

**INS**

**Streamlined 5-Year/Annual  
Version wv009v06 – 1/21/05**

**U.S. Department of Housing and  
Urban Development**  
Office of Public and Indian Housing

OMB No. 2577-0226  
(exp 05/31/2006)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# **Streamlined 5-Year Plan for Fiscal Years 2005 – 2009**

## **Streamlined Annual Plan for Fiscal Year 2005**

### **Housing Authority of the City of Fairmont, WV WV009**

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.**

## Streamlined Five-Year PHA Plan Agency Identification

**PHA Name: Housing Authority of The City of Fairmont, WV**

**PHA Number: WV15-009**

**PHA Fiscal Year Beginning: 01/2005**

**PHA Programs Administered:**

**Public Housing and Section 8**     **Section 8 Only**     **Public Housing Only**

Number of public housing units: 136

Number of S8 units:

Number of public housing units:

Number of S8 units: 1074

**PHA Consortia: (check box if submitting a joint PHA Plan and complete table)**

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices

- Other (list below)

## Streamlined Five-Year PHA Plan

### PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

#### **A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- XX The PHA's mission is: The mission of the Housing Authority of the City of Fairmont is to assist low income families with safe, decent, and affordable housing opportunities as they strive to achieve self-sufficiency and improve the quality of their lives. We are committed to operating in an efficient, ethical, and professional manner. We will create and maintain partnerships with our clients and appropriate community agencies in order to accomplish this mission.

#### **B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAs scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

#### **HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- XX PHA Goal: Expand the supply of assisted housing  
Objectives:
- XX Apply for additional rental vouchers: FHA plans to apply for new rental vouchers under Main Stream, Shelter Plus Care, & other applicable voucher programs. The quantity will be determined by local need and program restrictions.
- XX Reduce public housing vacancies: Expand marketing and outreach efforts for difficult areas to market.
- XX Leverage private or other public funds to create additional housing opportunities:  
FHA plans to participate in federal & privately funded housing development programs including, but not limited to, low income housing tax credit programs, the HOME program, & other non-federal programs operated by private non-profit organizations such as Federation of Appalachian Housing Enterprises (FAHE), Neighborhood Reinvestment Corp. (NRC), & Augusta Development Corp. FHA will strive to develop

- 100 additional affordable housing units over the next 5 years. FHA also will participate with other affordable housing providers by agreeing to project based vouchers up to the legal limit of housing choice vouchers.
- XX Acquire or build units or developments FHA plans to acquire or build 100 additional affordable housing units over the next 5 years.  
 Other (list below)
- XX PHA Goal: Improve the quality of assisted housing  
 Objectives:
- XX Improve public housing management: (PHAS score): Concentrate on areas of the physical inspection that previously received a low score.
- XX Improve voucher management: (SEMAP score): FHA will continue to strive to obtain & maintain a 100% SEMAP score.
- XX Increase customer satisfaction: FHA will strive to improve customer satisfaction by increasing the customer service & satisfaction score on PHAS to the highest possible level.
- XX Concentrate on efforts to improve specific management functions: FHA will strive to improve rental payment delinquencies by 15% over the next 5 years.  
 (list; e.g., public housing finance; voucher unit inspections)
- XX Renovate or modernize public housing units: See modernization plan.
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)
- XX PHA Goal: Increase assisted housing choices  
 Objectives:
- Provide voucher mobility counseling:
- XX Conduct outreach efforts to potential voucher landlords: FHA will improve outreach efforts to potential voucher landlords by marketing to local landlord & property owner groups, and by conducting 2 landlord workshops per year.
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- XX Other: FHA will work with Augusta Development Corp. in the development of assisted housing in the Fairmont area. FHA will also continue involvement in other local initiatives, especially with the local University (Fairmont State University) in increasing housing opportunities

**HUD Strategic Goal: Improve community quality of life and economic vitality**

XX PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- XX Implement public housing security improvements: FHA will continue to improve Public Housing security measures by implementing through contracted services the most modern security system affordable. FHA will strive to decrease major security breaches by 10% over the next 5 years.
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

XX PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- XX Provide or attract supportive services to improve assistance recipients' employability: FHA will continue to collaborate with local social service agencies to promote increased employability of residents by making referrals to job openings, increasing resident awareness and access to educational & training opportunities.
- XX Provide or attract supportive services to increase independence for the elderly or families with disabilities. FHA will work with local agencies to network appropriate supportive services on an individual basis, such as the Center For Independent Living, West Virginia University Center For Affiliated Disabilities, & Disabilities Personnel.
- XX Other: FHA will increase Section 8 FSS participation by 25% over the next 5 years. FHA will also strive to seek other federal & non-federal programs to increase resident self-sufficiency.

