

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2005

LaFollette Housing Authority – TN012

Version 2
Submitted to HUD
September 23, 2005

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: LaFollette Housing Authority

PHA Number: TN012

PHA Fiscal Year Beginning: (mm/yyyy) 10/2005

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Will be available upon request

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

LaFollette Housing Authority
"A Community Partner"

"LaFollette Housing believes that every person with whom we have contact is our customer and that our mission is to deliver value to every customer. Our customers, whether they are residents, members of the public or fellow coworkers, are not an interruption of our work, but rather the purpose for it. We show that by respecting their time, money and goals. We listen, focus on their needs, express a genuine concern and then orient our systems, policies and procedures to provide a level of customer service that we hope will exceed their expectations."

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:

- Improve public housing management: (PHAS score)
- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

- 1. Continue to implement Public Housing sub-jurisdictional waiting lists**
- 2. Conduct outreach efforts to potential realtors**

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2005
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection

Table of Contents

	<u>Page #</u>
Annual Plan	
i. Executive Summary	N/A
ii. Table of Contents	1
1. Housing Needs	5
2. Financial Resources	25
3. Policies on Eligibility, Selection and Admissions	26
4. Rent Determination Policies	35
5. Operations and Management Policies	39
6. Grievance Procedures	40
7. Capital Improvement Needs	41
8. Demolition and Disposition	42
9. Designation of Housing	43
10. Conversions of Public Housing	44
11. Homeownership	46
12. Community Service Programs	47
13. Crime and Safety	50
14. Pets (Inactive for January 1 PHAs)	52

15. Civil Rights Certifications (included with PHA Plan Certifications)	52
16. Audit	52
17. Asset Management	52
18. Other Information	53

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (**tn012a02**)
- FY 2005 Capital Fund Program Annual Statement (**tn012b02**)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- Deconcentration Information (**tn012m02**)
- Statement of Progress in Meeting 5-Year Mission and Goals (**tn012n02**)
- Pet Policy (**tn012o02**)
- Community Service Requirements (**tn012p02**)
- Attachment A: Resident Member on the PHA Governing Board (**Included in plan**)
- Attachment B: Membership of the Resident Advisory Board (**Included in plan**)
- Attachment C: Voluntary Conversion Initial Assessment (**Included in plan**)
- Attachment D: Results of Resident Survey (**Included in plan**)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2005 Capital Fund Program 5 Year Action Plan (**tn012c02**)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in **PHA Plan text**)
- Other (List below, providing each attachment name)
 - TN37-PO12-501-02 P & E Report, Dated 3/31/2005 (**tn012d02**)
 - TN37-PO12-501-03 P & E Report, Dated 3/31/2005 (**tn012e02**)
 - TN37-PO12-502-03 P & E Report, Dated 3/31/2005 (**tn012f02**)
 - TN37-PO12-501-04 P & E Report, Dated 3/31/2005 (**tn012g02**)
 - TN37-RO12-501-01 P & E Report, Dated 3/31/2005 (**tn012h02**)
 - TN37-RO12-501-02 P & E Report, Dated 3/31/2005 (**tn012i02**)
 - TN37-RO12-501-03 P & E Report, Dated 3/31/2005 (**tn012j02**)
 - TN37-RO12-501-04 P & E Report, Dated 3/31/2005 (**tn012k02**)
 - Replacement Housing Factor – First Increment Plan (**tn012l02**)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	A & O Policy	
X	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) (list individually; use as many lines as necessary) Deconcentration Information – See tn012m01	(specify as needed) Annual Plan

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type– Anderson County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1372	5	3	2	1	3	1
Income >30% but <=50% of AMI	757	5	3	2	1	3	1
Income >50% but <80% of AMI	435	5	3	2	1	3	1
Elderly	550	5	3	2	1	3	1
Families with Disabilities	3	5	4	1	5	1	1
Race/Ethnicity	1864	5	3	2	1	3	1
Race/Ethnicity	395	5	3	2	1	3	1
Race/Ethnicity	305	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type– Campbell County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	751	5	3	2	1	3	1
Income >30% but <=50% of AMI	310	5	3	2	1	3	1
Income >50% but <80% of AMI	206	5	3	2	1	3	1
Elderly	212	5	3	2	1	3	1
Families with Disabilities	17	5	4	1	5	1	1
Race/Ethnicity White	685	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type– Campbell County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Race/Ethnicity Black	379	5	3	2	1	3	1
Race/Ethnicity Hispanic	233	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Claiborne County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	466	5	3	2	1	3	1
Income >30% but <=50% of AMI	145	5	3	2	1	3	1
Income >50% but <80% of AMI	107	5	3	2	1	3	1
Elderly	164	5	3	2	1	3	1
Families with Disabilities	8	5	4	1	5	1	1
Race/Ethnicity White	512	5	3	2	1	3	1
Race/Ethnicity Black	370	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Fentress County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	180	5	3	2	1	3	1
Income >30% but <=50% of AMI	105	5	3	2	1	3	1
Income >50% but <80% of AMI	26	5	3	2	1	3	1
Elderly	65	5	3	2	1	3	1
Families with Disabilities	3	5	4	1	5	1	1

Housing Needs of Families in the Jurisdiction by Family Type – Fentress County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Race/Ethnicity White	311	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Morgan County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	221	5	3	2	1	3	1
Income >30% but <=50% of AMI	81	5	3	2	1	3	1
Income >50% but <80% of AMI	91	5	3	2	1	3	1
Elderly	96	5	3	2	1	3	1
Families with Disabilities	7	5	4	1	5	1	1
Race/Ethnicity White	295	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	98	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type– Scott County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	412	5	3	2	1	3	1
Income >30% but <=50% of AMI	150	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type– Scott County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income >50% but <80% of AMI	76	5	3	2	1	3	1
Elderly	120	5	3	2	1	3	1
Families with Disabilities	14	5	4	1	5	1	1
Race/Ethnicity White	758	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Union County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	221	5	3	2	1	3	1
Income >30% but <=50% of AMI	114	5	3	2	1	3	1
Income >50% but <80% of AMI	30	5	3	2	1	3	1
Elderly	57	5	3	2	1	3	1
Families with Disabilities	0	5	4	1	5	1	1
Race/Ethnicity White	422	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
 Indicate year: 2000

- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
 Indicate year:
- Other housing market study
 Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: Caryville			
	# of families	% of total families	Annual Turnover
Waiting list total	22		6
Extremely low income <=30% AMI	18	81	
Very low income (>30% but <=50% AMI)	4	18	
Low income (>50% but <80% AMI)	0	0	
Families with children	11	50	
Elderly families	1	4	
Families with Disabilities	6	27	
Race/ethnicity (W)	22	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by			

Housing Needs of Families on the Waiting List			
Bedroom Size (Public Housing Only)			
1BR	8	36	2
2 BR	12	54	2
3 BR	1	4	2
4 BR	1	4	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Helenwood			
	# of families	% of total families	Annual Turnover
Waiting list total	6		7
Extremely low income <=30% AMI	2	33	
Very low income (>30% but <=50% AMI)	3	50	
Low income (>50% but <80% AMI)	1	16	
Families with children	4	66	
Elderly families	1	16	
Families with Disabilities	1	16	
Race/ethnicity (W)	6	100	
Race/ethnicity	0	0	

Housing Needs of Families on the Waiting List			
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	0	0	0
2 BR	6	100	1
3 BR	0	0	6
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Huntsville			
	# of families	% of total families	Annual Turnover
Waiting list total	6		12
Extremely low income <=30% AMI	2	33	
Very low income (>30% but <=50% AMI)	4	66	
Low income (>50% but <80% AMI)	0	0	
Families with children	3	50	
Elderly families			
Families with	1	16	

Housing Needs of Families on the Waiting List			
Disabilities			
Race/ethnicity (W)	6	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2	33	6
2 BR	4	66	2
3 BR	0	0	2
4 BR	0	0	2
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Jacksboro			
	# of families	% of total families	Annual Turnover
Waiting list total	23		4
Extremely low income <=30% AMI	19	82	
Very low income (>30% but <=50% AMI)	4	17	
Low income (>50% but <80% AMI)	0	0	

Housing Needs of Families on the Waiting List			
Families with children	10	43	
Elderly families	1	4	
Families with Disabilities	4	17	
Race/ethnicity (W)	23	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	10	43	1
2 BR	12	52	2
3 BR	1	4	1
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Jamestown			
	# of families	% of total families	Annual Turnover
Waiting list total	1		18
Extremely low income <=30% AMI	0	0	
Very low income (>30% but <=50%	1	100	

Housing Needs of Families on the Waiting List			
AMI)			
Low income (>50% but <80% AMI)	0	0	
Families with children	0	0	
Elderly families	0	0	
Families with Disabilities	1	100	
Race/ethnicity (W)	1	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1	100	6
2 BR	0	0	3
3 BR	0	0	6
4 BR	0	0	3
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: LaFollette			
	# of families	% of total families	Annual Turnover
Waiting list total	16		80

