

Rockford Housing Authority PHA Plans

5-Year Plan for Federal Fiscal Years 2005 - 2009
Annual Plan for Federal Fiscal Year 2005

ROCKFORD HOUSING AUTHORITY IL-022
223 SOUTH WINNEBAGO STREET
ROCKFORD, ILLINOIS 61102

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NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS
LOCATED IN APPLICABLE PIH NOTICES

PHA Plan Agency Identification

PHA Name: Rockford Housing Authority

PHA Number: IL06P022

PHA Fiscal Year Beginning: 10/2005

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
Contribute to human growth and dignity through the effective use of available resources by providing a defined quality living environment to eligible people within the Rockford Community while creating opportunities for an improved quality of life.
- This will be accomplished through the mutual effort and contribution of residents, employees, Commissioners, and the community.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHA's may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHA's should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers: (Contingent upon HUD's NOFA)
 - Reduce public housing vacancies: (to less than 3%)
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)

- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling
- Conduct outreach efforts to potential voucher landlords (signed up 50 new landlords)
- Increase voucher payment standards
- Implement voucher homeownership program (13 residents completed and purchased homes under the voucher program).
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
- Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2005
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Streamlined Plan:**
- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**
- Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Rockford Housing Authority (RHA) Profile – The RHA currently manages 2004 units of low-income public housing in 15 development projects. RHA is also the parent organization of the Rockford Housing Development Corporation (RHDC), which involves the management of two project based Section 8 projects consisting of 216 units at Concord Commons Apartments and 20 units at River North Apartments. The RHA also administers a Section 8 tenant based program within the City of Rockford that currently serves approximately 1,859 families through the Housing Choice Voucher Program, Moderate Rehabilitation program and several small developments in which we partner with several local service agencies providing Project Based Vouchers for persons with special needs.

Overall, RHA/RHDC programs serve about 3.25% of Rockford's total population and makes up about 9.5% of the City's rental housing stock.

Objectives for FY2005

The following initiatives are some of the Rockford Housing Authority projects currently in the planning stage or in process.

The Rockford Housing Authority is currently exploring cooperating initiatives with the City of Rockford, the Winnebago County Housing Authority, and a local affordable housing developer for the purpose of maximizing resources, and developing alternative income streams in order to upgrade and diversify the Rockford Housing Authority's housing stock and to address the current and future affordable housing needs of our community.

Specifically through a cooperative effort with the Winnebago County Housing Authority's HOPE VI grant initiative, we are investigating the possibility of demolishing Concord Commons Apartments. This option is being explored in order to promote the revitalization of the surrounding community and support the Winnebago County Housing Authority's HOPE VI new construction project in the area.

Future plans also include exploring financing options for the purpose of demolishing or de-densifying Fairgrounds Valley Apartments. Various financing options will be reviewed with the intent in the future to develop mixed income housing either at that location or an alternative location.

The Rockford Housing Authority is currently in the process of developing a demolition application for submittal to HUD for the purpose of demolishing Jane Addams Village. The purpose of the proposed demolition is to de-densify the Brewington Oaks/Jane Addams housing development and as a result, to improve the Rockford Housing Authority's ability to market Brewington Oaks, and to promote the revitalization of the surrounding neighborhoods.

Additionally, during the upcoming year, the Rockford Housing Authority expects to acquire at least one new single family residence under the Replacement Housing Factor Plan.

In summary, the Rockford Housing Authority's objectives in 2006 will be focused on re-inventing itself to reduce it's reliance on HUD subsidies while simultaneously, providing a better quality of affordable housing to the community.

Sincerely,

Lewis Jordan
Executive Director

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page #</u>
i. Annual Plan	6
ii. Executive Summary	6
iii. Table of Contents	8
Statement of Housing Needs	12
Statement of Financial Resources	18
PHA Policies on Eligibility, Selection and Admissions	19
PHA Rent Determination Policies	29
Operations and Management	33
PHA Grievance Procedures	34
Capital Improvement Needs	35
Demolition and Disposition	37
Designation of Public Housing	38
Conversions of Public Housing	39
Homeownership Programs Administered by the PHA	40
PHA Community Service and Self-Sufficiency Programs	42
PHA Safety and Crime Prevention Measures	45
Pet Policy	48
Civil Rights Certifications (included with PHA Plan Certifications)	51
Fiscal Audit	51
PHA Asset Management	51
Other Information	52
ATTACHMENTS	
Deconcentration of Poverty Policy	A-56
Component 7 Capital Fund Program Annual Statements Parts I, II, III	B-59
Capital Fund Program Five Year Action Plan, Parts I, II	C-64
Performance and Evaluation Reports	D-67
Optional Tables for 5 Year Action Plan for Capital Fund (Component 7)	E-97
Optional Public Housing Asset Management Tables	F-113
Resident Advisory Board	G-114
Component 10 Voluntary Conversion	H-115
Community Service Requirement Policy and Status	I-116
Section 3 Reports	J-118
Progress Report	K-126
Deviation/Modifications	L-127
Rockford Housing Authority Organizational Charts	M-128

Attachments:

Indicate which attachments selecting all that apply provides. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2005 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHA's that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2005 Capital Fund Program 5-Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
XX	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
XX	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	
XX	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public Housing Deconcentration and Income Mixing Documentation: A) PHA board certifications of compliance with deconcentration requirements (Section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and B) Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
XX	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance

XX	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
XX	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
XX	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
XX	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to Section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
XX	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
XX	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
XX	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
XX	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
XX	The most recent fiscal year audit of the PHA conducted under Section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHA's: MOA/Recovery Plan	Troubled PHA's
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	4,853	3	2	3	3	5	2
Income >30% but <=50% of AMI	3,142	3	3	3	3	3	2
Income >50% but <80% of AMI	2,338	3	5	3	3	3	2
Elderly	3,374	3	2	3	3	1	4
Families with Disabilities	6,385	5	4	5	5	4	5
Race/Ethnicity-W	69,883	2	2	5	3	3	2
Race/Ethnicity-B	7,749	3	3	3	3	3	2
Race/Ethnicity-I	2,472	3	3	3	3	3	2
Race/Ethnicity-A	1,204	3	3	3	3	3	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2006 - 2010
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

**B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance
Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. Complete one table for each type of PHA-wide waiting list administered by the PHA. PHA’s may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	547		500
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	142	26%	
Race/ethnicity B	372	68%	
Race/ethnicity A	33	6%	
Race/ethnicity Other	0	0%	

Characteristics by Bedroom Size (Public Housing Only)			
1BR	217	40%	
2 BR	168	31%	
3 BR	125	23%	
4 BR	31	5%	
5 BR	5	1%	
5+ BR	1	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes If yes: How long has it been closed (1 month) Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) <input checked="" type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	408		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	155	38%	
Race/ethnicity B	245	60%	
Race/ethnicity A	0	0%	
Race/ethnicity Other	8	2%	

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies:

Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through Section 8 replacement housing resources
- Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional Section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
Local preference for disabled

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs: Camaraderie Arts after school and summer youth program, CHOICES (youth gang prevention) program, flyers to La Voz Latina, Illinois Employment and Training Resource Center representative, Job Fairs, Mini Resource Fairs, Information Manuals for job search and resources, Rock Valley College GED and Community and Continuing Education classes, Service Coordinators for elderly and disabled, Universal Success tutoring 14-18, University of Illinois Cooperative Extension housekeeping and homeownership classes, Shelter Care Ministries, University of Illinois Health Fairs, and YouthBuild youth skills learning program.
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the Section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
A) Public Housing Operating Fund	\$7,918,946	
B) Public Housing Capital Fund	* 3,305,347	
C) HOPE VI Revitalization		
D) HOPE VI Demolition		
E) Annual Contributions for Section 8 Tenant-Based Assistance	9,065,583	
F) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
G) Resident Opportunity and Self-Sufficiency Grants	275,075	
H) Community Development Block Grant		
I) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (listed below)	354,004	
#501-02 \$ 3,335		
#501-03 69,920		
#501-04 268,060		
#502-03 12,689		
3. Public Housing Dwelling Rental Income	1,700,000	
4. Other income (list below)		
4. Non-federal sources (list below)		
Rockford School District	9,968	
Universal Success	26,058	
Total resources	\$22,654,981	

*10% is included in operations and is not included here. The whole grant was \$3,609,180.

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 I]

A. Public Housing

Exemptions: PHA's that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
 When families are within a certain time of being offered a unit: (state time)
 Other: (describe)

Based on availability of units and the unit turnover rate.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
 Rental history
 Housekeeping
 Other (describe) Expectation of complying with the lease. Credit History

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (Either directly or through an NCIC-authorized source).

Results are tracked and reported each month to the Authority's Board of Commissioners.

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)?

- Community-wide list
 Sub-jurisdictional lists
 Site-based waiting lists
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year? A maximum of 6

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists? All six would be new and will be considered pilots for Project Based management

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? All open waiting lists

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly, and persons with disabilities.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)?

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Resident Handbook
Orientation Video

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

IL022-001 Blackhawk

IL022-007 Fairgrounds

IL022-005 Brewington Oaks & Jane Addams

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
IL022-001 Blackhawk
IL022-007 Fairgrounds
IL022-005 Brewington Oaks & Jane Addams

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHA's that do not administer Section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

- A) No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last (36) months.
- B) Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted. If the family's payments are current at the time of final eligibility determination, the family will be issued a voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision" will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.
- C) The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal activity within thirty-six (36) months of the date of the initial application will be denied admission.

Drug related criminal activity is defined as: “The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance.

“Violent criminal activity” is defined as: “Any criminal activity that has as one of its elements, the use, attempted use or threatened use of physical force against a person or property of another.”

D) No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve (12) months.

A) No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.

Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

If requested in writing, we provide current and previous landlord name.

(2) Waiting List Organization

a. With which of the following program waiting lists is the Section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to Section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

When the wait list is opened, we select other locations to serve the people.

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If requested by participant and they can show listings of where they have been seeking housing, a 30-day extension will be granted.

Verification is required for extenuating circumstances such as hospitalization or a family emergency. Verification is also required if the family was prevented from finding a unit due to disability accessibility requirements or large size bedroom unit requirements.

(4) Admissions Preferences

- a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the Section 8 program to families at or below 30% of median area income?

- b. Preferences

1. Yes No: Has the PHA established preferences for admission to Section 8 tenant-based assistance? (Other than date and time of application) (if no, skip to subcomponent (5) Special purpose Section 8 assistance programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, and persons with disabilities.