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

XX PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

XX Other: (6a, b, & c) FHA will plan to apply for funds under HUD's Fair Housing Opportunities Program to increase awareness and enforcement of Fair Housing for the disabled & other protected classes.

**Other PHA Goals and Objectives: (list below)**

XX As part of its 5 year plan for the Public Housing program, Fairmont Housing Authority plans to make all Public Housing utilities tenant paid.

# Streamlined Annual PHA Plan

## PHA Fiscal Year 2005

[24 CFR Part 903.12(b)]

### Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

#### **A. ANNUAL STREAMLINED PHA PLAN COMPONENTS**

Page. 8	1. Housing Needs
Page 13	2. Financial Resources
Page 14	3. Policies on Eligibility, Selection and Admissions
Page 23	4. Rent Determination Policies
Page 27	5. Capital Improvements Needs
Page 29	6. Demolition and Disposition
Page 29	7. Homeownership
Page 31	8. Civil Rights Certifications (included with PHA Certifications of Compliance)
Pages 31, 32, 33 & 35, Respectively	9. Additional Information
	a. PHA Progress on Meeting 5-Year Mission and Goals
	b. Criteria for Substantial Deviations and Significant Amendments
	c. Other Information Requested by HUD
	i. Resident Advisory Board Membership and Consultation Process
	ii. Resident Membership on the PHA Governing Board
	iii. PHA Statement of Consistency with Consolidated Plan
	iv. (Reserved)
Page 35	10. Project-Based Voucher Program
Page 36	11. Supporting Documents Available for Review
Page 39	12. FY 2004 Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
Page 42	13. Capital Fund Program 5-Year Action Plan
<input type="checkbox"/>	14. Other (List below, providing name for each item)

#### **B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five Year, and Streamlined Five-Year/Annual Plans;**

*Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.*

For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:

**Form HUD-50070**, *Certification for a Drug-Free Workplace*;

**Form HUD-50071**, *Certification of Payments to Influence Federal Transactions*;

**Form SF-LLL & SF-LLLa**, *Disclosure of Lobbying Activities*.

**Executive Summary (optional)**

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

**1. Statement of Housing Needs** [24 CFR Part 903.12 (b), 903.7(a)]

**A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the PHA’s Waiting Lists</b>			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<b>XX Public Housing</b>			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	72		108
Extremely low income <=30% AMI	66	92	
Very low income (>30% but <=50% AMI)	6	8	
Low income (>50% but <80% AMI)	0	0	
Families with children	30	42	
Elderly families	2	3	
Families with Disabilities	14	20	
Race/ethnicity	White – 58	81	
Race/ethnicity	Black – 14	19	
Race/ethnicity	American Indian	0	
Race/ethnicity	Hispanic/Latino	0	
	Alaskan Native	0	
	Asian/Pacific	0	
	Islander	0	
	Native Hawaiian	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	37	51	56
2 BR	24	33	36
3 BR	11	16	17
4 BR	0	0	0

Housing Needs of Families on the PHA's Waiting Lists			
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? XX No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<b>XX Section 8 tenant-based assistance</b>			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	279		419
Extremely low income <=30% AMI	244	87	
Very low income (>30% but <=50% AMI)	35	13	
Low income (>50% but <80% AMI)	0	0	
Families with children	144	52	
Elderly families	6	2	
Families with Disabilities	50	18	
Race/ethnicity	White - 244	87	
Race/ethnicity	Black - 34	13	
Race/ethnicity	Am. Indian - 1	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	114	41	171
2 BR	106	38	159
3 BR	50	18	75
4 BR	8	3	12
5 BR	1	1	2
5+ BR	0	0	0
Is the waiting list closed (select one)? XX No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

## **B. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy. Re: Below checked strategies.

### **(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

#### **Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- XX Employ effective maintenance and management policies to minimize the number of public housing units off-line
- XX Reduce turnover time for vacated public housing units
- XX Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- XX Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- XX Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- XX Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- XX Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- XX Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

#### **Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- XX Apply for additional section 8 units should they become available
- XX Leverage affordable housing resources in the community through the creation of mixed - finance housing
- XX Pursue housing resources other than public housing or Section 8 tenant-based

- assistance.  
XX Other: Project based Section 8 vouchers.

