

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 – 2009

Annual Plan for Fiscal Year 2005

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: The Housing Authority of the City and County of Denver

PHA Number: CO001

PHA Fiscal Year Beginning: (mm/yyyy) 01/ 2005

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS – 2005 - 2009

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)

- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:

- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Goal One: Manage DHA's existing Public Housing program in an efficient and effective manner.

Objectives:

1. DHA shall strive to maintain a high performer status under HUD's evaluation system.
2. DHA shall strive to make its public housing units more marketable to the community by December 31, 2005.
3. DHA shall strengthen its leadership and management skills and operational processes to maintain accountability, productivity under HUD's assessment system.

Goal Two: Provide a reasonably safe and secure environment, in DHA's public housing developments.

Objectives:

1. DHA shall strive to reduce crime in its developments by December 31, 2005.
2. DHA shall attempt to strengthen its relationship with the local office of the HUD Office of the Inspector General.
3. DHA shall strive to more aggressively screen applicants by December 31, 2005.

Goal Three: Expand the range and quality of housing choices available to participants in DHA's public housing and homeownership program, without depleting existing DHA assets.

Objectives:

1. DHA shall promote, coordinate and encourage the opportunity for long-term self-sufficiency of families by providing and/or brokering education, training, employment and other related services.
2. DHA shall increase its affordable housing stock by 5%.
3. DHA shall strive to increase the number of residents in its homeownership program by December 31, 2005.
4. DHA shall strive to integrate a portion of public housing into a mixed-income community.

Goal Four: Promote income deconcentration in family developments and surrounding neighborhoods, as well as racial and ethnic diversity to affirmatively further fair housing in DHA developments.

Objectives:

1. DHA shall utilize scattered site units interspersed in economically diverse neighborhoods.
2. DHA shall strive to maintain all covered developments within the Established Income Range (EIR).

3. DHA shall utilize various incentive programs at covered developments to encourage families to accept units at developments outside the EIR.

Goal Five: DHA will explore all possible avenues for restoration and rehabilitation of public housing units including, but not limited to, securitization of the Capital Fund, pilot projects and unique alternative financing.

Annual PHA Plan
PHA Fiscal Year 2005

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

See Attachment 7. (co001m01.doc)

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (See §2.22, page 45, Admissions and Occupancy Terms and Policies of the Housing Authority of the City and County of Denver, Attachment 1, co001a01.doc)
- FY 2005 Capital Fund Program Annual Statement (Attachment 3, co001c01.xls, co001d01.doc, co001e01.doc, co001f01.doc)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- Voluntary Conversion Initial Assessments (Attachment 8, co001n01.doc)

Optional Attachments:

- PHA Management Organizational Chart

- FY 2005 Capital Fund Program 5 Year Action Plan (Attachment 4, co001g01.xls, co001h01.doc, co001i01.doc, co001j01.doc)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
 Admissions and Occupancy Terms and Policies of the Housing Authority of the City and County of Denver (Attachment 1, co001a01.doc); The Housing Authority of the City and County of Denver Administrative Plan (Attachment 2, co001b01.doc); Resident Advisory Board (Attachment 5, co001k01.doc); Comments – Public Hearing (Attachment 6, co001l01.doc); Attainment of Goals (Attachment 7, co001m01.doc); Family Housing Pet Policy (Attachment 9, co001o01.doc); and Certifications (Attachment 10)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility,

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
		Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public	Annual Plan: Designation of

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	housing (Designated Housing Plans)	Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Income Analysis of Public Housing Covered Developments	Annual Plan – Deconcentration
X	Documentation – Voluntary Conversion Required Initial Assessments	Annual Plan - Conversions

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA*

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type*							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	38,019	5	N/A	N/A	N/A	N/A	N/A
Income >30% but <=50% of AMI	30,156	3-5	N/A	N/A	N/A	N/A	N/A
Income >50% but <80% of AMI	41,276	5	N/A	N/A	N/A	N/A	N/A
Elderly	25,053	3	N/A	N/A	N/A	N/A	N/A
Families with Disabilities	107,382	5	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity – Black Non-Hispanic	14,471	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity - Hispanic	36,877	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity - White Non-Hispanic	78,472	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity							

*This data was not changed for 2005. The City and County of Denver's Consolidated Plan and the Census information were not updated; consequently, DHA could update this section.

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2003
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information) U.S. Census Data Census 2000, Summary File

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

PUBLIC HOUSING

Housing Needs of Families on the Pre-Waiting List as of June 10, 2004			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing ¹		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1721		Not Available
Extremely low income <=30% AMI	1496	86.9%	
Very low income (>30% but <=50% AMI)	197	11.4%	
Low income (>50% but <80% AMI)	28	1.6%	
Families with children ¹	945	54.9%	

¹ DHA opens and closes its waiting lists several times during the year. This method allows DHA to administer the waiting list in a more fair and humane manner. Opening the waiting list for a short period of time shortens the length of time that an individual or family must wait for housing. We believe that long waiting lists create false hope among applicants. This system also eliminates the administrative burden of lengthy and unmanageable waiting lists that contain several thousand names

Housing Needs of Families on the Pre-Waiting List as of June 10, 2004			
Elderly families ²	253	14.7%	
Families with Disabilities ²	705	41.0%	
Other Families	27	1.6%	
Race/ethnicity	Not available	Not available	
Race/ethnicity	Not available	Not available	
Race/ethnicity	Not available	Not available	
Race/ethnicity	Not available	Not available	
Characteristics by Bedroom Size (Public Housing Only)	Not available		
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?)? It opens and closes several times a year.			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

and must constantly be purged because the information becomes dated. Therefore, the information contained in this table was developed from these interest cards. The waiting list information is presented in the table below.

² Note that families may be counted in more than one of these categories; therefore, the totals will be greater than 100%.

**Housing Needs of Families on the Waiting List
as of June 10, 2004**

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)
 If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	144		Not available
Extremely low income <=30% AMI	118	81.9%	
Very low income (>30% but <=50% AMI)	24	16.7%	
Low income (>50% but <80% AMI)	2	1.4%	
Families with children ²	90	62.5%	
Elderly families ²	18	12.5%	
Families with Disabilities ²	49	34.0%	
Other Families	1	0.7%	
Race/ethnicity – American Indian	2	1.4%	
Race/ethnicity Asian	7	4.9%	
Race/ethnicity – Black	63	43.8%	
Race/ethnicity – White	14	9.7%	
Race/ethnicity – Hispanic	53	36.8%	
Hawaiian and Pacific Islander	5	3.5%	
Characteristics by Bedroom Size (Public Housing Only)	Number of Units	% of Total Units	Annual Turnover

² Note that families may be counted in more than one of these categories; therefore, the totals will be greater than 100%.

Housing Needs of Families on the Waiting List as of June 10, 2004			
0 BR	298	7.9	51
1 BR	975	25.7%	99
2 BR	1143	30.2%	214
3 BR	962	25.4%	175
4 BR	384	10.1%	64
5 BR	27	0.7%	2
5+ BR	27	0.7%	0
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? It opens and closes several times a year. ³			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

CURTIS PARK HOPE VI

Housing Needs of Families on the Pre-Waiting List as of June 16, 2004			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing ¹			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	321		
Extremely low income <=30% AMI	189	58.9%	
Very low income (>30% but <=50%	68	21.2%	

³ DHA opens and closes its waiting lists several times during the year. This method allows DHA to administer the waiting list in a more fair and humane manner. Opening the waiting list for a short period of time shortens the length of time that an individual or family must wait for housing. We believe that long waiting lists create false hope among applicants. This system also eliminates the administrative burden of lengthy and unmanageable waiting lists that contain several thousand names and must constantly be purged because the information becomes dated. Therefore, the information contained in this table was developed from these interest cards. The waiting list information is presented in the table above.

Housing Needs of Families on the Pre-Waiting List as of June 16, 2004			
AMI)			
Low income (>50% but <80% AMI)	64	19.9%	
Families with children	221	68%	
Elderly families	55	17%	
Families with Disabilities	39	12.1%	
Other Families	282	87.9%	
Race/ethnicity	189	59%	
Race/ethnicity	32	10%	
Race/ethnicity	78	24%	
Race/ethnicity	22	7%	
Characteristics by Bedroom Size (Public Housing Only)	Number of Units	% of Total Units	Annual Turnover
0 BR	0	0	0
1BR	42	31.1%	14
2 BR	68	50.4%	20
3 BR	25	18.5%	7
4 BR	0	0.0	0
5 BR	0	0.0	0
5+ BR	N/A		
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 1			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

SECTION 8

Housing Needs of Families on the Waiting List			
Section 8 Lottery Pool			
as of June 11, 2004			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	15,640		Not available
Extremely low income <=30% AMI	12,472	79.7%	
Very low income (>30% but <=50% AMI)	2,844	18.2%	
Low income (>50% but <80% AMI)	324	2.1%	
Families with children	9,920	63.4%	
Elderly families	1,112	7.1%	
Families with Disabilities	2,756	17.6%	
Other families	3,162	20.2%	
Race/ethnicity	Not available	Not available	
Race/ethnicity	Not available	Not available	
Race/ethnicity	Not available	Not available	
Race/ethnicity	Not available	Not available	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	Not available	Not available	
2 BR	Not available	Not available	
3 BR	Not available	Not available	
4 BR	Not available	Not available	
5 BR	Not available	Not available	
5+ BR	Not available	Not available	

**Housing Needs of Families on the Waiting List
Section 8 Lottery Pool
as of June 11, 2004**

Is the waiting list closed (select one)? No Yes Section 8 conducts a lottery at least once during the year. Lottery interest cards are submitted by interested families. A random drawing is conducted and families whose lottery interest card is drawn are given the opportunity to complete an application.

If yes:

How long has it been closed (# of months)? **Opens once a year.**

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available

Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants		
a) Public Housing Operating Fund	\$10,400,000	Public Housing eligible purposes
b) Public Housing Capital Fund and Replacement Housing Factor Funding	\$8,000,000	Capital Fund eligible purposes
c) HOPE VI Revitalization	\$13,000,000	HOPE VI Grant eligible purpose
d) HOPE VI Demolition	\$0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$52,000,000	Section 8 eligible expenses
f) Public Housing Drug Elimination Program	\$0	
g) Resident Opportunity and Self-Sufficiency Grants	\$1,000,000	Public Housing Supportive Services
h) Community Development Block Grant	\$1,000,000	CDBG, eligible activities
i) HOME	\$1,800,000	HOME eligible activities
Other Federal Grants (list below)		
Service Coordinator Grant	\$35,000	Coordinate services for elderly and disabled.
HOPE VI Neighborhood Networks Program Grant	\$200,000	HOPE VI program services for HOPE VI residents
Shelter Care Grant	\$150,000	Administer HAP Payments.
2. Prior Year Federal Grants (unobligated funds only) (list below)	All prior year grants are committed.	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
3. Public Housing Dwelling Rental Income (includes approximately \$100,000 representing 50% of increased dwelling rental income which will be used as per provisions in 24 CFR 990.116)	\$9,000,000	Public Housing operations, safety/security and supportive services.
4. Other income (list below)		
Interest Income	\$200,000	Public Housing – operations, safety/security, supportive services; and Section 8 tenant-based assistance and supportive services or other.
Excess utilities income	\$38,000	
Non-dwelling rent	\$100,000	
Other (late charges, vending machines, service charges, and program income)	\$300,000	
Other Mixed-Finance Development Sources (projected for fiscal year 2004)	\$20,000,000	Public Housing HOPE VI Mixed-Income Revitalization purposes
5. Non-federal sources (list below)		
Youth Opportunity Grant (Mayor’s Office of Work and Development)	\$300,000	Provide opportunities to public housing youth
6. Securitized Capital Funds	\$15,000,000	Public housing purposes
Total Resources	\$132,523,000	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) **Verification of eligibility is determined at the time of application.**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year?

One site-based waiting list will be utilized for the three rental phases known as the Villages at Curtis Park, a HOPE VI mixed-income development, which is owned and managed by a private entity. This site-based waiting list will attract a more diverse population with a broader range of incomes to the new HOPE VI community. Consequently, more working families may apply to and move into this mixed-income community. Adoption of a site-based waiting list would not violate any court order, settlement agreement or be inconsistent with a pending HUD complaint. DHA will comply with all the requirements set forth in 24 CFR §903.7(b)(2).

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists? **Community-wide list and site-based list.**

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

**Private Manager of Villages at Curtis Park
IMS Management Services LLC
Lincoln Properties
2855 Arapahoe
Denver, CO 80205**

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

Life-threatening situation.

Employment, education, or training is dependent upon the need to be closer to a specific location.

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)

- Households that contribute to meeting income requirements (targeting)
 - Those previously enrolled in educational, training, or upward mobility programs
 - Victims of reprisals or hate crimes
 - Other preference(s) (list below)
- DHA will give equal preference to elderly families and disabled families in determining priority for mixed-population projects.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

Pursuant to Notice PIH 2001-4 issued January 19, 2001, these questions have been replaced. DHA's response to the new questions can be found in Section 18D.

- a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists
If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below) **Damage claim information, eviction information and any other information deemed appropriate.**

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance?
(select all that apply)

- PHA main administrative office
 Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: **Extensions may be approved as a reasonable accommodation for persons with disabilities, which must be approved by the 504 Coordinator. In order for the client to request a reasonable accommodation, the client must follow the Reasonable Accommodation in Housing Guidelines. Upon the client's request, Section 8 will provide the Reasonable Accommodation forms to the client.**

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Local Selection Preferences for Section 8 Program

- (a) Chronically mentally ill as defined in *Arevalo, et al. v. City and County of Denver*.
- (b) Division of Family Employment Resources
- (c) Colorado Coalition for the Homeless
- (d) Atlantis Program
- (e) Colorado Health Network

Section 8 local selection preferences shall have the order of priority listed above. Irrespective of these local selection preferences, tenants entitled to the benefits provided by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. 4201-4655) ("Relocation Act") shall have priority over any applicant for placement in the Section 8 program.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - (1) Chronically mentally ill as defined in *Arevalo, et al. v. City and County of Denver*.
 - (2) Division of Family Employment Resources
 - (3) Colorado Coalition for the Homeless
 - (4) Atlantis Program
 - (5) Colorado Health Network

Section 8 local selection preferences shall have the order of priority listed above. Irrespective of these local selection preferences, tenants entitled to the benefits provided by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. 4201-4655) ("Relocation Act") shall have priority over any applicant for placement in the Section 8 program.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
 - Drawing (lottery) or other random choice technique
- DHA does not have a waiting list for the section 8 program. Applicants are selected by lottery.

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0⁴
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)
DHA has adopted the following permissive deductions:

1. Any child support payment made by a member of the family for the support and maintenance of any child who does not reside in the household.

⁴ Curtis Park Homes, a HOPE VI mixed-income development, which is owned by a private entity, has set the minimum rent at \$25.00 for public housing residents.

2. Amount received by a person while employed as a DHA Resident Caretaker which will be subject to the 10% Rent Rule.

3. Amount of income received by all family members whose head-of-household is employed as a Resident Caretaker will be subject to the 10% Rent Rule. This income is deducted during the term of the Resident Caretaker Contract.

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \$200.00/month.
- Other (list below)
 - Any change in family composition.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR

- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard?
(select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

DHA IS A HIGH PERFORMER AND DOES NOT HAVE TO COMPLETE THIS SECTION

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

?? List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)

- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6.
Section 8-Only PHAs are exempt from sub-component 6A.

DHA IS A HIGH PERFORMER AND DOES NOT HAVE TO COMPLETE THIS SECTION

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) co001c01.xls, co001d01.doc, co001e01.doc, co001f01.doc/Attachment 3,

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) co001g01.xls, co001h01.doc, co001i01.doc, co001j01.doc /Attachment 4

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Curtis Park and Arapahoe Courts
2. Development (project) number: CO06P001009 and CO06P001004
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

1. Development name: Arrowhead Apts. and Thomas Bean Towers
2. Development (project) number: CO06P001031 and CO06P001029
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:
Curtis Park Homes – HOPE VI Mixed-Finance Development

Arrowhead Apts. and Thomas Bean Towers – HOPE VI – Mixed-Finance Development

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:
Replacement public housing.

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description⁵
1a. Development name: Curtis Park Homes
1b. Development (project) number: CO06P001009
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: 03/06/99
5. Number of units affected: 222
6. Coverage of action (select one) <input type="checkbox"/> Part of the development

⁵ Dates are formatted as DD/MM/YY

<input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 01/03/99 b. Projected end date of activity: 31/12/04

Demolition/Disposition Activity Description⁶	
1a. Development name:	Arapahoe Courts
1b. Development (project) number:	CO06P001004
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	03/06/99
5. Number of units affected:	64
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 01/03/99 b. Projected end date of activity: 31/12/04

Demolition/Disposition Activity Description	
1a. Development name:	Stapleton Homes Site – vacant land at 51st & Broadway
1b. Development (project) number:	CO06P001013
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	30/01/01
5. Number of units affected:	0
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 28/02/01 b. Projected end date of activity: 30/12/03

⁶ Dates are formatted as DD/MM/YY

Demolition/Disposition Activity Description⁷	
1a. Development name:	Strip of vacant land at 944 S. Sheridan Blvd.
1b. Development (project) number:	CO06P001050
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	23/07/03
5. Number of units affected:	0
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development (single site) <input type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 31/12/02 b. Projected end date of activity: 30/09/03

Demolition/Disposition Activity Description	
1a. Development name:	Vacant land at 46th and Pecos
1b. Development (project) number:	CO06P001007
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	31/12/04
5. Number of units affected:	0
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development (single site) <input type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 31/03/05 b. Projected end date of activity: 30/09/05

⁷ Dates are formatted as DD/MM/YY

Demolition/Disposition Activity Description	
1a. Development name:	Vacant land at 2690 W. Asbury
1b. Development (project) number:	CO06P001026
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	31/12/04
5. Number of units affected:	0
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development (single site) <input type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 30/06/05 b. Projected end date of activity: 30/06/06

Demolition/Disposition Activity Description⁸	
1a. Development name:	Thomas Bean Towers
1b. Development (project) number:	CO06P001029
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	30/11/03
5. Number of units affected:	200
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 31/03/04 b. Projected end date of activity: 30/06/05

⁸ Dates are formatted as DD/MM/YY

Demolition/Disposition Activity Description⁹	
1a. Development name:	Arrowhead Homes
1b. Development (project) number:	CO06P001031
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input checked="" type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Disposition pending Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	06/03/02
5. Number of units affected:	50
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 31/03/03 b. Projected end date of activity: 31/12/07

Demolition/Disposition Activity Description¹⁰	
1a. Development name:	3062 West 37 th Avenue
1b. Development (project) number:	CO99-P001-043
2. Activity type:	Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Disposition pending Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	31/12/05
5. Number of units affected:	0
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 01/11/04 b. Projected end date of activity: 31/10/05

⁹ Dates are formatted as DD/MM/YY

¹⁰ Dates are formatted as DD/MM/YY

Demolition/Disposition Activity Description¹¹	
1a. Development name: 710 West 4 th Avenue	
1b. Development (project) number: CO06-P001-041	
2. Activity type: Demolition <input type="checkbox"/>	
Disposition <input checked="" type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	Disposition pending
Planned application <input checked="" type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: 31/12/05	
5. Number of units affected: 0	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input checked="" type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity: 01/11/04	
b. Projected end date of activity: 31/10/05	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

¹¹ Dates are formatted as DD/MM/YY

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.79 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937. See Attachment 8 (co001n01.doc) Voluntary Conversion Initial Assessments.

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	
<input type="checkbox"/>	HOPE I
<input type="checkbox"/>	5(h)
<input type="checkbox"/>	Turnkey III
<input type="checkbox"/>	Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	

<input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

DHA IS A HIGH PERFORMER AND DOES NOT HAVE TO COMPLETE THIS SECTION

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases

- Police regularly meet with the PHA management and residents
 - Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
 - Other activities (list below)
2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment.

14. PET POLICY

[24 CFR Part 903.7 9 (n)]

See Attachment 9, co001o01.doc.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

DHA IS A HIGH PERFORMER AND DOES NOT HAVE TO COMPLETE THIS SECTION

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
 - Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City and County of Denver, Colorado
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

1. Substantial Deviation of Annual Plan from the 5-Year Plan
Substantial deviation is defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.
2. Significant Amendment or Modification.
Significant amendment or modification is defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.
3. Resident Membership of the PHA Governing Board
All Commissioners for the Housing Authority are appointed by the Mayor of the City and County of Denver. The Resident Commissioner is Mary Brockman. Her term of office is July 20, 2001 to July 20, 2004.
4. Component 3, (6) Deconcentration and Income Mixing
 - a. Yes No: does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
 - b. Yes No: Do any of these covered developments have average income above or below 85% to 115% of the average incomes of all such

developments? If no, this section is completed. If yes, list these developments as follows:

Deconcentration Policy for Covered Developments

Deconcentration policy for Covered Developments			
Developments Name	Number of Units*	Explanation (if any) [see step 4 at 903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at 903.2(c)(1)(v)]
Developments Above EIR			
CO001019	97	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001022	97	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001023	89	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001024	99	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001025	87	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001026	35	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001027	45	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	

Deconcentration policy for Covered Developments			
Developments Name	Number of Units*	Explanation (if any) [see step 4 at 903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at 903.2(c)(1)(v)]
CO001028	55	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001039	35	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001041	24	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001042	15	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001043	34	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001044	14	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001049	49	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	

Deconcentration policy for Covered Developments			
Developments Name	Number of Units*	Explanation (if any) [see step 4 at 903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at 903.2(c)(1)(v)]
CO001050	77	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
CO001051	122	Scattered site housing developments promote deconcentration. Their location in various census tracts and quadrants of the City promotes income deconcentration. See Goal #4 of 5-Year Plan above.	
Developments Below EIR			
Platte Valley Homes CO001003	61		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.
Westridge Homes CO001006	172		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.
Quigg Newton Homes CO001007	372		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.
South Lincoln Homes CO001010	251		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.
Westwood Homes CO001011	174		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.

Deconcentration policy for Covered Developments			
Developments Name	Number of Units*	Explanation (if any) [see step 4 at 903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at 903.2(c)(1)(v)]
Sun Valley Homes CO001008	159		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.
Sun Valley Annex CO001012	154		DHA will follow its Deconcentration Strategies to promote deconcentration of poverty in this development. See Admissions and Occupancy Terms and Policies, Section 2.25.

***Number of units represents occupied units as July 16, 2004.**

**Denver Housing Authority
RASS Survey
2002
Follow-up Plan**

2002 RASS Survey Results

Survey Section	Score	Date to be Completed in Annual Plan
Maintenance & Repair	89.3%	Not Required
Communication	74.3%	10/7/2003
Safety	79.1%	Not Required
Services	92.7%	Not Required
Neighborhood Appearance	73.3%	10/7/2003

Communication

Denver Housing Authority (DHA) plans to concentrate on improving communication between DHA management staff and residents during 2003-2004. Seventy-four percent (74.3%) of those residents surveyed felt that DHA management was providing appropriate information in a timely and courteous manner. Denver Housing Authority would like to see this score improve. In order to increase communication between DHA management and residents, we are proposing the following actions are taken:

Action	Date of Completion
Provide training and/or written material to managers How to Improve Communication Skills	Ongoing
Have management representative attend Local Resident Council Meetings at least twice per year to hear resident concerns	Ongoing
Work with the maintenance department to provide adequate notice to tenants regarding maintenance and repair	Ongoing
Work specifically with managers at developments who scored under 75% in the communication category to create a development specific improvement plan	8/1/2003

Neighborhood Appearance

As it continues to “change the face of public housing” DHA works closely with residents to improve neighborhood appearances around public housing developments. With the increase of knowledge and participation in crime prevention programs during 2003, neighborhoods surrounding DHA developments will ultimately be affected for the positive. In addition, DHA is proposing the following actions be taken:

Action	Date of Completion
Create multilingual brochure for DHA tenants which lists city neighborhood services such as abandoned car reporting and graffiti removal.	6/30/02
Educate DHA management staff on city services available to residents to positively increase neighborhood appearance	Ongoing
Educate DHA maintenance staff on resident issues regarding neighborhood appearance	Ongoing

Attachments

Use this section to provide any additional attachments referenced in the Plans.

- Attachment 1 – Admissions and Occupancy Terms and Policies of the Housing Authority of the City and County of Denver – co001a01.doc
- Attachment 2 – Administrative Plan of the Housing Authority of the City and County of Denver, Colorado – co001b01.doc
- Attachment 3 – Annual Statement/Performance and Evaluation Report Comprehensive Grant Program (CGP) – co001c01.xls, co001d01.doc, co001e01.doc, co001f01.doc
- Attachment 4 – Five-Year Action Plan – co001g01.xls, co001h01.doc, co001i01.doc, co001j01.doc
- Attachment 5 – Resident Advisory Board Members – co001k01.doc
- Attachment 6 – Public Comments – co001l01.doc
- Attachment 7 – Attainment of Goals – co001m01.doc
- Attachment 8 – Voluntary Conversion Initial Assessments – co001n01.doc
- Attachment 9 – Family Housing Pet Policy – co001o01.doc
- Attachment 10 – Certifications

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Expires: 03/31/2002

**ADMISSIONS
AND
OCCUPANCY TERMS AND POLICIES
OF
THE HOUSING AUTHORITY OF THE CITY AND COUNTY OF
DENVER, COLORADO**

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ADMISSIONS AND OCCUPANCY DEFINITIONS

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**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: Grant Number: Prepared June 9, 2004	Federal FY of Grant: 2003 Grant Number: CO06P00150203 Prepared June 9, 2004	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
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SECTION 1
ADMISSIONS AND OCCUPANCY DEFINITIONS

ADMISSIONS AND OCCUPANCY DEFINITIONS

This section contains definitions of terms used in connection with admission and continued occupancy of the conventional public housing program and Section 8 housing program operated by the Housing Authority of the City and County of Denver (“DHA”).

1.1 1937 Act

1937 Act means the United States Housing Act (42 U.S.C. 1437 *et seq.*).

1.2 Adjusted Income

Adjusted income means annual income (as determined by DHA), of the members of the family residing or intending to reside in the dwelling unit, after making the following deductions:

Mandatory Deductions

- (a) \$480 for each Dependent (see definition of Dependent);
- (b) \$400 for any elderly family or disabled family;
- (c) The sum of the following, to the extent the sum exceeds three percent of annual income: (i) unreimbursed medical expenses of any elderly or disabled family; and (ii) unreimbursed reasonable attendant care and auxiliary apparatus expenses for each member of the family who is a person with disabilities, to the extent necessary to enable any member of the family (including the member who is a person with disabilities) to be employed, but this allowance may not exceed the earned income received by family members who are 18 years of age or older who are able to work because of such attendant care or auxiliary apparatus; and
- (d) Any reasonable childcare expenses necessary to enable a member of the family to be employed or to further his or her education.

For public housing only, a PHA may adopt a written policy setting forth additional deductions from annual income. DHA has adopted the following deductions:

1. Any child support payment made by a member of the family for the support and maintenance of any child who does not reside in the household.
2. Amount received by a person while employed as a DHA Resident Caretaker which exceeds the \$200 stipend permitted by 24 CFR Section 5.609. This amount will be subject to the 10% Rent Rule.
3. Amount of income received by all family members whose head-of-household is employed as a Resident Caretaker will be subject to the 10% Rent Rule. This income is deducted during the term of the Resident Caretaker Contract.

1.3 Annual Income

- (a) Annual income means all amounts, monetary or not, which:
- (1) Go to, or on behalf of, the family head or spouse (even if temporarily absent) or to any other family member; or
 - (2) Are anticipated to be received from a source outside the family during the 12-month period following admission or annual reexamination effective date; and
 - (3) Which are not specifically excluded in paragraph (c) of this section.
 - (4) Annual income also means amounts derived (during the 12-month period) from assets to which any member of the family has access.
- (b) Annual income includes, but is not limited to:
- (1) The full amount, before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services;
 - (2) The net income from the operation of a business or profession. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation of assets used in a business or profession may be deducted, based on straight-line depreciation, as provided in Internal Revenue Service regulations. Any withdrawal of cash or assets from the operation of a business or profession will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested in the operation by the family;
 - (3) Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation is permitted only as authorized in paragraph (b)(2) of this section. Any withdrawal of cash or assets from an investment will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested by the family. Where the family has net family assets in excess of \$5,000, annual income shall include the greater of the actual income derived from all net family assets or a percentage of the value of such assets based on the current passbook savings rate, as determined by HUD;
 - (4) The full amount of periodic amounts received from Social Security, annuities, insurance policies, retirement funds, pensions, disability or death benefits, and other similar types of periodic receipts, including a lump-sum amount or prospective monthly amounts for the delayed start of

a periodic amount (except as provided in paragraph (c)(14) of this section);

- (5) Payments in lieu of earnings, such as unemployment and disability compensation, worker's compensation and severance pay (except as provided in paragraph (c)(3) of this section);
- (6) *Welfare assistance.* If the welfare assistance payment includes an amount specifically designated for shelter and utilities that is subject to adjustment by the welfare assistance agency in accordance with the actual cost of shelter and utilities, the amount of welfare assistance income to be included as income shall consist of:
 - (i) The amount of the allowance or grant exclusive of the amount specifically designated for shelter or utilities; plus
 - (ii) The maximum amount that the welfare assistance agency could in fact allow the family for shelter and utilities. If the family's welfare assistance is ratably reduced from the standard of need by applying a percentage, the amount calculated under this paragraph (b)(6)(ii) shall be the amount resulting from one application of the percentage.
- (7) Periodic and determinable allowances, such as alimony and child support payments, and regular contributions or gifts received from organizations or from persons not residing in the dwelling;
- (8) All regular pay, special pay and allowances of a member of the Armed Forces (except as provided in paragraph (c)(7) of this section).

(c) Annual income does not include the following:

- (1) Income from employment of children (including foster children) under the age of 18 years;
- (2) Payments received for the care of foster children or foster adults (usually persons with disabilities, unrelated to the tenant family, who are unable to live alone);
- (3) Lump-sum additions to family assets, such as inheritances, insurance payments (including payments under health and accident insurance and worker's compensation), capital gains and settlement for personal or property losses (except as provided in paragraph (b)(5) of this section);
- (4) Amounts received by the family that are specifically for, or in reimbursement of, the cost of medical expenses for any family member;

- (5) Income of a Live-In-Aide, as defined in 24 C.F.R. § 5.403;
- (6) The full amount of student financial assistance paid directly to the student or to the educational institution;
- (7) The special pay to a family member serving in the Armed Forces who is exposed to hostile fire;
- (8)
 - (i) Amounts received under training programs funded by HUD;
 - (ii) Amounts received by a person with a disability that are disregarded for a limited time for purposes of Supplemental Security Income eligibility and benefits because they are set aside for use under a Plan to Attain Self-Sufficiency (PASS);
 - (iii) Amounts received by a participant in other publicly assisted programs which are specifically for or in reimbursement of out-of-pocket expenses incurred (special equipment, clothing, transportation, child care, etc.) and which are made solely to allow participation in a specific program;
 - (iv) Amounts received under a tenant service stipend. A tenant service stipend is a modest amount (not to exceed \$200 per month) received by a tenant for performing a service for the PHA or owner, on a part-time basis, that enhances the quality of life in the development. Such services may include, but are not limited to, fire patrol, hall monitoring, lawn maintenance, and tenant initiatives coordination, and serving on the PHA's governing board. No tenant may receive more than one such stipend during the same period of time;
 - (v) Incremental earnings and benefits resulting to any family member from participation in qualifying State or local employment training programs (including training programs not affiliated with a local government) and training of a family member as tenant management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for the period during which the family member participates in the employment training program;
- (9) Temporary, nonrecurring or sporadic income (including gifts);
- (10) Reparation payments paid by a foreign government pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era;
- (11) Earnings in excess of \$480 for each full-time student 18 years old or older (excluding the head of household and spouse);
- (12) Adoption assistance payments in excess of \$480 per adopted child;

- (13) Deferred periodic amounts from supplemental security income and social security benefits that are received in a lump sum amount or in prospective monthly amounts;
- (14) Amounts received by the family in the form of refunds or rebates under State or local law for property taxes paid on the dwelling unit;
- (15) Amounts paid by a State agency to a family with a member who has a developmental disability and is living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home;
or
- (16) Amounts specifically excluded by any other Federal statute from consideration as income for purposes of determining eligibility or benefits under a category of assistance programs that includes assistance under any program to which the exclusions set forth in 24 C.F.R. 5.609(c) apply. A notice will be published in the Federal Register and distributed to public housing authorities and housing owners identifying the benefits that qualify for this exclusion. Updates will be published and distributed when necessary.

- (d) **Annualization of Income**
If it is not feasible to anticipate a level of income over a 12-month period, (e.g., seasonal or cyclic income) or the PHA believes that the past income is the best available indicator of expected future income, the PHA may annualize the income anticipated for a shorter period, subject to a redetermination at the end of the shorter period.

1.4 Applicant or Applicant Family
A person or a family that has applied for housing assistance.

1.5 Ceiling Rent
See Choice of Rent.

1.6 Child
Means a member of the family other than the family head or spouse who is under 18 years of age.

1.7 Child Care Expenses
Amounts anticipated to be paid by the family for the care of children under 13 years of age during the period for which annual income is computed, but only where such care is necessary to enable a family member to actively seek employment, be gainfully employed, or to further his or her education and only to the extent such amounts are not reimbursed. The amount deducted shall reflect reasonable charges for childcare. In the case of childcare necessary to permit employment, the amount deducted shall not exceed the amount of employment income that is included in annual income.

1.8 Choice of Rent

(a) Choice of Rent means:

(1) Annual choice by family. Once a year, DHA must give each family the opportunity to choose between the two methods for determining the amount of tenant rent payable monthly by the family. The family may choose to pay as tenant rent either a flat rent as determined in accordance with paragraph (b) of this section, or an income-based rent as determined in accordance with paragraph (c) of this section. Except for financial hardship cases as provided in paragraph (d) of this section, the family may not be offered this choice more than once a year.

(2) Relation to minimum rent. Regardless of whether the family chooses to pay a flat rent or income-based rent, the family must pay at least the minimum rent as determined in accordance with §5.630 of this title.

(b) Flat rent.

(1) The flat rent is based on the market rent charged for comparable units in the private unassisted rental market. It is equal to the estimated rent for which DHA could promptly lease the public housing unit after preparation for occupancy.

(2) DHA must use a reasonable method to determine the flat rent for a unit. To determine the flat rent, DHA must consider:

- (i) The location, quality, size, unit type and age of the unit; and
- (ii) Any amenities, housing services, maintenance and utilities provided by DHA.

(3) The flat rent is designed to encourage self-sufficiency and to avoid creating disincentives for continued residency by families who are attempting to become economically self-sufficient.

(4) If the family chooses to pay a flat rent, DHA does not pay any utility reimbursement.

(5) DHA must maintain records that document the method used to determine flat rents, and also know how flat rents are determined by DHA in accordance with this method, and document flat rents offered to families under this method.

(c) Income-based rent. An income-based rent is a tenant rent based on the family's income and DHA's rent policies for determination of such rents.

(d) Ceiling rent.

(1) Instead of using flat rents, the PHA may retain ceiling rents that were authorized and established before October 1, 1999, for a period of three years from October 1, 1999. After this three year period, the PHA must adjust such ceiling rents to the level required for flat rents under this section; however, ceiling rents are subject to paragraph (a) of this section, the annual reexamination requirements, and the limitation that the tenant rent plus any utility allowance may not exceed the total tenant payment.

1.9 Citizen

Means a citizen or national of the United States.

1.10 Community Service

- 1) Community service means the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, or enhance tenant self-sufficiency, or increase tenant self-responsibility in the community. Community service is not employment and may not include political activities.

1.11 Consent Form

Any consent form approved by HUD to be signed by assistance applicants and participants for the purpose of obtaining income information from employers and SWICAs; return information from the Social Security Administration (including wages, net earnings from self-employment, and payments of retirement income); and return information for unearned income from the Internal Revenue Service. The consent form may expire after a certain time and may authorize the collection of other information from assistance applicants or participants to determine eligibility or level of benefits.

1.12 Covered Families

As applied to welfare benefit reduction, Covered Families means families who receive welfare assistance or other public assistance benefits (“welfare benefits”) from a State or other public agency (“welfare agency”) under a program for which Federal, State or local law requires that a member of the family must participate in an economic self-sufficiency program as a condition for such assistance.

1.13 Dependent

A member of the family (except foster children and foster adults) other than the family head or spouse, who is under 18 years of age, or is a person with a disability, or is a full-time student.

1.14 Disability Assistance Expenses

Reasonable expenses that are anticipated, during the period for which annual income is computed, for attendant care and auxiliary apparatus for a disabled family member and that are necessary to enable a family member (including the disabled member) to be employed, provided that the expenses are neither paid to a member of the family nor reimbursed by an outside source.

1.15 Disabled Family

A family whose head, spouse, or sole member is a person with disabilities; or two or more persons with disabilities living together; or one or more persons with disabilities living with one or more Live-In-Aides.

As applied to Designated Housing, Disabled Family means a family whose head or spouse or sole member is a person with disabilities. The term “disabled family” may include two or more persons with disabilities living together, and one or more persons with disabilities living with one or more persons who are determined to be essential to the care or well-being of the person or persons with disabilities. A disabled family may include persons with disabilities who are elderly.

1.16 Displaced Family

A family in which each member, or whose sole member, is a person displaced by governmental action, or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal disaster relief laws.

1.17 Displaced Person

A person displaced by governmental action, or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal disaster relief laws.

1.18 Designated Family

Means the category of family for whom the project is designated (e.g., elderly family in a project designated for elderly families).

1.19 Designated Housing

Means a project (or projects), or a portion of a project (or projects) (as these terms are defined in 24 C.F.R. Part 945), that has been designated in accordance with the requirements of 24 C.F.R. Part 945.

1.20 Economic Self-Sufficiency Program

1) Economic self-sufficiency means any program designed to encourage, assist, train, or facilitate the economic independence of HUD-assisted families or to provide work for such families. These programs include programs for job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeship, any program necessary to ready a participant for work (including a substance abuse or mental health treatment program) or other work activities.

1.21 Elderly Family

A family whose head, spouse, or sole member is a person who is at least 62 years of age; or two or more persons who are at least 62 years of age living together; or one or more persons who are at least 62 years of age living with one or more Live-In-Aides.

1.22 Elderly Person

A person who is at least 62 years of age.

1.23 Eligible Families

Low-income families who are eligible for admission to the public housing program.

1.24 Extremely Low Income

Extremely low-income family means a family whose annual income does not exceed 30 percent of the median income for the area, as determined by HUD, with adjustments for smaller and larger families except that HUD may establish income ceilings higher or lower than 30 percent of the median income for the area if HUD finds that such variations are necessary because of unusually high or low family incomes.

1.25 Family

Family is defined as:

- (a) A family with or without children (the temporary, 90 days or less, absence of a child from the home due to placement in foster care shall not be considered in determining family composition and family size);
- (b) An elderly family;
- (c) A near-elderly family;
- (d) A disabled family;
- (e) A displaced family;
- (f) The remaining member of a tenant family who meets all other eligibility criteria;
- (g) A single person who is not an elderly or displaced person, or a person with disabilities, or the remaining member of a tenant family; and
- (h) Two or more persons sharing residency, who are over eighteen (18) years of age and whose income and resources are available to meet the family's needs and who are either related by blood, marriage or operation of law, or who evidenced a stable family relationship over a period of six (6) months.

Evidence of "stable family relationship" may include any of the following: birth certificates of the children, joint tax return, prior lease (held jointly), joint bank accounts, insurance policies, prior joint credit history, or equivalent documentation as determined by DHA.

1.26 Family Members

All members of the household other than Live-In-Aides, foster children, and foster adults. All family members permanently reside in the unit, though they may be temporarily absent. All family members are listed on the lease.

1.27 Flat Rent

See Choice of Rent.

1.28 Full-time Student

A person who is attending school or vocational training on a full-time basis.

1.29 Head of Household

Means the adult member of the family who is the head of the household for purposes of determining income eligibility and rent.

1.30 Household Members

Includes family members, Live-In-Aides and foster adults. All household members are listed on the lease, and no one other than household members are listed on the lease.

1.31 HUD

Department of Housing and Urban Development.

1.32 Imputed Welfare Income

The amount of annual income not actually received by a family, as a result of a specified welfare benefit reduction, that is nonetheless included in the family's annual income for purposes of determining rent.

1.33 Income Information

Income information means information relating to an individual's income, including:

- (1) All employment information known to current or previous employers or other income sources that HUD or DHA determines is necessary for purposes of determining an assistance applicant's or participant's eligibility for, or level of assistance in, a covered program;
- (2) All information about wages, as defined in the State's unemployment compensation law, including any Social Security Number; name of the employee; quarterly wages of the employee; and the name, full address, telephone number, and when known, Employer Identification Number of an employer reporting wages under a State unemployment compensation law;
- (3) With respect to unemployment compensation;
 - (i) Whether an individual is receiving, has received, or has applied for unemployment compensation;
 - (ii) The amount of unemployment compensation the individual is receiving or is entitled to receive; and
 - (iii) The period with respect to which the individual actually received such compensation;
- (4) Unearned IRS income and self-employment, wages and retirement income as described in the Internal Revenue Code, 26 U.S.C. 6103(l)(7); and
- (5) Wage, social security (Title II), and supplemental security income (Title XVI) data obtained from the Social Security Administration.

1.34 Income Targeting

1. Public Housing
During each DHA fiscal year, at least 40% of the families admitted to public housing from the waiting list, must have incomes that do not exceed 30% (extremely low-income) of the area median income.
2. Section 8 - Housing Choice Voucher Program
Not less than 75% of the families admitted to the tenant-based Section 8 program during DHA's fiscal year shall be families whose annual income does not exceed the following amounts as determined by HUD:
 - (i) 30 percent of the area median income with adjustments for small and larger families; or
 - (ii) A higher or lower percent of the area median income if HUD determines a higher or lower percent is necessary because of unusually high or low family incomes.

3. **Section 8 Project-Based Assistance (including moderate rehabilitation and Project-Based Certificates)**
Not less than 40% of new admissions to a specific project shall have incomes at or below 30% of the area median income. Other admissions to a specific project must be at or below 60% of the area median income or as otherwise stated in any Colorado Housing Finance Authority (CHFA) application, with any HUD-instituted modifications for relatively low-income or high-income areas. Income targeting requirements do not apply to project based assistance made available to prevent or ameliorate the effects of displacement.

1.35 Incremental

The increase between the total amount of welfare and earnings of a family member prior to enrollment in the training program and welfare and earnings of the family member after enrollment in the training program. All other amounts, increases or decreases, are treated in the usual manner in determining annual income.

1.36 INS

The United States Immigration and Naturalization Service.

1.37 Live-In-Aide

Live-In-Aide means a person who resides with one or more elderly persons, or near-elderly persons, or persons with disabilities, and who:

- (1) Is determined to be essential to the care and well-being of the persons;
- (2) Is not obligated for the support of the persons; and
- (3) Would not be living in the unit except to provide the necessary supportive services.

1.38 Local Preferences

System of selection preferences, based upon local housing needs and priorities determined by DHA, which are used in selecting among applicants.

1.39 Low-Income Family

A family whose annual income does not exceed 80 percent of the area median income, as determined by HUD with adjustments for smaller and larger families. HUD may establish income ceilings higher or lower than 80 percent of the area median income on the basis of its finding that such variations are necessary because of unusually high or low family incomes.

1.40 Medical Expenses

Medical expenses, including medical insurance premiums that are anticipated during the period for which annual income is computed, and that are not covered by insurance.

1.41 Mixed Family

A family whose members include those with citizenship or eligible immigration status, and those without citizenship or eligible immigration status.

1.42 Mixed Population Project

As utilized in Part 945, mixed population project means a public housing project reserved for elderly families and disabled families. This is the project type referred to in the National Affordable Housing Act, as being designated for elderly and disabled families. A PHA that has a mixed population project or intends to develop one need not submit an allocation plan or request a designation. However, the project must meet the requirements of 24 C.F.R. part 960 subpart D.

1.43 Mixed Population Development

Means a public housing development, or portion of a development, that was reserved for elderly and disabled families at its inception (and has retained that character). If the development was not so reserved at its inception, the PHA has obtained HUD approval to give preference in tenant selection for all units in the development (or portion of development) to elderly families and disabled families. These developments were formerly known as elderly projects.

1.44 Monthly Adjusted Income

One twelfth of adjusted income.

1.45 Monthly Income

One twelfth of annual income.

1.46 National

Means a person who owes permanent allegiance to the United States, for example, as a result of birth in a United States territory or possession.

1.47 Near-elderly Family

Near-elderly family means a family whose head, spouse, or sole member is a person who is at least 50 years of age but below the age of 62; or two or more persons, who are at least 50 years of age but below the age of 62, living together; or one or more persons who are at least 50 years of age but below the age of 62 living with one or more Live-In-Aides.

1.48 Near-elderly Person

A person who is at least 50 years of age but below the age of 62 who may be a person with a disability. Near elderly persons do not qualify to live in Denver Housing Corporation properties.

1.49 Non-citizen

A person who is neither a citizen nor a national of the United States.

1.50 Non-Elderly Disabled Person

A person with a disability who is less than 62 years of age.

1.51 Net Family Assets

- (a) Net cash value after deducting reasonable costs that would be incurred in disposing of real property, savings, stocks, bonds, and other forms of capital investment, excluding interests in Indian trust land and excluding equity accounts in HUD home ownership programs. The value of necessary items of personal property such as furniture and automobiles shall be excluded.
- (b) In cases where a trust fund has been established and the trust is not revocable by, or under the control of, any member of the family or household, the value of the trust fund will not be considered an asset so long as the fund continues to be held in trust. Any income distributed from the trust shall be counted when determining annual income under 24 C.F.R. § 5.609.
- (c) In determining net family assets, DHA or owners, as applicable, shall include the value of any business or family assets disposed of by an applicant or tenant for less than fair market value (including a disposition in trust, but not in a foreclosure or bankruptcy sale) during the two (2) years preceding the date of application for the program or reexamination, as applicable, in excess of the consideration received therefor. In the case of a disposition as part of a separation or divorce settlement, the disposition will not be considered to be for less than fair market

value if the applicant or tenant receives important consideration not measurable in dollar terms.