4. Among applicants on the waiting list with equal preference status, how are applicants selected?
(select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction”
(select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements.

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose Section 8 program administered by the PHA contained?
(select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)
 - Newsletter to landlord and participant
 - Housing Choice Voucher Guidebook

b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- Through published notices
- Other (list below)
 - Newspapers, flyers, community agencies, churches, postings and RHA website.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHA's that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Hardship

- i) The family has lost eligibility or is awaiting an eligibility determination for federal, state, or local assistance, including a family with a member who is a non-citizen lawfully admitted for permanent residence under the Immigration and Nationality Act and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996.
- ii) The family would be evicted as a result of the imposition of the minimum rent requirement. The income of the family has decreased because of changed circumstances, including loss of employment, death in the family, or other circumstances as determined by the RHA or HUD.

c. Rents set at less than 30% of adjusted income.

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

If it is ceiling rent, the rent is capped.
Flat rent option.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

Anytime the family has previously been at an income level of zero (0).
Change in family composition.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1) In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The Section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHA's that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply) N/A

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or sub market
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or sub market
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHA’s are not required to complete this section. Section 8 only PHA’s must complete parts A, B, and C (2)

The PHA requests approval through this PHA Plan.

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served At Year Beginning	Expected Turnover
Public Housing	1,979	500
Section 8 Vouchers	1,633	120
Section 8 Certificates	-0-	-0-
Section 8 Mod Rehab	320	25
Special Purpose Section 8 Vouchers	22	10
Other Federal Programs Public Housing FSS Coordinator	15	5
Home Ownership Public Housing Program	15	5
CGP	49	8
Service Coordinator	1,039	80
Section 8 FSS Coordinator	160	21
Neighborhood Network	150	40

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions and Continued Occupancy Policy
- RHA Lease Agreement
- Resident Handbook
- Pest Control Policy
- Emergency Handbook
- LBP Guidebook
- Pet Policy
- Mold Policy (New York Standard)

(2) Section 8 Management: (list below)

- Administrative Plan
- HCV Lease Agreement
- HAP Contract
- Housing Choice Voucher Handbook Guide (HUD)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHA's are not required to complete component 6. Section 8-Only PHA's are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office - Applicants
- PHA development management offices – Residents
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below: Federal requirements are followed.

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHA's are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHA's that will not participate in the Capital Fund Program may skip to component 7B. All other PHA's must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment B.

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment E

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHA's administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

Revitalization Plan under development

Revitalization Plan submitted, pending approval

Revitalization Plan approved

Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Brewington Oaks/Jane Addams, Fairgrounds

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Fairgrounds

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

Brewington Oaks/Jane Addams, Fairgrounds

Replacement Housing – Purchase one stand-alone home

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHA's are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to Section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.) Currently discussing the possibility of submitting a demolition application for all of IL022-005 Jane Addams.

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If "yes", skip to component 9. If "No," complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Fairgrounds
1b. Development (project) number:	IL022-007
	Development name: Jane Addams Family Development
	Development (project) number: IL022-005F
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	<u>(09/18/05)</u>
5. Number of units affected:	294
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development - Fairgrounds <input checked="" type="checkbox"/> Total development - Jane Addams Family Development
7. Timeline for activity:	a. Actual or projected start date of activity: Still in planning state-undetermined b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHA's are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by Section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHA's completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name: Olesen Plaza	
1b. Development (project) number: IL022-009	
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>	
4. Date this designation approved, submitted, or planned for submission: <u>(08/2005)</u>	
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously approved Designation Plan?	
6. Number of units affected: 151	
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHA's are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to Section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under Section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHA's completing streamlined submissions may skip to component 11.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHA's are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved Section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under Section 5(h), the HOPE I program, or Section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHA's completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description
1a. Development name: Scattered Sites
1b. Development (project) number: IL22-012, 016 & 017
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission:
5. Number of units affected
6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHA’s may skip to component 12.)

2. Program Description: The homeownership option is used to assist a family residing in a home purchased and owned by one or more members of the family. The RHA will limit the number of families assisted with homeownership. The RHA will offer the homeownership option only to participating families who:

Are currently enrolled in the Rockford Housing Authority’s Family Self-Sufficiency (FSS) program and are in compliance with the FSS contract.

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 – 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Minimum income of \$10,300,

Currently employed (not less than 30 hours per week),

Employed at least one year (continuously),

First time homebuyer,

Attended pre and post counseling,

Signed statement of homeownership.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHA's are not required to complete this component. Section 8-Only PHA's are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by Section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? IN PROCESS

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
 Jointly administer programs
 Partner to administer a HUD Welfare-to-Work voucher program
 Joint administration of other demonstration program
 Other (describe) In kind seminars for staff and residents.

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
 Public housing admissions policies
 Section 8 admissions policies
 Preference in admission to Section 8 for certain public housing families
 Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
 Preference/eligibility for public housing homeownership option participation
 Preference/eligibility for Section 8 homeownership option participation
 Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs

Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or Section 8 participants or both)
Employment Resource Center Rock River Training	Part time Representative	Voluntary	Employment Training Center	Both
Job Fairs/Mini Fairs	2X per year	Voluntary	Developments	Both
Work Experience	5 residents per year	Voluntary	YouthBuild	Both
Budgeting	9 residents per year	Voluntary	All RHA	Both
Health Fairs	150 residents per year	Voluntary	All RHA	Both
Transportation	As needed	Voluntary	GED classes, Housekeeping classes, Universal Success, Resident Events, Senior Activities	Both
CHOICES Drug and Gang Prevention	25 residents per year	Voluntary	Fairgrounds	Both
Emotional and physical wellness workshops/fairs	12X per year	Voluntary	High-rises	Both
Camaraderie Arts Programs	30 residents per year	Voluntary	Fairgrounds	Both
Outreach Services	80 residents per month	Voluntary	High and Low-rises	LIPH
Homeownership Classes	40 residents per year	Voluntary	Main Office	Both
Housekeeping Classes	200 residents per year	Mandatory	High-rises	LIPH
Resident Council Training	24 residents per year	Voluntary	Main Office	LIPH
Universal Success	45 residents per year	Voluntary	Fairgrounds/Jane Addams Developments	Both
Information Centers & Developments	200 residents per year	Voluntary	LIPH Developments	LIPH
Dental Mobile	270 residents per year	Voluntary	All RHA	Both
GED Classes	50 per year	Voluntary	All RHA	Both
Grandparents Support Group	12 per year	Voluntary	All RHA	Both
Crisis Intervention Teams	As Needed	Voluntary	All RHA	Both
Neighborhood Network		Voluntary	All RHA	Both
Seasonal Workers (Temporary Workers)	30 residents per year	Employment Criteria	PHA Main Office	LIPH

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2004 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	12	61 as of 04/30/05
Section 8	159	107 as of 04/30/05

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

We will send out mass mailings, hold open houses and accept referrals from current participants.
We will strive to obtain minimum program size by 07/30/05.

C .Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of Section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to Section 12I of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHA's not participating in PHDEP and Section 8 Only PHA's may skip to component 15. High Performing and small PHA's that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply)?

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below) Review and monitoring of several CCTV systems

3. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-007 Fairgrounds Valley
- IL022-005 Brewington Oaks & Jane Addams

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-004 Park Terrace
- IL022-005 Brewington Oaks & Jane Addams
- IL022-006 North Main Manor
- IL022-007 Fairgrounds Valley
- IL022-009 Olesen Plaza

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)
Host safety and awareness fairs for children.

Rockford Housing Authority Police operates under a community oriented policing (COP) format. They attempt to attend Resident Council meetings.

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-005 Brewington Oaks & Jane Addams
- IL022-007 Fairgrounds Valley

D. Additional information as required by PHDEP/PHDEP Plan

PHA's eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: N/A)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

RHA PET POLICY

In compliance with the Quality Housing & Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to trained animals that are used to assist persons with disabilities. The RHA will provide formal applications to residents or applicants who desire to have common household pets.

Common Household Pets Are Defined As Follows:

- Bird-including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. CFR 952.20b1
- A) Fish-in tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20b1
 - B) Dog – not to exceed 20 pounds. Weight at maturity. CFR 942.20b1
 - C) Cat – species commonly used for household pets (felis catus). CFR 942.20b1
 - D) Rabbit, rodent, Guinea pig, gerbils and hamsters CFR 942.20b1
 - E) Turtle – Common household pet does not include reptiles, except turtle CFR 942.20b1

Rules For Owners of Pets

The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority. The Rockford Housing Authority will direct and approve such initial moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents. A resident that has a dog or cat he/she may apply for a transfer to a building or section of a building where pets are permitted. The Rockford Housing Authority will consider requests for transfer in the same order they are received.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

- a) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however; may keep a bird or aquarium in addition. CFR 942.02b2
- b) All common household pets are not to exceed a weight 20 pounds at maturity. CFR 942.02b2
- c) Dogs are to be licensed yearly with the City of Rockford, and residents must show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.02b1

- d) All cats are to be neutered and all dogs should be spayed prior to issuance of the written permit. CFR 942.20a2
- e) Resident must identify an alternate custodian for their pet(s) in the event of absence from the premises including employed residents, or resident's illness, which would prevent the resident from properly caring for the pet.
- f) Rockford Housing Authority may require the removal of any pet from a project, if the pet's conduct or condition is duly determined to constitute, under the provisions of State or local law, a nuisance or a threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

If pets are left unattended for twenty-four (24) hours or more, the Rockford Housing Authority may enter to remove the pet and transfer the pet to the proper authorities subject to the provisions of the Humane Care for Animals Act 510 ILCS 70/1 et seq. of the City of Rockford, the County of Winnebago, and the State of Illinois. The Rockford Housing Authority accepts no responsibility for the pet under such circumstances. No pet may be kept in violation of humane or health laws of the City, County, or State.

Residents shall not permit any disturbance by their pet, which would interfere with the quiet enjoyment of other residents, whether by loud barking, howling, biting, scratching or other such activities.

The resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet.

Dogs and cats shall remain inside a resident's premises unless they are on a leash and directly controlled by an adult.

Cats are to use litter boxes kept in resident's premises. The resident is not allowed to let waste accumulate, waste must be removed daily, and litter changed a minimum of twice a week. The resident must dispose of the litter in the first floor dumpster, no waste or litter is to be put down the garbage chute.

One pet (dog or cat) will be permitted on an elevator at any time. Pets (excluding working animals) are not allowed in common areas such as lobbies, laundry room, and social rooms except for directly entering or existing the building.

