

PHA Plans

Streamlined 5-Year/Annual Version 3

U.S. Department of Housing and
Urban Development
Office of Public and Indian Housing

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined 5-Year Plan for Fiscal Years 2005 - 2009

Streamlined Annual Plan for Fiscal Year 2005

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.

Streamlined Five-Year PHA Plan Agency Identification

PHA Name: Warren MHA

PHA Number: OH049

PHA Fiscal Year Beginning: (mm/yyyy) 01/2005

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**

Number of public housing units:

Number of S8 units: Number of public housing units:

Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Streamlined Five-Year PHA Plan PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
"The Mission of the WMHA is to provide safe, sanitary, decent and affordable housing to low-income families, while at the same time providing its families the opportunity to grow socially, economically and financially.
WMHA strives daily to give respect to, and earn respect from, every member of our community and to give those members of our community the very best possible service, without regard to their sex, race, religion or nationality."

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 1. 58 to replace loss of transitional housing for homeless in event of funds for that program expiring in 2008.
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 1. Investigate opportunities to invest Capital Fund into higher return to finance purchasing and renovating properties by end of CY 2006.
 - Acquire or build units or developments
 1. By end of CY 2007 possible 2008/2009 mixed finance properties development.
 - Other (list below)
 1. Apply for Shelter Plus Care funds in 2007 as part of Continuum of Care Coalition collaboration.
- PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score) 96
- Improve voucher management: (SEMAP score) 98
- Increase customer satisfaction:
 1. Use surveys, general meetings and more resident outreach for all developments to create an open atmosphere in which feedback is received and recommendations implemented when possible, implemented now with ongoing efforts from 2005-2009.
- Concentrate on efforts to improve specific management functions:
 1. Public housing finance – Use info and training materials/dates by end of 2006.
 2. Voucher unit inspections – Revise existing quality control instrument by end of 2006 and update as needed.
 3. Actively pursue different avenues for investing Capital Fund;
 4. Additional staff training for the above areas.
- Renovate or modernize public housing units: 208 units (Capital Fund)
- Demolish or dispose of obsolete public housing:
 1. By spring 2008 will have looked into demolition and disposition of properties in Franklin, Ohio.
 2. In 2007 Investigate if possible that current voucher or tenants can become homeowners through purchasing some of disposed properties.
- Provide replacement public housing:
 1. By spring 2008, will have determined if housing to be disposed/demolished in Franklin, Ohio should be replaced through purchasing of rental properties in same area.
- Provide replacement vouchers:
- Other: (list below)
 1. Implement Project Based Accounting/Asset Management – See other goals at end of section.
- PHA Goal: Increase assisted housing choices
 - Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 1. Currently have one in place with 1 confirmed homeowner so far.
 - Implement public housing or other homeownership programs:
 1. Currently have one in place with FSS program, and have collaboration with local homeownership counseling group, and possible 9 future homeowners.
 - Implement public housing site-based waiting lists:
 1. Expect to start in Oct 2007 as part of PBA/AM
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 1. Quarterly reports to ensure that at least minimum level of requirements are being met by project by end of CY 2007.
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 1. Target date of end of CY 2007
 - Implement public housing security improvements:
 1. Provide better lighting, fences and heavier entry doors for areas with crime problems.
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 1. By end of CY 2008.
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - Increase the number and percentage of employed persons in assisted families: 10% or 20 families by 2008
 - Provide or attract supportive services to improve assistance recipients' employability:
 1. Create computer lab for residents and voucher holders to use for job search, education, every day needs (gathering info on filing taxes, getting driver's license, court proceedings, with a knowledgeable resident assistant on hand for help) by fall 2006 for Lebanon area, 2007 for Middletown and South Lebanon locations.
 2. Conduct a resident survey annually to determine services wanted and implement with follow-up evaluations bi-annually.
 3. Continue to provide classes for educational purposes through collaboration with local groups with follow-up evaluations at class end.
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 1. Continue to work with the county agencies in educating residents about services for target group, supply informational brochures in applicant packets and on-site informational centers, and referrals to MRDD, Mental Health or other services if tenant makes known need for referral,
 - Other: (list below) Continue to
 1. Apply for Family Self-Sufficiency funds as needed.
 2. Apply for ROSS funds as needed.
 3. Devise group activities for residents and dependents to encourage team building skills with target date of fall 2007.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
1. All staff trained in Fair Housing Laws at least every two years starting with 2006.
 2. Continue to provide brochures in all applicant packets to address Fair Housing Laws, available services and legal recourse (Legal Aid brochures and Community Service brochures for help in obtaining deposits for units and utilities).
 3. Continue to educate all landlords about current and changing Fair Housing Laws through informational outreach packet.
 4. Post updates, and newsletter from Fair Housing for staff and resident education in prominent areas of agency/project.
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
1. Conduct survey of all PH residents to determine family's level of satisfaction with living environment by end of CY 2006.
 2. Use results of survey to make changes following correct policies/procedures.
 3. Provide newsletter to landlords about issues related to Fair Housing and concerns on mold, allergens in environment, and other environmental hazards by end of CY 2006.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
1. Continue communications with HOME to ensure all admissions/recertification procedures are in line with contemporary requirements.
 2. Further development of established connections with MRDD, Mental Health
 3. Continue to apply accessible modifications whenever needed
 4. Continue to apply reasonable accommodations whenever warranted by all applicable laws, statues, rules, agency policies.
- Other: (list below)
1. Provide library of materials on Fair Housing for ready staff access and public review.