1.52 Over-Income Family

A family that is not a low-income family.

1.53 Owner

Owner has the meaning provided in the relevant program regulations.

1.54 Person With Disabilities

Persons with Disabilities as applied under Part 5 means a person who:

- (a) Has a disability as defined in 42 U.S.C. 423;
- (b) Is determined, pursuant to HUD regulations, to have a physical, mental, or emotional impairment that: (1) is expected to be of long-continued and indefinite duration; (2) substantially impedes his or her ability to live independently; and (3) is of such a nature that such ability could be improved by more suitable housing conditions, or
- (c) Has a developmental disability as defined in 42 U.S.C. 6001.

Such term shall not exclude persons who have the disease of acquired immunodeficiency syndrome or any conditions arising from the etiologic agent for acquired immunodeficiency syndrome.

For purposes of qualifying for low-income housing, a person with disabilities does not include a person whose disability is based solely on any drug or alcohol dependence, and means an “individual with handicaps” as defined in §8.3 for purposes of reasonable accommodation and program accessibility for person with disabilities.

As applied pursuant to Part 945, Persons with Disabilities means:

- (a) Has disability as defined in section 223 of the Social Security Act (42 U.S.C. 423), or
- (b) Is determined to have a physical, mental, or emotional impairment that:
 - (1) is expected to be of long-continued and indefinite duration;
 - (2) substantially impedes his or her ability to live independently; and
 - (3) is of such a nature that such ability could be improved by more suitable housing conditions, or
- (c) Has a developmental disability as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 6001(5)).

The term “person with disabilities” does not exclude persons who have the disease of acquired immunodeficiency syndrome or any conditions arising from the etiologic agent for acquired immunodeficiency syndrome.

1.55 Proration of Assistance

The reduction in a family’s housing assistance payment to reflect the proportion of family members in a mixed family, as defined in 24 C.F.R. §5.504(b), who are eligible for assistance.

1.56 Project-Based Assisted New Construction

The Housing and Community Development Act of 1974 provided additional rental assistance subsidy to property owners on behalf of very low-income tenants.

1.57 Project-Based Assisted Substantial Rehabilitation

The Housing and Community Development Act of 1974 provided additional rental assistance subsidy to property owners on behalf of very low-income tenants.

1.58 Public Housing

Means housing assisted under the 1937 Act, other than under Section 8. “Public housing” includes dwelling units in a mixed finance project that are assisted by a PHA with capital or operating assistance.

1.59 Public Housing Agency (PHA)

Any state, county, municipality, or other governmental entity or public body, or agency or instrumentality of these entities, that is authorized to engage or assist in the development or operation of low-income housing under the 1937 Act.

1.60 Public Housing Covered Programs

Means the public housing programs administered by the Assistant Secretary for Public and Indian Housing under Title I of the 1937 Act. This definition does not encompass HUD’s Indian Housing programs administered under Title II of the 1937 Act. Further, this term does not include those programs providing assistance under Section 8 of the 1937 Act.

1.61 Remaining Family Member

A person who is eighteen (18) years of age or older, meets all other eligibility criteria, and is a member of a DHA tenant family, but not a signatory to the lease and who continues to live in the unit after all other family members have left.

1.62 Section 8

Means Section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437(f)).

1.63 Selection Preferences

System of local preferences used to select families for admission to DHA’s public housing program.

1.64 Single Person

A person who lives alone or intends to live alone, who is not an elderly person, a person with disabilities, a displaced person, or the remaining member of a tenant family.

1.65 Service Requirement

The obligation of each adult resident, other than an exempt individual, to perform community service or participate in an economic self-sufficiency program required by §960.603.

1.66 Specified Welfare Benefit Reduction

A reduction of welfare benefits by the welfare agency, in whole or in part, for a family member, as determined by the welfare agency, because of fraud by a family member in connection with the welfare program; or because of welfare agency sanction against a family member for noncompliance with a welfare agency requirement to participate in an economic self-sufficiency program.

“Specified welfare benefits reduction” does not include a reduction or termination of welfare benefits by the welfare agency:

1. at the expiration of a lifetime or other time limit on the payment of welfare benefits;
2. because a family member is not able to obtain employment, even though the family member has complied with welfare agency economic self-sufficiency or work activities requirements; or
3. because a family member has not complied with other welfare agency requirements.

1.67 Supportive Services

Support services means those appropriate services that a PHA will make available, or cause to be made available to a FSS family under a contract of participation, and may include:

- a. childcare as defined in 24 C.F.R. §984.103(b);
- b. transportation as defined in 24 C.F.R. §984.103(b);
- c. education as defined in 24 C.F.R. §984.103(b)
- d. employment as defined in 24 C.F.R. §984.103(b)
- e. personal welfare as defined in 24 C.F.R. §984.103(b);
- f. household skills and management as defined in 24 C.F.R. §984.103(b);
- g. counseling as defined in 24 C.F.R. §984.103(b); and
- h. other services as defined in 24 C.F.R. §984.103(b).

1.68 Tenant Rent

The amount payable monthly by the family as rent to the unit owner or DHA in public housing. (This term is not used in the Section 8 Housing Choice Voucher, Mod Rehab, or Project-Based Assistance Programs.)

1.69 Total Tenant Payment

- a) Total tenant payment is the highest of the following amounts, rounded to the nearest dollar:
 - 1) 30 percent of the family's monthly-adjusted income;
 - 2) 10 percent of the family's monthly income;
 - 3) If the family is receiving payments for welfare assistance from a public agency and a part of those payments, adjusted in accordance with the family's actual housing costs, is specifically designated by such agency to meet the family's housing costs, the portion of those payments which is designated for housing; or
 - 4) The minimum monthly rent established by the PHA.

1.70 Training Program

A learning process with goals and objectives, generally having a variety of components, and taking place in a series of sessions over a period of time. It is designed to lead to a higher level of proficiency, and it enhances the individual's ability to obtain employment. It may have performance standards to measure proficiency.

Training may include, but is not limited to:

- a. classroom training in a specific occupational skill;
- b. on-the-job training with wages subsidized by the program; or
- c. basic education

1.71 Utility Allowance

If the cost of utilities (except telephone) and other housing services for an assisted unit is not included in the tenant rent but is the responsibility of the family occupying the unit, an amount equal to the estimate made or approved by DHA or HUD of the monthly cost of a reasonable consumption of such utilities and other services for the unit by an energy-conservative household of modest circumstances consistent with the requirements of a safe, sanity, and healthful living environment.

1.72 Utility Reimbursement

The amount, if any, by which the utility allowance for the unit, if applicable, exceeds the total tenant payment for the family occupying the unit. (This definition is not used in the Section 8 Housing Choice Voucher, Mod Rehab, or Project-Based Assistance Programs, or for a public housing family that is paying a flat rent).

1.73 Very Low-Income Family

A family whose annual income does not exceed 50 percent of the median family income for the area, as determined by HUD with adjustments for smaller and larger families except that HUD may establish income ceilings higher or lower than 50 percent of the median income for the area if HUD finds that such variations are necessary because of unusually high or low family incomes.

1.74 Welfare Assistance

Welfare or other payments to families or individuals, based on need, that are made under programs funded, separately or jointly, by Federal, state or local governments.

1.75 Work Activities

See definition at 407(d) of the Social Security Act (42 U.S.C. 607(d)).

1.76 Working Family

A family whose income is based on actual employment or if the Head of Household and spouse or sole member are age 62 or older or receiving social security disability, supplemental security income disability benefits, or any other payments based on an individual's inability to work.

Any term not defined in this policy shall have the meaning set forth in the applicable HUD regulations.

SECTION 2
ADMISSIONS AND OCCUPANCY POLICIES

2.1 Fair Housing

It is the policy of DHA to fully comply with all Federal, state and local nondiscrimination laws including, but not limited to, Section 504 of the Rehabilitation Act and HUD's regulations governing Fair Housing and Equal Opportunity.

No person shall, on the grounds of race, color, sex religion, national or ethnic origin, familial status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under DHA's programs.

2.2 Reasonable Accommodation

Sometimes people with disabilities may need a reasonable accommodation in order to take full advantage of DHA's housing programs. When such accommodations are granted, they do not confer special treatment or advantage for the person with a disability; rather, they make the program accessible to them in a way that would otherwise not be possible due to their disability. This policy clarifies how people can request accommodations and the guidelines DHA will follow in determining whether it is reasonable to provide a requested accommodation.

Individuals may request a reasonable accommodation by following the procedures outlined in the Housing Authority of the City and County of Denver Reasonable Accommodation In Housing Guidelines. A Request for Reasonable Accommodation form should be requested and completed. All decisions granting or denying requests for reasonable accommodations will be in writing.

Disability is defined as:

A person with a physical or mental impairment that substantially limits one or more major life activities, has a record of such an impairment, or is regarded as having such an impairment.

DHA has the right to obtain verification that the person has a disability. Generally, the individual knows best what it is they need; however, DHA retains the right to be shown how the requested accommodation enables the individual to access or use DHA programs.

If more than one accommodation is equally effective in providing access to DHA's programs and services, DHA retains the right to select the most efficient or economic choice.

2.3 Eligibility for Admission/Conventional Public Housing, Project-Based Assisted New Construction, Project-Based Assisted Substantial Rehabilitation

In order for an applicant to be eligible for admission to public housing, the applicant must be eighteen (18) years old and meet the following conditions:

- 1) Family: The applicant shall meet the definition of family as set forth in Section 1 of this Admissions and Occupancy Policy (“Policy”).
- 2) Income Eligible: The applicant must be income eligible in accordance with the annual income limits for admission to public housing as set forth in HUD’s most recent published income limits for admission.
- 3) U.S. Citizen or National: The applicant must be a U.S. citizen or U.S. national as defined in 24 C.F.R. Part 5. U.S. citizens and U.S. nationals must provide a signed declaration of U.S. citizenship or U.S. nationality. Non-citizens who are 62 years of age or older or who will be receiving assistance under a Section 214 covered program on September 30, 1996, or applying for assistance after that date, must provide a signed declaration of eligible immigration status and proof of age document. All other non-citizens must provide a signed declaration of eligible immigration status, one of the documents referred to in 24 C.F.R. §5.510, and a signed verification form. See Section 2.6 for more details.
- 4) Tenant Selection Criteria: It is DHA’s policy that all applicants for public housing, Project-Based Assisted New Construction (“PBA New Construction”), Project-Based Assisted Substantial Rehabilitation (“PBA Sub Rehab”) shall be screened for program eligibility in accordance with HUD regulations. DHA has established criteria to avoid concentration of families with serious social problems in DHA developments. It is also DHA’s policy to preclude admission of applicants, or to terminate the lease of tenants, whose habits and practices reasonably may be expected to have a detrimental effect on other tenants, the development environment, DHA staff or other people residing in the immediate vicinity of the property. Otherwise eligible families will be denied admission if they fail to meet any one of the selection criteria.

Relevant information regarding habits or practices of applicants and household members which DHA will consider include, but are not limited to, the following:

- (a) Reference checks and interviews with landlords, employers, family social workers and parole officers.
- (b) Reference checks and information regarding applicant’s and household members conduct and behavior, in present or prior housing, which

demonstrates that the applicant and/or household members can reasonably be expected not to:

- (i) interfere with other tenants in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare;
 - (ii) adversely affect the physical environment or financial stability of the project;
 - (iii) violate the terms and conditions of the lease.
- (c) The applicant's past performance in meeting financial obligations, especially rent. DHA will consider any past balances applicant owes for any program that DHA administers or any other rent subsidy program. These balances must be paid in full (either in a lump sum or over time) before completing the admission process. DHA will not admit applicants or household members who owe past balances.
- (d) Any history of criminal activity, on the part of any applicant and household members, involving crimes of physical violence to persons or property and other criminal acts including drug-related criminal activity which would adversely affect the health, safety, or welfare of other tenants. A criminal background check will be conducted by DHA, on all adult household members including, Live-In-Aides.
- (e) A record of disturbance of neighbors, destruction of property, or living or housekeeping habits at present or prior residences that may adversely affect the health, safety, or welfare of other tenants or neighbors.
- (f) Applicant or household members, during a previous tenancy, violated any tenant obligations under the Conventional Public Housing program including, but not limited to: failure to supply information or falsifying information any records required in administration of the programs, including those needed to determine income eligibility, and family composition; not allowing DHA to inspect the unit at reasonable times and after reasonable notice; not using the premises solely as the family's principal place of residence.
- (g) Applicant's or household members disregard of rules of occupancy and rights of others including, but not limited to, disturbance or poor housekeeping habits.
- (h) A record of eviction from housing or involuntary termination from residential programs (taking into-account date and circumstances).
- (i) Applicant or household members is/are illegally using a controlled substance.

- (j) Applicant or household member who has been convicted of manufacturing or otherwise producing methamphetamine on the premises in violation of any Federal or State law may not be admitted to the Public Housing program or Section 8 program.
- (k) Applicant or any household member is subject to a lifetime registration requirement under a state sex offender registration program.
- (l) Applicant or household members abuse(s) alcohol in a way that gives DHA reasonable cause to believe the behavior may interfere with the health, safety, or right to peaceful enjoyment of the premises by other tenants.
- (m) Applicant or household members who have been evicted from public housing, Indian housing, Section 23, or any Section 8 program because of drug-related criminal activity are ineligible for admission to public housing for a three-year period beginning on the date of the eviction. DHA can waive this requirement if:
 - (i) the person demonstrates successful completion of a state certified rehabilitation program or
 - (ii) the circumstances leading to the eviction no longer exist. For example, the individual involved in drugs is no longer in the household because the person is incarcerated.

Mitigating circumstances applicable to subsections (a) through (j) above: If unfavorable information is received about an applicant or household members, consideration shall be given to the time, nature, seriousness and extent of the applicant's conduct and to factors that might indicate a reasonable probability of favorable future conduct or financial prospects. To be factored into DHA's screening assessment of the applicant, mitigating circumstances must be verifiable.

Examples of mitigating circumstances include, but are not limited to:

- (i) evidence of rehabilitation;
- (ii) evidence of the applicant family's participation in or willingness to, participate in social service or other appropriate counseling service programs;
- (iii) evidence of the applicant family's willingness to attempt to increase family income and the availability of training or employment programs in the locality.

Consideration of mitigating circumstances does not guarantee that applicant will qualify for admission. DHA has discretion to consider all of the circumstances in each case including the seriousness of the case, the extent of participation or culpability of

individual household members, and the effects of denial or termination of assistance on other household members who were not involved in the action or failure to act.

DHA may require an applicant to exclude a household member in order to be admitted to the public housing program if the household member has participated in or has been culpable for criminal activity, drug-related criminal activity or the illegal use of drugs, which would warrant denial of the application.

2.4 Eligibility for Admission Section 8 Tenant-Based Assistance Program

In order for an applicant to be eligible for admission to the Section 8 program, the applicant must be eighteen (18) years old and meet the following conditions:

- 1) Family: The applicant shall meet the definition of family as set forth in Section 1 of this Policy.
- 2) Income Eligible: The applicant must be income eligible in accordance with the annual income limits for admission to the Section 8 program as set forth in HUD's most recent, published income limits for admission.
- 3) U.S. Citizen or National: The applicant must be a U.S. citizen or U.S. national as defined in 24 C.F.R. Part 5. U.S. citizens and U.S. nationals must provide a signed declaration of U.S. citizenship or U.S. nationality. Non-citizens who are 62 years of age or older or who will be receiving assistance under a Section 214 covered program on September 30, 1996, or applying for assistance after that date, must provide a signed declaration of eligible immigration status and proof of age document. All other non-citizens must provide a signed declaration of eligible immigration status, one of the documents referred to in 24 C.F.R. §5.510, and a signed verification form. See Section 2.6 for more details.
- 4) Tenant Selection Criteria: It is DHA's policy that all applicants, and applicant's family, for the Section 8 programs shall be screened for program eligibility in accordance with HUD regulations. Relevant information which DHA will consider includes, but is not limited to, the following:
 - (a) History of drug-related criminal activity or violent criminal activity. DHA will deny an applicant who has been convicted of manufacturing or otherwise producing methamphetamine on the premises in violation of any Federal or state law. DHA may deny assistance if the preponderance of evidence indicates that a family member has engaged in such activity, regardless of whether applicant or applicant's family member has been arrested and convicted.
 - (b) Maintenance of housing in a decent and safe condition based on living or housekeeping habits and whether such habits could adversely affect the health, safety or welfare of other tenants.

- (c) A criminal background check on all adult household members, including Live-In-Aides.
- (d) A home visit that considers cleanliness and care of rooms, appliances and appurtenances. The inspection may also consider any evidence of criminal activity.
- (e) History of applicant or applicant family's illegal use, or possession for personal use, of a controlled substance within one (1) year from the date DHA provides notice to the applicant of DHA's determination to deny assistance.

DHA may not deny or terminate assistance for such use or possession by applicant's family, if the family member can demonstrate that he or she:

- (i) has an addiction to a controlled substance, has a record of such an impairment, or is regarded as having such an impairment; and
 - (ii) is recovering, or has recovered from, such addiction and does not currently use or possess controlled substances. DHA may require a family member who has engaged in the illegal use of drugs to submit evidence of participation in, or successful completion of, a treatment program as a condition to being allowed to reside in the unit.
- (f) Applicant or applicant family's pattern of illegal use of a controlled substance or pattern of abuse of alcohol gives DHA reasonable cause to believe that the pattern of abuse may interfere with the health, safety, or right to peaceful enjoyment of the premises by other tenants.

DHA may waive the policies prohibiting admission in these circumstances if the person demonstrates to DHA's satisfaction that the person is no longer engaging in illegal use of a controlled substance or abuse of alcohol and:

- (i) has successfully completed a supervised drug or alcohol rehabilitation program;
 - (ii) has otherwise been rehabilitated successfully; or
 - (iii) is participating in a supervised drug or alcohol rehabilitation program.
- (g) Applicant or applicant's family who have been evicted from public housing, Indian housing, Section 23 or any Section 8 programs because of drug related criminal activity are ineligible for participation in the Section 8 program for a three (3) year period, beginning on the date of such eviction. DHA may waive this requirement if:

- (i) the person demonstrates successful completion of a rehabilitation program approved by DHA, or
- (ii) the circumstances leading to the eviction no longer exist. For example, the individual involved in drugs is no longer in the household because the person is incarcerated.

Consideration of mitigating circumstances does not guarantee that applicant will qualify for admission. DHA has discretion to consider all of the circumstances in each case including the seriousness of the case, the extent of participation or culpability of individual family members, and the effects of denial or termination of assistance on other family members who were not involved in the action or failure to act.

2.5 Tenant Selection Criteria: Villages at Curtis Park

- 1) Applicant Screening Criteria: All eligible applicants will be subject to the following screening criteria:
 - (a) Credit Check. Credit check and contact with landlord(s) that assesses a family's ability to pay the rent based on record of eviction, judgment, significant debt or payment history that reduces such ability to pay rent.
 - (b) Criminal History. Criminal history record check for all household members over the age of 16 showing no record of past criminal activity which may reasonably pose a threat to or adversely affect the health, safety, peaceful environment, peaceful enjoyment of the property by other residents, or welfare of other residents, staff members, agents, Housing Authority representatives, or the Property. Agent will particularly screen to criminal activity as it relates to the following:
 - (i) The record of a criminal activity for the last seven (7) years for a crime against a person;
 - (ii) The record of a conviction for the last five (5) years for a crime against property;
 - (iii) The record of murder, rape, attempted murder or attempted rape, armed robbery, child abuse or molestation, violence (e.g. aggravated assault) and/or drugs; and
 - (iv) Absence of past or present behavior which poses a threat to the health, safety, peaceful environment or welfare of other residents and/or employees, contractors or representatives of the Agent, Owner or the Housing Authority.
 - (c) Criminal History Affidavit for Persons under 16. Affidavit from head of household that all family members under 16 years of age have not been convicted of a crime classifying them as an adult. If this affidavit cannot be made, a record check on those members must also be obtained by parent or guardian and thereby subjected to the police check criteria for adults.
 - (d) Landlord Verification. Current and previous landlord verification of rent payment history and care for the unit. If previous landlord verification is not available, the current landlord verification must be used, a landlord history or mortgage payment history must be obtained.
 - (e) Assisted Housing by Non-citizens. The Agent acknowledges that HUD does not currently require verification of eligibility of non-citizens. The

Agent agrees to continue current eligibility processing and verification of eligibility.

- (f) Utility Verification. Contact with one prior utility supplier to gather information on applicant's payment history or demonstration of the ability and willingness to pay utility bills in a timely and responsible manner.
- (g) Employment Income. To the extent employment income is a component of income eligibility and ability to pay rent, verifiable employment history must include the following:
 - (i) Employment is anticipated by employer for next 12 months, and minimum income of 3 times the monthly rent, except for public housing-assisted residents for whom there is no minimum household income.
 - (ii) Minimum income of three (3) times the monthly rent, except for public housing-assisted residents for which there is no minimum household income.
- (h) Home Visits. The Agent may require applicants to undergo a home visit inspection or reinspection of the applicant's current living arrangements to determine the applicant's compliance with the screening criteria and the applicant's ability to meet Curtis Park Apartments, Phase I stringent housekeeping related requirements.
- (i) Additional Documentation. The Agent may require additional documentation, as Agent deems necessary to determine the applicant's ability to uphold the lease agreement. Applicants are required to respond within the specified time frame. Failure to do so will result in the applicant's removal from the Site-Based Waiting List. To the extent necessary to determine eligibility, rent or the ability to comply with the lease the Agent may require applicants to provide verification of their status as a handicapped or disabled person. In the case of a disabled applicant, reasonable accommodation will be made to allow the applicant to comply with the lease.
- (j) Drug Treatment Facility Information. The Agent may obtain information from drug treatment facilities regarding applicants to public housing. The Agent will follow "the specific requirements of subsection (e)" Subsection (e) of Section 575 of the QHWRA stated that Public Housing Agencies, or their agents, may require "each person who applied for admission to public housing to sign one or more forms of written consent authorizing the agency to receive information from a drug abuse treatment facility..." Records management will be in accordance with Subsection (e)

of Section 575 which details the requirements for records management, permission for drug abuse treatment facilities to charge fees for releasing records, procedures to ensure a nondiscriminatory process, and the definitions for “drug abuse treatment facility” and “currently engaged in the illegal use of a controlled substance.” The applicant’s consent form must expire automatically after the Agent decides to approve or deny admission. Additionally, the information the Agent request must be “solely related to whether the applicant is currently engaging in the illegal use of a controlled substance.” If applying this provision, the Agent must require each applicant to sign a release form but may seek such information for all applicants or only for those applicants with a prior record of arrest or conviction or whose rental history indicates the applicant has engaged in destructive behavior to persons or property. The law specifically exempts drug abuse facilities from liability if the disclosure is made in accordance with the existing law. The Agent must destroy any such records not later than five days after approving the applicant for admission or if denying admission in a “timely manner” after the statute of limitation for civil action against the Agent has expired.

- (k) Verification Methods. All applicant screening verification will be accomplished by mail, telephone, electronic document or information transmission, facsimile, or personally, as necessary. Generally, formal screening interviews with applicants will not be required.
- 2) Application Denial. After a review and evaluation of the pertinent documentation and information provide by the applicant, the Agent may reject or deny any application, or pre-application, for any of the reasons set forth below:
- a) Failure to Meet Screening Criteria. Applicant does not meet income, eligibility or suitability criteria; or
 - b) Drug/Alcohol Abuse. History of one or more family members of drug or alcohol abuse, with no current verifiable, rehabilitation services or evidence of completion of rehabilitative services;
 - c) Criminal Activity. History of serious or consistent criminal activity or any pattern of violent or criminal activity.
 - d) Sex Offender. Applicant is a dangerous sex offender or appears on a sex offender registration list maintained by any Federal, State or local law enforcement or other authority.
 - e) Methamphetamine Conviction. Individuals (both residents and applicants) convicted of manufacturing or producing methamphetamines are ineligible for housing assistance in public housing. The Agent must terminate the

tenancy of any person convicted of manufacturing methamphetamine on the premises of an assisted housing project.

- 3) Mitigating Circumstances. In the event of the receipt of unfavorable information with respect to an applicant, consideration shall be given to the time, nature and extent of the applicant's conduct and to factors which might indicate a reasonable probability of financial prospect or future conduct. Factors to be considered:
 - (a) Mitigation of Negative Credit Information. Negative credit information can be mitigated through third party verification for each credit item that:
 - (i) the applicant has entered into a payment plan with creditor and there as been a three month payment history: (ii) there is a three month history of payment to the creditor: or (iii) applicant has disputed such debt and can provide verification of such dispute.
 - (b) Mitigation of Unsuitable Rental History. Record of unsuitable rental history or behavior can be mitigated if applicant can show that the reason for unsuitable behavior relates to a disability in which the applicant can show evidence of rehabilitation. If the evidence relates to the change in a medical condition or course of treatment, the Agent shall have the right to refer such information to persons qualified to evaluate the evidence and verify the mitigating circumstance, even if such information is of a medically confidential nature. Such inquiries will be limited to the information necessary to verify the mitigating circumstance. If the applicant refuses to provide further information, the Agent will give not further consideration to the mitigating circumstance.
 - (c) General Mitigation Guidelines. In the event of the receipt of unfavorable information with respect to an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors which might indicate a reasonable probability of favorable future conduct or financial prospects. For example:
 - (i) Evidence of rehabilitation;
 - (ii) Evidence of the applicant family's participation in or willingness to participate in social service or other appropriate counseling service programs and the availability of such programs; or
 - (iii) Evidence of repayment or continuance of satisfactory payments toward outstanding indebtedness.
- 4) Applicant Notification. The Agent will promptly notify rejected applicants in writing. The notification to applicants to the Authority Units will indicate the reason for the determination and the right to request an informal conference with

the Agent within fourteen (14) days or to show mitigating circumstances. If the applicant requests an informal conference, Agent will give the applicant a final decision within ten (10) days of the meeting. In the case where a rejected applicant is a returning resident, as defined in the Housing Authority of the name, social security number and reason for the rejection of any public housing assisted applicant and provide a copy of the letter of rejection and the results of the informal conference as appropriate.

- 5) Procedure for an Informal Conference. The following are the general procedures which the Agent will use to address any applicant who wishes to have an adverse action removed:
- (a) Written Request for a Conference. An applicant must make a written request to the Agent's Management Office within fourteen (14) days from the date of adverse notice or decision.
 - (b) Informal Conference. Agent will hold an informal conference with the complaining applicant within ten (10) calendar days, and attempt to resolve the complaint.
 - (c) Decision. A decision on the informal hearing shall be prepared by the Agent and mailed to the applicant or their legal representative within ten (10) calendar days.
 - (d) Attendees. Present at the meeting will be the Hearing Officer, the applicant, and their representative(s), not to exceed two (2) representatives.
 - (i) If unable to attend, applicant must notify the Hearing Officer or a designated staff representative at least one (1) hour before the hearing time.
 - (ii) If the applicant fails to attend at the hearing (with a "grace period" being 15 minutes after the set appointment), and fails to notify the Agent's Management Office within the time specified in subparagraph 5.D.1., above, the applicant's right to an informal conference will be forfeited.

2.6 Verification of Citizenship or Eligible Non-citizen Status/ Conventional Public Housing and Section 8

- (a) The citizenship or eligible non-citizen status of each family member regardless of age must be determined. Prior to being admitted, or at the first re-examination, all citizens and nationals will be required to sign a declaration under penalty of perjury. They will be required to show proof of their status. DHA shall notify applicants and tenants, that they will be

required to submit evidence of citizenship or eligible immigration status. This applies to all families regardless of any documentation of the person's identity, which may have been previously obtained. An extension of time, not to exceed thirty (30) days may be granted to allow the applicant an opportunity to obtain the evidence required. The determination of the length of the extension needed shall be based on the circumstances of each individual case. The decision to grant or deny an extension shall be a written notice to the applicant, which specifies the extension period. If the extension is denied, the notice shall explain the reasons for the denial.

- (b) Applicants with no eligible family members do not qualify for assistance.
- (c) Applicants whose family members include those with citizenship or eligible immigration status, and those without citizenship or eligible immigration status (mixed family) qualify for prorated assistance.
- (d) Non-citizen student applicant or tenant, living alone or accompanied by immigrant spouse and/or children do not qualify for assistance.
- (e) Non-citizen student applicant or tenant whose family members include a citizen spouse and citizen children are eligible for prorated assistance.
- (f) Tenants in occupancy on or before June 19, 1995, are eligible for Continued Assistance if Head of Household or spouse are eligible and family includes no non-eligible members other than parents or children of Head of Household or spouse. Tenants who do not qualify for Continued Assistance have the opportunity to choose between a Temporary Deferral of Assistance or Prorated Assistance.
- (g) Tenants in occupancy on or before June 19, 1995, who do not qualify for Continued Assistance and whose family members include those with citizenship or eligible immigration status, and those without citizenship or eligible immigration status (mixed family) have the opportunity to choose between Temporary Deferral of Assistance or Prorated Assistance.
- (h) Tenants who qualify for a temporary deferral of termination assistance must show evidence of seeking a standard appropriate size unit within 125% of the rent it is presently paying before the deferral will be granted. The deferral period shall be for an initial period not to exceed six (6) months. The initial period may be renewed for six months, but the aggregate deferral period for deferrals provided after November 29, 1996 shall not exceed a period of eighteen (18) months. The aggregate deferral period for deferrals granted prior to November 29, 1996 shall not exceed three (3) years.
- (i) Mixed Family in occupancy after June 19, 1995, qualify for only Prorated Assistance.
- (j) Prorated Assistance shall be recalculated whenever there has been a change in family composition or income.
- (k) DHA will calculate the proration of assistance using the methodology for determining Maximum Rents and proration as provided by HUD.
- (l) Tenants in occupancy after June 19, 1995, with no eligible family members do not qualify for assistance.

- (m) Upon determination of ineligible status the maximum rent based on the 95 percentile will be charged effective the first of the month following notification to tenant of ineligible status.

2.7 Social Security Number Disclosure/Conventional Public Housing and Section 8 Programs

At the time of initial application (if an applicant), or at the next regular scheduled re-examination (if a tenant or Section 8 participant), each applicant, tenant or Section 8 participant must submit the complete and accurate social security number (“SSN”) assigned to the applicant, tenant or Section 8 participant and each member of their family who is at least six (6) years of age. Documentation verifying each SSN must also be provided. If an applicant, or their family member who is at least six years of age, has a SSN that cannot be documented then he/she/they must sign a certification in the form and manner that HUD and DHA prescribe in applicable administrative instructions. If an applicant, or their family member who is at least six years of age, has never been assigned a SSN, then he/she/they must sign a certificate in the form and manner that HUD and DHA prescribe in applicable administrative instructions.

- 1) Each tenant or Section 8 participant whose initial determination of eligibility under the program involved was begun before November 6, 1989 must submit the following information to DHA at the next regularly scheduled income reexamination for the program involved:
 - (a) The complete and accurate SSN assigned to the tenant or Section 8 participant and to each member of their household who is at least six (6) years of age. The documentation necessary to verify the SSN is a valid card issued by the Social Security Administration or such other evidence prescribed by HUD or DHA.
 - (b) If the tenant or Section 8 participant or any member of their household who is at least six (6) years of age has not been assigned an SSN, a certification executed by the individual involved which is in the form and manner that HUD and DHA have prescribed must be provided.
- 2) Once a tenant or Section 8 participant has disclosed and verified every SSN, or submitted any certification that a SSN has not been assigned, the following rules apply:
 - a) If the tenant’s or Section 8 participant’s household adds a new member who is at least six (6) years of age, the tenant or Section 8 participant must submit to DHA, at the next interim or regularly scheduled income reexamination that includes the new members:
 - (i) The complete and accurate SSN’s assigned to each new member. The documentation necessary to verify the SSN, which is a valid card issued by the Social Security Administration, or such other evidence prescribed by HUD or DHA must also be submitted.

- (ii) If the new member has not been assigned a SSN, a certification executed by the individual involved which is in the form and manner that HUD and DHA have prescribed may be provided.
 - (b) If the tenant or Section 8 participant or any member of their household who is at least six (6) years of age obtains a previously undisclosed SSN, or has been assigned a new SSN, the tenant or Section 8 participant must submit the complete and accurate SSN to DHA at the next regularly scheduled income reexamination. The documentation necessary to verify the SSN, which is a valid card issued by the Social Security Administration, or such other evidence prescribed by HUD or DHA, must also be submitted.
 - (c) Additional SSN disclosure and verification requirements may be specified in administrative instructions by DHA.
 - (d) Applicants, tenants or Section 8 participants who do not have a SSN shall provide DHA with a SSN within 60 days after DHA notifies him/her/they, in writing, that a SSN must be provided.
- 3) If an individual who is required to disclose his/her SSN cannot meet the documentation requirements, he/she/they must submit to DHA the individual's SSN and a certification executed by the individual that the SSN submitted has been assigned to the individual, but that acceptable documentation to verify the SSN cannot be provided.
 - 4) Except for the following, DHA must accept the certification and continue to process the applicant's, tenant's or Section 8 participant's documents to determine his/her/their eligibility to participate in the program involved:
 - (a) Only for reasons as HUD and DHA prescribe in applicable administrative instructions.
 - 5) If DHA determines that an applicant is otherwise eligible to participate in its program, the applicant may not become a tenant or Section 8 participant unless he/she/they submit(s) the required documentation within sixty (60) calendar days from the date on which the certification is executed. DHA may, in its discretion, extend this period for up to an additional sixty (60) days if the individual is at least 62 years of age and is unable to submit the required documentation within the initial sixty (60) day period.
 - 6) If DHA determines that a tenant or Section 8 participant is otherwise eligible to participate in its program, participation will continue, provided that the tenant or Section 8 participant submits the required documentation within sixty (60) calendar days. DHA may, in its discretion, extend this period for up to an additional sixty (60) days, if the individual is at least 62 years of age and is unable to submit the required documentation within the initial sixty (60) day period.

- 7) DHA shall deny eligibility of an applicant in accordance with the provisions governing the program involved, if the applicant does not meet the applicable SSN disclosure, documentation, verification and certification requirements. DHA shall terminate the assistance or tenancy, or both, of the tenant or Section 8 participant, in accordance with the provisions governing the program involved, if the tenant or Section 8 participant does not meet the applicable SSN disclosure, documentation, verification, and certification requirements.

2.8 Verification of Statements and Income/Conventional Public Housing and Section 8 Programs

All income and asset information for admission and continued occupancy will be verified by DHA, prior to determining eligibility for admission. Verification of family composition will be satisfied by submitting birth certificates from the Bureau of Vital Statistics on all children in the family. Legal child custody papers, or other sufficient documentation must be submitted once it has been determined that the mother and father do not live together. For the dependent children listed in the family composition, who are not the children of the Head of Household or spouse, documentation of legal custody or the written designation of the parent or other person having legal custody of the children must be submitted.

Verification of disability will be satisfied by presenting evidence of SSI and Social Security benefits or a doctor's statement that the individual is disabled.

Verification of citizenship will be satisfied by submitting birth certificates or naturalization documents.

When an applicant, tenant or Section 8 participant reports annual income that appears to be less than adequate to support the family composition, or if the family appears to be eligible for income that the family reports they do not receive (e.g., AFDC, welfare, unemployment compensation, child support, etc.) the absence of such income will be verified.

Independent verification of income information may be obtained by the PHA from a SWICA or a Federal agency. The PHA shall promptly notify the assistance applicant or participant, in writing, of any adverse findings made on the basis of the information. The assistance applicant or participant may contest the findings in the same manner as applicable to other information and findings relating to eligibility.

Section 8 Tenant-Based Assistance Only. Applicant information verifying that applicant and members of the household is/are eligible for the Section 8 programs can be no more than ninety (90) days old in order for DHA to issue a voucher.

Conventional Public Housing, PBA New Construction, PBA Sub Rehab Only. For subsequent re-examinations, the verification process will begin 90 days prior to the

anniversary lease date to ensure that current and accurate data are being used in calculating rents. All decreases in income, which affect rent between admission and re-examination will be verified in accordance with these provisions.

Applicant information verifying that applicant and members of the household is/are eligible for Conventional Public Housing can be no more than ninety (90) days old in order for applicant to be placed on the waiting list or in housing.

Section 8 Only. Refer to the Section 8 Administrative Plan for details regarding subsequent re-examinations.

Applicant's, tenant's, or Section 8 participant's files will contain documentation of all verifications including, but not limited to, the following:

- 1) The PHA must obtain and document in the tenant file third party verification of the following specific items:
 - (a) Reported family annual income;
 - (b) The cash value of assets and income derived from assets;
 - (c) Expenses related to deduction from annual income; and
 - (d) Any other factors that affect the calculation of adjusted income.
- 2) All income, assets, and each applicable deduction or exemption will be verified prior to admission and at each subsequent re-examination or re-determination.

The PHA will verify information through the five methods of verification acceptable to HUD in the following order:

- (a) Up-front income verification (UIV)
 - (b) Third-party written verification
 - (c) Third-party oral verification
 - (d) Review of documents
 - (e) Certification/self-declaration
- 3) Conventional Public Housing, PBA New Construction, PBA Sub Rehab Only. After verification, all required certification documents shall be signed by the applicant or tenant and duly appointed representative of DHA, as appropriate.
 - 4) Section 8 Only. After verification, the applicant certifications or the Section 8 participant certifications (or re-examination application or re-determination application, if any, of the Section 8 participant information has not changed) shall be signed by the Section 8 participant or applicant and a duly appointed DHA representative, as appropriate.
 - 5) Additional information required by DHA prior to determining eligibility including, but not limited to, the following:

- (a) Family composition such as vital statistics birth certificates of all minors and custody papers, if applicable;
- (b) Information that enables DHA to verify the amount of household income;
- (c) Social Security cards or proof of Social Security number for each member of the family, six years of age or older;
- (d) Military records, if applicable;
- (e) Photo I.D. of Head of Household/spouse;
- (f) Record of police clearance which must be obtained from the Colorado Bureau of Investigations and is less than one year old;
- (g) If not a citizen of the United States, must provide documentation proving you have legal immigration status in the United States.
- (h) Conventional Public Housing, PBA New Construction, PBA Sub Rehab Only. Past and present landlord references (names, addresses, phone numbers)

2.9 Applicants May Be Denied Conventional Public Housing, PBA New Construction, PBA Sub Rehab Based on the Following:

- (a) Applicant does not meet any one or more of the eligibility criteria set forth in section 2.3;
- (b) Reference checks and information regarding applicant's and household member's conduct and behavior, in present or prior housing, demonstrates the applicant and household members can reasonably be expected to:
 - (i) interfere with other tenants in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare;
 - (ii) adversely affect the physical environment or financial stability of the project;
 - (iii) violate the terms and conditions of the lease;
- (c) An applicant's past performance in meeting financial obligations, especially rent;
- (d) Applicant or any household member has committed fraud in connection with any federal housing assistance program;
- (e) Applicant or household members, during a previous tenancy, violated any tenant obligations under the Conventional Public Housing program;
- (f) Applicant or a household member have a history of criminal activity involving crimes of physical violence against persons or property and any other criminal acts including, but not limited to, drug-related criminal activity which would adversely affect the health, safety or welfare of other tenants.

- (g) Applicant or household members have a history of disturbance of neighbors, destruction of property, or living or housekeeping habits at present or prior residences that may adversely affect the health, safety, or welfare of other tenants or neighbors;
- (h) Applicant or household members have a record of eviction from housing or involuntary termination from residential programs (taking into account date and circumstances);
- (i) Applicant or household members is/are illegally using a controlled substance;
- (j) Applicant has breached an agreement to pay amounts owed to DHA;
- (k) Applicant's intentional misrepresentation of any information related to eligibility, award of preference for admission, housing history, allowances, family composition or rent;
- (l) Applicant was evicted from public housing, Indian housing, Section 23, or any Section 8 programs because of drug-related criminal activity within the past three (3) years beginning on the date of such eviction;
- (m) Applicant or members of the household abuse alcohol in a way that DHA has reasonable cause to believe it may interfere with the health, safety or right to peaceful enjoyment of the premises by other tenants;
- (n) Applicant or any members of the household do(es) not sign and submit the consent forms for obtaining information as required in 24 C.F.R. §5.230;
- (o) Applicant or members of the household do not meet the applicable SSN disclosure, documentation, verification and certification requirements;
- (p) Applicant or members of the household do not supply information or documentation required by the application process.
- (q) Applicant or members of the household have failed to respond to a written request for information or a request to indicate their continued interest in the program.
- (r) Applicant or members of the household have engaged in or threatened abusive or violent behavior towards any DHA staff or residents.
- (s) HUD regulations require that assistance be denied; and

- (t) **Denied for Life:** Applicant or any member of applicant's household is subject to a lifetime registration requirement under a state sex offender registration program.
- (u) **Denied for Life:** If any applicant or household member has been convicted of manufacturing or producing methamphetamine (speed) in a public housing development or in a Section 8 assisted property.

DHA can deny admission to any applicant based upon the criteria set forth in subsections (a) through (u) above.

Public housing is a place to live, not a place to deal drugs or to terrorize neighbors. DHA does not want its public housing developments to be under siege by gangs, violent criminals and drug dealers who threaten the safety and welfare of decent, responsible tenants. Therefore, DHA has adopted the "One Strike and You're Out" policy. People living in public housing who engage in drug and other criminal activity will face certain and swift eviction.

In the event of the receipt of unfavorable information with respect to an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors which might indicate a reasonable probability of favorable future conduct for financial prospects. For example:

1. Evidence of rehabilitation;
2. Evidence of the applicant family's participation in or willingness to participate in social service or other appropriate counseling service programs and the availability of such programs;
3. Evidence of the applicant family's willingness to attempt to increase family income and the availability of training or employment programs in the locality.

2.10 Applicants May Be Denied Section 8 Housing Assistance Based on the Following:

- (a) Applicant does not meet any one or more of the eligibility criteria set forth in section 2.4;
- (b) Reference checks demonstrate that applicant's and applicant's family conduct creates a threat to health, safety, or welfare of neighbors;
- (c) Applicant currently owes rent or other amounts to DHA or another PHA in connection with Section 8 or public assistance under the 1937 Act;

- (d) Applicant or applicant's family has not reimbursed PHA for amounts paid to an Owner under the HAP contract for rent, damages to the unit, or other amounts owed by the family under the lease;
- (e) Applicant or any member of the applicant's family commits fraud, bribery, or any other corrupt or criminal act in connection with any Federal housing program including the intentional misrepresentation of information related to their housing application or benefits derived therefrom;
- (f) Applicant or applicant's family violates any family obligations under the Section 8 programs including, but not limited to: failure to supply information and records required in administration of the programs, including those needed to determine income eligibility, and family composition; not allowing DHA to inspect the unit at reasonable times and after reasonable notice; not using the premises solely as the family's principal place of residence;
- (g) Applicant or a member of the applicant's family has a history of drug-related criminal activity or violent criminal activity. DHA may deny assistance if the preponderance of evidence indicates that a family member has engaged in such activity, regardless of whether applicant or applicant's family member has been arrested and convicted;
- (h) DHA has previously terminated assistance under the certificate, the Housing Choice Voucher Program for applicant or any member of applicant's family;
- (i) Applicant or applicant's family have a record of disturbance of neighbors at present or prior residences that may adversely affect the health, safety, or welfare of other tenants or neighbors;
- (j) Applicant or applicant's family has breached an agreement with DHA to pay amounts owed to DHA, or amounts paid to an Owner by DHA;
- (k) Applicant or applicant's family was evicted from public housing in the last five years;
- (l) Applicant or applicant family's pattern of illegal use of a controlled substance or patterns of abuse of alcohol may interfere with the health, safety, or right to peaceful enjoyment of the premises by other tenants;
- (m) Applicant or applicant's family members have a record of illegal use, or possession for personal use, of a controlled substance within one (1) year from the date DHA denies assistance;

- (n) Applicant or any member of applicant's family does not sign and submit the consent forms for obtaining information in accordance with Title 24 C.F.R. Part 5, subparts B and F;
- (o) Applicant or applicant's family participation in FSS program fails to comply, without good cause, with the family's FSS contract of participation;
- (p) Applicant or members of the household do not supply information or documentation required by the application process.
- (q) Applicant or member of household have failed to respond to a written request for information or a request to indicate their continued interest in the program.
- (r) Applicant or members of the household have engaged in or threatened abusive or violent behavior towards any DHA staff or residents.
- (s) Applicant or applicant's family members do not meet the applicable SSN disclosure, documentation, verification and certification requirements;
- (t) HUD regulations require that assistance be denied; and
- (u) **Denied for Life:** Applicant or any member of applicant's household is subject to a lifetime registration requirement under a state sex offender registration program.
- (v) **Denied for Life:** If any applicant or applicant's family member has been convicted of manufacturing or producing methamphetamine (speed) in a public housing development or in a Section 8 assisted property.

NOTE: Although DHA determines eligibility for admission to the Section 8 programs; the applicant must also meet tenant selection criteria as defined by the private landlord.

DHA can deny admission to any applicant or terminate assistance to any Section 8 participant based upon the criteria set forth in subsection (a) through (u) above.

2.11 Notification of Cancellations and Denials for Ineligible Applicants/Conventional Public Housing and Section 8 Programs

- 1) Cancellations
Applicants for the Conventional Public Housing or the Section 8 Housing may be canceled for:

- a) failure to provide necessary requested information, in required time frame. Exceptions: flexibility may be granted in those cases where an employer refuses to complete an employment verification.
- b) failure to resolve any dispute concerning alleged debts to DHA within a required time frame.