Housing Needs of Families on the Waiting List			
Extremely low income <=30% AMI	14	88	
Very low income (>30% but <=50% AMI)	1	6	
Low income (>50% but <80% AMI)	1	6	
Families with children	8	50	
Elderly families	2	12	
Families with Disabilities	6	38	
Race/ethnicity (W)	16	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	6	38	48
2 BR	6	38	25
3 BR	4	25	7
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List	
Waiting list type: (select one)	
<input type="checkbox"/>	Section 8 tenant-based assistance
<input type="checkbox"/>	Public Housing
<input type="checkbox"/>	Combined Section 8 and Public Housing
<input checked="" type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)
If used, identify which development/subjurisdiction: Lake City	

Housing Needs of Families on the Waiting List			
	# of families	% of total families	Annual Turnover
Waiting list total	18	100	30
Extremely low income <=30% AMI	15	83	
Very low income (>30% but <=50% AMI)	3	17	
Low income (>50% but <80% AMI)	0	0	
Families with children	4	22	
Elderly families	5	28	
Families with Disabilities	11	61	
Race/ethnicity (W)	18	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	13	72	3
2 BR	4	22	18
3 BR	0	0	9
4 BR	1	6	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Luttrell			
	# of families	% of total families	Annual Turnover
Waiting list total	6	100	12
Extremely low income <=30% AMI	6	100	
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0	0	
Families with children	6	100	
Elderly families	0	0	
Families with Disabilities	0	0	
Race/ethnicity (W)	6	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	0	0	0
2 BR	5	83	5
3 BR	0	0	5
4 BR	1	17	2
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Oneida			
	# of families	% of total families	Annual Turnover
Waiting list total	19		48
Extremely low income <=30% AMI	13	68	
Very low income (>30% but <=50% AMI)	6	32	
Low income (>50% but <80% AMI)	0	0	
Families with children	5	26	
Elderly families	2	11	
Families with Disabilities	3	16	
Race/ethnicity (W)	19	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	11	58	24
2 BR	5	26	14
3 BR	2	11	10
4 BR	1	5	0
5 BR	0	0	0
5+ BR	0	0	0

Is the waiting list closed (select one)? No Yes
 If yes:
 How long has it been closed (# of months)?
 Does the PHA expect to reopen the list in the PHA Plan year? No Yes
 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Tazewell/New Tazewell			
	# of families	% of total families	Annual Turnover
Waiting list total	17		65
Extremely low income <=30% AMI	12	71	
Very low income (>30% but <=50% AMI)	4	24	
Low income (>50% but <80% AMI)	1	6	
Families with children	4	24	
Elderly families	2	12	
Families with Disabilities	7	41	
Race/ethnicity (W)	17	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	13	76	24
2 BR	2	12	26
3 BR	1	6	11
4 BR	1	6	3

Housing Needs of Families on the Waiting List			
5 BR	0	0	1
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) <input type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: Wartburg			
	# of families	% of total families	Annual Turnover
Waiting list total	7	100	13
Extremely low income <=30% AMI	2	29	
Very low income (>30% but <=50% AMI)	5	71	
Low income (>50% but <80% AMI)	0	0	
Families with children	0	0	
Elderly families	1	14	
Families with Disabilities	4	57	
Race/ethnicity (W)	7	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size			

Housing Needs of Families on the Waiting List			
(Public Housing Only)			
1BR	5	71	8
2 BR	2	29	1
3 BR	0	0	3
4 BR	0	0	1
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	83		120
Extremely low income <=30% AMI	76	92	
Very low income (>30% but <=50% AMI)	7	8	
Low income (>50% but <80% AMI)	0	0	
Families with children	65	78	
Elderly families	2	2	
Families with Disabilities	18	22	
Race/ethnicity (W)	83	100	
Race/ethnicity	0	0	
Race/ethnicity	0	0	

Housing Needs of Families on the Waiting List			
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes If yes: How long has it been closed (# of months)? 3 Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency’s reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required

- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
Complete modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing. Will review and update as necessary to meet needs of residents as much as feasible.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	2,132,719	
b) Public Housing Capital Fund	2,233,675	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	1,219,250	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)	0	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
2. Prior Year Federal Grants (unobligated funds only) (list below)		
TN37-PO12-501-04	50,000	Capital Improvements
3. Public Housing Dwelling Rental Income	2,248,920	Operations
4. Other income (list below)		
Interest, sales & services, utilities, laundry fees, misc.	127,490	Operations
4. Non-federal sources (list below)		
Total resources	8,012,054	Capital Improvements, Operations

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) **At time of application**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) **Credit History**

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?0

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?

If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
 Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Overhoused
 Underhoused
 Medical justification
 Administrative reasons determined by the PHA (e.g., to permit modernization work)
 Resident choice: (state circumstances below)
 Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing

- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Elderly disabled at designated locations

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

See Attachment (tn012m01)

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)
1. Family's current address as shown on the PHA's records and, if known to the PHA, the name and address of the landlord at the family's current and prior address.
 2. Rental history to include evictions and damage to rental units
 3. Tenancy history for the past 2 years (upon written request from prospective landlords)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

At the Section 8 Administration Office

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

The PHA will extend the term up to 120 days from the beginning of the initial term if the family needs and requests an extension as a reasonable accommodation to make the program accessible to and usable by a family member with a disability or other good cause as determined by the PHA. If the family needs an extension in excess of 120 days, the PHA will extend the voucher term for the amount of time reasonably required for said reasonable accommodation.

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

When adjusted incomes are higher than ceiling rents or flat rents

- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families
 Other (describe below)

- e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
 Yes but only for some developments
 No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
 For all general occupancy developments (not elderly or disabled or elderly only)
 For specified general occupancy developments
 For certain parts of developments; e.g., the high-rise portion
 For certain size units; e.g., larger bedroom sizes
 Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
 Fair market rents (FMR)

- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)
Same as flat rents

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)
 - 1. Anytime there is a change in family composition that affects family income**
 - 2. Anytime there is a new source of income by any household member**

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)
Due to budgetary constraints, it may be necessary to reduce the payment standard.

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

- b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **tn012b02**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment **tn012c02**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name: Alexander Heights	
1b. Development (project) number: TN12-001	
2. Activity type: Demolition <input checked="" type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input checked="" type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: <u>(09/30/2004)</u>	
5. Number of units affected: 6 units, 1 building	
6. Coverage of action (select one)	
<input checked="" type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity: 9/30/2005	
b. Projected end date of activity: 9/30/2006	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA's Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	<u>(DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy

and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families

- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents
(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake:
(select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

See Attachment tn012o02

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? ____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 Attached at Attachment (File name)
 Provided below:

Below is a list of comments and how they have been addressed (**in bold**)

Caryville

- Resident's family members and/or guests have no place to park – **LHA will look to improve by conducting an assessment of parking needs which is included in the 5-year action plan**
- It has been mentioned from other residents that by adding shutters to the buildings it would make them look better and more like home – **LHA will add this to the 5-year plan along with adding Tek-Rail to porches and/or steps**
- New clotheslines are needed and poles need to be painted – **this is currently in the 5-year plan**

Jacksboro

- *New clotheslines are needed and poles need to be painted – **this is currently in the 5-year plan***
- *It has been mentioned from other residents that by adding shutters to the buildings it would make them look better and more like home – **LHA will add this to the 5-year plan along with adding Tek-Rail to porches and/or steps***
- *Painting apartments on a regular basis – sometimes a problem for long-term residents – **informed residents of painting cycle and painting schedule as part of routine maintenance***

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:

1. Assessment of parking needs has been added to the 5-year Plan for all developments

2. Addition of shutters and Tek-Rail have been added to the 5-year plan for all developments

- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance

- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **State of Tennessee**
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Other: (list below)
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Please refer to the Executive Summary of the Consolidated Plan for the State of Tennessee

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definition of “Substantial Deviation” and “Significant Amendment or Modification”

The following are considered to be significant amendments or modifications:

- 1) **Changes to rent or admissions policies or organization of the waiting list**

- 2) **Additions of non-emergency work items (items not included in the current Annual Statement or 5-year Action plan) or change in use of replacement reserve funds under the Capital Fund**
- 3) **Additions of new activities not included in the current PHDEP Plan (if applicable)**
- 4) **Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities**

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

Required Attachment A: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Ava P. Walden**

B. How was the resident board member selected: (select one)?

- Elected
 Appointed

C. The term of appointment is (include the date term expires): serving out five year term - 3/19/2010

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

B. Date of next term expiration of a governing board member: **January 9, 2006**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Caryville – Mayor Robert Stookesbury
Huntsville – Mayor George W. Potter

Required Attachment B: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Mary Adams
Kent Adams
Michael Adkins
Martha Mayo
Vanessa Jones

Required Attachment C: Voluntary Conversion Initial Assessment

- a. How many of the PHA's developments are subject to the Required Initial Assessments?