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing  
XX Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance  
 Employ admissions preferences aimed at families with economic hardships  
XX Adopt rent policies to support and encourage work (ceiling / market rent)  
 Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- XX Employ admissions preferences aimed at families who are working  
XX Adopt rent policies to support and encourage work (flat / ceiling rent)  
 Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly  
XX Apply for special-purpose vouchers targeted to the elderly, should they become available (mainstream)  
 Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities  
 Carry out the modifications needed in public housing based on the section 504

- Needs Assessment for Public Housing
- XX Apply for special-purpose vouchers targeted to families with disabilities, should they become available
  - XX Affirmatively market to local non-profit agencies that assist families with disabilities
  - Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- XX Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- XX Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- XX Funding constraints
- XX Staffing constraints
- XX Limited availability of sites for assisted housing
- XX Extent to which particular housing needs are met by other organizations in the community
- XX Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- XX Influence of the housing market on PHA programs
- XX Community priorities regarding housing assistance
- XX Results of consultation with local or state government
- XX Results of consultation with residents and the Resident Advisory Board
- XX Results of consultation with advocacy groups
- Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 20__ grants)</b>		
a) Public Housing Operating Fund	371,278	
b) Public Housing Capital Fund	265,998	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	3,599,046	
f) Resident Opportunity and Self-Sufficiency Grants	3,350	
g) Community Development Block Grant	0	
h) HOME	0	
Other Federal Grants (list below)	0	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>	0	
<b>3. Public Housing Dwelling Rental Income</b>	0	
<b>4. Other income (list below)</b>		
FSS Coordinator	63,000	FSS Program Admin.
<b>5. Non-federal sources (list below)</b>		
Non-Dwelling Rent	154,137	P.H. Operations
Investment Income	1,200	P.H. Operations
<b>Total resources</b>	<b>4,458,009</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.12 (b), 903.7 (b)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- XX When families are within a certain number of being offered a unit: (five)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- XX Criminal or Drug-related activity
- XX Rental history
- XX Housekeeping
- XX Other Sex Offender List

c. XX Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. XX Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes XX No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- XX Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- XX PHA main administrative office
- PHA development site management office
- XX Other Applicants may pick up applications at various social service agencies throughout the jurisdiction. Applicants may also apply through our secure internet web page fmhousing.com

c. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? (NO). If yes, complete the following table; if not skip to d.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics
NA				

2. What is the number of site based waiting list developments to which families may apply at one time? NA

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? NA

4.  Yes XX No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below: Tenant claims she was evicted on the basis of race (black). The fact is, “she was not evicted.” Title VI Case #03-03-0071-6; HUD Case #03-03-0437-8. Site based is not the issue. The issue is an eviction that never was to begin with.

d. Site-Based Waiting Lists – Coming Year: NA. FHA is not planning to use site based waiting lists.

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) **Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? NA

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)? NA
- PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
  - XX Two
  - Three or More
- b. XX Yes  No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

- a. Income targeting:
- Yes XX No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
- In what circumstances will transfers take precedence over new admissions? (list below)
- XX Emergencies
  - Over-housed
  - XX Under-housed
  - XX Medical justification
  - XX Administrative reasons determined by the PHA (e.g., to permit modernization work)
  - Resident choice: (state circumstances below)
  - Other: (list below)

c. Preferences

1.XX Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)- Working Families.

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- XX Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

XX Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

### **(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

### **(6) Deconcentration and Income Mixing**

- a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

- b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
NA			

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
  - Criminal and drug-related activity, more extensively than required by law or regulation
  - More general screening than criminal and drug-related activity (list factors):
  - Other Sex Offender List
- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
  - Other Rental History; Sex Offender
- Note: Landlord is responsible for any other screening.

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- XX None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- XX PHA main administrative office
- XX Other Morgantown satellite office

**(3) Search Time**

a. XX Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: Failure to find appropriate unit.

**(4) Admissions Preferences**

a. Income targeting

Yes XX No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. XX Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**) Disabled Family Member.

