			
				340,248	328,720	65,744	65,744	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: New London Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: CT26P02250104 Replacement Housing Factor No:					<b>Federal FY of Grant: 2004</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
Environmental Survey	12/31/04		12/31/04	12/31/04		12/31/04		
Phone System	09/30/05	06/30/06		12/31/05	06/30/06		Design & Installation Delays	
Asset Management Training	12/31/05	09/30/06		12/31/05	12/31/06		Availability of Courses	
PHM Training	12/31/05	06/30/06		12/31/05	09/30/06		New hires	
New Offices	09/30/05	06/30/06		09/30/05	09/30/06			
Staff Training	12/31/05	06/30/06		12/31/05	09/30/06		Availability of Courses	
A & E Services	09/30/05	06/30/06		12/31/05	06/30/06		Re-bid due to spec changes	
Thames River Unit Rehabs	12/31/05	06/30/06		12/31/05			Awaited architectural plans	
Wms. Park Common Areas	03/31/06			09/30/06				
Wms. Park Apts ADA apartment upgrades		9/30/05			9/30/05		Omitted from Revision #1	
Wms. Park Apts Trash Compactor		9/30/05			9/30/05		Emergency Installation	
Thames River		12/31/05			03/31/06		Omitted from revision #2	



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT26P02250105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
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Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: 3 )
  Performance and Evaluation Report for Period Ending:
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	68,050	62,888		
3	1408 Management Improvements	68,050	62,888		
4	1410 Administration	34,024	31,444		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30,903	20,903		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	112,000	115,000		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	27,220	21,318		
21	Amount of Annual Grant: (sum of lines 2 – 20)	340,247	314,441		
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT26P02250105 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT026P02250105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Operating Costs	1406		68,050	62,888			
Wms Park	Security	1408		17,400	17,288			
Thames River	Security	1408		26,100	24,100			
HA-Wide	Asset Management Training	1408		7,550	7,000			
HA-Wide	Development Based Acct Tng	1408		5,000	4,500			
HA-Wide	Maint Training Including:	1408		12,000	10,000			
	HVAC							
	Plumbing							
	Electrical							
HA-Wide	Salaries	1410		34,024	31,444			
Thames River	A & E Services	1430		2,903	2,903			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: New London Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: CT026P02250105 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Wms Park	A & E Services	1430		8,000	8,000			
HA-Wide	Needs Assessment	1430		10,000	10,000			
CT26P022001	Thames River Lighting	1460		10,000	10,000			
	Thames River Entrance Doors	1460		12,000	0			
CT26P022002	Williams Park Elevators	1460		90,000	98,005			
CT26P022002	Wms Park ADA Apartments	1460			0			
CT26P022002	Williams Park Compactor	1460			0			
CT26P022001	Thames River Burner Repairs	1460			2,000			
CT26P022001	Thames River Elevator Repairs	1460			4,995			
HA-Wide	Contingency	1502		27,220	21,318			
	Total CFP Estimated Cost			340,247	314,441			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: New London Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: CT26P0225105 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Wms Park Security	12/31/05	12/31/06		12/31/05	12/31/06		On-going
Thames River Security	12/31/05	12/31/06		12/31/05	12/31/06		On-going
Asset Management	3/31/06	9/30/06		06/30/06	12/31/06		New Hires
Devel Based Training	3/31/06	9/30/06		06/30/06	12/31/06		Course Availability
Maintenance Training	3/31/06	9/30/06		06/30/06	12/31/06		Course Availability
Thames River A&E Svcs	12/31/06			12/31/06			
Wms Park A&E Svcs	12/31/06			12/31/06			
Needs Assessment	3/31/06			06/30/06			
Thames River Lighting	6/30/06			09/30/06			
Thames River Doors	6/30/06	-		09/30/06	-		Removed due to CAP Fund reduction
Wms Park Elevators	3/31/06			03/31/06			
Wms. Park ADA Apts.		9/30/05	-		9/30/05	-	Moved to 501-04
Wms. Park Compactor		9/30/05	-		9/30/05	-	Moved to 501-04

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: New London Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: CT26P0225105 Replacement Housing Factor No:			Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	

**Capital Fund Program Five-Year Action Plan**  
**Part I: Summary**

PHA Name New London Housing Authority		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY: 2006	Work Statement for Year 3 FFY Grant: PHA FY: 2007	Work Statement for Year 4 FFY Grant: PHA FY: 2008	Work Statement for Year 5 FFY Grant: PHA FY: 2009
	Annual Statement				
HA-Wide Operations		68,050	68,050	68,050	68,050
HA-Wide Mgmt Impr		68,050	68,050	68,050	68,050
HA-Wide Admin		34,024	34,024	34,024	34,024
CT26PO22001		54,903	125,000		
CT26PO22002		80,000	17,903	142,903	142,903
Contingency		27,220	27,220	27,220	27,220
CFP Funds Listed for 5-year planning		340,247	340,247	340,247	340,247

