

# PHA Plans

## Streamlined 5-Year/Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian Housing

OMB No. 2577-0226  
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined 5-Year Plan for Fiscal Years 2005 - 2009

## Streamlined Annual Plan for Fiscal Year 2005

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.**

## Streamlined Five-Year PHA Plan Agency Identification

**PHA Name: Pennington County Housing and Redevelopment Commission**      **PHA Number: SD045**  
**PHA Fiscal Year Beginning: 04/2005**

**PHA Programs Administered:**

**Public Housing and Section 8**       **Section 8 Only**       **Public Housing Only**  
 Number of public housing units: 500      Number of S8 units:      Number of public housing units:  
 Number of S8 units: 1195

**PHA Consortia: (check box if submitting a joint PHA Plan and complete table)**

| Participating PHAs   | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: |          |                                       |                                |                         |
| Participating PHA 2: |          |                                       |                                |                         |
| Participating PHA 3: |          |                                       |                                |                         |

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting:**  
 (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

## Streamlined Five-Year PHA Plan

### PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

#### **A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is:  
**To assure the availability of adequate, affordable housing to the low-income citizens of our community through the responsible administration of assistance programs and the promotion of personal responsibility and economic self-sufficiency of our clients.**

#### **B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

#### **HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)
    1. Acquire additional land for future development.
    2. Seek funding for vouchers for homeless families with children.
    3. Investigate funding for the homeless under continuum of care grants such as Shelter Plus Care.
    4. Seek funding under the Family Unification Program and work with local social services agencies to address the housing needs of young adults coming out of foster care programs.
- PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score)
- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

1. Achieve High Performer Status – Public Housing
2. Achieve High Performer Status – Section 8

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program: (Has been implemented.)
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists: (Has been implemented.)
- Convert public housing to vouchers:
- Other: (list below)

1. Maintain a policy of incentive transfers for public housing residents.
2. Increase participation in Section 8 Homeownership program by at least five families.

**HUD Strategic Goal: Improve community quality of life and economic vitality**

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

1. Work closely with local law enforcement agencies to provide crime prevention activities including additional police patrols and community policing efforts in public housing developments.

2. Work with local law enforcement agencies to provide for the exchange of information to aid in applicant screening, lease enforcement, and local law enforcement efforts.
3. Seek to expand applicant screening to include Statewide and/or Nationwide criminal background checks.
4. Develop an agreement with local law enforcement agencies to allow for occupancy of public housing units by police officers.

The Pennington County Housing and Redevelopment Commission has entered into agreements for police officers to occupy a Public Housing unit in projects SD06P045002, SD06P0045004 and SD06P045005/6. Special flat rents for these units have been established.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:

Provide or attract supportive services to increase independence for the elderly or families with disabilities.

Other: (list below)

1. Maintain policies to encourage participation in budget counseling educational opportunities by housing program participants.
2. Implement a Self-Sufficiency program independent of HUD mandated Section 8 FSS Plan.
3. Maintain an admission preference for families who work or are participating in a PCHRC Self-sufficiency program. (Project SD06P045010 only)
4. Seek to make additional services available to residents of Public Housing developments for the elderly and disabled.

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Other: (list below)

1. Provide PHA staff with adequate training in the areas of Equal Opportunity, Fair Housing, and Reasonable Accommodations.

**Other PHA Goals and Objectives: (list below)**

**Goal:** Maintain an efficient and capable team of employees who are willing and able to perform their duties to the highest professional standards.

**Objectives:**

1. Strive to maintain an attractive work environment and competitive wage and benefit package in order to attract and retain high quality employees.
2. Provide adequate training, both in-house and through outside sources, to give employees the necessary skills to excel in their duties.

**Goal:** Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.

**Objectives:**

1. Have no significant audit findings.

**Goal:** Operate so that income exceeds expenses every year.

**Goal:** Enhance the image of public housing in our community.

**Objectives:**

1. Maintain all public housing units to standards that meet the surrounding neighborhood.
2. Respond to all complaints or concerns from local government or the citizens of our community within 48 hours.

## **Streamlined Annual PHA Plan PHA Fiscal Year 2005**

[24 CFR Part 903.12(b)]

### **Table of Contents**

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

**A. ANNUAL STREAMLINED PHA PLAN COMPONENTS**

- 1. Housing Needs
- 2. Financial Resources
- 3. Policies on Eligibility, Selection and Admissions
- 4. Rent Determination Policies
- 5. Capital Improvements Needs
- 6. Demolition and Disposition
- 7. Homeownership
- 8. Civil Rights Certifications (included with PHA Certifications of Compliance)
- 9. Additional Information
  - a. PHA Progress on Meeting 5-Year Mission and Goals
  - b. Criteria for Substantial Deviations and Significant Amendments
  - c. Other Information Requested by HUD
    - i. Resident Advisory Board Membership and Consultation Process
    - ii. Resident Membership on the PHA Governing Board
    - iii. PHA Statement of Consistency with Consolidated Plan
    - iv. (Reserved)
- 10. Project-Based Voucher Program
- 11. Supporting Documents Available for Review
- 12. FY 2005 Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
- 13. Capital Fund Program 5-Year Action Plan
- 14. Other (List below, providing name for each item)
  - Attachment A: Analysis of Site-Based Waiting Lists.
  - Attachment B: Statement of Progress in Mission and Goals
  - Attachment C: Summary of Comments from Resident Advisory Panel and the Public

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;**

**Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.**

**For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:**

**Form HUD-50070, Certification for a Drug-Free Workplace;**

**Form HUD-50071, *Certification of Payments to Influence Federal Transactions;***

**Form SF-LLL & SF-LLLa, *Disclosure of Lobbying Activities.***

**Executive Summary (optional)**

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

**1. Statement of Housing Needs** [24 CFR Part 903.12 (b), 903.7(a)]

**A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| <b>Housing Needs of Families on the PHA’s Waiting Lists</b> |   |                     |                 |
|---|---|---------------------|-----------------|
| Waiting list type: (select one)                             |   |                     |                 |
| <input checked="" type="checkbox"/>                         | Section 8 tenant-based assistance                                       |                     |                 |
| <input type="checkbox"/>                                    | Public Housing  |                     |                 |
| <input type="checkbox"/>                                    | Combined Section 8 and Public Housing                                   |                     |                 |
| <input type="checkbox"/>                                    | Public Housing Site-Based or sub-jurisdictional waiting list (optional) |                     |                 |
| If used, identify which development/subjurisdiction:        |   |                     |                 |
|   | # of families   | % of total families | Annual Turnover |
| Waiting list total  | 670   |                     | 303 Vouchers    |
| Extremely low income<br><=30% AMI                           | 532   | 79%                 |                 |
| Very low income<br>(>30% but <=50% AMI)                     | 138   | 21%                 |                 |
| Low income<br>(>50% but <80% AMI)                           | 0   | 0%                  |                 |
| Families with children                                      | 430   | 64%                 |                 |
| Elderly families  | 32  | 5%                  |                 |
| Families with Disabilities                                  | 94  | 14%                 |                 |
| Race – White  | 392   | 59%                 |                 |
| Race –Black   | 12  | 2%                  |                 |
| Race - Indian/Alaskan                                       | 261   | 39%                 |                 |
| Race – Asian  | 5   | 1%                  |                 |
| Ethnicity – Hispanic  | 25  | 4%                  |                 |
| Ethnicity – Non Hispanic                                    | 645   | 96%                 |                 |
| Characteristics by Bedroom Size (Public Housing Only)       |   |                     |                 |
| 1BR   |   |                     |                 |
| 2 BR  |   |                     |                 |
| 3 BR  |   |                     |                 |
| 4 BR  |   |                     |                 |
| 5 BR  |   |                     |                 |
| 5+ BR   |   |                     |                 |

| <b>Housing Needs of Families on the PHA's Waiting Lists</b>   |  |
|---|--|
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes          |  |
| If yes:   |  |
| How long has it been closed (# of months)?  |  |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes |  |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed?                  |  |
| <input type="checkbox"/> No <input type="checkbox"/> Yes  |  |

| <b>Housing Needs of Families on the PHA's Waiting Lists</b>   |               |                     |                 |
|---|---------------|---------------------|-----------------|
| Waiting list type: (select one)   |               |                     |                 |
| <input type="checkbox"/> Section 8 tenant-based assistance  |               |                     |                 |
| <input checked="" type="checkbox"/> Public Housing  |               |                     |                 |
| <input type="checkbox"/> Combined Section 8 and Public Housing  |               |                     |                 |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)                      |               |                     |                 |
| If used, identify which development/subjurisdiction:  |               |                     |                 |
|   | # of families | % of total families | Annual Turnover |
| Waiting list total  | 296           |                     | 147 Units       |
| Extremely low income<br><=30% AMI   | 216           | 73%                 |                 |
| Very low income<br>(>30% but <=50% AMI)   | 59            | 20%                 |                 |
| Low income<br>(>50% but <80% AMI)   | 21            | 7%                  |                 |
| Families with children  | 165           | 56%                 |                 |
| Elderly families  | 26            | 9%                  |                 |
| Families with Disabilities  | 44            | 15%                 |                 |
| Race - White  | 185           | 63%                 |                 |
| Race - Black  | 9             | 2%                  |                 |
| Race - Indian/Alaskan   | 101           | 34%                 |                 |
| Race - Asian  | 3             | 1%                  |                 |
| Ethnicity - Hispanic  | 10            | 3%                  |                 |
| Ethnicity - Non Hispanic  | 286           | 97%                 |                 |
| Characteristics by Bedroom Size (Public Housing Only)   |               |                     |                 |
| 1BR   | 78            | 26%                 | 43              |
| 2 BR  | 192           | 65%                 | 27              |
| 3 BR  | 16            | 5%                  | 67              |
| 4 BR  | 10            | 4%                  | 10              |
| 5 BR  |               |                     |                 |
| 5+ BR   |               |                     |                 |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes          |               |                     |                 |
| If yes:   |               |                     |                 |
| How long has it been closed (# of months)?  |               |                     |                 |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes |               |                     |                 |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed?                  |               |                     |                 |
| <input type="checkbox"/> No <input type="checkbox"/> Yes  |               |                     |                 |

**B. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

We will seek to acquire land for future development of affordable housing and will seek to acquire or develop additional housing units based on needs or opportunities that may arise. We will apply for any additional Section 8 assistance that becomes available. These strategies have been adopted for the following reasons. Affordable building sites are difficult to find in the Rapid City area. Prices continue to rise. We feel that it is vital that acquire property now in order to allow for future development. Acquiring existing rental properties is an alternative to developing new units. Applying for Section 8 assistance is not dependent on locating or financing additional property and provides flexibility in addressing the housing needs of our community.