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- XX Other preference(s): Disabled Family Member.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 2 Other preference(s): Disabled Family Member

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- XX Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD

The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

The PHA applies preferences within income tiers

XX Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

XX The Section 8 Administrative Plan

Briefing sessions and written materials

Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

XX Through published notices

Other (list below)

**4. PHA Rent Determination Policies**

## A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- XX The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% of adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
  
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
  
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)  
(select one)

- XX Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- XX For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete

sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)
- Other: Payment Standard is based on the effort to keep rents competitive with the local market and increasing utility costs but also at a level as to continue to serve the maximum allowable families.

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

### **(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
XX  \$26-\$50 - (\$50)

b.  Yes XX  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below) (Using HUD guidelines)

## **5. Capital Improvement Needs**

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

### **A. Capital Fund Activities**

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

#### **(1) Capital Fund Program**

- a. XX  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.
- b.  Yes XX  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

### **(1) Hope VI Revitalization**

- a.  Yes **XX** No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. Status of HOPE VI revitalization grant (complete one set of questions for each grant)  
Development name:  
Development (project) number:  
Status of grant: (select the statement that best describes the current status)
- Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved
  - Activities pursuant to an approved Revitalization Plan underway
- c.  Yes **XX** No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:
- d.  Yes **XX** No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
- e.  Yes **XX** No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**6. Demolition and Disposition**

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

- a.  Yes **XX** No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: NA 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1)**XX** Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

## **(2) Program Description**

### a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? \_\_\_

### b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

c. What actions will the PHA undertake to implement the program this year (list)? Will work with FSS program & partner with lending institutions that offer special mortgage financing.

## **(3) Capacity of the PHA to Administer a Section 8 Homeownership Program**

The PHA has demonstrated its capacity to administer the program by (select all that apply):

a.  Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.

b.  Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.

c.  Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).

d.  Demonstrating that it has other relevant experience (list experience below). FHA has successfully operated a 5-h homeownership program for 10 years, and is a certified HOME Program mortgage originator. FHA also is a West Virginia Department of Banking mortgage broker, and a certified homebuyer education program provider.

## **8. Civil Rights Certifications**

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard copy—see Table of Contents.

## **9. Additional Information**

[24 CFR Part 903.12 (b), 903.7 (r)]

### **A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan**

*(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2000 - 2004)*

*Established a Section 8 Homeownership Program*

*Increase the number of vouchers available by 73*

*Established a non-profit partner to develop affordable housing*

*Increase housing quality standards*

*Increased Section 8 payment standards*

*Created a new office in Morgantown*

*Improved the management information system*

*Continue to utilize CFP funding on a timely basis to provide safe, quality and livable housing*

*Originated 14 mortgages to low income families*

*Developed 6 new single family homes for low income families*

*Provided homebuyer education training to 60 area families*

*Continue to maintain a viable Resident Council organization*

## **B. Criteria for Substantial Deviations and Significant Amendments**

### **(1) Amendment and Deviation Definitions**

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

**a. Substantial Deviation from the 5-Year Plan:** For the purposes of the 2005 Annual Plan & Five-Year Plan, the Fairmont Housing Authority shall adopt the following definition of “Substantial Deviation” pursuant to 24 CFR 903.21 and Final Rule 903.7 (r) (2).

“Substantial Deviation & Significant Amendment or Modification” shall be defined as follows:

**A non-regulatory change that significantly changes the character, nature and scope of the Public Housing & Section 8 programs. Changes to the following documents are considered “Substantial Deviation or Significant Amendments:**

- 1. Capital Grant Program**
- 2. Public Housing Admissions & Occupancy Policy**
- 3. Section 8 Admin Plan**
- 4. Homeownership Plan**
- 5. Drug Elimination Grant Program**
- 6. Family Self-Sufficiency Plan**
- 7. Five Year & Annual Plans**
- 8. Housing Authority Internal Operational Policies & Procedures**

Changes in these documents shall require Board review and approval and public or resident review as required by PIH Notice 99-51, and 24 CFR 903.13 – 903.23. Typically this process takes place once a year, prior to Annual Plan Submission. Changes that are required by federal policy, regulations, legislation and state or local law will not be considered a Substantial Deviation.

**b. Significant Amendment or Modification to the Annual Plan**

## **C. Other Information**

[24 CFR Part 903.13, 903.15]

### **(1) Resident Advisory Board Recommendations**

a. XX Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

If yes, provide the comments below:

Residents stated that they would like to have more attractive front doors, and better quality storm doors.

b. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were

necessary.