The owner of a dog or cat must properly remove and dispose of all waste caused by the animal in the building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accidents"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste.

Resident shall take adequate precautions to eliminate any pet odors within or around the premises and maintain premises in a sanitary condition at all times.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under Section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?
In process of being submitted.

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHA's are not required to complete this component. High performing and small PHA's are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

18. Other Information

(24 CFR Part 903-.7 9 I

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board's?

2. If yes, the comments are: (if comments were received, the PHA MUST select one)

Attached at Attachment (File Name)

Provided Below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
Listed changes below:

Other: (list below)

Public Meeting Comments from Residents and Staff

Public Meeting

June 21, 2005

Rockford Housing Authority

PHA Annual Plan

Agenda

Opening Comments	Lewis Jordan
Executive Statement	Lewis Jordan
Capital Fund Program	Sherri Tracy
Work Items Budgeted by Development	Paul Lindstrom
Questions or Comments to Staff	
Closing Statement	Lewis Jordan

To comply with the Quality Housing and Work Responsibility Act of 1998 requirements for preparing and submitting a Public Housing Agency (PHA) Annual Plan the Rockford Housing Authority (RHA) held a Public Hearing. The public hearing was held on June 21, 2005 at 10:30 a.m. in the RHA Conference Center at 223 S. Winnebago Street, Rockford, Illinois 61102.

RHA residents, community support service representatives, local government representatives, and RHA attended the public hearing.

The following questions, comments and responses were recorded:

Lewis Jordan, Executive Director, presented the Annual and 5-Year Plan. Copies of the Plan were available as handouts. Sherri Tracy, Director Development, and Paul Lindstrom, Modernization Coordinator reviewed the Capital Fund Program and the Work Items Budgeted from Development.

- Q) What is the Relocation program that you discussed for the residents?
A) This applies to the Jane Addams residents only, at this time, when that site is planned for demolition.
- Q) What monies will be planned for North Main Manor this year?
A) The 1st floor lobby of North Main will undergo a redecoration program. There will be new flooring, lighting and a softer look to the lobby area.
- Q) With all the money that we have planned for Elevator Renovations and Upgrades at our high rises, have we given any thought to moving the elevators to the outside of the buildings?
A) Yes – but it would be very expensive to do, approximately ½ million per building.
- Q) Will a Security Guard ever be placed at North Main Manor for 12 hours through the evening and night?
A) Not at this time. North Main Manor does not have a history of crime incidents, however Lewis will call the Park District and request they cruise Beatty Park more often throughout the evening and request that our rover come by North Main Manor during the evening.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided Section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.) Appointed by the Rockford Mayor

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and Section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. . Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

City of Rockford, Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Information is included in the attachments to the Plan.

Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Included in the attachments to the Plan.

Attachment A
Rockford Housing Authority
Deconcentration of Poverty

DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominately comprised of “lower income” residents and “lower income” residents into developments that are predominately comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

Developments that are not subject to the deconcentration rule are:

- A) Developments that house only elderly persons, or persons with disabilities, or both;
- B) Developments approved for demolition or for conversion to tenant based assistance; and
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.

In implementing this rule the Rockford Housing Authority shall:

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.
- B) Determine the average income of all families residing in each covered development.
- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan.

For Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority’s deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority’s deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority’s Deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's Deconcentration of poverty requirements in its "family" low-income public housing developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.
- D) Is developing a marketing plan that targets working families.
- E) Utilities Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Self-Sufficiency".
- H) Continues to modernize RHA's housing stock through the "Capital Fund".

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its Deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

**Annual Statement
Attachment B
Component 7
Capital Fund Program Annual Statement
Parts I, II, and III**

Rockford Housing Authority IL022

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number IL06P02250105 FFY of Grant Approval: Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	\$330,534
3	1408 Management Improvements	661,060
4	1410 Administration	330,534
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	281,579
8	1440 Site Acquisition	0
9	1450 Site Improvement	143,000
10	1460 Dwelling Structures	1,104,209
11	1465.1 Dwelling Equipment-Nonexpendable	0
12	1470 Non-dwelling Structures	113,791
13	1475 Non-dwelling Equipment	40,000
14	1485 Demolition	200,000
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	54,400
18	1498 Mod Used for Development	0
19	1502 Contingency	46,240
20	Amount of Annual Grant (Sum of lines 2-19)	\$3,305,347
21	Amount of line 20 Related to LBP Activities	53,000
22	Amount of line 20 Related to Section 504 Compliance	33,000
23	Amount of line 20 Related to Security	240,000
24	Amount of line 20 Related to Energy Conservation Measures	52,500

Annual Statement

Capital Fund Program (CGP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories IL06P02250105	Development Account Number	Total Estimated Cost
IL06-P022-001 Blackhawk Courts	A & E	1430	\$ 5,000
	Lead Testing A&E	1430	40,000
	Cameras & Security Lights	1450	25,000
	Interior rehab	1460	10,000
	Exterior rehab	1460	20,000
	LBP abatement	1460	5,000
	ADA Compliance	1460	5,000
	IL06-P022-003 Orton Keys	A & E hazardous (mold)	1430
Cameras & Security Lights		1450	25,000
Interior Rehab		1450	3,000
ADA Compliance		1460	2,000
ADA Compliance –modify bathrooms		1470	10,000
IL06-ILP022-004 Park Terrace	A&E	1430	25,000
	Elevator upgrades	1460	408,600
	Fire Code Renovations	1460	20,000
	ADA Compliance –parking lot changes	1460	3,000
IL06-P022-0051 Jane Addams	A & E hazardous	1430	3,579
	Cameras & Security Lights	1450	5,000
	Demolition	1485	200,000
	Relocation	1495	50,400
IL06-P022-0052 Brewington Oaks A	A & E hazardous (mold, asbestos, etc)	1430	2,500
	Relocation	1495	2,000
IL06-P022-0052 Brewington Oaks B	A & E Elevator work	1430	20,000
	A & E hazardous	1430	2,500
	ADA Compliance – parking lot changes	1450	5,000
	Elevator Upgrades	1460	416,190
IL06-P022-006 North Main Manor	A & E hazardous	1430	2,000
	ADA Compliance – parking lot changes	1450	2,000
	Interior Rehab	1460	10,000
	Fire Code Renovations	1460	20,000
	Sitework	1450	15,000

IL06-P022-007	A & E	1430	10,000
Fairgrounds	Lead Testing	1430	3,000
	Sitework	1450	20,000
	ADA Compliance – parking lot changes	1450	3,000
	Cameras & Security Lights	1450	25,000
	LBP abatement	1460	5,000
IL06-P022-008	A & E hazardous	1430	2,000
Scattered Sites	Site Improvement	1450	10,000
	Interior rehab	1460	18,919
	Exterior rehab	1460	25,000
IL06-P022-009	ADA Compliance – parking lot changes	1450	3,000
Olesen Plaza	Kitchen Cabinets/Countertops	1460	10,000
IL06-P022-012	A & E hazardous	1430	2,000
Scattered Sites	A & E	1430	2,000
	Interior Rehab	1460	5,000
	Exterior Rehab	1460	5,000
IL06-P022-013	A & E – hazardous	1430	5,000
Scattered Sites	Site Work	1450	5,000
	Interior Rehab	1460	5,000
IL06-P022-014A	PTAC replacement	1460	7,500
Low Rises Buckbee			
IL06-P022-014B	PTAC replacement	1460	7,500
Low Rises Summit			
IL06-P022-014C	A & E hazardous	1430	2,000
Low Rises Midvale	PTAC replacement	1460	7,500
	Relocation	1495	2,000
IL06-P022-016	A & E – design	1430	2,000
Scattered Sites	Interior rehab	1460	5,000
	Exterior rehab – roof replacement	1460	40,000
IL06-P022-017	A & E hazardous	1430	4,000
Scattered Sites	Interior Rehab	1460	10,000
	Exterior Rehab	1460	10,000
IL06-P022-019	A & E hazardous	1430	4,000
Scattered Sites	Interior Rehab	1460	5,000
	Exterior Rehab	1460	5,000
IL06-P022-020	A & E hazardous	1430	2,000

Scattered Sites	Interior Rehab	1460	5,000	
	Exterior Rehab	1460	5,000	
IL022 Management Improvements	Operations (10%)	1406	330,534	
	Security	1408	160,000	
	Computer Upgrades	1408	115,000	
	Training Residents/Staff	1408	97,000	
	Service Coordinators @ High Rises	1408	60,000	
	Safety/Loss Prevention	1408	15,000	
	Inventory Upgrade/Security System	1408	56,420	
	Upgrade Maintenance Fleet	1408	50,000	
	Maintenance Tools & Equipment	1408	35,640	
	UPCS Inspections	1408	22,000	
	Resident Screening & Orientation	1408	10,000	
	Marketing Vacancy & Reduction	1408	10,000	
	Resident/Section 3 Initiatives	1408	25,000	
	Safety Program Supplies	1408	5,000	
	1408 Total	661,060		
		Non Technical Salaries	1410	325,534
		Sundry	1410	5,000
		Consultant Fees	1430	100,000
		Energy Audit	1430	30,000
		A & E –elevator renovation	1430	10,000
	Main Office Elevator Upgrades	1470	103,791	
	Computers/Office Equipment	1475	40,000	
	Contingency Account	1502	46,240	
	Grand Total		\$3,305,347	

Annual Statement

Capital Fund Program (CGP) 50105 Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
HA-Wide	08/18/07	08/18/09
IL06-P022-001		
Blackhawk Courts	08/18/07	08/18/09
IL06-P022-003		
Orton Keys	08/18/07	08/18/09
IL06-P022-004		
Park Terrace	08/18/07	08/18/09
IL06-P022-005		
Brewington Oaks & Jane Addams	08/18/07	08/18/09
IL06-P022-006		
North Main Manor	08/18/07	08/18/09
IL06-P022-007		
Fairgrounds Valley	08/18/07	08/18/09
IL06-P022-008		
Scattered Sites	08/18/07	08/18/09
IL06-P022-009		
Olesen Plaza	08/18/07	08/18/09
IL06-P022-012		
Scattered Sites	08/18/07	08/18/09
IL06-P022-013		
Scattered Sites	08/18/07	08/18/09
IL06-P022-014		
Low Rises	08/18/07	08/18/09
IL06-P022-016		
Scattered Sites	08/18/07	08/18/09
IL06-P022-017		
Scattered Sites	08/18/07	08/18/09
IL06-P022-019		
Scattered Sites	08/18/07	08/18/09
IL06-P022-020		
Scattered Sites	08/18/07	08/18/09
Management Improvements	08/18/07	08/18/09