Other PHA Goals and Objectives: (list below)

Implement Project Based Accounting/Asset Mangement

1. **Staff receives initial training – started June 2006, will continue with August project manager training, and procurement officer training also August 2006.**
2. **Clean up compliance issues with HUD to enable agency to apply for stop/loss.**
3. **Maintenance divides inventory by project need July 2006.**
4. **Accounting begins allocation of costs by project July 2006.**
5. **Rental ledgers apportioned by project by July 2006.**
6. **Request to take unit off-line submitted to HUD July 2006.**
7. **On-site project management to begin September 2006.**
8. **Apply for Stop/loss by October 2006.**
9. **Track success through created/adapted measurement tool (monthly reports, spreadsheets, etc.)**
10. **Review by HUD for compliance with 7 Criteria early spring 2007.**
11. **Make changes, improvements as needed if stop/loss criteria not met for 2006 – following review of agency performance early to late spring 2007.**
12. **Apply for 2007 stop/loss by October 2007.**

13. Institute site-based waiting list October 2007.

14. Train project managers in completing Capital Fund, PHAS, creating Resident Advisory Board, and other necessary trainings – on-going through transition year and as needed for follow-up.

Streamlined Annual PHA Plan PHA Fiscal Year 2005 [24 CFR Part 903.12(b)]

Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

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B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;
Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.
For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:
Form HUD-50070, Certification for a Drug-Free Workplace;
Form HUD-50071, Certification of Payments to Influence Federal Transactions;
Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

Executive Summary (optional)

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

1. Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	133		250-300
Extremely low income <=30% AMI	109	81%	
Very low income (>30% but <=50% AMI)	23	17%	
Low income (>50% but <80% AMI)	1	>.001%	
Families with children	114	85%	
Elderly families	19	14%	
Families with Disabilities	12	9%	
Race/ethnicity (W/NON-H)	121	90%	
Race/ethnicity (B/NON-H)	11	8%	
Race/ethnicity (A/NON-H)	1	.001%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	19	14%	5-10
2 BR	70	52%	150-175
3 BR	44	33%	95-115
4 BR	N/A		
5 BR	N/A		
5+ BR	N/A		
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? n/a			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/>			
No <input type="checkbox"/> Yes			
Housing Needs of Families on the PHA's Waiting Lists			

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	457		200
Extremely low income <=30% AMI	380	83%	
Very low income (>30% but <=50% AMI)	75	16%	
Low income (>50% but <80% AMI)	2	.004%	
Families with children	289	63%	
Elderly families	168	36%	
Families with Disabilities	100	21%	
Race/ethnicity (W/NON-H)	421	92%	
Race/ethnicity (W/HISP)	4	.08%	
Race/ethnicity (B/NON-H)	26	5%	
Race/ethnicity (IND-ASIAN)	6	1%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 8 months			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/>			
No <input type="checkbox"/> Yes			

B. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line

- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies – On-going effort by Community Housing Improvement Strategy group for Warren County Ohio includes WMHA as a consultant. First meeting in June 2006.
- Other (list below)
 1. Participate in the Warren County Continuum of Care the Housing Coalition as an active and involved member.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
 1. Apply for Shelter Plus Care funds in 2007 to address needs of special needs homeless population.
 2. Apply for other grants to address affordable housing.
 3. Provide education to local groups about the need for affordable housing through attending agency meetings.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships

- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

1. Affirmatively market to races/ethnicities who have a visible presence within the community through providing translated materials for application/processing and recertification as needed.
2. If required, provide translator for same group.

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
 - Market the section 8 program to owners outside of areas of poverty /minority concentrations
 - Other: (list below)
1. Conduct more landlord education on Fair Housing Laws, VAWA, and homeless population.

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	\$500,573	
a) Public Housing Capital Fund	\$278,486	
a) HOPE VI Revitalization	N/A	
a) HOPE VI Demolition	N/A	
a) Annual Contributions for Section 8 Tenant-Based Assistance	\$2,289,576	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
a) Resident Opportunity and Self-Sufficiency Grants		
a) Community Development Block Grant	N/A	
a) HOME	N/A	
Other Federal Grants (list below):		
Supportive Housing Program	\$211,702	Operating and Supportive Services (McKinney/Homeless)
2. Prior Year Federal Grants (unobligated funds only) (list below)	N/A	
3. Public Housing Dwelling Rental Income	\$353,061	Operating
4. Other income (list below)		
Interest	\$5,400	Operating
Collections	\$22,050	Operating
Graduated Incentive Bonus Program	\$19,609	Operating
5. Non-Federal sources		
State RFP	\$87,000	Operating/ Supportive Services
Housing Trust Fund	\$113,000	Supportive Services
Total resources	\$3,880,457	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.12 (b), 903.7 (b)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number) 50-60.
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history

- Housekeeping
- Other (describe)

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. Site-Based Waiting Lists-Previous Year

- 1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to d.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time? ____

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? ____

4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
 Three or More

- b. Yes No: Is this policy consistent across all waiting list types?

- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) **Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Transitional Housing graduate

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

3 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- 2 Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 2 Other preference(s) (list below)
Transitional Housing graduate

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is

complete. If yes, continue to the next question.

- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors):
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
 - Other (describe below)
 Previous landlord references, if available

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
 - Federal public housing

- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If applicant shows proof of actively looking for housing, and it is provided to the HA timely.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs

- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Transitional Housing graduate

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

3 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- 2 Veterans and veterans’ families
- 1 Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 2 Other preference(s) (list below)
Transitional Housing graduate

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.12(b), 903.7(d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% of adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

Child Support paid out through a Child Support Enforcement Agency, for a child who does not live in the adult's assisted household.

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

Market comparability study

Fair market rents (FMR)

- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)
Fair Market Rent, minus 10% (for lack of amenities)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all

that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Capital Improvement Needs

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

A. Capital Fund Activities

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

(1) Capital Fund Program

- a. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.
- b. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

(1) Hope VI Revitalization

- a. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. Status of HOPE VI revitalization grant (complete one set of questions for each grant)
Development name:
Development (project) number:
Status of grant: (select the statement that best describes the current status)
 Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway
- c. Yes No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:
- d. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
- e. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

6. Demolition and Disposition

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

- a. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1) Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

(2) Program Description

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of

participants this fiscal year?20

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below: FSS participant

b. What actions will the PHA undertake to implement the program this year (list)?

1. Develop policy and procedure
2. Partner with housing counseling agency
3. Marketing/outreach

(3) Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- a. Establishing a minimum homeowner down-payment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- b. Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c. Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below). Balanced Housing Corporation of Warren County; 8 years experience
- d. Demonstrating that it has other relevant experience (list experience below).

8. Civil Rights Certifications

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard copy—see Table of Contents.

9. Additional Information

[24 CFR Part 903.12 (b), 903.7 (r)]

A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan

(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2005 - 2009.)