**(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.

- Other: (list below)
1. Submit applications for special purpose vouchers. (ie Mainstream, Family Unification program).

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working (Project SD06P045010 only)
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

- Other: (list below)  
1. Conduct an assessment of all Public Housing properties to evaluate 504 compliance and potential for increased accessibility for families with disabilities.

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs  
 Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units  
 Market the section 8 program to owners outside of areas of poverty /minority concentrations  
 Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints  
 Staffing constraints  
 Limited availability of sites for assisted housing  
 Extent to which particular housing needs are met by other organizations in the community  
 Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA  
 Influence of the housing market on PHA programs  
 Community priorities regarding housing assistance  
 Results of consultation with local or state government  
 Results of consultation with residents and the Resident Advisory Board  
 Results of consultation with advocacy groups  
 Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| <b>Financial Resources:<br/>Planned Sources and Uses</b>                  |                     |                           |
|---|---------------------|---------------------------|
| <b>Sources</b>  | <b>Planned \$</b>   | <b>Planned Uses</b>       |
| <b>1. Federal Grants (FY 2004 grants)</b>                                 |                     |                           |
| a) Public Housing Operating Fund  | \$ 852,131          |                           |
| b) Public Housing Capital Fund  | 778,157             |                           |
| c) HOPE VI Revitalization   |                     |                           |
| d) HOPE VI Demolition   |                     |                           |
| e) Annual Contributions for Section 8 Tenant-Based Assistance             | 6,431,484           |                           |
| f) Resident Opportunity and Self-Sufficiency Grants                       |                     |                           |
| g) Community Development Block Grant                                      |                     |                           |
| h) HOME   |                     |                           |
| Other Federal Grants (list below)   |                     |                           |
|   |                     |                           |
| <b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b> |                     |                           |
|   |                     |                           |
|   |                     |                           |
| <b>3. Public Housing Dwelling Rental Income</b>                           | 1,082,146           | Public Housing Operations |
|   |                     |                           |
| <b>4. Other income (list below)</b> Excess Utilities                      | 13,320              | Public Housing Operations |
| Interest Income   | 111,000             | Public Housing Operations |
| Tenant Charges  | 115,000             | Public Housing Operations |
| <b>4. Non-federal sources (list below)</b>                                |                     |                           |
|   |                     |                           |
| <b>Total resources</b>  | <b>\$ 9,383,238</b> |                           |

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.12 (b), 903.7 (b)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) Upon receipt of application

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other: Debts to PHA's  
Ability to obtain utility service.

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to d.

Yes

| Site-Based Waiting Lists                             |                |  |   |  |
|--|----------------|--|---|--|
| Development Information:<br>(Name, number, location) | Date Initiated | Initial mix of Racial, Ethnic or Disability Demographics | Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL | Percent change between initial and current mix of Racial, Ethnic, or Disability demographics |
|  |                |  |   |  |
|  |                | SEE  | ATTACHMENT A  |  |
|  |                |  |   |  |
|  |                |  |   |  |

2. What is the number of site based waiting list developments to which families may apply at one time? **7** (Applicants may apply for any list(s) for which they are eligible, or “first available unit”.)

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? **2**

4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? **7**

2.  Yes  No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
 If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously?  
 If yes, how many lists? **7**  
 May select any list(s) or “First Available Unit”

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)  
Incentive transfers – per policy
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
  1. Preference will be given to elderly or disabled applicants in developments designated as "Elderly".
  2. Preference for families who are working or participating in a PCHRC Self-sufficiency program. (Project SD06P045010 only)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

4 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- 3  Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

Other preference(s) (list below)

- 1 1. Preference will be given to elderly or disabled applicants in developments designated as "Elderly".
- 2 2. Preference will be given to families who are working or participating in a PCHRC Self-sufficiency program. (Project SD06P045010 only)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

| Deconcentration Policy for Covered Developments |                 |   |  |
|---|-----------------|---|--|
| Development Name                                | Number of Units | Explanation (if any) [see step 4 at §903.2(c)(1)(iv)] | Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)] |
| SD06P045004<br>(Below avg. income)              | 24              |   | Planned Capital Improvement – Waiting List Skipping                        |
| SD06P045009<br>(Below avg. income)              | 30              |   | Planned Capital Improvement – Waiting List Skipping                        |
| SD06P045010<br>(Above avg. income)              | 25              | Scattered Site Project                                | No action planned  |

**B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors):
- Other (list below)

1. Debts owed to PCHRC or other PHA's

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)

1. Names & addresses of former landlords upon request (If available)

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit? If yes, state circumstances below:

Documentation of reasonable effort to locate a unit or extenuating circumstances preventing applicant from searching.

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
  - 1. Preference will be given to applicants referred by the Stepping Stones Program. This preference will be limited to 10 applicants per year.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

3 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing

- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- 2  Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
- 1 1. Preference will be given to applicants referred by the Stepping Stones Program.  
This preference will be limited to 10 applicants per year.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

### **(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)  
Contact with advocacy groups and non-profit organizations working with the targeted population.

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.12(b), 903.7(d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% of adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member  
 For increases in earned income  
 Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

- If yes, state percentage/s and circumstances below:
- For household heads
  - For other family members
  - For transportation expenses
  - For the non-reimbursed medical expenses of non-disabled or non-elderly families
  - Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \$1,200.
- Other (list below)

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents

(ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## 5. Capital Improvement Needs

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

### A. Capital Fund Activities

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

#### (1) Capital Fund Program

- a.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.
- b.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

#### (1) Hope VI Revitalization

- a.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. Status of HOPE VI revitalization grant (complete one set of questions for each grant)  
Development name:  
Development (project) number:  
Status of grant: (select the statement that best describes the current status)  
 Revitalization Plan under development  
 Revitalization Plan submitted, pending approval  
 Revitalization Plan approved  
 Activities pursuant to an approved Revitalization Plan underway
- c.  Yes  No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:
- d.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities

below:

- e.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

## 6. Demolition and Disposition

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

- a.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

| <b>Demolition/Disposition Activity Description</b>                                    |                                      |
|---|--------------------------------------|
| 1a. Development name: None  |                                      |
| 1b. Development (project) number: SD06P045002   |                                      |
| 2. Activity type: Demolition <input checked="" type="checkbox"/>                      | Disposition <input type="checkbox"/> |
| 3. Application status (select one)  |                                      |
| Approved <input type="checkbox"/>   |                                      |
| Submitted, pending approval <input checked="" type="checkbox"/>                       |                                      |
| Planned application <input type="checkbox"/>  |                                      |
| 4. Date application approved, submitted, or planned for submission: <u>(07/10/04)</u> |                                      |
| 5. Number of units affected: 10   |                                      |
| 6. Coverage of action (select one)  |                                      |
| <input checked="" type="checkbox"/> Part of the development                           |                                      |
| <input type="checkbox"/> Total development  |                                      |
| 7. Timeline for activity:   |                                      |
| a. Actual or projected start date of activity: May 1, 2005                            |                                      |
| b. Projected end date of activity: September 30, 2005                                 |                                      |

## **7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1)  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

### **(2) Program Description**

#### a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? 25

#### b. PHA established eligibility criteria

- Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

Participation in a PCHRC assistance program for at least one year prior to exercising homeownership option.

#### b. What actions will the PHA undertake to implement the program this year (list)?

Continued administration. Program has already been implemented. Outreach will be conducted to increase participation.

### **(3) Capacity of the PHA to Administer a Section 8 Homeownership Program**

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- a.  Establishing a minimum homeowner down payment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.
- b.  Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c.  Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).
- d.  Demonstrating that it has other relevant experience (list experience below).

## **8. Civil Rights Certifications**

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard copy—see Table of Contents.

## **9. Additional Information**

[24 CFR Part 903.12 (b), 903.7 (r)]

### **A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan**

*(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2005 - 2009)*

**See Attachment B**

### **B. Criteria for Substantial Deviations and Significant Amendments**

#### **(1) Amendment and Deviation Definitions**

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives or plans of the agency and which require formal approval of the Board of Commissioners.