2) Denials

For admission to Conventional Public Housing and the Section 8 programs, the applicant must meet tenant selection criteria set forth in sections 2.3 and 2.4 as defined by DHA.

Applicants for Conventional Public Housing shall be notified of denial or cancellation, in writing, and informed of his/her right to an informal hearing. See section 2.12. Applicants cannot reapply for at least one year from the date of the denial.

Applicants for Section 8 assistance shall be notified of denial or cancellation, in writing, and informed of his/her right to an informal review. See section 2.13. Applicants cannot reapply for at least one year from the date of the denial.

2.12 Informal Hearing Process/Conventional Public Housing

- 1) DHA will send prompt written notice to an applicant for Conventional Public Housing, PBA New Construction, and PBA Substantial Rehab notifying him/her that he/she has been denied housing and the reason(s) supporting the determination of ineligibility. The notice will also advise the applicant of his/her right to an informal hearing.
- 2) Whenever a written notice of denial or cancellation is given to an applicant, that notice must state all grounds upon which DHA will rely for the denial or cancellation. If the notice of cancellation is based on an alleged outstanding debt to DHA, the notice will state the development where the debt was incurred and the total amount claimed as due.
- 3) The applicant must request the informal hearing, in writing, to DHA and must do so within fourteen (14) calendar days of the date the application was canceled. DHA will then schedule an informal hearing no later than sixty (60) calendar days from the date DHA received the applicant's written request. If the applicant does not request a hearing in accordance with this paragraph, then DHA's disposition of the matter shall become final. However, failure to request a hearing shall not constitute a waiver by the applicant of his/her right thereafter to contest DHA's disposition of the matter in an appropriate judicial proceeding.
- 4) Upon the written request of the applicant or DHA, the hearing may be postponed and rescheduled to the next available regularly scheduled hearing date. This request for postponement must be submitted at least twenty-four (24) hours prior to the scheduled hearing. A hearing may be postponed once. If the applicant fails to appear at the second scheduled hearing, DHA's disposition of the grievance will become final.
- 5) The hearing will be conducted by a member of DHA staff (hereafter referred to as the "Hearing Officer") who did not make the initial decision to cancel or deny the application or a subordinate of that person. During the hearing, DHA's and the applicant's witnesses and potential witnesses in the matter may be present, and those persons shall be permitted to observe the entire hearing. The applicant will be afforded a fair hearing and provided the basic safeguards of due process, which shall include:
 - (a) The opportunity to examine before the hearing any DHA documents, including records and regulations that are directly relevant to the hearing. The applicant shall be allowed to copy any such document at the applicant's expense. However, the documents shall not be removed from DHA's premises. Any document not made available, after the request of the applicant has been received, will not be relied on by DHA at the hearing.

- (b) The right to be represented by counsel or other person selected as a representative.
 - (c) The right to a private hearing unless the applicant requests a public hearing.
 - (d) The right to present evidence and arguments to controvert evidence relied on by DHA and to confront and cross-examine all witnesses on whose testimony or information DHA relies.
 - (e) A decision based solely and exclusively upon the facts presented at the hearing.
 - (f) The Hearing Officer will consider only those issues presented in the original notice, and will not consider new issues or evidence of which the applicant had no notice.
- 6) The Hearing Officer will give a final written decision to the canceled or denied applicant. The decision will be mailed to the applicant at the address that applicant has on file with DHA within ten (10) working days after completion of the hearing.
- 7) If the applicant or DHA fails to appear at the scheduled hearing, the Hearing Officer may determine that the party has waived his/her right to a hearing. Both DHA and the applicant shall be notified of the determination by the Hearing Officer.
- 8) The hearing will be conducted informally by the Hearing Officer. The Hearing Officer shall require DHA, the applicant, counsel and other participants or spectators to conduct themselves in an orderly fashion. Failure to comply with the directions of the Hearing Officer to obtain order may result in expulsion from the proceedings or in a decision adverse to the interests of the disorderly party, and granting or denial of the relief sought, as appropriate.
- 9) A Hearing Officer may re-open a canceled application for the Conventional Public Housing, PBA New Construction, and PBA Substantial Rehab program when the applicant provides the necessary requested information or resolves any dispute concerning an alleged debt owed to DHA or any other agency.

2.13 Informal Review Process/Section 8 Tenant-Based Assistance

Refer to Section 8 Administrative Plan for details regarding the informal review procedures utilized when an applicant for Section 8 has been denied or canceled.

NOTE: DHA is not required to provide the applicant an opportunity for an informal review for any of the following:

- (a) Discretionary administrative determinations by DHA.
- (b) General policy issues or class grievances.
- (c) A determination of the family unit size under DHA subsidy standards.

- (d) A DHA determination not to approve an extension or suspension of a certificate or voucher term.
- (e) A DHA determination not to grant approval to lease a unit under the program or to approve a proposed lease.
- (f) A DHA determination that a unit selected by the applicant is not in compliance with Housing Quality Standards (“HQS”).
- (g) A DHA determination that the unit is not in accordance with HQS because of the family size or composition.

2.14 Selection Preferences For Conventional Public Housing and Section 8 Programs

1) *Federal Preferences* - Pursuant to Section 514 of the Quality Housing and Work Responsibility Act (QHWRA) all federal selection preferences for Conventional Public Housing and the Section 8 programs have been eliminated. DHA utilizes only local selection preferences.

2) *Local Selection Preferences for Section 8 Tenant-Based Assistance Program.*

- (a) Chronically mentally ill as defined in *Arevalo, et al. v. City and County of Denver*.
- (b) Division of Family Employment Resources.
- (c) Colorado Coalition for the Homeless.
- (d) Atlantis Program.
- (e) Colorado Health Network.

Section 8 local selection preferences shall have the order of priority listed above. Irrespective of these local selection preferences, tenants entitled to the benefits provided by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. 4201-4655) (“Relocation Act”) shall have priority over any applicant for placement in the Section 8 program.

3) *Local Selection Preferences for Conventional Public Housing, PBA New Construction, PBA Sub Rehab.*

- (a) Working families
- (b) Employment Incentive
- (c) Education or Employment Training

4) *Selection preference for mixed populations projects.*

DHA will give equal preference to elderly families and disabled families in determining priority for mixed-population projects.

Irrespective of these local selection preferences, tenants entitled to the benefits provided by the Relocation Act shall have priority over any applicant for placement in housing.

2.15 Description of Local Selection Preferences - Section 8 Tenant-Based Assistance Housing

Refer to the Section 8 Administrative Plan for a detailed description of local selection preferences for the Section 8 programs.

2.16 Description of Local Selection Preferences - Conventional Public Housing, PBA New Construction, PBA Sub Rehab

1) Working Families.

Eligible applicants will be selected within income ranges established in accordance with federal regulation (24 C.F.R. Part 5). No more than 40 percent of annual admissions will be based on this local preference. A local preference shall be given to the following families in descending order of priority:

- a) Income Tier II families, earning between 50% and 80% of the area median income as defined by HUD.
- b) Income Tier I families, earning from a level established by DHA up to 50% of the median income as defined by HUD.

The Income Tiers are defined by income range and family size, as shown on Addendum 1. Applicants will be selected sequentially from a local preference list. Within each preference list, those with a 1 priority will be selected before those with a 2 priority, and so on. If eligible applicants are in the same Income Tier, applicants will be selected chronologically, based upon the date and time of application.

2) Employment Incentive.

A preference for families whose Head of Household or spouse is working a minimum of 20 hours a week or more and has been working for at least one year at the time of the application.

3) Education or Employment Training.

A preference for families whose Head of Household or spouse has been enrolled in an education or employment and training program for at least six months at the time of the application.

4) Selection preference for mixed population projects.

DHA will give equal preference to elderly families and disabled families in determining priority for mixed-population projects.

Irrespective of these local selection preferences, tenants entitled to the benefits provided by the Relocation Act shall have priority over any applicant for placement in housing.

2.17 Qualification and Verification of Claimed Local Selection Preference/Conventional Public Housing and Section 8 Programs

- 1) At time of application, the family may certify that they are eligible for the local selection preference. An official verification of the claimed selection preference

will be requested by the Occupancy Department. It is the applicant's responsibility to ensure that the Occupancy Department receives the verification within the given time frame.

If official verification is not received within the given time frame, the applicant will be placed on the waiting list without a preference. The verification is valid for ninety (90) days after receipt. If the applicant is not housed within ninety (90) days, eligibility for the preference must be re-verified.

- 2) The Section 8 Housing Choice Voucher program does not maintain a waiting list, it selects applicants by lottery. For more details refer to the Section 8 Administrative Plan.

2.18 Notification of Selection Preference Denial/Conventional Public Housing and Section 8 Programs

If DHA determines that an applicant does not qualify for a local selection. Preference claimed by the applicant, DHA shall notify the applicant, in writing, within five (5) working days of the determination. The notice shall contain a brief statement of the reasons for the determination, and state that the applicant has the right to meet with a representative of DHA. The meeting may be conducted by any person or persons designated by DHA, who may be an officer or employee of DHA, including the person who made or reviewed the determination or a subordinate employee.

2.19 Notification of Eligibility for Selection Preference/ Conventional Public Housing and Section 8 Programs

All applicants who are approved for admission into Conventional Public Housing or Section 8 Programs and qualify for a selection preference will be notified, in writing, of their preference status or any change in their preference status.

2.20 Notification of Eligibility for Admission Conventional Public Housing and Section 8 Programs

Each applicant determined to be eligible for admission to Conventional Public Housing and Section 8 Programs shall be notified, in writing, of such determination and of the approximate date (if possible) of occupancy, as estimated by DHA at the time of notification. A record of notification shall be maintained by DHA for a reasonable period of time.

2.21 Selection from the Waiting List/Conventional Public Housing

DHA shall follow the statutory requirement that at least 40% of newly admitted families, in any DHA fiscal year, be families whose annual income is at or below 30% of the area median income. To ensure this requirement is met DHA shall monitor, on a quarterly basis, the incomes of newly admitted families and the incomes of the families on the waiting list. If there are not enough extremely low-income families on the waiting list DHA will conduct outreach on a non-discriminatory basis to attract extremely low-income families to reach the statutory admission requirement.

2.22 Selection for PBA New Construction, PBA Substantial Rehabilitation

DHA shall follow the statutory requirements that at least 40% of newly admitted families, in any DHA fiscal year, be families whose annual income is at or below 30% of the Area Median Income. To ensure this requirement is met DHA shall monitor, on a quarterly basis, the incomes of newly admitted families and the incomes of families on the waiting list. Additionally, DHA shall admit families whose annual income is at or below 50% of the Area Median Income. To ensure this requirement is met DHA shall monitor, on a quarterly basis, the incomes of newly admitted families.

2.23 Selection from the Lottery Pool/Section 8 Tenant Based Assistance and Project Based Assistance

DHA shall follow the statutory requirement that at least 75% of families newly admitted to the Section 8 Tenant Based Program and 40% of the families newly admitted to the Section 8 Project Based Program, in any DHA fiscal year, be families whose annual income is at or below 30% of the area median income. To ensure that these requirements are met, DHA shall monitor, on a monthly basis, the incomes of newly admitted families, and the incomes of the families in the lottery pool.

2.24 Deconcentration Policy/Conventional Public Housing

It is the policy of the DHA to provide for the deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments, pursuant to current HUD regulations, and any subsequent amendments. Toward this end, DHA has instituted local preferences and will continue to affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each DHA fiscal year, staff will analyze the income levels of families residing in each of our developments. Based on this analysis, and the then current HUD regulations, we will determine which, if any, DHA developments are above, within, or below the DHA Established Income Range (EIR). Any developments above the DHA (EIR) will be designated as high-income building. Any buildings with incomes below the DHA (EIR) will be designated as low-income buildings. Based on this analysis, DHA will determine the marketing strategies and whether or not additional incentives will have to be developed and implemented to deconcentrate poverty.

2.25 Deconcentration Strategies

DHA may, in its sole discretion, utilize one or more of the following strategies to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development: 1) incentives designed to encourage families with incomes below the EIR to accept units in developments with incomes above the EIR, and vice versa; 2) target investments and capital improvements; and 3) continue use of working family preferences. These strategies may be used at different times, or under different conditions, but will always be provided in a consistent and non-discriminatory manner.

2.26 Community Service

In order to be eligible for continued occupancy, each adult family member must either: (1) contribute eight (8) hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program unless they are exempt from this requirement pursuant to DHA's Community Service Plan.

2.27 Site Based Waiting List – Villages at Curtis Park

The Villages at Curtis Park is a HOPE VI Redevelopment project. This mixed-income development is managed by a private property management company. The public housing units at this site are filled using a site-based waiting list. The Villages at Curtis Park application process is a random choice technique. The ranking of random choice pre-applications is done by computer using the applicant's social security number. The random order of the social security number determines the place on the waiting list in each local preference and bedroom size category. Integral Management Systems (IMS) implemented the waiting list at the Villages at Curtis Park, which is privately owned. Adoption of a site-based waiting list would not violate any court order, settlement agreement or be inconsistent with a pending HUD complaint. DHA will comply with all the requirements set forth 24 in C.F.R. §903.7(b)(2).

The site-based waiting list allows applicants to move to the development of their choice and will attract a more diverse population with a broader range of incomes to the Villages at Curtis Park.

1) The Occupancy Department inform applicants that Villages at Curtis Park is a new mixed-income community. They are told that the mixed-income community is comprised of market rate, tax credit and public housing units that are privately managed and that they must go to the Curtis Park site and place their name on the waiting list if they want to live in this mixed-income community. The site-based waiting list is administered by the Owner. DHA staff explains that the applicant may also fill out an application for housing in any of DHA's other public housing developments as well.

Each applicant will be provided with full disclosure of any options available in the selection of the development in which to reside including basic information about

available sites (location, occupancy, number and size of accessible units, amenities such as day care, security, transportation, and training programs) and an estimate of the period of time the applicant will likely have to wait to be admitted to units of different sizes and types (e.g., regular or accessible) at each site.

2) The site-based waiting list shall contain the following information for each applicant listed:

- a) Applicant name;
- b) Family unit size;
- c) Date and time of random choice pre-application;
- d) Qualifications for local preference; and
- e) Racial or ethnic designation of the Head of Household.

3) The order of admission to the waiting list will be based on:

- a) Date and time of application; or
- b) A random choice technique.

4) Placement on the waiting list will then be prioritized by the local selection preferences for Conventional Public Housing.

5) When the Curtis Park site-based waiting list is opened, DHA will ensure that the Owner gives public notice, by publication in local newspapers of general circulation and also minority media, that families may apply for the housing at the Curtis Park site. The public notice will state when and where to apply. The notice will comply with HUD fair housing requirements. The Owner, with DHA's approval, may adopt criteria defining how families may apply for assistance pursuant to a public notice.

6) As part of its Annual Plan, DHA will ensure that the Owner assesses changes in the racial, ethnic or disability-related tenant composition at the site that may have occurred during the implementation of this site-based waiting list. This required occupancy data shall be based on MTCS data and confirmed by an independent audit.

7) DHA will ensure that the Owner uses an independent tester or other means satisfactory to HUD to assure that the system is not being implemented in a discriminatory manner, and that no patterns or practices of discrimination exist. The results of the testing will be submitted to HUD.

8) DHA will ensure that the Owner takes any steps necessary to remedy any problems that surface during the review and takes the steps necessary to affirmatively further fair housing.

2.28 Site-Based Services – Casa Loma

During the second quarter of 2002, DHA will begin providing site-based outreach services to allow a person(s) to begin and complete the housing application process at

Casa Loma. Applicants will be eligible to apply for all housing options at this location. The site based outreach services include:

- 1) Person(s) interested in applying for housing picks up a housing interest card at that site.
- 2) Applicant fills out interest card and mails back the card to DHA.
- 3) DHA places applicant on interest card wait list.
- 4) When applicants are needed DHA sends out letter informing applicant to go to Casa Loma and pick up the formal application packet.
- 5) Applicant completes packet and returns completed application to Casa Loma.
- 6) Application is checked for accuracy at Casa Loma and forwarded to Occupancy for processing.
- 7) Applicant is sent a letter informing them of the date and time for the interview at Casa Loma.
- 8) Applicant is interviewed at Casa Loma.
- 9) Applicant if approved is placed on wait list.

2.29 Waiting List - Conventional Public Housing, PBA New Construction, PBA Substantial Rehabilitation

- 1) The waiting list shall contain the following information for each applicant listed:
 - (a) Applicant name;
 - (b) Family unit size;
 - (c) Date and time of application;
 - (d) Qualification for local preference; and
 - (e) Racial or ethnic designation of the Head of Household.
- 2) The order of admission to the waiting list will be based on either:
 - (a) Date and time of application, or
 - (b) A drawing or other random choice technique (i.e., lottery).
- 3) Placement on the waiting list will then be prioritized by the local selection preference for Conventional Public Housing, PBA New Construction, PBA Sub Rehab.
- 4) When DHA opens the public housing waiting list, DHA will give public notice, by publication in local newspapers of general circulation and also minority media, that families may apply for the Conventional Public Housing, PBA New Construction, PBA Sub Rehab program. The public notice will state when and where to apply. The notice will comply with HUD fair housing requirements and will state any limitations on who may apply. DHA may adopt criteria defining how families may apply for assistance pursuant to a public notice.
- 5) Currently DHA does not maintain a waiting list for the Section 8 program; the Section 8 application process is conducted by a lottery system.

2.30 Section 8 - Lottery System

The Section 8 application process is not a “waiting” list system; it is a “lottery” system. This system was created because previously thousands of people would apply with years between openings, which created crowd problems and a lack of hope.

The Section 8 Lottery pool will be opened once each year. A public notice will be issued in all applicable newspapers advertising the lottery system and lottery pool opening. Lottery cards will be available to the public at various DHA locations. A lottery instruction sheet is attached to each lottery card; instructions are given in three languages (English, Spanish, and Vietnamese). The applicant must pick up a lottery card; complete all information; sign and date it; mail it to DHA; and it must be postmarked and received by the established deadline date. Lottery cards must be mailed to DHA or they will be considered invalid. An incomplete lottery card is also considered invalid.

A public notice is placed in the newspaper advertising the date of the drawing. The numbers drawn are posted at each of the various DHA locations where lottery cards had been available. The numbers are drawn randomly by the computer system. Drawings from that pool will be conducted as available certificates and vouchers warrant.

The Occupancy Department will then mail letters to each of the applicants drawn and advise them to pick up an application packet from the Occupancy Department. Deadlines are established for completion and return of the packet. Completed and returned application packets are processed on a “first come, first serve basis.”

The Occupancy Department schedules interviews to verify the information and determine eligibility. Once the applicant is approved, he/she becomes a Section 8 participant and is then referred to the Section 8 Department for an orientation.

If there are any persons still in the lottery pool that were not drawn as of December 31st of the year, those lottery tickets are discarded. The lottery system gives the applicant an opportunity to enter the lottery pool each year. For more details refer to the Section 8 Administrative Plan.

2.31 Occupancy Guidelines for Conventional Public Housing and Section 8 Programs

- 1) The following guidelines are used to determine the number of bedrooms required to accommodate a family of a given size without overcrowding or over housing. These guidelines may be waived, on a case-by-case basis, for legitimate reasons or if it is necessary to achieve or maintain full occupancy.

<u>Number of Bedrooms</u>	<u>Number of Persons</u>	
	<u>Minimum</u>	<u>Maximum</u>
0	1	1
1	1	2
2	2	4

3	3	6
4	5	8
5	7	10

- 2) Dwelling units are assigned to avoid the necessity for persons of the opposite sex, other than Head of Household and spouse, to occupy the same bedroom. If necessary, at the time of admission, two (2) children of the opposite sex under the age of six (6) may be permitted to occupy the same bedroom. At the time of admission, the tenant may elect to have one (1) child under the age of three (3) occupy the parent's bedroom.
- 3) For the purposes of determining the number of bedrooms required, every family member, regardless of age, is counted as a person. In determining bedroom size, DHA will include children who are temporarily away at school, or children who are temporarily in foster-care.

2.32 Assignment of Units/Conventional Public Housing, PBA New Construction, PBA Substantial Rehabilitation

After an applicant approaches the top of the waiting list each applicant shall be assigned an appropriate size unit or handicap accessible unit, if such unit is necessary. In the event that two or more applicants requiring the same size or handicap accessible unit, (e.g., two working families requiring a three (3) bedroom unit), the date and time of application will determine which applicant is given the first offer.

In offering available units to elderly families and disabled families in mixed population projects, units with accessible features should first be offered to persons with disabilities who require the accessibility features of the unit in accordance with the requirements of 24 C.F.R. 8.27 and 24 C.F.R. 100.202(c)(3). If there are no applicants who would benefit from the accessible features, the units will be offered to other applicants in the order their names came to the top of the waiting list. Such applicants however, must sign a release form stating they will transfer to another unit, at their own expense, if at a future time, a family requiring a unit with accessible features applies. Any family required to transfer will be given a 30-day notice.

At the time the next successive applicant on the waiting list is selected, he/she shall be offered a suitable unit at the development which contains vacancies of that unit type in accordance with the final deconcentration rule. If the applicant rejects the first vacancy offered, the applicant shall be offered a suitable unit at the next available location. DHA shall make all such offers in sequence and there must be rejection of a prior offer before the applicant may be offered another location. If the applicant fails to make a decision as to whether he/she wants the unit offered within five (5) working days of the time it was offered, then it will be considered that the applicant has rejected the offer. If the applicant rejects two such offers, his/her name will be placed at the bottom of the waiting list for a period of six (6) months. At the end of the six (6) month period, the applicant will be required to contact the Occupancy Department and declare their continued interest in

Conventional Public Housing, PBA New Construction, PBA Sub Rehab and update their eligibility. In addition, a new date and time of application will become effective the same date the final offer of a suitable unit was rejected. If the applicant fails to comply, the Occupancy Department will delete the application from the active waiting list.

For purposes of this section, an applicant will not be considered to have been offered a unit if:

- a) The unit offered is not the proper size or does not contain the necessary handicap accessible features.
- b) The applicant is 62 years of age or older and requested a unit in a building designated as “elderly only,” but was not offered a unit in an “elderly only” building.
- c) The applicant requires a handicap accessible unit, but was not offered such a unit.

DHA will maintain a record of units offered, including location, date, and circumstances of each offer, and each rejection or acceptance for a reasonable time. DHA will note the reason for any rejection.

However DHA may, in its sole discretion, waive the policy set forth in this section in order to fully occupy the North Lincoln Campus of Learners.

2.33 Assignment of Vouchers/Section 8

Each applicant shall be assigned his/her appropriate voucher in sequence, based upon factors affecting preference, or lottery drawing, and dates of processing. In the event that two or more applicants have the same preference, date and time of processing will determine who is given the voucher.

2.34 Housing Assistance Limitation for Single Persons/ Conventional Public Housing and Section 8 Programs

A single person who is not an elderly or displaced person, or a person with disabilities, or the remaining member of a tenant family may not be provided:

- (1) For public housing and other project-based assistance, a housing unit with two or more bedrooms; or
- (2) For tenant-based assistance, housing assistance for which the family unit size as determined by DHA subsidy standard exceeds the one-bedroom level.

This provision shall not apply to the Section 8 Moderate Rehabilitation Program for Single Room Occupancy Dwellings for Homeless Individuals set forth in 24 C.F.R. part 882, subpart H.

2.35 Determination of Total Tenant Payment and Tenant Rent/ Conventional Public Housing and Section 8 Programs

1) Family Choice (Public Housing only):

- a) At admission and each year in preparation for their annual reexamination, each family is given the choice of having their rent determined under the formula method or having their rent set at the flat rent amount.
- b) Families who opt for the flat rent will be required to go through the income reexamination process every three years, rather than the annual review they would otherwise undergo. The following flat rents apply to DHA's public housing developments:

<u>Unit Size</u>	<u>Flat Rent</u>
Efficiency	\$ 513
One Bedrooms	\$ 650
Two Bedrooms	\$ 754
Three Bedrooms	\$ 1,175
Four Bedrooms	\$ 1,250
Five Bedrooms	\$ 1,325

- c) Families who opt for the flat rent may request to have a reexamination and return to the formula based method at any time for any of the following reasons:
 - 1) The family's income has decreased because of changed circumstances; loss or reduction of employment; death of a family member who contributes to the income of the family; and reduction in or loss of earnings or other assistance.
 - 2) The family's circumstances have changed increasing their expenses for childcare, medical care, transportation, education or similar items.
 - 3) Other circumstances creating a hardship on the family such that the formula method would be more financially feasible for the family.

DHA will immediately switch the family's rental payment from flat rent to income-based rent after verifying the basis for the financial hardship.

2) The Formula Method (Public Housing, PBA New Construction, PBA Sub Rehab only)

- a) The total payment is equal to the highest of:
 - 1) 10% of monthly income;
 - 2) 30% of adjusted monthly income; or

3) The welfare rent.

The family will pay the greater of the total tenant payment or the minimum rent of \$0 for Public Housing, and \$25.00 for PBA New Construction, PBA Sub Rehab.

In the case of a family who has qualified for the income exclusion, upon the expiration of the 12-month period described in section 2.35(h)(1), an additional rent benefit accrues to the family.

3) Minimum Rent (Public Housing and Section 8)

a) DHA has set the minimum rent at \$0 for the Public Housing Program. The minimum rent for the Section 8 Housing Choice Voucher Program, Moderate Rehabilitation Program and Project Based Assistance Program is \$25. However, if a family requests a hardship exemption, DHA will immediately suspend the minimum rent for the family until DHA can determine whether the hardship exists and whether the hardship is of a temporary or long-term nature.

1) A hardship exists in the following circumstances:

- i) When the family has lost eligibility for or is waiting for an eligibility determination for Federal, State or local assistance program;
- ii) When the family would be evicted as a result of the imposition of the minimum rent requirement;
- iii) When the income of the family has decreased because of changed circumstances, including loss of employment;
- (iv) When the income of the family has decreased because of changed circumstances, for medical costs, childcare, transportation, education, or similar items;
- (v) When a death has occurred in the family;
- vi) Other situations as determined in DHA's sole discretion.

4) Minimum Rent Exceptions for Hardship Circumstances

- 1) No hardship. If DHA determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.
- 2) Temporary hardship. If DHA reasonably determines that there is a qualifying hardship but that it is of a temporary nature, the minimum rent will not be imposed for a period of 90-days from the date of the family's request. At the end of the 90-day period, the minimum rent will be imposed retroactively to the time of suspension. DHA will offer a repayment agreement for any rent not paid during the period of suspension. During the suspension period DHA will not evict the family

for non-payment of the amount of rent owed for the suspension period. DHA may request reasonable documentation of hardship.

- 3) Long-term hardship. If DHA determines there is a long-term hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists.

For the Public Housing Program, these rent policies are retroactive to October 21, 1998. If a tenant in occupancy has qualified for one of the mandatory hardship exemptions DHA must make arrangements to reimburse the tenant for the overpayment by providing a cash refund or otherwise offsetting future rent payments.

2.36 Leasing of Units/Conventional Public Housing

- 1) Prior to admission, the lease shall be signed by the Head of the Household and spouse and subsequently executed by a duly authorized representative of DHA. All members of the household over the age of 18 must sign the “Authorization for the Release of Information/Privacy Act” form (HUD 9886 7/94).

For DHC property (PBA New Construction, PBA Sub Rehab) only: All members of the household over the age of 18 must sign the “Notice and Consent for the Release of Information/Privacy Act” for (HUD 9887 and HUD 9887A 6/95).

- 2) Prior to admission and to signing the lease, a physical inspection of the unit will be made by the prospective tenant and a representative of DHA to note any deficiencies.
- 3) The lease shall remain current at all times and must be in compliance with DHA policies as well as local, state and federal laws and regulations.
- 4) Notices of rent adjustment (or lease change clause), which amend the dwelling lease, shall be signed by a duly authorized representative of DHA and the tenant. However, the rental adjustment shall be effective as stated in the notice even if the notice of rent adjustment or lease change clause is not signed by the tenant.
- 5) *Remaining, Family Member:* If, for any reason, the signator(s) of the lease cease(s) to be a member of the tenant family, the lease shall be rendered null and void. DHA will review the Remaining Family Member’s eligibility for tenancy and has the right to refuse to enter into a lease with the Remaining Family Member who does not meet the applicable eligibility criteria set forth in section 2.3. Remaining Family Members may be required to move to a smaller unit if their current unit is too big once the other family members have vacated.
- 6) *Live-In-Aide:* A Live-In-Aide does not qualify as a Remaining Family Member. See Section 1.37 for more details.

- 7) If, during any period in which the lease agreement is in effect, there is a change in the tenant's status or rent, which results in the need to amend provisions of the lease, one of the following shall occur:
 - (a) The existing lease will be canceled and a new lease executed; or
 - (b) An amendment to the existing lease shall be executed; and
 - (c) All copies of lease amendments shall be signed by a tenant and a duly authorized representative of the DHA and a copy maintained in the tenant file.
- 8) If a tenant family transfers to a different dwelling unit in the same, or another public housing development operated by DHA, the existing lease shall be canceled and a new lease executed by the Head of Household and a DHA representative, for the dwelling unit into which the family is moving.
- 9) A security deposit will be required and shall be payable at the time the lease is signed. The amount of the security deposit will be an amount approved by DHA's Board of Commissioners.
- 10) DHA shall perform a physical inspection of all dwelling units according to established inspection policy and procedures, but no less frequently than once a year. Copies of all inspections shall be maintained in the tenant's file.

2.37 Leasing of Units/Section 8

Refer to the Section 8 Administrative Plan.

2.38 Transfer Criteria/Conventional Public Housing, PBA New Construction, PBA Substantial Rehabilitation

Tenants will receive priority for transfers, over applicants, for vacancies in all public housing, PBA New Construction and PBA Sub Rehab programs. However, the following conditions shall exist before a transfer will be granted:

- Tenant has consistently paid rent on time; and
- Tenant has not been filed for eviction in court during the past twelve (12) months; and
- Tenant has no balance due; and
- Tenant has lived in the present unit for at least twelve months; and
- Tenant has acceptable housekeeping and yard care habits; and
- Tenant has complied with the terms of their lease; and
- Tenant's unit must be in standard condition (no damage or abuse).
- Transfer must be in accordance with DHA's deconcentration policy.

Tenants may request a transfer for the following reasons:

- Need a larger unit
- Need a smaller unit
- Verifiable medical necessity/special needs (i.e., need an accessible unit etc.)

- Verifiable life threatening situation (e.g., tenant is an informant who has been threatened or the unit is not habitable because of structural damage)
- Employment, education, or training, is dependent upon the need to be closer to the appropriate location
- Upward mobility transfer applies only to tenants who meet all the other transfer criteria, and have the financial potential to be a participant in DHA's Home Ownership Program.
- HUD regulations require that transfers necessitated because of DHA's relocation efforts, be given priority, (e.g., deprogrammed units, modernization, demolition, changing units to home ownership units). A transfer will be required when a family not needing the features of an accessible unit is residing in such a unit and there is a qualified family in need of the accessible unit.
- Tenants who are eligible to participate in and successfully complete the training program for DHA's Homeownership Program, will be given priority for units designated for that program.

Transfers may be approved for other extraordinary reasons if recommended by Managers and approved by the Chief Operating Officer and Housing Management.

In order to determine the priority set forth above in which transfer requests will be considered, the criteria set forth above are given different numerical weights. Tenants who meet the transfer criteria and are placed on the waiting list must continue to meet the criteria while waiting for the transfer. Failure to maintain the eligibility for a transfer will result in removal of the tenant from the transfer waiting list until they meet the required criteria.

Tenants requesting transfers to buildings designated for "elderly only" must meet the transfer criteria discussed above and must be 62 years of age or older.

A separate transfer waiting list will be maintained for the North Lincoln COL program and selection for participation will be done by lottery. Tenants requesting transfers to the North Lincoln COL must meet the basic transfer criteria and once transferred must meet the program requirements as stated in the North Lincoln COL lease addendum.

Transfers between Conventional Public Housing and buildings owned by DHC will only be approved to accommodate health/special needs. However, the tenant must meet the eligibility criteria for the Section 8 program and the Low Income Housing Tax Credit ("LIHTC") program.

The provisions listed above are to be used as a guide to ensure fair and impartial means of assigning units for transfers. This policy does not create a property right or any other type of right for a tenant to transfer or refuse to transfer.

2.39 Re-Examinations/Re-Determinations/Conventional Public Housing, PBA New Construction, PBA Substantial Rehabilitation

Annually and at interim periods, as circumstances may require, the tenant will furnish information and certifications to DHA as to family income, employment, and family composition. This information will be used by DHA for determining if the rent should be changed and if the dwelling unit is still appropriate for the tenant's needs. DHA has set the minimum rent at \$0 for the Public Housing Program and \$25 for PBA New Construction and PBA Sub Rehab. The minimum rent for the Section 8 Housing Choice Voucher Program and Moderate Rehabilitation Program is \$50. However, the minimum rent may be increased as determined by resolution of the Board of Commissioners. For families who pay an income-based rent, a re-determination shall be conducted at least annually.

- 1) Rent is determined at time of move-in and remains in effect for the period between regular rent re-examinations, unless during this period any of the following occur:
 - a) A decrease occurs in gross household income. **All** changes must be reported to DHA within ten (10) days.
 - b) New income source or an increase occurs in gross household income. All changes must be reported to DHA within ten (10) days.
 - c) A change in family composition which shall mean an increase or decrease in the number of household members listed on the lease. Such change must be reported to DHA within ten (10) days of the change.
 - d) Tenant begins to receive public assistance or public assistance is terminated. Such a change must be reported to DHA within ten (10) days of its occurrence. However, a monetary sanction administered by the Department of Social Services, which decreases a tenant's monthly benefits, is not cause for rent re-determination.
 - e) If the amount of welfare is reduced specifically because the family engaged in fraud or failed to comply with an economic self-sufficiency or work activities requirement, the public housing rent or Section 8 contribution to rent cannot be reduced based on the benefit reduction. This provision is not applicable if the reduction is neither the result of the expiration of a lifetime time limit on receiving benefits nor a situation where the family has complied with welfare program benefits but cannot obtain employment.

When DHA receives a request for income re-examination and rent reduction predicated on a reduction in tenant income from welfare, it may deny the request only after having written verification from the welfare agency that the family's benefits have been reduced because of

non-compliance with economic self-sufficiency program or work activity requirement or because of fraud.

- f) Discovery that the tenant has misrepresented the facts upon which the rent is based, and the tenant is paying less than they should have been charged. In this case, the increase in rent shall apply retroactively. Repayment arrangements may be made in accordance with DHA policy. Repayment arrangements must be made with DHA or the lease may be terminated. Additionally, failure to make payments on the repayment agreement in a timely fashion will be cause to terminate the lease. If tenant intentionally misrepresents facts upon which rent is calculated a second time, it will be cause to terminate the lease.
- g) If, at the time of admission or re-examination, due to unusual circumstances, projected annual income cannot be determined with any reasonable degree of accuracy, a temporary rent for a specified period not to exceed ninety (90) days may be established. At the expiration of the specified period, the income becomes stable, a new rent will be established. DHA will continue to conduct periodic reviews as often as necessary to determine the rent.
- h)
 - 1) *“Public Housing Only”* Beginning October 1, 1999, DHA must not increase the annual income of an eligible family as a result of increased income due to employment during the 12-month period beginning on the date on which the employment is commenced. Eligible families are those that reside in public housing:
 - (a) Whose income increases as a result of employment of a family member who was previously unemployed for one or more years. For purposes of this section, “previously unemployed” includes a person who has earned, in the previous twelve months, no more than would be received for 10 hours of work per week for 50 weeks at the established minimum wage.
 - (b) Whose employment income increases during the participation of a family member in any family self-sufficiency or other job training; or
 - (c) Who is or was, within 6 months, assisted under any state program for temporary assistance for needy families funded under Part A of Title IV of the Social Security Act, as determined by DHA in consultation with the local TANF agency, and whose earned income increases.
 - 2) Phase-in of rent increases. Upon expiration of the 12-month period described above, the rent payable by a family may be increased due to continued employment of a family member except that for the 12-month

period following expiration of the 12-month disallowance, the increase may not be greater than 50 percent (50%) of the amount of the total rent increase.

- 3) Families who currently have an 18-month disregard or who qualify for such disregard on or before September 30, 1999 can continue that disregard as long as they would have qualified under the old rule.
 - 4) Each year at the time of the annual re-examination, the family has the option of selecting a flat rent amount in lieu of completing the re-examination process and having their rent based on the formula amount. Families paying flat rent shall have income reviews not less than once every three years.
 - 5) In the case of an interim re-determination, and the rent decreases, the adjustment will become effective the first day of the month following the re-determination. In the event of rent increase, the adjustment will take effect the first day of the second month following the re-determination, unless the rent increase results from finding of intentional misrepresentation under subsection 1(e) of this section.
 - 6) Changes in rent resulting from a scheduled re-examination will become effective on the anniversary date of the tenant's admission to Conventional Public Housing.
- i) An interim re-examination will not be required if an increase in income does not exceed \$200.00 per month. If the increase in income is greater than \$200.00 per month, an interim re-examination must be processed and the effective date of the increase will be the first of the second month following notification.
 - j) In the case of an interim re-determination, and the rent decreases, the adjustment will become effective the first day of the month following the re-determination. In the event of rent increase, the adjustment will take effect the first day of the second month following the re-determination, unless the rent increase results from finding of intentional misrepresentation under subsection 1(e) of this section.
 - k) Changes in rent resulting from a scheduled re-examination will become effective on the anniversary date of the tenant's admission to Conventional Public Housing.

2.40 Re-Examination/Re-Determination/Section 8

Refer to the Section 8 Administrative Plan.

2.41 Addition of Household Member or Live-In-Aide to Lease/Public Housing, PBA New Construction, PBA Substantial Rehabilitation

In order to add a Live-In-Aide or a household member, other than through birth or adoption, the family must request that the new member or Live-In-Aide be added to the lease. Before adding the new member or Live-In-Aide to the lease, the individual must complete an application form stating their income, assets, and all other information required of an applicant. The individual must provide their social security number, if they have one and must verify their citizenship/eligible non-citizen status. Their housing will not be delayed due to delays in verifying eligible immigrant status other than delays caused by the family.

A new family member will be required to go through the screening process similar to the process for applicants. DHA will determine the eligibility of the individual before adding them to the lease. If the individual is found to be ineligible or does not pass the screening criteria, they will be advised in writing and given the opportunity for an informal hearing or review. If they are found to be eligible and meet the screening criteria, their name will be added to the lease. At the same time, if the family's rent is being determined under the formula method, the family's annual income will be recalculated taking into account the circumstances of the new family member.

A Live-In-Aide is not a member of the tenant's family, as defined in Section 1.22 of this policy or federal regulations; before adding a Live-In-Aide to the lease, the tenant will have to provide documentation that he/she is disabled, elderly or near-elderly and the Live-In-Aide is a medical requirement. The Live-In-Aide is not required to meet all Conventional Public Housing eligibility requirements such as, by way of example and not limitation, income eligibility. However the Live-In-Aide must provide a CBI Record Report which may affect his/her eligibility to become a Live-In-Aide. Prior to being added to the lease the Live-In-Aide and tenant must complete the Live-In-Aide Acknowledgment. Live-In-Aide's income is not included in the family's income. When the individual needing the support services leaves the unit or fails to qualify for continued occupancy, the Live-In-Aide can no longer live in the unit and has no right to continued occupancy as a Remaining Family Member.

2.42 Addition of Household Member or Live-In-Aide to HAP Contract/Section 8

Refer to the Section 8 Administrative Plan.

2.43 Misrepresentations/Conventional Public Housing and Section 8

The tenant/Section 8 participant will be notified, in writing, of any misrepresentations or lease violations discovered during the re-examination, rent review, or other occurrences. The applicant, tenant or Section 8 participant certifies that accurate information has been provided regarding family composition, income, net family assets, allowances and deductions. Any misrepresentation is a violation of Federal regulations and shall result in lease termination, termination of Section 8 assistance and/or punishment under Federal law.

2.44 North Lincoln Campus of Learners

HUD has designated North Lincoln Park Homes (“North Lincoln”) as a Campus of Learners (“COL”). North Lincoln COL is designed to facilitate the process of self development through education and development of employment and technological skills by creating an environment where tenants live, learn and move out of the North Lincoln COL to other public housing, dispersed housing or alternative housing, depending upon availability.

Tenants of North Lincoln COL must sign a lease addendum which is an education contract whereby the tenants and all members of the household agree to enroll in and successfully complete their individualized education development plan and/or employment development plan and to work as a condition of living on the campus.

2.45 Denver Housing Corporation

The Denver Housing Corporation (“DHC”) is a non-profit corporation which is a general partner in a limited partnership that owns Mountain View, Eliot Elderly, Syracuse Plaza, Casa Loma, Dispersed New Construction, Dispersed Rehabilitation, Goldsmith Village and Pacific Place. DHA manages these properties for the limited partnership which are subsidized by Section 8 Assisted New Construction. These properties are also part of the LIHTC program. Consequently, applicants or participants must meet the eligibility requirements of both the Section 8 program and the LIHTC program. Some other differences include, by way of example and not limitation: (1) a single individual must be 62 years of age or older, or disabled to qualify to live at these properties; and, (2) tenants cannot participate in Conventional Public Housing programs including, but not limited to, First Step and Family Self-Sufficiency.

2.46 Designated Housing for Elderly Only

In accordance with 24 C.F.R. Part 945, HUD approved the designation of the following buildings for occupancy by the elderly only: Barney Ford, Walsh Manor, and the North Lincoln Park Homes Midrise. In the future any other properties designated as “elderly only” by HUD shall, upon passage of Board resolution, automatically be incorporated into this Policy. Applicants must be 62 years of age or older to be eligible to live in these designated buildings.

2.47 Pet Ownership

DHA has established a pet policy for tenants living in high-rise buildings and a service animal/companion animal policy for persons with disabilities. These policies are available for review upon request to the building manager.

Consistent with 24 C.F.R. §5.312(b), DHA will provide appropriate notice to each applicant, when he/she is offered a dwelling unit in a building designated for “elderly only” or persons with disabilities stating that: 1) tenants are permitted to own and keep common household pets in their dwelling units, in accordance with the pet policy; 2) animals that are used to assist persons with disabilities are excluded from the requirement of the pet policy; and 3) tenants may at any time request a copy of the current pet policy.

Pursuant to 24 C.F.R. Part 960, a resident of a public housing dwelling unit may own a common household pet in accordance with DHA's pet policy and dwelling lease.

2.48 Order of Precedence

This Admissions and Occupancy Terms and Policies defines DHA's policies for the Public Housing Program and Section 8 Programs, incorporating Federal, state and local law. If there is any conflict between this Policy and Federal law or HUD regulations, the laws and regulations shall prevail. If there is any conflict between this Policy and the dwelling lease or the grievance procedure, the dwelling lease or the grievance procedure shall prevail.

2.49 Paragraph Headings

The captions and headings set forth herein are for convenience of reference only, and shall not be construed so as to define or limit the terms and provisions hereof.

Addendum 1

Working Family Income Tier Ranges for Admission to Conventional Public Housing, PBA New Construction, PBA Sub Rehab

<u>Family Size</u>	<u>Tier I</u>	<u>Tier II*</u>
1	\$10,001 - \$22,500	\$22,501 - \$36,050
2	\$11,001 - \$25,750	\$25,751 - \$41,200
3	\$12,001 - \$29,000	\$29,001 - \$46,350
4	\$13,001 - \$32,200	\$32,201 - \$51,500
5	\$14,001 - \$34,800	\$34,801 - \$55,650
6	\$15,001 - \$37,350	\$37,351 - \$59,750
7	\$16,001 - \$39,950	\$39,951 - \$63,900
8	\$17,001 - \$42,500	\$42,501 - \$68,000

*Upper income range indicates admission limits.

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I Summary
PHA Name**

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GENERAL PROVISIONS

PURPOSE AND OBJECTIVE

The Section 8 Administrative Plan (the Plan) serves several purposes:

1. Establishes the Denver Housing Authority (“DHA”) policies for program implementation and administration.
2. Sets forth DHA's interpretation of any Department of Housing and Urban Development (“HUD”) rules which are open to interpretation.
3. Defines DHA's policies and procedures in areas where HUD rules are silent.
4. Assures consistent program operation.
5. Assures non-discrimination against families due to arbitrary decision-making.
6. Supports DHA's position when legal challenges occur.
7. Provides procedural guidance and direction to staff.
8. Is the document from which DHA derives its local legal authority.

The DHA Administrative Plan covers administration of the Section 8 Housing Choice Voucher Program, Section 8 Moderate Rehabilitation Program, and the Section 8 Project Based Assistance Program. This Plan also covers administration of HUD Special Admission Programs, as well as the administration of the programs from the point of application to cancellation. The Plan governs administration of the programs in accordance with the HUD regulations.

The objective of the Section 8 Programs is to provide rental housing assistance to extremely low-income families, very low-income families, and low-income families (where applicable), residing in or wishing to reside in, the Denver community, so they can obtain affordable, safe, decent, sanitary housing.

HOUSING AUTHORITY JURISDICTION

DHA's jurisdiction is the City and County of Denver. This jurisdiction also includes any other area by which DHA has entered into an inter-governmental agreement with that area.

UNUSUAL CIRCUMSTANCES

There may be circumstances which arise that do not fall under the provisions stated in this Plan. Those circumstances will be reviewed on a case-by-case basis. Appropriate actions will be taken as warranted. These actions will be documented by the Chief Operating Officer – Section 8/Client Services.