Twenty-Four (24)

- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)?

Four (4)

- c. How many Assessments were conducted for the PHA's covered developments?

One, the initial assessment

- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

At this time, there are no developments that are appropriate for conversion at this time

Required Attachment D: Results of Resident Survey

Survey Section	Score	Response
Maintenance & Repair	90.9%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Communication	79.3%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Safety	83.6%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Services	95.7%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Neighborhood Appearance	79.8%	No response necessary – LHA will continue to serve residents at a level they have come to expect

THE LAFOLLETTE HOUSING AUTHORITY DECONCENTRATION POLICY

The LaFollette Housing Authority's policy to provide for deconcentration of poverty will consist of the following:

- A. Targeting: The income levels of families on the waiting list will be analyzed so that not less than 40% of admissions in any fiscal year will be families whose income does not exceed 30% of median income for the area.
- B. Income Mixing: Prior to the beginning of each fiscal year the LHA will analyze the income levels of families residing in each development to bring higher income families into lower income developments and lower income families into higher income developments.

The LHA will strive to insure that no individual development has a concentration of higher or lower income families. The LHA may skip families on the waiting list to reach other families with a lower or higher income. This will be accomplished in a uniform and non-discriminatory manner.

The LHA will affirmatively market public housing to all eligible income groups. If necessary, the LHA will determine the level of additional marketing strategies and deconcentration incentives to implement the objective of this policy.

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	1,000			
3	1408 Management Improvements	152,000			
4	1410 Administration	17,274			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	157,880			
8	1440 Site Acquisition				
9	1450 Site Improvement	323,590			
10	1460 Dwelling Structures	855,505			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	30,000			
13	1475 Nondwelling Equipment	10,000			
14	1485 Demolition	100,000			
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	3,600			
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	3,969			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,654,818			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security				
25	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
4. TN12-004	A/E Fees	1430	1	15,600				
Alexander Circle	Re-roofing/guttering	1460	2 units	10,000				
	SUB-TOTAL			25,600				
5. TN12-007	Playstructure	1450	LS	5,000				
Wortham Park								
	SUB-TOTAL			5,000				
6. TN12-010	A/E Fees	1430	1	21,840				
Sharp Circle	Re-roofing/guttering	1460	10 units	132,230				
	SUB-TOTAL			154,070				
7. TN12-012	A/E Fees	1430	1	15,600				
LaFollette HA	Re-roofing/guttering	1460	8 units	60,000				
Oneida								
	SUB-TOTAL			75,600				
8. TN12-013	A/E Fees	1430	1	6,240				
Huntsville	Re-roofing/guttering	1460	3 units	20,000				
Housing								
	SUB-TOTAL			26,240				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
10. TN12-038	A/E Fees	1430	1	15,600				
Luttrell	Re-roofing/guttering	1460	3 units	16,343				
	Playstructure	1450	LS	5,000				
	SUB-TOTAL			36,943				
11. PHA-Wide Management Improvements	a. Operations	1406	LS	1,000				
	SUB-TOTAL			1,000				
	b. Provide extra security	1408	12 locations	92,000				
	TN12-001	21,650						
	TN12-003	15,000						
	TN12-005	6,800						
	TN12-006	13,500						
	TN12-008	10,500						
	TN12-009	5,200						
	TN12-013	4,200						
	TN12-019	2,550						
	TN12-020	1,800						
	TN12-023	4,200						
	TN12-037	2,500						
	TN12-038	4,100						

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
14. PHA-Wide Non-Dwelling Equipment	Office furniture, equipment, computers	1475	LS	10,000				
	SUB-TOTAL			10,000				
15. PHA-Wide	Signage – 28 Developments	1470		30,000				
	Contingency	1502		3,969				
	SUB-TOTAL			33,969				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-05 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1. TN12-001 Alexander Hgts.	9/30/2007			09/30/2009			
2. TN12-002 Alexander Hgts. Addition	09/30/2007			09/30/2009			
3. TN12-003 Wortham Park	09/30/2007			09/30/2009			
4. TN12-004 Alexander Circle	09/30/2007			09/30/2009			
5. TN12-007 Wortham Park	09/30/2007			09/30/2009			
6. TN12-010 Sharp Circle	09/30/2007			09/30/2009			
7. TN12-012 LaFollette HA Oneida	09/30/2007			09/30/2009			
8. TN12-013 Huntsville Housing	09/30/2007			09/30/2009			
9. TN12-022 Oneida Housing	09/30/2007			09/30/2009			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-05 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
10. TN12-038 Luttrell	09/30/2007			09/30/2009			
11. PHA-Wide Management Improvements							
a.	09/30/2007			09/30/2009			
b.	09/30/2007			09/30/2009			
c.	09/30/2007			09/30/2009			
d.	09/30/2007			09/30/2009			
12. PHA-Wide Administrative Costs							
a.	9/30/2007			09/30/2009			
b.	9/30/2007			09/30/2009			
13. PHA-Wide Fees And Costs							
a.	9/30/2007			09/30/2009			
b.	9/30/2007			09/30/2009			
14. PHA-Wide Non- Dwelling Equipment	9/30/2007			09/30/2009			
15. PHA-Wide	9/30/2007			09/30/2009			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name LaFollette Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: TN37-PO12-501-06 PHA FY: 2006	Work Statement for Year 3 FFY Grant: TN37-PO12-501-07 PHA FY: 2007	Work Statement for Year 4 FFY Grant: TN37-PO12-501-08 PHA FY: 2008	Work Statement for Year 5 FFY Grant: TN37-PO12-501-09 PHA FY: 2009
	Annual Statement				
TN12-001		0	0	20,000	323,230
TN12-002		212,250	127,500	0	123,000
TN12-003		466,540	0	47,750	208,500
TN12-004		66,500	0	53,750	206,000
TN12-005		52,590	0	53,750	81,000
TN12-006		114,604	0	53,750	3,000
TN12-007		0	157,055	71,040	3,000
TN12-008		0	35,020	67,991	3,000
TN12-009		30,000	36,380	62,479	28,000
TN12-010		41,000	0	53,750	9,000
TN12-012		0	13,750	53,750	3,000
TN12-013		0	73,750	52,550	8,000
TN12-014		25,000	56,860	71,298	67,521

TN12-015		75,600	10,000	63,750	3,000
TN12-016		0	34,000	65,786	3,000
TN12-017		175,600	146,750	43,250	13,000
TN12-018		0	45,180	50,065	3,000
TN12-019		0	54,000	52,840	33,000
TN12-020		0	42,600	49,250	23,000
TN12-021		70,560	91,560	46,550	3,000
TN12-022		0	71,325	49,250	141,000
TN12-023		36,600	140,748	53,750	3,000
TN12-024		44,096	12,800	53,750	3,000
TN12-025		32,464	124,625	43,250	3,000
TN12-033		0	61,000	42,250	3,000
TN12-037		23,140	82,315	36,250	83,000
TN12-038		0	5,000	43,750	4,000
TN12-041		0	5,000	49,652	18,000
PHA-Wide Management Costs		153,000	153,000	153,000	153,000
PHA-Wide Administrative Costs		17,274	20,274	68,800	68,800
PHA-Wide Fees And Costs		8,000	8,000	8,000	8,000