<b>Annual Statement/Performance and Evaluation Report</b>						
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>						
<b>Part III: Implementation Schedule</b>						
PHA Name: New London Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: CT26P0225105 Replacement Housing Factor No:			Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	
Replacement Housing Factor Funds						

<b>Capital Fund Program Five-Year Action Plan</b>						
<b>Part II: Supporting Pages—Work Activities</b>						
Activities for Year 1	Activities for Year :_2006 FFY Grant: PHA FY:			Activities for Year: 2007 FFY Grant: PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	HA-Wide	Operations	68,050	HA-Wide	Operations	68,050
<b>Annual</b>	HA-Wide	Management Improv.		HA-Wide	Management Improv.	
Statement	HA-Wide	Maintenance Training	10,000	HA-Wide	Maintenance Training	4,000
	HA-Wide	Asset Mgmt Training	15,000	HA-Wide	Asset Mgmt Training	10,000
	HA-Wide	Security	43,050	HA-Wide	Security	43,050
	HA-Wide	Administration	34,024	HA-Wide	PHM Training	6,000
	CT026P022001	Doors & Windows	54,903	HA-Wide	Computer Training	5,000
	CT026P022002	Bathrooms	88,000	HA-Wide	Administration	34,024





## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: New London Housing Authority	Grant Type and Number Capital Fund Program Grant No: CT26P02250106 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006
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Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: )
  Performance and Evaluation Report for Period Ending:
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	34,025			
3	1408 Management Improvements	68,050			
4	1410 Administration	34,024			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	91,928			
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	60,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	0			
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	27,220			
21	Amount of Annual Grant: (sum of lines 2 – 20)	340,247			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: New London Housing Authority			Grant Type and Number Capital Fund Program Grant No: CT26P02250106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs	43,050			
26	Amount of line 21 Related to Energy Conservation Measures				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program Grant No: CT026P02250106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Operating Costs	1406		34,025				
HA-Wide	Maintenance Training	1408		10,000				
HA-Wide	Asset Management Training	1408		15,000				
CT026P022-01 02	Security	1408		43,050				
HA-Wide	Administration	1410		34,024				
CT026P022-02	Fees & Costs	1430		25,000				
CT026P022-02	Sidewalks	1450		32,903				
CT026P022-02	Fencing & Lanscaping	1450		20,000				
CT026P022-01	Entranceways	1450		39,025				
CT026P022-01	Security Hardware	1475		35,000				
CT026P022-02	Security Hardware	1475		25,000				
CT026P022-01	Relocation Costs	1495.1		0				
HA-Wide	Contingency	1502		27,220				
Total CFP Estimated Cost				340,247				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: New London Housing Authority		Grant Type and Number Capital Fund Program No: CT026P02250206 Replacement Housing Factor No:					Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
Operating Costs	03/31/06			03/31/06				
Maintenance Training	09/30/06			12/31/06				
Asset Management Training	09/30/06			12/31/06				
Security	12/31/06			03/31/07				
Administration	09/30/06			12/31/06				
Fees & Costs	12/31/06			03/31/07				
Sidewalks	12/31/06			03/31/07				
Contingency	12/31/06			03/31/07				
Fencing & Landscaping	06/30/06			12/31/06				
Entranceways	09/30/06			12/31/06				
Security Hardware	09/30/06			12/31/06				

**Capital Fund Program Five-Year Action Plan**  
**Part I: Summary**

PHA Name New London Housing Authority		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY: 2007	Work Statement for Year 3 FFY Grant: PHA FY: 2008	Work Statement for Year 4 FFY Grant: PHA FY: 2009	Work Statement for Year 5 FFY Grant: PHA FY: 2010
	Annual Statement				
HA-Wide Operations		34,025	34,025	34,025	34,025
HA-Wide Mgmt Impr		68,050	68,050	68,050	68,050
HA-Wide Admin		34,024	34,024	34,024	34,024
CT26PO22-01		100,000	125,000		
CT26PO22-02		76,928	51,928	176,928	176,928
Contingency		27,220	27,220	27,220	27,220
CFP Funds Listed for 5-year planning		340,247	340,247	340,247	340,247
Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year :_2007 FFY Grant: PHA FY:			Activities for Year: 2008 FFY Grant: PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<b>See</b>	HA-Wide	Operations	34 ,025	HA-Wide	Operations	34,025
<b>Annual</b>	HA-Wide	Management Improvements:		HA-Wide	Management Improvements:	
Statement	HA-Wide	Maintenance Training	4,000	HA-Wide	Maintenance Training	10,000
	HA-Wide	Asset Mgmt Training	10,000	HA-Wide	Asset Mgmt Training	15,000
	HA-Wide	Security	43,050	HA-Wide	Security	43,050
	HA-Wide	PHM Training	6,000	HA-Wide	Administration	34,024
	HA-Wide	Computer Training	5,000	CT026P022-02	Boilers	176,928
	HA-Wide	Administration	34,024	HA-Wide	Contingency	27,220
	CT026P022-01	Replace HW Heating System	30,000			
	CT026P022-02	Fence & Landscaping	76,928			
	HA-Wide	Contingency	27,220			
	CT026P022-01	Repair Parking Lot Retaining Wall	10,000			
	CT026P022-01	New Exhaust Fans-all 3 bldgs.	15,000			
	CT026P022-01	Compactor Room Doors – Bldgs A & C	35,000			
	CT026P022-01	Compactor Room Electrical	10,000			