CONFLICT

In the event a conflict arises between or among the regulations identified in the “References” section listed above, the regulations specifically promulgated for the applicable project/program will take precedence. Additionally, in the event HUD regulations change the implementation of the Section 8 Programs governed by this document, the HUD regulatory changes will take precedence over the provisions of this Plan.

504 COORDINATOR

The 504 Coordinator can be contacted at 777 Grant Street, P. O. Box 40305, Denver, Colorado 80204, (720) 932-3144, Fax (720) 932-3009, TDD (720) 932-3111.

The Client Orientation Packet, Moving Packet, Re-Examination Packet, and Re-Determination Packet will contain the aforementioned information regarding the 504 Coordinator.

HOUSING PROGRAMS AVAILABLE

1. Housing Choice Voucher Program
2. Moderate Rehabilitation Program
3. Project Based Assistance Program

ELIGIBLE TYPES OF HOUSING

Eligible Housing includes: Single family dwellings, duplex, triplex, fourplex, row-type housing, multi-unit complexes, apartments, condominiums, town homes, mobile homes, and manufactured homes. Hotels, motels, nursing homes, college or school dormitories, other types disallowed by HUD regulations, or a unit occupied by its owner or by a person with any interest in the dwelling unit are not eligible types of housing.

SPECIAL HOUSING TYPES

At DHA's discretion, DHA may allow a family to use any of the following special housing types in accordance with the regulations of the program: single room occupancy housing, congregate housing, group home, shared housing, or cooperative housing and assisted living. DHA will follow HUD regulations regarding clients residing in these special housing types.

DHA OWNED UNITS

If a family leases a unit owned by DHA, DHA will utilize an outside entity to conduct HQS inspections, rent determinations, and rent reasonableness. DHA will follow HUD regulations regarding DHA-owned housing.

MANUFACTURED HOUSING

A family may reside in a manufactured home with assistance under the program. DHA will follow HUD regulations regarding clients residing in manufactured homes.

HOMEOWNERSHIP FOR THE DISABLED

DHA will work to develop a plan to offer Section 8 homeownership opportunities to disabled clients.

MAINTENANCE OF PROGRAM RECORDS

Past Participant files will be maintained for the following time frames:

1. Past participants leaving with no balance owed DHA: 3 years.
2. Past participants leaving with a balance owed DHA: seven years since last activity on account.

PROGRAM ELIGIBILITY

For Program Eligibility requirements see Section 2.3 and 2.5 of the Admissions and Occupancy Terms and Policies of the Housing Authority of the City and County of Denver.

PROGRAM ADMISSION

DHA may admit an applicant to the program either:

1. As a HUD Special Admission, or
2. As a lottery admission.
3. As a client porting in from another housing authority.

SPECIAL ADMISSION

A Special Admission is admission of an applicant that is not in the DHA Section 8 lottery pool, or without considering the applicant's position in the lottery pool. Special admission is used only when HUD awards program funding targeted for families living in specified units.

1. DHA must use the assistance for the families living in targeted units.
2. DHA may admit a family that is not in the DHA lottery pool, or without considering the family's lottery pool position. DHA will maintain records indicating that the family was admitted with HUD-targeted assistance.

NEW ADMISSIONS

75 percent of new admissions to the Housing Choice Voucher Program will be at or below 30 percent of the area median income.

SECTION 8 LOTTERY POOL

The Section 8 Program utilizes a lottery pool for admissions to the Program.

1. The Section 8 lottery pool will contain the following information for each applicant listed:

- (A) Applicant name.
 - (B) Family unit size.
 - (C) Date and time of application.
 - (D) Qualification for any local preference.
 - (E) Racial or ethnic designation of the head of household.
2. The order of admission from the lottery pool will be based on a random drawing or other random choice technique (lottery).
 3. Placement in the lottery pool will then be prioritized by:
 - (A) Local Preference.
 - (B) Special Admission.
 - (C) Regular Applicants.
 4. The lottery pool will be opened annually, depending on funding availability. The lottery pool will be open for one or two days, depending on vouchers available. At DHA's discretion, the opening may be for a period of more than two days.

When DHA opens the Section 8 lottery process, DHA will issue public notice that families may apply for the Housing Choice Voucher Program. The public notice will state the date the lottery pool will open, when and where to apply, the date the lottery pool will close, and the targeted group of the opening (if applicable).

DHA will issue the public notice by publication in local newspapers of general circulation and also minority media. The notice will comply with the Equal Opportunity plan and with HUD Fair Housing requirements.

The public notice will state any limitations on who may apply for available slots in the program.

DHA may adopt criteria defining what families may apply for assistance under a public notice.

5. As applicants are needed for the Section 8 Program, random drawings will occur from the lottery pool. DHA will determine the number drawn, based on need. Drawings will be advertised via public notice. Drawn numbers will be posted at all lottery pool sites.
6. At the end of each year the Section 8 lottery pool is purged.

SPECIAL ADMISSION PROGRAM

Single Room Occupancy Program

The Colburn Hotel Single Room Occupancy (“SRO”) is a HUD approved program that provides housing to homeless individuals. The Colburn Hotel is an 88-unit single room occupancy facility operated through the Moderate Rehabilitation Program.

First priority for units will be given to those individuals who are currently homeless. Since the Section 8 application process is a lottery system, applicants will be referred by the Colburn Hotel Management. They will maintain a waiting list of applicants who must meet eligibility criteria for Section 8 housing in accordance with Federal Regulations, 24 CFR 882.514 and 882.808.

The Colburn Hotel Management will conduct initial determination of Section 8 housing eligibility. They will then forward the application to the DHA Occupancy Department for final determination and approval. Applicants will not be housed until this final approval is received.

If the individual is not eligible for any reason, the Colburn Management will be notified of the same in writing.

Once the individual is determined eligible for DHA housing, the application will be sent to the Section 8 office. The individual will then become a participant in the Program in accordance with program regulations.

Section 8 Clients participating in this Special Admission program must comply with the same Family Obligations as all Section 8 Clients.

Program Outreach:

The Colburn Hotel will conduct outreach for client referrals through contact with several appropriate organizations. Those will include emergency and transitional shelters, mental health clinics, and alcohol/drug program clinics.

Supportive Services:

Supportive services available to the clients will include case management from the various agencies that referred the client including, but not limited to, alcohol and drug abuse services, mental health services, and AIDS-related services. Information regarding employment/training and education will also be made available. Monthly tenant meetings will allow for tenant input and participation in how management operates the Hotel and for sharing of information. The Colburn Hotel Management will furnish DHA with minutes from the monthly meetings and Annual Progress Reports so that DHA can monitor these services.

LOCAL PREFERENCES

According to each Memorandum of Understanding (MOU), DHA may limit the number of applicants that may qualify for any local preference. A special admission is not counted against the local preference limit. The local preference limit does not apply when an applicant is received in the DHA program under portability procedures.

The following Local Preferences are administered by DHA and are listed in order of preference:

1. DHA Displacement and Relocation Program

The following policy applies in instances where DHA requires current DHA or Denver Housing Corporation (“DHC”) residents to relocate due to a major reconstruction, demolition, or for any other reason.

All affected residents must be pre-approved for the Section 8 Program, by the Occupancy Department, before a voucher will be issued. Applicants will be issued a voucher through regular procedures, as specified in the DHA Admissions and Occupancy Terms and Policies and the Plan. All HUD regulations and DHA Section 8 procedures will apply to affected residents who become Section 8 clients.

When DHA receives a special purpose allocation for Public Housing Demolition or Disposition, DHA will offer the families the form of assistance DHA was allocated. The families must submit an application to DHA for the Section 8 Program, and must be eligible to participate in the Section 8 Program. The family cannot choose the form of assistance. If a family refuses the housing voucher, the family will be canceled and removed from the lottery pool.

Where appropriate and available, Section 8 vouchers will be utilized for the DHA Designated Housing Plan.

2. Colorado Health Network Program

The Colorado Health Network (“CHN”), in conjunction with private developers, provides case management and special needs housing to persons disabled by the Human Immunodeficiency Virus (HIV) and/or Acquired Immunodeficiency Syndrome (“AIDS”). In order to meet the special needs of this group in the Denver community, DHA will provide a maximum of thirty vouchers to participants under case management by CHN for this specific special need. The number of vouchers may vary depending upon ACC re-configurations due to changes in family composition requiring different bedroom sizes. The DHA local preference will be applied to those individuals who qualify pursuant to CHN’s definition of disabled specifically with HIV and/or AIDS, and who are participants in their case management. Applicants will be issued a voucher through

regular procedures, as specified in the DHA Admissions and Occupancy Terms and Policies and the Section 8 Administrative Plan.

Section 8 Clients participating in this special needs program must comply with the same Family Obligations as all Section 8 Clients.

3. Division of Family Employment Resources

The Division of Family Employment Resources (“DFER”) is a program administered by the Denver Department of Human Services (“DDHS”). The program identifies, counsels, and provides case management for families eligible for participation in self-sufficiency programs. DHA will provide fifty Section 8 vouchers to DDHS for use with the DFER Program. The number of vouchers may vary depending upon ACC re-configurations due to changes in family composition requiring different bedroom sizes. Applicants will be issued a voucher through regular procedures, as specified in the DHA Admissions and Occupancy Terms and Policies and the Section 8 Administrative Plan.

Section 8 Clients participating in this program are held to the same Family Obligations as all Section 8 Clients.

4. Atlantis Program

The Atlantis Corporation provides special needs housing to disabled persons. In order to meet the special needs of the disabled in the Denver community, DHA will provide a maximum of forty-five (45) vouchers to Atlantis for the disabled housing program at any given time. The number of vouchers may vary depending upon ACC re-configurations due to changes in family composition requiring different bedroom sizes. Applicants will be issued a voucher through regular procedures, as specified in the DHA Admissions and Occupancy Terms and Policies and the Section 8 Administrative Plan.

Section 8 Clients participating in this program must comply with the same Family Obligations as all Section 8 Clients.

5. Chronically Mentally Ill Program Administered by the City and County of Denver Planning Department - Housing Division

The City and County of Denver Planning Department Housing Division (PDHD), in conjunction with Mental Health Corporation of Denver (MHCD) provides case management and special needs housing to persons disabled by Chronic Mental Illness. In order to meet the special needs of this group in the Denver community, and to assist the City and County of Denver in resolution of the Goebel lawsuit, DHA will provide a maximum of 100 vouchers to participants in this special needs group and who participate

in case management by agencies associated with the City and County of Denver Planning Department Housing Division. The number of vouchers may vary depending upon ACC re-configurations due to changes in family composition requiring different bedroom sizes. Applicants will be issued a voucher through regular procedures, as specified in the DHA Admissions and Occupancy Terms and Policies and the Section 8 Administrative Plan.

Section 8 Clients participating in this program must comply with the same Family Obligations as all Section 8 Clients.

6. Colorado Coalition for the Homeless Families Program:

The Colorado Coalition for the Homeless (“CCH”) provides housing with health care and supportive services to multi-problem homeless families. DHA will provide a maximum of 56 vouchers to CCH for this homeless families housing program at any given time. The number of vouchers may vary depending upon ACC re-configurations due to changes in family composition requiring different bedroom sizes. Applicants will be issued a voucher through regular procedures, as specified in the DHA Admissions and Occupancy Terms and Policies and the Section 8 Administrative Plan.

Section 8 Clients participating in this special needs program must comply with the same Family Obligations as all Section 8 Clients.

ADMISSION DATE

Date of admission to the Housing Choice Voucher Program and Moderate Rehabilitation Programs is the effective date of the first Housing Assistance Payments (“HAP”) contract and Lease. The family becomes a participant on the effective date of the HAP Contract executed by DHA for the family (first day of the initial lease).

PARTICIPANT

A participant in the Housing Choice Voucher Program, Moderate Rehabilitation and SRO programs is a family that has been admitted to DHA’s program via an executed HAP contract and lease. The family becomes a participant on the effective date of the first HAP contract executed by DHA for the family (first day of the initial lease term).

RENT REASONABLENESS

Rent comparability to similar unassisted units within the same market area will be implemented for all units under the Section 8 Housing Choice voucher program, at the time of initial lease up and upon subsequent requests for a rent increase. DHA will

consider the location, quality, size, unit type, age, amenities, housing services, maintenance and utilities. DHA will disapprove any Request for Tenancy Approval (“RFTA”) for which it determines the rents are not supported by market comparables (reviewed quarterly) giving due consideration to location and the housing amenities offered. If an owner contests DHA's Rent Reasonableness, the owner may submit written documentation to support his/her contention. Written documentation includes: appraisals, rent roll of owner's comparable unassisted units, rent roll of similar unassisted units in similar area. A final determination will then be made by the Section 8 Inspector Supervisor.

UTILITY ALLOWANCE SCHEDULE

DHA will maintain a schedule of utilities in accordance with HUD regulations to be used in the determination of housing assistance payments to owners. The utility allowance schedule will be evaluated annually, using data compiled by Xcel Energy and the Denver Water Department.

Utility Reimbursement Payment checks will be mailed to those clients whose utility allowance is greater than the Tenant Rent. The Utility Allowance Payment (“UAP”) checks will be issued in the name of both the client and the utility company.

DHA will use the appropriate utility allowance for the size of the dwelling unit actually leased by the family. On request from a family that includes a person with disabilities, DHA will approve a higher utility allowance than the amount on the Utility Allowance Schedule, if a higher utility allowance is needed as a reasonable accommodation.

PAYMENT STANDARDS REVIEW

The Housing Voucher payment standard schedule will be reviewed annually. The schedule amounts will be set at 100 percent of the HUD Existing Program Fair Market Rents (“FMR”).

The payment standard for the family will be the lower of:

- 1) The payment standard for the family unit size, or
- 2) The payment standard for the unit size rented by the family.

SUBSIDY STANDARDS

Subsidy standards are published in the DHA Admissions and Occupancy Terms and Policies. Exceptions to these standards will be granted on a case-by-case basis, based on

reasonable accommodation for disability, health, medical, or elderly reasons, solely at the discretion of DHA.

ADMINISTRATIVE FEE RESERVE

DHA must obtain Board of Commissioners approval for any use of the Administrative Fee Reserve.

CLIENT PROVISIONS

PRIVACY RIGHTS

Client information is confidential. Current and forwarding address information, and family members claimed in the household will be released to Police Officials upon official verification of request.

At time of application, and at time of annual determination and interim examination, each client will sign a Privacy Statement, acknowledging that all family status information is released to HUD, and that this information is shared with other governmental agencies.

PREVIOUS VIOLATION OF A FAMILY OBLIGATION

A client who has been canceled from the Section 8 Programs for a violation of a Family Obligation, cannot re-apply to the Section 8 programs for a period of one year, provided the Section 8 Lottery Pool is open at that time. This one-year will allow the family to establish references in relation to the Family Obligation violation and allow DHA to determine if such a violation is likely to occur in the future. If the violator of the Family Obligation is no longer part of the household, the family may not be required to wait the one year period before re-application, provided the Section 8 lottery pool is open. Documentation must be provided by the family to verify that the violator is no longer part of the household, and will not be a member of the household. Allowable documentation includes, but is not limited to: proof of residence - i.e. utility, service bills, lease, etc.; and court documents.

OUTSTANDING AMOUNTS DUE OTHER PHAs

If an applicant owes an outstanding balance to another PHA, the applicant will not be admitted to the Section 8 Programs until the balance is cleared up to 7 years. If a client is already receiving Section 8 subsidy from DHA and it is discovered that the client owes another PHA an outstanding balance, the client must enter into a repayment agreement with the other PHA, and remain current on the repayment agreement, or he/she may be canceled from the program.

ISSUANCE OF VOUCHER

Each applicant shall be assigned his or her appropriate voucher in sequence, based upon preference, or lottery drawing, and date of receipt of complete application. In the event that two or more applicants have the same preference, date of receipt of completed application will determine who is given the voucher first.

Identification is required of all household members, photo identification is required for all family members over the age of eighteen (18). Acceptable identification includes: social security card, birth certificate, drivers license, city identification card, and/or state identification card.

Families will be briefed in accordance with the HUD regulations regarding the provisions of the Section 8 Program.

The head of household and any other adult family member is required to attend the family orientation. If an applicant misses a scheduled orientation appointment, without prior notification to the Section 8 office, the applicant will be canceled. If an applicant misses two scheduled orientation appointments, even if they have given prior notification to the Section 8 Office, they will be canceled. Exceptions to the above include: reasonable accommodation, death, serious illness, and natural disasters. Exceptions must be supported by written verification of incident, and approved by the Chief Operating Officer or her designee. A canceled applicant may re-apply for housing assistance if the Section 8 Lottery pool is open.

DHA will provide a landlord/owner listing in the Orientation Packet and the Section 8 Office, maintains a listing of available units in the Denver area who will accept Section 8 participants. Each client's Orientation Packet also contains a referral guide to various local agencies. The Section 8 Department will also maintain a listing of handicapped accessible units for those clients requesting information on accessible units. The Section 8 Department will take appropriate steps to assure effective communication with disabled applicants and participants. In the event a Section 8 client has a special need or requires a reasonable accommodation, the client will be referred to the DHA 504 Coordinator.

DHA encourages clients to seek units in non-poverty areas. DHA will explain during orientation the advantages of searching for a unit in a non-poverty area. A map is provided to each family showing these areas.

At the conclusion of all Program Orientation Sessions, the family representative will be required to sign and date the "Orientation Certificate" to confirm that all rules and pertinent regulations were explained to them.

DENIAL OF VOUCHERS

See Section 2.10 of the Admissions and Occupancy Terms and Policies of the Housing Authority of the City and County of Denver.

TERM OF VOUCHER

The initial term of the voucher is 60 days. Extensions may be approved as a reasonable accommodation for persons with disabilities, which must be approved by the 504 Coordinator. In order for the client to request a reasonable accommodation, the client must follow the Reasonable Accommodation in Housing Guidelines. Upon the client's request, Section 8 will provide the Reasonable Accommodation forms to the client.

ISSUANCE OF NEW VOUCHERS WHEN A CLIENT MOVES

If a client submits a Moving Notice to relocate to a new unit, the client will be issued a new voucher to allow them 60 days to find a new unit. The voucher number will remain the same, unless the bedroom size is changing. Extensions and re-issues will apply as stated above.

SHOPPING INCENTIVE

There is no shopping incentive for a family that rents a unit below the payment standard.

MAXIMUM INITIAL RENT BURDEN

A family must not pay more than 40 percent of the family's monthly adjusted income for rent when the family first receives Section 8 tenant based assistance for a particular unit. This rule only applies for the initial lease term of a unit after October 1, 1999. The rule is not applicable if the family stays in their same unit. The rule does apply at initial lease-up each time the family moves to a new unit.

MINIMUM RENTS

DHA has implemented a minimum tenant rent. This amount will be \$50.00 as approved by the Board of Commissioners.

Families are subject to the minimum total tenant payment, but may still be entitled to a utility reimbursement if the utility allowance is greater than the total tenant payment.

Exceptions to the minimum rent will be granted on a case-by-case basis for financial hardship solely determined by DHA. Hardship may include:

1. Family has lost eligibility or is awaiting eligibility for a federal, state, or local assistance program.
2. The family would be evicted as a result of the minimum rent.
3. The family income has decreased because of changed circumstances including loss of employment.
4. Death of a family member who contributes to the income of the family.
5. Other DHA approved circumstances.

DHA will not provide an exemption if the hardship is temporary. The family must demonstrate that the financial hardship is long term and provide documentation to support their claim.

If a family requests an exemption, DHA will suspend the minimum rent beginning the month following receipt of the request.

If the hardship is determined to be temporary, DHA will not impose the minimum rent for 90 days from the request. At the end of 90 days, the minimum rent will be retroactively reinstated and the family will be required to sign a repayment agreement for back assistance owed.

If it is determined there is no hardship, the minimum rent will be reinstated retroactively to the date of the suspension and the family will be required to sign a repayment agreement for back assistance owed.

If it is determined there is a hardship, the family will be exempt from the minimum rent until the hardship no longer exists. The family is required to report any changes in income, per the Changes in Family Status Section of this Plan.

PAYMENT STANDARD EXCEPTION

At DHA's discretion, DHA may submit data to HUD for Payment Standard Exceptions.

Voucher holders may request a payment standard exception if the family includes a person with disabilities. DHA may request from HUD a payment standard exception of up to 120 percent of the FMR if the payment standard exception is needed as a reasonable accommodation.

INCOME DISREGARD

If targeted funding is provided, DHA will disregard for 12 months the:

1. Earned income of family members who were unemployed for a year or more and became employed,
2. Family members income whose employment income increases as a result of participation in a family self-sufficiency program or job training program, or
3. Family member's income if they were receiving TANF benefits in the last six months and their income now has increased.

During the following 12 months, the family's rent may be increased 50 percent of the amount that would have been in effect without the disregard. Instead of the disregard, the family may ask DHA to set up an escrow account. The determination to implement the disregard or escrow account will be at the sole discretion of DHA.

DETERMINING ADJUSTED INCOME FOR PERSONS WITH DISABILITIES - DISALLOWANCE FOR EARNED INCOME

DHA will disregard increases in annual earned income by persons with disabilities (as defined in 24 CFR Section 5.403) receiving assistance under the Section 8 Housing Choice Voucher Program whose:

1. Annual income increases as a result of employment of a family member who is a person with disabilities and who was previously unemployed for one or more years prior to employment,
2. Whose annual income increases as a result of increased earnings by a family member who is a person with disabilities during participation in any economic self-sufficiency or other job training program; or
3. Whose annual income increase, as a result of new employment or increased earnings of a family member who is a person with disabilities, during or within six months after receiving assistance, benefits or services under any state program for temporary assistance for needy families funded under Part A of Title IV of the Social Security Act, as determined by DHA in consultation with the local agencies administering Temporary Assistance for Needy Families (TANF) and Welfare-to-Work (WTW) programs. The TANF program is not limited to monthly income maintenance, but also includes such benefits and services as one-time payments, wage subsidies and transportation assistance provided that the total amount over a six-month period is at least \$500.

Initial twelve month exclusion: During the cumulative twelve-month period beginning on the date a member who is a person with disabilities of a qualified family is first employed or the family first experiences an increase in annual income attributable to employment, DHA will exclude from the annual income of a qualified family any increase in income of the family member who is a person with disabilities as a result of employment over prior income of that family member.

Second twelve month exclusion: During the second cumulative twelve month period after the date a member who is a person with disabilities of a qualified family is first employed or the family first experiences an increase in annual income attributable to employment, DHA will exclude from annual income of a qualified family fifty percent of any increase in income of such family member as a result of employment over income of that family member prior to the beginning of such employment.

Maximum four-year disallowance: The disallowance of increase income of an individual family member who is person with disabilities is limited to a lifetime 48-month period.

CHANGES IN FAMILY STATUS

The family is required to report in writing to the Section 8 Department all changes in family composition and income within 10 days of the change. Failure to report said changes in 10 calendar days may result in cancellation from the Section 8 Programs.

Annual cost of living increases in public assistance benefits: Temporary Aid to Needy Families (“TANF”), Supplemental Security Income (“SSI”), Aid to Needy Disabled (“AND”), Social Security (“SS”), etc. will be verified at the time of the client's annual re-examination. These types of income verifications are good for one year. All other types of income verifications are considered current if they are not more than 60 days old.

At any time, DHA may conduct a re-determination of family income and composition.

At any time, a family may request a re-determination of income or family composition because of any changes since the last determination.

If a client's rent is increased due to unreported income, the increase will be effective retroactive to the date of the increase in income. If the client's rent is decreased due to unreported change in income, the decrease will be effective the first date of the month after completion of the re-determination.

Clients must report decreases in income by the 1st of the month in order to have the decreased rent effective for the first of the following month. If the paperwork to process the reduction is not received by the Section 8 Office by the 15th of the month, there is no guarantee that the decreased rent will be effective the 1st of the following month. If the paperwork is received by the end of the month, the decrease may be effective the first of

the following month. In any event, the decrease will be effective the 1st of the month following the date the client submitted all required paperwork, and third party verifications are received.

When the client reports an increase in income, the increase may be processed at the time of the annual re-examination. However, a re-determination will occur if the Total Family Contribution (“ TFC”) is less than \$50.00, or an additional family member is added, with or without income, or at DHA’s discretion for any other reason.

If there is a change in family unit size resulting from a change in family size or composition, the new family unit size will be used to compute the payment standard.

If the family chooses to add an adult member to the household, (spouse, common-law spouse, birth child, legal guardian child, disabled adult child, etc.), the family must provide all required information for the person requesting to be added to the household. This information includes, but is not limited to: police report, social security number, income information, etc. The family must submit written approval from the Landlord to add a member to the household. If the person meets Section 8 eligibility requirements, but the Landlord does not grant approval to add the person to the household, the family must move to another Section 8 eligible unit, in order to add the person and keep their Section 8 assistance.

If the family is responsible for delays in completing a re-determination, DHA may terminate assistance.

DHA may deny a request for a re-determination predicated on a reduction in client income from welfare after obtaining written verification from the welfare agency that the family’s benefits have been reduced because of:

1. Non-compliance with economic self-sufficiency program.
2. Failure to comply with work activities requirements.
3. Fraud.

This does not apply if the welfare reduction is the result of the expiration of a lifetime limit on receiving benefits, nor where the family has complied with welfare program requirements but cannot obtain employment. This provision is subject to the Section 8 informal hearing procedure.

Written notice to the family will be provided if such a request is denied.

HEAD OF HOUSEHOLD DESIGNATION

When there are two adults in the household, the family must designate who the head of household shall be. This designation is evidenced by signatures on the Lease or Housing Choice Voucher.

DEFINITION OF FAMILY

See Section 1.25 of the Admissions and Occupancy Terms and Policies of the Housing Authority of the City and County of Denver.

FAMILY ABSENCE FROM THE UNIT

The family may be absent from the unit for brief periods. Absence from the unit is defined as no family member residing in the unit. If the family is going to be absent from the unit for more than 30 days and wishes to retain their voucher, they must make a written request for such absence to be approved by Section 8. The family must also submit written approval from the landlord for the absence. The family may not be absent from the unit for a period of more than 180 consecutive days in any circumstances or for any reason. If the family is absent from the unit for more than 180 days, the family will be terminated from the Program. The family will be eligible to re-apply for the program when the lottery pool is opened.

In the case of family absence, assistance payments are terminated and the family is responsible for the full contract rent. In the case where no assistance has been paid for 180 days, the assistance contract will be terminated.

FAMILY BREAK UP

If an assisted family breaks up, (divorce etc.) the family member with legal custody of any dependents will remain in the Program. The other family member will be removed from the Program. In the event there are no dependents, or both adults have legal custody. DHA has the discretion to determine which family members will continue to receive assistance in the program. DHA will consider the following factors:

1. The interest of minor children or of ill, elderly or disabled family members.
2. Other relevant factors.

When a court determines the disposition of property between members of the assisted family in a divorce or separation under a settlement or judicial decree, DHA will be

bound by the court's determination of which family members continue to receive assistance in the program.

All cases of family break up must be documented and verified. Acceptable documents include: separation papers, divorce papers, abandonment papers, notarized statement from member leaving that they are doing so and forgoing any right to the Section 8 assistance.

ZERO INCOME OR UNSTABLE INCOME

Clients with unstable income or zero income may be required to report their income status monthly to the Section 8 Department. Clients will be provided with a supply of reports to send to the Section 8 Department on the first of each month. The client must state what their current income is and the source of income. Failure to provide the monthly statement may result in cancellation from the Section 8 Program.

ANNUAL RE-EXAMINATION

A client's annual re-examination date is based on the effective date of the client's last re-examination. The effective date of the annual re-examination will be no more than 12 months from the last re-examination. DHA may require re-examinations more frequently when the family has seasonal or irregular income, or for other good reasons.

Families will be sent one application notice asking for preliminary information needed for the re-examination. If the family does not provide the requested information within the specified time frame, the family may be canceled from the Program. Follow up requests may be made of the family to provide additional information needed to complete the re-examination. This follow up information must be provided within the specified time frame, or the family may be canceled from the Program.

If the client brings in the required re-examination paperwork before the effective date of their cancellation for failure to provide said documents, the client may be reinstated to the Program. Decisions to reinstate clients for this reason will be made by the Program Manager.

If a family is responsible for delays in completing the annual re-examination, DHA may terminate assistance, or make retroactive effective date for changes due to late re-examinations.

VERIFICATION OF STATEMENTS AND INCOME

All income and asset information will be verified by DHA. Verification of family composition will be satisfied by submitting birth certificates from the Bureau of Vital Statistics for all children in the family. Legal child custody papers, or other sufficient documents must be submitted once it has been determined that the mother and father do not live together.

When a client reports annual income that appears to be less than adequate for the family's needs, or if the family appears to be eligible for income that is not reported to be received (i.e. TANF, Welfare, Unemployment Compensation, Child Support, etc.), the absence of such income will be verified.

Verification obtained at re-examination must be no more than 120 days old on the effective date of the re-examination.

Client files will contain documentation of all verifications.

1. Client must furnish verification and provide authorization for DHA to obtain verification from a third party of all statements regarding income, assets, and allowances.

2. METHODS OF VERIFICATION AND AGE OF DATA

All income, assets, and each applicable deduction or exemption will be verified prior to admission and at each subsequent re-examination or re-determination.

The PHA will verify information through the five methods of verification acceptable to HUD in the following order:

- a. Up-front income verification (UIV)
- b. Third-party written verification
- c. Third-party oral verification
- d. Review of documents
- e. Certification/self-declaration

Up-Front Income Verification

The PHA will utilize up-front income verification tools, including TASS and the Work Number, whenever possible.

When HUD announces the availability of the UIV system for our PHA, we will utilize additional UIV tools, including a centralized computer matching system. The PHA will pursue other computer matching agreements with federal, state, and local government agencies.

Use of Third-Party Verification to Supplement Up-Front Income Verification

Up-front income verification replaces, to the maximum extent possible, the more time consuming and less accurate third-party verification process of contacting individual employers identified by families or reviewing outdated income verification documents. However, third-party verification may continue to be necessary to complement up-front income verification. Up-front income verification should not be considered an automatic substitute for other third-party verification. Rather, up-front income verification may supplement other verification documentation, such as original, current tenant-provided documents.

Third-Party Written Verification

Third-party verification is used to verify information directly with the source. Third-party written verification forms will be sent and returned via first-class mail. The family will be required to sign an authorization allowing the information source to release the specified information.

Two attempts to obtain third-party verification will be made before relying on another method. Verifications received electronically directly from the source are considered third-party written verifications.

Third-party verification forms, including computerized printouts, will not be hand-carried by the family under any circumstances. The PHA will send requests for third-party written verifications to the source at all times regardless of whether the family provides a computerized printout. The PHA will allow four weeks for return of third-party verifications. If third-party written verification is not used, the PHA will document the reasons in the file.

For applicants, verifications may not be more than 60 days old at the time of voucher issuance. For participants, they are valid for 120 days from date of receipt.

Third-Party Oral Verification

Oral third-party verification will be used when written third-party verification is delayed or impossible. When third-party oral verification is used, staff will be required to complete a Verification of Document

Viewed or Person Contacted form, noting with whom they spoke, the date of the conversation, and the facts provided. If oral third-party verification is provided by telephone, the PHA must originate the call. If third-party verification is not available, the PHA will compare the specified information to any documents provided by the family.

Review of Documents

In the event that third-party written or oral verification is unavailable or information has not been verified by a third party within four weeks, the PHA will annotate the file accordingly and utilize documents provided by the family as the primary source if the documents contain complete information.

All such documents, excluding government checks, will be photocopied and retained in the family file. When documents cannot be photocopied, staff viewing the documents will complete a Certification of Document Viewed or Person Contacted form.

The PHA will accept the following documents from families providing that tampering can be easily noted:

- a. Printed wage stubs
- b. Computer printouts from employers
- c. Signed letters (provided that the information is confirmed by phone)
- d. Other documents identified by PHA as acceptable verification

The PHA will accept faxed documents. The PHA will not accept photocopies. If third-party verification is received after documents have been accepted as provisional verification and there is a discrepancy, the PHA will contact the third-party source and the family to resolve differences.

The PHA will allow up to one week for families to provide documents when third-party verification is impossible to obtain. The PHA will not delay the processing of an application beyond 45 days because a third-party information provider does not return a verification in a timely manner.

Self-Certification/Self-Declaration

When information cannot be verified by a third party or by review of documents, families will be required to submit a self-certification. Self-certification means a notarized statement signed under penalty of perjury in the presence of a witness. The PHA will allow up to one week for a

family to provide a self-certification or self-declaration if other forms of verification are impossible to obtain.

VERIFICATION OF ASSETS

Family Assets

The PHA will utilize the current balance for savings accounts and the average six-month balance for checking accounts. The PA will require the information necessary to determine the current cash value of a family's assets (the net amount the family would receive if the asset were converted to cash).

To document the existence of an asset, acceptable verification may include:

- a. Verification forms, letters, or documents provided directly by a financial institution or broker

If third-party verification is not available, any of the following may be accepted:

- a. Passbooks, checking account statements, certificates of deposit, bonds, or financial statements supplied by a family if completed by a financial institution or broker
- b. Estimates by a stockbroker or real estate agent of the net amount a family would receive if it liquidated securities or real estate
- c. Real estate tax statements if the approximate current market value can be deduced from them
- d. Financial statements for business assets
- e. Copies of closing documents showing selling price and distribution of sales proceeds
- f. Appraisals of personal property held as an investment
- g. A family's self-certification describing assets or cash held at the family's home or in safe-deposit boxes

Assets Disposed of for Less Than Fair Market Value (FMV) During Two Years Preceding Effective Date of Certification or Recertification

At admissions and reexaminations, the PHA will obtain each family's certification that it has or has not disposed of assets for less than fair market value during the two years preceding the effective date of the certificate or recertification.

If the family certifies that it has disposed of assets for less than fair market value, the certification must show: (a) all assets disposed of for less than fair market value, (b) the date they were disposed of, (c) the amount the family received for each asset, and (d) the market value of each asset at the time of disposition. Third-party verification will be obtained whenever possible.

INCOME FROM ASSETS

Savings or Checking Account Interest and Dividend Income

Acceptable methods of verification include, in this order:

- a. PHA verification forms filled out or other statements supplied by the financial institution or, if these are not available, account statements, passbooks, or certificate of deposit statements supplied by the family.
- b. Broker statements showing the value of stocks or bonds and the earnings credited to the family (Earnings can also be obtained from current newspaper quotations or orally from brokers.)
- c. IRS Form 1099 from a financial institution provided that the PHA adjusts the information to project earnings expected for the next 12 months.

Interest Income from Mortgages and Similar Arrangements

Acceptable methods of verification include, in this order:

- a. A letter received directly from an accountant, attorney, real estate broker, the buyer, or a financial institution stating the interest due for the next 12 months (A copy of the check paid by the buyer to the family is not sufficient unless a breakdown of interest and principal is shown.)
- b. An amortization schedule showing interest for the 12 months following the effective date of certification or recertification.

Net Rental Income from Property Owned by Family

Acceptable methods of verification include, in this order:

- a. IRS Form 1040 with Schedule E (Rental Income)
- b. Copies of latest rent receipts, leases, or other documentation of rent amounts
- c. Documentation of allowable operating expenses of the property: tax statements, insurance invoices, bills for reasonable

- maintenance and utilities, and bank statements or amortization schedules showing monthly interest expense
- d. Lessee's written statement verifying rent payments to the family and the family's self-certification of net income realized.
- 3. DHA will require additional information prior to determining eligibility such as, but not limited to:
 - (A) Colorado Bureau of Investigations ("CBI") reports.

UNDER HOUSED OR OVER HOUSED CLIENTS

DHA will upgrade a family's voucher to the appropriate bedroom size, at the time of annual re-examination or at the time of a move, if the family is under housed, based upon availability of vouchers.

Clients who are over housed will be required to relinquish their over housed voucher and will be issued a voucher in the appropriate bedroom size at the time of re-examination or move. Failure on behalf of the family to report an over-housed situation may result in repayment of the excess of subsidized rent and/or cancellation from the Program.

FAMILY MOVES

The family must notify the Landlord and DHA, in writing, of its intent to move in accordance with the Landlord's Lease. The family's re-certification date does not change when the family moves.

If the family is in its initial lease period, the family must obtain a Mutual Rescission of the Lease from the landlord in order to move.

HOUSING AUTHORITY MAY DENY PERMISSION TO MOVE

At any time, DHA may deny permission to move due to the following:

- 1) If the family does not notify DHA and the owner before the family moves out of the unit or terminates the lease.
- 2) If the family has not reimbursed a PHA for any amounts paid to a landlord/owner under a HAP Contract.
- 3) If the family breaches an agreement with a PHA to pay amounts owed to a PHA.

- 4) If the family does not allow DHA and landlord/owner to inspect/repair the unit at reasonable times and after reasonable notice.
- 5) If the family is responsible for an HQS breach caused by the family.
- 6) If the family commits any serious or repeated violations of the lease, including any drug-related criminal or violent criminal activity. The family must promptly give DHA a copy of any landlord/owner eviction notice.
- 7) If the family owes a PHA money for any reason.
- 8) If DHA does not have sufficient funding for continued assistance.
- 9) Any other HUD allowed reason.

LIVE-IN-AIDE

A family may request that DHA approve a Live-In-Aide to reside in the unit and provide necessary supportive services. The family must complete the Live-In-Aide form. The Live-In-Aide form must be approved by the Section 8 Program Manager. The landlord of the unit must provide written approval of the Live-In-Aide being a tenant in the unit. A criminal background check will be conducted by DHA. A Live-In-Aide does not qualify as a remaining family member. At any time, DHA may refuse to approve a particular person as a Live-In-Aide, or may withdraw such approval if:

- 1) The person commits fraud, bribery, or any other corrupt or criminal act in connection with any Federal housing program.
- 2) The person commits drug-related criminal activity or violent criminal activity.
- 3) The person currently owes rent or other amounts to DHA or PHA in connection with Section 8 or Public Housing assistance.
- 4) The person is subject to a lifetime registration requirement under a state sex offender registration program.

The Live-In-Aide is added to the Section 8 lease, and noted as a Live-In-Aide. The Live-In-Aide is also noted as such on the HAP contract.

CONTINUOUSLY ASSISTED

A family is “continuously assisted” if the family is already receiving assistance under any provision of the 1937 Housing Act when the family is admitted to the Housing Choice Voucher and Moderate Rehabilitation programs.

REQUEST FOR TENANCY APPROVAL LIMITATION

The client is allowed to submit one RFTA at a time. The client may only submit another RFTA, if the previously submitted RFTA is voided.

A RFTA will be denied if it is not completed by both the landlord/owner and the client. It will be denied if it is not in DHA’s legal jurisdiction, is over the Fair Market Rent/Payment Standard, does not meet rent reasonableness or exceeds the 40% limitation, or for any other DHA determined reason. Reasons for denials will be communicated to the client and the landlord/owner promptly.

The RFTA must be submitted during the term of the voucher.

RELOCATION OF WITNESSES AND VICTIMS OF CRIME

HUD is directed to provide Section 8 assistance for the relocation of witnesses in connection with efforts to combat crime in public and assisted housing. DHA will accept written referrals from HUD.

All referred applicants must meet Section 8 eligibility criteria before admission to the program.

TERMINATIONS

The client may be terminated from the program for the following reasons which include, but are not limited to:

1. Any violation of the Family Obligations by any family member.
2. Lease violations by any family member.
3. Eviction from the program for serious lease violations.
4. Eviction from public housing by any family member.
5. Illegal use of a controlled substance by any family member.

6. Criminal activity that threatens the health, safety or right to peaceful enjoyment of other residents and persons residing in the immediate vicinity of the premises.
7. Criminal activity which may threaten the health or safety of the owner, property management staff, or persons performing a contract administration function or responsibility on behalf of DHA.
8. Alcohol abuse by any family member.
9. Termination from a Section 8 assistance program by any family member.
10. Failure to sign consent forms.
11. Actual or threatened abusive or violent behavior toward DHA personnel by any family member.
12. Damages to the unit caused by any family member.
13. If any family member is subject to a lifetime registration requirement under a state sex offender registration program.
14. If any family member has committed fraud, bribery or any other corrupt or criminal act in connection with any federal housing program.
15. If any family member is convicted of manufacturing or producing methamphetamine on the premises of any assisted housing site, including common areas and grounds.
16. If a family participating in the Family Self Sufficiency (“FSS”) Program fails to comply, without good cause, with the family’s FSS Contract of Participation.
17. If the family currently owes rent or other amounts to a PHA or to another PHA in connection with Section 8 or public housing assistance under the 1937 Act.
18. If the family has not reimbursed any PHA for amounts paid to a landlord/owner under a HAP contract for rent, damages to the unit, or other amounts owed by the family under the lease.
19. If the family fails to fulfill its obligations under the Section 8 Welfare to Work Voucher Program.

20. If the family breaches an agreement with a PHA to pay amounts owed to a PHA, for amounts paid to a landlord/owner by a PHA. (The PHA, at its discretion, may offer a family the opportunity to enter an agreement to pay amounts owed to a PHA or amounts paid to an owner by a PHA. The PHA may prescribe the terms of the agreement.)
21. A family member does not establish citizenship or eligible immigration status.
22. Any other HUD allowed reason.

DHA may consider all of the circumstances in each case in deciding to terminate assistance.

DHA may impose, as a condition of continued assistance for other family members, a requirement that the family members who participated in or were culpable for the action or failure will not reside in the unit.

DRUG RELATED AND VIOLENT CRIMINAL ACTIVITIES

Section 8 clients who have been evicted, pursuant to court action, from a unit for drug related or violent criminal activities will be canceled from the Section 8 Program. If a Section 8 client or a member of a client family has engaged in or was convicted of: a 1) drug related criminal activity; 2) violent criminal activity; 3) other criminal activity which may threaten the health, safety or right to peaceful enjoyment of the premises by other residents or persons residing in the immediate vicinity; or 4) other criminal activity which may threaten the health or safety of the owner, property management staff, or persons performing a contract administration function or responsibility on behalf of DHA while participating in the program, the client will be canceled. If the landlord is issued a Cease and Desist Order for a family for drug related or violent criminal activities, the family will be canceled. If DHA determines, after initial occupancy, that the client or a member of the client's family, had engaged in or was convicted of drug related, violent criminal activities, or other criminal activities and either did not report the incident or conviction, or it was not uncovered at the time of application and admission to the Program, the client will be canceled.

DRUG/ALCOHOL DEPENDENCE

Notwithstanding any other provision of law, no individual shall be considered a person with disabilities for purposes of eligibility for low-income housing solely on the basis of any drug or alcohol dependence.

LANDLORD/OWNER PROVISIONS

PRIVACY RIGHTS

To the extent permitted by law, landlord/owner information regarding participation in Section 8 Programs will be kept confidential.

OUTREACH

DHA solicits landlord/owner participation through advertisements in local community newspapers, neighborhood associations, apartment and housing service agencies, and disability organizations specifically targeted in the non-poverty areas. In addition, an Annual Landlord Open House is conducted annually to encourage participation by landlord's and owner's outside of poverty areas.

Landlord/owners are also solicited through briefings. As appropriate, DHA may also provide incentives to encourage landlord/owner participation.

SECURITY DEPOSITS

Landlords/owners set their own security deposit. It must be a reasonable amount, and comparable to deposits for similar units.

FAMILY SUITABILITY FOR TENANCY

DHA has no liability or responsibility to the landlord/owner or other persons for the family's behavior or conduct in tenancy.

The landlord/owner selects the tenant and is responsible for tenant screening. The landlord/owner decides whether the family is suitable for tenancy. DHA's decision whether to admit an applicant to the program is not based on an applicant's suitability for tenancy. DHA has not screened the client's behavior or suitability for tenancy, such screening is the responsibility of the landlord/owner. The landlord/owner should contact DHA for the family's current and past landlord/owner history.

At the landlord's/owner's request, DHA will provide the landlord/owner the following information on potential clients or applicants:

1. Client's current and previous address.
2. Client's current and previous landlord's/owner's name and address.

3. Amount of damage claim(s) filed against the client.
4. Other information in DHA's possession including information about the tenancy history of family members.

If DHA was a past landlord of the client or has knowledge of a client's past tenancy, DHA will provide the potential landlord/owner a reference of that client's tenancy. DHA strongly encourages landlords/owners to contact DHA for reference information and to conduct tenant screening, and includes statements to this affect to the landlord/owner on and with the RFTA.

LANDLORD/OWNER CERTIFICATION OF RENTS

By accepting each monthly housing assistance payment from DHA, the landlord/owner certifies that the rent to landlord/owner is not more than the rent charged by the landlord/owner for comparable unassisted units on the premises. The landlord/owner must give DHA information (if requested by DHA) on rents charged by the landlord/owner for other units on the premises or elsewhere.

EXECUTION OF CONTRACTS

DHA is not responsible for any part of the rent prior to the date the unit passes the inspection or prior to the execution of the HAP.

After the unit passes Housing Quality Standards (HQS) inspection, the lease between the landlord/owner and client is signed and dated by both parties. The HAP contract is signed and dated by the landlord/owner. The HAP contract is subsequently executed on behalf of DHA by the Section 8 Program Manager or Occupancy Supervisor if all required paperwork is properly completed. A copy of the contract is then mailed to the landlord/owner. Prior to the execution of the HAP contract, the landlord/owner must inform DHA and the family of any knowledge of the presence of lead based paint on the surfaces of the residential unit.

The HAP contract is not effective until the unit has passed HQS and the lease is effective. The lease is not effective until it is signed by both the landlord/owner and the client, and the client is eligible to enter into a lease. The effective date of the lease cannot be a date before the date the lease is signed by both the landlord/owner and the client.

The landlord/owner must provide DHA the proposed rent, whether utilities are included in the rent, and the taxpayer ID number on a Form W9. If the landlord/owner submits an incomplete or not factual RFTA, or a Form W-9, DHA will not execute the HAP contract until the form(s) are corrected. If the IRS subsequently notifies DHA that the W-9 is incorrect, the HAP may be withheld until a corrected W-9 is received.