The PHA has implemented changes as required by law and regulations. Goals concerning policy, and community have been consistently met so that the agency has been rated as a high performer in both Public Housing and Section 8. Some FSS participants have become homeowners, and more are involved with credit repair, and homeownership classes. Outreach to landlords and the rental community has increased with the development of landlord packets and newsletters. Capital Fund improvements are under revision for approval, with Capital Fund 2004 funds having been expended.

Voucher management is near target % and vacancies and turnaround rate are above targeted goals. PHA staff received Fair Housing training in June 2006. PHA management has undergone a change with a concurrent change in Board composition.

B. Criteria for Substantial Deviations and Significant Amendments

(1) Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

a. Substantial Deviation from the 5-Year Plan – A change is significant when it substantially affects the implementation of the project and shows an addition, deletion or substitution of planned activities, or it is a departure of more than 10% from one planned activity to another, or a change in activities by planned year.

b. Significant Amendment or Modification to the Annual Plan – Is required when the conditions above exist in 50% of any one year of planned activities.

C. Other Information

[24 CFR Part 903.13, 903.15]

(1) Resident Advisory Board Recommendations

a. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

If yes, provide the comments below:

b. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below)

(2) Resident Membership on PHA Governing Board

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

Yes No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board: Linda Baysore

Method of Selection:

Appointment

The term of appointment is (include the date term expires): 2006

Election by Residents (if checked, complete next section--Description of Resident Election Process)

Description of Resident Election Process

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member: 2006

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position): the Warren County Courts appoint 2 members, the City Mayor of Mason appoints 2 members, and the Warren County Board of Commissioners appoints 1 member.

(3) PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

Consolidated Plan jurisdiction: (provide name here)

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below) The last Consolidated (Comprehensive Plan for Warren County) was done in 1996, and the Housing Advisory Committee is currently updating the plan with the WMHA as a consulting partner for housing needs for low-income and homeless.

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

(4) (Reserved)

Use this section to provide any additional information requested by HUD.

10. Project-Based Voucher Program

a. Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.

b. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

- Low utilization rate for vouchers due to lack of suitable rental units
- Access to neighborhoods outside of high poverty areas
- Other (describe below:)

c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

11. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>	Standard 5 Year and Annual Plans; streamlined 5 Year Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan.	5 Year Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
	Consortium agreement(s).	Annual Plan: Agency Identification and Operations/ Management
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Section ____15 part VII ____of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Pet Policy
X X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Consortium agreement(s), if a consortium administers PHA programs.	Joint PHA Plan for Consortia
	Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection	Joint PHA Plan for Consortia
	Other supporting documents (optional). List individually.	(Specify as needed)

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no 3:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration	\$ 33,850	\$33,850		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$29,175	\$29,175		
8	1440 Site Acquisition				
9	1450 Site Improvement	\$160,507	\$160,507	\$115,250	\$115,250
10	1460 Dwelling Structures	\$114,972	\$114,972	\$2,881	\$2,881
11	1465.1 Dwelling Equipment—Nonexpendable	-----0-----	---0---		
12	1470 Nondwelling Structures	-----0-----	--- 0 ---		
13	1475 Nondwelling Equipment	-----0-----	-----0-----		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$338,504	\$338,504		
22	Amount of line 21 Related to LBP Activities	4,500	4,500		
23	Amount of line 21 Related to Section 504 compliance	-----0-----	-----0-----		
24	Amount of line 21 Related to Security – Soft Costs	-----0-----	-----0-----		
25	Amount of Line 21 Related to Security – Hard Costs	-----0-----	-----0-----		
26	Amount of line 21 Related to Energy Conservation Measures	\$69,600	\$69,600		