Total CFP Estimated Cost	\$ 340,247			\$ 340,247
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**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year: 2009 FFY Grant: PHA FY:			Activities for Year: 2010 FFY Grant: PHA FY:		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
HA-Wide	Operations	34,025	HA-Wide	Operations	34,025
	Management Improvements--			Management Improvements--	
HA-Wide	Maintenance Training	4,000	HA-Wide	Maintenance Training	4,000
HA-Wide	Asset Mgmt Training	10,000	HA-Wide	Asset Mgmt Training	10,000
HA-Wide	PHM Training	6,000	HA-Wide	PHM Training	6,000
HA-Wide	Computer Training	5,000	HA-Wide	Computer Training	5,000
HA-Wide	Administration	34,024	HA-Wide	Administration	34,024
CT026P0220-02	Security	43,050	CT026P0220-02	Security	43,050
CT026P0220-02	Roof	176,928	CT026P0220-02	Brick Pointing/Driveway	176,928
HA-Wide	Contingency	27,220	HA-Wide	Contingency	27,220

Total CFP Estimated Cost	\$ 340,247			\$ 340,247
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## **ATTACHMENT A**

### **Statement of Progress in Meeting 5 year Plan Mission and Goals**

Asset management has been instituted and with your help we have received funding to provide training for all essential staff. Each property manager, the Director of Finance, Interim Director of Operations and Acting Executive Director has attended training for “Strategically positioning your Agency for Asset Management” The initial stages of budgeting work is also being accomplished by our finance staff in order to convert our general ledger. We have witnessed initial results of restructuring out agency to Development Based Management under a Property Manager. Stronger lease enforcement, rent collections and work procedures have been installed and a better tracking of work orders instituted. In addition, evictions of those who would not pay rent or were causing criminal problems within the development has increased. We believe, with hard work and commitment, that Asset Management is taking hold at this agency and will prove to be the correct way to manage public housing.

Security has been a problem at both federal developments. Last years CFP allowed the agency to hire off duty police officers to patrol the area and remove those who would cause criminal activities to flourish. With a close cooperative spirit we are in the process of making these buildings far more secure and insuring decent, SAFE and sanitary housing and in good repair. We look forward to your continued approval of the necessary security funds in this coming years plan.

A new Admission and Continued Occupancy policy has been developed for our federal programs and has been submitted to HUD, after formal board approval. A copy of the ACOP and the resolution approving same has been submitted to HUD previously. The new ACOP also includes the full provisions of the One Strike Policy and will allow for stronger lease enforcement. A new lease, in accordance with the ACOP, is now being promulgated and will be submitted in the near future.

Funds have been received for the complete renovations of 29 units at our Thames River development. An Architect will be selected within the next four weeks to develop plans and specifications for this work, bids will be sought in accordance with our Procurement Policy and work will then begin. We anticipate a short turnaround time on this work and full occupancy by June of 2006.

Discussions are now underway with Connecticut Housing Finance Agency in an attempt to secure proper funding for the renovations of all of our state units. As you are aware, vacancies in our state units was widespread. Sixty Six units out of 131 apartments at our 202 Colman Street development were vacant. When Asset Management was instituted a goal of filling all of those units within four months was established. The goal was met! We are now attempting to fill all of our vacancies at our State Moderate Rental developments. The goal is filling eight units per month. After a rocky start we are now attempting to satisfy that goal. Some units are in extensive disrepair and we will need state funding. We have been in consultation with the state to determine if funds will be

available. The understanding of this agency is that funds are available within the state, but the question remains as to whether those funds will be made available to this agency.

## **Attachment B**

### **Deconcentration and Income Mixing**

A new Admission and Continued Occupancy Policy has been development which includes Deconcentration and Income Mixing. Federal guidelines have been explained to the staff and copies of the new ACOP have been made available. In addition a new lease will be prepared which include the provisions for this subject.