The HAP contract must be executed no later than 60 days from the beginning of the lease term. If a HAP contract has not been executed by Section 8 within the 60-day time limit, the HAP contract will be voided. New leases and contracts must be executed. DHA cannot pay any housing assistance payment to the landlord/owner until the HAP contract has been executed.

SEPARATE AGREEMENTS

The following types of landlord/client separate agreements are acceptable: agreement for parking, furniture and/or appliances, late charges, pets and pet deposits, community rules, covenants, or agreement to pay security deposit in installment payments. Separate agreements must be attached to the Residential Lease as a Lease Addendum. A copy of the Lease Addendum indicating the separate agreement(s) must be provided by the landlord/owner to the Section 8 Office.

The following types of landlord/client separate agreements are not acceptable: agreements for altered security deposit, altered rent amounts, excess utilities. The lease may not require the family to pay charges for meals or supportive services. Non-payment of such charges is not grounds for termination of tenancy. The landlord/owner may not charge the family extra amounts for items customarily included in rent in the locality, or provided at no additional cost to unsubsidized tenants on the premises.

If it is determined that a landlord/owner and client are participating in a separate agreement that is not acceptable to the Section 8 Program, the client will be canceled from the program and the landlord/owner will be banned from the program. The client may request an informal hearing regarding the cancellation.

EVICTIONS

Evictions may be conducted only by a landlord/owner and in accordance with state and local laws. Landlords/owners must give written notice to DHA of any such legal actions. Landlords/owners are required to provide the Section 8 Office copies of all court action papers regarding Section 8 clients.

Landlord/owner termination of tenant:

1. Landlords/owners must give the tenant a written notice that specifies the grounds for termination of tenancy. The notice of grounds must be given at or before commencement of the eviction action. The landlord/owner must comply with State laws regarding legal notices to the client.

2. Landlords/owners must give DHA a copy of any landlord/owner eviction notice to the tenant. An eviction notice is a notice to vacate, a complaint, or other initial pleading used under state or local law to commence an eviction action.
3. Landlords/owners may only evict the client from the unit by instituting a court action.

Not grounds for termination of tenancy:

1. DHA's failure to pay the housing assistance payment to the landlord/owner is not a violation of the lease between the tenant and the landlord/owner. During the term of the lease the landlord/owner may not terminate the tenancy of the family for non-payment of DHA housing assistance payment.

Provided the landlord/owner initiates an eviction action in accordance with the lease, and follows all pertinent laws and files all pertinent actions, and supplies the Section 8 office with copies of all pertinent legal documents; the landlord/owner is entitled to HAP payment until the client voluntarily moves or is evicted.

TERMINATIONS

The landlord/owner may terminate the lease for lease violations at any time. The landlord/owner may terminate the lease for any other reason at any time after the initial lease term. The landlord/owner must follow state and local laws in giving termination notice to the family, and must provide DHA a copy of the termination notice immediately.

LANDLORD/OWNER PAYMENTS

Landlord/owner payments will be held if the unit fails to meet HQS. The landlord/owner payment will also be held if the landlord/owner has instituted eviction or other court action, the ownership of the unit has changed, the ownership is in question, the tenant gives notice to vacate, the tenant's assistance is in question, or any other reason that DHA determines that the HAP contract has been breached.

If a landlord/owner is in the eviction process, the landlord/owner should notify Section 8 before the third week of each month if the tenant is still in the unit, so that the payment can be released.

LANDLORD/OWNER RENT INCREASES

The landlord/owner cannot request a rent increase during the initial term of the lease. After the first term, the landlord/owner may request a rent increase in accordance with the landlord/owner lease. All units will be tested for rent reasonableness at the time of the rent increase. For Mod Rehab, rent increases may not exceed the Annual Adjustment Factor (“AAF”) published by HUD. HUD Regulations regarding Special Adjustments will be utilized in those instances where the rent increase exceeds AAF.

By making the rent increase request, the landlord/owner acknowledges that during the initial term of the lease, the landlord/owner has complied with all requirements of the HAP contract, including HQS. The landlord/owner must notify the client and DHA no later than 60 days prior to the effective date of the rent increase. The landlord/owner must send written notification to the client and to DHA. The rent increase will be made effective on the first of the month following the 60 days notice to the client and DHA.

LANDLORD/OWNER MAINTENANCE RESPONSIBILITIES

The landlord/owner is responsible for maintaining the unit in accordance with HQS, including performance of ordinary and extraordinary maintenance.

DISQUALIFICATION OF LANDLORD/OWNER FROM PROGRAM

If a landlord/owner violates any obligation under any HAP contract, commits fraud, or makes any false statements to DHA in connection with any federal housing program, or has engaged in drug related criminal activity or violent criminal activity, the landlord/owner may be disqualified from participating in the program, and if already participating, may be terminated indefinitely from the program and may have all current HAP contracts canceled.

In addition, the landlord/owner may be terminated or disqualified from the program if the landlord/owner has a history or practice of failing to terminate tenancy of Section 8 families or other federally assisted families if any member of the household, a guest, or another person under the control of any member of the household:

1. Threatens the right to peaceful enjoyment of the premises by other residents.
2. Threatens the health and safety of other residents, employees of DHA, landlord/owner employees, or others in the housing management.
3. Threatens the health and safety of, or the right to peaceful enjoyment of their residences, by persons residing in the immediate vicinity of the premises.
4. Has engaged in drug related criminal activity or violent criminal activity.

PENALTIES FOR LATE HAP PAYMENT

The Housing Authority must pay the housing assistance payment promptly when due the landlord/owner. The HAP contract sets forth the circumstances pursuant to which the Housing Authority will be obligated to pay the landlord/owner a penalty for the late payment of housing assistance payments.

CLIENT/LANDLORD PROVISIONS

LEASING

The landlord/owner must submit his lease to the Section 8 Department for approval. The lease form must be in the standard form used in the locality by the landlord/owner. It must contain terms that are consistent with state and local laws that generally apply to unassisted tenants in the same property. The lease must specify what utilities and appliances are to be supplied by the landlord/owner and what utilities and appliances are to be supplied by the family.

The family must have legal capacity to enter into a lease under state and local laws. If a family cannot enter into a lease during the term of their voucher, they will be terminated from the program.

Once the lease is approved for Section 8 use, the landlord/owner must attach the HUD Lease Addendum to the lease. Any revisions or new leases must be approved by the Section 8 Department prior to execution with a Section 8 client.

Prior to admission, the landlord's/owner's Lease and HUD Lease Addendum, shall be signed by the head of household and the landlord/owner. The Lease is to be current at all times and must be compatible with DHA policies, as well as federal, state and local laws.

HAP CONTRACT TERM

The term of the HAP contract begins on the first day of the lease term and ends on the last day of the lease term.

The lease term is effective when: 1) the family is eligible under Section 8 program requirements to enter into a lease, 2) the unit has passed HQS, and 3) the lease has been signed by both the landlord/owner and family.

TERMINATION OF HAP CONTRACTS

When DHA terminates a HAP contract, the landlord/owner and the family will be notified in writing.

The HAP Contract terminates at the end of the calendar month that follows the calendar month in which DHA gives such notice to the owner.

Automatic termination of HAP payments results when:

1. A family vacates the unit either in violation of the lease or by mutual agreement with the landlord/owner before termination of the lease/contract, or
2. The lease is terminated by the landlord/owner or the family.
3. The landlord/owner will not renew the HAP contract or extend the current lease, or
4. The sole participant dies, or
5. There has been no HAP for 180 days, or
6. DHA terminates assistance for the family.

DHA will give written notice of termination in the following situations:

- If a unit has HQS violations and the landlord/owner fails to correct deficiencies;
- The family has paid Contract Rent for six (6) months after a re-examination, which determined the family's share equaled the Contract Rent; or
- Any reason stated under Client Terminations in this document, or
- The landlord/owner violates the terms of their HAP contract, or the family violates the terms of their Certificate of Family Participation, Housing Voucher, or Statement of Family Responsibilities.

NEGOTIATING RENT TO LANDLORD/OWNER

The landlord/owner and the family negotiate the rent to landlord/owner. At the family's request, DHA will assist the family to negotiate the rent to landlord/owner. DHA will assist in negotiating reasonable rents with the landlord/owner.

HOUSING QUALITY STANDARDS INSPECTION

GENERAL PROVISIONS

Clients must allow landlords/owners and DHA to make inspections of their units at reasonable times and after reasonable notice. If the client does not cooperate with scheduling and attending inspections, they may be canceled from the Program. The client must allow the landlord/owner to make repairs to the unit to meet HQS standards. If the client does not allow the landlord/owner to make required repairs to the unit, the client will be canceled from the Program.

The landlord/owner and the client must maintain the unit in accordance with housing quality standards, and HUD regulations. Failure to do so could result in abated HAP payment or termination of the HAP contract.

The family is responsible for a breach of the HQS that is caused by any of the following:

1. The family fails to pay for any utilities that the landlord/owner is not required to pay for, but which are to be paid by the client;
2. The family fails to provide and maintain any appliances that the landlord/owner is not required to provide, but which are to be provided by the client; or
3. Any member of the household or guest damages the dwelling unit or premises (damages beyond ordinary wear and tear).
4. If an HQS breach caused by the family is life threatening, the family must correct the defect within no more than 24 hours. For other family-caused defects, the family must correct the defect within no more than 30 calendar days (or any DHA approved extension).
5. If the family has caused a breach of the HQS, DHA must take prompt and vigorous action to enforce the family obligations. DHA may terminate assistance for the family in accordance with HUD regulations.

DHA may charge the family a penalty fee for not showing up or allowing access for a scheduled HQS inspection. The penalty fee determined by DHA shall be a reasonable amount.

INITIAL INSPECTIONS

When a client submits a RFTA, an inspection will be performed on the unit to assure HQS. If the unit inspection fails HQS, the landlord/owner and client will be advised of such. The landlord/owner will contact DHA when the unit is ready for re-inspection, a maximum of 30 days after initial inspection. DHA will then conduct a follow-up inspection if the client is still interested in the unit. If the unit fails HQS for a second time, the unit will not be considered suitable for the client and the client must locate another unit. If the client chooses to not lease the unit after the unit has failed the initial inspection, the client must advise the Section 8 Department of such. The client may then be eligible to submit a RFTA for a different unit.

Both the landlord/owner and the client are required to be present at the initial inspection. The DHA Inspector will take the Residential Lease and HAP contract to the inspection. Providing the unit passes HQS inspection, and meets rent reasonableness, the landlord/owner and client may enter into the Residential Lease on the day the unit passes HQS inspection, if the client is eligible to enter into a lease on that day. If the client or the landlord/owner fails to attend the scheduled inspection, the inspection may not be performed and the leases and contracts will not be signed. An inspection will be re-scheduled once, if either of the parties fails to be present. If either of the parties fails to be present for the re-scheduled inspection, the HAP contract with the landlord/owner will not be entered into until signatures are obtained or will be canceled.

If the voucher expires before the landlord/owner makes the required repairs to the unit, and the unit is repaired and meets HQS standards within 30 days after the initial inspection, the voucher will be honored and a HAP contract will be executed on behalf of the client for that specific unit, effective the date of the passed inspection and the effective date of the lease, if the client still chooses to lease the unit. The lease is effective the date it is signed by both the landlord/owner and the client, and the client is eligible to enter into a lease. If the voucher expired and the unit does not meet HQS within the allotted 30 days, the client will be canceled from the Program.

COMPLAINT INSPECTIONS

A client or a landlord/owner may request a Complaint Inspection after attempts by the landlord/owner and client to resolve the situation have proven to be unsuccessful. If the unit fails to meet HQS, the landlord/owner will have 30 days to correct the deficiencies. If the failed items are of an emergency nature, the landlord/owner must repair the items within 24 hours. An emergency item includes: no heat in winter, no potable water, no flushing toilet, no hot water, no refrigerator, gas or electricity problems, or any other item that DHA determines is an emergency threatening life, safety, or property. If the unit does not meet HQS within the allotted 30 days or 24 hours without a DHA approved extension, the landlord's/owner's HAP contract will be canceled. The client will be advised that they must move from the unit if they wish to continue their housing assistance, or that if they elect to remain in the failed unit, they will be canceled from the Section 8 program. Rent to the landlord/owner may be abated for the period that the unit does not meet HQS. Abatement may be retroactive to the date the unit initially failed HQS inspection.

ANNUAL INSPECTIONS

Annual inspections will occur for each client unit. The landlord/owner and/or client, or an adult age 18 or over, must be present at the inspection so that the Inspector may gain access to the unit. If the client does not attend the inspection and does not make arrangements for the Inspector to gain access to the unit, the client will be canceled from the Program. Inspection appointments are set by the Inspection Department. Appointments will only be changed or re-scheduled if the client has an emergency situation, i.e. hospitalization, out of town, etc. This emergency situation must be documented. If the unit fails to meet HQS, the landlord will have 30 days to correct the deficiencies. If the failed items are of an emergency nature, the landlord/owner must repair the items within 24 hours. An emergency item includes: no heat in winter, no potable water, no flushing toilet, no hot water, no refrigerator, gas or electricity problems, or any other item that DHA determines is an emergency threatening life, safety, or property. If the unit does not meet HQS within the allotted 30 days or 24 hours, the landlord's/owner's HAP contract will be canceled. The client will be advised that they must move from the unit if they wish to continue their housing assistance, or that if they elect to remain in the failed unit, they will be canceled from the Section 8 program. Rent to the owner may be abated for the period that the unit does not meet HQS. Abatement may be retroactive to the date the unit initially failed HQS inspection

APPLIANCES

The dwelling unit must have an oven, and a stove or range, and a refrigerator of appropriate size for the family. All of the equipment must be in proper operating condition. The equipment may be supplied by either by the landlord/owner or the family. A microwave oven may be substituted for a client-supplied oven and stove or range. A

microwave oven may be substituted for a landlord/owner-supplied oven and stove or range if the client agrees and microwave ovens are furnished instead of an oven and stove or range to both subsidized and unsubsidized clients in the building or premises.

LEAD BASED PAINT

DHA will follow HUD regulations and requirements regarding lead-based paint.

CLIENT CAUSED HQS FAILURE

1. DHA will not determine if the client has caused damages to the unit. If a landlord/owner claims the client caused/is causing damage, the landlord/owner may:
 - a. Evict the client for lease violations.
 - b. Contact DHA for an HQS inspection, if the damage has resulted or may result in HQS failure.
2. If an HQS breach caused by the family is life threatening, the family must correct the deficiency within 24 hours. For other family-caused deficiencies, the family must correct the deficiency within 30 calendar days.
3. If the family has caused a breach of the HQS, DHA will take prompt and vigorous action to enforce the family obligations. DHA may terminate assistance for the family in accordance with HUD regulations.

EXTENSIONS

Repair time frame extensions may be requested by the landlord/owner. Requests must be in writing and must demonstrate need for extension, i.e., weather delays, construction delays, etc. Extensions will not be given for failures that are a threat to health and safety.

Clients may only request extensions if the extension request is approved in writing by the landlord/owner.

COLLECTION PROCEDURES

CLIENT REPAYMENT AGREEMENTS

DHA utilizes administrative repayment agreements for unreported income and damage claims. If the client fails to follow the terms of the repayment agreement for a damage claim, unreported income or fraud, the client may be canceled from the Program and/or referred to a Collection Agency.

The Housing Technician may execute repayment agreements with clients; all repayment agreements will be approved by the Program Manager. The head of household and spouse (if applicable) are required to sign the repayment agreement.

If the client refuses to enter into a repayment agreement for a damage claim, DHA will refuse to enter into a new lease with the client, thereby canceling them from the Section 8 Program at the time they wish to move.

Any clients who are requesting a move and submitting a RFTA will first be investigated for damage claim and unreported income repayment status. If a repayment agreement was entered into and is not up to date, the client must make the repayment agreement up to date before the RFTA will be accepted by DHA. If the repayment agreement is not brought up to date, the RFTA will not be approved, and the client may also be canceled for failure to abide by the repayment agreement. If the client is current on the repayment agreement, DHA will issue a new voucher to the family, allowing them to move.

If a client who owes DHA money has not entered into a re-payment agreement, and wishes to move; DHA will not accept a RFTA and will not re-issue their voucher unless: they pay the amount due in full or enter into a repayment agreement with 30 percent of the amount due paid as a down payment. Based on the individual cases of each client, DHA has the option to take either action listed above.

If a client's account has been turned over to a collection agency for collection, due to default on a repayment agreement, the client will not be re-issued a voucher to move until the balance is paid in full.

DHA reserves the right to not enter in to a repayment agreement at any time, based on willful neglect or abuse by the client, resulting in a damage claim. In this case, DHA will refer the account to collection and will cancel the client from the program.

If the client commits fraud and has a damage claim, procedures regarding client fraud take precedence over procedures for damage claims.

DHA reserves the right to not enter into a repayment agreement at any time for unreported income or willful fraud and may instead cancel the client from the program.

DHA may exercise this right under the following circumstances, however DHA's right is not limited to these circumstances: intentional fraud, excessive amounts of unreported income, and failure of the client to cooperate with fraud investigation. Under these and similar circumstances, DHA may also demand payment in full, along with canceling the client from the Program, and turning the account over to a collection agency.

DHA reserves the right to charge the market interest rate on all repayment agreements. A down payment of 30 percent of the total repayment agreement may be required from clients entering into repayment agreements.

Repayment agreements will have a monthly payment schedule, based on the financial capabilities of the family and the amount owed. A late payment of 30 days or longer is considered default of the repayment agreement.

A family may request an extension of the monthly due date, provided the extension request is in writing and is received prior to the due date of the monthly payment. Extensions must include verification supporting the reason for the extension. Verification may include: doctor's statements, court documents. Extensions must be approved by the Program Manager.

DHA reserves the right to turn repayment agreements over to an independent collection agency.

If a client brings their repayment agreement up to date before the effective date of their cancellation for default on a repayment agreement, the client may be reinstated to the Program. Decisions to reinstate clients for this reason will be made by the Program Manager. Clients who make such payments before their effective cancellation date will only be allowed to do so twice within a twelve-month period. If this situation occurs a third time, the client will be canceled from the Program, and their account turned over to the collection agency.

LANDLORDS/OWNERS

If it is determined that a landlord/owner owes DHA for overpayment of housing assistance payments, the landlord/owner will be notified in writing of the overpayment amount. If the landlord/owner does not repay the amount due, the HAP contract may be canceled and the landlord/owner may be banned from the Program. DHA reserves the right to turn landlord/owner debts into a collection agency. If the landlord/owner has other active HAP contracts, amounts owed will be collected from amounts due under those contracts.

FRAUD COLLECTIONS

Families owing amounts to DHA, other PHAs, or to HUD directly or indirectly as a consequence of fraud to a federal housing program are not eligible to receive Section 8 assistance. Applicants will be advised of the amount owed in writing and given an opportunity to repay the amount in order to be placed in the lottery pool. If it is discovered that a client owes amounts to DHA, other PHAs, or to HUD directly or indirectly as a consequence of fraud to a federal housing program, they will be advised of the amount, will be given the opportunity to repay the amount, or may be canceled from the Program.

PORTABILITY

DHA has the option of absorbing or administering incoming vouchers. Determination to absorb or administer will be made on a case-by-case basis, based on the number of available vouchers, current funding levels and other relevant data.

If the client is porting to an area that is in more than one Public Housing Authority (“PHA”) jurisdiction, DHA may choose which PHA the client must go to.

Families cannot exercise portability if money is owed to DHA. Families who request a portable voucher, must pay all monies owed to DHA before the portable voucher will be processed.

Clients who hold vouchers through any of the aforementioned Local Preferences, will be allowed portability in accordance with the voucher program regulations.

Once a portable client has been absorbed by the DHA, they are eligible to transfer out of the jurisdiction once they have remained in their unit for the initial term of the lease. Exceptions will be considered for reasonable accommodations based on disability .

DHA requires applicants who were non-residents of Denver at the time of their admission to the Section 8 program to initially lease a unit in DHA’s jurisdiction to be eligible for portability.

DHA AS INITIAL PHA

The following information will be provided to the receiving PHA:

- HUD Form 50058
- HUD Form 52665 (9/95)
- Housing Choice Voucher
- Other information regarding the client that DHA believes is necessary for the receiving PHA to know including but not limited to: pending legal actions, pending evictions.
- DHA is required to submit 50058 data to the HUD MTCS system. Failure to submit required 50058 will result in payments from DHA being withheld until corrected.

Clients are responsible for providing the receiving PHA with copies of birth certificates and social security cards.

DHA AS RECEIVING PHA

The following documents will be required from the initial PHA:

- HUD 50058
- HUD 52655 (9/95)
- Housing Choice Voucher
- Other Information regarding the client that DHA believes is necessary for it to know including but not limited to: pending legal actions, pending evictions.

DHA will notify the initial PHA if the family fails to submit a RFTA before the voucher expires. Under HUD regulations, DHA, as the receiving PHA, has the right to extend any voucher to the full 120 days. DHA will extend vouchers only if the circumstances require it and the extension meets the Extension Policy as outlined in this Plan. DHA will advise the initial PHA that a voucher has been extended and the reason why. DHA will perform a recertification on all clients whether the client is administered or absorbed.

The Agency to which an amount is owed will be responsible for collecting amounts owed for claims. The initial PHA will monitor the effect of those claims on unit transfers.

DHA will bill the initial PHA every 30 days. If the initial PHA does not remit payment to DHA within 90 days, DHA reserves the right to pursue any and all collection activities. These activities may include withholding payment due the initial PHA for amounts due from DHA portability clients.

DHA will enter 50058 information in MTCS system as required by HUD regulations.

MODERATE REHABILITATION PROGRAM

Unless stated below, facets of the Moderate Rehabilitation (“Mod Rehab”) Program are the same as listed in other areas of this Administrative Plan.

FAMILY PARTICIPATION

The Section 8 application process is a lottery system. Therefore, DHA does not maintain a waiting list for the Mod Rehab Program.

DHA’s policy is in accordance with HUD regulations which allow the landlord/owner to refer applicants as follows: “Owner selection of Families...the Owner may advertise or solicit applications from Low-Income Families and refer such Families to the PHA to determine eligibility. Since the Owner is responsible for tenant selection, the Owner may refuse any Family provided that the Owner does not unlawfully discriminate.”

If the family claims discrimination, the family may request DHA’s assistance in resolving the matter. DHA will follow HUD regulations regarding claims of discrimination.

This referral by the landlord/owner must be in writing to the Section 8 office. All referred applicants must meet all DHA Housing Eligibility requirements. Once an applicant is referred to the Section 8 Department, a preliminary appointment will be scheduled to complete an application packet. Upon review of the application packet, an interview is then scheduled with the Occupancy Department to verify the information and determine eligibility.

When the Mod Rehab HAP contract is canceled, eligible clients may be issued priority selection for a Section 8 Voucher, depending on circumstances and subject to voucher availability.

Mod Rehab clients who wish to relocate to another Mod Rehab unit may do so at time of the expiration of the one-year lease, if there is another Mod Rehab unit available. A list of available housing through the Mod Rehab Program will be available to clients wishing to relocate. Thirty day written notice of their intent to vacate the unit and not enter into another one-year lease must be submitted to the landlord/owner and to DHA. Mod Rehab clients may not relocate to another Mod Rehab unit if they owe the landlord/owner under the current lease for damages or any other amounts due under the lease, or if they owe DHA any monies.

Once eligible families are referred to the Section 8 Department via Occupancy, they will be processed as any other Section 8 client.

RENT INCREASES

Rent increases will be monitored on a per contract basis. Such annual increases will not be allowed to exceed the AAF.

UTILITY ALLOWANCES

The utility allowance schedule used for the Mod Rehab Program will be the same as is used for the Section 8 Existing Program. Revised schedules will be implemented at the time of client re-examination.

DAMAGE AND VACANCY CLAIM NOTIFICATION

The client must repay DHA for any amount that DHA paid to the landlord/owner for a damage or vacancy claim. The family will be notified in writing, at their last known address, of the amount approved by DHA for the damage/vacancy claim. The notice will state that the client must contact DHA within 10 calendar days if they dispute the claim. DHA will allow the client to request an informal hearing to dispute the landlord's claim. The informal hearing will be held in accordance with the Informal Hearing Procedure.

DAMAGE CLAIMS

Damage claims are only payable for clients with a lease date effective prior to October 2, 1995.

Leases and contracts executed after October 2, 1995, are not entitled to DHA damage claims. Those landlords/owners should prevent damages to units and collect damages to units caused by Section 8 clients in the same manner they prevent/collect from non-subsidized renters.

If a landlord/owner has knowledge of damages to the unit which may exceed the security deposit held by the landlord/owner, and is seeking reimbursement from DHA for client damages, the landlord/owner must provide documentation of the damages via photographs or video, and other documentation, such as move-in and move-out inspections, receipts for repair, etc.

Charges for normal wear and use, pre-existing conditions, routine turnover and cleaning costs, and scheduled interior and exterior painting will not be allowed. Under no circumstances will DHA cover damages or loss due to pets. Repainting of a unit after one year of tenancy is considered normal maintenance expense and is not allowed. DHA does not reimburse for unpaid client repair bills that are over two months old unless the owner can document collection efforts. The maximum hourly labor rate charge for a

landlord/owner completing their own repairs is reviewed annually, and is maintained in Section 8 Inspection Department.

Vandalism, stolen, or missing items that are charged on a damage claim must be supported by a police report made by the landlord/owner.

PRORATION

The following items will be considered for reimbursement based on the following proration table. The receipt for the item in question must be provided in order to document the original purchase date.

Exterior Doors	10-year life expectancy
Bathtub	10-year life expectancy
Refrigerator	7-year life expectancy
Stove	7-year life expectancy
Dishwasher	5-year life expectancy
Asphalt Tile Squares	5 year life expectancy
Floor Covering (Vinyl Sheet)	5-year life expectancy
Carpet	5-year life expectancy
Sink	5-year life expectancy
Screens/Storm Doors	5-year life expectancy
Painting/Wallpaper	1 year life expectancy
Garbage Disposal	1 year life expectancy

The following items will not be prorated; they will be reimbursed for actual cost:

- windows
- dry wall
- interior doors

If the unit is in a multi-unit complex (duplex, triplex, etc.) and outside damages are charged (fence, paint, roof, etc.), specific charges to a specific client must be supported and documented by the landlord/owner, i.e. police report for vandalism, statement from witness to damage, etc. If the charges cannot be determined to be the fault solely of the client, then the cost of the damages will be divided between the number of units in the complex, and only that portion applicable to the one unit will be allowed.

The landlord/owner must provide the client with a list of the claimed damages via registered mail to the client's last known address, per State law. A copy of this notice must be submitted with the damage claim. The landlord/owner must submit evidence that every effort was made to collect charges due from the client before submitting a claim to DHA. This evidence includes copies of: State required security deposit statement mailed to client, list of damages mailed to client and copy of registered mail receipt, letters mailed to client.

Landlord/owners must submit damage claims on the form provided by DHA and all supporting documentation to DHA within 60 days of the lease termination. Landlords/owners may request an extension to the 60-day limit. The request for extension must be in writing to the Section 8 Department, must be received by the Section 8 Department before the expiration of the initial 60 days, and must document the requested extension time frame. Attached to the extension request must be documentation that the extension is justified, i.e. statement from the contractor that work has been delayed, notices from suppliers that supplies are on back order, etc. Additional requests for extensions must be submitted and documented per the procedures for the initial extension request.

Supporting documentation for repairs will consist of itemized list of damages, actual cost of required repairs, receipts and/or written verification of all charges, invoice copies, itemized lists of labor costs signed by the person performing the labor, evidence of billing to and non-payment by the client (registered mail return receipt). Expenditures or charges not substantiated with written receipts or otherwise acceptable verification are not allowable claims for damage claim reimbursement. Proposals to complete work will not be accepted as paid invoices.

If the landlord/owner fails to send the deposit accounting to the client within the State law allotted 30 days, and/or fails to provide a copy to DHA, the claim will be denied.

If, after the landlord/owner receives a payment from DHA, amounts are collected from the family by the landlord/owner which would reduce DHA's payment, the landlord/owner must repay DHA for that amount.

DHA will notify the family of the amount DHA intends to pay the landlord/owner on behalf of the family for a damage claim. DHA will give the family 10 days in which to respond to DHA if they dispute the amount of the damage claim. If the family disputes the amount, DHA will allow the client and/or landlord/owner to request an informal hearing. The informal hearing will be held in accordance with the Informal Hearing Procedure.

A landlord/owner may also resolve the dispute in either of the following two manners:

1. An amount mutually agreed upon by both the landlord/owner and the family. This amount must be documented in writing to DHA, and signed and dated by the landlord/owner and the family.
2. A judgment amount ordered by a legal court of law, i.e. small claims court. Judgment amounts must be documented officially by the court.

Upon receipt of either of the above mentioned resolution documents, or upon an opinion by the Informal Hearing Officer in favor of the landlord/owner, the damage claim

payment to the landlord/owner will be processed. Regardless of any court judgment amount, the maximum damage claim payment made by DHA to the landlord/owner will be the amount stated in the HAP Contract between DHA and the landlord/owner.

LANDLORD/OWNER COLLECTIONS EFFORTS OF DAMAGE AND VACANCY CLAIMS

The landlord/owner must make every attempt to collect damage and vacancy amounts from the client before submitting a damage or vacancy claim to DHA.

VACANCY CLAIMS

Vacancy claims are only payable for clients leasing the unit prior to October 2, 1995. The Mod Rehab and SRO contracts permit the landlord/owner to bill DHA for vacancy losses when the family vacates the unit in violation of the Lease.

Vacancy Loss may be claimed for the following conditions:

- The client moved out, without cause, during the term of the initial Lease.
- The client moved out without proper notice.
- The landlord/owner evicted the family through court action and has complied with the HAP contract.

When the family vacates under these circumstances, the landlord/owner may:

1. Keep the housing assistance payment received for the month in which the family vacates, and
2. Bill DHA for 80% of the Contract Rent for a vacancy period not to exceed one additional month or the termination of the lease, whichever comes first, assuming the unit is not re-rented.

If the family vacates in accordance with the lease, no vacancy claim can be made by the landlord/owner.

To be eligible for a vacancy loss payment, the landlord/owner must:

1. Immediately notify DHA of the vacancy.

2. Take all feasible actions to fill the vacancy, such as advertising the vacancy, and not reject eligible applicants except for good cause. Documentation of these actions is required.
3. Not be eligible for payments on the vacated unit from some other source.

The landlord/owner must submit a claim, on the form provided by DHA, for vacancy loss, along with supporting documentation. Supporting documentation should include: official notification to DHA of the vacancy, proof that the landlord/owner has taken reasonable action to fill the vacancy.

A move date is mutually agreed upon by both the landlord/owner and the family, either by:

1. The family submits a Notice to Vacate to the landlord/owner and the landlord/owner accepts the Notice to Vacate; or
2. The landlord/owner and the family enter into a Mutual Rescission of the Residential Lease.

The HAP contract and the Section 8 Residential Lease terminate on the effective date of the Notice to Vacate or the Mutual Rescission. Housing assistance payments will not be made on behalf of the family after this date. If the family does not vacate the unit on the mutually agreed upon date, the family is not subsidized, and the family must make the entire rent payment themselves. If the family does not make the entire rent payment, the landlord/owner must privately pursue rent collection. DHA is not liable for housing assistance payments after the effective date of either of these notices, even if the family does not move from the unit.

If the landlord/owner and the family mutually agree to rescind the Notice to Vacate or the Mutual Rescission of the Residential Lease, they must do so in writing, signed and dated by both the landlord/owner and the family, and must submit this document to the Section 8 Office.

This written rescission must be given to the Section 8 office prior to the effective date of the Lease termination.

LAW ENFORCEMENT AND SECURITY PERSONNEL

To increase security, Section 8 assistance may be provided to police officers and other security personnel who are not otherwise eligible for assistance. The landlord/owner must apply to the HUD Field Office for authorization to house over-income police officers and other security personnel.

NEW ADMISSIONS

Forty (40) percent of new admissions to the Mod Rehab Program will be at 30 percent of the area median income. The remaining 60 percent of new admissions may be up to 80 percent of the area median income.

TERMINATIONS

The landlord/owner must follow HUD regulations regarding termination of tenancy.

PROJECT BASED ASSISTANCE PROGRAM

Unless stated below, facets of the Project Based Assistance (“PBA”) Program are the same as listed in other areas of this Administrative Plan.

PROGRAM OBJECTIVES

DHA's plan for its Section 8 PBA Program is designed to accomplish two major objectives:

1. Maintain a supply of assisted rental housing for low-income individuals and families.
2. To enhance community housing stock.

The DHA Housing Management Department will administer the PBA process through execution of the HAP contract. The DHA Housing Management Department is responsible for the following aspects of the program:

- Compliance with 24 CFR 983.3 through 983.12
- Compliance with 24 CFR 983.51 through 983.57
- Solicitation and screening of proposals
- Initial screening
- Development process
- Preliminary feasibility analysis
- Selection of proposals
- Developer notification
- DHA/Developer conference
- Work write-up
- Unit inspections
- Final feasibility analysis
- Agreement to enter into a HAP contract
- Execution of HAP contract
- Compliance with 24 CFR 983.101 through 983.151
- Determination and HUD approval of contract rents in compliance with 24 CFR 983.12.
- Establishment of individual project files

When the units are ready for occupancy, the Section 8 Inspector will oversee inspection of the physical improvements and HQS condition of each unit. This will occur after notice of completion has been issued by the developer to the DHA Housing Management Department.

ANNUAL ADJUSTMENT OF RENT TO LANDLORD/OWNER

Rent increases will be monitored on a per unit basis. Such annual increases will not be allowed to exceed the AAF.

At each annual anniversary date of the HAP contract, DHA will adjust the rent to the landlord/owner in accordance with the following requirements:

- 1) The landlord/owner must request a rent increase by written notice to DHA at least 120 days before the HAP contract anniversary date.
- 2) During the year before the contract anniversary, the landlord/owner complied with all requirements of the HAP contract, including compliance with inspections for all contract units.

The new adjusted rent equals the lesser of:

- 1) Current rent to owner multiplied by the applicable Section 8 AAF;
- 2) The reasonable rent as determined by DHA; this may include a comparability study using form HUD-92273 (Estimates of Market Rent by Comparison); or
- 3) The rent requested by the landlord/owner.

Special adjustment of rent to landlord/owner.

This will be allowed in accordance with HUD regulations and only with HUD's approval.

INITIAL OCCUPANCY

The Section 8 application process is conducted through a lottery system previously explained in this Administrative Plan. Therefore, DHA does not maintain a waiting list for the Section 8 PBA Program.

DHA's policy is in accordance with HUD regulations which allows for the landlord/owner to refer applicants as follows: "The Owner may advertise or solicit applications from eligible low-income Families and refer such Families to the PHA to determine eligibility. Since the Owner is responsible for tenant selection, the Owner may refuse any Family provided that the Owner does not unlawfully discriminate."

This referral by the landlord/owner must be in writing to DHA Section 8 office. All referred applicants must meet all DHA Housing Eligibility requirements. Once an

applicant is referred to the Section 8 Department, a preliminary appointment will be scheduled to complete an application packet. Upon review of the application packet, an interview is then scheduled with the Occupancy Department to verify the information and determine eligibility.

Once eligible families are referred to the Section 8 Department via Occupancy, they will be processed as any other Section 8 client.

FAMILY PARTICIPATION

When the HAP contract is canceled, due to landlord/owner failure to comply with program rules, or HUD regulations, eligible tenants will be issued priority selection for a Section 8 Voucher and will not be subject to voucher availability unless issuance of a voucher is denied under HUD regulations.

PBA clients who wish to relocate to another PBA unit may do so at time of the expiration of the one-year lease, if there is another PBA unit available. A list of available housing through the PBA Program will be available to clients wishing to relocate. Thirty day written notice of their intent to vacate the unit and not enter into another one-year lease must be submitted to the landlord/owner and to DHA. PBA clients may not relocate to another PBA location if they owe the landlord/owner under the current lease for damages or any other amounts due under the lease, or if they owe DHA any monies.

TENANCY/TERMINATIONS

The landlord/owner will follow HUD regulations regarding Assisted Tenancy and Terminations.

UTILITY ALLOWANCES

The utility allowance schedule used for the PBA Program will be the same as is used for the Section 8 Housing Choice Voucher Program. Revised schedules will be implemented at the time of client re-examination.

LAW ENFORCEMENT AND SECURITY PERSONNEL

To increase security, Section 8 assistance may be provided to police officers and other security personnel who are not otherwise eligible for assistance. The landlord/owner must apply to the HUD Field Office for authorization to house over-income police officers and other security personnel.

NEW ADMISSIONS

Forty percent of new admissions to the PBA Program will be at 30 percent of the area median income. The remaining 60 percent of new admissions may be up to 80 percent of the area median income.

SECTION 8 INFORMAL REVIEW AND HEARINGS POLICY

APPLICATIONS STAGE

1. DHA will send prompt written notice to an applicant for Section 8 Programs (Housing Choice Voucher, Mod Rehab and PBA) notifying him/her that he/she has been denied housing and the reason(s) supporting the determination of ineligibility. The notice will also advise the applicant of his/her right to an informal review and how to obtain the informal review.
2. Whenever a written notice of denial or cancellation of the application is given to an applicant, that notice will state all grounds upon which DHA will rely for the denial or cancellation. If the notice of cancellation is based on an alleged outstanding debt to DHA, the notice will state the development and the total amount claimed as due.
3. The applicant must request the informal review, in writing, to DHA and must do so within ten (10) business days of the date the application was denied or canceled. DHA will then schedule an informal review no later than sixty (60) days from the date DHA received the applicant's written request. If the applicant does not submit a request in accordance with this paragraph, then DHA's disposition of the matter shall become final.
4. Upon the written request of the applicant or DHA, the informal review may be postponed and rescheduled to the next available regularly scheduled date. This request for postponement must be submitted at least twenty-four (24) hours prior to the scheduled hearing. An informal review may be postponed once. If the applicant fails to appear at the second scheduled review, DHA's disposition of the grievance will become final.
5. The review will be conducted by a member of DHA staff, hereafter referred to as the "Review Officer", who did not make the initial decision to cancel or deny the application, or who is not a subordinate of that person. During the review, DHA's and the applicant's witnesses and potential witnesses in the matter may be present, and those persons shall be permitted to observe the entire review. The applicant will be afforded a fair review and provided the basic safeguards of due process, which shall include:
 - (A) The opportunity to examine before the review any DHA documents, including records and regulations that are directly relevant to the review. The applicant shall be allowed to copy any

such document at the applicant's expense. However, the documents shall not be removed from DHA's premises. Any document not made available, after the request of the applicant has been received, will not be relied on by DHA at the review.

- (B) The right to be represented by counsel or other person selected as a representative.
 - (C) The right to a private review unless the applicant requests a public hearing.
 - (D) The right to present evidence and arguments to controvert evidence relied on by DHA and to cross-examine all witnesses on whose testimony or information DHA relies.
 - (E) A decision based solely and exclusively upon the facts presented at the review.
 - (F) The Review Officer will consider only those issues presented in the original notice, and will not consider new issues or evidence of which the applicant had no notice.
6. The Review Officer will give a final written decision to the canceled or denied applicant. The decision will be mailed to the applicant at the address that applicant has on file with DHA within ten (10) working days after completion of the review.
 7. If the applicant or DHA fails to appear at the scheduled review, the Review Officer may determine that the party has waived his/her right to a review. Both DHA and the applicant shall be notified in writing of the determination by the Review Officer.
 8. The review will be conducted informally by the Review Officer. The Review Officer shall require DHA, the applicant, counsel and other participants or spectators to conduct themselves in an orderly fashion. Failure to comply with the directions of the Review Officer to maintain order may result in expulsion from the proceedings or in a decision adverse to the interests of the disorderly party, and granting or denial of the relief sought, as appropriate.
 9. A Review Officer may re-open a canceled application for the Section 8 Programs if the applicant provides the necessary requested information or resolves any dispute concerning an alleged debt owed to DHA or any other agency, at the time of the review or at a time specified by the Review Officer.

DHA will not provide the applicant an opportunity for an informal review for reasons so stated in the HUD regulations (24 C.F.R. 982.554(c)).

DHA will follow HUD regulations regarding informal reviews for the denial of assistance based on ineligible immigration status.

SECTION 8 CLIENTS

DHA will provide families in the Section 8 Program an opportunity for an Informal Hearing to consider decisions with respect to the families individual circumstance, in the following situations:

1. Determination of the family's annual or adjusted income, and the use of such income to compute the housing assistance payment.
2. Determination of the appropriate utility allowance (if any) for tenant-paid utilities from DHA's utility allowance schedule.
3. Determination of the family unit size under the PHA subsidy standards.
4. Determination that a program family is residing in a unit with a larger number of bedrooms than appropriate for the family unit size under the PHA subsidy standards, or DHA's determination to deny the family request for an exception from the standards.
5. Determination to terminate assistance for a participant family because of the family's action or failure to act.
6. Determination to terminate assistance because the participant family has been absent from the assisted unit for longer than the maximum period permitted under DHA policy and HUD rules.

DHA is not required to provide an opportunity for an Informal Hearing for the following decisions:

1. Discretionary administrative determinations, general policy issues, or class grievances.
2. Determination that an assisted housing unit does not meet program HQS, has not been maintained by the owner to those same standards, in order to meet decent, safe, and sanitary guideline interpretations. This provision includes consideration for changes in family composition.

3. Determination that a family has missed 2 HQS annual inspections.
4. A determination to exercise or not to exercise any right or remedy against the landlord/owner under the provisions of the HAP contract including the termination of the HAP.
5. Determination not to extend or suspend the term of the voucher.
6. Establishment of DHA schedule of utility allowances for families in the program.
7. Determination not to approve a unit or lease.
8. Determination that the unit is not in accordance with HQS because of family size.

DHA will send written notice to clients notifying them of the termination of assistance and that they have been canceled from the Program and the reason(s) why. The notice will also advise the client of their right to an informal hearing.

The client must request the informal hearing in writing to the DHA and must do so within ten (10) business days of the date of the cancellation notice. Hearing requests submitted after the ten day time frame will be considered if the client documents that an emergency situation delayed their request, i.e. hospitalization, etc. Extensions to the 10-day time limit will be made by the Program Manager.

DHA will then schedule an informal hearing in a reasonable amount of time from the date of the request by the client. If the client does not request a hearing in accordance with the policy, then DHA's disposition of the cancellation will become final.

Upon the written request of the client or DHA, the hearing may be postponed and re-scheduled to the next available regularly scheduled hearing date. A hearing may be postponed once. If the client fails to appear at the second scheduled hearing, DHA's disposition of the cancellation will become final.

The hearing will be conducted by a person designated by DHA (Hearing Officer) who did not make the initial decision to cancel the client, or is not a subordinate of that person. During the hearing, DHA and the client may have present all witnesses and potential witnesses in the matter, and those persons shall be permitted to observe the entire hearing. The client will be afforded a fair hearing provided the basic safeguards of due process, which shall include:

- (A) The opportunity to examine before the hearing, and at the expense of the client, to copy all documents, records and regulations of

DHA which are relevant to the hearing. Any document not so made available after the request of the client will not be relied on by DHA at the hearing.

- (B) The right to be represented by counsel or other person selected as a representative.
- (C) The right to a private hearing unless the client requests a public hearing.
- (D) DHA and the family have the right to present evidence and arguments in support of their positions; to controvert evidence relied on by the other party; and to cross-examine all witnesses on whose testimony or information they rely.
- (E) A decision based solely and exclusively upon the facts presented at the hearing.
- (F) Evidence may be considered without regard to admissibility under the rules of evidence applicable to judicial proceedings.
- (G) The client must give DHA the opportunity to examine, at DHA offices, before the hearing, any client documents that are directly related to the hearing. DHA must be allowed to copy any such documents. If the client does not make the document available to DHA upon request, the client may not rely on that document in the hearing.
- (H) The Hearing Officer will consider only those issues presented in the original notice, and will not consider new issues or evidence of which the client had no notice.
- (I) The Hearing Officer will, within ten working days, give a final written decision to the client and DHA stating briefly the reasons for the decision. Factual determinations relating to the individual circumstances of the family shall be based on a preponderance of the evidence presented at the hearing. The decision will be mailed to the client at the address the client has on file with DHA.

The Hearing Officer may render a decision without preceding with the hearing, if the Hearing Officer determines that the issue has been previously decided in a similar proceeding.

If the client or DHA fails to appear at the scheduled hearing, the Hearing Officer may make a determination that the party has waived his right to a hearing. Both DHA and the client shall be notified in writing of the determination by the Hearing Officer.

The hearing will be conducted informally by the Hearing Officer. The Hearing Officer shall require DHA, the client, counsel, and other participants or spectators to conduct themselves in an orderly fashion. Failure to comply with the directions of the Hearing Officer to maintain order may result in exclusion from the proceedings or in a decision adverse to the interest of the disorderly party, and granting or denial of the relief sought, as appropriate.

CONDUCTING INFORMAL HEARINGS

Informal hearings will be conducted by the designated review official for the particular affected function. The hearing may be held at either the request of the client/applicant or at the insistence of DHA. The client may be represented by an attorney acting on their behalf. The attorney will not be compensated by DHA in any event.

The hearing may be conducted in any format which the designated review official deems necessary and prudent depending on the circumstance. This format may specifically excuse individuals other than the client/applicant, his or her attorney, and the landlords/owners representative. DHA staff members will be admitted for testimony purposes, as may be appropriate. In all instances, the meeting will be conducted on a fact finding basis with both sides presenting their point of view, as may be appropriate.

DESIGNATED REVIEW OFFICIAL

The designated review official for participant matters will be the Chief Operating Officer of Section 8 Programs, or his/her designee. The designated review official for applicant matters will be the Occupancy Supervisor.