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R02250105	Federal FY of Grant: 2005
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05 Final Performance and Evaluation Report

Line #	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 comp				
24	Amount of line 21 Related to Security – Soft				
25	Amount of Line 21 Related to Security – Hard				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$1,633	0	0	0

ATTACHMENT C - Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name ROCKFORD HOUSING AUTHORITY		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: IL06P022501-06 PHA FY: 2006	Work Statement for Year 3 FFY Grant: IL06P022501-07 PHA FY: 2007	Work Statement for Year 4 FFY Grant: IL06P022501-08 PHA FY: 2008	Work Statement for Year 5 FFY Grant: IL06P022501-09 PHA FY: 2009
	See Annual Statement				
PHA Wide		\$	\$	\$	
IL22-001 Blackhawk		25,000	120,000	130,000	120,000
IL22-003 Orton Keyes		445,390	10,000	359,000	375,000
IL22-004 Park Terrace		5,000	5,000	300,740	520,000
IL22-006 N. Main Manor		386,540	20,000	15,000	50,000
IL22-007 Fairgrounds		44,289	290,000	60,000	50,000
IL22-008 Scattered Sites (21's)		40,000	425,000	365,000	290,000
IL22-009 Olesen Plaza		25,000	75,000	50,000	45,000
IL22-012 Scattered Sites		10,000	10,000	10,000	10,000
IL22-013 Scattered Sites		5,000	148,289	215,000	225,000
IL22-014 Lowrises		207,500	222,500	155,000	105,000
IL22-016 Scattered Sites		10,000	10,000	10,000	10,000
IL22-017 Scattered Sites		25,000	35,000	10,000	8,000
IL22-019 Scattered Sites		15,000	40,000	5,000	5,000
IL22-020 Scattered Sites		10,000	19,890	8,000	
IL22-051 Jane Addams		15,000	5,000		
IL22-052 Brewington Oakes		536,190	415,000		
Physical Improvements Subtotal		1,804,909	1,850,679	1,752,740	1,810,000
Management Improvements		721,836	721,836	719,836	721,836
HA Wide Nondwelling Structures		40,000	40,000	60,000	40,000
Administration		360,918	360,918	360,918	360,918
Other		320,599	274,829	354,768	315,508
Operations		360,918	360,918	360,918	360,918
Total CGP Funds		3,609,180	3,609,180	3,609,180	3,609,180
Grand Total		3,609,180	3,609,180	3,609,180	3,609,180

ATTACHMENT D - Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P022501-04 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	360,918		360,918	360,918
3	1408 Management Improvements	721,836		262,062	112,286
4	1410 Administration	360,918		359,124	101,935
5	1411 Audit	0		0	0
6	1415 Liquidated Damages	0		0	0
7	1430 Fees and Costs	339,200		77,728	72,298
8	1440 Site Acquisition	0		0	0
9	1450 Site Improvement	190,000		12,599	7,599
10	1460 Dwelling Structures	1,402,350		140,462	110,062
11	1465.1 Dwelling Equipment—Nonexpendable	25,000		0	0
12	1470 Nondwelling Structures			0	0
13	1475 Nondwelling Equipment	60,000		14,875	14,875
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	12,000	0	0	0
18	1499 Development Activities				
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	136,958	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,609,180		1,227,768	779,973
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 comp	0	0	0	0
24	Amount of line 21 Related to Security – Soft	0	0	0	0
25	Amount of Line 21 Related to Security – Hard	213,200		53,200	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		360,918	360,918	360,918	360,918	In progress
PHA Wide	1408 Management Improvements	All		721,836	721,836	262,062	112,286	In progress
PHA Wide	1410 Administration	All		360,918	360,918	359,124	101,935	In progress
PHA Wide	1430 Fees & Costs	All		339,200	339,200	77,728	72,298	In progress
PHA Wide	1475 Computer Hardware	All		60,000	60,000	14,875	14,875	In progress
001 Blackhawk	1460 Exterior Rehab windows/doors	001		206,000	206,000	9,181	9,181	In progress
003 Orton Keyes	1460 Interior Rehab	003		24,450	24,450	0	0	
004 Park Terrace	1460 Bldg. Systems –Elevators	004		220,550	220,550	0	0	
004 Park Terrace	1495 Relocation	004		2,000	2,000	0	0	
006 North Main	1460 Interior Rehab	006		15,000	15,000	15,000	134	In progress
007 Fairgrounds	1450 Site Improvements	007		125,000	125,000	7,599	7,599	In progress
008 Scattered Sites	1450 Site Improvements	008		40,000	40,000	0	0	
008 Scattered Sites	1460 Interior/Exterior Rehab	008		85,000	85,000	1,600	1,600	In progress
008 Scattered Sites	1465 Appliances	008		2,000	2,000	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
009 Olesen Plaza	1460 Interior/Exterior Rehab	009		70,000	70,000	10,359	10,359	In progress
009 Olesen Plaza	1495 Relocation	009		2,000	2,000			
012 Scattered Sites	1460 Interior/Exterior Rehab	012		20,000	20,000	0	0	
013 Scattered Sites	1450 Site Improvements	013		20,000	20,000	0	0	
013 Scattered Sites	1460 Interior/Exterior Rehab	013		65,000	65,000	0	0	
014 Lowrises	1460 Interior Rehab/Bldg Systems PTAC's	014		190,000	190,000	44,313	23,779	In progress
014 Lowrises	1465 Appliances	014		3,000	3,000	0	0	
014 Lowrises	1495 Relocation	014		6,000	6,000	0	0	
016 Scattered Sites	1450 Site Improvements	016		5,000	5,000	0	0	
016 Scattered Sites	1460 Interior/Exterior Rehab	016		15,000	15,000	995	995	In progress
017 Scattered Sites	1460 Interior/Exterior Rehab	017		30,000	30,000	2,150	2,150	In progress
019 Scattered Sites	1460 Interior/Exterior Rehab	019		15,000	15,000	3,120	3,120	In progress
020 Scattered Sites	1460 Interior/Exterior Rehab	020		15,000	15,000	4,150	4,150	In progress
051 Jane Addams	1460 Interior/Exterior Rehab	051		15,000	15,000	0	0	
052 Brewington Oakes	1460 Interior/Exterior Rehab –Elev. –HVAC	052		416,350	416,350	54,594	54,594	In progress
052 Brewington Oakes	1465 Appliances	052		20,000	20,000	0	0	
052 Brewington Oakes	1495 Relocation	052		2,000	2,000	0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022501-04 Replacement Housing Factor No:				Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/06			09/30/08			
IL22-001 Blackhawk	09/30/06			09/30/08			
IL22-003 Orton Keyes	09/30/06			09/30/08			
IL22-004 Park Terrace	09/30/06			09/30/08			
IL22-006 N. Main Manor	09/30/06			09/30/08			
IL22-007 Fairgrounds	09/30/06			09/30/08			
IL22-008 Scattered Sites	09/30/06			09/30/08			
IL22-009 Olesen Plaza	09/30/06			09/30/08			
IL22-012 Scattered Sites	09/30/06			09/30/08			
IL22-013 Scattered Sites	09/30/06			09/30/08			
IL22-014 Lowrises	09/30/06			09/30/08			
IL22-016 Scattered Sites	09/30/06			09/30/08			
IL22-017 Scattered Sites	09/30/06			09/30/08			
IL22-019 Scattered Sites	09/30/06			09/30/08			
IL22-020 Scattered Sites	09/30/06			09/30/08			
IL22-021 Scattered Sites	09/30/06			09/30/08			
IL22-051 Jane Addams	09/30/06			09/30/08			
IL22-052 Brewington Oakes	09/30/06			09/30/08			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-04	Federal FY of Grant: 2004
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05
 Final Performance and Evaluation Report

Line #	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 comp				
24	Amount of line 21 Related to Security – Soft				
25	Amount of Line 21 Related to Security – Hard				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$27,198	0	0	0

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022502-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/05 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	65,634		65,634	65,634	
3	1408 Management Improvements	121,268		110,089	110,089	
4	1410 Administration	65,634		65,533	65,533	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	393,804		364,982	364,982	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment	10,000		3,125	3,125	
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collectivization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	656,340		609,363	609,363	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					
27	Replacement Housing Factor Funds					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022502-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		65,634	65,634	65,634	65,634	
PHA Wide	1408 Management Improvements	All		121,268	121,268	110,089	110,089	
PHA Wide	1410 Administration	All		65,634	65,634	65,533	65,533	
PHA Wide	1430 Fees & Costs	All		0	0	0	0	
PHA Wide	1502 Contingency	All		0	0	0	0	
PHA Wide	1475 Non-Dwelling Eq.	All		10,000	10,000	3,125	3,125	
001 Blackhawk	1460 Interior Rehab	001		143,804	143,804	143,804	143,804	
003 Orton Keyes	1460 Exterior Rehab	003		250,000	250,000	221,178	221,178	
	TOTALS			656,340	656,340	609,363	609,363	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P022501-03 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	616,856	616,856	616,856	616,856
3	1408 Management Improvements	616,856	616,856	594,178	594,178
4	1410 Administration	308,429	308,429	308,429	308,429
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	327,500	254,835	246,282	246,282
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	103,652	391,687	391,686	391,686
10	1460 Dwelling Structures	931,763	869,365	845,350	845,350
11	1465.1 Dwelling Equipment—Nonexpendable	15,000	15,200	15,200	15,200
12	1470 Nondwelling Structures	0	0		
13	1475 Nondwelling Equipment	0	0		
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	42,000	11,060	11,059	11,059
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	122,232	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,084,288	3,084,288	3,029,041	3,029,040
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs	445,035		404,662	378,800
26	Amount of line 21 Related to Energy Conservation Measures	57,600			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		616,856	616,856	616,856	616,856	Completed
PHA Wide	1408 Management Improvements	All		616,856	616,856	594,178	594,178	In Progress
PHA Wide	1410 Administration	All		308,429	308,428	308,429	308,429	Completed
PHA Wide	1430 Fees & Costs	All		327,500	254,835	246,282	246,282	In Progress
PHA Wide	1502 Contingency	All		122,232	0	0	0	Completed
001 Blackhawk	1450 Site Improvements	001		40,000	163,840	163,840	163,840	Completed
001 Blackhawk	1460 Exterior Rehab	001		50,000	0	0	0	Changed
003 Orton Keyes	1450 Site Improvements	003		20,000	0	0	0	Changed
003 Orton Keyes	1460 Interior/Exterior Rehab	003		185,000	168,768	168,768	168,768	Completed
004 Park Terrace	1460 Interior/Exterior Rehab	004		154,000	11,326	11,326	11,326	Completed
004 Park Terrace	1465 Appliances	004		16,800	0	0	0	Changed
004 Park Terrace	1495 Relocation	004		16,000	5,028	5,028	5,028	Completed
006 North Main	1460 Interior/Exterior Rehab	006		60,000	3,869	3,869	3,869	Completed
007 Fairgrounds	1450 Site Improvements	007		10,000	27,650	27,650	27,650	Completed
007 Fairgrounds	1460 Interior Rehab	007		0	0	0	0	Changed
008 Scattered Sites	1450 Site Improvements	008		50,000	150,877	150,877	150,877	Completed
008 Scattered Sites	1460 Interior/Exterior Rehab	008		70,000	55,615	55,615	55,615	Completed
009 Olesen Plaza	1460 Interior Rehab	009		75,000	42,823	42,823	42,823	Completed

