

PHA Plans

Streamlined 5-Year/Annual Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian Housing

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief to certain PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined 5-Year Plan for Fiscal Years 2004 - 2009

Streamlined Annual Plan for Fiscal Year 2004

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue. Full reporting for each component listed in the streamlined Annual Plan submitted with the 5-year plan is required.

Streamlined Five-Year PHA Plan Agency Identification

PHA Name: Housing Authority of the City of Pawtucket

PHA Number: RI002

PHA Fiscal Year Beginning: (mm/yyyy) 04/2004

PHA Programs Administered:

- Public Housing and Section 8** **Section 8 Only** **Public Housing Only**
Number of public housing units: Number of S8 units: Number of public housing units:
Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans and attachments (if any) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Streamlined Five-Year PHA Plan

PHA FISCAL YEARS 2004 - 2009

[24 CFR Part 903.12]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
Mission Statement: The mission of the Pawtucket Housing Authority is to provide safe, decent and affordable housing and to establish programs that will educate, enhance and empower the lives of all the people in the community we serve.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers: ***With the ever increasing rental market in the Pawtucket community, the PHA is committed to maintaining affordable housing within the city for eligible families.***
 - Reduce public housing vacancies: **Vacancies have been reduced from 84 on September 30, 1999 to 43 on September 30, 2003 a reduction of 49%**
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score) 90

- Continue to maintain High Performer status.*
 - Improve voucher management: (SEMAP score) 96
 - Continue to maintain High Performer status.*
 - Increase customer satisfaction: **The PHA has added two resident service coordinators and have continued to update and improve community space**
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units: **Renovations and modernization is continued annually through the capital fund program.**
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Continue to market program to entice new landlords to participate in the Section 8 Program.*
 - Increase voucher payment standards
 - Due to dramatic increase in the rental market, the PHA will be requesting an approval from HUD for an exception payment standard.*
 - Implement voucher homeownership program:
 - The PHA has implemented a Section 8 Homeownership Program. One participant will be closing within the next month and several other participants are currently enrolled in the Homeownership counseling class.*
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: **Flat rents have been established; federal rent freezes have been implemented for newly employed residents; waiting lists preferences are established for working individuals**
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements: **Security personnel have been contracted; security cameras have been increased and recording devices have been**

updated and enhanced at all developments

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities) **Fogarty and Burns Manor have been designated for the elderly**
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)
Services and Programs Offered:
 - ***Homebuyer Education Classes***
 - ***Employment Education and Resume Development***
 - ***Skills Training, Community Kitchen***

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
The Section 8 Housing Department has developed a Reasonable Accommodation Policy to ensure that the needs of our disabled participants are met.
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Streamlined Annual PHA Plan PHA Fiscal Year 2004 [24 CFR Part 903.12(b)]

Table of Contents

Provide the following table of contents for the streamlined Annual Plan submitted with the Five-Year Plan, including all streamlined plan components, and additional requirements, together with the list of supporting documents available for public inspection.

A. ANNUAL STREAMLINED PHA PLAN COMPONENTS

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<input checked="" type="checkbox"/>	13. Capital Fund Program 5-Year Action Plan	39
<input type="checkbox"/>	14. Other (List below, providing name for each item)	

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;

Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.

For PHAs APPLYING FOR CAPITAL FUND PROGRAM (CFP) GRANTS:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions;

Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

Executive Summary (optional)

[903.7(r)]. If desired, provide a brief overview of the contents of the streamlined 5-Year/Annual Plan.