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.05 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
OH-049	Administration	1410		\$33,850	\$33,850	\$33,850	\$13,260	A&E costs for 2004 CFP. Paid 06/26006
	Fees and Costs	1430		\$29,175	\$29,175			Not started
OH-049-003	Top/Cut Trees 70-80 foot high trees and maintenance does not have equipment or training to cut trees of this height – photos can be provided if requested.	1450	36	\$22,500	\$22,500			Not started & pending approval
	421 Ander. (LBP) maintenance	1460	1	\$4,500	\$4,500			Not started & pending approval
	421 Anderson Railing for safety concern	1460	1	\$2,881	\$2,881	\$2,881	\$2,881	completed
OH-049-004	Replace Hall Doors	1450	34	\$3,143	\$3,143			Not started & pending approval
	Resurface Parking Lot – supporting documents available for review. Initial estimate done in-house and was too low. Professional evaluation required regarding and resurfacing to prevent drain overflow that flooded parking area for residents and staff.	1450	1	\$115,250	\$115,250	\$115,250	\$115,250	Completed with 2004 CFP. Actual Cost

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.05 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
OH-049-007	Replace Windows (larger windows that failed UPCS inspection to be replaced with Energy Star compliant ones – over 100)	1460	174	\$69,600	\$69,600			Not started & pending approval
OH-049-009 M.L.	Repair Drainage Ditch	1450	1	\$22,757	\$22,757			Not started & Pending approval
OH-049-009 R.C.	Replace Shingles	1460	7 duplexes	\$34,848	\$34,848			Not started & pending approval
Total Estimated Cost	All Developments			\$338,504	\$338,504			Not started & pending approval

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program No: OH10P049501.05 Replacement Housing Factor No:				Federal FY of Grant: 2005
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
OH049-All	03/31/06	09/30/-6		09/30/06			
OH049-002	03/31/06	09/30/-6		09/30/06			
OH049-003	03/31/06	09/30/-6		09/30/06			
OH049-004	03/31/06	09/30/-6		09/30/06			
OH049-007	03/31/06	09/30/-6		09/30/06			
OH049-009	03/31/06	09/30/-6		09/30/06			

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name Warren Metropolitan Housing Auth			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:		
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2006 PHA FY: 2006	Work Statement for Year 3 FFY Grant: 2007 PHA FY: 2007	Work Statement for Year 4 FFY Grant: 2008 PHA FY: 2008	Work Statement for Year 5 FFY Grant: 2009 PHA FY:2009
<i>OH-049-002</i>	Annual Statement	\$43,923	\$64,278	\$69,750	\$44,747
<i>OH-049-003</i>		\$40,651	\$134,367	\$162,750	
<i>OH-049-004</i>		\$151,854			\$44,000
OH-049-007		\$41,724	\$49,274	\$65,659	
OH-049-009		\$3,000	\$34,848		\$130,000
OH049-10		\$7,000			
CFP Funds Listed for 5-year planning		\$288,152	\$282,767	\$298,159	\$218,747
Replacement Housing Factor Funds					

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2006 PHA FY: 2006			Activities for Year: <u>3</u> FFY Grant: 2007 PHA FY: 2007		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	<i>OH-049-002</i>	<i>Parking Drain Repair</i>	8,367	<i>OH-049-002</i>	<i>Vinyl Siding</i>	64,278
Annual	<i>OH-049-002</i>	<i>Replace Shutters (35x6=210)</i>	6,146	OH-049-003 (44)	<i>Replace Refrig.</i>	29,367
		<i>Assistive Technology for blind/hearing impaired</i>	3,000			
		<i>Landscaping</i>	26,410			
Statement	<i>OH-049-003</i>	Security lighting (6 posts)	\$18,000	OH-049-003	<i>Replace Siding</i>	105,000
	<i>OH-049-003</i>	Drive 907 Main	9,275			
		<i>Assistive Technology for blind/hearing impaired</i>	3,000			
		<i>Vinyl Wrap&Paint (LBP)</i>	509			
		<i>Glass Block Window</i>	484			
		<i>Concrete/paint</i>	1,763			
		<i>Roofing/gutters</i>	7,620			
	<i>OH-049-004</i>	Replace Water Softner	22,575	OH-049-007 (26)	Replace Refrig.	16,690
	<i>OH-049-004</i>	Install furnace/air conditioning	126,279	OH-049-007	Replace front entry/shed door	32,584
		<i>Assistive Technology for blind/hearing impaired</i>	3,000			
See	OH-049-007	Install Playground	38,724	OH-049-009	Re-roof units @ Mary Lane	34,848

