

# PHA Plans

## Streamlined Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 05/31/2006)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined Annual PHA Plan

## for Fiscal Year: 2006

### PHA Name: Aguilar Housing Authority

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.**



PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA       PHA development management offices  
 Other (list below)

## Streamlined Annual PHA Plan

**Fiscal Year 2006**

[24 CFR Part 903.12(c)]

### Table of Contents

[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

#### A. PHA PLAN COMPONENTS

1. Site-Based Waiting List Policies  
**903.7(b)(2) Policies on Eligibility, Selection, and Admissions**
2. Capital Improvement Needs  
**903.7(g) Statement of Capital Improvements Needed**
3. Section 8(y) Homeownership  
**903.7(k)(1)(i) Statement of Homeownership Programs**
4. Project-Based Voucher Programs
5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.
6. Supporting Documents Available for Review
7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
8. Capital Fund Program 5-Year Action Plan

#### B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

**Form HUD-50076, *PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan*** identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070, *Certification for a Drug-Free Workplace;***

**Form HUD-50071, *Certification of Payments to Influence Federal Transactions;* and**

**Form SF-LLL & SF-LLLa, *Disclosure of Lobbying Activities.***

**1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**A. Site-Based Waiting Lists-Previous Year**

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

| <b>Site-Based Waiting Lists</b>                             |                       |   |  |   |
|---|-----------------------|---|--|---|
| <b>Development Information:</b><br>(Name, number, location) | <b>Date Initiated</b> | <b>Initial mix of Racial, Ethnic or Disability Demographics</b> | <b>Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL</b> | <b>Percent change between initial and current mix of Racial, Ethnic, or Disability demographics</b> |
|   |                       |   |  |   |
| N/A   |                       |   |  |   |
|   |                       |   |  |   |
|   |                       |   |  |   |

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

**B. Site-Based Waiting Lists – Coming Year**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

## **2. Capital Improvement Needs**

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

### **A. Capital Fund Program**

1.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

| <b>HOPE VI Revitalization Grant Status</b> |   |
|--|---|
| a. Development Name:                       |   |
| b. Development Number:                     |   |
| c. Status of Grant:                        |   |
| <input type="checkbox"/>                   | Revitalization Plan under development                           |
| <input type="checkbox"/>                   | Revitalization Plan submitted, pending approval                 |
| <input type="checkbox"/>                   | Revitalization Plan approved                                    |
| <input type="checkbox"/>                   | Activities pursuant to an approved Revitalization Plan underway |

3.  Yes  No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:
4.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**  
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

### 3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

## **4. Use of the Project-Based Voucher Program**

### **Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
  - low utilization rate for vouchers due to lack of suitable rental units
  - access to neighborhoods outside of high poverty areas
  - other (describe below:)
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

## **5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

## **6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| <b>List of Supporting Documents Available for Review</b> |   |  |
|--|---|--|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Related Plan Component</b>                                |
| X  | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard FiveYear, and Streamlined Five-Year/Annual Plans;</i>  | 5 Year and Annual Plans                                      |
| X  | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>  | Streamlined Annual Plans                                     |
| X  | <i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>   | 5 Year and standard Annual Plans                             |
| X  | Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans                                      |
| X  | Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.  | Annual Plan: Housing Needs                                   |
| X  | Most recent board-approved operating budget for the public housing program  | Annual Plan: Financial Resources                             |
| X  | Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.  | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X  | Deconcentration Income Analysis   | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X  | Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.  | Annual Plan: Eligibility, Selection, and Admissions Policies |
|  | Section 8 Administrative Plan   | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X  | Public housing rent determination policies, including the method for setting public housing flat rents.<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Rent Determination                              |
| X  | Schedule of flat rents offered at each public housing development.<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.   | Annual Plan: Rent Determination                              |
|  | Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.   | Annual Plan: Rent Determination                              |
| X  | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).   | Annual Plan: Operations and Maintenance                      |
| X  | Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).  | Annual Plan: Management and Operations                       |
| X  | Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if  | Annual Plan: Operations and                                  |

