

# PHA Plans

## Streamlined 5-Year/Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian Housing

OMB No. 2577-0226  
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined 5-Year Plan for Fiscal Years 2005 - 2009

## Streamlined Annual Plan for Fiscal Year 2005

# Ilion Housing Authority

**NOTE:** This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.

## Streamlined Five-Year PHA Plan Agency Identification

**PHA Name: Ilion Housing Authority**

**PHA Number: NY059**

**PHA Fiscal Year Beginning: (mm/yyyy) 10/2005**

**PHA Programs Administered:**

**Public Housing and Section 8**

Number of public housing units: **158**

Number of S8 units: **112**

**Section 8 Only**

Number of S8 units:

**Public Housing Only**

Number of public housing units:

**PHA Consortia: (check box if submitting a joint PHA Plan and complete table)**

| Participating PHAs   | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: |          |                                       |                                |                         |
| Participating PHA 2: |          |                                       |                                |                         |
| Participating PHA 3: |          |                                       |                                |                         |

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting:**

**(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

## Streamlined Five-Year PHA Plan

### PHA FISCAL YEARS 2005 - 2009

[24 CFR Part 903.12]

#### A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

**It is the mission of the Iliion Housing Authority to provide affordable housing to low-income families, elderly, handicapped and disabled persons, without regard to race, color, religion, gender, familial status, creed or national origin.**

#### B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURE OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
  - Apply for additional rental vouchers:
  - Reduce public housing vacancies: **Strive for a vacancy rate of 5% by Fiscal Year ending 9/30/09**
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)

- PHA Goal: Improve the quality of assisted housing  
Objectives:
- Improve public housing management: (PHAS score)  
**Strive for 90% by Fiscal Year ending 9/30/09**
  - Improve voucher management: (SEMAP score)
  - Increase customer satisfaction: **Strive for 90% in this component of PHAS by Fiscal Year ending 9/30/09**
  - Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
  - Renovate or modernize public housing units: **Continue renovations using available CFP funds.**
  - Demolish or dispose of obsolete public housing:
  - Provide replacement public housing:
  - Provide replacement vouchers:
  - Other: (list below)  
**Maintain high performer status under SEMAP.**

- PHA Goal: Increase assisted housing choices  
Objectives:
- Provide voucher mobility counseling:
  - Conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Implement public housing or other homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers:
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment  
Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements: **Install security cameras at the developments pending available CFP funds. Install new locks at London Towers.**
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
  - Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability:
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
  - Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

**Other PHA Goals and Objectives: (list below)**

# Streamlined Annual PHA Plan

## PHA Fiscal Year 2005

[24 CFR Part 903.12(b)]

### Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

#### **A. ANNUAL STREAMLINED PHA PLAN COMPONENTS**

- 1. Housing Needs
- 2. Financial Resources
- 3. Policies on Eligibility, Selection and Admissions
- 4. Rent Determination Policies
- 5. Capital Improvements Needs
- 6. Demolition and Disposition **Not Applicable**
- 7. Homeownership **Not Applicable**
- 8. Civil Rights Certifications (included with PHA Certifications of Compliance)
- 9. Additional Information
  - a. PHA Progress on Meeting 5-Year Mission and Goals
  - b. Criteria for Substantial Deviations and Significant Amendments
  - c. Other Information Requested by HUD
    - i. Resident Advisory Board Membership and Consultation Process
    - ii. Resident Membership on the PHA Governing Board
    - iii. PHA Statement of Consistency with Consolidated Plan
    - iv. (Reserved)
- 10. Project-Based Voucher Program **Not Applicable**
- 11. Supporting Documents Available for Review
- 12. FY 2005 Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report
- 13. Capital Fund Program 5-Year Action Plan
- 14. Other (List below, providing name for each item)

#### **ATTACHMENTS:**

**Attachment A – 2004 CFP Performance & Evaluation Report**

**Attachment B – 2003 CFP Performance & Evaluation Report**

**Attachment C – 2003 CFP (Bonus Funds) Performance & Evaluation Report**

#### **B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;**  
**Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.**

For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:  
**Form HUD-50070**, *Certification for a Drug-Free Workplace*;  
**Form HUD-50071**, *Certification of Payments to Influence Federal Transactions*;  
**Form SF-LLL & SF-LLLa**, *Disclosure of Lobbying Activities*.

### **Executive Summary (optional)**

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

**The Ilion Housing Authority is committed to providing the citizens of Ilion with housing that is affordable, safe, and in good condition. We have defined our mission this way:**

*It is the mission of the Ilion Housing Authority to provide affordable housing to low-income families, elderly, handicapped and disabled persons, without regard to race, color, religion, gender, familial status, creed or national origin.*

**This Agency Plan summarizes the specific actions that the Ilion Housing Authority will take to ensure that we fulfill our mission.**

**This Agency Plan meets the requirements of the Quality Housing and Work Responsibility Act of 1998 and HUD's implementing regulations. Readers are encouraged to review this document and any of the supporting documents listed in it.**

**Here are a few highlights of our Annual Plan.**

**We made the following changes to our policies and/or programs based on changes in statutes and/or HUD regulations that have occurred in the past year. HUD mandated all of these.**

#### **Public Housing Admissions and Continued Occupancy Policy**

- a. Changes in verification requirements for social security and supplemental security income
- b. Changes in verification requirements for Medicare expenses
- c. Upfront Income Verifications Privacy Requirements
- d. Procedures for discrepancies in verified income

#### **Section 8 Program Administrative Plan:**

- a. Changes in verification requirements for social security and supplemental security income

- b. Changes in verification requirements for Medicare expenses
- c. Upfront Income Verifications Privacy Requirements
- d. Procedures for discrepancies in verified income

**In addition we have made or propose the following discretionary changes. Full details are provided in the respective policies, which are supporting documents to this Plan:**

**a. Public Housing Admissions & Continued Occupancy Policy**

- Pet Deposit will be changed to the greater of one month's rent or \$150.
- Other than assistive animals, visiting pets are prohibited from the premises.

**b. Section 8 Administrative Plan:**

- In light of the cut-back of program funds, we have added some cost savings possibilities in the administration of the Voucher Program.

**CONCLUSION:**

Despite the obstacles presented by shrinking federal dollars that support various programs, we have made great strides in addressing the needs of our jurisdiction's extremely low-, very low- and low-income residents. Our primary mission is the provision of safe, affordable and accessible housing. The plans, statements, budget summary, policies, etc. set forth in the Annual Plan all lead toward the accomplishment of our goals and objectives. Taken as a whole, they outline a comprehensive approach toward our goals and objectives and are consistent with the Consolidated Plan. In summary we are on course to improve the condition of affordable housing in the Village of Ilion.