## **Attachment C**

### **Voluntary Conversion to Vouchers:**

No report is needed as we do not have a Voluntary Conversion to Vouchers at this point. If Thames River apartments are in fact sold and the buildings disposed of then the agency would need additional vouchers in order to relocate the 125 residents of this complete.

## **Attachment D**

### **Community Service Requirement:**

Below you will find a copy of an extract of minutes from November 30, 2005 board meeting and policy to implement Community Service Requirement.

**NEW LONDON HOUSING AUTHORITY  
78 WALDEN AVENUE  
NEW LONDON, CT 06320**

**COMMUNITY SERVICE POLICY**

**Introduction**

The Quality Housing and Work Responsibility Act of 1998 mandates Public Housing Authorities to require that all eligible adults living in public housing comply with community service requirements.

**Requirements**

Each adult resident of the New London Housing Authority shall:

- Contribute 8 hours per month of community service (not including political activities) within the New London community; or
- Participate in a economic self-sufficiency program for 8 hours per month; or
- Perform 8 hours per month of combined activities (community service and economic self-sufficiency program).

**Exemptions**

The New London Housing Authority shall provide an exemption from the community service requirement for any individual who:

- Is 62 years of age or older;
- Is a blind or disabled individual, as defined under Section (i) (I) or 1614 of the Social Security Act, and who is unable to comply with this section, or is a primary caretaker of such individual;
- Is engaged in a work activity as defined in Section 407(d) of the Social Security Act;
- Meets the requirements for being exempted from having to engage in a work activity under Part A of Title IV of the Social Security Act, or under any other welfare program of the State in which the public

- housing agency is located, including a State-administered welfare-to-work program; or
- Is in a family receiving assistance under a State program funded under Part A of title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such program.

Exempt status will be determined on an annual basis at the time of recertification or at any at the request of the tenant.

### **Definition of Community Service**

Community Service is defined as the performance of voluntary work or duties that are a public benefit and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community.

The New London Housing Authority shall give residents the greatest choice possible in identifying community service opportunities.

### **Definition of Economic Self-Sufficiency Programs**

For purposes of satisfying the community service requirement, participating in an economic self-sufficiency program is defined as any program designed to encourage, assist, train, or facilitate economic independence.

The economic self-sufficiency programs can include:  
Job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeship or any other program necessary to ready a participant to work (i.e. substance abuse or mental health treatment).

### **Annual Determinations**

The New London Housing Authority shall, at least 30 days before the expiration of each lease term, review and determine the compliance of the resident with the community service requirement.

- Determinations shall be made in accordance with the principals of due process.
- Eligibility and compliance will be verified annually at the time of recertification.
- Qualifying activities administered by an organization other than the New London Housing Authority must be independently verified.
- Tenants may not self-certify.

### **Non-Compliance**

If the New London Housing Authority determines that a resident has not complied with this requirement, the New London Housing Authority shall notify the resident that:

- The determination of non-compliance is subject to the New London Housing Authority grievance procedures;
- Unless the resident enters into an agreement to comply with the requirement, the resident's lease will not be renewed or extended.
- The NLHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household, unless the NLHA enters into an agreement, before the expiration of the lease term, with the resident providing for the resident to cure any noncompliance with the community service requirement, by participating in an economic self-sufficiency program for or contributing to community service as many additional hours as the resident needs to comply in the aggregate with such requirement over the 12-month term of the lease.

### **New London Housing Authority Responsibilities**

The New London Housing Authority will ensure that:

- All community service programs are accessible;
- The conditions under which the work is to be performed are not hazardous;
- The work is not labor that would be performed by the New London Housing Authority's essential maintenance and property services, or
- The work is not otherwise unacceptable.

### **Additional Information**

All requests for information or assistance in fulfilling this requirement should be directed to the New London Housing Authority's Resident Service Coordinator or your Property Manager.

(An extract of the minutes from the November 30, 2005 Board of Commissioners Meeting as recorded and transcribed)

**Community Service Plan**

The Community Service plan is something HUD has asked us to implement. This policy requires board approval. This policy will only impact Thames River and the residents there. Any resident that is elderly or disabled is exempt as well as any resident that is already involved in an education program or a volunteer program in the community would also meet the requirements of the 8 hours per month. They can volunteer for our food pantry program at any of our sites, they can to a resident training program that HUD provides or that we may offer. This program is very accessible to our residents. This will be done at the time of certification by our Property Manager.

Chairman Strafaci requested to summarize it and let the residents know it is available if they want to read it.

Questions arose regarding eviction if a tenant does not comply. Mr. Leco mentioned he has never heard of someone being evicted for not complying with this policy.