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

		<i>Assistive Technology for blind/hearing impaired</i>	3,000			
	OH049-009	<i>Assistive Technology for blind/hearing impaired</i>	3,000			
	OH049-010	<i>Assistive Technology for blind/hearing impaired</i>	3,000			
	OH049 Administrative building	Assistive Technology for blind/hearing impaired	4,000			
Total CFP Estimated Cost			\$288,152			\$282,767

Capital Fund Program Five-Year Action Plan					
Part II: Supporting Pages—Work Activities					
Activities for Year : <u>4</u> FFY Grant: 2008 PHA FY: 2008			Activities for Year: <u>5</u> FFY Grant: 2009 PHA FY: 2009		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
<i>OH-049-002</i>	<i>Replace Windows</i>	69,750	<i>OH-049-002</i>	<i>Replace stove/refrig</i>	44,747
OH-049-003	<i>Re-roof 34 units</i>	57,750	OH-049-004	<i>Replace exterior doors/add windows</i>	44,000
<i>OH-049-003</i>	Replace siding	105,000			
OH-049-007	Re-roof all units	29,250	OH-049-009	Window replacement	130,000
OH-049-007	Replace furnaces	36,409			

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations	0	0	0	0	
3	1408 Management Improvements	0	0	0	0	
4	1410 Administration	27,000	-----	27,000	27,000	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	22,275	-----	22,275	22,275	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	50,600	143,083	141,660	141,660	
10	1460 Dwelling Structures	95,000	18,099	19,522	19,522	
11	1465.1 Dwelling Equipment—Nonexpendable	26,700	10,029	0	0	
12	1470 Nondwelling Structures	13,411	7,311	6,100	6,100	
13	1475 Nondwelling Equipment	43,500	50,689	45,189	45,189	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	0	0	0	0	
18	1499 Development Activities	0	0	0	0	
19	1501 Collateralization or Debt Service	0	0	0	0	
20	1502 Contingency	0	0	0	0	
21	Amount of Annual Grant: (sum of lines 2 – 20)	278,486	278,486	261,746	261,746	
22	Amount of line 21 Related to LBP Activities	50,000	7,720	7,720	7,720	
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0	
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0	
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0	
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0	

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
OH049	Administration	1410	1	27,000	-----	27,000	27,000	Final Draw 11/21/05
	Fees and Costs	1430	1	22,275	-----	22,275	22,275	Final Draw 01/26/05
Development 002	Maintenance Trailer	1475	1	3,500	0	0	0	Deleted from plan as an ineligible cost
	Restripe/Resurface Parking Lot	1450	1	10,000	0	0	0	Moved to 2006 CFP
	Larger Dumpster Area	1450	1	22,800	0	0	0	Deleted from plan due to lack of space for expansion
	New Shutters	1460	35 pair	6,000	0	0	0	Moved to 2006 CFP
	New Large Playground	1475	1	40,000	31,560	31,560	31,560	Actual Cost Completed 10/2005 & last draw 04/26/06
	Patio Separation Fencing	1475	1	5,800	13,269	13,269	13,269	Completed 10/2005 & last draw 04/26/06 on actual cost
	Landscaping	1450	1	0	26,410	26,410	26,410	Actual Cost Completed 10/2005 & last draw 04/26/06. Added because playground required some ground improvements
Development 003	Replace Driveway 907 S. Main	1450	1	2,000	0	0	0	Moved to 2006 CFP

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	202 Lake Avenue LBP Removal and window replacement	1460	8	50,000	6,265	6,265	6,265	Actual Cost Completed and final draw on 04/26/06
	202 Lake Avenue Vinyl Wrap and Paint for LBP unit	1460	1	0	509	509	509	Actual Cost Completed and final draw on 04/26/06 . Added by LBP Assessor
	202 Lake Avenue Basement windows replaced by glass block	1460	1	0	484	484	484	Actual Cost Completed and final draw on 04/26/06. Used instead of regular windows for basement area.
	10 West Fifth Street Railing for safety purpose	1460	1	0	2,881	2,881	2,881	Actual Cost Completed and final draw on 04/26/06. Added at resident request.
	10 West Fifth Street Concrete Painting	1460	1	0	1,423	1,423	1,423	Actual Cost Completed and final draw on 04/26/06. Added at resident request.