**1. Statement of Housing Needs** [24 CFR Part 903.12 (b), 903.7(a)]

**A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the PHA's Waiting Lists  |               |                     |                 |
|---|---------------|---------------------|-----------------|
| Waiting list type: (select one)   |               |                     |                 |
| <input type="checkbox"/> Section 8 tenant-based assistance  |               |                     |                 |
| <input checked="" type="checkbox"/> Public Housing  |               |                     |                 |
| <input type="checkbox"/> Combined Section 8 and Public Housing  |               |                     |                 |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)                      |               |                     |                 |
| If used, identify which development/subjurisdiction:  |               |                     |                 |
|   | # of families | % of total families | Annual Turnover |
| Waiting list total  | 33            |                     | 33              |
| Extremely low income<br><=30% AMI   | 19            | 58%                 |                 |
| Very low income<br>(>30% but <=50% AMI)   | 7             | 21%                 |                 |
| Low income<br>(>50% but <80% AMI)   | 7             | 21%                 |                 |
| Families with children  | 31            | 94%                 |                 |
| Elderly families  | 2             | 6%                  |                 |
| Families with Disabilities  | 10            | 30%                 |                 |
| Race/ethnicity – White  | 33            | 100%                |                 |
| Race/ethnicity –<br>White/Hispanic  | 2             | 6%                  |                 |
| Race/ethnicity  |               |                     |                 |
| Race/ethnicity  |               |                     |                 |
| Characteristics by Bedroom Size (Public Housing Only)   |               |                     |                 |
| 0 BR & 1BR  | 8             | 24%                 | 8               |
| 2 BR  | 12            | 36%                 | 12              |
| 3 BR  | 12            | 36%                 | 12              |
| 4 BR  | 1             | 3%                  | 1               |
| 5 BR  |               |                     |                 |
| 5+ BR   |               |                     |                 |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes          |               |                     |                 |
| If yes:   |               |                     |                 |
| How long has it been closed (# of months)?  |               |                     |                 |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes |               |                     |                 |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed?                  |               |                     |                 |
| <input type="checkbox"/> No <input type="checkbox"/> Yes  |               |                     |                 |

**Housing Needs of Families on the PHA's Waiting Lists**

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

|   | # of families | % of total families | Annual Turnover |
|---|---------------|---------------------|-----------------|
| Waiting list total                                    | 50            |                     | -0-             |
| Extremely low income<br><=30% AMI                     | 31            | 62%                 |                 |
| Very low income<br>(>30% but <=50% AMI)               | 13            | 26%                 |                 |
| Low income<br>(>50% but <80% AMI)                     | 6             | 12%                 |                 |
| Families with children                                | 29            | 58%                 |                 |
| Elderly families                                      | -0-           | -0-                 |                 |
| Families with Disabilities                            | 8             | 16%                 |                 |
| Race/ethnicity - White                                | 46            | 92%                 |                 |
| Race/ethnicity – Black                                | 4             | 8%                  |                 |
| Race/ethnicity –<br>White/Hispanic                    | 5             | 10%                 |                 |
| Race/ethnicity  |               |                     |                 |
| Characteristics by Bedroom Size (Public Housing Only) |               |                     |                 |
| 1BR   | N/A           | N/A                 | N/A             |
| 2 BR  | N/A           | N/A                 | N/A             |
| 3 BR  | N/A           | N/A                 | N/A             |
| 4 BR  | N/A           | N/A                 | N/A             |
| 5 BR  | N/A           | N/A                 | N/A             |
| 5+ BR   | N/A           | N/A                 | N/A             |

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?

No  Yes

## B. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**The IHA will continue to provide reasonable alterations/accommodations to help make a unit more accessible where need is documented.**

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| <b>Financial Resources:<br/>Planned Sources and Uses</b>                  |                   |                           |
|---|-------------------|---------------------------|
| <b>Sources</b>  | <b>Planned \$</b> | <b>Planned Uses</b>       |
| <b>1. Federal Grants (FY 2005 grants)</b>                                 |                   |                           |
| a) Public Housing Operating Fund  | 331,078           |                           |
| b) Public Housing Capital Fund  | 231,924           |                           |
| c) HOPE VI Revitalization   |                   |                           |
| d) HOPE VI Demolition   |                   |                           |
| e) Annual Contributions for Section 8 Tenant-Based Assistance             | 259,387           |                           |
| f) Resident Opportunity and Self-Sufficiency Grants                       |                   |                           |
| g) Community Development Block Grant                                      |                   |                           |
| h) HOME   |                   |                           |
| Other Federal Grants (list below)   |                   |                           |
|   |                   |                           |
| <b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b> |                   |                           |
| 2004 Capital Fund   | 168,027           | Modernization             |
|   |                   |                           |
|   |                   |                           |
| <b>3. Public Housing Dwelling Rental Income</b>                           | 492,561           | Public Housing Operations |
|   |                   |                           |
|   |                   |                           |
| <b>4. Other income (list below)</b>                                       |                   |                           |
|   |                   |                           |
|   |                   |                           |
| <b>4. Non-federal sources (list below)</b>                                |                   |                           |
| Laundry Commissions   | 3,900             | Public Housing Operations |
| Cingular Wireless Rental  | 12,000            | Public Housing Operations |
|   |                   |                           |
|   |                   |                           |
| <b>Total resources</b>  | <b>1,498,877</b>  |                           |
|   |                   |                           |

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.12 (b), 903.7 (b)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)  
 When families are within a certain time of being offered a unit: (state time)  
 Other: (describe)

##### **At Application Submission**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity  
 Rental history  
 Housekeeping  
 Other (describe)      **Credit Background**

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list  
 Sub-jurisdictional lists  
 Site-based waiting lists  
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office  
 PHA development site management office  
 Other (list below)

c. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site based waiting lists in the previous year? If yes, complete the following table; if not skip to d.      **NO**

| Site-Based Waiting Lists                             |                |  |   |  |
|--|----------------|--|---|--|
| Development Information:<br>(Name, number, location) | Date Initiated | Initial mix of Racial, Ethnic or Disability Demographics | Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL | Percent change between initial and current mix of Racial, Ethnic, or Disability demographics |
|  |                |  |   |  |
|  |                |  |   |  |
|  |                |  |   |  |
|  |                |  |   |  |

2. What is the number of site based waiting list developments to which families may apply at one time? \_\_\_

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? \_\_\_

4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year **(NOT APPLICABLE)**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2.  Yes  No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists

- At the development to which they would like to apply
- Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

**To accommodate a family needing an accessible unit**

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2  Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 1  Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

| Deconcentration Policy for Covered Developments |                 |   |  |
|---|-----------------|---|--|
| Development Name                                | Number of Units | Explanation (if any) [see step 4 at §903.2(c)(1)(iv)] | Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)] |
|   |                 |   |  |
|   |                 |   |  |
|   |                 |   |  |

**The Deconcentration Analysis is a Supporting Document to the Annual Plan.**

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors):
- Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)

**IHA does not share information with prospective landlords.**

### (2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

### (3) Search Time

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a

unit?

If yes, state circumstances below:

**Unavailability of unit due to market conditions**

**A family with disabilities is having difficulty finding a unit with accessible features**

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2  Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 1  Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs** (Not Applicable)

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices  
 Other (list below)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.12(b), 903.7(d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% of adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

Market comparability study

Fair market rents (FMR)

95<sup>th</sup> percentile rents

75 percent of operating costs

100 percent of operating costs for general occupancy (family) developments

Operating costs plus debt service

The "rental value" of the unit

Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)  
**Any time the family has a change in family composition**

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply) **(Not Applicable)**

- FMRs are adequate to ensure success among assisted families in the PHA's segment of

- the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply) **(Not Applicable)**

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

## **(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Capital Improvement Needs**

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

### **A. Capital Fund Activities**

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

#### **(1) Capital Fund Program**

a.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the

upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.