PHA-Wide Non-Dwelling Equipment		10,000	19,767	19,767	19,767
PHA-Wide Signage					
Contingency		0	26,559	0	0
CFP Funds Listed for 5-year planning		1,654,818	1,654,818	1,654,818	1,654,818
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement	TN12-001 Alexander Hgts.	No work this year		TN12-001 Alexander Hgts.	No work this year	
		SUB-TOTAL	0		SUB-TOTAL	0
	TN12-002 Alexander Hgts. Addition	A/E Fees Pedestal Mailbox Window replacement Replace exterior doors/screen doors 504 Access Re-roofing/guttering Replace ceiling Re-location costs	17,000 3,750 20,000 4,500 11,000 75,000 75,000 6,000	TN12-002 Alexander Hgts. Addition 6 units	Ranges Refrigerators Bathroom Renovations Kitchen Renovations Parking Flooring Water heaters Interior walls Exterior painting Exterior doors/screen doors	2,000 2,000 20,000 30,000 10,000 15,000 2,500 15,000 25,000 6,000
		SUB-TOTAL	212,250		SUB-TOTAL	127,500
	Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-003	A/E Fees	1,560	TN12-003	No work this year	
Annual	Wortham Park	Kitchen renovations	16,000	Wortham Park		
Statement		Floor Tile	20,000	30 units		
		Replace exterior doors/screen doors	20,000			
		Window replacement	20,000			
		504 Access	11,000			
		Closet doors	2,000			
		Drainage/landscaping	10,000			
		Pressure Reducing Valves	4,500			
		Water heaters	6,000			
		Porches/sidewalks	20,000			
		Tub Surrounds	24,000			
		Pedestal Mailbox	3,750			
		Sewer replacement (30 units)	134,730			
		Re-roofing/guttering	173,000			
		SUB-TOTAL	466,540		SUB-TOTAL	0
	TN12-004	A/E Fees	1,500	TN12-004	No work this year	
	Alexander Circle	Range hood w/cabinet	10,000	Alexander Circle		
		Floor tile	10,000			
		Replace exterior doors/screen doors	10,000			
		Smoke detectors	5,000			
		Water heaters	10,000			
		Porches/sidewalks	20,000			
		SUB-TOTAL	66,500		SUB-TOTAL	0
Total CFP Estimated Cost			\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-005	A/E Fees	2,040	TN12-005	No work this year	
Annual	South Village	504 Access	11,000	South Village		
Statement		Floor Tile	10,000			
		Replace exterior doors/screen doors	10,000			
		Smoke Detectors	4,600			
		Water heaters	9,200			
		Cool guards	5,750			
		SUB-TOTAL	52,590		SUB-TOTAL	0
	TN12-006	A/E Fees	16,854	TN12-006	No work this year	
	North Village	Re-roofing/guttering 8 units	50,000	North Village		
		504 Access	11,000			
		Porches/sidewalks	20,000			
		Tub surrounds	10,000			
		Pedestal mailbox	6,750			
		SUB-TOTAL	114,604		SUB-TOTAL	0
	TN12-007	No work this year	0	TN12-007	A/E Fees	11,075
	Wortham Park			Wortham Park	504 Access	5,500
					Range hood w/cabinet	10,000
					Floor tile	31,000
					Exterior doors & screen doors	25,000
					Closet doors/interior doors	18,750
					Porches/sidewalks	20,000
					Drainage/landscaping	12,500
					Sewer replacement	23,230
		SUB-TOTAL	0		SUB-TOTAL	157,055
	Total CFP Estimated Cost \$					\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement						
	TN12-008 New Tazewell	No Work this year		TN12-008 New Tazewell	A/E Fees Floor tile	1,020 34,000
		SUB-TOTAL	0		SUB-TOTAL	35,020
	TN12-009 Cumberland Hgts.	Playstructures – toddler	30,000	TN12-009 Cumberland Heights	A/E Fees 504 Access Floor tile	1,380 11,000 24,000
		SUB-TOTAL	30,000		SUB-TOTAL	36,380
	TN12-010 Sharp Circle	A/E Fees HVAC@ Main Office Smoke Detectors	4,000 30,000 7,000	TN12-010 Sharp Circle	No work this year	
		SUB-TOTAL	41,000		SUB-TOTAL	0
	TN12-012 LaFollette HA-Oneida	No work this year	0	TN12-012 LaFollette HA – Oneida	Pedestal mailbox	13,750
		SUB-TOTAL	0		SUB-TOTAL	13,750
	Total CFP Estimated Cost					\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-013	No work this year	0	TN12-013	Sewer line replacement	26,000
Annual	Huntsville Housing			Huntsville Housing	Pedestal mailbox	3,750
Statement					Bus stop	11,000
					Drainage/landscaping	15,000
					Water heaters	8,000
					Porches/sidewalks	10,000
		SUB-TOTAL	0		SUB-TOTAL	73,750
	TN12-014	Playstructures – toddler	25,000	TN12-014	A/E Fees	1,860
	Cumberland Hgts.			Cumberland Hgts.	504 Access	11,000
					Floor tile	40,000
					Smoke Detectors	4,000
		SUB-TOTAL	25,000		SUB-TOTAL	56,860
	TN12-015	A/E Fees	15,600	TN12-015	Range hood w/cabinet	10,000
	Alexander Hgts. Add.	Re-roofing/guttering – 8 units	60,000	Alexander Hgts. Add.		
		SUB-TOTAL	75,600		SUB-TOTAL	10,000
	TN12-016	No work this year		TN12-016	A/E Fees	1,000
	New Tazewell			New Tazewell	Floor tile	30,000
					Smoke Detectors	3,000
		SUB-TOTAL	0		SUB-TOTAL	34,000
Total CFP Estimated Cost			\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-017	A/E Fees (from 2003)	15,600	TN12-017	A/E Fees	2,000
Annual	Wortham Park II	Re-roofing/guttering (from 2003)	160,000	Wortham Park II	Floor tile	25,000
					Exterior doors/screen doors	25,000
Statement					Smoke Detectors	8,500
					Closet doors	18,750
					Drainage/landscaping	12,500
					Porches/sidewalks	20,000
					Sewer line replacement	35,000
		SUB-TOTAL	175,600		SUB-TOTAL	146,750
	TN12-018	No work this year		TN12-018	A/E Fees	1,080
	Jamestown Hsg.			Jamestown Hsg.	Cool guards	4,500
					Floor tile	36,000
					Smoke Detectors	3,600
		SUB-TOTAL	0		SUB-TOTAL	45,180
	TN12-019	No work this year		TN12-019	A/E Fees	1,000
	Caryville Hsg.			Caryville Hsg.	Floor tile	30,000
					Smoke Detectors	3,000
					Porches/sidewalks	20,000
		SUB-TOTAL	0		SUB-TOTAL	54,000
	TN12-020	No work this year		TN12-020	A/E Fees	600
	Jacksboro Housing			Jacksboro Housing	Floor tile	20,000
					Smoke Detectors	2,000
					Porches/sidewalks	20,000
		SUB-TOTAL	0		SUB-TOTAL	42,600
	Total CFP Estimated Cost					
			\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-025	A/E Fees	22,464	TN12-025	A/E Fees	1,250
Annual	Pleasant Ridge	Re-roofing/guttering – 2 units	10,000	Pleasant Ridge	Pedestal Mailbox	1,875
Statement					Floor tile	36,000
					Exterior doors & screen doors	36,000
					Closet doors	27,000
					Drainage/landscaping	22,500
		SUB-TOTAL	32,464		SUB-TOTAL	124,625
	TN12-033	No work this year		TN12-033	A/E Fees	1,000
	New Tazewell			New Tazewell	Floor tile	30,000
					Exterior doors & screen doors	30,000
		SUB-TOTAL	0		SUB-TOTAL	61,000
	TN12-037	A/E Fees	9,360	TN12-037	A/E Fees	4,000
	Shady Groves Estates	Re-roofing/guttering – 2 units	13,780	Shady Groves Estates	Metal maintenance bldg.	73,315
					Drainage/landscaping	5,000
		SUB-TOTAL	23,140		SUB-TOTAL	82,315
		Total CFP Estimated Cost	\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: TN37-PO12-501-06 PHA FY: 2006			Activities for Year: <u> 3 </u> FFY Grant: TN37-PO12-501-07 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	PHA-Wide Management Improvements	c. Provide VISTA workers for resident programs	50,000	PHA-Wide Management Improvements	c. Provide VISTA workers for resident programs	50,000
Annual		d. PHA Staff-Commissioner training	10,000		d. PHA Staff-Commissioner training	10,000
		SUB-TOTAL	153,000		SUB-TOTAL	153,000
	PHA-Wide Administrative Costs	a. Advertising	2,000	PHA-Wide Administrative Costs	a. Advertising	5,000
		b. Admin/CFP Clerk	15,274		b. Admin/CFP Clerk	15,274
		SUB-TOTAL	17,274		SUB-TOTAL	20,274
	PHA-Wide Fees /Costs	a. Agency Plan	5,000	PHA-Wide Fees /Costs	a. Agency Plan	5,000
		b. Environmental Review	3,000		b. Environmental Review	3,000
		SUB-TOTAL	8,000		SUB-TOTAL	8,000
	PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	10,000	PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	19,767
		SUB-TOTAL	10,000		SUB-TOTAL	19,767
	Contingency		0	Contingency		26,559
	Total CFP Estimated Cost		\$1,731,588			\$1,731,588