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
012 Scattered Sites	1450 Site Improvements	012		0	3,894	3,894	3,894	Completed
012 Scattered Sites	1460 Interior/Exterior Rehab	012		11,000	35,558	35,558	35,558	Completed
013 Scattered Sites	1450 Site Improvements	013		0	6,480	6,480	6,480	Completed
013 Scattered Sites	1460 Interior/Exterior Rehab	013		70,000	78,116	78,116	78,116	Completed
013 Scattered Sites	1495 Relocation	013		0	576	576	576	Completed
014 Lowrises	1460 Interior/Exterior Rehab	014		215,000	390,576	390,576	390,576	Completed
014 Lowrises	1465 Appliances	014		10,000	15,200	15,200	15,200	Completed
014 Lowrises	1495 Relocation	014		10,000	2,269	2,269	2,269	Completed
016 Scattered Sites	1450 Site Improvements	016		0	4,152	4,152	4,152	Completed
016 Scattered Sites	1460 Interior/Exterior Rehab	016		50,000	7,175	7,175	7,175	Completed
017 Scattered Sites	1450 Site Improvements	017		0	7,851	7,851	7,851	Completed
017 Scattered Sites	1460 Interior/Exterior Rehab	017		50,000	19,376	19,376	19,376	Completed
019 Scattered Sites	1450 Site Improvements	019		0	23,195	23,195	23,195	Completed
019 Scattered Sites	1460 Interior/Exterior Rehab	019		40,000	16,200	16,200	16,200	Completed
020 Scattered Sites	1450 Site Improvements	020		0	3,751	3,751	3,751	Completed
020 Scattered Sites	1460 Interior/Exterior Rehab	020		45,000	1,438	1,438	1,438	Completed
051 Jane Addams	1450 Site Improvements	051		5,000	0	0	0	Changed
051 Jane Addams	1460 Exterior Rehab	051		5,000	2,114	2,114	2,114	Completed
052 Brewington Oakes	1450 Site Improvements	052		0	0	0	0	Changed
052 Brewington Oakes	1460 Interior Rehab	052		239,135	36,407	36,407	12,392	In Progress
052 Brewington Oakes	1465 Appliances	052		33,600	0	0	0	Changed
052 Brewington Oakes	1495 Relocation	052		16,000	3,186	3,186	3,186	Completed
				3,084,288	3,084,288	3,053,056	3,029,041	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022501-03 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	9/30/05			09/30/07			
IL22-001 Blackhawk	9/30/05			09/30/07			
IL22-003 Orton Keyes	9/30/05			09/30/07			
IL22-004 Park Terrace	9/30/05			09/30/07			
IL22-006 N. Main Manor	9/30/05			09/30/07			
IL22-007 Fairgrounds	9/30/05			09/30/07			
IL22-008 Scattered Sites	9/30/05			09/30/07			
IL22-009 Olesen Plaza	9/30/05			09/30/07			
IL22-012 Scattered Sites	9/30/05			09/30/07			
IL22-013 Scattered Sites	9/30/05			09/30/07			
IL22-014 Lowrises	9/30/05			09/30/07			
IL22-016 Scattered Sites	9/30/05			09/30/07			
IL22-017 Scattered Sites	9/30/05			09/30/07			
IL22-019 Scattered Sites	9/30/05			09/30/07			
IL22-020 Scattered Sites	9/30/05			09/30/07			
IL22-021 Scattered Sites	9/30/05			09/30/07			
IL22-051 Jane Addams	9/30/05			09/30/07			
IL22-052 Brewington Oakes	9/30/05			09/30/07			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-03	Federal FY of Grant: 2003
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$23,243	\$23,243	\$23,243	\$0

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	776,000	776,000	776,000	776,000
3	1408 Management Improvements	444,724	444,724	444,724	428,895
4	1410 Administration	388,245	388,245	388,245	388,245
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	80,001	80,001	80,001	80,001
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	137,927	137,927	137,927	137,927
10	1460 Dwelling Structures	1,730,802	1,730,802	1,730,802	1,730,802
11	1465.1 Dwelling Equipment—Nonexpendable	15,772	15,772	15,772	15,772
12	1470 Nondwelling Structures	26,326	26,326	26,326	26,326
13	1475 Nondwelling Equipment	283,139	283,139	283,139	283,139
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	2,830	2,830	2,830	2,830
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	0	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,885,766	3,885,766	3,885,766	3,869,937
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs	366,300	366,300	366,300	360,000
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406 Mod for Operations	All		776,000	776,000	776,000	776,000	Complete
PHA Wide	1408 Management Improvements	All		589,550	444,724	444,724	428,895	In Process
PHA Wide	1410 Administration	All		388,000	388,245	388,245	388,245	Complete
PHA Wide	1430 Fees & Costs	All		100,000	80,001	80,001	80,001	Complete
PHA Wide	1475 Grounds and Maintenance Equip	All		10,000	10,000	10,000	10,000	Complete
PHA Wide	1475 Computers	All		11,000	162,740	162,740	162,740	Complete
PHA Wide	1475 Vehicles	All		49,000	61,727	61,727	61,727	Complete
001 Blackhawk	1450 Site Improvements	001		70,000	83,597	83,597	83,597	Complete
001 Blackhawk	1460 Interior/Exterior Rehab	001		15,000	45,470	45,470	45,470	Complete
001 Blackhawk	1465 Appliances	001		80,000	0	0	0	Changed
001 Blackhawk	1470 Buildings daycare	001		15,000	17,959	17,959	17,959	Complete
003 Orton Keys	1450. Site Improvements – concrete	003		0	31,745	31,745	31,745	Complete
003 Orton Keys	1460. Interior/Exterior Rehab	003		40,000	1,583	1,583	1,583	Changed
003 Orton Keys	1470 Community Building	003		15,000	7,393	7,393	7,393	Complete
004 Park Terrace	1450 Site Improvements	004		10,000	0	0	0	Changed
004 Park Terrace	1460 Interior/Exterior Rehab	004		465,000	197,694	197,694	197,694	Changed
004 Park Terrace	1465 Non Dwelling Equipment	004		000	335	335	335	Complete
004 Park Terrace	1495 Relocation	004		16,000	328	328	328	Complete
006 North Main	1450 Site Improvements	006		5,000	0	0	0	Changed
006 North Main	1460 Interior & Exterior Rehab / HVAC	006		210,000	380,683	380,683	380,683	Complete
006 North Main	1465 Appliances	006		20,000	0	0	0	Changed
006 North Main	1475 Boiler HVAC Upgrade	006		0	20,743	20,743	20,743	Changed
007 Fairgrounds	1450 Site Improvements concrete	007		0	4,347	4,347	4,347	Changed
007 Fairgrounds	1460 Interior/Exterior Rehab	007		115,000	1,532	1,532	1,532	Complete
007 Fairgrounds	1465 Appliances	007		55,000	0	0	0	Changed
007 Fairgrounds	1470 Community Rooms	007		35,000	975	975	975	Changed
008 Scattered Sites	1450. Site Improvements concrete	008		0	4,536	4,536	4,536	Complete
008 Scattered Sites	1460 Exterior Rehab– siding, gutter	008		65,000	199,549	199,549	199,549	Complete
008 Scattered Sites	1465 Appliances	008		20,000	0	0	0	Changed
009 Olesen Plaza	1450 Site Improvements concrete	009		0	0	0	0	Changed
009 Olesen Plaza	1460 Interior/Exterior Rehab HVAC	009		35,000	11,080	11,080	11,080	Changed
009 Olesen Plaza	1475 Boiler & HVAC Upgrades	009		0	5,472	5,472	5,472	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
012 Scattered Sites	1450 Site Improvements concrete	012		5,000	857	857	857	Complete
012 Scattered Sites	1460 Interior/Exterior Rehab	012		10,000	19,780	19,780	19,780	Changed
013 Scattered Sites	1460 Exterior Rehab-siding, gutter	013		66,000	71,686	71,686	71,686	Complete
013 Scattered Sites	1465 Building Systems HVAC	013		62,000	0	0	0	Changed
014 Lowrises	1450 Site Improvements	014		0	429	429	429	Complete
014 Lowrises	1460 Interior/Exterior Rehab HVAC	014		230,000	259,624	259,624	259,624	Complete
016 Scattered Sites	1460 Interior Rehab	016		20,000	10,899	10,899	10,899	Complete
017 Scattered Sites	1450 Site Improvement concrete	017		20,000	500	500	500	Complete
017 Scattered Sites	1460 Interior Rehab	017		0	81,306	81,306	81,306	Complete
019 Scattered Sites	1450 Site Improvements concrete	019		7,365	0	7,365	7,365	Complete
019 Scattered Sites	1460 Interior Rehab	019		0	31,399	31,399	31,399	Complete
020 Scattered Sites	1450 Site Improvements concrete	020		10,562	1,840	1,840	1,840	Complete
020 Scattered Sites	1460 Interior Rehab	020		0	31,543	31,543	31,543	Complete
051 Jane Addams	1450 Site Improvements concrete	051		0	2,712	2,712	2,712	Complete
051 Jane Addams	1460 Building Systems electrical	051		10,000	0	0	0	Changed
052 Brewington Oakes	1450 Site Improvements concrete	052		10,000	0	0	0	Changed
052 Brewington Oakes	1460 Exterior Rehab doors / roofing	052		395,766	411,483	411,483	411,483	Complete
052 Brewington Oakes	1465 Appliances	052		0	15,437	15,437	15,437	Complete
052 Brewington Oakes	1495 Relocation	052		5,000	456	456	456	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022501-02 Replacement Housing Factor No:				Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/04			09/30/06			
IL22-001 Blackhawk	09/30/04			09/30/06			
IL22-003 Orton Keyes	09/30/04			09/30/06			
IL22-004 Park Terrace	09/30/04			09/30/06			
IL22-006 N. Main Manor	09/30/04			09/30/06			
IL22-007 Fairgrounds	09/30/04			09/30/06			
IL22-008 Scattered Sites	09/30/04			09/30/06			
IL22-009 Olesen Plaza	09/30/04			09/30/06			
IL22-012 Scattered Sites	09/30/04			09/30/06			
IL22-013 Scattered Sites	09/30/04			09/30/06			
IL22-014 Lowrises	09/30/04			09/30/06			
IL22-016 Scattered Sites	09/30/04			09/30/06			
IL22-017 Scattered Sites	09/30/04			09/30/06			
IL22-019 Scattered Sites	09/30/04			09/30/06			
IL22-020 Scattered Sites	09/30/04			09/30/06			
IL22-021 Scattered Sites	09/30/04			09/30/06			
IL22-051 Jane Addams	09/30/04			09/30/06			
IL22-052 Brewington Oakes	09/30/04			09/30/06			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-02	Federal FY of Grant: 2002
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$29,283	\$29,283	\$29,283	\$0