#### **C. Other Information**

[24 CFR Part 903.13, 903.15]

##### **(1) Resident Advisory Board Recommendations**

a.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

If yes, provide the comments below: **See Attachment C**

b. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments  
List changes below:

Other: (list below)  
See Summary of Residency Comments – **Attachment C**

##### **(2) Resident Membership on PHA Governing Board**

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

Yes  No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board: **Robin LaVallie**

Method of Selection:

Appointment

**The term of appointment is (include the date term expires):**

Five year term expiring on March 15, 2005

Election by Residents (if checked, complete next section--Description of Resident Election Process)

**Description of Resident Election Process**

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member: **March 15, 2005**

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position): **Pennington County Commission**

**(3) PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

**Consolidated Plan jurisdiction: City of Rapid City, South Dakota**

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

**Consolidated Plan jurisdiction: State of South Dakota**

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**(4) (Reserved)**

Use this section to provide any additional information requested by HUD.

## **10. Project-Based Voucher Program**

a.  Yes  No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.

a.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

Low utilization rate for vouchers due to lack of suitable rental units

Access to neighborhoods outside of high poverty areas

Other (describe below:)

a. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

## 11. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review |   |  |
|---|---|--|
| Applicable & On Display                           | Supporting Document   | Related Plan Component   |
| X   | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>   | Standard 5 Year and Annual Plans; streamlined 5 Year Plans                       |
| X   | State/Local Government Certification of Consistency with the Consolidated Plan.   | 5 Year Plans   |
|   | Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans  |
| X   | Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.  | Annual Plan: Housing Needs   |
| X   | Most recent board-approved operating budget for the public housing program  | Annual Plan: Financial Resources   |
| X   | Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.  | Annual Plan: Eligibility, Selection, and Admissions Policies                     |
| X   | Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.  | Annual Plan: Eligibility, Selection, and Admissions Policies                     |
| X   | Section 8 Administrative Plan   | Annual Plan: Eligibility, Selection, and Admissions Policies                     |
| X   | Public housing rent determination policies, including the method for setting public housing flat rents. <input type="checkbox"/> Check here if included in the public housing A & O Policy.   | Annual Plan: Rent Determination  |
| X   | Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Rent Determination  |
| X   | Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.  | Annual Plan: Rent Determination  |
| X   | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).   | Annual Plan: Operations and Maintenance  |
| X   | Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).  | Annual Plan: Management and Operations   |
|   | Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)   | Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency |
| X   | Results of latest Section 8 Management Assessment System (SEMAP)  | Annual Plan: Management and Operations   |
| X   | Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan  | Annual Plan: Operations and Maintenance  |

| <b>List of Supporting Documents Available for Review</b> |   |   |
|--|---|---|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Related Plan Component</b>                                 |
| N/A  | Consortium agreement(s).  | Annual Plan: Agency Identification and Operations/ Management |
| X  | Public housing grievance procedures<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Grievance Procedures                             |
| X  | Section 8 informal review and hearing procedures.<br><input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.   | Annual Plan: Grievance Procedures                             |
| X  | The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.   | Annual Plan: Capital Needs                                    |
|  | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.   | Annual Plan: Capital Needs                                    |
|  | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.   | Annual Plan: Capital Needs                                    |
|  | Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).  | Annual Plan: Capital Needs                                    |
| X  | Approved or submitted applications for demolition and/or disposition of public housing.   | Annual Plan: Demolition and Disposition                       |
|  | Approved or submitted applications for designation of public housing (Designated Housing Plans).  | Annual Plan: Designation of Public Housing                    |
|  | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937. | Annual Plan: Conversion of Public Housing                     |
|  | Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.  | Annual Plan: Voluntary Conversion of Public Housing           |
|  | Approved or submitted public housing homeownership programs/plans.  | Annual Plan: Homeownership                                    |
| X  | Policies governing any Section 8 Homeownership program (Section 20 of the Section 8 Administrative Plan)  | Annual Plan: Homeownership                                    |
| X  | Public Housing Community Service Policy/Programs<br><input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy   | Annual Plan: Community Service & Self-Sufficiency             |
| X  | Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.   | Annual Plan: Community Service & Self-Sufficiency             |
|  | FSS Action Plan(s) for public housing and/or Section 8.   | Annual Plan: Community Service & Self-Sufficiency             |
|  | Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.  | Annual Plan: Community Service & Self-Sufficiency             |
|  | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.  | Annual Plan: Community Service & Self-Sufficiency             |
| X  | Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G).<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.   | Pet Policy  |
|  | The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.  | Annual Plan: Annual Audit                                     |
|  | Consortium agreement(s), if a consortium administers PHA programs.  | Joint PHA Plan for Consortia                                  |
|  | Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection  | Joint PHA Plan for Consortia                                  |
|  | Other supporting documents (optional). List individually.   | (Specify as needed)   |

## 12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

| <b>Annual Statement/Performance and Evaluation Report</b>   |   |  |         |                   |  |
|---|---|--|---------|-------------------|--|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>  |   |  |         |                   |  |
| <b>PHA Name:</b><br>Pennington County Housing and Redevelopment Commission  |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: SD06P04550105<br>Replacement Housing Factor Grant No: |         |                   | <b>Federal<br/>FY of<br/>Grant:<br/>2005</b> |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report |   |  |         |                   |  |
| Line  | Summary by Development Account                            | Total Estimated Cost   |         | Total Actual Cost |  |
|   |   | Original   | Revised | Obligated         | Expended                                     |
| 1   | Total non-CFP Funds                                       |  |         |                   |  |
| 2   | 1406 Operations   |  |         |                   |  |
| 3   | 1408 Management Improvements                              |  |         |                   |  |
| 4   | 1410 Administration                                       |  |         |                   |  |
| 5   | 1411 Audit  |  |         |                   |  |
| 6   | 1415 Liquidated Damages                                   |  |         |                   |  |
| 7   | 1430 Fees and Costs                                       | 30,000   |         |                   |  |
| 8   | 1440 Site Acquisition                                     |  |         |                   |  |
| 9   | 1450 Site Improvement                                     | 144,000  |         |                   |  |
| 10  | 1460 Dwelling Structures                                  | 582,000  |         |                   |  |
| 11  | 1465.1 Dwelling Equipment—Nonexpendable                   |  |         |                   |  |
| 12  | 1470 Nondwelling Structures                               |  |         |                   |  |
| 13  | 1475 Nondwelling Equipment                                |  |         |                   |  |
| 14  | 1485 Demolition   |  |         |                   |  |
| 15  | 1490 Replacement Reserve                                  |  |         |                   |  |
| 16  | 1492 Moving to Work Demonstration                         |  |         |                   |  |
| 17  | 1495.1 Relocation Costs                                   |  |         |                   |  |
| 18  | 1499 Development Activities                               |  |         |                   |  |
| 19  | 1501 Collateralization or Debt Service                    |  |         |                   |  |
| 20  | 1502 Contingency  | 22,157   |         |                   |  |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)             | 778,157  |         |                   |  |
| 22  | Amount of line 21 Related to LBP Activities               |  |         |                   |  |
| 23  | Amount of line 21 Related to Section 504 compliance       |  |         |                   |  |
| 24  | Amount of line 21 Related to Security – Soft Costs        |  |         |                   |  |
| 25  | Amount of Line 21 Related to Security – Hard Costs        |  |         |                   |  |
| 26  | Amount of line 21 Related to Energy Conservation Measures |  |         |                   |  |



**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report<br/>                     Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br/>                     Part III: Implementation Schedule</b> |   |         |  |   |         |        |                                  |
|---|---|---------|--|---|---------|--------|----------------------------------|
| PHA Name: Pennington County Housing and Redevelopment Commission  |   |         | <b>Grant Type and Number</b><br>Capital Fund Program No: SD06P04550105<br>Replacement Housing Factor No: |   |         |        | <b>Federal FY of Grant: 2005</b> |
| Development Number<br>Name/HA-Wide<br>Activities  | All Fund Obligated<br>(Quarter Ending Date) |         |  | All Funds Expended<br>(Quarter Ending Date) |         |        | Reasons for Revised Target Dates |
|   | Original                                    | Revised | Actual   | Original                                    | Revised | Actual |                                  |
| SD06P045001   | 03/31/2007                                  |         |  | 03/31/2008                                  |         |        |                                  |
| SD06P045003   | 03/31/2007                                  |         |  | 03/31/2008                                  |         |        |                                  |
| SD06P045005   | 03/31/2007                                  |         |  | 03/31/2008                                  |         |        |                                  |
| SD06P045006   | 03/31/2007                                  |         |  | 03/31/2008                                  |         |        |                                  |
| SD06P045007   | 03/31/2007                                  |         |  | 03/31/2008                                  |         |        |                                  |
| SD06P045010   | 03/31/2007                                  |         |  | 03/31/2008                                  |         |        |                                  |
|   |   |         |  |   |         |        |                                  |
|   |   |         |  |   |         |        |                                  |
|   |   |         |  |   |         |        |                                  |
|   |   |         |  |   |         |        |                                  |
|   |   |         |  |   |         |        |                                  |
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|   |   |         |  |   |         |        |                                  |
|   |   |         |  |   |         |        |                                  |