- b.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

### **(1) Hope VI Revitalization**

- a.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)
- b. Status of HOPE VI revitalization grant (complete one set of questions for each grant)  
Development name:  
Development (project) number:  
Status of grant: (select the statement that best describes the current status)  
 Revitalization Plan under development  
 Revitalization Plan submitted, pending approval  
 Revitalization Plan approved  
 Activities pursuant to an approved Revitalization Plan underway
- c.  Yes  No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:
- d.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
- e.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

## **6. Demolition and Disposition**

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

- a.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

| <b>Demolition/Disposition Activity Description</b>  |
|---|
| 1a. Development name:   |
| 1b. Development (project) number:   |
| 2. Activity type: Demolition <input type="checkbox"/><br>Disposition <input type="checkbox"/>   |
| 3. Application status (select one)<br>Approved <input type="checkbox"/><br>Submitted, pending approval <input type="checkbox"/><br>Planned application <input type="checkbox"/> |
| 4. Date application approved, submitted, or planned for submission: (DD/MM/YY)  |
| 5. Number of units affected:  |
| 6. Coverage of action (select one)<br><input type="checkbox"/> Part of the development<br><input type="checkbox"/> Total development  |
| 7. Timeline for activity:<br>a. Actual or projected start date of activity:<br>b. Projected end date of activity:   |

## **7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1)  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

### **(2) Program Description**

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? \_\_\_

b. PHA established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

c. What actions will the PHA undertake to implement the program this year (list)?

### **(3) Capacity of the PHA to Administer a Section 8 Homeownership Program**

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- a.  Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- b.  Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c.  Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).
- d.  Demonstrating that it has other relevant experience (list experience below).

## **8. Civil Rights Certifications**

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard copy—see Table of Contents.

## **9. Additional Information**

[24 CFR Part 903.12 (b), 903.7 (r)]

### **A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan**

*(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2000 - 2004)*

The following table reflects the progress we have made in achieving our goals and objectives:

| <b>Goal One: Manage the Ilion Housing Authority's tenant-based assistance program in an efficient and effective manner.</b> |  |
|---|--|
| <b>Objective</b>  | <b>Progress</b>  |
| HUD shall recognize the IHA as a standard performer under SEMAP for our fiscal year ending September 30, 2001               | Objective achieved   |
| HUD shall recognize the IHA as a High Performer under SEMAP for our fiscal year ending September 30, 2002                   | Not achieved   |
| HUD shall recognize the IHA as a High Performer under SEMAP for our fiscal year ending September 30, 2003                   | Small PHA – not assessed in fiscal year 2003                       |
| HUD shall recognize the IHA as a High Performer under SEMAP for our fiscal year ending September 30, 2004                   | IHA received a score of 100% in fiscal year ending September, 2004 |
| HUD shall recognize the IHA as a High Performer under SEMAP for our fiscal year ending September 30, 2005                   | Have not reached that reporting period yet.                        |
| IHA shall make the Section 8 program more marketable as evidenced by an increase in our waiting lists by September 30, 2002 | Objective achieved   |

| <b>Goal Two: Manage the Ilion Housing Authority's public housing program in an efficient and effective manner.</b> |  |
|--|--|
| <b>Objective</b>   | <b>Progress</b>                              |
| HUD shall recognize the IHA as a standard performer under the PHAS for our fiscal year ending September 30, 2001   | Objective Achieved                           |
| HUD shall recognize the IHA as a High Performer under the PHAS for our fiscal year ending September 30, 2002       | Objective Achieved                           |
| HUD shall recognize the IHA as a High Performer under  | Small PHA – not assessed in fiscal year 2003 |

|  |   |
|--|---|
| the PHAS for our fiscal year ending September 30, 2003   |   |
| HUD shall recognize the IHA as a High Performer under the PHAS for our fiscal year ending September 30, 2004                     | IHA was a Standard Performer in fiscal year ending September 30, 2004 |
| HUD shall recognize the IHA as a High Performer under the PHAS for our fiscal year ending September 30, 2005                     | Have not reached that time period yet.                                |
| IHA shall make the public housing program more marketable as evidenced by an increase in our waiting lists by September 30, 2000 | Objective Achieved  |

| <b>Goal Three: Maintain the Ilion Housing Authority's properties in a decent condition.</b>   |   |
|---|---|
| <b>Objective</b>  | <b>Progress</b>   |
| IHA will continue to deliver timely and high quality maintenance service to the residents, an ongoing objective.                      | IHA's most current score in this component of the RASS is 88.8%. IHA has met this objective.  |
| IHA shall create an appealing up to date environment in its developments by September 30, 2004  | IHA continues to update the developments using available CFP funds.   |
| IHA will adopt a Pest Control Policy that includes procedures for the eradication of cockroaches by September 30, 2001                | Policy has been adopted and is being implemented.   |
| IHA will maintain decent, safe and sanitary housing with the best possible living environment for all residents, an ongoing objective | IHA continues to maintain the developments as evidenced by our score in this component of the RASS. We also continue to renovate the developments with available CFP funds. |

| <b>Goal Four: Enhance marketability of the Ilion Housing Authority's public housing units.</b>  |   |
|---|---|
| <b>Objective</b>  | <b>Progress</b>   |
| IHA shall achieve a level of customer satisfaction that gives the agency the highest score possible in the RASS portion of the PHAS by September 30, 2001 | IHA received a satisfactory score in this element of the PHAS, but we strive to improve in the level of customer satisfaction each year |
| IHA shall achieve proper curb appeal for the developments by improving its maintenance of grounds   | IHA did not achieve a level of satisfaction in maintenance of grounds. We will strive to improve in this area.                          |

|  |   |
|--|---|
| <b>Goal Five: Provide a safe and secure environment in the Ilion Housing Authority's public housing developments.</b>  |   |
| <b>Objective</b>   | <b>Progress</b>                             |
| IHA will continue to maintain the fire and medical alarm systems, providing automatic door openers for disabled persons in London Towers, an ongoing objective | Objective has been achieved and is ongoing. |

|   |   |
|---|---|
| <b>Goal Six: The Ilion Housing Authority shall ensure equal treatment of all applicants, residents, tenant-based participants, employees and vendors.</b> |   |
| <b>Objective</b>  | <b>Progress</b>                             |
| The IHA will mix its public housing developments as much as possible with respect to ethnicity, race and income, an ongoing objective                     | Objective has been achieved and is ongoing. |

|   |   |
|---|---|
| <b>Goal Seven: Improve access of public housing residents to services that support economic development and quality of life.</b>                  |   |
| <b>Objective</b>  | <b>Progress</b>   |
| The IHA will continue its support of the Boys and Girls Club at Ilion by applying for PHDEP funding for our fiscal year beginning October 1, 2000 | This objective was achieved. Unfortunately, the PHDEP Program has been eliminated by HUD. |
| The IHA will provide a computer center at the Boys and Girls Club location to enhance the existing homework program by September 30, 2001         | This objective has been achieved.   |

## **B. Criteria for Substantial Deviations and Significant Amendments**

### **(1) Amendment and Deviation Definitions**

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

#### a. Substantial Deviation from the 5-Year Plan

**A substantial deviation from the 5-year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-year plan.**

#### b. Significant Amendment or Modification to the Annual Plan

**Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.**

## **C. Other Information**

[24 CFR Part 903.13, 903.15]

### **(1) Resident Advisory Board Recommendations**

- a.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s

If yes, provide the comments below:

- b. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments  
List changes below:
- Other: (list below)