| <b>List of Supporting Documents Available for Review</b> |   |  |
|--|---|--|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Related Plan Component</b>                        |
|  | necessary)  | Maintenance and Community Service & Self-Sufficiency |
|  | Results of latest Section 8 Management Assessment System (SEMAP)  | Annual Plan: Management and Operations               |
|  | Any policies governing any Section 8 special housing types<br><input type="checkbox"/> Check here if included in Section 8 Administrative Plan  | Annual Plan: Operations and Maintenance              |
| X  | Public housing grievance procedures<br><input type="checkbox"/> Check here if included in the public housing A & O Policy   | Annual Plan: Grievance Procedures                    |
|  | Section 8 informal review and hearing procedures.<br><input type="checkbox"/> Check here if included in Section 8 Administrative Plan.  | Annual Plan: Grievance Procedures                    |
|  | The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.   | Annual Plan: Capital Needs                           |
|  | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.   | Annual Plan: Capital Needs                           |
|  | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.   | Annual Plan: Capital Needs                           |
|  | Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).  | Annual Plan: Capital Needs                           |
|  | Approved or submitted applications for demolition and/or disposition of public housing.   | Annual Plan: Demolition and Disposition              |
|  | Approved or submitted applications for designation of public housing (Designated Housing Plans).  | Annual Plan: Designation of Public Housing           |
|  | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937. | Annual Plan: Conversion of Public Housing            |
|  | Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.  | Annual Plan: Voluntary Conversion of Public Housing  |
|  | Approved or submitted public housing homeownership programs/plans.  | Annual Plan: Homeownership                           |
|  | Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)   | Annual Plan: Homeownership                           |
|  | Public Housing Community Service Policy/Programs<br><input type="checkbox"/> Check here if included in Public Housing A & O Policy  | Annual Plan: Community Service & Self-Sufficiency    |
|  | Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.   | Annual Plan: Community Service & Self-Sufficiency    |
|  | FSS Action Plan(s) for public housing and/or Section 8.   | Annual Plan: Community Service & Self-Sufficiency    |
|  | Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.  | Annual Plan: Community Service & Self-Sufficiency    |
|  | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.  | Annual Plan: Community Service & Self-Sufficiency    |
| X  | Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G).<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.   | Annual Plan: Pet Policy                              |
| X  | The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.  | Annual Plan: Annual Audit                            |
|  | Other supporting documents (optional)<br>(list individually; use as many lines as necessary)  | (specify as needed)                                  |
|  | Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> :<br>Certification that consortium agreement is in compliance with 24 CFR Part 943   | Joint Annual PHA Plan for Consortia: Agency          |

PHA Name:  
HA Code:

Streamlined Annual Plan for Fiscal Year 20\_\_

| <b>List of Supporting Documents Available for Review</b> |   |   |
|--|---|---|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Related Plan Component</b>                       |
|  | pursuant to an opinion of counsel on file and available for inspection. | Identification and Annual Management and Operations |

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

| <b>Annual Statement/Performance and Evaluation Report<br/>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |  |         |                   |                                     |
|---|---|--|---------|-------------------|-------------------------------------|
| <b>PHA Name:</b><br>Aguilar Housing Authority   |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: CO06P01550106<br>Replacement Housing Factor Grant No: |         |                   | <b>Federal FY of Grant:</b><br>2006 |
| <input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no:    )</b><br><input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b> |   |  |         |                   |                                     |
| Line No.  | Summary by Development Account                      | Total Estimated Cost   |         | Total Actual Cost |                                     |
|   |   | Original   | Revised | Obligated         | Expended                            |
| 1   | Total non-CFP Funds                                 |  |         |                   |                                     |
| 2   | 1406 Operations                                     |  |         |                   |                                     |
| 3   | 1408 Management Improvements                        |  |         |                   |                                     |
| 4   | 1410 Administration                                 |  |         |                   |                                     |
| 5   | 1411 Audit  |  |         |                   |                                     |
| 6   | 1415 Liquidated Damages                             |  |         |                   |                                     |
| 7   | 1430 Fees and Costs                                 |  |         |                   |                                     |
| 8   | 1440 Site Acquisition                               |  |         |                   |                                     |
| 9   | 1450 Site Improvement                               | \$33,000   |         |                   |                                     |
| 10  | 1460 Dwelling Structures                            |  |         |                   |                                     |
| 11  | 1465.1 Dwelling Equipment—Nonexpendable             |  |         |                   |                                     |
| 12  | 1470 Nondwelling Structures                         |  |         |                   |                                     |
| 13  | 1475 Nondwelling Equipment                          |  |         |                   |                                     |
| 14  | 1485 Demolition                                     |  |         |                   |                                     |
| 15  | 1490 Replacement Reserve                            |  |         |                   |                                     |
| 16  | 1492 Moving to Work Demonstration                   |  |         |                   |                                     |
| 17  | 1495.1 Relocation Costs                             |  |         |                   |                                     |
| 18  | 1499 Development Activities                         |  |         |                   |                                     |
| 19  | 1501 Collateralization or Debt Service              |  |         |                   |                                     |
| 20  | 1502 Contingency                                    |  |         |                   |                                     |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)       | \$33,000   |         |                   |                                     |
| 22  | Amount of line 21 Related to LBP Activities         |  |         |                   |                                     |
| 23  | Amount of line 21 Related to Section 504 compliance |  |         |                   |                                     |
| 24  | Amount of line 21 Related to Security – Soft Costs  |  |         |                   |                                     |
| 25  | Amount of Line 21 Related to Security – Hard Costs  |  |         |                   |                                     |
| 26  | Amount of line 21 Related to Energy Conservation    |  |         |                   |                                     |