1. Statement of Housing Needs [24 CFR Part 903.12 (b), 903.7(a)]

A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the PHA’s Waiting Lists			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	396		
Extremely low income <=30% AMI	338	85%	
Very low income (>30% but <=50% AMI)	49	13%	
Low income (>50% but <80% AMI)	9	2%	
Families with children	151	38%	
Elderly families	67	17%	
Families with Disabilities	139	35%	
Race/ethnicity-White	318	80%	
Race/ethnicity- Black/African-American	66	16%	
Race/ethnicity-American Indian/Alaskan Native	6	2%	
Race/ethnicity-Asian	6	2%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	137	34%	
2 BR	129	33%	
3 BR	21	5%	
4 BR	6	2%	
5 BR	9	2%	
0-BR	94	24%	

Housing Needs of Families on the PHA's Waiting Lists	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes	
Family: One, Two, Three, Four Bedroom List and Elderly/Disabled – One Bedroom List is closed.	
Family: Five Bedroom, Elderly/Disabled - Efficiency and Two Bedroom List is open.	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?	
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the PHA's Waiting Lists			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	431		
Extremely low income <=30% AMI	377	87%	
Very low income (>30% but <=50% AMI)	54	13%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	368	85%	
Elderly families	23	5%	
Families with Disabilities	59	13%	
Race/ethnicity-White	300	70%	
Race/ethnicity- Black/African-American	118	27%	
Race/ethnicity-American Indian/Alaskan Native	6	1%	
Race/ethnicity-Asian	6	1%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
Efficiency			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
How long has it been closed (# of months)? Since May 2001			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

B. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families on the PHA's public housing and Section 8 waiting lists **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities **Designation approved for Fogarty and Burns Manor**
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.12 (b), 903.7 (c)]

List on the following table the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2004 grants)		
a) Public Housing Operating Fund	2,500,00	Operating Expenses
b) Public Housing Capital Fund	1,450,000	Modernization
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	3,400,000	HAP Expense and Administrative Expenses
f) Resident Opportunity and Self-Sufficiency Grants	82,000	Resident Services Management Programs
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CFP	2,500,000	Modernization
3. Public Housing Dwelling Rental Income		
	2,800,000	Operating Expenses
4. Other income (list below)		
Interest	20,000	Operating Expenses
Other	50,000	Operating Expenses
4. Non-federal sources (list below)		
Elderly Affairs	20,000	Security Equipment
Total resources	12,822,000	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.12 (b), 903.7 (b)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to d.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time? ___

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? ___

4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

d. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) **Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA: **Family waiting list limited to only two choices due to only two developments** **Young disabled also limited to only two choices because only two developments s limited to them also**

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)after one
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing

- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

- Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- 1 Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete. If yes, list these developments on the following table:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation

- More general screening than criminal and drug-related activity (list factors):
 Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
 Other (describe below)

The PHA provides the following information about a prospective tenant to a prospective landlord:

- 1) Names of previous landlords***
- 2) Addresses of previous landlords***
- 3) Telephone numbers of previous landlords***

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
 Federal public housing
 Federal moderate rehabilitation
 Federal project-based certificate program
 Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extensions are given if a family:

- 1) Can document their inability to find an apartment;***
- 2) Requires a large 4 or 5 bedroom apartment;***

3) Is a Handicapped or disabled family and based on their disability are having a hard time funding an apartment.

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in your jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)
 Households that contribute to meeting income requirements (targeting)
 Those previously enrolled in educational, training, or upward mobility programs
 Victims of reprisals or hate crimes
 Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

- Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- 1 Veterans and veterans' families
- 1 Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

Notices are sent to social service agencies that target special admission for Special Purpose Section 8 Assistance Programs.

4. PHA Rent Determination Policies

[24 CFR Part 903.12(b), 903.7(d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one of the following two)

- The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))
- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% of adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service

- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

a. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of

- the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

The PHA uses the rent reasonableness survey to determine how and at what level the Payment Standards will be set.

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Capital Improvement Needs

[24 CFR Part 903.12(b), 903.7 (g)]

Exemptions from Component 5: Section 8 only PHAs are not required to complete this component and may skip to Component 6.

A. Capital Fund Activities

Exemptions from sub-component 5A: PHAs that will not participate in the Capital Fund Program may skip to component 5B. All other PHAs must complete 5A as instructed.