**(2) Resident Membership on PHA Governing Board**

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

Yes  No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board: **Helen Jennison**

Method of Selection:

Appointment

**The term of appointment is (include the date term expires):**

Election by Residents (if checked, complete next section--Description of Resident Election Process)

**Description of Resident Election Process**

Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot

Other: (describe)

Eligible candidates: (select one)

Any recipient of PHA assistance

Any head of household receiving PHA assistance

Any adult recipient of PHA assistance

Any adult member of a resident or assisted family organization

Other (list)

**Any public housing resident age 18 years or older at time of the election**

Eligible voters: (select all that apply)

All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)

Representatives of all PHA resident and assisted family organizations

Other (list)

**Any public housing resident age 18 years or older at time of the election**

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member: **9/30/06**

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position):

**John Gilmartin, Mayor, Village of Ilion**

**(3) PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

**Consolidated Plan jurisdiction: (provide name here)      *STATE OF NEW YORK***

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

**The Ilion Housing Authority is located in the City of Ilion, a non-entitlement community. The jurisdiction of the Consolidated Plan encompasses the State of New York.**

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

- **The Ilion Housing Authority will continue to maintain and renovate its public housing units.**
- **The Ilion Housing Authority will continue to market its public housing program and Section 8 Program to make families, elderly persons, and persons with disabilities aware of the availability of decent, safe, sanitary and affordable housing in the Village of Ilion.**

- **The Ilion Housing Authority will continue to apply its limited resources to the effective and efficient management and operation of public housing and Section 8 programs.**
- **The Ilion Housing Authority will continue to comply with established policies related to housing (Admissions & Continued Occupancy Policy and the Section 8 Administrative Plan) to ensure compliance with Title VI of the Civil Rights Act of 1964 and all other applicable Federal fair housing laws and regulations so that the admissions and continued occupancy are conducted without regard to race, color, religion, creed, sex, national origin, handicap or familial status.**

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The New York State Consolidated Plan establishes three strategic objectives that are of equal importance and form the basis of New York State's strategy:

- 1. Preserve and increase the supply of decent, safe and affordable housing available to all low and moderate income households, and help identify and develop available resources to assist in the development of housing.**
- 2. Improve the ability of low and moderate income New Yorkers to access rental and home-ownership opportunities.**
- 3. Address the shelter, housing, and service needs of the homeless poor and others with special needs.**

The New York State Objectives respond to the purposes of the National Affordable Housing Act that are:

1. to help families not owning a home to save for a down payment for the purchase of a home;
2. to retain wherever feasible as housing affordable to low-income families those dwelling units produced for such purposes with Federal assistance;
3. to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of housing affordable to low-income and moderate-income families;
4. to expand and improve Federal rental assistance for very low-income families; and
5. to increase the supply of supportive housing, which combines structural features and services needed to enable persons with special needs to live with dignity and

independence.

The New York State Consolidated Plan strategies are consistent with and support the goals and objectives of the Ilion Housing Authority.

**(4) (Reserved)**

Use this section to provide any additional information requested by HUD.

**10. Project-Based Voucher Program**

- a.  Yes  No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.
- b.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

- Low utilization rate for vouchers due to lack of suitable rental units
- Access to neighborhoods outside of high poverty areas
- Other (describe below:)

- c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

## 11. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review |   |  |
|---|---|--|
| Applicable & On Display                           | Supporting Document   | Related Plan Component   |
| X   | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>   | Standard 5 Year and Annual Plans; streamlined 5 Year Plans                       |
| X   | State/Local Government Certification of Consistency with the Consolidated Plan.   | 5 Year Plans   |
| X   | Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans  |
| X   | Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.  | Annual Plan: Housing Needs   |
| X   | Most recent board-approved operating budget for the public housing program  | Annual Plan: Financial Resources   |
| X   | Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.  | Annual Plan: Eligibility, Selection, and Admissions Policies                     |
| X   | Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.   | Annual Plan: Eligibility, Selection, and Admissions Policies                     |
| X   | Section 8 Administrative Plan   | Annual Plan: Eligibility, Selection, and Admissions Policies                     |
| X   | Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Rent Determination  |
| X   | Schedule of flat rents offered at each public housing development.<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.   | Annual Plan: Rent Determination  |
| X   | Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies.<br><input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.   | Annual Plan: Rent Determination  |
| X   | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).   | Annual Plan: Operations and Maintenance  |
| X   | Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).  | Annual Plan: Management and Operations   |
| X   | Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)   | Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency |
| X   | Results of latest Section 8 Management Assessment System (SEMAP)  | Annual Plan: Management and Operations   |

|     |   |   |
|-----|---|---|
| X   | Any policies governing any Section 8 special housing types<br><input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan   | Annual Plan: Operations and Maintenance                       |
| N/A | Consortium agreement(s).  | Annual Plan: Agency Identification and Operations/ Management |
| X   | Public housing grievance procedures<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Grievance Procedures                             |
| X   | Section 8 informal review and hearing procedures.<br><input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.   | Annual Plan: Grievance Procedures                             |
| X   | The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.   | Annual Plan: Capital Needs                                    |
| N/A | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.   | Annual Plan: Capital Needs                                    |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.   | Annual Plan: Capital Needs                                    |
| X   | Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).  | Annual Plan: Capital Needs                                    |
| N/A | Approved or submitted applications for demolition and/or disposition of public housing.   | Annual Plan: Demolition and Disposition                       |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans).  | Annual Plan: Designation of Public Housing                    |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937. | Annual Plan: Conversion of Public Housing                     |
| X   | Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.  | Annual Plan: Voluntary Conversion of Public Housing           |
| N/A | Approved or submitted public housing homeownership programs/plans.  | Annual Plan: Homeownership                                    |
| N/A | Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)   | Annual Plan: Homeownership                                    |
| X   | Public Housing Community Service Policy/Programs<br><input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy   | Annual Plan: Community Service & Self-Sufficiency             |
| X   | Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.   | Annual Plan: Community Service & Self-Sufficiency             |
| N/A | FSS Action Plan(s) for public housing and/or Section 8.   | Annual Plan: Community Service & Self-Sufficiency             |
| X   | Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.  | Annual Plan: Community Service & Self-Sufficiency             |
| N/A | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.  | Annual Plan: Community Service & Self-Sufficiency             |
| X   | Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G).<br><input type="checkbox"/> Check here if included in the public housing A & O Policy.   | Pet Policy  |
| X   | The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.  | Annual Plan: Annual Audit                                     |
| N/A | Consortium agreement(s), if a consortium administers PHA programs.  | Joint PHA Plan for Consortia                                  |