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

| <b>Annual Statement/Performance and Evaluation Report<br/>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>  |                                |                      |   |                   |                              |
|--|--------------------------------|----------------------|---|-------------------|------------------------------|
| PHA Name:<br>Aguilar Housing Authority   |                                |                      | Grant Type and Number<br>Capital Fund Program Grant No: CO06P01550106<br>Replacement Housing Factor Grant No: |                   | Federal FY of Grant:<br>2006 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending:<br><input type="checkbox"/> Final Performance and Evaluation Report |                                |                      |   |                   |                              |
| Line No.   | Summary by Development Account | Total Estimated Cost |   | Total Actual Cost |                              |
|  |                                | Original             | Revised   | Obligated         | Expended                     |
|  | Measures                       |                      |   |                   |                              |

| <b>Annual Statement/Performance and Evaluation Report<br/>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br/>Part II: Supporting Pages</b> |  |               |  |                      |         |                                  |                |                |
|---|--|---------------|--|----------------------|---------|----------------------------------|----------------|----------------|
| PHA Name: <b>AGUILAR, COLORADO</b>  |  |               | Grant Type and Number<br>Capital Fund Program Grant No: <b>CO06P01550106</b><br>Replacement Housing Factor Grant No: |                      |         | Federal FY of Grant: <b>2006</b> |                |                |
| Development Number<br>Name/HA-Wide Activities   | General Description of Major Work Categories | Dev. Acct No. | Quantity   | Total Estimated Cost |         | Total Actual Cost                |                | Status of Work |
|   |  |               |  | Original             | Revised | Funds Obligated                  | Funds Expended |                |
| <b>CO015</b>  | <b>LANDSCAPING/SPRI<br/>NKLER SYSTEM</b>     | <b>1450</b>   | <b>ALL</b>   | <b>\$33,000</b>      |         |                                  |                |                |
|   |  |               |  |                      |         |                                  |                |                |
|   |  |               |  |                      |         |                                  |                |                |
|   |  |               |  |                      |         |                                  |                |                |
|   |  |               |  |                      |         |                                  |                |                |
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|   |  |               |  |                      |         |                                  |                |                |
|   |  |               |  |                      |         |                                  |                |                |
|   |  |               |  |                      |         |                                  |                |                |





## 8. Capital Fund Program Five-Year Action Plan

| Capital Fund Program Five-Year Action Plan |                  |  |   |   |  |
|--|------------------|--|---|---|--|
| Part I: Summary                            |                  |  |   |   |  |
| PHA Name AGUILAR,<br>COLORADO              |                  |  |   | <input checked="" type="checkbox"/> <b>Original 5-Year Plan</b><br><input type="checkbox"/> <b>Revision No:</b> |  |
| Development Number/Name/HA-Wide            | Year 1           | Work Statement for Year 2  | Work Statement for Year 3                                       | Work Statement for Year 4   | Work Statement for Year 5  |
|  |                  | FFY Grant:<br><b>CO06P015501026</b><br>PHA FY: <b>12/31/2006</b> | FFY Grant:<br><b>CO06P01550107</b><br>PHA FY: <b>12/31/2007</b> | FFY Grant:<br><b>CO06P01550108</b><br>PHA FY: <b>12/31/2008</b>   | FFY Grant:<br><b>CO06P01550109</b><br>PHA FY:<br><b>12/31/2009</b> |
|  | Annual Statement |  |   |   |  |
| CO015                                      |                  | <b>\$33,000</b>  | <b>\$32,000</b>   | <b>\$32,000</b>   | <b>\$32,000</b>  |
|  |                  |  |   |   |  |
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|  |                  |  |   |   |  |
| CFP Funds Listed for 5-year planning       |                  |  |   |   |  |
|  |                  |  |   |   |  |