(1) Capital Fund Program

- a. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 12 and 13 of this template (Capital Fund Program tables). If no, skip to B.

- b. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 5B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

(1) Hope VI Revitalization

- a. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to next component; if yes, provide responses to questions on chart below for each grant, copying and completing as many times as necessary)

- b. Status of HOPE VI revitalization grant (complete one set of questions for each grant)
Development name:
Development (project) number:
Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- c. Yes No: Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

- d. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

- e. Yes No: Will the PHA be conducting any other public housing development or

replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

6. Demolition and Disposition

[24 CFR Part 903.12(b), 903.7 (h)]

Applicability of component 6: Section 8 only PHAs are not required to complete this section.

- a. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 or 24 (Hope VI) of the U.S. Housing Act of 1937 (42 U.S.C. 1437p) or Section 202/Section 33 (Mandatory Conversion) in the plan Fiscal Year? (If “No”, skip to component 7; if “yes”, complete one activity description for each development on the following chart.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number: RI 002 016
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

7. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program

[24 CFR Part 903.12(b), 903.7(k)(1)(i)]

- (1) Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

(2) Program Description

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?36

b. PHA established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

The PHA gives first preferences to families enrolled in the FSS Program.

c. What actions will the PHA undertake to implement the program this year (list)?

(3) Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- a. Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- b. Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- c. Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).
- d. Demonstrating that it has other relevant experience (list experience below).

8. Civil Rights Certifications

[24 CFR Part 903.12 (b), 903.7 (o)]

Civil rights certifications are included in the *PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*, which is submitted to the Field Office in hard copy—see Table of Contents.

9. Additional Information

[24 CFR Part 903.12 (b), 903.7 (r)]

A. PHA Progress in Meeting the Mission and Goals Described in the 5-Year Plan

(Provide a statement of the PHA's progress against the goals and objectives established in the previous 5-Year Plan for the period FY 2003__ - 2004__.

STATEMENT OF PROGRESS FOR MISSION AND GOALS

The Housing Authority of the City of Pawtucket has submitted its goals and objectives within the PHA Plan and certifies that it has submitted its progress report within the goals and objectives.

Signature on file

*Robert R. Girouard
Executive Director
Pawtucket Housing Authority*

B. Criteria for Substantial Deviations and Significant Amendments

(1) Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

a. Substantial Deviation from the 5-Year Plan

a. Significant Amendment or Modification to the Annual Plan

The PHA considers the following to be significant amendment or modification in the PHA Plan:

- *Changes to rent or admissions policies or organization of the waiting list;*
- *Additions of non-emergency work items or change in use of replacement reserve funds under the Capital Fund;*
- *Additions of new activities not included in the current PHDEP Plan;*
- *And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.*

Please be advised that for this submittal of our annual plan the Pawtucket Housing Authority has not made any significant deviations, modifications, or amendments to our five-year plan. The PHA does not foresee any need in the future to make any changes to the plan, such as a change in our preferences for our wait list or eligibility requirements for housing.

C. Other Information

[24 CFR Part 903.13, 903.15]

(1) Resident Advisory Board Recommendations

a. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

If yes, provide the comments below:

- Request new kitchens at Fogarty, St. Germain and Burns Manor

- St. Germain wants to have an upgraded Community Room Facility – PHA is completing drawings.
- Galego and 560 Prospect Street – would like new stoves – PHA is installing in January
- Kennedy – concerned about Elevator renovation. Construction beginning in November

b. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

(2) Resident Membership on PHA Governing Board

The governing board of each PHA is required to have at least one member who is directly assisted by the PHA, unless the PHA meets certain exemption criteria. Regulations governing the resident board member are found at 24 CFR Part 964, Subpart E.

a. Does the PHA governing board include at least one member who is directly assisted by the PHA this year?