|     |  |                              |
|-----|--|------------------------------|
| N/A | Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection | Joint PHA Plan for Consortia |
|     | Other supporting documents (optional). List individually.  | (Specify as needed)          |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b>  |   |   |                |                          |                                  |
|--|---|---|----------------|--------------------------|----------------------------------|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |   |                |                          |                                  |
| <b>PHA Name: Iliion Housing Authority</b>  |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>NY06P05950105</b><br>Replacement Housing Factor Grant No: |                |                          | <b>Federal FY of Grant: 2005</b> |
| <input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no: )</b><br><input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b> |   |   |                |                          |                                  |
| <b>Line</b>  | <b>Summary by Development Account</b>               | <b>Total Estimated Cost</b>   |                | <b>Total Actual Cost</b> |                                  |
|  |   | <b>Original</b>   | <b>Revised</b> | <b>Obligated</b>         | <b>Expended</b>                  |
| 1  | Total non-CFP Funds                                 |   |                |                          |                                  |
| 2  | 1406 Operations                                     | 20,000  |                |                          |                                  |
| 3  | 1408 Management Improvements                        | 5,000   |                |                          |                                  |
| 4  | 1410 Administration                                 | 23,924  |                |                          |                                  |
| 5  | 1411 Audit  |   |                |                          |                                  |
| 6  | 1415 Liquidated Damages                             |   |                |                          |                                  |
| 7  | 1430 Fees and Costs                                 | 3,000   |                |                          |                                  |
| 8  | 1440 Site Acquisition                               |   |                |                          |                                  |
| 9  | 1450 Site Improvement                               |   |                |                          |                                  |
| 10   | 1460 Dwelling Structures                            | 175,000   |                |                          |                                  |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable             |   |                |                          |                                  |
| 12   | 1470 Nondwelling Structures                         | 5,000   |                |                          |                                  |
| 13   | 1475 Nondwelling Equipment                          |   |                |                          |                                  |
| 14   | 1485 Demolition                                     |   |                |                          |                                  |
| 15   | 1490 Replacement Reserve                            |   |                |                          |                                  |
| 16   | 1492 Moving to Work Demonstration                   |   |                |                          |                                  |
| 17   | 1495.1 Relocation Costs                             |   |                |                          |                                  |
| 18   | 1499 Development Activities                         |   |                |                          |                                  |
| 19   | 1501 Collateralization or Debt Service              |   |                |                          |                                  |
| 20   | 1502 Contingency                                    |   |                |                          |                                  |
| 21   | Amount of Annual Grant: (sum of lines 2 – 20)       | 231,924   |                |                          |                                  |
| 22   | Amount of line 21 Related to LBP Activities         |   |                |                          |                                  |
| 23   | Amount of line 21 Related to Section 504 compliance |   |                |                          |                                  |
| 24   | Amount of line 21 Related to Security – Soft Costs  |   |                |                          |                                  |
| 25   | Amount of Line 21 Related to Security – Hard Costs  |   |                |                          |                                  |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b>  |   |   |                |                          |  |
|--|---|---|----------------|--------------------------|--|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |   |                |                          |  |
| <b>PHA Name: Iliion Housing Authority</b>  |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>NY06P05950105</b><br>Replacement Housing Factor Grant No: |                |                          | <b>Federal FY of Grant:</b><br><b>2005</b> |
| <input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no: )</b><br><input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b> |   |   |                |                          |  |
| <b>Line</b>  | <b>Summary by Development Account</b>                     | <b>Total Estimated Cost</b>   |                | <b>Total Actual Cost</b> |  |
|  |   | <b>Original</b>   | <b>Revised</b> | <b>Obligated</b>         | <b>Expended</b>                            |
| 26   | Amount of line 21 Related to Energy Conservation Measures |   |                |                          |  |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |   |          |                         |                                     |                    |                   |                   |
|--|---|---|----------|-------------------------|-------------------------------------|--------------------|-------------------|-------------------|
| PHA Name: <b>Ilion Housing Authority</b>   |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>NY06P05950105</b><br>Replacement Housing Factor Grant No: |          |                         | Federal FY of Grant:<br><b>2005</b> |                    |                   |                   |
| Development Number<br>Name/HA-Wide<br>Activities   | General Description of Major Work<br>Categories | Dev. Acct<br>No.  | Quantity | Total Estimated<br>Cost |                                     | Total Actual Cost  |                   | Status of<br>Work |
|  |   |   |          | Original                | Revised                             | Funds<br>Obligated | Funds<br>Expended |                   |
| <b>HA Wide</b>   | <b>Operations</b>                               | 1406  |          | 20,000                  |                                     |                    |                   |                   |
| <b>NY059-1<br/>London Towers</b>   | <b>Management Improvements</b>                  | 1408  |          | 5,000                   |                                     |                    |                   |                   |
| <b>HA Wide</b>   | <b>Administration of CFP</b>                    | 1410  |          | 23,924                  |                                     |                    |                   |                   |
| <b>HA Wide</b>   | <b>Fees &amp; Costs</b>                         | 1430  |          | 3,000                   |                                     |                    |                   |                   |
| <b>HA Wide</b>   | <b>Dwelling Structures:</b>                     | 1460  |          | 175,000                 |                                     |                    |                   |                   |
|  | Roof and Energy Recovery System<br>(NY059-1)    |   |          |                         |                                     |                    |                   |                   |
|  | Elevator Upgrade (NY059-1)                      |   |          |                         |                                     |                    |                   |                   |
|  | Locks (NY059-1)                                 |   |          |                         |                                     |                    |                   |                   |
|  | Entrance Doors (NY059-2, 3)                     |   |          |                         |                                     |                    |                   |                   |
|  | Apartment Upgrades                              |   |          |                         |                                     |                    |                   |                   |
|  | Sidewalks/Parking Lots                          |   |          |                         |                                     |                    |                   |                   |
| <b>NY059-1</b>   | Gazebo & Garage Electric                        | 1470  |          | 5,000                   |                                     |                    |                   |                   |
|  |   |   |          |                         |                                     |                    |                   |                   |
|  |   |   |          |                         |                                     |                    |                   |                   |
|  |   |   |          |                         |                                     |                    |                   |                   |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b><br><b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b><br><b>Part III: Implementation Schedule</b> |   |         |   |   |         |  |                                  |
|---|---|---------|---|---|---------|--|----------------------------------|
| <b>PHA Name: Ilion Housing Authority</b>  |   |         | <b>Grant Type and Number</b><br>Capital Fund Program No: <b>NY06P05950105</b><br>Replacement Housing Factor No: |   |         | <b>Federal FY of Grant:</b><br><b>2005</b> |                                  |
| Development Number<br>Name/HA-Wide<br>Activities  | All Fund Obligated<br>(Quarter Ending Date) |         |   | All Funds Expended<br>(Quarter Ending Date) |         |  | Reasons for Revised Target Dates |
|   | Original                                    | Revised | Actual  | Original                                    | Revised | Actual                                     |                                  |
| Operations  | 3/31/07                                     |         |   | 9/30/08                                     |         |  |                                  |
| Administration  | 3/31/07                                     |         |   | 9/30/08                                     |         |  |                                  |
| Fees & Costs  | 3/31/07                                     |         |   | 9/30/08                                     |         |  |                                  |
| Dwelling Structures   | 3/31/07                                     |         |   | 9/30/08                                     |         |  |                                  |
| Non-Dwelling Structures   | 3/31/07                                     |         |   | 9/30/08                                     |         |  |                                  |
| Management Improvements   | 3/31/07                                     |         |   | 9/30/08                                     |         |  |                                  |
|   |   |         |   |   |         |  |                                  |
|   |   |         |   |   |         |  |                                  |
|   |   |         |   |   |         |  |                                  |
|   |   |         |   |   |         |  |                                  |
|   |   |         |   |   |         |  |                                  |
|   |   |         |   |   |         |  |                                  |
|   |   |         |   |   |         |  |                                  |