**8. Capital Fund Program Five-Year Action Plan**

| <b>Capital Fund Program Five-Year Action Plan</b>   |                              |                       |  |                              |                       |
|---|------------------------------|-----------------------|--|------------------------------|-----------------------|
| <b>Part II: Supporting Pages—Work Activities</b>  |                              |                       |  |                              |                       |
| Activities for Year : <u>2008</u> ____<br>FFY Grant: <b>2008</b><br>PHA FY: <b>12/31/2008</b> |                              |                       | Activities for Year: <u>2009</u> ____<br>FFY Grant: <b>2009</b><br>PHA FY: <b>12/31/2009</b> |                              |                       |
| <b>Development Name/Number</b>  | <b>Major Work Categories</b> | <b>Estimated Cost</b> | <b>Development Name/Number</b>   | <b>Major Work Categories</b> | <b>Estimated Cost</b> |
| <b>CO015</b>  | <b>1460</b>                  | <b>\$32,000</b>       | <b>CO015</b>   | <b>1450</b>                  | <b>\$16,000</b>       |
|   |                              |                       | <b>CO015</b>   | <b>1460</b>                  | <b>\$16,000</b>       |
|   |                              |                       |  |                              |                       |
|   |                              |                       |  |                              |                       |
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| Total CFP Estimated Cost  |                              | <b>\$32,000</b>       |  |                              | <b>\$32,000</b>       |

# Streamlined PHA Plan

## PHA Certifications of Compliance

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

### RESOLUTION 37-A

#### PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the streamlined Annual PHA Plan for PHA fiscal year beginning 2006, hereinafter referred to as the Streamlined Annual Plan, of which this document is a part and make the following certifications, agreements with, and assurances to the Department of Housing and Urban Development (HUD) in connection with the submission of the Streamlined Plan and implementation thereof

1. The streamlined Annual Plan is consistent with the applicable comprehensive housing affordability strategy (or any streamlined Plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, and provided this Board or Boards an opportunity to review and comment on any program and policy changes since submission of the last Annual Plan.
3. The PHA made the proposed streamlined Annual Plan, including policy and program revisions since submission of the last Annual Plan, and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the streamlined Plan and invited public comment.
4. The PHA will carry out the streamlined Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title n of the Americans with Disabilities Act of 1990.
5. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
6. For streamlined Annual Plans that include a policy or change in policy for site-based waiting lists:  
The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99- 2);  
. The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;  
. Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;  
. The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;  
The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(b)(2).
7. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
8. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
9. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
10. The PHA has submitted with the streamlined Plan a certification with regard to a drug-free workplace required by 24 CFR Part 24, Subpart F.
11. The PHA has submitted with the streamlined Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 81, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35. Page 1 of 2

form HUD-50076 (4/30/2003)

18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).

19. The PHA will undertake only activities and programs covered by the streamlined Annual Plan in a manner consistent with its streamlined Annual Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included

in its streamlined Plan.

20. All certifications and attachments (if any) to the streamlined Plan have been and will continue to be available at all times and all locations that the PHA streamlined Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the streamlined Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its streamlined Annual Plan and will continue to be made available at least at the

primary business office of the PHA.

21. The PHA certifies that the following policies, programs, and plan components have been revised since submission of its last Annual PHA Plan (check all policies, programs, and components that have been changed):

- 903.7a Housing Needs
- 903.7b Eligibility, Selection, and Admissions Policies
- 903.7c Financial Resources

Information

- 903.7d Programs Determining on Re-entry and 903.7h Demolition and Disposition - 903.7k Homeownership Programs 903.7r Additional
- B. Criteria for substantial deviation and significant amendments ~C. Other information requested by HUD
- 1. Resident Advisory Board consultation process  2. Membership of Resident Advisory Board
- 3. Resident membership on PHA governing board

22. The PHA provides assurance as part of this certification regarding its streamlined annual PHA Plan that:

- (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
- (ii) The changes were duly approved by the PHA board of directors (or similar governing body); and
- (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.