- Yes No:

If yes, complete the following:

Name of Resident Member of the PHA Governing Board: **Vincent Duffy, resident of Burns Manor**

Method of Selection:

- Appointment
The term of appointment is (include the date term expires): 5 – July, 2004
- Election by Residents (if checked, complete next section--Description of Resident Election Process)

Description of Resident Election Process

Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance

- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

b. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- The PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

Date of next term expiration of a governing board member: July, 2004

Name and title of appointing official(s) for governing board (indicate appointing official for the next available position):

Residents of each development – Appointment made by Mayor, City official

(3) PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

Please see Attachment

Consolidated Plan jurisdiction: City of Pawtucket – Planning Department

a. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply):

- The PHA has based its statement of needs of families on its waiting list on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the

- initiatives contained in the Consolidated Plan. (list below)
 Other: (list below)

b. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

(4) (Reserved)

Use this section to provide any additional information requested by HUD.

The Pawtucket Housing Authority Plan is consistent with the City of Pawtucket, Rhode Island's.

10. Project-Based Voucher Program

- a. Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If yes, answer the following questions.
- b. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option?

If yes, check which circumstances apply:

- Low utilization rate for vouchers due to lack of suitable rental units
 Access to neighborhoods outside of high poverty areas
 Other (describe below:)

Maintains affordable housing in the Pawtucket community

- c. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts): ***The PHA will attempt to project-base the maximum number of units within the required regulation. PHA will be seeking units within low poverty census tract.***

PHA Name:
HA Code:

5-Year Plan for Fiscal Years: 2004 - 2009_

Annual Plan for FY 20__

11. List of Supporting Documents Available for Review for Streamlined Five-Year/ Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans.</i>	Standard 5 Year and Annual Plans; streamlined 5 Year Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan.	5 Year Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Consortium agreement(s).	Annual Plan: Agency Identification and Operations/ Management
X	Public housing grievance procedures <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
X	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Section <u> 30 </u> of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Consortium agreement(s), if a consortium administers PHA programs.	Joint PHA Plan for Consortia
	Consortia Joint PHA Plans ONLY: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection	Joint PHA Plan for Consortia
	Other supporting documents (optional). List individually.	(Specify as needed)

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No: RI43P002501 04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	65,000			
3	1408 Management Improvements	268,000			
4	1410 Administration	94,890			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	95,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	115,000			
10	1460 Dwelling Structures	757,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	20,000			
13	1475 Nondwelling Equipment	20,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,434,890			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No: RI43P002501 04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations	1406		65,000				
			Total	65,000				
HA Wide	Resident Services Programs	1408		150,000				
Management Improvements	Resident Service Coordinator	1408		38,000				
	Security Manager	1408		80,000				
		1408	Total	268,000				
HA Wide	Administration	1410						
	Executive Director	1410		20,000				
	Executive Secretary	1410		13,500				
	Administrative Assistant	1410		16,000				
	Director of Finance	1410		16,400				
	Bookkeeper	1410		7,490				
	Modernization Clerk	1410		21,500				
		1410	Total	94,890				
HA Wide	Contract Fees	1430		35,000				
	A & E Fees	1430		60,000				

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No: RI43P002501 04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
		1430	Total	95,000				
RI 2-1								
560 Prospect Street	Basketball Court Improvements	1450		35,000				
	Rakes & Soffits	1460		150,000				
	Site Work-parking	1450		30,000				
		RI2-1	Total	215,000				
RI 2-2	Drainage	1450		50,000				
Galego Court	Office A/C	1470		20,000				
		RI2-2	Total	70,000				
RI 2-4								
Fogarty Manor		RI2-4	Total					
RI 2-7.1	Common Area Improvement	1460		407,000				
St. Germain		RI2-7.1	Total	407,000				
RI 2-7.2	Replace Decks, Trim & Doors	1460		200,000				
Burns Manor								