APPLICABILITY OF HEARING DECISION

DHA is not bound by an informal review or a hearing decision concerning:

1. A matter for which DHA is not required to provide an opportunity for an informal review/hearing.
2. A matter which exceeds the authority of the person conducting the review/hearing under DHA's hearing procedures.

3. A determination which is contrary to HUD regulations, requirements, state, federal or local law.

If DHA should determine it is not bound by such a review/hearing decision, it will promptly notify the family of the decision in writing and of the reasons for the determination. In these cases, DHA may allow the family the opportunity to request another review/hearing.

SECTION 8 FAIR HOUSING POLICY

DHA will carry out and administer the HUD requirements for the Housing Choice Voucher Program, Mod Rehab Program and PBA Program in the following manner:

1. Provide notice of the availability and nature of housing assistance for low-income families under program requirements, adopt suitable means to assure that the notice reaches eligible individuals with disabilities;
2. Encourage participation by landlords/owners, include encouragement of participation by landlords/owners having accessible units;
3. When issuing a voucher to a family which includes an individual with disabilities, will include a current listing of available accessible units known to DHA and, if necessary, will otherwise assist the family in locating an available accessible dwelling unit;
4. Consider the problem of locating an accessible unit when reviewing requests by eligible individuals with disabilities for extensions and reissuances of certificates or vouchers; and

This information, as well as information regarding the 504 Coordinator, is provided in the Section 8 Orientation Packet, Moving Packet, Re-Examination Packet and Re-Determination Packet.

DHA will not discriminate at any stage of the admissions and the occupancy process because of race, color, national origin, religion, sex, age, familial status, disability, or political affiliation. DHA is bound by the non-discrimination requirements of federal, state, and local law. DHA will abide by the non-discrimination requirements of:

1. Title VI of the Civil Rights Act of 1964 which prohibits discrimination based on race, color, national origin in programs receiving Federal Financial assistance.
2. Title VIII of the Civil Rights Act of 1968, as amended, which prohibits discrimination based on race, color, religion, national origin, or sex, familial status, or disability in the sale, rental or advertising of housing.
3. Section 504 of the Rehabilitation Act of 1973 which prohibits discrimination based on handicap in programs receiving Federal Financial assistance.
4. The Age Discrimination Act of 1975 which prohibits discrimination based on age in programs receiving Federal financial assistance.

5. Executive Order 11063 which required the Department of Housing and Urban Development to take whatever actions necessary to prohibit discrimination based on race, color, national origin, religion or sex in housing receiving Federal financial assistance.
6. Title II of the Americans with Disabilities Act.

No preference will be shown any applicant because of political affiliation or acquaintance with any public official at the Federal, state, or local level.

DHA will assist families claiming illegal discrimination from a landlord that prevents them from leasing a suitable housing unit by giving the family information on how to fill out and file a housing discrimination complaint.

EQUAL OPPORTUNITY HOUSING PLAN

Due to widespread knowledge and acceptance of the Section 8 Program and the evident marketing success demonstrated by the current lottery pool, future advertising will be accomplished through those newspapers of widespread general circulation, and minority focused media such as La Voz, the Denver Weekly News, and the El Semanario. DHA may also undertake additional marketing activities in order to market site specific or special purpose housing, as identified in this Plan.

To ensure that the availability of housing is communicated to individuals with disabilities, DHA will contact agencies and organizations that serve individuals with disabilities, such as: Denver Association of Retarded Citizens, Denver Center for Independent Living, the Denver Commission on the Disabled, and other appropriate organizations.

Public notices to families and landlords/owners will be accomplished in accordance with HUD regulations.

OUTREACH TO LANDLORDS/OWNERS

Outreach to landlords/owners in the Housing Choice Voucher program is accomplished by the individual family seeking a rental unit pursuant to the Finders Keepers Provision of the Regulations.

DHA encourages participation by landlords/owners having accessible units.

Section 8 does advertise in the local newspapers soliciting landlords/owners who have units, including accessible units, to determine if the landlord/owner is interested in having Section 8 clients referred to their units. The advertisement states that the units must meet HQS. If the landlord/owner requests, the unit will be listed in the Unit Listing at the Section 8 Office, which is available to all Section 8 clients and included in the Orientation Packet. Accessible units will be noted as accessible, indicating the specific accommodations of the particular unit.

DHA will educate and inform landlords/owners of the benefits of participation in the Section 8 Program, including the benefits of providing accessible housing. This will be accomplished through technical assistance and training offered by DHA throughout the year. Staff will also be available to provide this type of information to landlords/owners during workshops and seminars conducted by the real estate industry including membership in the National Association of Residential Property Management (NARPM).

OUTREACH TO FAMILIES

Clients for all elements of the program will be taken from the Section 8 lottery pool. The lottery pool is representative of all ethnic groups in the Denver area.

The Section 8 staff, with the assistance of the 504 Coordinator, will keep and maintain current resource lists on available accessible housing. These lists may include the names of Realtors, organizations which will provide assistance for modifications, names of developers and other types of businesses which provide services and assistance needed by individuals with disabilities. Examples of these types of organizations include: the Metro Denver Board of Realtors, the Housing Information Referral Service, and the Colorado Housing Finance Authority (CHFA). This information will be available to applicants in varied forms.

Outreach for the SRO Program includes emergency and transitional shelters, mental health clinics, and drug and alcohol programs.

AFFIRMATIVE MARKETING

No groups of individuals are identified as less likely to apply for Section 8 housing assistance. However, if future program marketing efforts are appropriate, DHA may temporarily revise its marketing efforts in order to produce desired results. Special mail in procedures for frail elderly or severely disabled respondents may be employed. The procedural process may be modified to provide a reasonable accommodation for individuals with disabilities upon request. Each request will be handled on a case-by-case basis.

NONDISCRIMINATION

If a family believes that illegal discrimination because of race, color, religion, sex, national origin, age, familial status or disability prevents the family from finding or leasing a suitable unit with assistance under the program; or that selection from the Section 8 lottery pool or the family's original eligibility for participation in the program was adversely affected by discrimination, they will be interviewed by staff and have program requirements explained to them. If this action does not resolve the problem, the applicant will be advised to place their complaint in writing, an investigation of the allegation will occur, and a written response will be prepared by the Chief Operating Officer, or his/her designee. The family will also be referred to the HUD Office of Fair Housing. Federal law prohibits owners of Low-Income Housing Tax Credits and HOME developments from refusing to lease to a family solely based on participation in the Section 8 program.

PROGRAM FRAUD AND ABUSE

The Section 8 Department is committed to assure that the proper level of benefits is paid on behalf of all program participants, and that housing resources reach only eligible families so that program integrity can be maintained.

CRITERIA FOR INVESTIGATION OF SUSPECTED ABUSE AND FRAUD

Fraud and abuse means a single act or pattern of actions:

1. That constitutes false statement, omission, or concealment of a substantive fact, made with intent to deceive or mislead; and
2. That results in payment of Section 8 program funds in violation of Section 8 program requirements.

Under no circumstances will the Section 8 Department undertake an inquiry or investigation of an assisted family arbitrarily. The Section 8 Department's expectation is that assisted families will comply with HUD requirements and program rules, and the Section 8 Department staff will make every effort (formally and informally) to orient and educate all assisted families in order to avoid unintentional violations. However, the Section 8 Department has a responsibility to HUD, to the Community and to eligible families in need of housing assistance, to monitor program participants for compliance and, when indicators of possible abuse come to the Department's attention, to investigate such claims.

The Department will investigate a program participant in the event of one or more of the following circumstances:

1. **Referrals, Complaints, or Tips:** The Department will follow up on referrals from other agencies, companies or persons which are received by mail, telephone, or in person, which allege that an assisted family is in non-compliance with, or otherwise violating program rules.
2. **Internal File Review:** A follow up will be made if the Department discovers (as a function of a re-certification, an interim re-examination, a quality control review, or any other internal review), information or facts which conflict with previous file data, the Department's knowledge of the family, or is in any way in conflict with statements made by the family.
3. **Verification or Documentation:** A follow up will be made if the Department receives independent verification or documentation which conflicts with representations in the client file (such as public record information or credit bureau reports).

STEPS TAKEN TO PREVENT PROGRAM ABUSE AND FRAUD

The management and occupancy staff will utilize various methods and practices to prevent program abuse, non-compliance and willful violations of program rules by applicants, assisted families, and landlords. The policy objective is to establish confidence and trust in the program by emphasizing education as the primary means to obtain compliance by program participants.

1. **Things You Should Know:** This program integrity bulletin (created by HUD's Inspector General) will be furnished and explained to all clients at time of orientation to promote understanding of program rules, and to clarify the Section 8 Department's expectations for cooperation and compliance.
2. **Program Orientation Session:** Mandatory orientation sessions will be conducted by the Section 8 Department staff for all applicants upon issuance of a voucher. At the conclusion of all Program Orientation Sessions, the family representative will be required to sign and date the "Orientation Certificate" to confirm that all rules and pertinent regulations were explained to them.
3. **Owner/Landlord Orientation Session:** Orientation sessions will be conducted with new, existing, and potential Section 8 landlords/owners annually to assure an understanding of landlord/owner, family, and Section 8 obligations, and to prevent improper or illegal practices by landlords/owners.
4. **Participant Information:** Section 8 Department staff will routinely offer client information as part of every re-examination in order to clarify any confusion pertaining to program rules and requirements.
5. **Review and Explanation of Certification and Other Supplemental Forms:** Staff will explain all required forms and review the contents of all documents prior to signature.
6. **Use of Instructive Signs and Warnings:** Instructive signs will be conspicuously posted in common areas and interview areas to reinforce compliance with program rules and to warn about penalties for fraud and abuse.
7. **Landlord/Owner and Client Certification:** All family representatives will be required to sign a "Client Certification" form, and all landlords/owners

will be required to sign a "Landlord Certification" form, as contained in HUD's Tenant Integrity Program Manual.

STEPS TO DETECT PROGRAM ABUSE AND FRAUD

The Section 8 Department will maintain a high level of awareness to indicators of possible abuse and fraud by assisted families and landlords/owners.

1. Quality Control File Reviews: Prior to initial certification, and at the completion of all subsequent re-certifications, each tenant file will be reviewed. Such reviews shall include, but are not limited to:
 - (A) Changes in reported social security numbers or date of birth.
 - (B) Document authenticity.
 - (C) Review of signatures.
2. Observation: Section 8 staff will maintain a high awareness of circumstances which may indicate program abuse or fraud; such as when a family's life-style exceeds its reported income or resources drastically.
 - (A) Public Record Bulletins may be reviewed by Section 8 Staff.
3. Landlord/Owner Audits: The Section 8 Department may conduct periodic random quality control audits of Section 8 landlords/owners to assure compliance with HAP contracts. Such audits may include, but are not limited to:
 - (A) Proof of ownership of assisted unit.
 - (B) Rent collection receipts and records.
 - (C) Maintenance/repair records.
 - (D) An inspection of the unit.
4. Data Record Keepers: Inquiries to the Department of Labor and Employment, or other appropriate federal, state, or local agencies, as permitted by law, may be made in order to detect unreported wages or unemployment compensation benefits.
5. Credit Bureau Inquires: Credit Bureau inquiries may be made (with proper authorization by the client) in the following circumstances:

- (A) When an allegation is received by the Section 8 Department wherein unreported income sources are disclosed.
- (B) When a client's expenditures drastically exceed his/her reported income, and no plausible explanation is given.

HANDLING OF ALLEGATIONS OF POSSIBLE ABUSE AND FRAUD

The Section 8 Department will encourage program clients and landlords/owners to report suspected abuse to the Section 8 Department. All such referrals, as well as referrals from community members and other agencies, will be thoroughly documented and placed in the client file. All allegations, complaints and tips will be carefully evaluated in order to determine if they warrant follow up. The Section 8 Department will not follow up on allegations which are vague or otherwise non-specific.

1. File Review: A file review will be conducted to determine:
 - (A) If the subject of the allegation is a program participant.
 - (B) If the allegation contains information not previously known to the Section 8 Department.
 - (C) If the Section 8 Department is the most appropriate authority to do a follow up (more so than police or social services).
 - (D) Does the participant's past behavior lend credibility to the allegation?
 - (E) Are there any other corroborating complaints?
2. Conclusion of the Preliminary Review: If at the conclusion of the preliminary file review there is/are fact(s) contained in the allegation which conflict with file data, and the facts are independently verifiable, the Section 8 Department may undertake an investigation to determine if the allegation is true or false.

INVESTIGATION OF ALLEGATIONS OF ABUSE AND FRAUD

When the Section 8 Department has determined that an allegation or referral warrants follow-up, the Department will conduct the investigation. The steps taken will depend upon the nature of the allegation and may include, but are not limited to, the items listed

below. In all cases, the Section 8 Department will secure the written authorization from the program participant for the release of information.

1. Credit Bureau Inquiries: In cases involving previously unreported income sources, an inquiry may be made to determine if there is financial activity which conflicts with the reported income of the family.
 - (A) Verification of Credit: In cases where the financial activity conflicts with file data, a Verification of Credit form may be mailed to the creditor in order to determine the unreported income source.
2. Employers and Ex-Employers: Employers or ex-employers may be contacted to verify wages which may have been previously undisclosed or misreported.
3. Neighbors/Witnesses: Neighbors and/or witnesses may be interviewed who are believed to have direct or indirect knowledge of facts pertaining to the Section 8 Department's review.
4. Other Agencies: Investigators, caseworkers, or representatives of other benefit agencies may be contacted.
5. Public Records: If relevant, the Section 8 Department may review public records kept in any jurisdictional courthouse. Examples of public records which may be checked are: real estate, marriage, divorce, uniform commercial code financing statements, voter registration, judgments, court or police records, state wage records, utility records and postal records.
6. Head of Household or Family Members: The Section 8 Department may discuss the allegation (or details thereof) with the Head of Household or family member by scheduling an appointment at the Section 8 Department.

PLACEMENT OF DOCUMENTS, EVIDENCE, AND STATEMENTS OBTAINED

Documents and other evidence obtained during the source of an investigation will be kept in the client file. Cases under review will not be discussed among Section 8 staff not involved in the process, or with individuals outside the Section 8 Department not involved in the process.

CONCLUSION OF INVESTIGATIVE REVIEW

At the conclusion of the investigative review, the reviewer will report the findings to the Chief Operating Officer, or his/her designee. It will then be determined whether a violation has occurred or not, or if the facts are inconclusive.

1. Evaluation of Findings: The findings will be evaluated to determine the following factors:
 - (A) The type of violation. (Procedural, non-compliance, fraud).
 - (B) Whether the violation was intentional or unintentional.
 - (C) What amount of money (if any) is owed to DHA.
 - (D) If the family is eligible for continued participation.

ACTION PROCEDURES FOR DOCUMENTED VIOLATIONS

Once a program violation has been documented, the violation type will determine the procedure taken by the Section 8 Department. Violations will be classified in the following way.

I. **Procedural Non-Compliance:** This category applies when the participant fails to observe a procedure or requirement of the Program, but does not misrepresent a material fact, and there is not overpayment of housing assistance. Examples of procedural non-compliance issues are:

1. Failure to appear at scheduled appointment.
2. Failure to return requested documents in specified time frame.

Notice To Family: In such cases, a notice will be sent to the family containing the following:

1. A description of the non-compliance, and the procedure, policy, or obligation which was violated.
2. A Notice of Cancellation from the Program.
3. The right to request an informal hearing.

II. **Procedural Non-Compliance - Overpaid Housing Assistance:** When the client owes money to DHA for failure to report changes in income or assets, the Section 8 Department will issue a notice to the client. The notice will contain the following:

1. A description of the violation and dates.
2. The amount owed to DHA.
3. The right to an informal hearing.
4. A notice of cancellation from the Program.

If the client fails to comply with the notice, and family obligation has been violated, the Section 8 Department will terminate assistance in the manner prescribed by HUD. The amount owed DHA by the client will be referred to a Collection Agency.

When a client complies with the Notice, the responsible staff person will document the client file with the repayment dates, etc. If applicable, the client will enter into a re-payment agreement with DHA. DHA reserves the right to cancel the client for fraud, even if a repayment agreement is entered into.

III. Intentional Misrepresentations: When a participant falsifies, mis-states, omits or otherwise misrepresents a material fact which results (or would have resulted) in an overpayment of housing assistance, the Section 8 Department will establish:

1. That the client had knowledge that his/her actions were wrong, and
2. That the client willfully violated the law.

The client had knowledge that his/her actions were wrong: This can be demonstrated by showing that the tenant was made aware of program requirements and prohibitions. The client's signature on various certifications (briefing certificate, re-examination application form, re-determination application form, etc.) are adequate to establish knowledge of wrongdoing.

The client willfully violated the law: Any of the following circumstances is adequate to demonstrate willful intent:

1. An admission by the client of the misrepresentation.
2. The act was done repeatedly.
3. If a false name or Social Security number was used.
4. If there were admissions to others of the illegal action or omission.

5. The client omitted material facts which were known to them (e.g. employment of self or other household member).
6. The client falsified, forged, or altered documents.

DETERMINATION OF ACTION

Prior to the final determination of the action, the Section 8 Department will consider:

1. The duration of the violation and the number of false statements.
2. The client's ability to understand the rules.
3. The client's willingness to cooperate, and to accept responsibility for his/her actions.
4. The amount of money involved.
5. The client's past history.
6. Whether or not criminal intent has been established.

DISPOSITION OF CASES

In all cases involving efforts to recover monies owed, the Section 8 Department may elect, depending upon its evaluation of the criteria stated above, one or more of the following actions:

1. **Criminal Prosecution:** If the Section 8 Department has established criminal intent, and the case meets the criteria for prosecution, the Section 8 Department may:
 - (A) Refer the case to the local or State District Attorney, and notify HUD's OIG, and terminate rental assistance.
 - (B) Refer the case to HUD's OIG, and terminate rental assistance.
2. **Administrative Remedies:** The Section 8 Department may elect to:
 - (A) Terminate assistance and demand payment of restitution in full.
 - (B) Terminate assistance and execute a repayment agreement in accordance with the Section 8 Department Repayment Policy.

- (C) Terminate assistance and pursue restitution through civil litigation, and/or refer the case to a Collection Agency.
- (D) Continue assistance at the correct rent and demand repayment of restitution in full.
- (E) Continue assistance at the correct rent and execute a repayment agreement in accordance with the Section 8 Department's repayment policy.

NOTIFICATION TO CLIENT

The Section 8 Department will notify the client of the action. Such notice will contain:

1. The action being taken.
2. The reason for the action.
3. The date the action will take place.
4. The client's right to an informal hearing, and the form and date by which the request for such hearing must be received.

**Annual Statement /
Performance and Evaluation Report**
Part II: Supporting Pages
Comprehensive Grant Program (CAP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Revised April 26, 2004
CO06P00150101
931 (DHA)

Development Number/Name HA-Wide Act's	Development General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
				CO 1-03 Platte Valley	Concrete Site Work	1450		
CO 1-03	SUBTOTAL			\$82,523	\$82,523	\$82,523	\$82,523	
CO 1-05 Columbine	Site Improvements	1450		\$19,586	\$19,585	\$19,585	\$19,585	Work Completed
CO 1-05	SUBTOTAL			\$19,586	\$19,585	\$19,585	\$19,585	
CO 1-06 Westridge	Repair Roofs	1460	20	\$63,932	\$63,931	\$63,931	\$63,931	Work Completed
	Replace Furnaces		250	\$264,585	\$268,827	\$268,827	\$268,827	Fungability: originally Funded Under 1999
CO 1-06	SUBTOTAL			\$328,517	\$332,758	\$332,758	\$332,758	
CO 1-08/12 Sun Valley&Annex	Site Improvements	1450	333	\$7,750	\$7,750	\$7,750	\$7,750	PHAS Finding
	Masonry Repairs	1460		\$18,216	\$18,216	\$18,216	\$18,216	Work Completed
CO 1-08/12	SUBTOTAL			\$25,966	\$25,966	\$25,966	\$25,966	
CO 1-10 South Lincoln	Site Improvements	1450	270	\$32,113	\$32,114	\$32,114	\$32,114	PHAS Finding
	Plumbing Upgrades	1460		\$35,275	\$35,274	\$35,274	\$35,274	Work Completed
CO 1-10	SUBTOTAL			\$67,388	\$67,388	\$67,388	\$67,388	
CO 1-11 Westwood	Site Work Concrete	1450		\$441,084	\$441,084	\$441,084	\$441,084	Work Completed
	Install Tub Kits	1460		\$242,400	\$242,400	\$242,400	\$242,400	
	Plumbing Upgrades			\$76,224	\$76,224	\$76,224	\$76,224	
CO 1-11	SUBTOTAL			\$759,708	\$759,708	\$759,708	\$759,708	
CO 1-15 Hirschfeld	Replace Windows	1460	250	\$930,500	\$930,500	\$930,500	\$930,500	Fungability: originally funded under 2002
	Electrical Upgrades			\$549,000	\$549,000	\$549,000	\$549,000	Completed
	Boiler			\$4,999	\$4,999	\$4,999	\$4,999	
	Fire Systems			\$20,251	\$20,251	\$20,251	\$20,251	Fungability: originally funded under 2000
	Lab Testing	1470		\$4,949	\$4,949	\$4,949	\$4,949	

CO 1-15	SUBTOTAL			\$1,509,699	\$1,509,699	\$1,509,699	\$1,509,699	
CO 1-17	Site Work Concrete	1450	50	\$82,556	\$82,556	\$82,556	\$82,556	Work Completed
Mulroy	Upgrade Life Safety System	1460	50	\$88,018	\$88,018	\$88,018	\$88,018	
CO 1-17	SUBTOTAL			\$170,574	\$170,574	\$170,574	\$170,574	

1/ To be completed for the Performance and Evaluation of a Revised Statement

2/ To be completed for the Performance and Evaluation Report

Construct Management/HUD/Perf&Eval/1998-708-929 wwt.XLS

form HUD-52837

**Annual Statement /
Performance and Evaluation Report**
Part II: Supporting Pages
Comprehensive Grant Program (CAP)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Revised April 26, 2004
CO06P00150101
931 (DHA)

Development Number/Name HA-Wide Act's	Development General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised 1	Funds	Funds	
						Obligated 2	Expended 2	
CO 1-20 Thomas Connole	Site Work	1450		\$2,045	\$2,045	\$2,045	\$2,045	Work Completed
	Total Building Modernization	1460	100	\$414,815	\$414,815	\$414,815	\$414,815	Work Completed
	Non Dwelling Equipment	1475	1	\$1,187	\$1,187	\$1,187	\$1,187	Work Completed
CO 1-20	SUBTOTAL			\$418,047	\$418,047	\$418,047	\$418,047	
CO 1-21 Walsh Annex	Finish Work	1470		\$0	\$0	\$0	\$0	Fungability: originally funded under 2003
CO 1-21	SUBTOTAL			\$0	\$0	\$0	\$0	
CO 1-29 Bean Tower	Plumbing Upgrades	1460		\$0	\$0	\$0	\$0	Final Payment for work under year 2000
CO 1-29	SUBTOTAL			\$0	\$0	\$0	\$0	
Arrowhead CO 1-31		1470		\$1,447	\$1,447	\$1,447	\$1,447	Lab Testing
CO 1-31	SUBTOTAL			\$1,447	\$1,447	\$1,447	\$1,447	
CO 1-39 Dispersed	Concrete	1450		\$75,111	\$24,367	\$24,367	\$24,367	Fungability: project originally funded under 2002
	Modernization	1460		\$733,367	\$576,995	\$576,995	\$576,995	
	Renges and Refrigerators	1465		\$11,189	\$0	\$0	\$0	
CO 1-39	SUBTOTAL			\$819,667	\$601,362	\$601,362	\$601,362	
Dispersed CO 1-51	Site Work	1450		\$21,665	\$21,665	\$21,665	\$21,665	Work Completed
CO 1-51				\$21,665	\$21,665	\$21,665	\$21,665	

CO 1-552/3	Site Work	1450		\$0	\$0	\$0	\$0	Fungability: work transferred to 2002
North Lincoln	Finish Work	1470		\$0	\$0	\$0	\$0	
CO 1-552/3	SUBTOTAL			\$0	\$0	\$0	\$0	

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form HUD-52837

**Annual Statement /
Performance and Evaluation Report**
Part II: Supporting Pages
Comprehensive Grant Program (CAP)

**U.S. Department of Housing
and Urban Development**

Office of Public and Indian Housing

Revised April 26, 2004
CO06P00150101
931 (DHA)

Development Number/Name HA-Wide Act's	Development General Description of Major Work Categories	Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised 1	Funds	Funds	
						Obligated 2	Expended 2	
CO 1-03	Agency Wide-Firestoppers	1470		\$0	\$0	\$0	\$0	
Cent Office/Warehouse	Electrical Upgrades			\$58,780	\$58,781	\$58,781	\$58,781	Fungability: originally funded under 2002
	Storefronts			\$30,456	\$30,456	\$30,456	\$30,456	Fungability: originally funded under 2005
	AV			\$3,903	\$3,903	\$3,903	\$3,903	
	Resurface Parking Lot			\$34,254	\$34,254	\$34,254	\$34,254	Fungability: originally funded under 2002
CO 1-03	SUBTOTAL			\$127,393	\$127,394	\$127,394	\$127,394	
1406	Operations	1406		\$676,400	\$676,400	\$676,400	\$676,400	
Operations								
1406	SUBTOTAL			\$676,400	\$676,400	\$676,400	\$676,400	
	Resident Relations	1408		\$1,820	\$1,820	\$1,820	\$1,820	
	Resident Leadership			\$57,180	\$57,180	\$57,180	\$57,180	
	Employment and Training			\$45,000	\$45,000	\$45,000	\$45,000	
	Section 3 outreach			\$13,892	\$13,892	\$13,892	\$13,892	
	Child Care			\$3,000	\$3,000	\$3,000	\$3,000	
	Resident Owned Business			\$0	\$0	\$0	\$0	
	Bi-Lingual Services			\$0	\$0	\$0	\$0	
	Communication			\$8,000	\$8,000	\$8,000	\$8,000	
	Self Sufficiency			\$50,000	\$50,008	\$50,008	\$50,008	
	System Automation			\$131,699	\$131,699	\$131,699	\$131,699	
	PHMAP			\$0	\$0	\$0	\$0	

	Quality Control			\$0	\$0	\$0	\$0	
	Records Management			\$9,995	\$9,390	\$9,390	\$9,390	
	Computer access			\$0	\$274	\$274	\$274	
	Security			\$323,939	\$541,716	\$541,716	\$541,716	
1408	SUBTOTAL			\$644,525	\$861,979	\$861,979	\$861,979	
Administration 1410	a. Salaries of Modernization staff, Administration, Clerks	1410		\$676,400	\$676,400	\$676,400	\$676,400	
1410	SUBTOTAL			\$676,400	\$676,400	\$676,400	\$676,400	

1/ To be completed for the Performance and Evaluation of a Revised Statement

2/ To be completed for the Performance and Evaluation Report

Construct Management/HUD/Perf&Eval/1998-708-929 wwt.XLS

form HUD-52837

**Annual Statement /
Performance and Evaluation Report
Part II: Supporting Pages
Comprehensive Grant Program (CAP)**

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Revised April 26, 2004
**CO06P00150101
931 (DHA)**

Development Number/Name HA-Wide Act's	Development General Description of Major Work Categories	Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised 1	Funds Obligated 2	Funds Expended 2	
				Audit 1430	Comp Grant Program-Ener	1430		
1430	SUBTOTAL			\$53,305	\$53,305	\$53,305	\$53,305	
Fees And Costs 1430	Mulroy 1-17 Hirschfeld 1-15 Dispersed 39 Stapleton CO 1-13 Thomas Connole Co 1-20 Bean Tower CO 1-29 North Lincoln CO 552/553 Dispersed 31 Agency Wide	1430		\$2,495 \$68,058 \$87,336 \$2,215 \$43,959 \$52,444 \$61,650 \$175 \$1,250	\$2,495 \$68,058 \$83,947 \$2,215 \$43,959 \$52,444 \$61,650 \$175 \$1,250	\$2,495 \$68,058 \$83,947 \$2,215 \$43,959 \$52,444 \$61,650 \$175 \$1,250	\$2,495 \$68,058 \$83,947 \$2,215 \$43,959 \$52,444 \$61,650 \$175 \$1,250	
1430	SUBTOTAL			\$319,582	\$316,193	\$316,193	\$316,193	
Non -Dwelling 1475	Computer Equipment	1475		\$30,093 \$1,108	\$30,093 \$1,107	\$30,093 \$1,107	\$30,093 \$1,107	
1475	SUBTOTAL			\$31,201	\$31,200	\$31,200	\$31,200	

Relocation 1495	Arrowhead 1-31 Thomac Connole 1-20	1495		\$10,185 \$737	\$10,185 \$737	\$10,185 \$737	\$10,185 \$737	
Relocation 1495	SUBTOTAL			\$10,922	\$10,922	\$10,922	\$10,922	
Contingency 1502	May Not Exceed 8%	1502		\$0	\$0	\$0	\$0	
Contingency 1502	SUBTOTAL			\$0	\$0	\$0	\$0	

2001 Grand Total				\$6,764,515	\$6,764,515	\$6,764,515	\$6,764,515	
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Signature of Executive Director & Date: X	Signature of Public Housing Director & Date: X
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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **PART III Implementation Schedule**

U.S. Department of Housing
 and Urban Development

CO06P00150101
931 DHA

Development Number/Name HA-Wide Activities	All funds Obligated 09/30/02			All Funds Expended 03/31/04			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CO 1-03 Platte Valley	9/30/2002		4/30/2002	3/31/2004		8/3/2002	Fungability: Originally funded under 2005
CO 1-06 Westridge Homes	9/30/2002		9/30/2002	3/31/2004		3/31/2004	
CO 1-05 Columbine Homes	9/30/2002		8/31/2002	3/31/2004		12/20/2003	Fungability: Originally funded under 2006
CO 1-08/12 Sun Valley Annex	9/30/2002		8/31/2002	3/31/2004		7/12/2002	
CO 1-10 South Lincoln	9/30/2002		3/31/2002	3/31/2004		2/10/2003	
CO 1-11 Westwood Homes	9/30/2002		4/30/2002	3/31/2004		4/18/2003	
CO 1-15 Hirschfeld Towers	9/30/2002		9/30/2002	3/31/2004		12/16/2003	
Co 1-17 Mulroy Apartments	9/30/2002		4/30/2002	3/31/2004		3/31/2004	
CO 1-20 Thomas Connole	9/30/2002		9/30/2002	3/31/2004		3/13/2003	

Signature of Executive Director and Date

X

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **PART III Implementation Schedule**

U.S. Department of Housing
 and Urban Development

CO06P00150101
931 DHA

Development Number/Name HA-Wide Activities	All funds Obligated 09/30/02			All Funds Expended 03/31/04			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CO 1-31 Arrowhead Apartments	9/30/2002		6/30/2002	3/31/2004		8/26/2002	
CO 1-39 Dispersed Housing	9/30/2002		9/30/2002	3/31/2004	4/30/2004	4/23/2004	Fungability: originally funded under 2002
CO 1-51 Dispersed Housing	9/30/2002		6/30/2002	3/31/2004		7/24/2002	Fungability: originally funded under 2005
CO 003 Central Office	9/30/2002		9/30/2002	3/31/2004		12/20/2002	Fungability: originally funded under 2002

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part I: Summary

U.S. Department of Housing
 and Urban Development

Office of Public and Indian Housing

OMB Approval No. 2577-0157

Housing Authority of The City and County of Denver	Grant Number: CO06R00150101	FFY of Grant Approval: 2001
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement Number
 Final Performance and Evaluation Report **X** Performance and Evaluation Report for Program year Ending March 31, 2004

Line Number	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment-Nonexpend				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1495.1 Relocation Costs				
17	1499 Mod Used for Development	\$293,133	\$293,133	\$293,133	\$26,689
18	1502 Contingency				
19	Amount of Annual Grant (Sum 2-18)	\$293,133	\$293,133	\$293,133	\$26,689
20	Amount of Line 19 Related to LBP Activities				
21	Amount of Line 19 Related to 504 Compliance				
22	Amount of Line 19 Related to Security				
23	Amount of Line 19 Related to Energy Measures				

Signature of Executive Director & Date:

X

Signature of Public Housing Director & Date:

X

1 To be completed for the Performance and Evaluation Report or a Revised Statement

2 To be completed for the Performance and Evaluation Report

**Annual Statement /
Performance and Evaluation Report**
Part II: Supporting Pages
Comprehensive Grant Program (CAP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Revised April 9, 2004
CO06R00150101
931 (DHA)

Development Number/Name HA-Wide Act's	General Description of Major Work Categories	Development Account Number	Quantity	Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised 1	Funds Obligated 1	Funds Expended 2	
1499 Development	Mod Funds Used For Development	1499	0	\$293,133	\$293,133	\$0	\$24,939	Preliminary approval issued by HUD Field Office
Development	SUBTOTAL			\$293,133	\$293,133	\$293,133	\$26,689	
2001 Grand Total				\$293,133	\$293,133	\$293,133	\$26,689	

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **PART III Implementation Schedule**

U.S. Department of Housing
 and Urban Development

CO06R00150101
931 DHA

Development Number/Name HA-Wide Activities	All Funds Obligated by			All Funds Expended by			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1499 Mod For Development	9/30/2002	11/1/2005		9/30/2005			Preliminary Approval of RHP Issued by HUD Field Office.

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part I: Summary

PHA Name: Denver Housing Authority	Grant Type and Number Capital Fund Program Number CO06P00150102 Replacement Housing Factor No.	Federal FY of Grant: 2002 Prepared April 12, 2004
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<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserved for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operation	\$633,224	\$633,224	\$633,224	\$633,224
3	1408 Management Improvements Soft Costs	\$659,869	\$910,015	\$910,015	\$509,386
	Management Improvements Hard Costs	\$100,000	\$66,953	\$66,953	\$66,901
4	1410 Administration	\$633,224	\$633,224	\$633,224	\$610,352
5	1411 Audit	\$0			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$509,269	\$617,631	\$564,680	\$505,715
8	1440 Site Acquisition				
9	1450 Site Improvements	\$1,162,170	\$1,410,323	\$868,779	\$426,146
10	1460 Dwelling Structures	\$1,231,367	\$1,816,111	\$1,753,599	\$531,854
11	1465.1 Dwelling Equipment	\$0	\$9,792	\$9,792	\$0
12	1470 Nondwelling Structures	\$219,983	\$115,824	\$115,819	\$106,933
13	1475 Nondwelling Equipment	\$0	\$82,742	\$74,712	\$74,712
14	1485 Demolition	\$355,717	\$0	\$0	\$0
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$93,610	\$36,402	\$36,402	\$17,109
18	1499 Development Activities	\$507,815	\$0	\$0	\$0
19	1502 Contingency	\$225,993	\$0	\$0	\$0
Amount of Annual Grant		\$6,332,241	\$6,332,241	\$5,667,199	\$3,482,332
Amount Related to LBP Activities					
Amount Related to 504 Compliance		\$20,000	\$189,495	\$189,495	
Amount Related to Security-Soft Costs		\$439,869	\$697,426	\$697,426	
Amount Related to Security-Hard Costs					
Amount Related to Energy Conservation		\$809,643	\$809,643		
Collateralization Expenses or Debt Service					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:				Federal FY of Grant: 2002				
The Housing Authority of the City and County of Denver				Prepared April 12, 2004				
Capital Fund Program Number: CO06P00150102								
Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
CO06P001003 Platte Valley	Dwelling Structures Moisture Protection	1460	66	\$ 29,951	\$0	\$0	\$0	Fungability: work transferred to year 2005
Platte Valley	Subtotal			\$ 29,951	\$0	\$0	\$0	
CO06P001003 Central Office	Site Work 504 Compliance	1450		\$0	\$4,242	\$4,242	\$0	HUD 504 Audit Item
	Non Dwelling Structures Repair Parking Lot	1470		\$50,000	\$0	\$0	\$0	
	Electrical Upgrades			\$100,000	\$13,992	\$13,991	\$13,991	Fungability: work transferred to year 2003
	Upgrade Mechanical Systems			\$69,983	\$0	\$0	\$0	
	504 Compliance			\$0	\$8,886	\$8,886	\$0	
Central Office	Subtotal			\$219,983	\$27,120	\$27,119	\$13,991	
CO06P001005 Columbine	Site Work 504 Compliance	1450	200	\$0	\$27,246	\$27,246	\$0	HUD 504 Audit Item
	Dwelling Structures Moisture Protection	1460	200	\$46,799	\$0	\$0	\$0	
	504 Compliance			\$0	\$14,643	\$14,643	\$0	HUD 504 Audit Item
Columbine	Subtotal			\$46,799	\$41,889	\$41,889	\$0	
CO06P001006 Westridge	Dwelling Structures Roof Repairs	1460	200	\$131,036	\$98,200	\$89,649	\$42,209	
Westridge	Subtotal			\$131,036	\$98,200	\$89,649	\$42,209	
CO06P001007 Quigg Newton	Site Work Site Paving	1450	380	\$382,712	\$79,251	\$79,249	\$0	
	Site Electrical			\$0	\$11,656	\$11,656	\$11,656	
	Site Lighting			\$0	\$188,755	\$188,752	\$103,197	
	504 Compliance			\$0	\$16,322	\$16,322	\$0	HUD 504 Audit Item
	Dwelling Structures 504 Compliance	1460		\$0	\$15,237	\$15,237	\$990	HUD 504 Audit Item
Quigg Newton	Subtotal			\$382,712	\$311,221	\$311,216	\$115,843	
CO06P001008 Sun Valley	Site Work 504 Compliance	1450		\$0	\$23,945	\$23,945	\$0	HUD 504 Audit Item
	Dwelling Structures 504 Compliance	1460		\$0	\$15,154	\$15,154	\$0	HUD 504 Audit Item

Sun Valley	Subtotal			\$0	\$39,099	\$39,099	\$0
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver	Federal FY of Grant: 2002 Prepared April 12, 2004
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Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
CO06P001010 South Lincoln	Site Work	1450	250					
	Site Paving			\$490,930	\$55,499	\$55,498	\$0	
	Dwelling Structures	1460						
	Moisture Protection			\$37,439	\$0	\$0	\$0	
South Lincoln	Subtotal			\$528,369	\$55,499	\$55,498	\$0	
CO06P001011 Westwood	Site Work	1450						
	Install Playgrounds			\$0	\$92,179	\$0	\$0	Fundgability: work transferred from year 2003
	Dwelling Structures	1460	199					
	Replace Furnaces			\$0	\$203,550	\$203,550	\$43,628	Fundgability: work transferred from year 2004
	Replace Water Heaters			\$0	\$126,500	\$126,500	\$29,032	Fundgability: work transferred from year 2004
Westwood	Subtotal			\$0	\$422,229	\$330,050	\$72,660	
CO06P001014 Walsh Manor	Site Work	1450						
	504 Compliance			\$0	\$13,913	\$13,913	\$0	HUD 504 Audit Item
	Dwelling Structures	1460						
	504 Compliance			\$0	\$15,554	\$15,554	\$0	HUD 504 Audit Item
Walsh Manor	Subtotal			\$0	\$29,467	\$29,467	\$0	
CO06P001015 Hirschfeld	Site Work	1450	250					
	Site Improvements			\$234,024	\$0	\$0	\$0	
	Dwelling Structures	1460						
	Fire Systems			\$0	\$70,994	\$70,993	\$70,993	Project Funded Under Fund Year 01 and 02
	Air Conditioning Units			\$0	\$12,754	\$12,753	\$12,753	Project Funded Under Fund Year 01 and 02
	Building Modernization			\$608,382	\$0	\$0	\$0	
	Roof Replacement			\$0	\$135,355	\$135,354	\$132,647	Fungability: work transferred from year 2003
	504 Compliance			\$0	\$8,935	\$8,935	\$0	Hud 504 Audit Finding
	Plumbing Upgrades			\$0	\$253,125	\$253,125	\$0	Fungability: work transferred from year 2003
	Electrical Upgrades			\$0	\$47,188	\$47,188	\$32,035	Fungability: work transferred from year 2003
Non Dwelling Structures	1470							
Asbestos Inspections			\$0	\$43,039	\$43,037	\$43,037		
Hirschfeld	Subtotal			\$842,406	\$571,390	\$571,385	\$291,465	
	Site Work	1450	100					

CO06P001017	504 Compliance			\$0	\$6,657	\$6,657	\$114	Hud 504 Audit Finding
Barney Ford	Dwelling Structures	1460						
	504 Compliance			\$0	\$5,664	\$5,664	\$139	HUD 504 Audit Finding
Barney Ford	Subtotal			\$0	\$12,321	\$12,321	\$253	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver			Federal FY of Grant: 2002 Prepared April 12, 2004					
Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
CO06POO1017 Mulroy	Dwelling Structures	1460	50					Fungability: work transferred from year 2004
	Plumbing Upgrades			\$0	\$21,758	\$21,758	\$21,758	
	Life Safety Upgrades			\$0	\$122,580	\$120,557	\$85,750	
	Electrical Upgrades			\$0	\$13,375	\$13,375	\$0	
	Non Dwelling Structures	1470						
	Asbestos Testing			\$0	\$6,418	\$6,417	\$6,417	
Mulroy	Subtotal			\$0	\$164,131	\$162,107	\$113,925	
CO06POO1020 Thomas Connole	Site Work	1450	100					HUD 504 Audit Item
	Landscaping			\$210	\$491	\$491	\$491	
	504 Compliance			\$0	\$5,327	\$5,327	\$0	
	Dwelling Structures	1460						
	Building Signage			\$500	\$1,096	\$1,095	\$1,095	
	504 Compliance			\$0	\$7,688	\$7,688	\$0	HUD 504 Audit item
	Non Dwelling Structures	1470						
	Window Coverings			\$0	\$901	\$901	\$901	
Connole	Subtotal			\$710	\$15,503	\$15,502	\$2,487	
CO06POO1021 Walsh Annex	Non Dwelling Structures	1470	100					Fungability: work transferred from year 2006
	Hazardous Material Consultant			\$0	\$5,743	\$5,743	\$5,743	
	Common Area Finishes			\$0	\$12,986	\$12,986	\$12,986	
Walsh Annex				\$0	\$18,729	\$18,729	\$18,729	
CO06POO1029 Bean Tower	Site Work	1450	200					Fungability: work transferred from year 2004
	Sewage Systems			\$0	\$1,198	\$1,198	\$1,198	
	Dwelling Structures	1460						
	Air Handling Units			\$0	\$6,024	\$6,024	\$6,024	
Bean Tower	Subtotal			\$0	\$7,222	\$7,222	\$7,222	
CO06POO1039	Site Work	1450	45					Fungability: work transferred from year 2001
	Concrete Flatwork			\$0	\$65,721	\$65,721	\$0	
	Dwelling Structures	1460	45					

Dispersed	Modernization			\$0	\$512,487	\$512,487	\$22,801
	Dwelling Equipment	1465	45				
	Appliances			\$0	\$9,792	\$9,792	\$0
Dispersed	Subtotal			\$0	\$588,000	\$588,000	\$22,801

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver			Federal FY of Grant: 2002 Prepared April 12, 2004					
Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost Original	Revised	Total Obligated	Total Expended	Status of Work
	Site Work	1450	15					
CO06POO1042	Site Improvements			\$54,298	\$0	\$0	\$0	
Dispersed	Dwelling Structures	1460	15					
	Full Modernization			\$212,462	\$0	\$0	\$0	
Dispersed	Subtotal			\$266,760	\$0	\$0	\$0	
CO06P001050	Dwelling Structures	1460	15					Project placed on hold
Dispersed 50	Repair Exterior Doors			\$70,198	\$0	\$0	\$0	
Dispersed 50	Subtotal			\$70,198	\$0	\$0	\$0	
	Site Work	1450						
North Lincoln	504 Compliance			\$0	\$2,984	\$2,984	\$0	HUD 504 Audit Finding
Mid-Rise	Dwelling Structures	1460						
	504 Compliance			\$0	\$4,078	\$4,078	\$0	HUD 504 Audit Finding
CO06P001052	Unit Finishes				\$41,934	\$0	\$0	Fungability: work transferred from year 2003
	Non Dwelling Structures	1470						
	Common Area Finishes			\$0	\$23,859	\$23,858	\$23,858	Fungability: work transferred from year 2003
North Lincoln				\$0	\$72,855	\$30,920	\$23,858	
	Site Work	1450						
	Site Improvements-Landscaping			\$0	\$565,511	\$173,539	\$173,539	Fungability: work transferred from year 2003
	Site Improvements-Water Tap			\$0	\$12,928	\$0	\$0	Fungability: work transferred from year 2003
North Lincoln	Site Improvements-Fences & Gates			\$0	\$32,500	\$12,500	\$0	Fungability: work transferred from year 2003
Family	Site Improvements-Paving			\$0	\$64,727	\$40,269	\$0	Fungability: work transferred from year 2003
CO06P001053	504 Compliance			\$0	\$2,799	\$2,799	\$0	HUD 504 Audit Finding
	Dwelling Structures	1460						
	504 Compliance			\$0	\$1,906	\$1,906	\$0	HUD 504 Audit Finding
	Siding			\$0	\$24,850	\$14,850	\$0	Fungability: work transferred from year 2003
	Gutters and Downspots			\$0	\$5,482	\$5,482	\$0	Fungability: work transferred from year 2003

North Lincoln	Subtotal			\$0	\$710,703	\$251,345	\$173,539	
CO06P001054	Dwelling Structures	1460	75					HUD Field Office approved
Dispersed	Replace Boiler-Emergency			\$0	\$30,000	\$30,000	\$30,000	emergency expenditure
Dispersed				\$0	\$30,000	\$30,000	\$30,000	
Agency Wide	Dwelling Structures	1450						Emergency snow removal and tree trimming
	Emergency Snow Removal/Tree Trimming			\$94,597	\$136,472	\$136,471	\$135,951	following March 2003 blizzard.
Agency Wide	Subtotal			\$94,597	\$136,472	\$136,471	\$135,951	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver				Federal FY of Grant: 2002 Prepared April 12, 2004				
Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
Operations	Operations	1406		\$633,224	\$633,224	\$633,224	\$633,224	
1406	Subtotal			\$633,224	\$633,224	\$633,224	\$633,224	
	Resident and Community Service	1408						
	Resident Training and Leadership			\$59,000	\$59,000	\$59,000	\$41,726	
Management	Employment and Training			\$45,000	\$45,000	\$45,000	\$70	
Improvement	Section 3 outreach			\$15,000	\$15,000	\$15,000	\$473	
1408	Child Care			\$3,000	\$3,000	\$3,000	\$206	
Soft Costs	Communication			\$8,000	\$3,000	\$3,000	\$540	
	Self Sufficiency			\$50,000	\$50,000	\$50,000	\$33,885	
	Bi-Lingual Services			\$0	\$5,000	\$5,000	\$0	
	MIP Activities	1408						
	PHAS Support			\$20,000	\$12,589	\$12,589	\$12,589	
	Maintenance Control			\$20,000	\$20,000	\$20,000	\$10,977	
	Security			\$439,869	\$697,426	\$697,426	\$408,920	
MIP Soft Cost	Subtotal			\$659,869	\$910,015	\$910,015	\$509,386	
1408	Network Upgrades			\$100,000	\$66,953	\$66,953	\$66,901	
MIP Hard Cost	Subtotal			\$100,000	\$66,953	\$66,953	\$66,901	
Administration	Salaries & Administration			\$633,224	\$633,224	\$633,224	\$610,352	
1410	Subtotal			\$633,224	\$633,224	\$633,224	\$610,352	
	A&E Services	1430		\$499,069	\$0			
	Inspections			\$0	\$73,306	\$24,398	\$18,149	
	Environmental Assessment			\$0	\$12,027	\$10,066	\$3,363	
	Energy Audit			\$0	\$49,254	\$49,254	\$49,254	
	Westridge			\$0	\$3,936	\$3,934	\$3,934	
	Quigg Newton Homes			\$0	\$31,600	\$31,600	\$30,920	

Fees & Costs	Sun Valley			\$0	\$3,675	\$3,675	\$0
1430	South Lincoln			\$0	\$3,675	\$3,675	\$0
	Hirschfeld Tower			\$0	\$148,706	\$148,705	\$145,030
	Mulroy			\$0	\$89,425	\$90,738	\$90,738
	Thomas Connole			\$0	\$3,705	\$3,704	\$3,704
	Bean Towers			\$0	\$107,222	\$107,222	\$107,222
	Dispersed 39			\$0	\$3,390	\$0	\$0
	Dispersed 42			\$0	\$37,345	\$37,344	\$19,904
	North Lincoln			\$10,200	\$50,365	\$50,365	\$33,497
1430	Subtotal			\$509,269	\$617,631	\$564,680	\$505,715

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **The Housing Authority of the City and County of Denver** Federal FY of Grant: **2002**
Prepared **April 12, 2004**

Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
	Non Dwelling Equipment	1475						
Non Dwelling Equipment	AV Equipment Thomas Connole			\$0	\$484	\$484	\$484	
	Office Furniture-Plan File			\$0	\$2,920	\$2,920	\$2,920	
	A/V Equipment 777 Grant			\$0	\$18,880	\$18,880	\$18,880	
	Computer Equipment 777 Grant			\$0	\$60,458	\$52,428	\$52,428	
1475	Subtotal			\$0	\$82,742	\$74,712	\$74,712	
Demolition	Dispersed 31-Arrowhead	1485		\$177,858	\$0	\$0	\$0	HOPE VI Award
1485	Stapleton Sub-Surface			\$177,859	\$0	\$0	\$0	
1485	Subtotal			\$355,717	\$0	\$0	\$0	
Relocation	Relocation	1495		\$75,610	\$0	\$0	\$0	
1495	Thomas Connole			\$3,000	\$368	\$368	\$368	
	Arrowhead			\$10,000	\$31,846	\$31,846	\$15,153	
	Bean Tower			\$0	\$1,100	\$1,100	\$1,100	
	Dispersed 39			\$5,000	\$3,088	\$3,088	\$488	
Relocation	Subtotal			\$93,610	\$36,402	\$36,402	\$17,109	
Development		1499		\$507,814	\$0	\$0	\$0	
1499								
Development	Subtotal			\$507,814	\$0	\$0	\$0	
Contingency	May Not Exceed 8%	1502		\$225,993	\$0	\$0	\$0	Contractor Selected
1502								
Contingency	Subtotal			\$225,993	\$0	\$0	\$0	

2002 Capital Fund Total	\$6,332,241	\$6,332,241	\$5,667,199	\$3,482,332
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**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Denver Housing Authority	Grant Type and Number Capital Fund Program Number CO06P00150102 Replacement Housing Factor No.						Federal FY of Grant: 2002 Prepared April 12, 2004
Development Number Name/HA-Wide Activities	All Funds Obligated 24 Months (Quarter Ending Date)			All Funds Expended 48 Months (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CO06P001003 Platte Valley	May 2004			May 2006			Fungability: work transferred to year 2005 of Agency Plan
CO06P001003 Central Office	May 2004		April 2004	May 2006			Fungability: work transferred to year 2003 of Agency Plan
CO06P001005 Columbine	May 2004		April 2004	May 2006			
CO06P001006 Westridge	May 2004			May 2006			
CO06P001007 Quigg Newton	May 2004		April 2004	May 2006			
CO06P001008 Sun Valley	May 2004		April 2004	May 2006			
CO06P001010 South Lincoln	May 2004		March 2004	May 2006			
CO06P001011 Westwood Homes	May 2004		December 2003	May 2006			
CO06P001014 Walsh Manor	May 2004		April 2004	May 2006			
CO06P001015 Hirschfeld	May 2004		April 2004	May 2006			
CO06P001016 Barney Ford	May 2004		April 2004	May 2006			Fungability: work transferred from year 2004 of Agency Plan
CO06P001017 Mulroy	May 2004		December 2003	May 2006			
CO06P001020 Thomas Connole	May 2004		September 2003	May 2006			

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name:	Grant Type and Number	Federal FY of Grant: 2002
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Denver Housing Authority

Capital Fund Program Number
Replacement Housing Factor No.