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-01	Federal FY of Grant: 2001
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$31,537	\$31,537	\$0	\$0

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-00	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/05 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$28,347	\$28,347	\$0	\$0

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022	PHA Wide		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
1406's Mod for Operations		\$1,804,590	FY06
1408's Security		800,000	FY06
Computer Upgrades		575,000	FY06
Training Residents / Staff		488,000	FY06
Service Coordinators @ High Rises		300,000	FY06
Safety / Loss Prevention		275,000	FY06
Inventory Upgrade / Security System		282,105	FT06
Upgrade Maintenance Fleet		250,000	FY06
Maintenance Tools & Equipment		179,075	FY06
UPCS Inspections		110,000	FY06
Resident Screening & Orientation		100,000	FY06
Marketing Vacancy & Reduction		100,000	FY06
Resident / Section 3 Initiatives		125,000	FY06
Safety Program Supplies		25,000	FY06
1410's Non Technical Salaries 355,918 X 5		1,779,590	FY06
Sundry		25,000	FY06
1430's Consultant Fees		135,939	FY06
Energy Audit		80,000	FY06
A & E Elevator Renovation		10,000	FY06
Physical Needs Assessment		90,000	FY09
1470 Main Office Elevator Upgrades		103,791	FY06
1475 Computers / Office Equipment		220,000	FY06
1502 Contingency Account		671,845	FY06
Total estimated cost over next 5 years		\$6,725,345	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-001	Blackhawk Courts	6	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E including Environmental			\$73,000	FY06
Lead Testing – A&E			41,000	FY06
Cameras & Security Lights			75,000	FY06
Interior Rehab			25,000	FY06
Exterior Rehab			160,000	FY06
LBP Abatement			5,000	FY06
ADA Compliance			5,000	FY06
Sitework			240,000	FY08
Total estimated cost over next 5 years			\$624,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-003	Orton Keyes	2	1%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E hazardous - Mold & Environmental		\$7,000	FY06
Sitework		8,000	FY06
Cameras & Security Lights		275,000	FY06
Interior Rehab		40,000	FY06
ADA Compliance		2,000	FY06
ADA Compliance (modify bathrooms)		12,000	FY06
A & E		35,000	FY07
Furnace Replacement		236,390	FY07
Window Replacement		500,000	FY09
Siding		219,000	FY09
Total estimated cost over next 5 years		\$ 1,334,390	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-004	Park Terrace	2	1%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Elevators			\$26,000	FY06
Elevator Upgrades			408,600	FY06
Fire Code Renovations			20,000	FY06
ADA Compliance – parking lot changes			3,000	FY06
Sitework			15,000	FY07
A & E			30,000	FY07
Interior Rehab			750,740	FY09
Appliances			35,000	FY09
Relocation			10,000	FY09
HVAC			30,000	FY09
Total estimated cost over next 5 years			\$1,328,340	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-005	Brewington Oaks & Jane Addams	38	8%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Elevator Upgrades		\$832,380	FY06
A & E hazardous & Environmental		\$19,079	FY06
Cameras & Security Lights		30,000	FY06
Demolition JA		200,000	FY06
Relocation		54,400	FY06
ADA Compliance – parking lot changes		5,000	FY06
Interior Rehab		30,000	FY07
Exterior Rehab		10,000	FY07
Sitework		5,000	FY07
HVAC upgrade		180,000	FY07
Fire Code Renovations		90,000	FY07
A & E		57,000	FY07
A & E Elevator Work		20,000	FY08
Roof Replacement		300,000	FY08
Total estimated cost over next 5 years		\$1,832,859	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-006	North Main Manor	3	2%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
A&E – hazardous & environmental		\$9,000	FY06
ADA Compliance – parking lot changes		2,000	FY06
Interior Rehab		30,000	FY06
Fire Code Renovations		20,000	FY06
A & E		32,000	FY07
Exterior Rehab		5,000	FY07
Elevator Upgrades		381,540	FY07
Closet Door Replacement		30,000	FY08
Sitework		5,000	FY09
HVAC Upgrades		30,000	FY09
Total estimated cost over next 5 years		\$544,540	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-007	Fairgrounds Valley	7	3%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
A&E, Hazardous & Environmental		\$43,000	FY06
Lead Testing		53,000	FY06
Sitework		10,000	FY06
Cameras & Security Lights		75,000	FY06
ADA Compliance – parking lot changes		3,000	FY06
LBP Abatement		5,000	FY07
Sidewalk Replacement		194,289	FY07
Building Systems – Furnace Replacement		240,000	FY08
Exterior Rehab		5,000	FY09
Interior Rehab		5,000	FY09
Total estimated cost over next 5 years		\$633,289	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-008	Scattered Sites – Duplexes	2	2%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E, Hazardous & Environmental		33,000	FY06
Site Improvements		170,000	FY06
Interior Rehab		78,919	FY06
Exterior Rehab		85,000	FY06
Furnace Replacement		260,000	FY08
Roof Replacement		400,000	FY08
Siding Replacement		120,000	FY09
Sidewalks and Stoops		60,000	FY09
Total estimated cost over next 5 years		\$1,206,919	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-009	Olesen Plaza	6	4%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
ADA Compliance – parking lot changes		3,000	FY06
Kitchen Cabinets/Countertops		80,000	FY06
Interior Rehab		15,000	FY07
Appliances		5,000	FY07
Sitework		5,000	FY07
Fire Code Upgrades		25,000	FY08
Closet Doors		75,000	FY08
A & E		7,500	FY08
Total estimated cost over next 5 years		\$215,500	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-012	Scattered Sites – Rehab	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$6,000	FY06
A & E			4,000	FY06
Interior Rehab			25,000	FY06
Exterior Rehab			25,000	FY06
Total estimated cost over next 5 years			\$60,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-013	Scattered Sites – Rehab	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$14,000	FY06
Sitework			40,000	FY06
Interior Rehab			25,000	FY06
A & E			22,679	FY07
Siding Replacement			300,000	FY08
Window Replacement			238,289	FY08
Total estimated cost over next 5 years			\$639,968	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-014	Low Rises	2	5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$17,000	FY06
PTAC Replacement			97,500	FY06
Relocation			2,000	FY06
A & E			28,770	FY07
Sitework			15,000	FY07
Siding			105,000	FY07
Exterior Rehab			200,000	FY07
Interior Rehab			30,000	FY07
Hallway carpet replacement			55,000	FY07
Closet door replacement			120,000	FY08
Intercom upgrade			60,000	FY09
Hallway Lighting			30,000	FY09
Total estimated cost over next 5 years			\$760,270	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-016	Scattered Sites – New	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$6,000	FY06
Interior Rehab			25,000	FY06
Roof Replacement			40,000	FY06
Sitework			17,000	FY07
A & E			2,000	FY07
Total estimated cost over next 5 years			\$90,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-017	Scattered Sites – Rehab	1	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$8,000	FY06
Interior Rehab			35,000	FY06
Exterior Rehab			53,000	FY06
Sitework			10,000	FY07
A & E			2,000	FY07
Total estimated cost over next 5 years			\$108,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-019	Scattered Sites	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$8,000	FY06
Interior Rehab			15,000	FY06
Exterior Rehab			60,000	FY06
A & E			2,000	FY07
Total estimated cost over next 5 years			\$85,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7E)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-020	Scattered Sites	1	5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
A & E Hazardous & Environmental			\$6,000	FY06
Interior Rehab			20,000	FY06
Exterior Rehab			27,890	FY06
A & E			2,000	FY07
Total estimated cost over next 5 years			\$55,890	

PHA Plan Attachment F

Optional Public Housing Asset Management Table

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home- ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL22-001 Blackhawk Courts	196	Attachment B	NA	NA	NA		NA	
IL22-003 Orton Keyes	175	Attachment B	NA	NA	NA		NA	
IL22-004 Park Terrace	183	Attachment B	NA	NA	NA		NA	
IL22-051 Jane Addams	84	Attachment B	NA	Application in process	NA		NA	
IL22-052 Brewington Oakes	418	Attachment B	NA	NA	NA		NA	
IL22-006 North Main Manor	187	Attachment B	NA	NA	#1 Elderly Only		NA	
IL22-007 Fairgrounds Valley	210	Attachment B	NA	Application in process	NA		NA	
IL22-008 Scattered Sites	120	Attachment B	NA	NA	NA		NA	
IL22-009 Olesen Plaza	151	Attachment B	NA	NA	#2 Elderly Only		NA	
IL22-012 Scattered Sites Rehab	10	Attachment B	NA	NA	NA		NA	
IL22-013 Scattered Sites	46	Attachment B	NA	NA	NA		NA	
IL22-014 Low-Rises	100	Attachment B	NA	NA	NA		NA	
IL22-016 Scattered Sites – New	49	Attachment B	NA	NA	NA		NA	
IL22-017 Scattered Sites – Rehab	30	Attachment B	NA	NA	NA		NA	
IL22-019 Scattered Sites – FSS	20	Attachment B	NA	NA	NA		NA	
IL22-020 Scattered Sites	20	Attachment B	NA	NA	NA		NA	