Chairman Strafaci moved to accept the Community Service policy. Seconded by Commissioner Maynard-Adams.

*Motion Passed Unanimously – 5-0*

## **Attachment E**

### **Pet Policy:**

Below you will find a copy of the agencies Pet Policy and an extract of minutes from February 8, 2005 that adopted the policy.

## **Overview**

This policy details the requirements for a tenant to keep a pet at HACNL Federal Properties (Williams Park Apartments and Thames River) (CT 26-022-002) per the regulations of the U.S. Department of Housing and Urban Development. The HACNL will not grant permission to keep a pet to any tenant(s) or prospective tenant(s) who reside or will reside at any other HACNL development.

Certain aspects of this policy does not apply to animals that are used to assist persons with disabilities provided that the animal has been trained to assist persons with that specific disability and the animal actually assists the person with that specific disability. (See Rule 22 below). However, the pet policy does not exempt such a tenant from the remaining policy requirements as well as the requirements of the lease that prohibit any conduct which disturbs other tenants or threatens the physical or social environment.

## **Requirements**

The HACNL will utilize the following procedures in implementing the pet policy.

**Obtaining HACNL Permission:** If an eligible tenant or prospective tenant wishes to obtain permission to keep a pet, HACNL staff will meet with the prospective pet owner to explain the Housing Authority policy and review the pet rules. If HACNL finds a tenant or prospect tenant eligible to keep a pet, the tenant or prospective tenant must submit to the Housing Authority a completed Pet Permit and Agreement Form, and pay the require pet security deposit.

The Authority reserves the right to deny permission to house a pet which are or may be in the sole judgment of the Authority vicious or dangerous, or which are large in stature, exceeding 20 pounds in weight.

**Failure to Obtain Written Permission:** If a tenant has not obtained written permission to keep a pet but does so anyway, the HACNL will seek to evict the tenant. If HACNL finds any unauthorized pet outside a tenant's apartment, as in their backyard or area in their exclusive control or a common area, HACNL will have the pet removed.

**Complaints against Pet Owners:** In the event of complaints against approved pet owners, the HACNL shall work with the pet owner to resolve the complaints informally. If the complaints are not resolved and/or there has been a violation of the pet rules, HACNL shall impose fines in accordance with Rule 21 below.

If there are three violations, HACNL may at its sole discretion notify the tenant to remove the pet with ten (10) days (immediately if the animal is deemed "vicious"), terminate the pet owner's tenancy or both. Any unresolved complaints may be

## Pet Policy

the subject of a grievance by the tenant under established grievance procedures. Except, animals deemed “vicious” by HACNL must be removed from HACNL property pending grievance.

Amending Pet Rules: The pet policy and rules may be changed at any time by HACNL provided that tenants are given an opportunity to comment and with 30 days advance notice.

### Rules

- 1) Any elderly or disabled tenant or prospective tenant of HACNL Federal Properties (CT-26-022-002) who wishes to keep a pet shall request permission in writing, meet with the HACNL Property Manager or the Leasing staff, and submit a photo of the pet and other required documentation.
- 2) If approved by the Authority, a Pet Permit, and Agreement, (Amendment of Dwelling Lease) shall be signed immediately by the tenant, with original to the tenant file and a copy in a general pet file. This Dwelling Lease Amendment contains the rights and responsibilities of the tenant and management with respect to pet ownership.
- 3) Only a common household pet is permitted. Common “household” pets shall be limited to domesticated dogs, cats, fish, birds, and turtles that are traditionally kept in the home rather than for commercial or other purposes.
- 4) The tenant is required to provide a picture of the pet.
- 5) The number and size of the pet is limited to one type of pet as follows:
  - a) Dogs and cats – limit of one dog or cat per household – A dog cannot exceed 20 pounds; or
  - b) Birds – limit two per household, no larger than a parakeet – Birds must be kept in a cage at all times; or
  - c) Fish – limit of one tank per household with a maximum capacity of 20 gallons, and no more than 20 small non-poisonous fish; or
  - d) Turtles – no more than two per household, small size. Turtles must be kept in a cage or other container at all times.
- 1) All dog owners must present proof that their pet is registered with the City of New London and identification tags must be worn at all times.
- 2) The tenant must be able to maintain control over their pet.
- 3) The tenant must maintain a pet liability insurance policy of at least \$100,000, and shall include HACNL as a co-insured.