CO06P00150102

Prepared April 12, 2004

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CO06P001021 Walsh Annex	May 2004		December 2003	May 2006			Fungability: work transferred from year 2006 of Agency Plan
CO06P001029 Bean Towers	May 2004		December 2003	May 2006			Fungability: work transferred from year 2004 of Agency Plan
CO06P001039 Dispersed 39	May 2004		December 2003	May 2006			Fungability: work transferred from year 2001 of Agency Plan
CO06P001042 Dispersed 42	May 2004			May 2006			Fungability: work transferred to year 2003 of Agency Plan
CO06P001050 Dispersed 50	May 2004			May 2006			Project Placed on Hold
CO06P001052 North Lincoln	May 2004		April 2004	May 2006			Fungability: work transferred from year 2001 of Agency Plan
CO06P001054 Dispersed 54	May 2004		December 2003	May 2006		December 2003	HUD Field Office approved emergency expenditure
Agency Wide Snow Removal/Tree Trimming	May 2004		December 2003	May 2006		December 2003	HUD Field Office approved emergency expenditure-March 2003 4'-5" snow storm
Scattered Site Development Activities	May 2004		September 2002	May 2006			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part I: Summary

PHA Name: Denver Housing Authority	Grant Type and Number Capital Fund Program Number CO06R00150102 Replacement Housing Factor No.	Federal FY of Grant: 2002 Prepared April 9, 2004
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<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserved for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operation				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvements				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$277,607	\$277,607	\$277,607	\$0
19	1502 Contingency				
<hr/>					
	Amount of Annual Grant	\$277,607	\$277,607	\$277,607	\$0
<hr/>					
	Amount Related to LBP Activities				
	Amount Related to 504 Compliance				
	Amount Related to Security-Soft Costs				
	Amount Related to Security-Hard Costs				
	Amount Related to Energy Conservation				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver	Federal FY of Grant: 2002
Capital Fund Program Number: CO06R00150102	Prepared April 9, 2004

Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (No. of Units)	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised		
Development 1499		1499		\$277,607	\$277,607	\$0	Preliminary Approval Issued by HUD Field Office
Development	Subtotal			\$277,607	\$277,607	\$0	

2002 Capital Fund Total	\$277,607	\$277,607	\$0
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Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: Denver Housing Authority	Grant Type and Number Capital Fund Program Number COO6R00150102 Replacement Housing Factor No.						Federal FY of Grant: 2002 Prepared April 9, 2004
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
Development	Original 12/31/2003	Revised Nov-05	Actual	Original 12/31/2007	Revised	Actual	Preliminary Approval of RFP Issued by HUD Field Office.

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part I: Summary

PHA Name: Denver Housing Authority	Grant Type and Number: CO06P00150103 Capital Fund Program Number Replacement Housing Factor No.	Federal FY of Grant: 21 Prepared June 9, 2004
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<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserved for Disasters/Emergencies	<input checked="" type="checkbox"/> Revised Annual
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost Obligated
		Original	Revised	
1	Total non-CFP Funds			
2	1406 Operation	\$633,224	\$633,224	
3	1408 Management Improvements Soft Costs	\$639,869	\$619,869	
	Management Improvements Hard Costs	\$120,000	\$116,945	\$116,945
4	1410 Administration	\$521,008	\$521,008	\$521,008
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	\$253,000	\$333,000	\$525
8	1440 Site Acquisition			
9	1450 Site Improvements	\$1,107,738	\$0	
10	1460 Dwelling Structures	\$1,705,200	\$192,978	
11	1465.1 Dwelling Equipment			
12	1470 Nondwelling Structures		\$2,700,000	
13	1475 Nondwelling Equipment	\$0	\$23,055	\$23,055
14	1485 Demolition			
15	1490 Replacement Reserve			
16	1492 Moving to Work Demonstration			
17	1495.1 Relocation Costs	\$93,610	\$20,000	
18	1499 Development Activities	\$0	\$0	
19	1502 Contingency	\$136,430	\$50,000	
	Amount of Annual Grant	\$5,210,079	\$5,210,079	\$661,533
	Amount Related to LBP Activities			
	Amount Related to 504 Compliance	\$20,000	\$20,000	
	Amount Related to Security-Soft Costs	\$439,869	\$439,869	
	Amount Related to Security-Hard Costs			
	Amount Related to Energy Conservation	\$359,000	\$359,000	
	Collateralization Expenses or Debt Service			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

:003	PHA Name: The Housing Authority of the City and County of Denver				Federal FY of Grant: 2003				
					Prepared June 9, 2004				

Statement Number 2	Development Name/HA-Wide	General Description of Major Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
					Original	Revised			
Cost Expended	CO06P001007 Quigg Newton	Site Work Site Improvements	1450		\$280,000	\$0	\$0	\$0	Fungability: Transferred to Year 2004 of Agency Plan
	Quigg Newton	Subtotal			\$280,000	\$0	\$0	\$0	
		CO06P001008 Sun Valley	Site Work Site Improvements	1450		\$52,500	\$0	\$0	\$0
\$0	Sun Valley	Subtotal			\$52,500	\$0	\$0	\$0	
\$83,394	CO06P001010 South Lincoln	Site Work Site Improvements	1450		\$280,000	\$0	\$0	\$0	Fungability: Transferred to Grant CO06P00150203 (2003 Second Installment)
	South Lincoln	Subtotal			\$280,000	\$0	\$0	\$0	
\$525	CO06P001014 Walsh Manor	Dwelling Structures Common Area Finishes	1460	1	\$25,200	\$0	\$0	\$0	Fungability: Transferred to Year 2004 of Agency Plan
	Walsh Manor	Subtotal			\$25,200	\$0	\$0	\$0	
	CO06P001015 Hirschfeld	Dwelling Structures Common Area Finishes Plumbing Upgrades Mechanical Upgrades Replace Roof	1460	250	\$150,000 \$220,000 \$384,600 \$140,000	\$0 \$0 \$0 \$0	\$0 \$0 \$0 \$0	\$0 \$0 \$0 \$0	Fungability: Transferred to Year 2006-9 of Agency Plan
	Hirschfeld	Subtotal			\$894,600	\$0	\$0	\$0	
	CO06P001016 Barney Ford	Dwelling Structures Common Area Finishes	1460	100	\$25,200	\$0	\$0	\$0	Fungability: Transferred to Year 2004 of Agency Plan
	Barney Ford	Subtotal			\$25,200	\$0	\$0	\$0	
\$83,919	CO06P001029 Bean Tower	Nondwelling Structures Common Area Finishes	1470	100	\$0	\$2,700,000	\$0	\$0	Fungability: Transferred from Year 2005 of Agency Plan
	Bean Tower	Subtotal			\$0	\$2,700,000	\$0	\$0	
	CO06POO1041 Dispersed	Site Work Site Improvements Dwelling Structures Replace Roofs Replace Windows Finish Carpentry Finish Work Replace Furnace W/H Plumbing Upgrades Electrical Upgrades	1450 1460	76 76	\$52,500 \$20,000 \$35,000 \$61,800 \$30,000 \$40,000 \$29,000 \$25,000	\$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0	\$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0	\$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0	Fungability: Transferred to Year 2009 of Agency Plan
	Dispersed	Subtotal			\$293,300	\$0	\$0	\$0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver	Federal FY of Grant: 2003 Prepared June 9, 2004
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Development Name/HA-Wide	General Description of Major Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
CO06P001042 Dispersed	Dwelling Structures Full Modernization	1460	15	\$0	\$192,978	\$0	\$0	Fungability: Transferred from Year 2002 of Agency Plan
Dispersed	Subtotal			\$0	\$192,978	\$0	\$0	
CO06POO1043 Dispersed	Site Work	1450						Fungability: Transferred to Year 2007-8 of Agency Plan
	Site Improvements		106	\$59,500	\$0	\$0	\$0	
	Dwelling Structures	1460						
	Replace Roofs		106	\$40,000	\$0	\$0	\$0	
	Replace Windows			\$38,000	\$0	\$0	\$0	
	Finish Carpentry			\$72,900	\$0	\$0	\$0	
	Finish Work			\$29,000	\$0	\$0	\$0	
	Replace Furnace W/H			\$26,000	\$0	\$0	\$0	
Plumbing Upgrades			\$22,000	\$0	\$0	\$0		
Electrical Upgrades			\$29,000	\$0	\$0	\$0		
Dispersed 43	Subtotal			\$316,400	\$0	\$0	\$0	
CO06P001552 Mid-Rise	Dwelling Structures Dwelling Finishes HVAC	1460	75 75	\$50,000 \$20,000	\$0 \$0	\$0 \$0	\$0 \$0	Fungability: Transferred to Year 2004 of Agency Plan
North Lincoln	Subtotal			\$70,000	\$0	\$0	\$0	
CO06P001553 Family	Site Work	1450						Fungability: Transferred to Year 2008 of Agency Plan
	Site Improvements			\$383,238	\$0	\$0	\$0	
	Dwelling Structures	1460						
Exterior Finish		131	\$100,000	\$0	\$0	\$0		
Flooring Replacement		131	\$92,500	\$0	\$0	\$0		
North Lincoln	Subtotal			\$575,738	\$0	\$0	\$0	
1406 Operations	Operations	1406		\$633,224	\$633,224	\$0	\$0	
1406	Subtotal			\$633,224	\$633,224	\$0	\$0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver	Federal FY of Grant: 2003 Prepared June 9, 2004
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Development Name/HA-Wide	General Description of Major Categories	Development Account No.	Quantity (# of Units)	Total Estimated Cost		Total Obligated	Total Expended	Status of Work
				Original	Revised			
1408 Hard Costs	MIP Activities Network Upgrades			\$120,000	\$116,945	\$0	\$0	
MIP Hard Cost	Subtotal			\$120,000	\$116,945	\$0	\$0	
Management Improvement 1408 Soft Costs	Resident and Community Resident Leadership Employment and Training Section 3 outreach Child Care Communication/Bi-Lingual Self Sufficiency	1408		\$59,000 \$45,000 \$15,000 \$3,000 \$8,000 \$50,000	\$59,000 \$45,000 \$15,000 \$3,000 \$8,000 \$50,000	\$0 \$0 \$0 \$0 \$0 \$0	\$0 \$0 \$0 \$0 \$0 \$0	
MIP Soft Cost	Subtotal			\$639,869	\$619,869	\$0	\$0	
Administration 1410	a. Salaries of Modernization staff, Administration, Clerks	1410		\$521,008	\$521,008	\$521,008	\$83,394	
1410	Subtotal			\$521,008	\$521,008	\$521,008	\$83,394	
Fees & Costs 1430	A&E Services Mulroy	1430		\$253,000 \$0	\$332,475 \$525	\$525	\$525	
1430	Subtotal			\$253,000	\$333,000	\$525	\$525	
Non Dwelling Equipment 1475	Computer Equipment	1475		\$0	\$23,055	\$0	\$0	
1475	Subtotal			\$0	\$23,055	\$0	\$0	
Relocation 1495		1495		\$93,610	\$20,000	\$0	\$0	
Relocation	Subtotal			\$93,610	\$20,000	\$0	\$0	
Contingency 1502	May Not Exceed 8%	1502		\$136,430	\$50,000	\$0	\$0	
Contingency	Subtotal			\$136,430	\$50,000	\$0	\$0	

2003 Capital Fund Total	#####	#####	\$521,533	\$83,919
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Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: Denver Housing Authority	Grant Type and Number: CO06P00150103 Capital Fund Program Number Replacement Housing Factor No.						Federal FY of Grant: 2003 Prepared June 9, 2004
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CO06P001007 Quigg Newton	September 2005			September 2007			Fungability: Transferred to Year 2004 Of Agency Plan
CO06P001008 Sun Valley	September 2005			September 2007			Fungability: Transferred to Year 2004 Of Agency Plan
CO06P001010 South Lincoln	September 2005			September 2007			Fungability: Transferred to Grant Co06P00150203 (2003 Second Installment)
CO06P001014 Walsh Manor	September 2005			September 2007			Fungability: Transferred to Year 2004 Of Agency Plan
CO06P001015 Hirschfeld	September 2005			September 2007			Fungability: Transferred to Year 2006-9 Of Agency Plan
CO06P001016 Barney Ford	September 2005			September 2007			Fungability: Transferred to Year 2004 Of Agency Plan
CO06P001029 Bean Tower	September 2005			September 2007			Fungability: Transferred From Year 2005 Of Agency Plan
CO06P001041 Dispersed 41	September 2005			September 2007			Fungability: Transferred to Year 2009 Of Agency Plan
CO06P001042 Dispersed 42	September 2005			September 2007			Fungability: Transferred From Year 2002 Of Agency Plan
CO06P001043 Dispersed 43	September 2005			September 2007			Fungability: Transferred to Year 2007-8 Of Agency Plan
CO06P001552 North Lincoln Mid-Rise	September 2005			September 2007			Fungability: Transferred to Year 2004 Of Agency Plan
CO06P001552 North Lincoln Family	September 2005			September 2007			Fungability: Transferred to Year 2008 Of Agency Plan

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part I: Summary

PHA Name: Housing Authority of the City and County of Denver	Grant Type and Number Capital Fund Program No. Replacement Housing Factor No.	CO06R00150103	Federal FY of Grant: 2003 Prepared April 9, 2004
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<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserved for Disasters/Emergencies	<input checked="" type="checkbox"/> Revised Annual Statement
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operation				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvements				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$228,410	\$228,410		
19	1502 Contingency				
Amount of Annual Grant		\$228,410	\$228,410		
Amount Related to LBP Activities					
Amount Related to 504 Compliance					
Amount Related to Security-Soft Costs					
Amount Related to Security-Hard Costs					
Amount Related to Energy Conservation					
Collateralization Expenses or Debt Service					

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Housing Authority of the City and County of Denver Capital Fund Program Number: CO06R00150103				Federal FY of Grant: 2003 Prepared April 9, 2004				
Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (No. of Units)	Total Estimated Cost		Total Obligated	Total Actual Cost	Status of Work
				Original	Revised			
Development 1499		1499		\$228,410	\$228,410			Preliminary Approval of RHF Plan Issued by HUD Field Office
Development	Subtotal			\$228,410	\$228,410			

2003 Capital Fund Total				\$228,410	\$228,410			
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Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: Housing Authority of the City and County of Denver	Grant Type and Number: CO06R00150103 Capital Fund Program No. Replacement Housing Factor No.						Federal FY of Grant: 2003 Prepared April 9, 2004
Development Number Name/HA-Wide Activities	All Funds Obligated- 24 Months (Quarter Ending Date)			All Funds Expended-48 Months (Quarter Ending Date)			Reasons for Revised Target Dates
Housing Replacement Grant	Original September 2005	Revised	Actual	Original September 2007	Revised	Actual	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part I: Summary

PHA Name: Denver Housing Authority	Grant Type and Number CO06P00150104 Capital Fund Program Number Replacement Housing Factor No.	Federal FY of Grant: 2004 Prepared June 9, 2004
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<input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserved for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement Number
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operation	\$530,000			
3	1408 Management Improvements Soft Costs	\$940,000			
	Management Improvements Hard Costs	\$120,000			
4	1410 Administration	\$530,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$215,000			
8	1440 Site Acquisition				
9	1450 Site Improvements	\$20,000			
10	1460 Dwelling Structures	\$895,000			
11	1465.1 Dwelling Equipment				
12	1470 Nondwelling Structures	\$1,750,000			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$35,000			
18	1499 Development Activities	\$0			
19	1502 Contingency	\$265,000			
	Amount of Annual Grant	\$5,300,000			
	Amount Related to LBP Activities				
	Amount Related to 504 Compliance				
	Amount Related to Security-Soft Costs	\$590,000			
	Amount Related to Security-Hard Costs	\$0			
	Amount Related to Energy Conservation	\$125,000			
	Collateralization Expenses or Debt Service	\$0			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **The Housing Authority of the City and County of Denver** Federal FY of Grant: **2004**
Prepared June 8, 2004

Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (No. of Units)	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised		
CO06P001008/12 Sun Valley	Site Work Site Improvements	1450	200	\$20,000			
Sun Valley	Subtotal			\$20,000			
CO06P001011 Westwood Homes	Dwelling Structures Furnace/Water Heater Replacement	1460		\$250,000			
	Non Dwelling Structures Mgt Office/Community Center Renovation	1470		\$1,750,000			
Westwood Homes				\$2,000,000			
CO06P001014 Walsh Manor	Dwelling Structures Interior Finish Work	1460		\$20,000			
Walsh Manor	Subtotal			\$20,000			
CO06P001016 Barney Ford	Dwelling Structures Interior Finish Work	1460		\$20,000			
Barney Ford	Subtotal			\$20,000			
CO06P001017 Mulroy	Dwelling Structures Interior Finish Work	1460		\$20,000			
Mulroy	Subtotal			\$20,000			
CO06P001021 Walsh Annex	Dwelling Structures Electrical & Mechanical Upgrades	1460		\$80,000			
Walsh Manor	Subtotal			\$80,000			
CO06P001552 North Lincoln Mid-Rise	Dwelling Structures Mechanical Upgrades Interior Finish Work	1460		\$50,000 \$30,000			
North Lincoln	Subtotal			\$80,000			
CO06P001054 Dispersed	Dwelling Structures Full Modernization	1460		\$425,000			
Dispersed	Subtotal			\$425,000			
1406 Operations	Operations	1406		\$530,000			
1406	Subtotal			\$530,000			

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: The Housing Authority of the City and County of Denver	Federal FY of Grant: 2004 Prepared June 8, 2004
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Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (No. of Units)	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised		
Management Improvement 1408 Soft Costs	Resident and Community Services	1408					
	Resident Training and Leadership			\$62,000			
	Employment and Training			\$45,000			
	Section 3 outreach			\$15,000			
	Interdepartmental Communication			\$3,000			
	HOPE VI	1408		\$150,000			
	Bilingual Services			\$5,000			
	MIP Activities						
	PHAS Support			\$20,000			
	Security			\$640,000			
MIP Soft Cost	Subtotal			\$940,000			
1408 Hard Costs	MIP Activities Network Upgrades			\$120,000			
MIP Hard Cost	Subtotal			\$120,000			
Administration 1410	Salaries of Modernization Staff, Administration, Clerks	1410		\$530,000			
1410	Subtotal			\$530,000			
Fees & Costs 1430	A&E Services	1430		\$215,000			
1430	Subtotal			\$215,000			
Relocation 1495		1495		\$35,000			
Relocation	Subtotal			\$35,000			
Contingency 1502	May Not Exceed 8%	1502		\$265,000			
Contingency	Subtotal			\$265,000			

2004 Capital Fund Total	#####					
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Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City and County of Denver		Grant Type and Number: CO06P00150104 Capital Fund Program No. Replacement Housing Factor No.					Federal FY of Grant: 2004 Prepared June 9, 2003	
Development Number Name/HA-Wide Activities	All Funds Obligated- 24 Months (Quarter Ending Date)			All Funds Expended-48 Months (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
CO06P001008/12 Sun Valley	June 2006			June 2008				
CO06P001011 Westwood Homes	June 2006			June 2008				
CO06P001014 Walsh Manor	June 2006			June 2008				
CO06P001016 Barney Ford	June 2006			June 2008				
CO06P001017 Mulroy	June 2006			June 2008				
CO06P001021 Walsh Annex	June 2006			June 2008				
CO6P001552 North Lincoln Mid Rise	June 2006			June 2008				
CO6P001054 Dispersed	June 2006			June 2008				

THE HOUSING AUTHORITY OF THE CITY AND COUNTY OF DENVER
RESIDENT AND COMMUNITY SERVICES
COMPREHENSIVE GRANT/CAPITAL FUND PLAN
July 1, 2001 through June 30, 2002

IDENTIFIED PROBLEM	PROGRAM SOLUTIONS/ACTIVITIES	MI CODE	PROJECT NUMBER	ESTIMATED COST	COMPLETION DATE	
Maintenance						
Lack of responsibility and unnecessary call outs adds to increased maintenance costs	RESIDENT TRAINING					
	<ul style="list-style-type: none"> Develop Training programs to emphasize increased responsibility 	RM	931	\$1,271	Completed	
	RESIDENT LEADERSHIP					
	<ul style="list-style-type: none"> Assisted 17 Local Resident Councils and Resident Council Board with elections, financial management; Coordinated monthly Comp Grant Advisory Committee meetings. 	RM RM	930 931	\$6,461 \$33,876	Ongoing	
	<ul style="list-style-type: none"> Coordinate RCB Violence Task Force monthly meetings and monitor Hotline 	RM			Ongoing	
	<ul style="list-style-type: none"> Conduct two Leadership Conference each year 	RM			Completed	
	BILINGUAL COMMUNICATION					
	<ul style="list-style-type: none"> Provide bi-lingual services for residents on various topics as needed 	BL BL	929 930	\$1,293 \$1,024	Ongoing	
	Long Term Occupancy	EMPLOYMENT AND TRAINING				
		<ul style="list-style-type: none"> Implement a HUD approved Employment and Training Program and increase participation 	ET ET	930 931	\$25,634 \$17,877	Ongoing
SECTION 3 OUTREACH						
<ul style="list-style-type: none"> Coordinate Section 3 Program and monitor compliance of contractors 		ET ET	930 931	\$10 \$54	Ongoing	
CHILDCARE						
<ul style="list-style-type: none"> Increase child care resources for residents by working with TANF staff 		CC	930	\$165	Completed	

IDENTIFIED PROBLEM	PROGRAM SOLUTIONS/ACTIVITIES	MI CODE	PROJECT NUMBER	ESTIMATED COST	COMPLETION DATE
Maintenance (Continued)					
	<p>CHILDCARE (Con't)</p> <ul style="list-style-type: none"> Coordinate childcare services for residents attending training or job search 				Completed
	<p>SELF SUFFICIENCY</p> <ul style="list-style-type: none"> Provide coordination of self sufficiency services including job opportunities to Curtis Park residents 	<p>RSS RSS RSS</p>	<p>929 930 931</p>	<p>\$2,893 \$17,368 \$4,026</p>	Ongoing
	<p>INTERDEPARTMENTAL COMMUNICATIONS</p> <ul style="list-style-type: none"> Provide interdepartmental training and materials to increase knowledge of resident initiatives 	<p>RM RM</p>	<p>930 931</p>	<p>\$1,990 \$6,198</p>	<p>Ongoing Ongoing</p>
	<p>RESIDENT OWNED BUSINESS</p> <ul style="list-style-type: none"> Provide training and materials to increase knowledge and opportunity for residents to learn and start their own business 	<p>ROB</p>	<p>930</p>	<p>\$1,882</p>	Completed

MANAGEMENT IMPROVEMENT CODES (MI)

CC: Childcare
ET: Employment & Training
RSS: Resident Self Sufficiency
RM: Resident Management
ROB: Resident Owned Business
BL: Bilingual Services

PROJECT NUMBER

1999 – 929
2000 – 930
2001 – 931

**THE HOUSING AUTHORITY OF THE CITY AND COUNTY OF DENVER
RESIDENT AND COMMUNITY SERVICES
COMPREHENSIVE GRANT/CAPITAL FUND PLAN
July 1, 2001 through June 30, 2002**

MAINTENANCE

RESIDENT TRAINING

- 710-01 Develop training programs to work with residents that emphasize an increase in responsibility.**

Resident training on Bookkeeping, Parliamentary rules and record keeping are held on a one to one basis with LRC members on a monthly basis. Resident training on available Senior Services was held on Wednesday, March 27, 2002 at the Arvada Center.

RESIDENT LEADERSHIP

- 709-00 Continued technical assistance and leadership development with Local
710-01 Resident Councils and the Resident Councils Board to increase resident
capacity to work with the housing authority to solve problems and increase
resident responsibility.**

Resident and Community Services Department staff continued to strengthen their working relationship with the Local Resident Councils and the Resident Councils Board through regular meeting attendance. DHA assisted with elections, organization and financial management of the Councils. In addition, leadership training was provided to council members. Denver Housing Authority continues to assist with the coordination of the COMP Grant Committee, Violence Task Force Committee and Special Events Committee.

Conduct 2 Leadership Conferences

A three day conference on Leadership and Drug Prevention was held on September 24—26, 2001 in Colorado Springs. Members participated in workshops on Conflict Resolution with the The Conflict Center. In addition, workshops on Parliamentary Procedure, Team Building, Housing Update and Adult Services were offered. A three day Leadership and Drug Prevention Conference was also held on June 11-13 in Fort Collins. Participants attended workshops on Housing Information Updates, Self Motivation, Parliamentary Procedures Grievance/Complaint Procedures and Drug Prevention.

**Coordinate RCB Violence Task Force monthly meetings and monitor
Hotline.**

DHA staff members attend the monthly Violence Task Force meetings to assist with facilitation and to work with residents on any resident issues. DHA has provided the VTF with a phone line which has been designated a Violence Task Force Hotline. A VTF members checks the Hotline daily to respond to resident concerns. The present VTF Safety Brochure has been updated to include additional resources for residents.

BILINGUAL COMMUNICATION

708-99 Translate essential information in different languages as needed.
709-00

Funds were utilized to provide information to residents of different cultures by using translation services (i.e. written communication, brochures and verbal communication).

LONG TERM OCCUPANCY

EMPLOYMENT & TRAINING

709-00 Implement a HUD approved Employment & Training Program.
710-01

Funds were used to coordinate employment and training opportunities for residents including the continuation of the First-Step Employment and Training Program. Employment opportunities were coordinated for residents in the private sector working closely with the Mayor's Office of Workforce Development Job Service Centers and other employment agencies throughout the Metro Area.

SECTION 3 OUTREACH

709-00
710-01 Develop and Monitor Section 3 Program utilizing a network of agencies

DHA has created a strong network of job opportunities by taking advantage of Section 3 opportunities offered through contractors. DHA has meet with each contractor with a Section 3 clause to assess job opportunities for residents.

CHILDCARE

708-99
709-00 Coordinate services for affordable childcare for public housing residents

Staff assisted families to access Child care resources available to low-income working families through the Colorado Child Care Assistance Program. In addition, DHA provide limited child care funds to resident involved in training and updated the Child Care Directory to assist families.

SELF SUFFICIENCY

708-99 Provide Coordination of self sufficiency services including job opportunities
709-00 to Curtis Park residents
710-01

Funds were used to provide case management services to Curtis Park HOPE VI residents. The case management focus remained on education and employment opportunities within the Denver community.

INTERDEPARTMENTAL COMMUNICATIONS

**709-00 Provide interdepartmental training and materials to increase knowledge of
710-01 resident initiatives**

Funds were utilized to increase knowledge of resident initiatives throughout the housing authority to increase participation in programs.

RESIDENT OWNED BUSINESS

**709-0 Provide training and materials to increase knowledge and opportunity for
 residents to learn and start their own business.**

Funds were utilized to provide training and materials to interested public housing residents who wanted additional information on owning their own business.

THE HOUSING AUTHORITY OF THE CITY AND COUNTY OF DENVER
RESIDENT AND COMMUNITY SERVICES
COMPREHENSIVE GRANT/CAPITAL FUND PLAN
July 1, 2002 through June 30, 2003

IDENTIFIED PROBLEM	PROGRAM SOLUTIONS/ACTIVITIES	MI CODE	PROJECT NUMBER	ESTIMATED COST	COMPLETION DATE
Maintenance					
Lack of responsibility and unnecessary call outs adds to increased maintenance costs	<p>RESIDENT TRAINING & LEADERSHIP</p> <ul style="list-style-type: none"> • Assisted 17 Local Resident Councils and Resident Council Board with elections, financial management; Coordinated monthly Comp Grant Advisory Committee meetings. • Coordinate RCB Violence Task Force monthly meetings and monitor Hotline • Conduct two Leadership Conference each year • Develop Training programs to emphasize increased responsibility <p>BILINGUAL COMMUNICATION</p> <ul style="list-style-type: none"> • Provide bi-lingual services for residents on various topics as needed 	<p>RM RM</p> <p>RM</p> <p>RM</p> <p>BL</p>	<p>930 931</p> <p>930</p> <p>930</p> <p>930</p>	<p>\$9,802 \$14,805</p> <p></p> <p></p> <p>\$2,144</p>	<p>Ongoing</p> <p>Ongoing</p> <p>Completed</p> <p>Completed</p> <p>Ongoing</p>
Long Term Occupancy					
Lack of opportunities for residents hinders ability of residents to break the cycle of long term occupancy	<p>EMPLOYMENT AND TRAINING</p> <ul style="list-style-type: none"> • Implement a HUD approved Employment and Training Program and increase participation <p>SECTION 3 OUTREACH</p> <ul style="list-style-type: none"> • Coordinate Section 3 Program and monitor compliance of contractors <p>CHILDCARE</p> <ul style="list-style-type: none"> • Increase child care resources for residents by working with TANF staff 	<p>ET ET</p> <p>ET ET</p> <p>CC CC</p>	<p>930 931</p> <p>930 931</p> <p>930 931</p>	<p>\$2,942 \$13,735</p> <p>\$12,212 \$1,335</p> <p>\$2,839 \$16</p>	<p>Ongoing</p> <p>Ongoing</p> <p>Completed</p>

IDENTIFIED PROBLEM	PROGRAM SOLUTIONS/ACTIVITIES	MI CODE	PROJECT NUMBER	ESTIMATED COST	COMPLETION DATE
Maintenance (Continued)					
	CHILDCARE (Con't) <ul style="list-style-type: none"> • Coordinate childcare services for residents attending training or job search 				Completed
	SELF SUFFICIENCY <ul style="list-style-type: none"> • Provide coordination of self sufficiency services including job opportunities to Curtis Park residents 	RSS RSS	930 931	\$30,263 \$17,544	Ongoing
	INTERDEPARTMENTAL COMMUNICATIONS <ul style="list-style-type: none"> • Provide interdepartmental training and materials to increase knowledge of resident initiatives 	RM RM	930 931	\$41 \$162	Ongoing Ongoing

MANAGEMENT IMPROVEMENT CODES (MI)

- CC: Childcare
- ET: Employment & Training
- RSS: Resident Self Sufficiency
- RM: Resident Management
- ROB: Resident Owned Business
- BL: Bilingual Services

PROJECT NUMBER

- 1999 – 929
- 2000 – 930
- 2001 – 931

**THE HOUSING AUTHORITY OF THE CITY AND COUNTY OF DENVER
RESIDENT AND COMMUNITY SERVICES
COMPREHENSIVE GRANT/CAPITAL FUND PLAN
July 1, 2002 through June 30, 2003**

MAINTENANCE

RESIDENT TRAINING & LEADERSHIP

**709-00 Continued technical assistance and leadership development with Local
710-01 Resident Councils and the Resident Councils Board to increase resident
 capacity to work with the housing authority to solve problems and increase
 resident responsibility.**

Resident and Community Services Department staff continued to strengthen their working relationship with the Local Resident Councils and the Resident Councils Board through regular meeting attendance. DHA assisted with elections, organization and financial management of the Councils. In addition, leadership training was provided to council members. Denver Housing Authority continues to assist with the coordination of the COMP Grant Committee, Violence Task Force Committee and Special Events Committee.

Conduct 2 Leadership Conferences

A three day Leadership and Drug Prevention Conference was held September 17-19, 2002 in Fort Collins, CO. Participants attended workshops on Personal Safety, Dressing for Success, Understanding Youth, Local Resident Council – Officer Training and Housing Information Update. Another three day Leadership and Drug Prevention Conference was held June 17-19, 2003 in Fort Collins, CO. Workshops on Parliamentary Procedure, Conflict Avoidance, Record Keeping, and Housing Information Update were held for conference participants.

**Coordinate RCB Violence Task Force monthly meetings and monitor
Hotline.**

DHA staff members attend the monthly Violence Task Force meetings to assist with facilitation and to work with residents on any resident issues. DHA has provided the VTF with a phone line which has been designated a Violence Task Force Hotline. A VTF members checks the Hotline daily to respond to resident concerns. The present VTF Safety Brochure has been updated to include additional resources for residents.

**Develop training programs to work with residents that emphasize an increase in
responsibility.**

Resident training on Bookkeeping, Parliamentary rules and record keeping are held on a one to one basis with LRC members on a monthly basis. A one-day Retreat was held for any member of the Local Resident Councils on Thursday, April 17, 2003 at the Warwick Hotel. Members participated in workshops on Local Resident Council Accounting Practices, Resident Councils Board Issues and Form Completion.

BILINGUAL COMMUNICATION

709-00 Translate essential information in different languages as needed.

Funds were utilized to provide information to residents of different cultures by using translation services (i.e. written communication, brochures and verbal communication).

LONG TERM OCCUPANCY

EMPLOYMENT & TRAINING

709-00 Implement a HUD approved Employment & Training Program.
710-01

Funds were used to coordinate employment and training opportunities for residents including the continuation of the First-Step Employment and Training Program. Employment opportunities were coordinated for residents in the private sector working closely with the Mayor's Office of Workforce Development Job Service Centers and other employment agencies throughout the Metro Area.

SECTION 3 OUTREACH

709-00 Develop and Monitor Section 3 Program utilizing a network of agencies
710-01

DHA has created a strong network of job opportunities by taking advantage of Section 3 opportunities offered through contractors. DHA has meet with each contractor with a Section 3 clause to assess job opportunities for residents.

CHILDCARE

709-00 Coordinate services for affordable childcare for public housing residents
710-01

Staff assisted families to access Child care resources available to low-income working families through the Colorado Child Care Assistance Program. In addition, DHA provide limited child care funds to resident involved in training and updated the Child Care Directory to assist families.

SELF SUFFICIENCY

709-00 Provide Coordination of self sufficiency services including job opportunities
710-01 to Curtis Park residents

Funds were used to provide case management services to Curtis Park HOPE VI residents. The case management focus remained on education and employment opportunities within the Denver community.

INTERDEPARTMENTAL COMMUNICATIONS

709-00 **Provide interdepartmental training and materials to increase knowledge of**
710-01 **resident initiatives**

Funds were utilized to increase knowledge of resident initiatives throughout the housing authority to increase participation in programs.

**MANAGEMENT IMPROVEMENTS - OPERATIONS/MIS
COMPREHENSIVE GRANT PLAN
2001- 2004
DHA Funds 931, 932, 933 and 934**

Updated 06/10/04

SYSTEM AUTOMATION

HARDWARE UPGRADING (934)

Problem: In June 1998, DHA purchased computer hardware for the primary financial and housing software. Recently, we have started to experience performance issues. The hardware is outdated and has limited upgrade capabilities.

Solution: Upgrade the main computer hardware to take advantage of the advancements in technology including increased processors and memory capabilities.

Implementation:

- | | |
|---|----------|
| • Identify main computer hardware requirements | Jul 2004 |
| • Purchase hardware | Aug 2004 |
| • Hire technical consultant to assist with conversion to new hardware | Oct 2004 |
| • Install new hardware | Oct 2004 |

<u>Budgeted</u>	<u>Spent</u>	<u>Complete Date</u>
\$120,000	\$0	Pending/Aug 2004 (934) System Automation

NETWORK SOFTWARE/HARDWARE UPGRADE

Problem: In 1996 we installed network operating system and office automation software. Today we support in excess of 200 personal computers throughout the agency and have experienced some performance issues as we have continued to grow. Advancements in technology over the past few years have provided significant performance enhancements to existing networks and individual workstations.

Additionally, all staff members do not have access to our computer resources including e-mail and our intranet site. Management would like all staff to have the ability to access these valuable resources.

Solution: Upgrade the network servers, connectivity lines (frame relay lines and routers), network operating system and office automation software for the entire network. Evaluate the software needed for each desktop and purchase upgraded licenses for each system. Perform inventory of all remote systems and upgrade identified hardware and software as necessary.

Add additional systems to each location and train all staff on the use of e-mail and the intranet.

Implementation:

- Upgrade desktop hardware required for new software June, 2002
- Purchase new network servers Sept, 2002
- Purchase upgraded software licenses for each desktop Nov, 2002
- Purchase and install upgraded routers Feb, 2003
- Upgrade frame relay lines to each remote site Feb, 2003
- Purchase thin client equipment and software for remaining field staff May, 2003
- Train all staff on use of e-mail and intranet July, 2003
- Upgrade Network Servers including the Exchange Server July, 2004
- Perform inventory of remote equipment Aug, 2004
- Upgrade remote hardware and software as identified during inventory Oct, 2004

<u>Budgeted</u>	<u>Spent</u>	<u>Complete Date</u>
\$131,699*	\$131,699	Complete /Dec 2002 (931) - System Automation
\$66,953**	\$66,953	Complete/Apr 2004 (932) – System Automation
\$116,945***	\$0	In process/July, 2003 (933) – System Automation

* \$30,093 moved to equipment (147501)

** \$53,047 moved to equipment (147501)

*** \$3,055 moved to equipment (147501)

RECORDS MANAGEMENT (707)

Problem: Records Management maintains files and records in storage boxes. The boxes are labeled in general terms as to content and record retention requirement. Staff time is inefficiently spent researching and pulling files when needed. In addition, when a file is pulled no tracking of who and when a file is pulled is available which results in files being potentially lost. In addition, the storage area does not have adequate access control to files that are confidential.

Solution: Implement a records management system that utilizes bar code technology to track location of files/records. The records management system will include a computerized tracking system to effectively and efficiently retrieve records and to track who, what and when a staff person checked out a record.

Implementation: DHA will develop specifications to contract this service out to a third party who provides a records management system. DHA staff will coordinate.

Tasks / Time frame:

- Review current filing system July, 1999

- Identify data to be captured and develop specifications for competitive procurement Aug, 1999
- Award Contract to Records Management Company Nov, 1999
- Identify and label all boxes and files Jan, 2000
- Convert existing system Apr, 2004
- Train staff and implement new system Apr, 2004

<u>Budgeted</u>	<u>Spent</u>	<u>Complete Date</u>
\$9,390.00	\$9,390.00	Complete/Jan 2003 (931) - Records Management
\$20,000.00	\$20,000.00	Complete/Apr 2004 (932) - Records Management

PUBLIC HOUSING ASSESSMENT SYSTEM (PHAS) (932, 933, 934)

Problem: HUD has created and implemented PHAS to evaluate and rank a PHA's performance. To meet this requirement PHA's are scrambling to create computer databases able to achieve high performance status. As a PHA, DHA needs to establish a base-line database of the physical condition of all its' public housing properties. To develop this database, we will have to create a special computer program and then load the program with data obtained from the physical inspections.

Solution: Establish a baseline of information and implement a special software program for capturing physical inspection (UPCS) information. This program will have the capabilities of storing baseline data and automating the update of data as needed to ensure DHA's high performance ranking.

Tasks \ Time frame:

- Review the new proposed PHAS rules. Develop software programs to self evaluate our performance. June, 2002/ on-going
- Review tracking programs and enhance software to provide better performance indicator tools June 2002/on-going
- Evaluate, procure and implement handheld software system for performing UPCS inspections Mar 2004
- Develop interfaces between the handheld system and main computer system Mar 2004
- Upgrade desktop hardware during implementation of UPCS system Mar 2004
- Train staff on the use of the handheld inspection system better performance indicator tools In process/ May 2004

- Review tracking programs and continue to enhance the software to provide better performance indicator tools June 2002/on-going

<u>Budgeted</u>	<u>Spent</u>	<u>Complete Date</u>
\$12,589 *	\$12,589	In process/May 2004 (932) - PHAS
\$0 **	\$ 7,443	In process/May 2004 (933) – PHAS
\$20,000.00	\$ 0	Pending/Aug 2004 (934) - PHAS

- * 7,411 moved to equipment (147501)
- **20,000 moved to equipment (147501)

SECURITY

Problem: The prevalent issues of resident security include vandalism, poor lighting and inadequate on-site after hours security.