PHA Plan Attachment G

Resident Advisory Board

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Dale Carter	515 Seminary St. Apt. 1511B, Rockford, IL 61104
H.O.M.E. Board	Bob Radke	515 Seminary St. Apt. 1214B, Rockford, IL 61104
H.O.M.E. Board	Tauhidah El-Amin	601 Ranger St. Rockford, IL 61109
H.O.M.E. Board- COMMISSIONER	Virginia Williams	531 South Third St.-Rockford, IL 61104
H.O.M.E. Board	Sandra Burnette	2803 Echo St. Rockford, IL 61109
H.O.M.E. Board	Bob Bockewitz	1000 Chamberlain Apt 1015 Rockford, IL 61107
H.O.M.E. Board	Adrene Drayton	1000 Chamberlain Apt 205 Rockford, IL 61107
H.O.M.E. Board	Adrian Anderson	1000 Chamberlain Apt 210 Rockford, IL 61107
H.O.M.E. Board	Merleean Thompson	505 N. Main St. Apt 404 Rockford, IL 61103
H.O.M.E. Board	Angel Ojeda	505 N. Main St. Apt 609 Rockford, IL 61103
H.O.M.E. Board	Veronica Flores	313 Cameron Ave., 2C Rockford, IL 61102
H.O.M.E. Board	Diane Foster	337 Underwood St. Rockford, IL 61101
H.O.M.E. Board	Alice Jenkins	511 N. Church St. Apt 202 Rockford, IL 61103
H.O.M.E. Board	Beverly Alvey	511 N. Church St. Apt 611 Rockford, IL 61103
H.O.M.E. Board	Linda Leake	4124 Harrison Ave Apt 111 Rockford, IL 61108
H.O.M.E. Board	Yvonne Foseca	4124 Harrison Ave Apt 204 Rockford, IL 61108
H.O.M.E. Board	Erika Crosser	1514 East State St. Apt 307 Rockford, IL 61108
H.O.M.E. Board	John Tyler	5410 Midvale Dr. #108 , Rockford, IL 61108
H.O.M.E. Board	Sharon Hinkle	1912 Raisemore Rd. Rockford, IL 61108
H.O.M.E. Board	George Poole	320 Kenilworth Dr. , Rockford, IL 61108
Orton Keyes Resident Council	Tauhidah El-Amin	601 Ranger St. Rockford, IL 61109
Orton Keyes Resident Council	Latosha Parchman	624 Ranger St. Rockford, IL 61109
Orton Keyes Resident Council	Tamatha Mc Coy	2817 Echo St. Rockford, IL 61109

The Resident Advisory Board consists of all Residents on the HOME Board and Resident Councils. These Residents are elected by developments on the third Tuesday of September, every odd year, with the exception of the Low-Rises. They hold their election on the third Tuesday of September, every year

Attachment H

Rockford Housing Authority

Component 10 (B) Voluntary Conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessments? Eleven
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? Four
- c. How many Assessments were conducted for the PHA's covered developments? Eleven
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: None

Development Name	Number of Units
Blackhawk Courts IL-022-001	196
Orton Keyes IL-022-003	175
Brewington Oaks & Jane Addams IL022-005	502
Fairgrounds Valley IL-022-007	210
Scattered Sites IL-022-008	127
Scattered Sites IL-022-012	10
Scattered Sites IL-022-013	46
Scattered Sites IL-022-016	49
Scattered Sites IL-022-017	30
Scattered Sites IL-022-019	20
Scattered Sites IL-022-020	20

Attachment I

Community Service Requirement Policy & Status

The Rockford Housing Authority met with employers that could use intermittent workers to find out what their needs are. A list of those agencies wishing to participate was created for resident distribution.

RHA staff has made the initial contact with all 528 residents that are required to fulfill this mandate and we have documented those in compliance as well as those not in compliance. To date, 131 residents have begun to fulfill the mandate.

Quarterly reminder notices will be sent to residents that are not in compliance prior to their lease expiration. The following is our newly created policy:

1. COMMUNITY SERVICE REQUIREMENT POLICY

INTRODUCTION

Section 512 of the "Quality Housing and Work Responsibility Act" of 1998, entitled "Public Housing Community Service Requirements", imposes a requirement on all adult housing residents, with important exceptions, to participate for a minimum of eight (8) hours per month in community service or in an economic self-sufficiency program. The Rockford Housing Authority may not renew any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who is subject to these requirements and has failed to comply with his or her obligation under this provision.

REQUIREMENTS

All adult public housing household members shall contribute:

- 1. Eight (8) hours per month of community service (not including political activities) within the local community in which the adult resident resides; or*
- Participate in an economic self-sufficiency program approved by the RHA for eight (8) hours per month.*

TYPES OF COMMUNITY SERVICE

Community Service is defined as any volunteer activity designed to improve the quality of life in the City of Rockford, Illinois. Such activities may include, but are not limited to the following.

- 1. Resident Council membership activities;*
- Participation in local community clean up activities;*
- Participation in PTA, church, school activities or other local community service organization or governmental body;*
- Any other local community service activity approved in advance by the Rockford Housing Authority.*

EXEMPTIONS

Exemptions are given to any individual who;

1. Is sixty two (62) years of age or older; or
- Is a blind or disabled individual, as defined in Section 216 or 1614 of the Social Security Act, and who is unable to comply with this section, or who is a primary caretaker of such an individual; or
- Engaged in work activities as defined in Section 407(d) of the Social Security Act, specified below:
 - Unsubsidized employment;
 - Subsidized private-sector employment;
 - Subsidized public-sector employment;
 - Work experience (including work associated with the Refurbishing of publicly assisted housing) if sufficient private sector employment is not available;
 - On-the-job-training;
 - Job-search and job-readiness assistance;
 - Community service programs;
 - Vocational educational training (not to exceed 12 months with respect to any individual);
 - Job-skills training directly related to employment;
 - Education directly related to employment in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency;
 - Satisfactory attendance at secondary school or in a course of study leading to a certificate of general equivalence, in the case of a recipient who has not completed secondary school or received such a certificate; and
 - The provision of childcare services to an individual who is participating in a community service program.

ANNUAL DETERMINATIONS

The Rockford Housing Authority shall review and determine compliance of the resident's household for this requirement thirty (30) days before the expiration of each lease term (annually).

NONCOMPLIANCE

If the Rockford Housing Authority determines that a resident subject to this requirement has not fully complied with the community service requirement, the RHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household. Nothing in the act shall prevent a resident from seeking timely redress in court for a failure to renew based upon such noncompliance.

The RHA shall notify the head of household:

- Of the noncompliance;
- That the determination of noncompliance is subject to the RHA Grievance Procedure; and
- That unless the resident enters into an agreement to remedy the noncompliance, the resident's lease will not be renewed.

REMEDY OF THE NONCOMPLIANCE

In order for the resident to remedy the noncompliance the resident must enter into an agreement before the expiration of the lease term, to complete the community services requirement by contributing as many additional hours as the resident needs in order to comply in aggregate with such requirement over the twelve (12) month term of the lease agreement.

This requirement applies exclusively to the Rockford Housing Authority Low Income Public Housing Program.

Attachment J

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

OMB Approval No. 2529-0043
(exp. 4/30/2001)

Office of Fair Housing and Equal Opportunity

HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102		2. Federal Identification: (contract/award no.) IL06-PO22-0104-0103-0203-0102	3. Dollar Amount of Award: \$11,235.574
		4. Contact Person: Paul Lindstrom	5. Phone: (include area code) 815-987 3888
		6. Reporting Period: 7-1-2004 thru 6-30-2005	7. Date Report Submitted: July 15, 2005
8. Program Code: * 3	C	(Use a separate sheet for each program code)	9. Program Name: Capital Fund Program

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade)	0				
Trade – Carpenter	0				
Trade – Plumber	0				
Trade – Painter	0				
Trade					
Other Seasonal workers Temporary Clerical Engineer Temp	0				
Pre-Apprenticeship Building Maintenance Repairer	0				

Pre-Employment Training	0				
Total	0	0			

***Program Codes**

1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing

A = Development
B = Operation
C = Modernization

4 = Homeless Assistance

5 = HOME
6 = HOME-State Administered
7 = CDBG-Entitlement

8 = CDBG-State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts: %	
A. Total dollar amount of all contracts awarded on the project	\$680,951
B. Total dollar amount of contracts awarded to Section 3 businesses	\$18,088
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	3%
D. Total number of Section 3 businesses receiving contracts	1
2. Non-Construction Contracts:	
A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$225,900
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002 (2/2001)
Page 2 of 2.

Attachment J

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing And Urban Development

OMB Approval No. 2529-0043
(exp. 4/30/2001)

Office of Fair Housing And Equal Opportunity

HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102		2. Federal Identification: (contract/award no.) Operations	3. Dollar Amount of Award: \$7,918,946
		4. Contact Person: Paul Lindstrom	5. Phone: (include area code) 815-987 3888
		6. Reporting Period: 7-1-2004 thru 6-30-2005	7. Date Report Submitted: July 15, 2005
8. Program Code: * 3	B	(Use a separate sheet for each program code)	9. Program Name: Operations

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	4	0	25%	25%	
Technicians	1	1	100%	100%	
Office/Clerical	10				
Construction by Trade (List Trade)					
Trade – Carpenter	0	0	0		0
Trade – Plumber	1	0	0		0
Trade – Painter	0	0	0		0
Trade	1	1	100%	100%	
Other					
Seasonal workers	29	24	100%		
Temporary Clerical	1		100%		
Engineer Temp	1	1	100%		
Pre-Apprenticeship Building Maintenance Repairer	0	0	0		0

Pre-Employment Training					
Total	47	26			

***Program Codes**

1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing

A = Development
B = Operation
C = Modernization

4 = Homeless Assistance

5 = HOME
6 = HOME-State Administered
7 = CDBG-Entitlement

8 = CDBG-State Administered

9 = Other CD Programs
10 = Other Housing Programs

form HUD-60002 (6/2001)

Part II: Contracts Awarded

1. Construction Contracts: %	
A. Total dollar amount of all contracts awarded on the project	\$2,470,759
B. Total dollar amount of contracts awarded to Section 3 businesses	\$490,000
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	20%
D. Total number of Section 3 businesses receiving contracts	2
2. Non-Construction Contracts:	
A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$18,000
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002 (2/2001)
Page 2 of 2.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any *public and Indian Housing programs* that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to *recipients of housing and community development assistance in excess of \$200,000* expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to *contracts and subcontracts in excess of \$100,000* awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to *employment and training*. The recipient has the option to determine numerical employment/ training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F) or the number of new hires utilized on the Section 3 covered project (columns B, C and F). Part II of the form relates to *contracting*, and Part III summarizes recipients' *efforts* to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. ***Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.*** HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.