## Pet Policy

- 4) Dogs and cats must remain within the unit and not be allowed outside, unattended at any time. In addition, dogs and cats are not allowed in the patio area at any time.
- 5) No chaining of a dog or cat is permitted at anytime.
- 6) Dogs must be walked while on a leash and all droppings must be removed and disposed of by the person walking the animal. Failure to do so will result in a \$50.00 per incident. Units, yards, and Housing Authority property must be kept free of odors and insect infestation.
- 7) Cat litter boxes are required, and must be maintained in a sanitary manner and be kept free of odors and insect infestation.
- 8) Dogs and cats must be neutered or spayed with proof of licensing and inoculations and the name of the veterinarian provided. Owners must provide a certification each year at the time of their annual reexamination that the pet continues to be in good health, has all required vaccinations, is properly licensed, and is covered by pet liability insurance. HACNL assumes no responsibility for reminding a tenant to stay current with licensing and certification of neutering/spaying or re-examination.
- 9) Any animal that is used to threaten either people or other animals or does threaten to attack or attacks will be deemed "vicious" and barred from the development. The tenant shall immediately remove the animal from the development. If the tenant does not immediately remove the animal, the tenant will be in material violation of his/her lease, and may be evicted.
- 10) HACNL, at its sole discretion, may randomly and periodically inspect the units of pet owners with appropriate notice to ensure compliance.
- 11) A pet must be restrained and prevented from digging, gnawing, chewing, scratching, or otherwise defacing property including doors, walls, windows, screens, floors coverings, other units, common areas, buildings, landscaping or shrubs.
- 12) No pet is allowed at any time in community/recreation rooms, laundry rooms or other interior or exterior sitting areas including the patio.
- 13) Pet owners shall be liable for damage caused by their pet. HACNL shall require of the tenant payment of a pet deposit of \$200. If the tenant's pet deposit does not cover damages, management and the tenant will agree on a payment plan to pay for the damage as well as replacement of the pet deposit. The pet deposit is separate from, and in addition, to any security deposit held on behalf of the tenant by the HACNL. The pet deposit will be refunded to the pet owner within 30 days of the day the pet is removed or within 30 days of the day the tenant

## Pet Policy

vacates the unit, whichever comes first, less deductions, detailed in writing and reasonably related to the regulation of pets.

- 14) Tenants must board their pet (except for fish) away from the development or make other arrangements for the care of their pet when they intend to leave their unit for 24 hours or more. The Pet Permit and Agreement requires tenants to provide the HACNL with the name and phone number of relative or friend who has agreed to assume responsibility for the pet in the event of sudden illness or death of the tenant or if the tenant disputes the determination that the animal is vicious. The HACNL reserves the right to consider the presence of an unattended pet an emergency, and will enter the unit to remove the pet.
  - 15) HACNL staff, including maintenance personnel, reserve the right to refuse to enter a unit to perform work where there is an unattached animal.
  - 16) Pet owners are expected to exercise responsible and courteous behavior so that the presence of their pet on the property in no way violates the rights of others to peaceful enjoyment of the premises. A tenant will be fully responsible for any disturbance or injury to other tenants or HACNL staff caused by its pet. Any disturbance or injury will be a violation of the pet policy and rules and the tenant's lease, and HACNL may at its sole discretion require the tenant to remove the pet immediately or within ten (10) days, terminate the pet owner's tenancy or both.
  - 17) The HACNL may impose fines upon tenants for the violation of any pet rule contained herein. At the time a pet owner first violates any rule, the HACNL will send the owner a written warning and no fine will be assessed. The second time that an owner violates the same rule, or any other, the HACNL will fine the tenant \$50.00. The HACNL may assess additional \$50.00 fines for subsequent violations, and may request the tenant to remove the pet or be subject to eviction after three violations. A tenant will be responsible for all legal costs, fees, and charges incurred by HACNL for the purpose of enforcing this policy under the terms of its lease.
  - 18) Tenants or prospective tenants who claim that a particular animal is used to assist persons with disabilities and who want to be exempt from the certain provisions of these Pet Rules must provide HACNL with:
    - a) A certification that the tenant or prospective tenant or a member of his or her family is a person with a disability; and
    - b) Documentation that the animal has been trained to assist persons with that specific disability and actually assists the person with that disability.
- 1) These Pet Rules are incorporated by reference into the Lease.

HOUSING AUTHORITY OF THE CITY OF NEW LONDON

PET PERMIT AND AGREEMENT

I acknowledge that I have read, understand and agree to comply with all aspects of HACNL's Pet Policy.

I also understand that I must give to the HACNL the name of an individual or Agency who will be contacted should I become incapable of caring for my pet because of illness, incapacitation or death, and that person or agency must also sign this agreement. That person or Agency is:

---

NAME

---

ADDRESS

---

PHONE NO.