### 13. Capital Fund Program Five-Year Action Plan

| <b>Capital Fund Program Five-Year Action Plan</b> |                  |   |   |   |   |
|---|------------------|---|---|---|---|
| <b>Part I: Summary</b>                            |                  |   |   |   |   |
| PHA Name: <b>Ilion Housing Authority</b>          |                  |   |   | <input checked="" type="checkbox"/> <b>Original 5-Year Plan</b><br><input type="checkbox"/> <b>Revision No:</b> |   |
| Development Number/Name/HA-Wide                   | Year 1           | Work Statement for Year 2<br>FFY Grant: <b>2006</b><br>PHA FY: <b>10/1/06</b> | Work Statement for Year 3<br>FFY Grant: <b>2007</b><br>PHA FY: <b>10/1/07</b> | Work Statement for Year 4<br>FFY Grant: <b>2008</b><br>PHA FY: <b>10/1/08</b>                                   | Work Statement for Year 5<br>FFY Grant: <b>2009</b><br>PHA FY: <b>10/1/09</b> |
|   | Annual Statement |   |   |   |   |
| HA Wide   |                  | 141,924   | 218,924   | 144,424   | 231,924   |
| NY059-1   |                  | 90,000  | 7,000   | 8,000   |   |
| NY059-2 & -3                                      |                  |   |   | 79,500  |   |
| CFP Funds Listed for 5-year planning              |                  | <b>\$231,924</b>  | <b>\$231,924</b>  | <b>\$231,924</b>  | <b>\$231,924</b>  |
| Replacement Housing Factor Funds                  |                  |   |   |   |   |





## ATTACHMENT A

| <b>Annual Statement/Performance and Evaluation Report</b><br><b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |                      |  |                   |  |
|---|---|----------------------|--|-------------------|--|
| <b>PHA Name: Ilion Housing Authority</b>  |   |                      | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: NY06P05950104<br>Replacement Housing Factor Grant No: |                   | <b>Federal FY<br/>of Grant:<br/>2004</b> |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/05 <input type="checkbox"/> Final Performance and Evaluation Report |   |                      |  |                   |  |
| Line No.  | Summary by Development Account                            | Total Estimated Cost |  | Total Actual Cost |  |
|   |   | Original             | Revised  | Obligated         | Expended                                 |
| 1   | Total non-CFP Funds                                       |                      |  |                   |  |
| 2   | 1406 Operations   | 9,700                |  | 9,700             | 9,700.00                                 |
| 3   | 1408 Management Improvements                              |                      |  |                   |  |
| 4   | 1410 Administration                                       | 15,000               |  | 15,000            | 3,392.86                                 |
| 5   | 1411 Audit  |                      |  |                   |  |
| 6   | 1415 Liquidated Damages                                   |                      |  |                   |  |
| 7   | 1430 Fees and Costs                                       | 30,000               |  | 30,000            | -0-                                      |
| 8   | 1440 Site Acquisition                                     |                      |  |                   |  |
| 9   | 1450 Site Improvement                                     |                      |  |                   |  |
| 10  | 1460 Dwelling Structures                                  | 177,224              |  | 39,197            | 36,771.33                                |
| 11  | 1465.1 Dwelling Equipment—Nonexpendable                   |                      |  |                   |  |
| 12  | 1470 Nondwelling Structures                               |                      |  |                   |  |
| 13  | 1475 Nondwelling Equipment                                |                      |  |                   |  |
| 14  | 1485 Demolition   |                      |  |                   |  |
| 15  | 1490 Replacement Reserve                                  |                      |  |                   |  |
| 16  | 1492 Moving to Work Demonstration                         |                      |  |                   |  |
| 17  | 1495.1 Relocation Costs                                   |                      |  |                   |  |
| 18  | 1499 Development Activities                               |                      |  |                   |  |
| 19  | 1501 Collateralization or Debt Service                    |                      |  |                   |  |
| 20  | 1502 Contingency  |                      |  |                   |  |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)             | 231,924              |  | 93,897            | 49,864.19                                |
| 22  | Amount of line 21 Related to LBP Activities               |                      |  |                   |  |
| 23  | Amount of line 21 Related to Section 504 compliance       |                      |  |                   |  |
| 24  | Amount of line 21 Related to Security – Soft Costs        |                      |  |                   |  |
| 25  | Amount of Line 21 Related to Security – Hard Costs        |                      |  |                   |  |
| 26  | Amount of line 21 Related to Energy Conservation Measures |                      |  |                   |  |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name: Ilion Housing Authority             |   | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950104<br>Replacement Housing Factor Grant No: |           |                      | Federal FY of Grant: 2004 |                   |                  |                    |
|---|---|---|-----------|----------------------|---------------------------|-------------------|------------------|--------------------|
| Development Number<br>Name/HA-Wide Activities | General Description of Major Work Categories                                    | Dev. Acct No.   | Quantity  | Total Estimated Cost |                           | Total Actual Cost |                  | Status of Work     |
|   |   |   |           | Original             | Revised                   | Funds Obligated   | Funds Expended   |                    |
| <b>HA Wide</b>                                | <b>Operations</b>   | 1406  | L.S.      |                      |                           |                   |                  |                    |
|   | P H Operations  |   |           | 9,700                |                           | 9,700             | 9,700            |                    |
|   | <b>Subtotal Acct 1406</b>   |   |           | <b>9,700</b>         |                           | <b>9,700</b>      | <b>9,700</b>     |                    |
| <b>HA Wide</b>                                | <b>Administration</b>   | 1410  | L.S.      |                      |                           |                   |                  |                    |
|   | Prorated salaries & benefits for administration of CFP                          |   |           | 15,000               |                           | 15,000            | 3,392.86         |                    |
|   | <b>Subtotal Acct 1410</b>   |   |           | <b>15,000</b>        |                           | <b>15,000</b>     | <b>3,392.86</b>  |                    |
| <b>HA Wide</b>                                | <b>Fees and Costs</b>   | 1430  | L.S.      |                      |                           |                   |                  |                    |
|   | A&E & misc. fees and costs  |   |           | 30,000               |                           | 30,000            | -0-              |                    |
|   | <b>Subtotal Acct 1430</b>   |   |           | <b>30,000</b>        |                           | <b>30,000</b>     | <b>-0-</b>       |                    |
|   | <b>Dwelling Structures</b>  | 1460  |           |                      |                           |                   |                  |                    |
| <b>NY059-1<br/>London Towers</b>              | Asbestos abatement; improve insulation; upgrade electrical system; new ceilings |   | 160 units | -0-                  |                           |                   |                  | Project terminated |
|   | New roof and energy recovery system (replaces asbestos project)                 | 1460  |           | 177,224              |                           | 39,197            | 36,771.33        | Design             |
|   | <b>Subtotal Acct 1460</b>   |   |           | <b>177,224</b>       |                           | <b>39,197</b>     | <b>36,771.33</b> |                    |
|   | <b>Grand Total</b>  |   |           | <b>231,924</b>       |                           | <b>93,897</b>     | <b>49,864.19</b> |                    |