## 12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

| Annual Statement/Performance and Evaluation Report   |   |   |            |                   |                                    |
|--|---|---|------------|-------------------|------------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary  |   |   |            |                   |                                    |
| PHA Name:<br>Pennington County Housing and Redevelopment Commission  |   | Grant Type and Number<br>Capital Fund Program Grant No: SD06P04550103<br>Replacement Housing Factor Grant No: |            |                   | Federal<br>FY of<br>Grant:<br>2003 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/04 <input type="checkbox"/> Final Performance and Evaluation Report |   |   |            |                   |                                    |
| Line   | Summary by Development Account                            | Total Estimated Cost  |            | Total Actual Cost |                                    |
|  |   | Original  | Revised    | Obligated         | Expended                           |
| 1  | Total non-CFP Funds                                       |   |            |                   |                                    |
| 2  | 1406 Operations   |   |            |                   |                                    |
| 3  | 1408 Management Improvements                              |   |            |                   |                                    |
| 4  | 1410 Administration                                       |   |            |                   |                                    |
| 5  | 1411 Audit  |   |            |                   |                                    |
| 6  | 1415 Liquidated Damages                                   |   |            |                   |                                    |
| 7  | 1430 Fees and Costs                                       | 20,000  | 25,422.20  | 25,422.20         | 25,422.20                          |
| 8  | 1440 Site Acquisition                                     |   |            |                   |                                    |
| 9  | 1450 Site Improvement                                     | 41,200  | 39,120.69  | 39,120.69         | 39,120.69                          |
| 10   | 1460 Dwelling Structures                                  | 552,269   | 590,459.06 | 590,459.06        | 590,459.06                         |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                   | 17,100  | 16,919.05  | 16,919.05         | 16,919.05                          |
| 12   | 1470 Nondwelling Structures                               |   |            |                   |                                    |
| 13   | 1475 Nondwelling Equipment                                |   |            |                   |                                    |
| 14   | 1485 Demolition   |   |            |                   |                                    |
| 15   | 1490 Replacement Reserve                                  |   |            |                   |                                    |
| 16   | 1492 Moving to Work Demonstration                         |   |            |                   |                                    |
| 17   | 1495.1 Relocation Costs                                   |   |            |                   |                                    |
| 18   | 1499 Development Activities                               |   |            |                   |                                    |
| 19   | 1501 Collateralization or Debt Service                    |   |            |                   |                                    |
| 20   | 1502 Contingency  | 41,352  | 0.00       | 0.00              | 0.00                               |
| 21   | Amount of Annual Grant: (sum of lines 2 – 20)             | 671,921   | 671,921.00 | 671,921.00        | 671,921.00                         |
| 22   | Amount of line 21 Related to LBP Activities               |   |            |                   |                                    |
| 23   | Amount of line 21 Related to Section 504 compliance       |   |            |                   |                                    |
| 24   | Amount of line 21 Related to Security – Soft Costs        |   |            |                   |                                    |
| 25   | Amount of Line 21 Related to Security – Hard Costs        |   |            |                   |                                    |
| 26   | Amount of line 21 Related to Energy Conservation Measures |   |            |                   |                                    |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |                     |  |                      |            |                           |                   |                   |
|--|---|---------------------|--|----------------------|------------|---------------------------|-------------------|-------------------|
| PHA Name: Pennington County Housing and Redevelopment Commission   |   |                     | Grant Type and Number<br>Capital Fund Program Grant No:<br>SD06P04550103<br>Replacement Housing Factor Grant No: |                      |            | Federal FY of Grant: 2003 |                   |                   |
| Development Number<br>Name/HA-Wide<br>Activities   | General Description of Major Work<br>Categories | Dev.<br>Acct<br>No. | Quantity   | Total Estimated Cost |            | Total Actual Cost         |                   | Status of<br>Work |
|  |   |                     |  | Original             | Revised    | Funds<br>Obligated        | Funds<br>Expended |                   |
| SD6P045001   | Flooring  | 1460                | 20 Units   | 10,000               | 18,338.95  | 18,338.95                 | 18,338.95         | Complete          |
|  | Kitchen Remodel                                 | 1460                | 2 Sites  | 507,269              | 507,268.57 | 507,268.57                | 507,268.57        | Complete          |
|  | Range Top Fire Extinguishers                    | 1465                | All Units  | 7,100                | 7,149.88   | 7,149.88                  | 7,149.88          | Complete          |
|  | Fencing   | 1450                | 1 Site   | 4,000                | 2,100.33   | 2,100.33                  | 2,100.33          | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 2 Sites  | 7,000                | 7,943.65   | 7,943.65                  | 7,943.65          | Complete          |
| SD6P045002   | Range Top Fire Extinguishers                    | 1465                | All Units  | 1,800                | 1,769.78   | 1,769.78                  | 1,769.78          | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 5,000                | 10,372.71  | 10,372.71                 | 10,372.71         | Complete          |
| SD06P045003  | Range Top Fire Extinguishers                    | 1465                | All Units  | 1,000                | 1,026.47   | 1,026.47                  | 1,026.47          | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 2,000                | 3,870.37   | 3,870.37                  | 3,870.37          | Complete          |
| SD06P045004  | Range Top Fire Extinguishers                    | 1465                | All Units  | 900                  | 849.49     | 849.49                    | 849.49            | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 5,000                | 2,024.93   | 2,024.93                  | 2,024.93          | Complete          |
| SD06P045005  | Flooring  | 1460                | 6 Units  | 15,000               | 28,967.75  | 28,967.75                 | 28,967.75         | Complete          |
|  | Range Top Fire Extinguishers                    | 1465                | All Units  | 1,200                | 1,203.45   | 1,203.45                  | 1,203.45          | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 6,000                | 6,447.75   | 6,447.75                  | 6,447.75          | Complete          |
| SD06P045006  | Flooring  | 1460                | 4 Units  | 5,000                | 15,674.25  | 15,674.25                 | 15,674.25         | Complete          |
|  | Range Top Fire Extinguishers                    | 1465                | All Units  | 500                  | 495.54     | 495.54                    | 495.54            | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 1,000                | 3,063.51   | 3,063.51                  | 3,063.51          | Complete          |
| SD06P045007  | Flooring  | 1460                | 2 Units  | 5,000                | 2,767.91   | 2,767.91                  | 2,767.91          | Complete          |
|  | Range Top Fire Extinguishers                    | 1465                | All Units  | 2,100                | 2,017.54   | 2,017.54                  | 2,017.54          | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 7,200                | 1,541.71   | 1,541.71                  | 1,541.71          | Complete          |
| SD06P045008  | Range Top Fire Extinguishers                    | 1465                | All Units  | 500                  | 460.14     | 460.14                    | 460.14            | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 1,000                | 0.00       | 0.00                      | 0.00              | Not Done          |
| SD06P045009  | Range Top Fire Extinguishers                    | 1465                | All Units  | 1,100                | 1,061.87   | 1,061.87                  | 1,061.87          | Complete          |
|  | Concrete Installation/Repairs                   | 1450                | 1 Site   | 1,000                | 0.00       | 0.00                      | 0.00              | Not Done          |
| SD06P045010  | Flooring  | 1460                | 3 Units  | 10,000               | 17,441.63  | 17,441.63                 | 17,441.63         | Complete          |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |  |           |                      |           |                           |                   |                   |
|--|---|--|-----------|----------------------|-----------|---------------------------|-------------------|-------------------|
| PHA Name: Pennington County Housing and Redevelopment Commission   |   | Grant Type and Number<br>Capital Fund Program Grant No:<br>SD06P04550103<br>Replacement Housing Factor Grant No: |           |                      |           | Federal FY of Grant: 2003 |                   |                   |
| Development Number<br>Name/HA-Wide<br>Activities   | General Description of Major Work<br>Categories | Dev.<br>Acct<br>No.  | Quantity  | Total Estimated Cost |           | Total Actual Cost         |                   | Status of<br>Work |
|  |   |  |           | Original             | Revised   | Funds<br>Obligated        | Funds<br>Expended |                   |
|  | Range Top Fire Extinguishers                    | 1465   | All Units | 900                  | 884.89    | 884.89                    | 884.89            | Complete          |
|  | Concrete Installation/Repairs                   | 1450   | 1 Site    | 2,000                | 1,755.73  | 1,755.73                  | 1,755.73          | Complete          |
| PHA-Wide   | Fees and Costs                                  | 1430   | PHA-Wide  | 20,000               | 25,422.20 | 25,422.20                 | 25,422.20         | Complete          |
|  | Contingency                                     | 1502   | PHA-Wide  | 41,352               | 0.00      | 0.00                      | 0.00              | Not Needed        |
|  |   |  |           |                      |           |                           |                   |                   |
|  |   |  |           |                      |           |                           |                   |                   |
|  |   |  |           |                      |           |                           |                   |                   |
|  |   |  |           |                      |           |                           |                   |                   |
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|  |   |  |           |                      |           |                           |                   |                   |
|  |   |  |           |                      |           |                           |                   |                   |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report<br/>                     Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br/>                     Part III: Implementation Schedule</b> |   |            |  |   |          |          |                                  |
|---|---|------------|--|---|----------|----------|----------------------------------|
| PHA Name: Pennington County Housing and Redevelopment Commission  |   |            | <b>Grant Type and Number</b><br>Capital Fund Program No: SD06P04550103<br>Replacement Housing Factor No: |   |          |          | <b>Federal FY of Grant: 2003</b> |
| Development Number<br>Name/HA-Wide<br>Activities  | All Fund Obligated<br>(Quarter Ending Date) |            |  | All Funds Expended<br>(Quarter Ending Date) |          |          | Reasons for Revised Target Dates |
|   | Original                                    | Revised    | Actual   | Original                                    | Revised  | Actual   |                                  |
| SD06P045001   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045002   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045003   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045004   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045005   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045006   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045007   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045008   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045009   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045010   | 09/30/04                                    | 06/16/2005 | 09/30/04   | 03/31/05                                    | 06/16/07 | 09/30/04 | Revised to HUD allowable dates   |
|   |   |            |  |   |          |          |                                  |
|   |   |            |  |   |          |          |                                  |
|   |   |            |  |   |          |          |                                  |
|   |   |            |  |   |          |          |                                  |