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	25 North River Street Roofing and Gutters	1460	1	0	7,620	7,620	7,620	Actual Cost Completed and final draw on 04/26/06. Added because of leaks in unit and poor condition of gutters.
Development 004	Replace Entryway Tile (Vestibule Plan)	1470	1	13,411	7,311	6,100	6,100	Actual Cost Completed and final draw on 11/18/05
	Replace Refrigerators	1465.1	36	26,700	0	0	0	Moved to 2007
	Restripe/Resurface Parking Lot	1450	1	10,000	115,250	115,250	115,250	Total Actual Cost Completed and final draw on 06/26/06. Costs for this project were estimated in-house. Contractor called in for pre-bid estimate determined that re- grading of lot necessary due to poor drainage and overflow from heavy rains flooding parking lot used by office staff and residents.

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Development 007	Replace Shutters	1460	25 Pair	6,000	0	0	0	Delted from plan due to need to replace windows first.
	New Entry Doors and Shed Doors Entry Doors are reinforced steel	1460	50 Entry Doors & 2 Shed Doors	33,000	0	0	0	Moved to 2007 CFP

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program No: OH10P049501.04 Replacement Housing Factor No:			Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
OH049-All	03/31/05	-----	09/30/05	05/09/05	-----	06/30/06	See Narrative Letter Attached
OH049-002	03/31/05	-----	09/30/05	05/09/05	-----	06/30/06	See Narrative Letter Attached
OH049-003	03/31/05	-----	09/30/05	05/09/05	-----	06/30/06	See Narrative Letter Attached
OH049-004	03/31/05	-----	09/30/05	05/09/05	-----	06/30/06	See Narrative Letter Attached
OH049-007	03/31/05	-----	09/30/05	05/09/05	-----	06/30/06	See Narrative Letter Attached

13. Capital Fund Program Five-Year Action Plan

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049501.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	16,389 PHA Funds
2	1406 Operations	2,400	-----	2,400	2,400
3	1408 Management Improvements	0	0	0	0
4	1410 Administration	10,000	-----	5,940.80	5,940.80
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	19,000	-----	23,059.20	23,059.20
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	9,000	-----	2,670	2,670
10	1460 Dwelling Structures	165,376	-----	171,706	171,706
11	1465.1 Dwelling Equipment—Nonexpendable	0	-----	0	0
12	1470 Nondwelling Structures	34,690	51,079	51,079	51,079
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1499 Development Activities	0	0	0	0
19	1501 Collateralization or Debt Service	0	0	0	0
20	1502 Contingency	0	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	240,466	240,466	256,855	256,855
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program Grant No: OH10P049501.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
OH049	Administration	1410	1	10,000	-----	10,000	5,940.80	Final Draw 01/26/05 4,059.20 funged to Fees
	Operations	1406	1	2,400	-----	2,400	2,400	Final Draw 01/26/05
	Fees & Costs	1430	1	19,000	-----	19,000	23,059.20	Completed and Final Draw 6/30/04. Balance of 4,059.20 taken from Admin Cost
Development 002	New Large Playground	1470	1	10,000	0	0	0	Moved to 2004 CF
Development 004	Carpet Replacement	1460	37-40 Units	60,000	-----	60,000	60,000	Completed 11/14/04. Final Draw 11/19/04

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program Grant No: OH10P049501.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Electrical Box	1460	1	0	6,330	6,330	6,330	Upgrade required to complete improvements for Sprinkler Installation from 2002 CF. Completed and Final Draw 11/10/04
	Floor Tile Replacement	1460	37-40 Units	64,826	-----	64,826	64,826	Completed and Final Draw 11/14/04. Must share cost with Dev 007 below on actual invoices.
	Additional Parking	1450	8 spaces	9,000	0	0	0	Moved to CF 502.03
Development 007	Replace Floor Tile	1460	25 Units	40,550	-----	40,550	40,550	Actual Cost Completed 10/2005 & last draw 04/26/06. Added because playground required some ground improvements