The PHA changed portions of the PHA Plan in response to comments  
List changes below:

XX Other: (list below)

FHA is currently looking for a better quality storm door, and plans to begin purchasing the same under the 2004 CFP grant. Existing front doors are still in good condition. As a result, FHA feels that such work items as new kitchens and floors are more of a priority.

### **(2) Resident Membership on PHA Governing Board**

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

Yes XX No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board:

Method of Selection:

Appointment

**The term of appointment is (include the date term expires):**

- Election by Residents (if checked, complete next section--Description of Resident Election Process)

**Description of Resident Election Process**

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations  
 Candidates could be nominated by any adult recipient of PHA assistance  
 Self-nomination: Candidates registered with the PHA and requested a place on ballot  
 Other: (describe)

Eligible candidates: (select one)

- Any recipient of PHA assistance  
 Any head of household receiving PHA assistance  
 Any adult recipient of PHA assistance  
 Any adult member of a resident or assisted family organization  
 Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)  
 Representatives of all PHA resident and assisted family organizations  
 Other (list)

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis  
XX  The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.  
 Other (explain):

Date of next term expiration of a governing board member: 6/2/05

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position): Nick Fantasia, Mayor

### **(3) PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

#### **Consolidated Plan jurisdiction: (provide name here)**

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (state):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- XX The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

#### **(4) (Reserved)**

Use this section to provide any additional information requested by HUD.

### **10. Project-Based Voucher Program**

a. XX Yes  No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.

b. XX Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

Low utilization rate for vouchers due to lack of suitable rental units

Access to neighborhoods outside of high poverty areas

XX Other (describe below:) As a means to expand affordable housing

in general area.

c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts): At this time, we are in the initial stages of planning, so this information is not yet available.

## 11. List of Supporting Documents Available for Review for Streamlined

### Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>	Standard 5 Year and Annual Plans; streamlined 5 Year Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan.	5 Year Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing.XX Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. XXCheck here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. XXCheck here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. XXCheck here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types XXcheck here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	Consortium agreement(s).	Annual Plan: Agency Identification and Operations/ Management
X	Public housing grievance procedures XXCheck here if included in the public housing A & O Policy.	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. XXCheck here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)Stand Alone Policy	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs XXCheck here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). XXCheck here if included in the public housing A & O Policy.	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Consortium agreement(s), if a consortium administers PHA programs.	Joint PHA Plan for Consortia
	Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection	Joint PHA Plan for Consortia

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	Other supporting documents (optional). List individually.	(Specify as needed)

## 12. CAPITAL FUND PROGRAM AND REPLACEMENT HOUSING FACTOR

### Annual Statement/Performance and Evaluation Report

#### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Fairmont Housing Authority  
WV009

Grant Type and Number  
Capital Fund Program Grant No: WV15P009501-03  
Replacement Housing Factor Grant No: NA

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
XX Performance and Evaluation Report for Period Ending: 11/30/2004  Final Performance and Evaluation Report

Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Original	Revised	Obligated	Expended
Total non-CFP Funds	0	0	0	0
1406 Operations	30,000	27,271	27,271	20,751
1408 Management Improvements	6,000	7,007	7,007	5,821
1410 Administration	22,326	22,326	22,326	18,549
1411 Audit	0	0	0	0
1415 Liquidated Damages	0	0	0	0
1430 Fees and Costs	0	0	0	0
1440 Site Acquisition	0	0	0	0
1450 Site Improvement	30,000	32,246	32,246	32,246
1460 Dwelling Structures	130,000	126,806	126,806	91,911
1465.1 Dwelling Equipment—Nonexpendable	5,000	7,670	7,670	7,495
1470 Nondwelling Structures	0	0	0	0
1475 Nondwelling Equipment	0	0	0	0
1485 Demolition	0	0	0	0
1490 Replacement Reserve	0	0	0	0
1492 Moving to Work Demonstration	0	0	0	0
1495.1 Relocation Costs	0	0	0	0
1499 Development Activities	0	0	0	0
1501 Collateralization or Debt Service	0	0	0	0
1502 Contingency	0	0	0	0
Amount of Annual Grant: (sum of lines 2 – 20)	223,326	223,326	223,326	176,773
Amount of line 21 Related to LBP Activities	0	0	0	0
Amount of line 21 Related to Section 504 compliance	0	0	0	0
Amount of line 21 Related to Security – Soft Costs	25,000	25,000	25,000	19,628
Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