**Attachment B**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary                        |   |   |         |                   |                                     |
|--|---|---|---------|-------------------|-------------------------------------|
| PHA Name: <b>Ilion Housing Authority</b>   |   | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950103<br>Replacement Housing Factor Grant No: |         |                   | Federal FY of Grant:<br><b>2003</b> |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:   ) |   |   |         |                   |                                     |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <b>03/31/05</b> <input type="checkbox"/> Final Performance and Evaluation Report          |   |   |         |                   |                                     |
| Line No.   | Summary by Development Account              | Total Estimated Cost  |         | Total Actual Cost |                                     |
|  |   | Original  | Revised | Obligated         | Expended                            |
| 1  | Total non-CFP Funds                         |   |         |                   |                                     |
| 2  | 1406 Operations                             |   |         |                   |                                     |
| 3  | 1408 Management Improvements                |   |         |                   |                                     |
| 4  | 1410 Administration                         | 20,261  |         | 20,261.00         | 20,261.00                           |
| 5  | 1411 Audit                                  |   |         |                   |                                     |
| 6  | 1415 Liquidated Damages                     |   |         |                   |                                     |
| 7  | 1430 Fees and Costs                         | 10,000  |         | 10,000.00         | 10,000.00                           |
| 8  | 1440 Site Acquisition                       |   |         |                   |                                     |
| 9  | 1450 Site Improvement                       |   |         |                   |                                     |
| 10   | 1460 Dwelling Structures                    | 170,000   |         | 170,000.00        | 170,000.00                          |
| 11   | 1465.1 Dwelling Equipment—<br>Nonexpendable |   |         |                   |                                     |
| 12   | 1470 Nondwelling Structures                 |   |         |                   |                                     |
| 13   | 1475 Nondwelling Equipment                  |   |         |                   |                                     |
| 14   | 1485 Demolition                             |   |         |                   |                                     |
| 15   | 1490 Replacement Reserve                    |   |         |                   |                                     |
| 16   | 1492 Moving to Work Demonstration           |   |         |                   |                                     |
| 17   | 1495.1 Relocation Costs                     |   |         |                   |                                     |
| 18   | 1499 Development Activities                 |   |         |                   |                                     |
| 19   | 1501 Collateralization or Debt Service      |   |         |                   |                                     |

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

|  |   |                                     |
|--|---|-------------------------------------|
| PHA Name: <b>Ilion Housing Authority</b> | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950103<br>Replacement Housing Factor Grant No: | Federal FY of Grant:<br><b>2003</b> |
|--|---|-------------------------------------|

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/05  Final Performance and Evaluation Report

| Line No. | Summary by Development Account                            | Total Estimated Cost |         | Total Actual Cost |                   |
|----------|---|----------------------|---------|-------------------|-------------------|
|          |   | Original             | Revised | Obligated         | Expended          |
| 20       | 1502 Contingency  |                      |         |                   |                   |
| 21       | Amount of Annual Grant: (sum of lines 2 – 20)             | <b>200,261</b>       |         | <b>200,261.00</b> | <b>200,261.00</b> |
| 22       | Amount of line 21 Related to LBP Activities               |                      |         |                   |                   |
| 23       | Amount of line 21 Related to Section 504 compliance       |                      |         |                   |                   |
| 24       | Amount of line 21 Related to Security – Soft Costs        |                      |         |                   |                   |
| 25       | Amount of Line 21 Related to Security – Hard Costs        |                      |         |                   |                   |
| 26       | Amount of line 21 Related to Energy Conservation Measures |                      |         |                   |                   |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name: Iliion Housing Authority         |  | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950103<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: 2003 |                   |                   |                |
|--|--|---|----------|----------------------|---------------------------|-------------------|-------------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories                                     | Dev. Acct No.   | Quantity | Total Estimated Cost |                           | Total Actual Cost |                   | Status of Work |
|  |  |   |          | Original             | Revised                   | Funds Obligated   | Funds Expended    |                |
| <b>HA Wide</b>                             | <b>Operations</b>  | 1406  | Lump Sum |                      |                           |                   |                   |                |
|  | P H Operations   |   |          | 0                    |                           |                   |                   |                |
|  | <b>Subtotal Acct 1406</b>  |   |          | <b>0</b>             |                           |                   |                   |                |
| <b>HA Wide</b>                             | <b>Administration</b>  | 1408  | Lump Sum |                      |                           |                   |                   |                |
|  | Prorated salaries & benefits for administration of CFP                           |   |          | 20,261               |                           | 20,261.00         | 20,261.00         |                |
|  | <b>Subtotal Acct 1408</b>  |   |          | <b>20,261</b>        |                           | <b>20,261.00</b>  | <b>20,261.00</b>  |                |
| <b>HA Wide</b>                             | <b>Fees and Costs</b>  | 1430  | Lump Sum |                      |                           |                   |                   |                |
|  | Misc. fees and costs   |   |          | 10,000               |                           | 10,000.00         | 10,000.00         |                |
|  | <b>Subtotal Acct 1430</b>  |   |          | <b>10,000</b>        |                           | <b>10,000.00</b>  | <b>10,000.00</b>  |                |
|  | <b>Dwelling Structures</b>   | 1460  |          |                      |                           |                   |                   |                |
| <b>NY059-1 London Towers</b>               | Asbestos abatement; Improve insulation,; upgrade electrical system; new ceilings |   |          | 170,000              |                           | 170,000.00        | 170,000.00        |                |
|  | <b>Subtotal Acct 1460</b>  |   |          | <b>170,000</b>       |                           | <b>170,000.00</b> | <b>170,000.00</b> |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name: Iliion Housing Authority               |   | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950103<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: <b>2003</b> |                    |                   |                   |
|--|---|---|----------|----------------------|----------------------------------|--------------------|-------------------|-------------------|
| Development Number<br>Name/HA-Wide<br>Activities | General Description of Major<br>Work Categories | Dev. Acct<br>No.  | Quantity | Total Estimated Cost |                                  | Total Actual Cost  |                   | Status of<br>Work |
|  |   |   |          | Original             | Revised                          | Funds<br>Obligated | Funds<br>Expended |                   |
|  |   |   |          |                      |                                  |                    |                   |                   |
|  | <b>Grand Total</b>                              |   |          | <b>200,261</b>       |                                  | <b>200,261.00</b>  | <b>200,261.00</b> |                   |
|  |   |   |          |                      |                                  |                    |                   |                   |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

| PHA Name: Ilion Housing Authority             |   | Grant Type and Number<br>Capital Fund Program No: NY06P05950103<br>Replacement Housing Factor No: |          |   |         |          | Federal FY of Grant: 2003        |
|---|---|---|----------|---|---------|----------|----------------------------------|
| Development Number<br>Name/HA-Wide Activities | All Fund Obligated<br>(Quarter Ending Date) |   |          | All Funds Expended<br>(Quarter Ending Date) |         |          | Reasons for Revised Target Dates |
|   | Original                                    | Revised   | Actual   | Original                                    | Revised | Actual   |                                  |
| HA Wide Operations                            | 09/16/05                                    |   | 03/31/04 | 09/16/07                                    |         | 03/31/04 |                                  |
| HA Wide Administration                        | 09/16/05                                    |   | 03/31/04 | 09/16/07                                    |         | 01/19/05 |                                  |
| HA Wide Fees and Costs                        | 09/16/05                                    |   | 03/31/04 | 09/16/07                                    |         | 01/19/05 |                                  |
| NY059-1 London Towers                         | 09/16/05                                    |   | 03/31/04 | 09/16/07                                    |         | 01/19/05 |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |
|   |   |   |          |   |         |          |                                  |