## 12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

| <b>Annual Statement/Performance and Evaluation Report</b>  |   |  |         |                   |  |
|--|---|--|---------|-------------------|--|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |  |         |                   |  |
| <b>PHA Name:</b><br>Pennington County Housing and Redevelopment Commission   |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: SD06P04550203<br>Replacement Housing Factor Grant No: |         |                   | <b>Federal<br/>FY of<br/>Grant:<br/>2003</b> |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/04 <input type="checkbox"/> Final Performance and Evaluation Report |   |  |         |                   |  |
| Line   | Summary by Development Account                            | Total Estimated Cost   |         | Total Actual Cost |  |
|  |   | Original   | Revised | Obligated         | Expended                                     |
| 1  | Total non-CFP Funds                                       |  |         |                   |  |
| 2  | 1406 Operations   |  |         |                   |  |
| 3  | 1408 Management Improvements                              |  |         |                   |  |
| 4  | 1410 Administration                                       |  |         |                   |  |
| 5  | 1411 Audit  |  |         |                   |  |
| 6  | 1415 Liquidated Damages                                   |  |         |                   |  |
| 7  | 1430 Fees and Costs                                       |  |         |                   |  |
| 8  | 1440 Site Acquisition                                     |  |         |                   |  |
| 9  | 1450 Site Improvement                                     | 70,000   | 73,844  | 73,844.42         | 40,077.50                                    |
| 10   | 1460 Dwelling Structures                                  |  |         |                   |  |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                   | 60,400   | 60,069  | 60,068.58         | 60,068.58                                    |
| 12   | 1470 Nondwelling Structures                               |  |         |                   |  |
| 13   | 1475 Nondwelling Equipment                                |  |         |                   |  |
| 14   | 1485 Demolition   |  |         |                   |  |
| 15   | 1490 Replacement Reserve                                  |  |         |                   |  |
| 16   | 1492 Moving to Work Demonstration                         |  |         |                   |  |
| 17   | 1495.1 Relocation Costs                                   |  |         |                   |  |
| 18   | 1499 Development Activities                               |  |         |                   |  |
| 19   | 1501 Collateralization or Debt Service                    |  |         |                   |  |
| 20   | 1502 Contingency  | 3,513  | 0.00    | 0.00              | 0.00   |
| 21   | Amount of Annual Grant: (sum of lines 2 – 20)             | 133,913  | 133,913 | 133,913.00        | 100,146.08                                   |
| 22   | Amount of line 21 Related to LBP Activities               |  |         |                   |  |
| 23   | Amount of line 21 Related to Section 504 compliance       |  |         |                   |  |
| 24   | Amount of line 21 Related to Security – Soft Costs        |  |         |                   |  |
| 25   | Amount of Line 21 Related to Security – Hard Costs        |  |         |                   |  |
| 26   | Amount of line 21 Related to Energy Conservation Measures |  |         |                   |  |



**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part III: Implementation Schedule**

| PHA Name: Pennington County Housing and Redevelopment Commission |   | <b>Grant Type and Number</b><br>Capital Fund Program No: SD06P04550203<br>Replacement Housing Factor No: |        |   |         |        | <b>Federal FY of Grant: 2003</b> |  |
|--|---|--|--------|---|---------|--------|----------------------------------|--|
| Development Number<br>Name/HA-Wide<br>Activities                 | All Fund Obligated<br>(Quarter Ending Date) |  |        | All Funds Expended<br>(Quarter Ending Date) |         |        | Reasons for Revised Target Dates |  |
|  | Original                                    | Revised  | Actual | Original                                    | Revised | Actual |                                  |  |
| SD06P045001  | 02/13/06                                    |  |        | 02/13/08                                    |         |        |                                  |  |
| SD06P045003  | 02/13/06                                    |  |        | 02/13/08                                    |         |        |                                  |  |
| SD06P045007  | 02/13/06                                    |  |        | 02/13/08                                    |         |        |                                  |  |
| SD06P045010  | 02/13/06                                    |  |        | 02/13/08                                    |         |        |                                  |  |
|  |   |  |        |   |         |        |                                  |  |
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|  |   |  |        |   |         |        |                                  |  |

## 12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

| Annual Statement/Performance and Evaluation Report   |   |   |         |                   |                                    |
|--|---|---|---------|-------------------|------------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary  |   |   |         |                   |                                    |
| PHA Name:<br>Pennington County Housing and Redevelopment Commission  |   | Grant Type and Number<br>Capital Fund Program Grant No: SD06P04550104<br>Replacement Housing Factor Grant No: |         |                   | Federal<br>FY of<br>Grant:<br>2004 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/04 <input type="checkbox"/> Final Performance and Evaluation Report |   |   |         |                   |                                    |
| Line   | Summary by Development Account                            | Total Estimated Cost  |         | Total Actual Cost |                                    |
|  |   | Original  | Revised | Obligated         | Expended                           |
| 1  | Total non-CFP Funds                                       |   |         |                   |                                    |
| 2  | 1406 Operations   |   |         |                   |                                    |
| 3  | 1408 Management Improvements                              |   |         |                   |                                    |
| 4  | 1410 Administration                                       |   |         |                   |                                    |
| 5  | 1411 Audit  |   |         |                   |                                    |
| 6  | 1415 Liquidated Damages                                   |   |         |                   |                                    |
| 7  | 1430 Fees and Costs                                       | 20,000  | 42,000  | 48,248.90         | 13,862.70                          |
| 8  | 1440 Site Acquisition                                     |   |         |                   |                                    |
| 9  | 1450 Site Improvement                                     | 196,800   | 190,683 | 187,635.96        | 167,412.76                         |
| 10   | 1460 Dwelling Structures                                  | 476,000   | 433,355 | 57,675.00         | 57,675.00                          |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                   |   |         |                   |                                    |
| 12   | 1470 Nondwelling Structures                               |   |         |                   |                                    |
| 13   | 1475 Nondwelling Equipment                                | 67,700  | 58,758  | 58,758.00         | 52,882.20                          |
| 14   | 1485 Demolition   |   |         |                   |                                    |
| 15   | 1490 Replacement Reserve                                  |   |         |                   |                                    |
| 16   | 1492 Moving to Work Demonstration                         |   |         |                   |                                    |
| 17   | 1495.1 Relocation Costs                                   |   |         |                   |                                    |
| 18   | 1499 Development Activities                               |   |         |                   |                                    |
| 19   | 1501 Collateralization or Debt Service                    |   |         |                   |                                    |
| 20   | 1502 Contingency  | 17,657  | 53,361  | 0.00              | 0.00                               |
| 21   | Amount of Annual Grant: (sum of lines 2 – 20)             | 778,157   | 778,157 | 352,317.86        | 291,832.66                         |
| 22   | Amount of line 21 Related to LBP Activities               |   |         |                   |                                    |
| 23   | Amount of line 21 Related to Section 504 compliance       |   |         |                   |                                    |
| 24   | Amount of line 21 Related to Security – Soft Costs        |   |         |                   |                                    |
| 25   | Amount of Line 21 Related to Security – Hard Costs        |   |         |                   |                                    |
| 26   | Amount of line 21 Related to Energy Conservation Measures |   |         |                   |                                    |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |  |          |                      |         |                           |                   |                   |
|--|---|--|----------|----------------------|---------|---------------------------|-------------------|-------------------|
| PHA Name: Pennington County Housing and Redevelopment Commission   |   | Grant Type and Number<br>Capital Fund Program Grant No:<br>SD06P04550104<br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant: 2004 |                   |                   |
| Development Number<br>Name/HA-Wide<br>Activities   | General Description of Major Work<br>Categories | Dev.<br>Acct<br>No.  | Quantity | Total Estimated Cost |         | Total Actual Cost         |                   | Status of<br>Work |
|  |   |  |          | Original             | Revised | Funds<br>Obligated        | Funds<br>Expended |                   |
| SD6P045001   | Parking Lot Expansion                           | 1450   | 1 Site   | 80,800               | 61,929  | 61,298.98                 | 41,075.78         | In Progress       |
|  | Common Area Flooring                            | 1460   | 2 Bldgs  | 75,000               | 75,000  | 0.00                      | 0.00              | In Planning       |
|  | Patio   | 1450   | 1 Site   | 5,000                | 3,000   | 3,000.00                  | 3,000.00          | Complete          |
| SD06P045002  | Storm/Screen Doors                              | 1460   | 50 Units | 39,000               | 39,000  | 0.00                      | 0.00              | Out for Bid       |
|  | Siding-Townhouses                               | 1460   | 9 Bldgs  | 162,000              | 162,000 | 0.00                      | 0.00              | Out for Bid       |
|  | Roofing-Curtis Street                           | 1460   | 6 Bldgs  | 100,000              | 57,355  | 57,675.00                 | 57,675.00         | Complete          |
|  | Siding-Single Family Units                      | 1460   | 13 Units | 65,000               | 65,000  | 0.00                      | 0.00              | Out for Bid       |
| SD06P045003  | Replace Parking Lot                             | 1450   | 1 Site   | 45,000               | 52,784  | 52,783.60                 | 52,783.60         | Complete          |
| SD06P045004  | Replace Parking Lot                             | 1450   | 1 Site   | 66,000               | 72,970  | 70,553.38                 | 70,553.38         | Complete          |
| SD06P045007  | Compressor, Zone Valves, Thermos                | 1475   | 1 Bldg   | 67,700               | 58,758  | 58,758.00                 | 52,882.20         | In Progress       |
|  | Common Area Flooring                            | 1460   | 1 Bldg   | 35,000               | 35,000  | 0.00                      | 0.00              | In Planning       |
| PHA-Wide   | A/E Fees & Costs                                | 1430   | PHA-Wide | 20,000               | 42,000  | 48,248.90                 | 13,862.70         | In Progress       |
|  | Contingency                                     | 1502   | PHA-Wide | 17,657               | 53,361  | 0.00                      | 0.00              | Contingency       |
|  |   |  |          |                      |         |                           |                   |                   |
|  |   |  |          |                      |         |                           |                   |                   |
|  |   |  |          |                      |         |                           |                   |                   |
|  |   |  |          |                      |         |                           |                   |                   |
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**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part III: Implementation Schedule |   |            |   |   |            |          |                                  |
|--|---|------------|---|---|------------|----------|----------------------------------|
| PHA Name: Pennington County Housing and Redevelopment Commission   |   |            | Grant Type and Number<br>Capital Fund Program No: SD06P04550104<br>Replacement Housing Factor No: |   |            |          | Federal FY of Grant: 2004        |
| Development Number<br>Name/HA-Wide<br>Activities   | All Fund Obligated<br>(Quarter Ending Date) |            |   | All Funds Expended<br>(Quarter Ending Date) |            |          | Reasons for Revised Target Dates |
|  | Original                                    | Revised    | Actual  | Original                                    | Revised    | Actual   |                                  |
| SD06P045001  | 03/31/2006                                  | 09/07/2006 |   | 03/31/2007                                  | 09/07/2007 |          | Revised to HUD allowable dates   |
| SD06P045002  | 03/31/2006                                  | 09/07/2006 |   | 03/31/2007                                  | 09/07/2007 |          | Revised to HUD allowable dates   |
| SD06P045003  | 03/31/2006                                  | 09/07/2006 | 09/30/04  | 03/31/2007                                  | 09/07/2007 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045004  | 03/31/2006                                  | 09/07/2006 | 09/30/04  | 03/31/2007                                  | 09/07/2007 | 09/30/04 | Revised to HUD allowable dates   |
| SD06P045007  | 03/31/2006                                  | 09/07/2006 |   | 03/31/2007                                  | 09/07/2007 |          | Revised to HUD allowable dates   |
|  |   |            |   |   |            |          |                                  |
|  |   |            |   |   |            |          |                                  |
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|  |   |            |   |   |            |          |                                  |