## 12. FY 2004 CAPITAL FUND REPLACEMENT HOUSING FACTOR

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages							
PHA Name: Fairmont Housing Authority WV009			Grant Type and Number Capital Fund Program Grant No: WV15P009501-03 Replacement Housing Factor Grant No: NA			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
HA-Wide	Security Services	1406		25,000	25,000	25,000	19,628
HA-Wide	Miscellaneous	1406		5,000	2,271	2,271	1,123
HA-Wide	Tools	1408		1,000	1,056	1,056	1,056
HA-Wide	Remodel Maintenance Office	1408	1	5,000	5,950	5,950	4,764
HA-Wide	Administration	1410		22,326	22,326	22,326	18,549
9-1	Playgrounds	1450	2	25,000	24,768	24,768	24,768
HA-Wide	Landscaping	1450		5,000	1,890	1,890	1,890
HA-Wide	Fencing	1450	2	0	5,588	5,588	5,588
9-1	Bathroom Cabinets	1460	30	10,000	10,179	10,179	10,179
9-2	Window Replacement	1460	20	25,000	1,000	1,000	0
HA-Wide	Door Replacement	1460	50	5,000	10,100	10,100	5,786
HA-Wide	Light Replacement	1460	60	3,500	1,420	1,420	1,420
HA-Wide	Kitchen Replacement	1460	10	30,000	30,000	30,000	19,485
HA-Wide	Floor Replacement	1460	15	25,000	42,637	42,637	38,452
HA-Wide	Tub Repair	1460	3	2,000	1,950	1,950	1,950
HA-Wide	Masonry Repair	1460		5,000	5,500	5,500	5,500
9-1	Vanity Replacement	1460	30	24,500	20,500	20,500	5,620
HA-Wide	Room Remodeling	1460	2	0	2,540	2,540	2,540
9-2	Siding Replacement	1460	1	0	980	980	980
HA-Wide	Equipment Replacement	1465	30	5,000	7,670	7,670	7,495
		TOTAL		223,326	223,326	223,326	176,773

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Fairmont Housing Authority WV009			Grant Type and Number Capital Fund Program No: WV15P009501-03 Replacement Housing Factor No: NA			Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Ta
	Original	Revised	Actual	Original	Revised	Actual	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages

PHA Name: Fairmont Housing Authority WV009				Grant Type and Number Capital Fund Program Grant No:WV15P009501-03 Replacement Housing Factor Grant No: NA			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories			Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
						Original	Revised	Funds Obligated	Funds Expended
9-1	6/30/04	NA	NA	6/30/06	NA	NA	NA	NA	NA
9-2	6/30/04	NA	NA	6/30/06	NA	NA	NA	NA	NA
9-3	6/30/04	NA	NA	6/30/06	NA	NA	NA	NA	NA
HA Wide	6/30/04	NA	NA	6/30/06	NA	NA	NA	NA	NA

**13. CAPITAL FUND PROGRAM 5-YEAR ACTION PLAN**

Program Five-Year Action Plan		
Fairmont Housing Authority		
WV009		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:

Number/Name/HA-	Year 1	Work Statement for Year 2 FFY Grant: 2005 PHA FY: 2006	Work Statement for Year 3 FFY Grant: 2006 PHA FY: 2007	Work Statement for Year 4 FFY Grant: 2007 PHA FY: 2008	Work Statement for Year 5 FFY Grant: 2008 PHA FY: 2009
	Annual Statement				
9-2		13,000	13,000	13,000	13,000
9-3		149,494	167,494	172,494	180,494
HA-Wide		103,504	85,504	93,504	72,504
Funds Listed for 5-year Programming	265,998	265,998	265,998	265,998	265,998
Replacement Housing Factor	NA	NA	NA	NA	NA