**Attachment C**

| <b>Annual Statement/Performance and Evaluation Report</b>  |   |   |           |                   |                                     |  |
|--|---|---|-----------|-------------------|-------------------------------------|--|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |   |           |                   |                                     |  |
| PHA Name: <b>Ilion Housing Authority</b>   |   | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950203<br>Replacement Housing Factor Grant No: |           |                   | Federal FY of Grant:<br><b>2003</b> |  |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:   ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report |   |   |           |                   |                                     |  |
| Line No.   | Summary by Development Account              | Total Estimated Cost  |           | Total Actual Cost |                                     |  |
|  |   | Original  | Revised   | Obligated         | Expended                            |  |
| 1  | Total non-CFP Funds                         |   |           |                   |                                     |  |
| 2  | 1406 Operations                             | 10,000  | 10,000.00 | 10,000            | 10,000.00                           |  |
| 3  | 1408 Management Improvements                |   |           |                   |                                     |  |
| 4  | 1410 Administration                         | -0-   | 885.60    | 885.60            | 885.60                              |  |
| 5  | 1411 Audit                                  |   |           |                   |                                     |  |
| 6  | 1415 Liquidated Damages                     |   |           |                   |                                     |  |
| 7  | 1430 Fees and Costs                         | 5,911   | 5,025.40  | 5,025.40          | 5,025.40                            |  |
| 8  | 1440 Site Acquisition                       |   |           |                   |                                     |  |
| 9  | 1450 Site Improvement                       |   |           |                   |                                     |  |
| 10   | 1460 Dwelling Structures                    | 24,000  | 24,000.00 | 24,000.00         | 24,000.00                           |  |
| 11   | 1465.1 Dwelling Equipment—<br>Nonexpendable |   |           |                   |                                     |  |
| 12   | 1470 Nondwelling Structures                 |   |           |                   |                                     |  |
| 13   | 1475 Nondwelling Equipment                  |   |           |                   |                                     |  |
| 14   | 1485 Demolition                             |   |           |                   |                                     |  |
| 15   | 1490 Replacement Reserve                    |   |           |                   |                                     |  |
| 16   | 1492 Moving to Work Demonstration           |   |           |                   |                                     |  |
| 17   | 1495.1 Relocation Costs                     |   |           |                   |                                     |  |
| 18   | 1499 Development Activities                 |   |           |                   |                                     |  |
| 19   | 1501 Collateralization or Debt Service      |   |           |                   |                                     |  |

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

|  |   |                                     |
|--|---|-------------------------------------|
| PHA Name: <b>Ilion Housing Authority</b> | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950203<br>Replacement Housing Factor Grant No: | Federal FY of Grant:<br><b>2003</b> |
|--|---|-------------------------------------|

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

| Line No. | Summary by Development Account                            | Total Estimated Cost |         | Total Actual Cost |          |
|----------|---|----------------------|---------|-------------------|----------|
|          |   | Original             | Revised | Obligated         | Expended |
| 20       | 1502 Contingency  |                      |         |                   |          |
| 21       | Amount of Annual Grant: (sum of lines 2 – 20)             | 39,911               |         | 39,911            | 39,911   |
| 22       | Amount of line 21 Related to LBP Activities               |                      |         |                   |          |
| 23       | Amount of line 21 Related to Section 504 compliance       |                      |         |                   |          |
| 24       | Amount of line 21 Related to Security – Soft Costs        |                      |         |                   |          |
| 25       | Amount of Line 21 Related to Security – Hard Costs        |                      |         |                   |          |
| 26       | Amount of line 21 Related to Energy Conservation Measures | 29,911               |         |                   |          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name: Iliion Housing Authority         |  | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950203<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: 2003 |                   |                  |                |
|--|--|---|----------|----------------------|---------------------------|-------------------|------------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories   | Dev. Acct No.   | Quantity | Total Estimated Cost |                           | Total Actual Cost |                  | Status of Work |
|  |  |   |          | Original             | Revised                   | Funds Obligated   | Funds Expended   |                |
| <b>HA Wide</b>                             | <b>Operations</b>  | 1406  | Lump Sum |                      |                           |                   |                  |                |
|  | P H Operations   |   |          | 10,000               | 10,000.00                 | 10,000.00         | 10,000.00        |                |
|  | <b>Subtotal Acct 1406</b>  |   |          | <b>10,000</b>        | <b>10,000</b>             | <b>10,000.00</b>  | <b>10,000.00</b> |                |
| <b>HA Wide</b>                             | <b>Administration</b>  |   |          |                      |                           |                   |                  |                |
|  | Prorated salaries & benefits for administration of CFP   | 1410  |          | -0-                  | 885.60                    | 885.60            | 885.60           |                |
|  | <b>Subtotal Acct 1410</b>  |   |          |                      | <b>885.60</b>             | <b>885.60</b>     | <b>885.60</b>    |                |
| <b>HA Wide</b>                             | <b>Fees and Costs</b>  |   |          |                      |                           |                   |                  |                |
|  | Misc. Fees and Costs   | 1430  |          | 5,911                | 5,025.40                  | 5,025.40          | 5,025.40         |                |
|  | <b>Subtotal Acct 1430</b>  |   |          |                      |                           |                   |                  |                |
|  | <b>Dwelling Structures</b>   | 1460  |          |                      |                           |                   |                  |                |
| <b>NY059-1 London Towers</b>               | Asbestos abatement; Improve insulation,; upgrade electrical system; new ceilings (portion of total cost) |   |          | 24,000               |                           | 24,000.00         | 24,000.00        |                |
|  | <b>Subtotal Acct 1460</b>  |   |          | <b>24,000</b>        |                           | <b>24,000.00</b>  | <b>24,000.00</b> |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name: Iliion Housing Authority               |   | Grant Type and Number<br>Capital Fund Program Grant No: NY06P05950203<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: <b>2003</b> |                    |                   |                      |
|--|---|---|----------|----------------------|----------------------------------|--------------------|-------------------|----------------------|
| Development Number<br>Name/HA-Wide<br>Activities | General Description of Major<br>Work Categories | Dev. Acct<br>No.  | Quantity | Total Estimated Cost |                                  | Total Actual Cost  |                   | Status<br>of<br>Work |
|  |   |   |          | Original             | Revised                          | Funds<br>Obligated | Funds<br>Expended |                      |
|  |   |   |          |                      |                                  |                    |                   |                      |
|  | <b>Grand Total</b>                              |   |          | <b>39,911</b>        | <b>39,911.00</b>                 | <b>39,911.00</b>   | <b>39,911.00</b>  |                      |
|  |   |   |          |                      |                                  |                    |                   |                      |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

| PHA Name: Ilion Housing Authority                |   |         | Grant Type and Number<br>Capital Fund Program No: NY06P05950203<br>Replacement Housing Factor No: |   |         |          | Federal FY of Grant: <b>2003</b> |
|--|---|---------|---|---|---------|----------|----------------------------------|
| Development Number<br>Name/HA-Wide<br>Activities | All Fund Obligated<br>(Quarter Ending Date) |         |   | All Funds Expended<br>(Quarter Ending Date) |         |          | Reasons for Revised Target Dates |
|  | Original                                    | Revised | Actual  | Original                                    | Revised | Actual   |                                  |
| HA Wide  | 02/12/06                                    |         | 03/31/04  | 02/12/08                                    |         | 03/31/04 |                                  |
|  |   |         |   |   |         |          |                                  |
| NY059-1<br>London Towers                         | 02/12/06                                    |         | 03/31/04  | 02/12/08                                    |         | 01/28/05 | Asbestos Project terminated      |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |
|  |   |         |   |   |         |          |                                  |