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-001	Floor Replacement @ Dewey Hunter Center	10,000	TN12-001		
Alexander Hgts.	HVAC@ Dewey Hunter Center	10,000	Alexander Hgts.	Playground	123,230
				Recreation pavilion	200,000
	SUB-TOTAL	20,000		SUB-TOTAL	323,230
TN12-002	No work this year		TN12-002	A/E to evaluate need/location for additional parking	1,000
Alexander Hgts.			Alexander Hgts.	Exterior to match 12-001	120,000
Addition			Addition	Tek-Rail/Shutters	2,000
6 units			6 units		
	SUB-TOTAL	0		SUB-TOTAL	123,000
TN12-003	Ranges	1,050	TN12-003	A/E to evaluate need/location for additional parking	1,000
Wortham Park	Refrigerators	1,500	Wortham Park	A/E Fees	1,500
	Bathroom Renovations	3,000		Roofing – 30 units	160,000
	Kitchen Renovations	10,000		Playstructure	10,000
	Parking	7,000		Tek-Rail/Shutters	36,000
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	4,500			
	Water heaters	1,200			
	Interior walls	3,000			
	Exterior painting	3,000			
	SUB-TOTAL	47,750		SUB-TOTAL	208,500
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-004	Ranges	1,050	TN12-004	A/E to evaluate need/location for additional parking	5,000
Alexander Circle	Refrigerators	1,500	Alexander Circle	Exterior renovations – 50 units	50,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	76,000
	Kitchen Renovations	6,000		Vinyl around shrouds	75,000
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	206,000
TN12-005	Ranges	1,050	TN12-005	A/E to evaluate need/location for additional parking	1,000
South Village	Refrigerators	1,500	South Village	Exterior renovations	30,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	50,000
	Kitchen Renovations	6,000			
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	81,000
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-006	Ranges	1,050	TN12-006	A/E to evaluate need/location for additional parking	1,000
North Village	Refrigerators	1,500	North Village	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	3,000
TN12-007	A/E Fees	1,560	TN12-007	A/E to evaluate need/location for additional parking	1,000
Wortham Park	Re-roofing/guttering -	29,230	Wortham Park	Exterior renovations	1,000
	Ranges	1,050		Tek-Rail/Shutters	1,000
	Refrigerators	1,500			
	Bathroom Renovations	3,000			
	Kitchen Renovations	6,000			
	Parking	11,000			
	Window Replacement	4,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	71,040		SUB-TOTAL	3,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-008	Ranges	1,050	TN12-008	A/E to evaluate need/location for additional parking	1,000
New Tazewell	Refrigerators	1,500	New Tazewell	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	A/E Fees	1,061			
	Roofing/guttering	17,680			
	SUB-TOTAL	67,991		SUB-TOTAL	3,000
TN12-009	Ranges	1,050	TN12-009	A/E to evaluate need/location for additional parking	1,000
Cumberland Heights	Refrigerators	1,500	Cumberland Heights	Exterior renovations	1,000
	Bathroom Renovations	3,000		Playstructure – toddler	25,000
	Kitchen Renovations	6,000		Tek-Rail/Shutters	1,000
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	A/E Fees	749			
	Roofing/guttering	12,480			
	SUB-TOTAL	62,479		SUB-TOTAL	28,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-010	Ranges	1,050	TN12-010	A/E to evaluate need/location for additional parking	1,000
Sharp Circle	Refrigerators	1,500	Sharp Circle	Exterior renovations	2,000
	Bathroom Renovations	3,000		Outdoor Park Benches/Picnic Tables	5,000
	Kitchen Renovations	6,000		Tek-Rail/Shutters	1,000
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	9,000
TN12-012	Ranges	1,050	TN12-012	A/E to evaluate need/location for additional parking	1,000
Oneida Housing	Refrigerators	1,500	Oneida Housing	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	3,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-013	Ranges	1,050	TN12-013	A/E to evaluate need/location for additional parking	1,000
Huntsville Housing	Refrigerators	1,500	Huntsville Housing	Exterior renovations	5,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	2,000
	Kitchen Renovations	6,000			
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	52,550		SUB-TOTAL	8,000
TN12-014	Ranges	1,050	TN12-014	A/E to evaluate need/location for additional parking	1,000
Cumberland Heights	Refrigerators	1,500	Cumberland Heights	Exterior renovations	36,521
	Bathroom Renovations	3,000		Tek-Rail/Shutters	30,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	A/E Fees	1,248			
	Re-roofing/guttering	20,800			
	SUB-TOTAL	71,298		SUB-TOTAL	67,521
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-015	Ranges	1,050	TN12-015	A/E to evaluate need/location for additional parking	1,000
Alexander Hgts.	Refrigerators	1,500	Alexander Hgts.	Exterior renovations	1,000
Addition	Bathroom Renovations	3,000	Addition	Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	14,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	63,750		SUB-TOTAL	3,000
TN12-016	Ranges	1,050	TN12-016	A/E to evaluate need/location for additional parking	1,000
New Tazewell	Refrigerators	1,500	New Tazewell	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	A/E Fees	936			
	Re-roofing/guttering	15,600			
	SUB-TOTAL	65,786		SUB-TOTAL	3,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-017	Ranges	1,050	TN12-017	A/E to evaluate need/location for additional parking	1,000
Wortham Park	Refrigerators	1,500	Wortham Park	Exterior renovations	2,000
	Bathroom Renovations	3,000		Re-roofing/guttering – 50 units	9,000
	Kitchen Renovations	6,000		Tek-Rail/Shutters	1,000
	Parking	11,000			
	Window Replacement	4,500			
	Interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	43,250		SUB-TOTAL	13,000
TN12-018	Ranges	1,050	TN12-018	A/E to evaluate need/location for additional parking	1,000
Jamestown Housing	Refrigerators	1,500	Jamestown Housing	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,815			
	SUB-TOTAL	50,065		SUB-TOTAL	3,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-019	A/E Fees	2,090	TN12-019	A/E to evaluate need/location for additional parking	1,000
Caryville Housing	Re-Roofing/guttering	1,500	Caryville Housing	Exterior renovations	2,000
	Ranges	1,050		Tek-rail/shutters – 30 units	30,000
	Refrigerators	1,500			
	Bathroom Renovations	3,000			
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	52,840		SUB-TOTAL	33,000
TN12-020	Ranges	1,050	TN12-020	A/E to evaluate need/location for additional parking	1,000
Jacksboro Housing	Refrigerators	1,500	Jacksboro Housing	Exterior renovations	2,000
	Bathroom Renovations	3,000		Tek-rail/shutters – 20 units	20,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	49,250		SUB-TOTAL	23,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-021	Ranges	1,050	TN12-021	A/E to evaluate need/location for additional parking	1,000
Huntsville Housing	Refrigerators	1,500	Huntsville Housing	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	4,500			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	46,550		SUB-TOTAL	3,000
TN12-022	Ranges	1,050	TN12-022	A/E to evaluate need/location for additional parking	1,000
Oneida Housing	Refrigerators	1,500	Oneida Housing	Exterior renovations	60,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	80,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	49,250		SUB-TOTAL	141,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-023	Ranges	1,050	TN12-023	A/E to evaluate need/location for additional parking	1,000
Wartburg Housing	Refrigerators	1,500	Wartburg Housing	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	4,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	3,000
TN12-024	Ranges	1,050	TN12-024	A/E to evaluate need/location for additional parking	1,000
Oneida Housing	Refrigerators	1,500	Oneida Housing	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	4,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	53,750		SUB-TOTAL	3,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-025	Ranges	1,050	TN12-025	A/E to evaluate need/location for additional parking	1,000
Pleasant Ridge	Refrigerators	1,500	Pleasant Ridge	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	11,000			
	Window Replacement	4,500			
	Interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	43,250		SUB-TOTAL	3,000
TN12-033	Ranges	1,050	TN12-033	A/E to evaluate need/location for additional parking	1,000
New Tazewell	Refrigerators	1,500	New Tazewell	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000			
	Parking	10,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	42,250		SUB-TOTAL	3,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-037	Ranges	1,050	TN12-037	A/E to evaluate need/location for additional parking	1,000
Shady Grove Estates	Refrigerators	1,500	Shady Grove Estates	Exterior renovations	1,000
	Bathroom Renovations	3,000		Tek-Rail/Shutters	1,000
	Kitchen Renovations	6,000		Window replacement	80,000
	Parking	1,000			
	Exterior doors/screen doors	3,000			
	Closet doors/interior doors	3,000			
	Flooring	4,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	36,250		SUB-TOTAL	83,000
TN12-038	Ranges	1,050	TN12-038	A/E to evaluate need/location for additional parking	1,000
Luttrell Housing	Refrigerators	1,500	Luttrell Housing	Exterior renovations	1,000
	Bathroom Renovations	3,000		Landscaping	1,000
	Kitchen Renovations	6,000		Tek-Rail/Shutters	1,000
	Parking	1,000			
	Exterior doors/screen doors	6,000			
	Window Replacement	4,500			
	Closet doors/interior doors	3,000			
	Flooring	4,500			
	Water heaters	1,200			
	Interior walls/ceilings	12,000			
	SUB-TOTAL	43,750		SUB-TOTAL	4,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-041	Floor covering	49,652	TN12-041	Commercial washers/gas dryers	8,000
Russell Towers			Russell Towers	Handicap bathroom 2 nd floor	10,000
	SUB-TOTAL	49,652		SUB-TOTAL	18,000
PHA-Wide Management Improvements	a. Operations	1,000	PHA-Wide Management Improvements	a. Operations	1,000
	b. Provide Extra Security	92,000		b. Provide Extra Security	92,000
	TN12-001 21,650			TN12-001 21,650	
	TN12-003 15,000			TN12-003 15,000	
	TN12-005 6,800			TN12-005 6,800	
	TN12-006 13,500			TN12-006 13,500	
	TN12-008 10,500			TN12-008 10,500	
	TN12-009 5,200			TN12-009 5,200	
	TN12-013 4,200			TN12-013 4,200	
	TN12-019 2,550			TN12-019 2,550	
	TN12-020 1,800			TN12-020 1,800	
	TN12-023 4,200			TN12-023 4,200	
	TN12-037 2,500			TN12-037 2,500	
	TN12-038 4,100			TN12-038 4,100	
	c. Provide VISTA workers for resident programs	50,000		c. Provide VISTA workers for resident programs	50,000
	d. PHA Staff-Commissioner training	10,000		d. PHA Staff-Commissioner training	10,000
	SUB-TOTAL	153,000		SUB-TOTAL	153,000
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: _5__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	19,767	PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	19,767
SUB-TOTAL		19,767	SUB-TOTAL		19,767
Contingency		0	Contingency		0
Total CFP Estimated Cost		\$1,731,588			\$1,731,588