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Fairmont Housing Authority WV009		Grant Type and Number Capital Fund Program Grant No: WV15P009502-03 Replacement Housing Factor Grant No: NA			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
HA-Wide	Security Services	1406					
HA-Wide	Miscellaneous	1406		2,000	0	0	0
HA-Wide	Tools	1408		3,700	3,516	3,516	3,516
HA-Wide	Remodel Maintenance Office	1408					
HA-Wide	Administration	1410		4,723	4,723	4,723	4,723
9-1	Playgrounds	1450					
HA-Wide	Landscaping	1450					
HA-Wide	Fencing	1450					
9-1	Bathroom Cabinets	1460					
9-2	Window Replacement	1460					
HA-Wide	Door Replacement	1460		8,800	8,800	8,800	5,155
HA-Wide	Light Replacement	1460		3,000	3,000	3,300	2,814
HA-Wide	Kitchen Replacement	1460		2,445	2,445	2,445	2,445
HA-Wide	Floor Replacement	1460		10,000	11,036	11,036	11,036
HA-Wide	Tub Repair	1460					
HA-Wide	Masonry Repair	1460		2,500	3,500	3,500	3,500
9-1	Vanity Replacement	1460					

HA-Wide	Room Remodeling	1460					
9-2	Siding Replacement	1460					
HA Wide	Replace Tub Surrounds	1460	5,000	5,000	5,000	3,998	
HA Wide	Electrical Improvements	1460	5,000	5,148	5,148	5,148	
HA Wide	Equipment Replacement	1465					
		TOTAL	47,168	47,168	47,168	42,335	

Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part III: Implementation Schedule

PHA Name: Fairmont Housing Authority WV009		Grant Type and Number Capital Fund Program No: WV15P009502-03 Replacement Housing Factor No: NA					Federal FY of Grant: 200	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Ta	
	Original	Revised	Actual	Original	Revised	Actual		
9-1	6/30/04	NA	NA	6/30/06	NA	NA	NA	
9-2	6/30/04	NA	NA	6/30/06	NA	NA	NA	
9-3	6/30/04	NA	NA	6/30/06	NA	NA	NA	
HA Wide	6/30/04	NA	NA	6/30/06	NA	NA	NA	

**12. CAPITAL FUND PROGRAM AND REPLACEMENT HOUSING FACTOR**

Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Fairmont Housing Authority WV009	Grant Type and Number Capital Fund Program Grant No: WV15P009502-03 Replacement Housing Factor Grant No: NA
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
XXPerformance and Evaluation Report for Period Ending: 11/30/2004  Final Performance and Evaluation Report

Summary by Development Account	Total Estimated Cost		Total Actual Cost
	Original	Revised	Obligated
Total non-CFP Funds	0	0	0
1406 Operations	2,000	0	0
1408 Management Improvements	3,700	3,516	3,516
1410 Administration	4,723	4,723	4,723
1411 Audit	0	0	0

## 12. CAPITAL FUND PROGRAM AND REPLACEMENT HOUSING FACTOR

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Fairmont Housing Authority WV009	Grant Type and Number Capital Fund Program Grant No: WV15P009502-03 Replacement Housing Factor Grant No: NA
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Original Annual Statement   
  Reserve for Disasters/ Emergencies   
  Revised Annual Statement (revision no: )  
 XX Performance and Evaluation Report for Period Ending: 11/30/2004   
  Final Performance and Evaluation Report

Summary by Development Account	Total Estimated Cost		Total Actual Cost
	Original	Revised	Obligated
1415 Liquidated Damages	0	0	0
1430 Fees and Costs	0	0	0
1440 Site Acquisition	0	0	0
1450 Site Improvement	0	0	0
1460 Dwelling Structures	36,745	38,929	38,929
1465.1 Dwelling Equipment—Nonexpendable	0	0	0
1470 Nondwelling Structures	0	0	0
1475 Nondwelling Equipment	0	0	0
1485 Demolition	0	0	0
1490 Replacement Reserve	0	0	0
1492 Moving to Work Demonstration	0	0	0
1495.1 Relocation Costs	0	0	0
1499 Development Activities	0	0	0
1501 Collateralization or Debt Service	0	0	0
1502 Contingency	0	0	0
Amount of Annual Grant: (sum of lines 2 – 20)	47,168	47,168	47,168
Amount of line 21 Related to LBP Activities	0	0	0
Amount of line 21 Related to Section 504 compliance	0	0	0
Amount of line 21 Related to Security – Soft Costs	0	0	0
Amount of Line 21 Related to Security – Hard Costs	0	0	0
Amount of line 21 Related to Energy Conservation Measures	0	0	0