### 13. Capital Fund Program Five-Year Action Plan

| <b>Capital Fund Program Five-Year Action Plan</b>               |                  |  |   |  |  |
|---|------------------|--|---|--|--|
| <b>Part I: Summary</b>  |                  |  |   |  |  |
| PHA Name Pennington County Housing and Redevelopment Commission |                  |  | <input checked="" type="checkbox"/> <b>Original 5-Year Plan</b><br><input type="checkbox"/> <b>Revision No:</b> |  |  |
| Development Number/Name/HA-Wide                                 | Year 1           | Work Statement for Year 2<br>FFY Grant: 2006<br>PHA FY: 2007 | Work Statement for Year 3<br>FFY Grant: 2007<br>PHA FY: 2008  | Work Statement for Year 4<br>FFY Grant: 2008<br>PHA FY: 2009 | Work Statement for Year 5<br>FFY Grant: 2009<br>PHA FY: 2010 |
|   | Annual Statement |  |   |  |  |
| SD06P045001   |                  | 10,000   | 445,000   | 107,000  | 285,000  |
| SD06P045002   |                  | 60,000   | 10,000  | 158,000  | 0  |
| SD06P045003   |                  | 21,000   | 32,000  | 66,000   | 135,000  |
| SD06P045004   |                  | 187,000  | 14,000  | 119,000  | 0  |
| SD06P045005   |                  | 7,000  | 6,000   | 48,000   | 108,000  |
| SD06P045006   |                  | 3,000  | 5,000   | 21,000   | 44,000   |
| SD06P045007   |                  | 4,000  | 242,000   | 2,000  | 139,000  |
| SD06P045008   |                  | 90,000   | 0   | 49,000   | 0  |
| SD06P045009   |                  | 315,000  | 7,000   | 132,000  | 0  |
| SD06P045010   |                  | 23,000   | 13,000  | 67,000   | 67,000   |
| PHA-Wide  |                  | 58,157   | 4,157   | 9,157  | 157  |
| CFP Funds Listed for 5-year planning                            |                  | 778,157  | 778,157   | 778,157  | 778,157  |
| Replacement Housing Factor Funds                                |                  |  |   |  |  |

### 13. Capital Fund Program Five-Year Action Plan

| Capital Fund Program Five-Year Action Plan |   |                         |                |  |                        |                |
|--|---|-------------------------|----------------|--|------------------------|----------------|
| Part II: Supporting Pages—Work Activities  |   |                         |                |  |                        |                |
| Activities for Year 1                      | Activities for Year : <u>2</u><br>FFY Grant: 2006<br>PHA FY: 2007 |                         |                | Activities for Year: <u>3</u><br>FFY Grant: 2007<br>PHA FY: 2008 |                        |                |
|  | Development Name/Number   | Major Work Categories   | Estimated Cost | Development Name/Number  | Major Work Categories  | Estimated Cost |
| <b>See</b>                                 | SD06P045001   | Flooring                | 10,000         | SD06P045001  | Flooring               | 5,000          |
| <b>Annual</b>                              | SD06P045002   | Int. Doors, Trim, Rails | 60,000         | SD06P045001  | Ent. Intercom System   | 60,000         |
| <b>Statement</b>                           | SD06P045003   | Flooring                | 2,000          | SD06P045001  | Refrigerators          | 81,000         |
|  | SD06P045003   | Common Area Carpet      | 15,000         | SD06P045001  | Ranges                 | 61,000         |
|  | SD06P045003   | Common Area Paint       | 4,000          | SD06P045001  | Common Area Paint      | 20,000         |
|  | SD06P045004   | Screen/Storm Doors      | 24,000         | SD06P045001  | Bathroom Vanities      | 81,000         |
|  | SD06P045004   | Siding-Single Family    | 60,000         | SD06P045001  | Bath. Light Fixtures   | 7,000          |
|  | SD06P045004   | Siding-Townhouses       | 40,000         | SD06P045001  | Repl. Kitchen Flooring | 81,000         |
|  | SD06P045004   | Roofs-Single Family     | 28,000         | SD06P045001  | Repl. Bath. Flooring   | 49,000         |
|  | SD06P045004   | Roofs-Townhouses        | 35,000         | SD06P045002  | Window Blinds          | 10,000         |
|  | SD06P045005   | Flooring                | 7,000          | SD06P045003  | Flooring               | 2,000          |
|  | SD06P045006   | Flooring                | 3,000          | SD06P045003  | Replace Toilets        | 6,000          |
|  | SD06P045007   | Flooring                | 4,000          | SD06P045003  | Refrigerators          | 12,000         |
|  | SD06P045008   | Kit. Cabinets/Counters  | 36,000         | SD06P045003  | Ranges                 | 12,000         |
|  | SD06P045008   | Flooring                | 40,000         | SD06P045004  | Window Blinds          | 4,000          |
|  | SD06P045008   | Dishwashers             | 3,000          | SD06P045004  | Bathroom Vanities      | 10,000         |
|  | SD06P045008   | Ranges                  | 5,000          | SD06P045005  | Flooring               | 3,000          |
|  | SD06P045008   | Refrigerators           | 6,000          | SD06P045005  | Window Blinds          | 3,000          |
|  | SD06P045009   | Screen/Storm Doors      | 16,000         | SD06P045006  | Flooring               | 2,000          |
|  | SD06P045009   | Siding                  | 120,000        | SD06P045006  | Window Blinds          | 3,000          |
|  | SD06P045009   | Roofs-Townhouses        | 59,000         | SD06P045007  | Flooring               | 2,000          |
|  | SD06P045009   | Roofs-Single Family     | 50,000         | SD06P045007  | Ent. Intercom System   | 30,000         |
|  | SD06P045009   | Lawn Irrigation Sys     | 70,000         | SD06P045007  | Refrigerators          | 23,000         |
|  | SD06P045010   | Flooring                | 10,000         | SD06P045007  | Ranges                 | 17,000         |
|  | SD06P045010   | Screen/Storm Doors      | 13,000         | SD06P045007  | Common Area Paint      | 6,000          |
|  | PHA-Wide  | Architect/Eng. Fees     | 20,000         | SD06P045007  | Bathroom Vanities      | 23,000         |
|  | PHA-Wide  | Contingency             | 38,157         | SD06P045007  | Bath. Light Fixtures   | 2,000          |
|  |   |                         |                | SD06P045007  | Window Blinds (units)  | 7,000          |
|  |   |                         |                | SD06P045007  | Curb & Gutter          | 63,000         |