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)						
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	372,992		372,992	372,992	
3	1408 Management Improvements	152,397	79,398	79,398	79,398	
4	1410 Administration	66,800	40,385	40,385	40,385	
5	1411 Audit	0				
6	1415 Liquidated Damages	0				
7	1430 Fees and Costs	122,526	137,835	137,835	137,835	
8	1440 Site Acquisition	0				
9	1450 Site Improvement	120,000	6,688	6,688	6,688	
10	1460 Dwelling Structures	980,245	1,181,438	1,181,438	1,181,438	
11	1465.1 Dwelling Equipment—Nonexpendable	0				
12	1470 Nondwelling Structures	0				
13	1475 Nondwelling Equipment	50,000	46,224	46,224	46,224	
14	1485 Demolition	0				
15	1490 Replacement Reserve	0				
16	1492 Moving to Work Demonstration	0				
17	1495.1 Relocation Costs	0				
18	1499 Development Activities	0				
19	1501 Collateralization or Debt Service	0				
20	1502 Contingency	0				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,864,960		1,864,960	1,864,960	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security	92,000	41,398	41,398	41,398	
25	Amount of line 21 Related to Energy Conservation Measures					

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-02 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001	A/E Fees	1430	1	80,700	102,583	102,583	102,583	Work Complete
Alexander Hgts.	HVAC	1460	62 units	188,001	192,570	192,570	192,570	Work Complete
	Electrical Upgrade	1460	62 units	155,000	159,692	159,692	159,692	Work Complete
	Re-roofing	1460	13 bldgs.	210,244	450,000	450,000	450,000	Work Complete
	Exterior doors/screen doors	1460	62 units	90,000		90,000	90,000	Work Complete
	SUB-TOTAL			994,845		994,845	994,845	
2. TN12-003	Sewer line replacement new laterals (DEFER)	1450	LS	40,000	0	0	0	Deferred 2006
Wortham Park								
	SUB-TOTAL			0		0	0	
3. TN12-005	Re-roofing	1460	34	215,000	194,679	194,679	194,679	Work Complete
Jamestown								
	SUB-TOTAL			194,679		194,679	194,679	
4. TN12-007	A/E Fees (DEFER)	1430	LS	2,400	0	0	0	Deferred 2008
Lake City	Parking (DEFER)	1450	LS	40,000	0	0	0	Deferred 2008
	SUB-TOTAL			0		0	0	
5. TN12-012	HVAC	1460	1	2,000	749	749	749	Work Complete
Oneida								
	SUB-TOTAL			749		749	749	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
6. TN12-020	A/E Fees	1430	LS	6,426		6,426	6,426	Work Complete
Jacksboro	Re-roofing	1460	8 bldgs.	100,000	79,142	79,142	79,142	Work Complete
	Guttering	1460	8 Bldgs.	20,000	14,606	14,606	14,606	Work Complete
	SUB-TOTAL			100,174		100,174	100,174	
7. TN12-025	A/E Fees (Deleted)	1430	LS	2,400	0	0	0	Deleted
Pleasant Ridge	Landscaping	1450	LS	40,000	6,688	6,688	6,688	Work Complete
	SUB-TOTAL			6,688		6,688	6,688	
8. PHA-Wide Management Improvements	a. Operations	1406		372,992		372,992	372,992	Work Complete
	SUB-TOTAL			372,992		372,992	372,992	
	b. Provide Extra Security	1408	12 locations	92,000	41,398	41,398	41,398	Work Complete
	TN12-001	8,067				8,067	8,067	
	TN12-003	6,830				6,830	6,830	
	TN12-005	4,512				4,512	4,512	
	TN12-006	6,920				6,920	6,920	

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
	TN12-008	5,798					5,798	5,798	
	TN12-009	2,509					2,509	2,509	
	TN12-013	1,652					1,652	1,652	
	TN12-019	1,275					1,275	1,275	
	TN12-020	600					600	600	
	TN12-023	1,944					1,944	1,944	
	TN12-037	826					826	826	
	TN12-038	465					465	465	
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000	38,000	38,000	38,000	38,000	Work Complete
	d. PHA Staff/Commissioner training	1408		10,397	0	0	0	0	Deleted
	SUB-TOTAL			79,398			79,398	79,398	
9. PHA-Wide Administrative Costs	a. Advertising	1410		3,000	2,131	2,131	2,131	2,131	Work Complete
	b. Admin/CFP Clerk	1410		63,800	38,254	38,254	38,254	38,254	Work Complete
	SUB-TOTAL			40,385			40,385	40,385	
10. PHA-Wide Fees and Costs	a. Agency Plan	1430		3,000		3,000	3,000	3,000	Work Complete
	b. Environmental Review	1430		5,000	2,000	2,000	2,000	2,000	Work Complete
	c. Master Plan for TN12-001	1430		25,000	23,826	23,826	23,826	23,826	Work Complete
	SUB-TOTAL			28,826			28,826	28,826	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
11. PHA-Wide Non-Dwelling Equipment	Office Furniture/Equipment/Computers	1475		50,000	46,224	46,224	46,224	Work Complete
	SUB-TOTAL			46,224		46,224	46,224	
12. PHA-Wide	Contingency (Deleted)	1502		0		0	0	Deleted
	SUB-TOTAL			0		0	0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-02 Replacement Housing Factor No:				Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN12-001 Alexander Hgts.	09/30/2004		06/30/2004	09/30/2006		03/31/2005	
TN12-003 Wortham Park	09/30/2004		06/30/2004				Deferred 2006
TN12-005 Jamestown	09/30/2004		03/31/2004	09/30/2006		03/31/2004	New work items
TN12-012 Oneida	09/30/2004		09/30/2003	09/30/2006		03/31/2004	New work items
TN12-007 Lake City	09/30/2004		06/30/2004				Deferred 2008
TN12-020 Jacksboro	09/30/2004		03/31/2003	09/30/2006		03/31/2004	
TN12-025 Pleasant Ridge	09/30/2004		9/30/2003	09/30/2006		03/31/2004	

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-02 Replacement Housing Factor No:				Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide Management Improvements							
a.	09/30/2004		03/31/2003	09/30/2006		06/30/2004	
b.	09/30/2004		03/31/2003	09/30/2006		03/31/2005	
c.	09/30/2004		09/30/2003	09/30/2006		12/31/2003	
d.	09/30/2004		09/30/2003	09/30/2006		00	Deleted
PHA-Wide Administrative Costs							
a.	09/30/2004		03/31/2003	09/30/2006		06/30/2004	
b.	09/30/2004		03/31/2003	09/30/2006		09/30/2004	
PHA-Wide Fees and Costs							
a.	09/30/2004		06/30/2003	09/30/2006		06/30/2003	
b.	09/30/2004		09/30/2003	09/30/2006		06/30/2003	
c.	09/30/2004		06/30/2003	09/30/2006		03/31/2004	
PHA-Wide Non- Dwelling Equipment	09/30/2004		09/30/2003	09/30/2006		12/31/2003	
PHA-Wide Contingency	09/30/2004			09/30/2006		00	Deleted