Solution: Reduce vandalism damage and control access to buildings, which will improve PHA living environment for seniors and disabled populations.

Implementation: Hire on-site security services and/or setup security systems to assist managers/PHA in security monitoring to minimize damage to buildings.

- Contract with on-site security service July 1998/on-going

<u>Budgeted</u>	<u>Spent</u>	<u>Complete Date</u>
\$541,716	\$541,716	Complete/May 2003 (931) – Security
\$697,426	\$408,920	In process/Apr 2004 (932) - Security
\$556,642	\$ 0	In process/June 2004 (933) - Security
\$640,000	\$ 0	Pending/Dec 2004 (934) - Security

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary

PHA Name: Denver Housing Authority	Grant Type and Number Capital Fund Program Number Replacement Housing Factor No.	CO06P00150105	Federal FY of Grant: 2005 Prepared June 9, 2004
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<input checked="" type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserved for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement Number
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Final Performance and Evaluation Report	

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$6,309,120			
2	1406 Operation	\$530,000			
3	1408 Management Improvements Soft Costs	\$940,000			
	Management Improvements Hard Costs	\$120,000			
4	1410 Administration	\$530,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$215,000			
8	1440 Site Acquisition				
9	1450 Site Improvements	\$0			
10	1460 Dwelling Structures	\$545,000			
11	1465.1 Dwelling Equipment				
12	1470 Nondwelling Structures	\$100,000			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$55,000			
18	1499 Development Activities				
19	1502 Contingency	\$465,000			
	Debt Service	\$1,800,000			
	Amount of Annual Grant	\$5,300,000			
	Amount Related to LBP Activities				
	Amount Related to 504 Compliance	\$100,000			
	Amount Related to Security-Soft Costs	\$590,000			

	Amount Related to Security-Hard Costs	\$0			
	Amount Related to Energy Conservation	\$125,000			
	Collateralization Expenses or Debt Service	\$1,800,000			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFP)

Part II: Supporting Pages

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFP)

Part III: Implementation Schedule

PHA Name: The Housing Authority of the City and County of Denver				Federal FY of Grant: 2005 Prepared June 8, 2004			PHA Name: Housing Authority of the City and County of Denver		Grant Type and Capital Fund Program Replacement Housing
Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (No. of Units)	Total Estimated Cost Original	Revised	Total Actual Cost	Status of Work	Development Number Name/HA-Wide Activities	All Funds (Quantity) Original
Agency Wide	Dwelling Structures 504 Improvements	1460		\$100,000				Agency Wide 504 improvements	June 2007
Agency Wide	Subtotal			\$100,000					
CO06P001003 Central Office	Nondwelling Structures Electrical & Mechanical Upgrades	1470		\$100,000				CO06P001003 Central Office	June 2007
Central Office	Subtotal			\$100,000					
CO06P001005 Columbine Homes	Dwelling Structures Foundation Repairs	1460		\$45,000				CO06P001005 Columbine Homes	June 2007
Columbine Homes	Subtotal			\$45,000				CO06P001032 Dispersed	June 2007
CO06P001032 Dispersed	Dwelling Structures Comprehensive Modernization	1460	45	\$400,000					
Dispersed	Subtotal			\$400,000					
1406 Operations	Operations	1406		\$530,000					
1406	Subtotal			\$530,000					
Management Improvement 1408 Soft Costs	Resident and Community Services Resident Training and Leadership Employment and Training Section 3 outreach Interdepartmental Communication HOPE VI Bilingual Services MIP Activities PHAS Support Security	1408 1408		\$62,000 \$45,000 \$15,000 \$3,000 \$200,000 \$5,000 \$20,000 \$590,000					
MIP Soft Cost	Subtotal			\$940,000					
1408 Hard Costs	MIP Activities Network Upgrades			\$120,000					

MIP Hard Cost	Subtotal			\$120,000			
Administration 1410	Salaries of Modernization Staff, Administration, Clerks	1410		\$530,000			
1410	Subtotal			\$530,000			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPI)
Part II: Supporting Pages**

PHA Name: The Housing Authority of the City and County of Denver
Federal FY of Grant: 2005
Prepared June 8, 2004

Development Name/HA-Wide	General Description of Major Work Categories	Development Account No.	Quantity (No. of Units)	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised		
Fees & Costs 1430	A&E Services	1430		\$215,000			
1430	Subtotal			\$215,000			
Relocation 1495		1495		\$55,000			
Relocation	Subtotal			\$55,000			
Contingency 1502	May Not Exceed 8%	1502		\$465,000			
Contingency	Subtotal			\$465,000			
Securitization Expense	Debt Service for Securitization of Capital Fund-20 year payback			\$1,800,000			
Debt Service	Subtotal			\$1,800,000			
2005 Capital Fund Total				\$5,300,000			

Non CGP Funds

CO06P001015 Hirschfeld	Dwelling Structures Full Building Modernization	1460	45	\$2,958,120			
Hirschfeld	Subtotal			\$2,958,120			
CO06P001010 South Lincoln	Site Work Comprehensive Site Improvements	1450	45	\$3,351,000			

South Lincoln	Subtotal			\$3,351,000			
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<i>Total Non CGP Funds</i>				<i>\$6,309,120</i>			
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rogram Replacement Housing Factor (CFP/CFPRHF)

id Number: CO06P00150105 am No. ing Factor No.			Federal FY of Grant: 2005 Prepared June 9, 2003		
Is Obligated- 24 Months (Quarter Ending Date)		All Funds Expended-48 Months (Quarter Ending Date)			Reasons for Revised Target Dates
Revised	Actual	Original	Revised	Actual	
		June 2009			
		June 2009			
		June 2009			
		June 2009			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name The Housing Authority of the City and County of Denver				<input checked="" type="checkbox"/>	Original 5-Year Plan
				<input type="checkbox"/>	Revision Number One
Development Name/HA-Wide	Year 1	Work Statement Year 2 FFY Grant: 2006 PHA FY: 2006	Work Statement Year 3 FFY Grant: 2007 PHA FY: 2007	Work Statement Year 4 FFY Grant: 2008 PHA FY: 2008	Work Statement Year 5 FFY Grant: 2009 PHA FY: 2009
CO06P001003 Platte Valley		\$30,000	\$10,000		
CO06P001003 Central Office		\$100,000			
CO06P001005 Columbine					
CO06P001006 Westridge			\$112,000		\$100,000
CO06P001008/12 Sun Valley					\$75,000
CO06P001011 Westwood Homes					
CO06P001016 Barney Ford					
CO06P001019 Dispersed					\$20,000
CO06P001020 Thomas Connole					\$20,000
CO06P001021 Walsh Annex					\$287,000
CO06P001022 Dispersed				\$23,000	
CO06P001023 Dispersed				\$27,000	
CO06P001024 Dispersed					\$10,000
CO06P001025 Dispersed					\$10,000
CO06P001026 Dispersed				\$15,000	
CO06P001027 Dispersed				\$12,000	
CO06P001028 Dispersed					\$10,000
CO06P001032 Dispersed		\$670,000			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name The Housing Authority of the City and County of Denver				<input checked="" type="checkbox"/>	Original 5-Year Plan
				<input type="checkbox"/>	Revision Number One
Development Name/HA-Wide	Year 1	Work Statement Year 2 FFY Grant: 2005 PHA FY: 2005	Work Statement Year 3 FFY Grant: 2006 PHA FY: 2006	Work Statement Year 4 FFY Grant: 2007 PHA FY: 2007	Work Statement Year 5 FFY Grant: 2008 PHA FY: 2008
CO06P001041 Dispersed					\$10,000
CO06P001042 Dispersed					\$10,000
CO06P001043 Dispersed			\$625,000	\$745,000	
CO06P001044 Dispersed					\$10,000
CO06P001049 Dispersed					\$10,000
CO06P001050 Dispersed			\$75,000		\$188,000
CO06P001051 Dispersed					\$10,000
CO06P001552/3 North Lincoln				\$25,000	
CO06P001554 South Lowell					\$42,000
CO06P001022 NW Dispersed Office		\$22,000			
1406 Operations		\$530,000	\$530,000	\$530,000	\$530,000
1408 Soft Costs		\$940,000	\$940,000	\$940,000	\$940,000
1408 Hard Costs		\$120,000	\$120,000	\$120,000	\$120,000
Administration 1410		\$530,000	\$530,000	\$530,000	\$530,000
Audit 1411					
Fees & Costs 1430		\$215,000	\$215,000	\$215,000	\$215,000

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name		<input checked="" type="checkbox"/>			Original 5-Year Plan	
The Housing Authority of the City and County of Denver		<input type="checkbox"/>			Revision Number One	
Development Name/HA-Wide	Year 1	Work Statement Year 2 FFY Grant: 2005 PHA FY: 2005	Work Statement Year 3 FFY Grant: 2006 PHA FY: 2006	Work Statement Year 4 FFY Grant: 2007 PHA FY: 2007	Work Statement Year 5 FFY Grant: 2008 PHA FY: 2008	
Relocation 1495		\$78,000	\$78,000	\$78,000	\$78,000	
Development 1499		\$0	\$0	\$0	\$0	
Contingency 1502		\$265,000	\$265,000	\$240,000	\$275,000	
Securitization Debt Service		\$1,800,000	\$1,800,000	\$1,800,000	\$1,800,000	
Total CFP Funds		\$5,300,000	\$5,300,000	\$5,300,000	\$5,300,000	
Total RHF Funds		\$277,607				
Non CFP Funds						
CO06P001010 South Lincoln		\$3,351,000	\$6,801,000			
CO06P001015 Hirschfeld		\$2,988,133	\$3,017,747			
Total Non CFP Funds		\$6,339,133	\$9,818,747			

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages-Work Activities

PHA Name The Housing Authority of the City and County of Denver				Original 5-Year Plan Revision Number One					
Development Name/HA-Wide	Year One	Work Statement Year 2		Work Statement Year 3		Work Statement Year 4		Work Statement Year 5	
		FFY Grant: 2006 PHA FY: 2006	Est. Cost	FFY Grant: 2007 PHA FY: 2007	Est. Cost	FFY Grant: 2008 PHA FY: 2008	Est. Cost	FFY Grant: 2009 PHA FY: 2009	Est. Cost
CO06P001003 Platte Valley		Interior Finishes Plumbing Upgrades	\$20,000 \$10,000	Site Improvements	\$10,000				
CO06P001003 Central Office		Mechanical Upgrades	\$100,000						
CO06P001006 Westridge				Site Improvements	\$112,000			Replace Roofs Replace Appliances	\$80,000 \$20,000
CO06P001008/12 Sun Valley								Replace Windows Site Improvements	\$50,000 \$25,000
CO06P001011 Westwood Homes									
CO06P001019 Dispersed								Interior Finishes	\$20,000
CO06P001020 Thomas Connole								Interior Finishes	\$20,000
CO06P001021 Walsh Annex								Interior Finishes Mechanical Upgrades	\$50,000 \$237,000
CO06P001022 Dispersed						Site Improvements	\$23,000		
CO06P001023 Dispersed						Site Improvements	\$27,000		
CO06P001024 Dispersed								Site Improvements	\$10,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages-Work Activities

PHA Name The Housing Authority of the City and County of Denver				Original 5-Year Plan Revision Number One					
Development Name/HA-Wide	Year One	Work Statement Year 2		Work Statement Year 3		Work Statement Year 4		Work Statement Year 5	
		FFY Grant: 2006 PHA FY: 2006	Est. Cost	FFY Grant: 2007 PHA FY: 2007	Est. Cost	FFY Grant: 2008 PHA FY: 2008	Est. Cost	FFY Grant: 2009 PHA FY: 2009	Est. Cost
CO06P001025 Dispersed								Site Improvements	\$10,000
CO06P001026 Dispersed						Site Improvements	\$15,000		
CO06P001027 Dispersed						Site Improvements	\$12,000		
CO06P001028 Dispersed								Site Improvements	\$10,000
CO06P001032 Dispersed		Full Modernization	\$670,000						
CO06P001041 Dispersed								Site Improvements	\$10,000
CO06P001042 Dispersed								Site Improvements	\$10,000
CO06P001043 Dispersed				Full Modernization	\$625,000	Full Modernization	\$745,000		
CO06P001044 Dispersed								Site Improvements	\$10,000
CO06P001049 Dispersed								Site Improvements	\$10,000
CO06P001050 Dispersed				Replace Ext. Doors	\$75,000			Site Improvements	\$10,000
								Interior Finsihes	\$178,000
CO06P001051 Dispersed								Site Work	\$10,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages-Work Activities

PHA Name The Housing Authority of the City and County of Denver				Original 5-Year Plan Revision Number One					
Development Name/HA-Wide	Year One	Work Statement Year 2		Work Statement Year 3		Work Statement Year 4		Work Statement Year 5	
		FFY Grant: 2006 PHA FY: 2006	Est. Cost	FFY Grant: 2007 PHA FY: 2007	Est. Cost	FFY Grant: 2008 PHA FY: 2008	Est. Cost	FFY Grant: 2009 PHA FY: 2009	Est. Cost
CO06P001553 North Lincoln Family						Interior Finishes	\$25,000		
CO06P001054 Dispersed South Lowell								Site Work	\$42,000
CO06P0010022 Dispersed NW Office		Install Fire System	\$ 22,000						
1406 Operations		Operations	\$530,000	Operations	\$530,000	Operations	\$530,000	Operations	\$530,000
Management Improvement 1408 Soft Costs		Resident Training	\$62,000						
		Employment and Training	\$45,000						
		Section 3 outreach	\$15,000						
		Communication	\$3,000	Communication	\$3,000	Communication	\$3,000	Communication	\$3,000
		HOPE VI	\$200,000	HOPE VI	\$150,000	HOPE VI	\$150,000	HOPE VI	\$0
		Bilingual Services	\$5,000						
		PHAS Support	\$20,000						
		Security	\$590,000	Security	\$640,000	Security	\$640,000	Security	\$790,000
1408 Hard Costs		Network Upgrades	\$120,000						
1410 Administration		Salaries of Modernization Staff, Admin, Clerks	\$530,000	Salaries of Modernization Staff, Admin, Clerks	\$530,000	Salaries of Modernization Staff, Admin, Clerks	\$530,000	Salaries of Modernization Staff, Admin, Clerks	\$530,000
Fees & Costs 1430			\$215,000		\$215,000		\$215,000		\$215,000
Demolition 1485									
Relocation 1495			\$78,000		\$78,000		\$78,000		\$78,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages-Work Activities

PHA Name The Housing Authority of the City and County of Denver				Original 5-Year Plan Revision Number One					
Development Name/HA-Wide	Year One	Work Statement Year 2		Work Statement Year 3		Work Statement Year 4		Work Statement Year 5	
		FFY Grant: 2006 PHA FY: 2006	Est. Cost	FFY Grant: 2007 PHA FY: 2007	Est. Cost	FFY Grant: 2008 PHA FY: 2008	Est. Cost	FFY Grant: 2009 PHA FY: 2009	Est. Cost
Development 1499			\$0		\$0		\$0		\$0
Contingency 1502			\$265,000		\$265,000		\$240,000		\$275,000
Debt Service Securitization		Annual Debt Service	\$1,800,000.0	Annual Debt Service	\$1,800,000	Annual Debt Service	\$1,800,000	Annual Debt Service	\$1,800,000
Capital Fund Total			\$5,300,000		\$5,300,000		\$5,300,000		\$5,300,000

Non CFP Funds

CO06P001010 South Lincoln		Comp. Site Improvement	\$3,351,000	Comp. Site Improvement	\$3,351,000				
				Exterior Finishes	\$3,450,000				
CO06P001015 Hirschfeld		Full Modernization	\$2,988,133	Full Modernization	\$3,017,747				
Non CFP Funds Total			\$6,339,133		#####				

**MANAGEMENT IMPROVEMENTS
CAPITOL FUND PLAN
2004 –2008**

The following Capital Fund Program (CFP) Implementation Plan addresses the resident related Management Improvement Program activities. The Management Improvement activities are interrelated and coordinated with DHA Departments. All programming activities are developed, coordinated and implemented to sustain physical improvement at public housing developments. Maintenance and Long Term Occupancy have been identified as problem areas requiring 2004 MIP funding.

I. MAINTENANCE PROBLEMS AND SOLUTIONS:

RESIDENT TRAINING & LEADERSHIP

Problem: DHA resident population is comprised of a significant number of unemployed adults and drop-out youth which creates an idyl environment that does not lend itself to caring for units and pride in the development which causes maintenance issues. Unnecessary call outs adds to increased maintenance costs. The number of call outs for non-existent, non-essential and preventative maintenance impact PHA cost, staff time, emergency, overtime, material and inventory costs

Solution: DHA will develop training programs to work with residents that emphasize an increase in responsibility. These training programs will be offered at different sites throughout Denver to increase availability to residents.

Implementation:

- Conduct Resident Training sessions on Local Group Organizing, Fundraising for Local Resident Councils, Fire Safety, Bookkeeping and Safety Issues July, 2004-June,2005

Problem: The high percentage of unemployed adults and drop-out youth living in developments creates an environment of discontent and causes maintenance issues. A lack of structured, healthy, positive alternatives contribute to PHA problems.

Solution: DHA will increase resident capacity building activities by providing leadership training and assisting resident councils to increase resident responsibility.

Implementation:

- * Conduct 2 leadership conferences per year
December, 2004
June , 2005
- * Assist 20 resident councils with elections,
organization, and financial management
July,2004-June, 2005
- * Coordinate Capital Fund Advisory Committee
activities and participation
July,2004-June, 2005

Problem: A majority of Denver Housing Authority family developments and high rises are located in high risk neighborhoods. The factors identifying a high risk neighborhood include violent crime, drug and substance abuse, low educational attainment, high rates of teen pregnancy, and concentrations of minority populations. A growing recognition by agencies waging the war on poverty acknowledges that the causes of this severe social problem are varied and complex. The interaction of these high risk factors with one another can produce damaging effects and outcomes for families.

Solution: The Resident Councils Board Violence Task Force was created to ensure proper and expeditious action is taken by Denver Housing Authority and appropriate agencies on issues presented. In addition, the Violence Task Force collaborates with other government agencies and develops preventative measures to address crime and violence against residents and properties of Denver Housing Authority.

Implementation:

- * Provide informational classes for residents
With access to safety & self defense
July,2004-June, 2005
- * Coordinate Violence Task Force Committee
Activities and participation
July,2004-June, 2005
- * Supervision of Violence Task Force Hotline
July,2004-June, 2005

II. LONG TERM OCCUPANCY

EMPLOYMENT AND TRAINING

Problem: Lack of opportunities for residents to move up and out of public housing hinders the ability of public housing residents to break the cycle of long term occupancy. Due to poor job training opportunities and lack of prior employment activities, public housing residents often have difficulty entering the job market at a living wage.

Solution: DHA will increase the number of residents participating in the Section 3 Program. DHA will increase coordination with the Department of Social Services to coordinate programs to encourage participation of public housing residents. DHA will work with other community based organizations and employers to provide job opportunities to residents. DHA will work with the Mayor's Office of Workforce Development– One Stop Center to provide employment opportunities to residents.

Implementation:

- * Increase by 5% participation in Section 3 Program July,2004-June, 2005
- * Conduct yearly job fair for residents July,2004-June, 2005

SECTION 3 OUTREACH

Problem: Due to poor job training opportunities and lack of prior employment activities, public housing residents often have difficulty entering the job market at a living wage.

Solution: Coordinate Section 3 program and monitor compliance of contractors to develop and implement Section 3 plans to employ residents. Many of DHA’s contractors can employ residents at a living wage through their Section 3 plan.

Implementation:

- * Monitor Section 3 compliance July,2004-June, 2005

BI-LINGUAL COMMUNICATION

Problem: Families residing in DHA encompass a diverse cultural spectrum. Demographic changes are occurring in racial and cultural background, education and physical ability. These complex cultural dimensions affect activities that DHA engages in on a daily basis. Many resident families are unable to communicate effectively either written or verbally with DHA staff due to language barriers. The cultural and language differences create maintenance problems that are due to miscommunication or lack of knowledge.

Solution: By providing bi-lingual services (written and verbal), staff will be able to communicate more effectively with residents regarding lease requirements and unit upkeep. With more effective communication, residents and DHA staff can approach and solve problems more easily.

Implementation:

- * Provide translators for resident meetings July,2004-June, 2005
- * Provide translation of resident literature July,2004-June, 2005
- * Provide interdepartmental training and materials to increase knowledge of resident initiatives July,2004-June, 2005

SELF-SUFFICIENCY ACTIVITIES – BEAN TOWER/ARROWHEAD HOMES

Problem: Welfare reform has increased job training and job opportunities for a portion of DHA residents. Other residents do not qualify for services offered under the TANF program or other programs. The Bean Tower/Arrowhead Homes Hope VI application does not include sufficient funding for self-sufficiency support services. DHA recognizes the opportunity to address self-sufficiency needs of the all residents

giving them the opportunity to make a positive transition and develop goals towards self-sufficiency.

Solution: By coordinating services to Bean Tower/Arrowhead Homes, residents will transition positively and develop goals and implementation strategies for self-sufficiency.

Implementation:

- | | | |
|---|--|----------------------|
| * | Provide coordination of Self-Sufficiency services to residents | July,2004-June, 2005 |
| * | Provide job opportunities to residents | July,2004-June, 2005 |

CAPITOL FUND MANAGEMENT IMPROVEMENTS	2004	2005	2006	2007	2008
Resident Training & Leadership					
Conduct Resident Training sessions on Local Group Organizing, Fundraising for Local Resident Councils, Fire Safety, Bookkeeping and Safety Issues	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
Conduct 2 Leadership Conferences per year	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
Assist 20 resident councils with elections, organization & financial management	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Coordinate Capital Fund Advisory Committee activities and participation	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
Provide informational classes to residents with access to safety and self defense	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
Coordinate Violence Task Force Committee activities and participation	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
Supervision of Violence Task Force Hotline	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000
Total Resident Training & Leadership	\$62,000	\$62,000	\$62,000	\$62,000	\$62,000
Employment and Training					
Increase by 5% participation in Section 3 Program	\$40,000	\$40,000	\$40,000	\$40,000	\$40,000
Conduct yearly job fair for residents	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
Total Employment and Training	\$45,000	\$45,000	\$45,000	\$45,000	\$45,000
Section 3 Outreach					
Monitor Section 3 Compliance	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000
Total Section 3 Outreach	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000
Interdepartmental Communication					
Provide interdepartmental training and materials to increase knowledge of resident initiatives	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Total Interdepartmental Communication	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000

BiLingual Services					
Provide translators for Resident Meetings	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000
Provide translation for resident literature	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Total BiLingual Services	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
Self Sufficiency Activities – Bean Tower/AH					
Coordinate self sufficiency activities for Bean Tower/Arrowhead residents and provide job opportunities for Bean Tower/Arrowhead residents	\$150,000	\$200,000	\$200,000	\$150,000	
Total Self Sufficiency Activities	\$150,000	\$200,000	\$200,000	\$150,000	
Total Requested	\$280,000	\$330,000	\$330,000	\$280,000	\$130,000

**MANAGEMENT IMPROVEMENTS - OPERATIONS/MIS
COMPREHENSIVE GRANT PLAN
2005-2009**

PUBLIC HOUSING ASSESSMENT SYSTEM (PHAS)

Problem: HUD has issued the new Public Housing Assessment System that identifies reporting indicators that evaluate PHA's performance and rank the PHA's according to their evaluation. These changes along with the implementation of the QHWRA rules and the new HUD 50058 reporting requirements have made it necessary for DHA staff to further develop and/or enhance their current performance tracking systems.

Solution: Continue to refine the tracking systems to provide a continuous monitoring of the PHAS indicators.

Implementation:

- Review tracking programs and enhance software to provide better performance indicator tools Oct 2001/on-going

Budget:

Programming	\$18,000
Training	<u>2,000</u>
Total Budget	\$20,000

HARDWARE/SOFTWARE UPGRADING

Problem: In June 1986, DHA purchased software for managing the public housing program. Recently, our current software vendor developed a new and improved product line for public housing. There are no costs to migrate to the new product line with the exception of minor hardware upgrades and professional services including training and project management.

Solution: Upgrade the public housing software and train all field offices on the use of the new product line.

Implementation:

- Procure training and project management services for the migration to the new public housing product line. Jul 2005
- Identify and purchase additional hardware required for new software Jul 2005
- Hire technical consultant to assist with conversion Aug 2005
- Train staff on use of new software Oct 2005

Budget:

Salary (including benefits)	\$ 20,000
Computer Hardware and Licensing	40,000
Professional Services	<u>60,000</u>
Total Budget	\$ 120,000

SECURITY

Problem: The prevalent issues of resident security include vandalism, poor lighting and inadequate on-site after hours security.

Solution: Reduce vandalism damage and control access to buildings, which will improve PHA living environment for seniors and disabled populations.

Implementation: Hire on-site security services to assist managers/PHA in security and minimizes damage to buildings.

- Contract with on-site security service

July 1998/on-going

Budget:

Security Contract	\$590,000
Total Budget	\$590,000

Attachment 5

Housing Authority of the City and County of Denver Resident Advisory Board

Resident

Mildred Anderson

Bob Getz

Frances Milazzo

Mary Brockman

Tina Sanchez

Residence

Walsh Manor

North Lincoln

Walsh Manor

Walsh Manor

Quigg Newton

ATTACHMENT 6
COMMENTS - PUBLIC HEARING

No public comments were received by DHA.

ATTACHMENT 7

ATTAINMENT OF GOALS

The Five-Year Plan incorporates DHA's mission statement regarding HUD programs, which we have adopted to guide the activities of DHA in the new millennium. Our mission statement is the same as HUD's mission statement and our goals and objectives will be implemented in a non-discriminatory fashion.

Attainment of Goals

DHA continues to attain the goals and objectives set forth in the 2000 Five-Year Plan. DHA continues to be a high performer.

With respect to Goal Two, DHA continues to work closely with the Denver Police Department, which has resulted in the provision of safer developments. In early 2003, the Denver Police Department opened a new station house across the street from DHA's Quigg Newton Homes, providing additional security for these residents. These strides have also made headway towards our objective of reducing crime and the number of evictions by December 31, 2005.

In accordance with Goal Three, DHA continues to promote, support and provide self-sufficiency programs and increase the number of residents participating in its homeownership programs.

The Agency Plan is based on the premise that if we accomplish our goals and objectives we will achieve DHA's and HUD's mission, while simultaneously addressing the housing needs of Denver's residents. The plans, statements, budget summary, policies, etc. set forth in the Annual Plan are the foundation upon which we will build to accomplish our stated goals and objectives. Taken as a whole, the aforementioned documents outline a comprehensive approach towards meeting our mission and is consistent with the City and County of Denver's Consolidated Plan, January 1998 – December 2002 (Consolidated Plan).

In summary, we are furthering HUD's mission to improve the condition of affordable housing in the City and County of Denver (hereinafter referred to as "the City" or "Denver").

Attachment 8

Component 10 (B) Voluntary Conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessments?

27

- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)?

8

- c. How many Assessments were conducted for the PHA's covered developments?

One assessment, including all covered developments.

- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: **None**

Development Name	Number of Units

- e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments.

N/A

FAMILY HOUSING PET POLICY

This policy was developed after weighing and balancing the interests of Denver Housing Authority's (DHA) residents, DHA management and the communities surrounding DHA's developments and dispersed housing. In accordance with Federal regulations, the DHA will permit pet(s) in dwelling units in accordance with this policy. No exotic, wild or dangerous animals, snakes or any other animal not permitted in residential units by state or local laws, ordinances or this policy may be kept by residents.

Conventional public housing residents living in family housing are permitted to keep no more than 1 dog or 1 cat per unit. This policy does not apply to service animals that assist persons with disabilities, or service animals that accompany visitors to the developments. Individuals requiring service/companion animals are subject to the Service/Companion Animal Policy. Residents of high-rises must comply with the Senior High-rise Pet Policy. Elderly tenants living in DHA developments must comply with this Family Housing Pet Policy.

I. APPROVAL

A. Criteria Prior to Admittance

Request for permission to have a pet(s) must be submitted, and written approval from DHA must be received, PRIOR to admittance of pet(s) into the unit. The resident pet owner will be required to complete the following forms:

1. Pet Information (see Exhibit A)
2. Pet Agreement (see Exhibit B)
3. Veterinarian Certification (see Exhibit C)

The resident pet owner and DHA must enter into a “Pet Agreement” (Exhibit B). In addition, the resident pet owner must provide proof of the pet’s good health and suitability under the standards set forth in these rules and regulations. For dogs and cats, proof of the animal’s licensing and vaccination record, in accordance with state and local laws, etc., must be given to the development manager prior to the dog or cat being brought into the unit. The licensing and vaccinations must be renewed at the time of resident’s re-examination. Cats and dogs must be neutered and spayed. Proof of spaying or neutering must also be submitted to the development manager before the pet is brought into the unit. Cats are required to have front paws declawed. All licenses and tags must be current.

B. Vaccination Requirements

Dogs and cats must have the proper inoculations required by law, and certified by a veterinarian licensed to practice in the State of Colorado.

All resident pet owners shall attach a tag to the dog’s or cat’s collar showing that the animal has been inoculated with anti-rabies vaccine and has been properly licensed. The dog and cat shall wear the collar at all times.

II. DEFINITIONS

A common household “pet” shall mean a domestic animal as defined under state and local law, rule, and ordinance, including dogs, cats, birds, fish, rabbits or rodents such as gerbil, hamster, or mouse. However, it shall be unlawful to own, possess or keep a pit bull. Common household pet shall not include ferrets, monkeys, snakes or other reptiles other than turtles.

A “service animal” shall mean an animal which has been trained and certified to provide services to an individual with a disability.

A “dangerous dog” shall mean any dog with a known propensity or disposition to attack, to cause injury to or to otherwise endanger the safety of humans or other domestic animals within, on, or around the development, or any dog that attacks or bites any person or domestic animals within, on, or around the development.

DHA means the Housing Authority of the City and County of Denver, its commissioners, officers, employees, servants and agents.

Family housing means Columbine Homes, Westridge Homes, Platte Valley, Quigg Newton Homes, Sun Valley Homes, South Lincoln Homes and Westwood Homes and all public housing dispersed units, including public housing family housing that may be developed, bought or acquired in the future.

A “common area” means any area to which all residents have access, and is not under the direct control of any individual resident.

III. PET DENSITY

- A. No more than one dog or one cat may be kept in any unit.
- B. No more than two small, caged birds may be kept in any unit.
- C. An aquarium for fish may not exceed ten (10) gallons.
- D. No more than two (2) small animals, i.e. gerbil or hamster, may be kept in any unit. Small animals must be kept in a cage.

IV. SIZE

No pet’s mature growth shall exceed 18 inches in height, measured from ground to shoulder, nor weigh more than 30 pounds.

V. PET DEPOSIT AND FEE

- A. A refundable pet deposit of \$100 will be charged for each dwelling unit where a dog or cat resides. DHA will place the deposit in an escrow account. The unused portion of the pet deposit will be refunded to the resident along with any accrued interest, within a reasonable time but not more than 60 calendar days after the resident moves from the development or no longer owns or has a pet present in the dwelling unit. DHA

reserves the right to change this deposit amount at any time, consistent with Federal guidelines.

- B. A non refundable fee of \$50 will be charged for each dwelling unit where a dog or cat resides. The pet fee deposit and fee must be paid prior to the pet being brought into the unit.
- C. Resident pet owner's liability for damages caused by his/her pet is not limited to the amount of the pet deposit and the resident will be required to reimburse DHA for the actual cost of any and all damages caused by his/her pet where they exceed the amount of the pet deposit.
- D. Upon move-out, units occupied by a cat or dog will be inspected by the development manager for any infestation or other damage resulting from the pet. If the unit is found to be infested, the extermination charge will be the responsibility of the resident pet owner. The charges shall be deducted from the pet deposit. If charges exceed the pet deposit, the resident pet owner will be billed and must pay within 30 days of receipt of the bill. The resident is permitted, and encouraged, to be present for any and all inspections.

VI. PET RULES

A. Dogs, Cats and Other Animals

- 1. Dogs, cats and other animals shall be maintained within the resident pet owner's unit. When dogs or cats are outside of the unit, they shall be kept on a hand-held leash, cord or chain no longer than six (6) feet and under control at all times. Under no circumstances shall any dog, cat or other animal be permitted to run loose in any common area. A dog shall be deemed running loose when it is on the premises of the resident pet owner, possessor or keeper, but is confined in such a way as to allow the dog to have access to the public right of way.
- 2. The unit must be kept free of odors and maintained in a clean and sanitary condition. All animal waste or litter from a cat litter box and cages must be removed daily and disposed of in sealed plastic trash bags and placed in the trash bins. Under no circumstances should any waste be stored in the unit.
- 3. Resident pet owners agree to be responsible for immediately cleaning up any waste, dirt, etc. caused by their pet in the common area, public right of way, and their unit. Resident pet owners shall also be responsible for immediately removing and properly disposing of any pet excreta, feces, urine, etc. Animal

excrement shall be placed in a closed plastic bag or other closed or airtight nonporous container.

4. If DHA has to remove any pet waste from the resident pet owner's unit, yard, porch or other area which is for the exclusive use of the resident or from the common areas, a twenty-five dollar (\$25) charge will be made per removal. Repeated violations, three in a one year period, will necessitate the resident pet owner removing the pet permanently from the premises. Failure to comply may result in termination of the resident's Dwelling Lease.
5. **DHA IS NOT RESPONSIBLE FOR ANY ACTION, INJURIES OR DAMAGES CAUSED BY ANY RESIDENT'S PET. A PET IS THE SOLE RESPONSIBILITY OF THE PET OWNER. DHA ASSUMES NO LIABILITY FOR FAILURE OF THE RESIDENT PET OWNER TO CONTROL THE PET. ANY INJURY OR HARM TO OTHER PERSONS, PETS OR PROPERTY IS THE SOLE RESPONSIBILITY AND LIABILITY OF THE RESIDENT PET OWNER. IT IS RECOMMENDED THAT RESIDENT PET OWNERS PURCHASE LIABILITY INSURANCE FOR THIS PURPOSE.**
6. Resident pet owners agree to control the noise of their pet(s) such that it does not constitute a nuisance to any other residents, neighbors or people living in the immediate vicinity of the development or dispersed unit. Failure to control pet noise may result in the permanent removal of the pet from the premises. **ANY PET WHICH CAUSES UNPROVOKED BODILY INJURY TO ANY RESIDENT, GUEST, STAFF MEMBER, NEIGHBOR OR ANYONE LAWFULLY ON THE PREMISES SHALL BE IMMEDIATELY AND PERMANENTLY REMOVED FROM THE PREMISES, BY THE PROPER AUTHORITIES WITHOUT PRIOR NOTIFICATION.**
7. No dog or cat shall be left unattended in any unit for longer than twelve (12) hours. All other animals shall not be left unattended for more than twenty-four (24) hours.
8. All resident pet owners shall provide adequate care, nutrition, exercise and medical attention for their pets. Pets which appear to be poorly cared for or which are left unattended for longer than limits indicated in #7 above will be reported to the appropriate authority and removed from the premises at the resident pet owner's expense.
9. The resident pet owner shall submit to the development office the names, addresses and telephone numbers of two responsible parties (not living at the same address) who will care for the pet if the resident pet owner, for any reason, is unable to care for the pet. In the event the person is unable or unwilling to accept responsibility, the resident pet owner authorizes DHA to

contact state or local authorities, to enter the unit, remove the pet and place it with such authorities. DHA will inform the resident pet owner where the pet has been placed. Resident pet owner will have to arrange for return of the pet. DHA will not be responsible for the well-being of the pet or costs incurred, such charges will be paid by the resident pet owner.

10. In the event of the death of a pet, the pet must be disposed of pursuant to local ordinances. Dead Animal Collection for the City and County of Denver should be contacted at 303-640-2136. Resident pet owner shall not bury the pet on DHA property or dispose of it in any manner inconsistent with local ordinances.
11. In the event of the death of the pet while the resident pet owner is unable to care for the pet, the resident pet owner agrees that DHA shall have discretion to dispose of the pet consistent with state and local guidelines if the responsible parties listed on Exhibit A are unwilling to take responsibility, or if written instructions with respect to such disposal are not provided to the development office in advance by the resident pet owner. Charges for disposal shall be assessed to the resident as damages and will be deducted from the pet deposit.
12. Resident pet owners acknowledge that other residents may have chemical sensitivities or allergies related to pets and/or are easily frightened by such pets. The resident, therefore, agrees to exercise common sense and common courtesy with respect to the other resident's right to peaceful and quiet enjoyment of the premises.

If using common laundry facilities, it is recommended that the resident pet owner run an additional wash cycle and clean out all filters before leaving the laundry room.

13. After proper written notification, DHA may require the removal of the pet from the premises on a permanent or temporary basis, in DHA's sole discretion, for the following reasons, by way of illustration not limitation:
 - a. Excessive pet noise or odor after being advised by DHA.
 - b. Unruly, intimidating or dangerous behavior.
 - c. Excessive damage of the resident's dwelling unit and/or development common areas and/or neighbor's property or people living in the immediate vicinity of the property.
 - d. Repeated problems with the pet or any infestation.
 - e. Failure of the resident to provide for adequate care of his/her pet.
 - f. Leaving a pet unattended for more than the allowed time period.

- g. Failure of the resident to provide adequate and appropriate vaccination of the pet.
 - h. Resident's death, serious illness and/or refusal to care for the pet.
 - i. Failure to properly clean up after the pet.
 - j. Failure to remove the pet will result in a fine of \$50 per and may result in termination of the resident's Dwelling Lease. After removal of a pet from the premises pursuant to this paragraph, DHA may determine on a case by case basis whether the removal of the pet will result in a permanent ban on the pet ownership by the resident.
14. In the event of an emergency, the resident pet owner gives DHA permission to remove the pet or have it removed from the premises immediately for serious problems including, but not limited to, the following:
- a. Pet becomes vicious.
 - b. Pet displays symptoms of serious illness.
 - c. Pet demonstrates other behavior that constitutes an immediate threat to the health and safety of a resident, guest, staff member, neighbor, other person authorized to be on the premises or people living in the immediate vicinity of the development.
15. With the exception of a service animal that assists a person with disabilities, pets of visitors/guests are strictly prohibited from entering property.
16. "Pet sitting" is not permitted for any pet not already residing within the same development and meeting the requirements of this pet policy, state law, or local laws and ordinances.

B. Birds

- 1. Birds must be kept caged at all times.
- 2. Cages must be cleaned not less than twice a week. Waste must be disposed of in sealed trash bags and placed in the trash bin. Litter shall not be flushed down the toilet.

C. Fish

- 1. The aquarium shall not exceed ten (10) gallons and shall be placed on appropriately sized stand in a safe location within the unit.

2. Water damage to walls, carpets, flooring or the ceiling of the unit below caused by breakage or spillage of or from the aquarium shall be the responsibility of the resident pet owner who shall be billed for actual repair costs, as required.

D. Other Animals

1. Animals of the rodent family (i.e. hamsters and gerbils) must be kept caged at all times.
2. Cages must be kept clean.
3. Any other issues such as noise, odor and behavior apply the same as for dogs and cats.

VII. NOTIFICATION POLICY

In the event that any resident pet owner violates these pet rules, DHA shall provide written notice of such violations as follows:

A. Creation of a Nuisance

The owner of any pet which creates a nuisance within, on around the development and/or neighborhood or causes excessive noise, odor, unruly or dangerous behavior shall be notified of such nuisance in writing by management and shall be given three (3) days to correct such nuisance. Failure to comply may result in removal of the pet and/or termination of the resident pet owner's Dwelling Lease. If the resident pet owner disagrees, they may request a hearing in accordance with the DHA Grievance Procedure.

B. Dangerous Behavior

1. DHA shall provide written notification to the resident pet owner of dangerous behavior and the resident pet owner shall have three (3) days to correct the animal's behavior. It is the responsibility of the resident pet owner to correct the violation. Failure to comply may result in the removal of the pet and/or termination of the pet owner's Dwelling Lease. If the resident pet owner disagrees, they may request a hearing in accordance with the DHA Grievance Procedure.

2. Any pet which causes unprovoked physical harm to any resident, guest, staff member, neighbor or people living in the immediate vicinity of the development or other authorized person present within, on or around the development shall be immediately removed from the premises by DHA without prior written notice to the resident pet owner of the action and location of the pet.

VIII. INSPECTION POLICY

A. DHA is given permission to enter the resident pet owner's unit for the purpose of inspection if a signed written complaint is received by DHA, or if DHA feels the behavior or condition of the pet(s) or resident pet owner warrants same. The inspection will be made during reasonable hours, after proper notice has been given to the pet owner (48 hours' notice). In an emergency situation, entry will be made immediately. Notice will be given the resident pet owner at such emergency entry, giving the reason for such entry.

B. Resident pet owner must be available to physically control his/her pet during the times when DHA employees, agents of DHA or others must enter the unit to conduct housekeeping and preventative maintenance inspections, provide services such as routine work orders or such other times identified in the lease.

AFFIDAVIT

I have read and understand the Family Housing Pet Policy, Pet Agreement, Pet Information and Veterinarian's Certificate of the Denver Housing Authority and agree to comply fully with their provisions. I understand that failure to comply may constitute reason for removal of my pet. If required by management to remove my pet from the premises, I agree to immediately remove any pet and I understand that my failure to do so may constitute grounds for eviction.

_____ Unit Number _____
Resident

Resident

Address

The above-named resident(s) has read and signed the rules in my presence.

DENVER HOUSING AUTHORITY

Title

EXHIBIT A
Pet Information – Dog/Cat

This document must be completely filled out and a side-view picture of the pet must be attached before submitting to management. Written approval from DHA must be received before the pet is permitted on the premises and/or in the Dwelling Unit.

Name of Owner(s) _____

Address _____

Telephone Number (Work) _____ (Home) _____

Pet Description: Name _____

Breed _____

Age _____

When Full-Grown: Weight _____ Markings _____

Height _____

License No. _____ Tag Info. _____

Flea Collar: Yes _____ No _____

Picture of Pet: Yes _____ No _____

If your pet is a cat, has it been declawed? Yes _____ No _____

Is dog/cat neutered/spayed? Yes _____ No _____

Veterinarian's Name _____

Address _____

Telephone Number _____

Parties to assume responsibility if you are unable to do so:

(1) Name(s) _____

Address _____

Telephone Number (Work) _____ (Home) _____

(2) Name(s) _____

Address _____

Telephone Number (Work) _____ (Home) _____

**EXHIBIT B
PET AGREEMENT**

This agreement, entered into this ____ day of _____, ____ by and between the Housing Authority of the City and County Denver (DHA) and _____ (Resident), collectively referred to as “the Parties.” In consideration of their mutual promises the Parties agree as follows:

1. Resident desires and has received permission from DHA to keep the pet named _____ and described as _____ in the dwelling unit.
2. This Agreement is an Addendum to and part of the Dwelling Lease between DHA and Resident executed on _____, _____. In the event of default by Resident of any of the terms of this Agreement and all succeeding leases, Resident agrees, upon written notice of default from DHA to cure the default within the stated time and/or remove the pet and/or vacate the premises. Resident agrees that DHA may revoke the permission to keep said pet on the premises by giving Resident written notice.
3. Resident agrees to pay DHA a refundable deposit for cats and dogs in the sum of \$100, DHA may use this deposit as is reasonably necessary to take care of any damages or cleaning caused by or in connection with said pet. At the termination of this Agreement, any balance shall be refunded within sixty (60) business days to the Resident. Resident agrees to pay DHA for any damages caused by the pet in excess of the security deposit on demand by DHA. Resident also agrees to pay a non refundable fee of \$50.00. The total of \$150.00 must be paid prior to the pet being brought into the unit.
4. Resident agrees to comply with:
 - a. The Pet Policy
 - b. All other applicable government law and regulations such as but not limited to licensing, etc.
5. Resident represents that the pet is quiet and housebroken, and will not cause any damage to property or annoy other residents.
6. Resident agrees that the pet will not be permitted outside the resident’s unit unless restrained by a leash, cord or chain. Use of the grounds or premises of DHA for sanitary purposes is prohibited except as posted.

7. Resident shall not permit the pet to cause any damage, discomfort, annoyance, nuisance, or in any way to inconvenience or cause complaints from any other resident, staff, or neighbor. Any soiling created by the pet shall be immediately cleaned up by Resident. If management has to remove any waste from any pet, a twenty-five dollar (\$25) charge will be made. Repeated violations (three) will necessitate removal of the pet permanently from the premises or failure to comply may result in termination of the dwelling lease.
8. Resident agrees to immediately remedy any emergency situations involving pet, (e.g., attack by pet on staff member, another resident, or a guest) as DHA determines. Resident agrees to remedy any nuisance or dangerous behavior within three (3) days.
9. Resident will be financially responsible for any flea or other insect infestation that affects his/her own or adjacent units as a result of his/her pet.
10. Any pet left unattended for twelve (12) hours or more or whose health is jeopardized by the Resident's neglect, mistreatment, or inability to care for the animal shall be reported to the appropriate authority. Such circumstances shall be deemed an emergency for the purposes of DHA removing the animal from the premises. DHA accepts no responsibility for any pet so removed.
11. Resident agrees to maintain the pet(s) in a healthy condition and to update the Pet Information Form on an annual basis at the time of re-examination.
12. Resident agrees that DHA is in no way responsible nor liable for any action, injuries, or damages caused by the resident pet owner's pet. Nor is DHA responsible for the safekeeping or well-being of the pet. A pet is the sole responsibility and obligation of the resident pet owner.

13. Resident has read and agrees to comply with the Pet Policies which are herein incorporated by reference and agrees to comply with such rules and regulation as that are adopted from time to time by DHA in the future.

Manager

Resident

Resident

Date

Date