9. Program Name: Enter the name of the HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories.

Professionals are defined as people who have special knowledge of an occupation (i.e., supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: Enter the number of Section 3 residents that were employed and trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of

7. Date Report Submitted: Enter the appropriate date.

contracts connected with this project/program awarded to Section 3 businesses. Item D: Enter the number of Section 3 businesses receiving awards.

Submit two (2) copies of this report to the to the HUD Field Office of Fair Housing and Equal Opportunity, Program Operations and Compliance Center Director, at the same time the performance report is submitted to the program office. For those programs where such a report is not required, the Section 3 report is submitted by January 10. Include only contracts executed during the reporting period specified in item 8. PHAs/Has are to report all contracts/subcontracts.

* The terms “low-income persons” and “very low-income persons” have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with

Part III: Summary of Efforts – Self explanatory adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary’s findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary’s findings that such variations are necessary because of unusually high or low family incomes.

form HUD-60002 (6/2001)
Page i

Attachment K Progress Report

The Rockford Housing Authority has made significant progress on our five-year and annual plans. Most of the computer hardware has been replaced in the offices and a new software program, called HAB, has been installed. We are in transition at this time and working diligently on getting optimal performance from the inventory module.

With capital funds, we are able to maintain and improve security in our developments with security cameras, security lights, and security guards. We are also able to supply outreach workers in our elderly/disabled high-rise buildings and our three disabled low-rise complexes through capital fund assistance.

Work on the risers at Brewington Oakes, building A is temporarily on hold. The apartments in the Park Terrace development have had substantial interior rehab and plumbing repairs completed with additional work planned in the near future.

Concrete work at Blackhawk-phase I is complete and Orton Keyes is complete. Roof replacement at Orton Keyes is completed also.

A number of scattered site properties primarily the 13's have had substantial rehab inside and out for mold remediation.

Elevator upgrades are underway in all of the high-rise and low-rise buildings. This work is scheduled over three (3) years and will address life safety issues as well as emergency generator power to all locations upon completion. Major work items planned for the upcoming year include elevator upgrades (year two) security cameras and security lights, ADA/Section 504 compliance with minor parking lot modifications, roof replacement and the demolition of Jane Addams family development.

The RHA will continue to strive to improve the quality of life for the residents and the community we serve.

Attachment L

Significant Amendments and Substantial Deviations/Modifications to the Plan

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence which meets one or more of the following criteria:

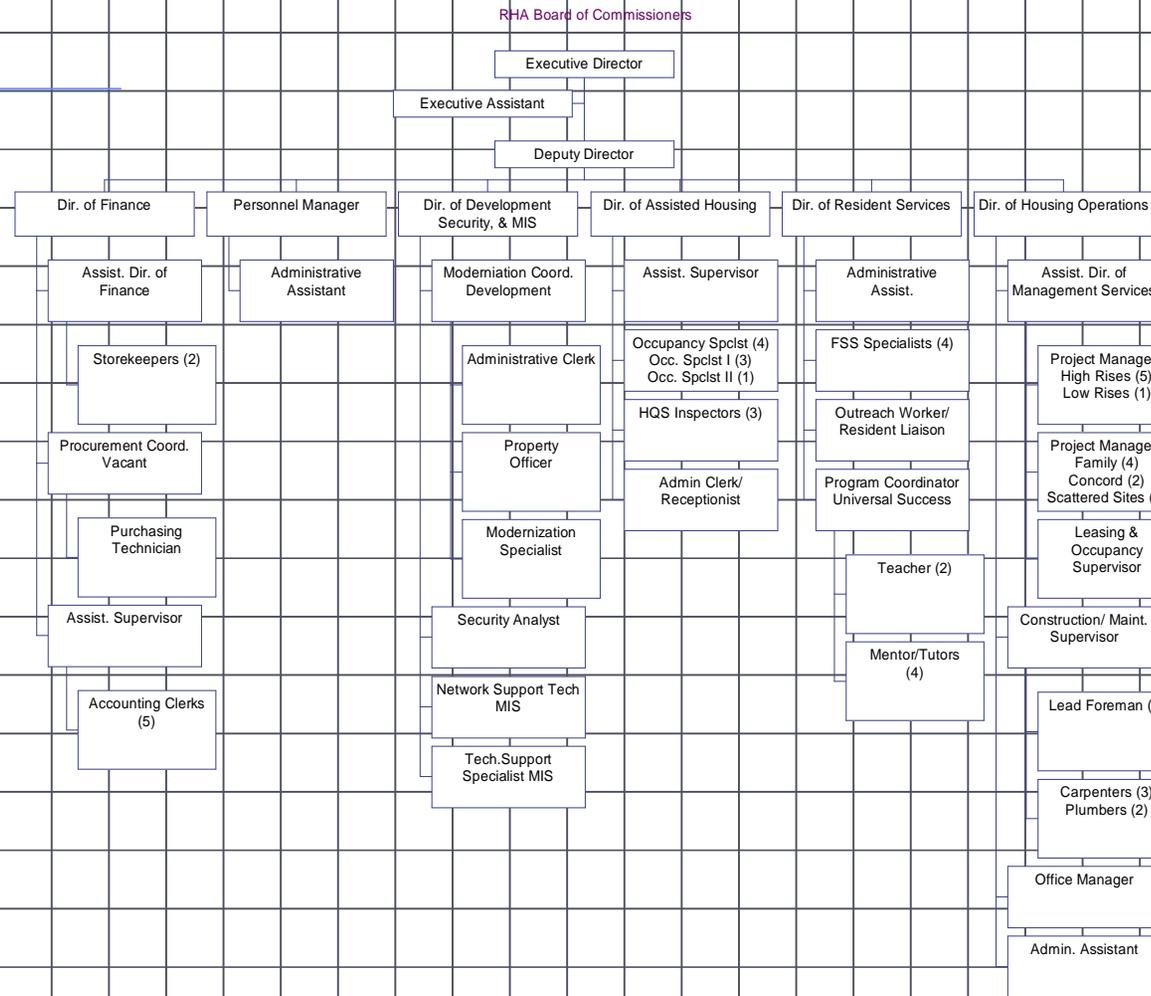
- Any changes to the organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

RHA 2004 Organization

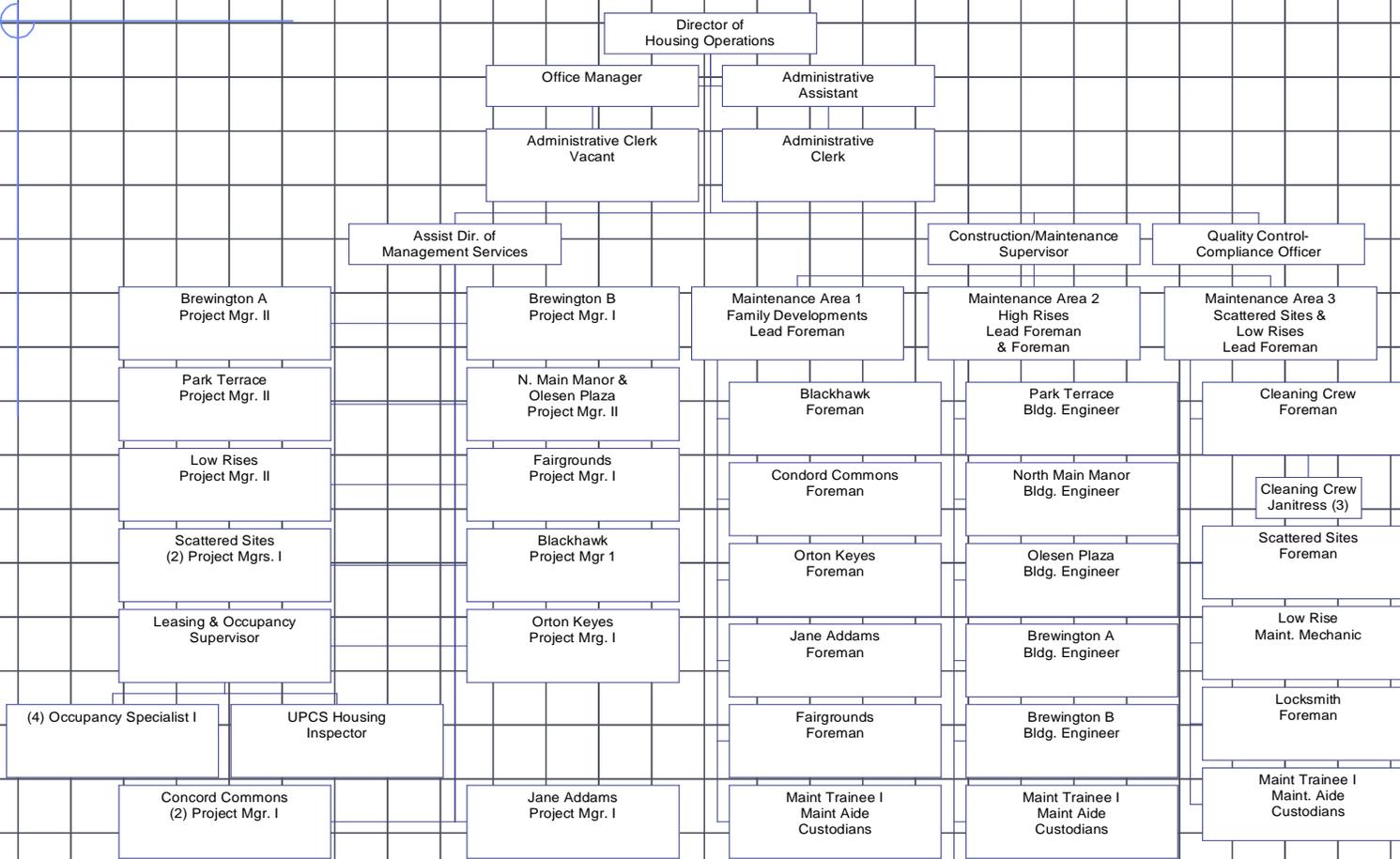
As of April 2004



RHA 2005 Organization

As of April 2005

Housing Operations Dept. - Management/Construction/Maintenance



NOTE: Maint Trainee(1)
Maint Aides (7), Custodians (9)
work in developments
authority-wide