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	1,000		1,000	0
3	1408 Management Improvements	157,241	147,241	147,241	108,946
4	1410 Administration	66,800	3,100	3,100	570
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	144,771	141,771	141,771	66,551
8	1440 Site Acquisition	0			
9	1450 Site Improvement	73,750		73,750	23,750
10	1460 Dwelling Structures	918,811	1,107,521	1,107,521	734,042
11	1465.1 Dwelling Equipment—Nonexpendable	43,400	6,290	6,290	0
12	1470 Nondwelling Structures	0			
13	1475 Nondwelling Equipment	50,000	100	100	0
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	34,000	9,000	9,000	3,438
18	1499 Development Activities	0			
19	1501 Collateralization or Debt Service	0			
20	1502 Contingency	0			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,489,773		1,489,773	937,297
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security	92,000		92,000	68,946
25	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001	A/E Fees	1430	1	114,571		114,571	61,551	Work in Progress
Alexander Hgts.	Porches/sidewalks	1450	LS	20,000		20,000	20,000	Work Complete
	Pedestal Mailboxes	1450	LS	3,750		3,750	3,750	Work Complete
	Landscaping/parking	1450	LS	50,000		50,000	0	Work in Progress
	HVAC	1460	LS	3,000		3,000	3,000	Work Complete
	Electrical Upgrade	1460	LS	3,000		3,000	3,000	Work Complete
	Re-roofing/guttering	1460	LS	3,000		3,000	3,000	Work Complete
	Windows	1460	19 units	33,500		33,500	33,500	Work Complete
	Kitchens	1460	35 units	99,600		99,600	99,600	Work Complete
	Bathrooms	1460	24 units	49,000		49,000	49,000	Work Complete
	Water Heaters	1460	62 units	18,600		18,600	18,600	Work Complete
	Flooring	1460	24 units	49,000		49,000	49,000	Work Complete
	Closet Doors/ Interior Doors	1460	62 units	75,000	160,000	160,000	128,084	Work in Progress
	Interior walls/ceiling	1460	62 units	75,000	150,000	150,000	150,000	Work Complete
	Smoke Detectors	1460	62 units	6,200	13,000	13,000	12,400	Work in Progress
	Siding, façade	1460	38 units	118,911	218,911	218,911	112,948	Work in Progress
	Appliances	1465.1	62 units	43,400	6,290	6,290	0	Work in Progress
	Relocation Assistance	1495.1	62 units	31,000	6,000	6,000	3,438	Work in Progress
	SUB-TOTAL			1,001,222		1,001,222	750,871	
2. TN12-002	Ceiling replacement (DEFER)	1460	6 units	15,000		15,000	0	Deferred 2006
Alexander Hts. Addition	Relocation Assistance (DEFER)	1495.1	6 units	3,000		3,000	0	Deferred 2006
	SUB-TOTAL			18,000		18,000	0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
3. TN12-017	A/E Fees	1430	LS	15,600		15,600	0	No Work To Date
Wortham Park II	Re-roofing/guttering	1460	50 units	260,000	160,000	160,000	0	No Work To Date
	SUB-TOTAL			175,600		175,600	0	
4. TN12-037	A/E Fees (DEFER)	1430	LS	3,600		3,600	0	Deferred 2009
Shady Grove Estates	Replace windows (DEFER)	1460	30 units	60,000		60,000	0	Deferred 2009
	SUB-TOTAL			63,600		63,600	0	
5. TN12-038	A/E Fees	1430	LS	3,000		3,000	0	Work in Progress
Luttrell Housing	Replace exterior doors and screen doors	1460	50 units	50,000	71,910	71,910	71,910	Work Complete
	SUB-TOTAL			74,910		74,910	71,910	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-03 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
6. PHA-Wide Management Improvements	a. Operations	1406		1,000		1,000	0	Work in Progress
	SUB-TOTAL			1,000		1,000	0	
	b. Provide Extra Security	1408	12 locations	92,000		92,000	68,946	Work in Progress
	TN12-001	21,650					20,688	Work in Progress
	TN12-003	15,000					10,384	Work in Progress
	TN12-005	6,800					0	Work in Progress
	TN12-006	13,500					11,528	Work in Progress
	TN12-008	10,500					9,308	Work in Progress
	TN12-009	5,200					4,742	Work in Progress
	TN12-013	4,200					4,063	Work in Progress
	TN12-019	2,550					1,845	Work in Progress
	TN12-020	1,800					360	Work in Progress
	TN12-023	4,200					3,588	Work in Progress
	TN12-037	2,500					2,440	Work in Progress
	TN12-038	4,100					0	Work in Progress
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000	40,000	40,000	40,000	Work Complete
	d. PHA Staff/Commissioner training	1408		15,241		15,241	0	Work in Progress
	SUB-TOTAL			147,241		147,241	108,946	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
7. PHA-Wide Administrative Costs	a. Advertising	1410		3,000		3,000	570	Work in Progress
	b. Admin/CFP Clerk	1410		63,800	100	100	0	Work in Progress
	SUB-TOTAL			3,100		3,100	570	
8. PHA-Wide Fees and Costs	a. Agency Plan	1430		3,000		3,000	3,000	Work Complete
	b. Environmental Review	1430		5,000	2,000	2,000	2,000	Work Complete
	SUB-TOTAL			5,000		5,000	5,000	
9. PHA-Wide Non-Dwelling Equipment	Office Furniture/Equipment/Computers	1475		50,000	100	100	0	Work in Progress
	SUB-TOTAL			100		100	0	
10. PHA-Wide	Contingency	1502		0				
	SUB-TOTAL			0				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-03 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1. TN12-001 Alexander Hgts.	09/30/2005		09/30/2004	09/30/2007			
2. TN12-002 Alexander Hgts. Add.	09/30/2005			09/30/2007			
3. TN12-017 Wortham Park II	09/30/2005			09/30/2007			
4. TN12-037 Shade Grove Estates	09/30/2005			09/30/2007			
5. TN12-038 Luttrell Housing	09/30/2005			09/30/2007			
6. PHA-Wide Management Improvements							
a.	09/30/2005		09/30/2004	09/30/2007			
b.	09/30/2005		09/30/2004	09/30/2007			
c.	09/30/2005		09/30/2004	09/30/2007			
d.	09/30/2005		09/30/2004	09/30/2007			
7. PHA-Wide Administrative Costs							
a.	9/30/2005			09/30/2007			
b.	9/30/2005			09/30/2007			

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-03 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
8. PHA-Wide Fees And Costs							
a.	09/30/2005		03/31/2004	09/30/2007		03/31/2004	
b.	09/30/2005		03/31/2004	09/30/2007		03/31/2004	
9. PHA-Wide Non- Dwelling Equipment	09/30/2005		09/30/2004	09/30/2007			
10. PHA-Wide Contingency	09/30/2005			09/30/2007			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-502-03 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations	0			
3	1408 Management Improvements	0			
4	1410 Administration	0			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	3,000		3,000	3,000
8	1440 Site Acquisition	0			
9	1450 Site Improvement	0			
10	1460 Dwelling Structures	301,628		301,628	301,628
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Nondwelling Structures	0			
13	1475 Nondwelling Equipment	0			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	0			
18	1499 Development Activities	0			
19	1501 Collateralization or Debt Service	0			
20	1502 Contingency	0			
21	Amount of Annual Grant: (sum of lines 2 – 20)	304,628		304,628	304,628
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security				
25	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	1,000		1,000	0
3	1408 Management Improvements	157,241		107,241	0
4	1410 Administration	66,800		66,800	572
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	122,571		122,571	49,817
8	1440 Site Acquisition	0			
9	1450 Site Improvement	63,750		63,750	0
10	1460 Dwelling Structures	1,192,826		1,192,826	0
11	1465.1 Dwelling Equipment—Nonexpendable	43,400		43,400	
12	1470 Nondwelling Structures	0			
13	1475 Nondwelling Equipment	50,000		50,000	0
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	34,000		34,000	0
18	1499 Development Activities	0			
19	1501 Collateralization or Debt Service	0			
20	1502 Contingency	0			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,731,588		1,681,588	50,389
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security	92,000		92,000	
25	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001	A/E Fees	1430	1	114,571		114,571	41,817	Work In Progress
Alexander Hgts.	Porches/sidewalks	1450	LS	60,000		60,000		Work In Progress
	Pedestal Mailboxes	1450	LS	3,750		3,750		Work In Progress
	HVAC	1460	20 units	160,000		160,000		Work In Progress
	Electrical Upgrade	1460	20 units	140,000		140,000		Work In Progress
	Re-roofing/guttering	1460	20 units	250,000		250,000		Work In Progress
	Windows	1460	20 units	50,000		50,000		Work In Progress
	Kitchens	1460	20 units	130,000		130,000		Work In Progress
	Bathrooms	1460	20 units	75,000		75,000		Work In Progress
	Water Heaters	1460	20 units	18,600		18,600		Work In Progress
	Flooring	1460	20 units	53,026		53,026		Work In Progress
	Closet Doors/ Interior Doors	1460	20 units	75,000		75,000		Work In Progress
	Interior walls/ceiling	1460	20 units	75,000		75,000		Work In Progress
	Smoke Detectors	1460	20 units	6,200		6,200		Work In Progress
	Siding, façade	1460	20 units	160,000		160,000		Work In Progress
	Appliances	1465.1	62 units	43,400		43,400		Work In Progress
	Relocation Assistance	1495.1	LS	34,000		34,000		Work In Progress
	SUB-TOTAL			1,448,547		1,448,547	41,817	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
2. PHA-Wide Management Improvements	a. Operations	1406		1,000		1,000		Work In Progress
	SUB-TOTAL			1,000		1,000		
	b. Provide Extra Security	1408	12 locations	92,000		92,000		Work In Progress
	TN12-001	21,650						
	TN12-003	15,000						
	TN12-005	6,800						
	TN12-006	13,500						
	TN12-008	10,500						
	TN12-009	5,200						
	TN12-013	4,200						
	TN12-019	2,550						
	TN12-020	1,800						
	TN12-023	4,200						
	TN12-037	2,500						
	TN12-038	4,100						
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000				
	d. PHA Staff/Commissioner training	1408		15,241		15,241		Work In Progress
	SUB-TOTAL			157,241		107,241		