### 13. Capital Fund Program Five-Year Action Plan

| <b>Capital Fund Program Five-Year Action Plan</b> |   |                              |                       |  |                              |                       |
|---|---|------------------------------|-----------------------|--|------------------------------|-----------------------|
| <b>Part II: Supporting Pages—Work Activities</b>  |   |                              |                       |  |                              |                       |
| Activities for Year 1                             | Activities for Year : <u>2</u><br>FFY Grant: 2006<br>PHA FY: 2007 |                              |                       | Activities for Year: <u>3</u><br>FFY Grant: 2007<br>PHA FY: 2008 |                              |                       |
|   | <b>Development Name/Number</b>                                    | <b>Major Work Categories</b> | <b>Estimated Cost</b> | <b>Development Name/Number</b>                                   | <b>Major Work Categories</b> | <b>Estimated Cost</b> |
|   |   |                              |                       | SD06P045007  | Window Shades (Comm. Area)   | 6,000                 |
|   |   |                              |                       | SD06P045007  | Repl. Kitchen Flooring       | 44,000                |
|   |   |                              |                       | SD06P045007  | Repl. Bath. Flooring         | 19,000                |
|   |   |                              |                       | SD06P045009  | Window Blinds                | 7,000                 |
|   |   |                              |                       | SD06P045010  | Flooring                     | 5,000                 |
|   |   |                              |                       | SD06P045010  | Window Blinds                | 8,000                 |
|   |   |                              |                       | PHA-Wide   | Contingency                  | 4,157                 |
| <b>Total CFP Estimated Cost</b>                   |   |                              | <b>\$ 778,157</b>     |  |                              | <b>\$ 778,157</b>     |

### 13. Capital Fund Program Five-Year Action Plan

| <b>Capital Fund Program Five-Year Action Plan</b>                 |                         |                   |  |                        |                   |
|---|-------------------------|-------------------|--|------------------------|-------------------|
| <b>Part II: Supporting Pages—Work Activities</b>                  |                         |                   |  |                        |                   |
| Activities for Year : <u>4</u><br>FFY Grant: 2008<br>PHA FY: 2009 |                         |                   | Activities for Year: <u>5</u><br>FFY Grant: 2009<br>PHA FY: 2010 |                        |                   |
| Development Name/Number   | Major Work Categories   | Estimated Cost    | Development Name/Number  | Major Work Categories  | Estimated Cost    |
| SD06P045001   | Flooring                | 5,000             | SD06P045001  | Repl. Dom. Water Tanks | 10,000            |
| SD06P045001   | Window Blinds (Units)   | 26,000            | SD06P045001  | Repl. Dom. Water Htrs  | 10,000            |
| SD06P045001   | Curb & Gutter           | 76,000            | SD06P045001  | Repl. Trash Compactor  | 35,000            |
| SD06P045002   | Replace Exterior Locks  | 42,000            | SD06P045001  | Exterior Painting      | 230,000           |
| SD06P045002   | Replace Furnaces        | 100,000           | SD06P045003  | Kitchen Remodel        | 79,000            |
| SD06P045002   | Bathroom Vanities       | 16,000            | SD06P045003  | Repl. Dom. Water Tanks | 50,000            |
| SD06P045003   | Flooring                | 2,000             | SD06P045003  | Repl. Water Heaters    | 6,000             |
| SD06P045003   | Replace Roof            | 60,000            | SD06P045005  | Furnaces and Boilers   | 108,000           |
| SD06P045003   | Window Blinds           | 4,000             | SD06P045006  | Furnaces and Boilers   | 44,000            |
| SD06P045004   | Replace Exterior Locks  | 21,000            | SD06P045007  | Repl. Dom. Water Tank  | 60,000            |
| SD06P045004   | Replace Furnaces        | 48,000            | SD06P045007  | Repl. Trash Compactor  | 17,000            |
| SD06P045004   | Replace Bathtub Faucets | 15,000            | SD06P045007  | Exterior Painting      | 62,000            |
| SD06P045004   | Int. Doors, Trim, Rails | 35,000            | SD06P045010  | Flooring               | 5,000             |
| SD06P045005   | Flooring                | 3,000             | SD06P045010  | Furnaces and Boilers   | 62,000            |
| SD06P045005   | Replace Exterior Locks  | 28,000            | PHA-Wide   | Contingency            | 157               |
| SD06P045005   | Bathroom Vanities       | 17,000            |  |                        |                   |
| SD06P045006   | Flooring                | 2,000             |  |                        |                   |
| SD06P045006   | Replace Exterior Locks  | 12,000            |  |                        |                   |
| SD06P045006   | Bathroom Vanities       | 7,000             |  |                        |                   |
| SD06P045007   | Flooring                | 2,000             |  |                        |                   |
| SD06P045008   | Replace Roof            | 49,000            |  |                        |                   |
| SD06P045009   | Replace Exterior Locks  | 19,000            |  |                        |                   |
| SD06P045009   | Replace Furnaces        | 60,000            |  |                        |                   |
| SD06P045009   | Bathroom Vanities       | 12,000            |  |                        |                   |
| SD06P045009   | Int. Doors, Trim, Rails | 41,000            |  |                        |                   |
| SD06P045010   | Flooring                | 5,000             |  |                        |                   |
| SD06P045010   | Replace Exterior Locks  | 17,000            |  |                        |                   |
| SD06P045010   | Bathroom Remodel        | 45,000            |  |                        |                   |
| PHA-Wide  | Contingency             | 9,157             |  |                        |                   |
| <b>Total CFP Estimated Cost</b>                                   |                         | <b>\$ 778,157</b> |  |                        | <b>\$ 778,157</b> |

# Attachment A

## Pennington County Housing and Redevelopment Commission Assessment of Site-Based Waiting List Development Demographic Changes

**March 31,2000**

|                 | # Occupied | White |     | Black |    | Nat.Am. |     | Asian |    | Hispanic |    | Non-Hispanic |      | Ave. Inc. |
|-----------------|------------|-------|-----|-------|----|---------|-----|-------|----|----------|----|--------------|------|-----------|
|                 |            | #     | %   | #     | %  | #       | %   | #     | %  | #        | %  | #            | %    |           |
| ELDERLY - NORTH | 55         | 49    | 89% | 0     | 0% | 6       | 11% | 0     | 0% | 0        | 0% | 55           | 100% | \$9,561   |
| ELDERLY - SOUTH | 96         | 88    | 92% | 0     | 0% | 8       | 8%  | 0     | 0% | 0        | 0% | 96           | 100% | \$10,156  |
| ELDERLY - WEST  | 103        | 96    | 93% | 1     | 1% | 6       | 6%  | 0     | 0% | 1        | 1% | 102          | 99%  | \$10,647  |
| FAMILY - NORTH  | 66         | 25    | 38% | 1     | 2% | 38      | 58% | 2     | 3% | 0        | 0% | 66           | 100% | \$9,598   |
| FAMILY - SOUTH  | 55         | 35    | 64% | 0     | 0% | 20      | 36% | 0     | 0% | 3        | 5% | 52           | 95%  | \$12,549  |
| FAMILY - WEST   | 49         | 23    | 47% | 0     | 0% | 25      | 51% | 1     | 2% | 3        | 6% | 46           | 94%  | \$11,497  |
| WALL            | 16         | 14    | 88% | 0     | 0% | 2       | 13% | 0     | 0% | 1        | 6% | 15           | 94%  | \$11,903  |
| Totals          | 440        | 330   | 75% | 2     | 0% | 105     | 24% | 3     | 1% | 8        | 2% | 432          | 98%  | \$10,625  |

**December 8, 2004**

|                 | # Occupied | White |      | Black |    | Nat.Am. |     | Asian |    | Hispanic |    | Non-Hispanic |      | Ave. Inc. |
|-----------------|------------|-------|------|-------|----|---------|-----|-------|----|----------|----|--------------|------|-----------|
|                 |            | #     | %    | #     | %  | #       | %   | #     | %  | #        | %  | #            | %    |           |
| ELDERLY - NORTH | 55         | 51    | 93%  | 0     | 0% | 4       | 7%  | 0     | 0% | 0        | 0% | 55           | 100% | \$10,214  |
| ELDERLY - SOUTH | 96         | 92    | 96%  | 0     | 0% | 4       | 4%  | 0     | 0% | 0        | 0% | 96           | 100% | \$11,211  |
| ELDERLY - WEST  | 104        | 97    | 93%  | 0     | 0% | 7       | 7%  | 0     | 0% | 1        | 1% | 103          | 99%  | \$10,726  |
| FAMILY - NORTH  | 65         | 28    | 43%  | 1     | 2% | 35      | 54% | 1     | 2% | 2        | 3% | 63           | 97%  | \$9,422   |
| FAMILY - SOUTH  | 46         | 32    | 70%  | 1     | 2% | 12      | 26% | 1     | 2% | 4        | 9% | 42           | 91%  | \$11,744  |
| FAMILY - WEST   | 50         | 25    | 50%  | 1     | 2% | 23      | 46% | 1     | 2% | 4        | 8% | 46           | 92%  | \$12,781  |
| WALL            | 24         | 24    | 100% | 0     | 0% | 0       | 0%  | 0     | 0% | 1        | 4% | 23           | 96%  | \$22,446  |
| Totals          | 440        | 349   | 79%  | 3     | 1% | 85      | 19% | 3     | 1% | 12       | 3% | 428          | 97%  | \$11,881  |