---

RELATIONSHIP

The pet I wish to keep in my dwelling unit is:

---

DESCRIPTION

---

NAME

---

HOUSING AUTHORITY REPRESENTATIVE

---

RESIDENT

---

RESIDENT

---

EMERGENCY CARE PROVIDER

Pet Policy

(An Extract of Minutes from the February 08, 2005 Board of Commissioners Meeting as recorded and transcribed)

Interim Director of Operations Joseph A. Abrams, PHM requested that the Board amend the Pet Policy to strike Williams Park and include all federal properties. Chairman Strafaci moved to strike 127 Hempstead and include all federal properties. Seconded by Commissioner Maynard-Adams.

*unanimously*

*Motion approved*

## **Attachment F**

### **Operating Budget:**

You will find a copy of the agencies Operating Budget will be mailed under separate cover.

## **Attachment G**

### **Thames River Resident Advisory Board:**

Thames River resident organization is in the process of being reorganized and the President of Williams Park development has been involved in the submission of this plan.

## **Attachment H**

### **Resident Advisory Board Recommendations:**

The plan as submitted was not objected to by the RAB.

## **ATTACHMENT I**

### **Resident Membership of the PHA Governing Board**

The Board of Commissioners of the HACNL is comprised of five members, one of whom is appointed as a Resident Commissioner and resides in a State Development. The State of Connecticut and HUD both have requirements that a resident serve on the Board of Commissioners. There is no resident commissioner directly appointed who resides in the Federal program.

#### **ENVIRONMENTAL ASSESSMENT:**

Environmental Assessments have been completed for our Federal property and a copy of the documents are being forwarded under separate cover.

#### **DISPOSITION**

A letter has been received by your office allowing for the advertising of the RFP. We assure you that no further steps will be taken until and unless HUD approval is granted. A copy of the communication from HUD is also attached.

The agency is presently in the process of developing and soliciting proposals for the disposition of Thames River. A marketing study has been suggested by the Rosenberg Group and the Board of Commissioners has agreed. It is our intent to have the study completed and a Disposition Application submitted shortly to your office.

## ATTACHMENT J

### RESIDENT ADVISORY BOARD

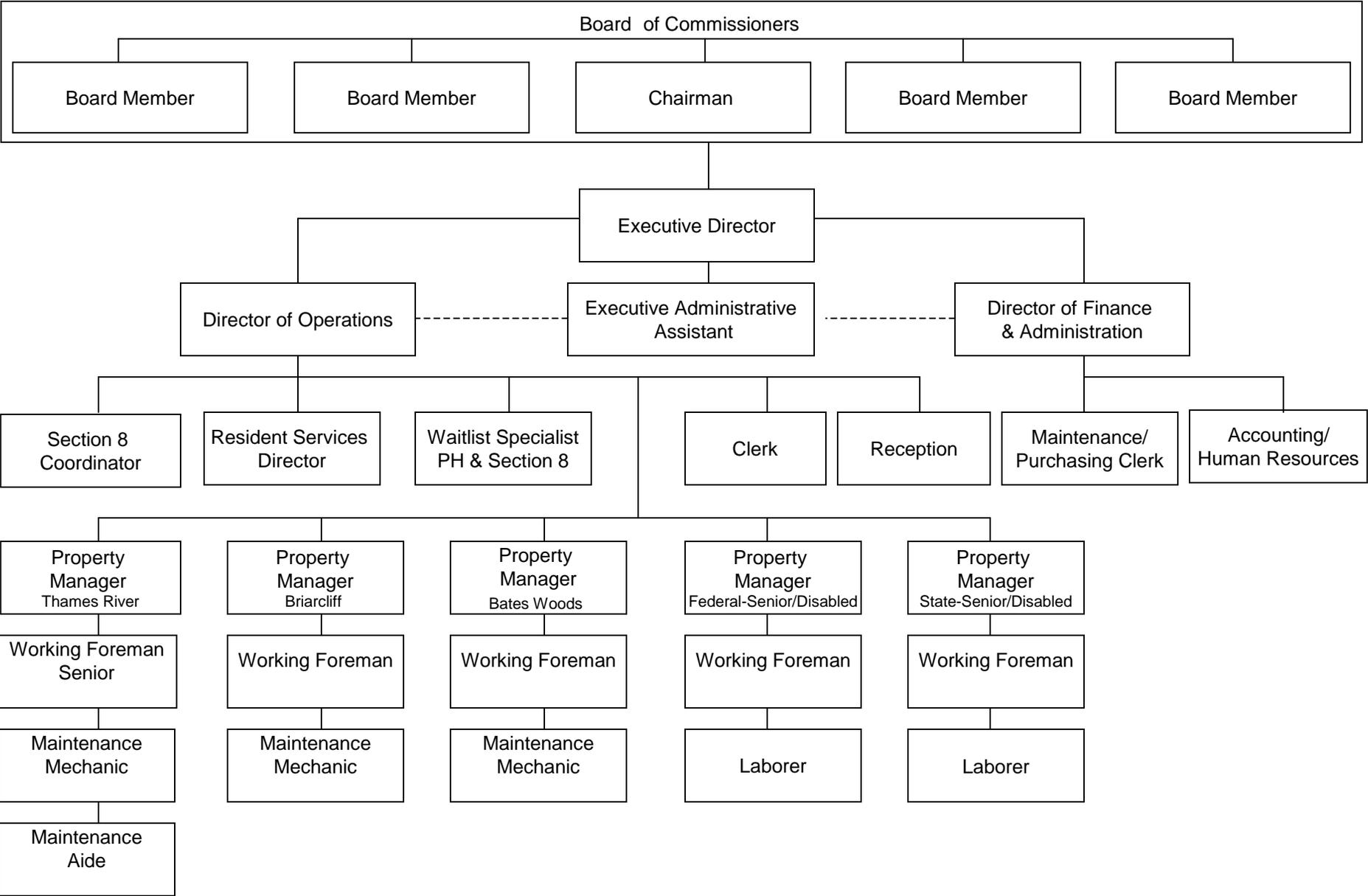
NLHA does not have an official representative from Thames River. Marie Soler of 127 Hempstead Street (Williams Park) has served along with a second Co-Chair Beverly McFee who has since passed away.