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program Grant No: OH10P049501.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Common Area Picnic Shelter	1470	1 Large	4,690	8,156	8,156	8,156	Actual Cost and Completed 09/20/04. Final Draw 10/13/04
Development 009	Picnic Shelters	1470	2 Small	5,000	16,312	16,312	16,312	Actual Cost and Completed 09/20/04. Final Draw 10/13/04
	Play Ground	1470	2 Small	15,000	26,611	26,611	26,611	Actual Cost and Completed 09/20/04. Final Draw 10/13/04
	Ground Improvements	1450	1	0	2,670	2,670	2,670	Actual Cost and Completed 09/20/04. Final Draw 10/13/04

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program Grant No: OH10P049501.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program No: OH10P049501.03 Replacement Housing Factor No:				Federal FY of Grant: 2003
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
OH049-All	03/31/04	-----	03/31/04	06/30/05	-----	06/30/05	N/A
OH049-002	-----	-----	-----	-----	-----	-----	Project Moved to 2004 CF
OH049-009	03/31/05	-----	09/30/05	12/31/04	-----	12/31/04	N/A
OH049-007	03/31/05	-----	09/30/05	12/31/04	-----	12/31/04	N/A
OH049-004	03/31/05	-----	09/30/05	03/31/05	-----	03/31/05	N/A

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049502.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003 Interim
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	4,500	4,500	4,500	4,500
3	1408 Management Improvements Soft Costs	0	0	0	0
	Management Improvements Hard Costs	0	0	0	0
4	1410 Administration	5,000	5,000	5,000	5,000
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	3,800	3,800	3,800	3,800
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	9,000	9,000	9,000	9,000
10	1460 Dwelling Structures	15,624	15,624	15,624	15,624
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	10,000	10,000	10,000	10,000
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1499 Development Activities	0	0	0	0
19	1502 Contingency	0	0	0	0
	Amount of Annual Grant: (sum of lines.....)	47,924	47,924	47,924	47,924
	Amount of line XX Related to LBP Activities	0	0	0	0

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary						
PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049502.03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003 Interim	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)						
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
	Amount of line XX Related to Section 504 compliance	0	0	0	0	
	Amount of line XX Related to Security –Soft Costs	0	0	0	0	
	Amount of Line XX related to Security-- Hard Costs	0	0	0	0	
	Amount of line XX Related to Energy Conservation Measures	0	0	0	0	
	Collateralization Expenses or Debt Service	0	0	0	0	

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program Grant No: OH10P049502.03 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003 Interim		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Original	Revised	
OH-049	Administration		1410	1	4,500	4,500	4,500	4,500	Completed Carry-over from 501.03
	Operations		1406	1	5,000	5,000	5,000	5,000	Completed Carry-over from 501.03
	Fees and Costs		1430	1	3,800	3,800	3,800	3,800	Completed Carry-over from 501.03
OH-049-004	Additional Parking Spaces Originally in 501.03. Moved from it to 502.03 when electrical box was added to project for safety reasons.		1450	8 spaces	9,000	9,000	9,000	9,000	Completed Carry-over from 501.03
OH-049-007	Replace floor tile in all occupied units Continuation of 501.03 project.		1460	25 Units	15,624	15,624	15,624	15,624	Completed Carry-over from 501.03
	Add Picnic Shelter in common area Continuation of 501.03 project.		1470	1	3,500	8,156	8,156	8,156	Completed 10/13/04. Carry-over from 501.03 with partial payment of 4,690 from

13. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages									
PHA Name: Warren Metropolitan Housing Authority			Grant Type and Number Capital Fund Program Grant No: OH10P049502.03 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003 Interim		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Original	Revised	
									501.03
OH-049-009	Playgrounds Continuation of 501.03 project.		1470	2 Small	6,500	26,611	26,611	26,611	Completed Carry-over from 501.03 Completed 10/13/04 with partial payment of 15,000 from 501.03
					47,924	72,691	72,691	72,691	Balance left paid by PHA Funds included with 501.03 P/E

13. Capital Fund Program Five-Year Action Plan

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Warren Metropolitan Housing Authority		Grant Type and Number Capital Fund Program Grant No: OH10P049502.03 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003 Interim			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Original	Revised	