## **Attachment B**

### **Statement of Progress in Meeting Mission and Goals**

- Goal:** Apply for additional rental vouchers.  
**Progress:** The PCHRC applied for, and received the following additional Section 8 Vouchers:  
42 Units – 09/01/00  
53 Units – 08/01/01  
75 Units – 10/01/01  
12 Units – 10/01/02  
25 Units – 01/01/03
- Goal:** Acquire or build units or developments.  
**Progress:** No additional units have been acquired or developed.
- Goal:** Acquire land for future development.  
**Progress:** The PCHRC is in the process of closing on the purchase of a 2.34 acre property. Several other properties are being evaluated for suitability.
- Goal:** Seek funding for Vouchers for homeless families with children.  
**Progress:** Funding source has not been found.
- Goal:** Investigate funding for the homeless under continuum of care grants such as Shelter-Plus Care.  
**Progress:** Application for Shelter-Plus Care has been submitted. Funding awards have not yet been announced. This is the second such application that has been submitted. The first application was not funded.
- Goal:** Seek funding under the Family Unification Program and work with local social services agencies to address the housing needs of young adults coming out of foster care programs.  
**Progress:** No funding for the Family Unification Program has been announced. It does not appear that funding will be appropriated for this program next year.
- Goal:** Achieve High Performer status under PHAS and Achieve High Performer status under SEMAP.  
**Progress:** The PCHRC has been designated a High Performer under PHAS and SEMAP.
- Goal:** Implement voucher homeownership program.  
**Progress:** A Section 8 Homeownership program has been implemented. Three families are currently participating. We have added a goal of increasing participation by five families in the coming year.
- Goal:** Implement public housings site-based waiting lists.  
**Progress:** Site-based waiting lists have been implemented. Our policy is being revised to allow applicants to apply to any or all of our waiting lists.

- Goal:** Maintain a policy of incentive transfers for public housing residents.  
**Progress:** A policy allowing incentive transfers has been implemented.
- Goal:** Develop guideline for use of vouchers in assisted living facilities.  
**Progress:** The Section 8 Administrative Plan has been revised to allow for the use of vouchers in assisted living facilities.
- Goal:** Develop a plan to provide assisted living in Public Housing.  
**Progress:** The PCHRC has determined that regulatory requirements would make this too costly to implement at this time. In addition, construction of new assisted living units in Rapid City has alleviated the need for us to become involved in this type of service. We have decided not to pursue assisted living at this time. We will however work to make additional service available to residents of our developments for the elderly and disabled.
- Goal:** Work closely with local law enforcement agencies to provide crime prevention activities including additional police patrols and community policing efforts in public housing developments.  
**Progress:** The PCHRC contracts with the Rapid City police department for additional patrol of Public Housing developments. Community Policing Officers are assigned to public housing developments.
- Goal:** Work with local law enforcement agencies to provide for the exchange of information to aid in applicant screening, lease enforcement, and local law enforcement efforts.  
**Progress:** The PCHRC and Rapid City police department work together very closely in this area. The PCHRC electronically submits names and Social Security numbers of all applicants and participants in it's housing programs, and receives arrest reports back from the police department. Copies of the daily police dispatch logs are provided to the PCHRC and are monitored for activity at our public housing units. Follow-up reports are obtained as needed.
- Goal:** Seek to expand applicant screening to include Statewide and/or National criminal background checks.  
**Progress:** This has been investigated with the State. The information can be provided, but the fees make it cost prohibitive for the number of background checks being performed currently. We will explore options to reduce costs. We have registered and set up an account to access the Public Access to Court Electronic Records (PACER) web site. This will allow for access to Federal court records.
- Goal:** Develop an agreement with local law enforcement agencies to allow for occupancy of public housing units by police officers.  
**Progress:** The PCHRC Board of Commissioners has approved occupancy of Public Housing units by police officers in three Public Housing developments. We are working with the Rapid City Police Department to expand this program to other PCHRC properties.

- Goal:** Implement a self-sufficiency program for Public Housing and Section 8 participants, independent of the current HUD mandated Section 8 FSS program.
- Progress:** A preliminary plan has been put together but not yet implemented. HUD regulations will not allow us to implement the program as we had hoped, especially in regards to escrow requirements. We are evaluating our options and will continue to develop the program.
- Goal:** Implement policies to encourage participation in budget counseling and educational opportunities by housing program participants.
- Progress:** A policy has been implemented to allow residents with repeated late payment violations to avoid eviction if they complete an approved budget counseling class. Participation by residents has been poor. We will explore ways to improve upon this.
- Goal:** Implement an admission preference for families who are working or participating in a PCHRC Self-sufficiency program. (Project SD06P045010 only).
- Progress:** This has been implemented and will be continued this year.
- Goal:** Provide PHA staff with adequate training in the areas of Equal Opportunity, Fair Housing, and Reasonable Accommodation.
- Progress:** The PCHRC makes every attempt to keep current with these issues through the use of industry publications and contact with local advocacy groups. In the past year the PCHRC Executive Director attended training sessions at the National NAHRO conference and the SDHDA annual conference. More in-depth, comprehensive training for other staff members will be investigated.
- Goal:** Maintain an efficient and capable team of employees who are willing and able to perform their duties to the highest professional standards.
- Progress:** Every effort has been made to offer a competitive wage and benefit package to attract and retain quality employees. Salaries and benefits are monitored and adjusted periodically. Several staff members attended training during the past year.
- Goal:** Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.
- Progress:** The PCHRC is in compliance with all applicable standards and regulations, including all newly adopted generally accepted accounting practices. The last annual audit of PCHRC financial statements contained no significant audit findings.
- Goal:** Operate so that income exceeds expenses every year.
- Progress:** Income exceeded expenses for the year.
- Goal:** Enhance the image of public housing in our community.
- Progress:** All public housing units have been kept up to neighborhood standards. Further improvements are planned. We respond to all complaints from the public within 48 hours.

## Attachment C

### Summary of Comments from Resident Advisory Panel and the Public

#### Resident Advisory Panel:

**Comment:** A Panel member residing on E. Oakland requested that the back yards of those units be fenced. Panel members from the Sheridan Heights area also requested fences. The Panel was in agreement with other items in the Five-Year Plan.

**Response:** Fencing is not planned at this time.

**Comment:** It was the consensus of the Panel that Jackson Heights, Valley View and River Ridge should not be designated as Elderly only. They felt that these buildings should be supervised during the evenings and weekends.

**Response:** The PCHRC is not seeking to designate these developments as Elderly only at this time. Additional staffing for these facilities is not planned at this time. The PCHRC will hold additional hearings on the issue of designated housing later this year.

#### Other Residents, Agencies and the Public:

**Comment:** Representatives of the Stepping Stones Program expressed their thanks for the preference and application age waiver for their participants implemented last year, and requested that both be continued next year.

**Response:** The preference and age waiver will be continued this year.

**Comment:** Several residents of Jackson Heights, Valley View and River Ridge indicated that they would like to see bathroom vanities installed in their units.

**Response:** This item has been added to the Capital Fund Program Five-Year Plan.

**Comment:** Several residents of Jackson Heights, Valley View and River Ridge requested that new flooring be installed in the kitchens and bathrooms.

**Response:** This item has been added to the Capital Fund Program Five-Year Plan.

**Comment:** Several residents asked about the status of the planned lobby and common area remodeling.

**Response:** This item is in the current year budget and will get underway in the next few months. Residents were asked for input on what they would like to see done. Several suggestions were received.

- Comment:** One resident requested that High-Speed Internet access be installed at Jackson Heights.
- Response:** There are no plans to provide High-Speed Internet access. This service is available to residents through local providers.
- Comment:** Several residents of Jackson Heights and Valley View commented that replacement of ranges and refrigerators should be a priority.
- Response:** Replacement of ranges and refrigerators is included in the Capital Fund Program Five-Year Plan. This is currently planned for 2007.
- Comment:** One resident requested that carports or outdoor electrical outlets be installed at Jackson Heights.
- Response:** Neither is being considered at this time.
- Comment:** One resident requested that ceiling lights be installed in the living rooms at Jackson Heights.
- Response:** There are no plans for installing ceiling lights at this time.
- Comment:** A few residents (Jackson Heights and River Ridge) requested that ceiling fans be installed in the units.
- Response:** There are no plans to install ceiling fans at this time.
- Comment:** Several comments were received from residents concerning designation of Public Housing units as "Elderly" only. Several residents felt strongly that this should be done. A few felt that it should not. Most residents in attendance did not express any opinion on the subject.
- Response:** The PCHRC did not elect to implement designated housing at this time. Additional hearings will be held on this issue later this year.
- Comment:** One resident requested that the dinning room tables and chairs be replaced at Valley View.
- Response:** Chairs and tables will be replaced.
- Comment:** Two residents (Valley View and River Ridge) suggested that security cameras should be installed to monitor hallways.
- Response:** The cost of this request will be investigated. It has not been budgeted, but will be considered when cost estimates have been completed.
- Comment:** Humidifiers should be installed at Prairie Village.
- Response:** Humidifiers will be installed.
- Comment:** Postal Lockers should be installed at Prairie Village.
- Response:** Postal Lockers will be installed.

**Comment:** One resident commented that Public Housing residents should be allowed to purchase the units that they reside in.

**Response:** Sale of Public Housing units is not planned at this time.

**Comment:** A representative of the Salvation Army requested that a preference for admission to the Section 8 program be given to participants in a transitional housing program that they are starting.

**Response:** No preference was established for participants in this program. The PCHRC Executive Director was instructed to meet with Salvation Army staff to investigate ways the two agencies could work together to meet the needs of participants in the transitional housing program.