**ATTACHMENT K**

**Page 39 43 of ACOP**

See 4. PHA Rent Determination Policies, sub-section 1 income Based Rent Policies, Part C, 2.

# Attachment L Organizational Chart 05-06





## **Housing Authority of the City of New London**

78 Walden Avenue -New London -Connecticut, 06320

(860) 443-2851- Fax (860) 447-8879

TDD# 1-800-545-1833 ext. 575

### **Board of Commissioners**

#### **Chairperson**

Elaine Maynard-Adams

#### **Vice-Chair**

Bruce Patterson

#### **Treasurer**

Shirley J. Gillis

#### **Assistant Treasurer**

Diana Hency

#### **Commissioner**

M. John Strafaci

Attachment to go with FFY Grant 2006 Original Annual Statement

From Joseph A. Abrams, PHM, Interim Executive Director:

The agency will not cancel the work item "Prepare Units for Occupancy." As I indicated to you, we are not using an architect, however the units are being prepared for occupancy with the utilization of CFP funds. This matter was discussed with you at the time of the February 3, 2006 Donna Ayala letter.



# Certification of Consistency with the Consolidated Plan

U.S. Department of Housing  
and Urban Development

I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Consolidated Plan.  
(Type or clearly print the following information:)

Applicant Name: \_\_\_\_\_

Project Name: \_\_\_\_\_

Location of the Project: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Name of the Federal  
Program to which the  
applicant is applying: \_\_\_\_\_

Name of  
Certifying Jurisdiction: \_\_\_\_\_

Certifying Official  
of the Jurisdiction  
Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

---

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and  
Streamlined 5-Year/Annual PHA Plans***

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 2006 standard Annual, 2006-2010 standard 5-Year/Annual or streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning 01/01/06, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105( a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

New London \_\_\_\_\_  
 PHA Name

\_CT022\_\_\_\_\_  
 PHA Number/HA Code

\_\_\_ Standard PHA Plan for Fiscal Year: 20\_\_

X Standard Five-Year PHA Plan for Fiscal Years 2006 - 2010, including Annual Plan for FY 2006

\_\_\_ Streamlined Five-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_, including Annual Plan for FY 20\_\_

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I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

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Name of Authorized Official  ELAINE MAYNARD-ADAMS	Title  BOARD OF COMMISSIONERS CHAIRPERSON
Signature  X	Date

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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and  
Streamlined 5-Year/Annual PHA Plans***

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Housing Authority of the City of New London  
PHA Name

CT022  
PHA Number/HA Code

Standard PHA Plan for Fiscal Year: 20\_\_

Standard Five-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_, including Annual Plan for FY 20\_\_

Streamlined Five-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_, including Annual Plan for FY 20\_\_

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---

Name of Authorized Official	Title
Elaine Maynard-Adams	Chairperson
Signature	Date
X	

# Certification of Consistency with the Consolidated Plan

U.S. Department of Housing  
and Urban Development

I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Consolidated Plan.  
(Type or clearly print the following information:)

Applicant Name: \_\_\_\_\_

Project Name: \_\_\_\_\_

Location of the Project: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Name of the Federal  
Program to which the  
applicant is applying: \_\_\_\_\_

Name of  
Certifying Jurisdiction: \_\_\_\_\_

Certifying Official  
of the Jurisdiction  
Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**DISCLOSURE OF LOBBYING ACTIVITIES  
CONTINUATION SHEET**

Approved by OMB  
0348-0046

Reporting Entity: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_