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
3. PHA-Wide Administrative Costs	a. Advertising	1410		3,000		3,000	572	Work In Progress
	b. Admin/CFP Clerk	1410		63,800		63,800		Work In Progress
	SUB-TOTAL			66,800		66,800	572	
4. PHA-Wide Fees and Costs	a. Agency Plan	1430		3,000	5,000	5,000	5,000	Work Complete
	b. Environmental Review	1430		5,000	3,000	3,000	3,000	Work Complete
	SUB-TOTAL			8,000		8,000	8,000	Work Complete
5. PHA-Wide Non-Dwelling Equipment	Office Furniture/Equipment/Computers	1475		50,000		50,000		Work In Progress
	SUB-TOTAL			50,000		50,000		
6. PHA-Wide	Contingency	1502		0				
	SUB-TOTAL			0				

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-04 Replacement Housing Factor No:				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1. TN12-001 Alexander Hgts.	9/06/2006		03/31/2005	09/05/2008			
2. PHA-Wide Management Improvements							
a.	9/06/2006		03/31/2005	09/05/2008			
b.	9/06/2006		03/31/2005	09/05/2008			
c.	9/06/2006			09/05/2008			
d.	9/06/2006		03/31/2005	09/05/2008			
3. PHA-Wide Administrative Costs							
a.	9/06/2006		03/31/2005	09/05/2008			
b.	9/06/2006		03/31/2005	09/05/2008			
4. PHA-Wide Fees And Costs							
a.	9/06/2006		12/31/2004	09/05/2008			
b.	9/06/2006		12/31/2004	09/05/2008			
5. PHA-Wide Non- Dwelling Equipment	9/06/2006		03/31/2005	09/05/2008			
6. PHA-Wide Contingency	9/06/2006		03/31/2005	09/05/2008			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-01			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	40,031		0	0
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	40,031		0	0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-01				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Development Activities	1499	LS	40,031		0	0	No work to date – proposed use of funding - See tn012q01

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: TN37-RO12-501-01				Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	06/30/2003			12/31/2004			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-02			Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	48,526		0	0
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	48,526		0	0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-02			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Development Activities	1499	LS	48,526		0	0	No work to date – proposed use of funding - See tn012q01

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: TN37-RO12-501-02				Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	06/30/2004			12/31/2005			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-03			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	38,764		0.00	0.00
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	38,764		0.00	0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-03				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Development Activities	1499	LS	38,764				No work to date – proposed use of funding – See tn012q01

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: TN37-RO12-501-03				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	06/30/2005			06/30/2007			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-04			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2005 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities		38,763		
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)		38,763		
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-04				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Development Activities	1499	LS	38,764				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: TN37-RO12-501-04				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	06/30/2006			06/30/2008			

**LAFOLLETTE HOUSING AUTHORITY
REPLACEMENT HOUSING FACTOR
FIRST INCREMENT PLAN**

1. A Brief Description

LaFollette Housing Authority (LHA) proposes to construct two-one bedroom apartments at their Luttrell, Tennessee development (TN12-038). This will be done by the sealed bid method on existing property owned by the housing authority. The structure type is to be duplex to match existing apartments at this development.

2. Schedule

Site Acquisition	Already property of LHA
Hire Architect	March 2006
Schematic Design	October 2006
Submit Development Proposal to HUD	March 2007
Construction Bid Date	October 2007
Construction	December 2007
Date of Funding Availability	December 2008

*These dates are based on the submission of proposal being March 2007. If submitted earlier, dates will change accordingly.

3. Amount and Sources of Funding

LaFollette Housing Authority plans to use Replacement Housing Factor funds to complete this first phase. It is the hope of LHA that additional funding (2nd Increment) be available to construct an additional four-one bedroom apartments. LHA will work with local institution to secure a Letter of Commitment, and pay back a to-be-determined amount through the Capital Fund Program.

4. Plan and Obligation/Expenditure Status

The LaFollette Housing Authority has received approval on Agency Plans for FY2000, FY2001, FY2002, FY2003, and FY2004. LHA is in the process of preparing and submitting the FY2005 Agency Plan.

The LaFollette Housing Authority is in compliance with obligation and expenditure deadlines for all approved funding.

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
TN012-001	62	82%	See attachment tn012a01
TN012-004	50	154% - very stable neighborhood, many long-time working residents	See attachment tn012a01
TN012-006	42	78% - stable neighborhood	See attachment tn012a01
TN012-012		75% - stable neighborhood	See attachment tn012a01
TN012-016	30	80%	See attachment tn012a01
TN012-024	8	147% - stable neighborhood	See attachment tn012a01
TN012-025		77%	See attachment tn012a01

**STATEMENT OF PROGRESS IN MEETING
5-YEAR PLAN MISSION AND GOALS****LAFOLLETTE HOUSING AUTHORITY**

The LaFollette Housing Authority's goal is to provide drug free, decent, safe and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.

The LaFollette Housing Authority mission statement is as follows:

- The LaFollette Housing Authority is committed to providing quality, affordable housing that is decent and safe, to eligible families in this community.
 - We strive to make the best use of all available resources so that our residents may live in an environment that is clean, well-maintained and attractive.
 - Our goal is to manage our public housing units in a manner that is consistent with good, financially sound property management practices.
 - By taking advantage of available community and government resources, we intend to provide our residents with as many opportunities for economic self-sufficiency as we can identify.
 - We endeavor to instill pride and a desire for an enhanced quality of life for our residents and their families.
 - We are committed to serving our residents and this entire community in a manner that demonstrates professional courtesy, respect and caring.
1. The LHA continues to improve the quality of assisted housing in our jurisdiction by renovating our public housing units. The main emphasis for FY2005 funding is the comprehensive renovation of TN12-001, our oldest development. Phase II of these renovations is in progress.
 2. The LHA continues to implement public housing security improvements. The LHA also has a good working relationship with local law enforcement.
 3. The LHA continues to undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability. The LHA staff has continuing education and training in civil rights, drug elimination activities and equal housing opportunities.
 4. The LHA continues to be a high-performer. It is the intention of the LHA to continuously strive daily to maintain high quality management standards thus providing a better quality of living for all residents.

PET POLICY**LAFOLLETTE HOUSING AUTHORITY**

The LaFollette Housing Authority (LHA) has established guidelines for implementing a Pet Policy. Below is an abbreviated list. A complete Pet Policy is available upon request, at the Main Office of the LaFollette Housing Authority.

1. Written request for pet by Head of Household required.
2. Written approval by LHA prior to housing pet.
3. Resident required to sign a Pet Lease
4. Definition of household/companion animal established
5. Pets must be controlled by leash, pet carrier or cage at all times. They are not permitted to be outside unattended.
6. One dog or one cat or other allowable pet.
7. Size of dogs –20 lbs. (max.) when fully mature. Animals that assist, support or provide service to person with disabilities are exempt from this requirement.
8. No animals of aggressive disposition permitted.
9. Animals must be spayed or neutered.
10. Residents responsible for animal's proper care.
11. Residents to clean up after pet.
12. No alterations to be made to dwelling unit – inside or outside
13. Registration of pets required.
14. Responsible for supplying names of two adult caretakers, in case of emergency
15. Pet deposit of \$50.00.

**IMPLEMENTATION OF PUBLIC HOUSING RESIDENT COMMUNITY
SERVICE REQUIREMENTS****LAFOLLETTE HOUSING AUTHORITY**

The LaFollette Housing Authority (LHA) has established guidelines for implementing the Community Service Requirement. Below is an abbreviated list. A complete Community Service Policy is available upon request, at the Main Office of the LaFollette Housing Authority.

1. Notification

Resident's file is checked at Re-Certification to see if they are exempt from completing this requirement. If the resident is not exempt, they are given a list of Community Service options.

2. Community Service Options

The list of Community Service options is given as a suggestion. The residents are allowed to do anything that meets the requirement.

3. Community Service Reporting

The LHA gives resident a list of Community Service Options that meet the requirement. Resident is responsible for selecting an activity and fulfilling their eight (8) hour per month requirement. Resident is given a form to be filled out monthly and turned back in to the LHA.

4. Failure to Comply

If Resident fails to comply, they are given an opportunity to enter into an agreement prior to the anniversary date of the lease. If no attempt has been made to fulfill this requirement, the Resident is in violation of their lease and the lease will not eligible for renewal.