PHA Name Aguilar Housing Authority

PHA Number coo1s

Streamlined Annual PHA Plan for Fiscal Year:

2006

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

|  |                  |
|--|------------------|
| Name of Authorized Official  | Title            |
| Charlie Avila  | Chairman         |
| Signature  | Date             |
| X  | November 8, 2005 |

Page 2 of 2

form HUD-50076 (4/30/2003)

6. Federal Department/Agency; BUD

8. Federal Action Number, if known:

Federal Use Only:

-  
Type of Federal Action:

- a. contract
- b. grant
- c. cooperative agreement d. loan
- e. loan guarantee f. loan insurance

**2. Status of Federal Action:**

- a. bid/offer/application
- b. initial award
- c. post-award

**DISCLOSURE OF LOBBYING ACTIVITIES**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

**9. Name and Address of Reporting Entity:**

Tier 1 if known

CC?n-gressional District, if known: 3td

**10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, M/):**

**3. Report Type:**

**D** Material change For Material Change 0  
yearqu~ date of last report-

s. If Reporting Entity in No.4 is a Subawarde and Address of Prime:

Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into This disclosure is required pursuant to 31 USC 1352 This information will be reported to the Congress semi-annually and will be available for public inspection Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure

**Congressional District, if known Federal Program Name/Description:**

CFDA Number, if applicable:

.,,. Award Amount, if known: \$

**b. Individuals Performing Services (including different from No. 10a) (last name, first name, M/):**

Signature: David E. Mockmore  
 Print Name: David E. Mockmore  
 Title: Executive Director  
 Telephone No.: 719-738-2720

Authorized Standard f

**Certification of Payments to Influence Federal Transactions**

Applicant Name

**Aguilar Housing Authority**

Program/Activity Receiving Federal Grant Funding

**2006 Plan**

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing

The undersigned certifies, to the best of his or her knowledge and belief, that

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tier~ (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802) ,

"J!,";~-,

Name of Authorized Official

David E. Mockmore

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Title

**Executive Director**

**Date** (mm/dd/yyyy)

**11/10/05**

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form HUD 50071 (3/98) ref. Handbooks 7417.1,7475.13,7485.1, & 7485.3

## Certification for a Drug-Free Workplace

Applicant Name

**Aguilar Housing Authority**

U.S. Department of Housing and Urban Development

Program/Activity Receiving Federal Grant Funding

**2006 Plan**

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue (1) Abide by the terms of the statement; and to provide a drug-free workplace by:  
(2) Notify the employer in writing of any conviction, Publishing a statement notifying employees that the violation for a violation of a criminal drug statute occurring in the lawful manufacture, distribution, dispensing, possession, or use workplace no later than five calendar days after such conviction; of a controlled substance is prohibited in the Applicant's work-

Notwithstanding, the agency may, in writing, specify the actions that will be taken against

(2) If the employer receives actual notice of such conviction, the employer must provide notice, including position title, to every grant officer or other designee on (1) whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the grant; (2) The Applicant's policy of maintaining a drug-free receipt of such notices, Notice shall include the identification workplace; number(s) of each affected grant;

(3) Any available drug counseling, rehabilitation, and f. Taking one of the following actions, within 30 calendar employee assistance programs; and days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace. (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the

requirements of each employee to be engaged in the performance of the grant activity; (2) Requiring such employee to participate satisfactorily in a drug abuse prevention program; (3) Requiring such employee to provide for such purposes a certificate or other appropriate agency; (4) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HOD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

**301 W. Main Street, Aguilar, CO 81020**

check here

if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C.1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

**David E. Mockmore** Director, Sign Date

X ~ 11/10/05

form HUD-50070 (3/98) ref. Handbooks 7417.1,7475.13,7485.1 &.3

## Certification of Payments to Influence Federal Transactions

Applicant Name

**Aguilar Housing Authority**

Program/Activity Receiving Federal Grant Funding

2006 **Plan**

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing

I, the undersigned certifies, to the best of his or her knowledge and belief, that

(I) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  
David E. Mockmore

Signature

Title

**Executive Director**

Date (mm/dd/yyyy)

1/21/11

form HUD 50071 (3/98)

Previous edition is obsolete ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

1/10/05