12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No: RI43P002501 04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
		RI 2- 7.2	Total	200,000				
HA Wide	Security Equipment	1475		20,000				
Non-Dwelling Equip.		1475	Total	20,000				

13. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name Housing Authority of the City of Pawtucket			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:		
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2005 PHA FY:	Work Statement for Year 3 FFY Grant: 2006 PHA FY:	Work Statement for Year 4 FFY Grant: 2007 PHA FY:	Work Statement for Year 5 FFY Grant: 2008 PHA FY:
	Annual Statement				
HA WIDE					
Operations		60,000	60,000	60,000	60,000
Management Improvements		275,000	275,000	275,000	275,000
Administration		125,000	125,000	125,000	125,000
Other Fees		95,000	95,000	95,000	95,000
Non Dwelling Structures		0	500,000	500,000	465,000
Non Dwelling Equipment		20,000	70,000	70,000	80,000
RI 2-1 560 Prospect Street		300,000	100,000		75,000
RI 2-2 Galego Court		240,000			75,000
RI 2-3/5 Kennedy Manor		200,000	90,000		
RI 2-4 Fogarty Manor				125,000	150,000
RI 2-7.1 St. Germain Manor			85,000	109,890	34,890
RI 2-7.2 Burns Manor		119,890	34,890	75,000	
RI 2-16 Scattered Sites					
CFP Funds Listed for 5-year planning		1,434,890	1,434,890	1,434,890	1,434,890
Replacement Housing Factor Funds					

13. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2005 PHA FY:			Activities for Year: <u>3</u> FFY Grant: 2006 PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA Wide	1406 Operations	60,000	HA Wide	1460 Operations	60,000
Annual Statement	HA Wide	1408 Management Improvements		HA Wide	1408 Management Improvements	
		Resident Services Program.	150,000		Resident Services Program	150,000
		Security Program	125,000		Security Program	125,000
	HA Wide	1410 Administration – Salaries	125,000	HA Wide	1410 Administration – Salaries	125,000
	HA Wide	1430 Other Fees		HA Wide	1430 Other Fees	
		A & E Fees	60,000		A & E Fees	60,000
		Contract Fees	35,000		Contract Fees	35,000
	HA Wide	1475 Non Dwelling Equipment		HA Wide	1470 Non Dwelling Structures	
		Computer Hardware/Software			Administration Building	500,000
		Maintenance Equipment	10,000			
		Security Equipment	10,000	HA Wide	1475 Non Dwelling Equipment	
					Computer Hardware/Software	10,000
					Security Equipment	50,000
	RI 2-1				Maintenance Equipment	10,000
	560 Prospect Street	1460 Roof Replacement	300,000			
				RI 2-1	1460 Interior Improvements	100,000
	RI 2-2	1460 Interior Improvements	100,000	560 Prospect St.		
	Galego Court	1460 Roof Replacement (wood)	140,000			
				RI 2-2		
	RI 2-3/5	1460 Unit Modifications	200,000	Galego Court		

13. Capital Fund Program Five-Year Action Plan

	Kennedy Manor					
				RI 2-3/5		
				Kennedy Manor	1460 Fire Suppressions	90,000
	RI 2-4					
	Fogarty Manor					
				RI 2-4		
				Fogarty Manor		
	RI 2-7.1					
	St. Germain Manor	1460 Unit Modifications		RI 2-7.1	1460 Fire Suppressions	85,000
				St. Germain Manor		
	RI 2-7.2					
	Burns Manor	1450 Site Improvements	34,890	RI 2-7.2		
		1460 Fire Suppression	85,000	Burns Manor	1450 Site Improvements	34,890
	Total CFP Estimated Cost		1,434,890			1,434,890

13. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year :_4____ FFY Grant: 2005 PHA FY:			Activities for Year: 5____ FFY Grant: 2006 PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA Wide	1406 Operations	60,000		1460 Operations	60,000
Annual Statement	HA Wide	1408 Management Improvements		HA Wide	1408 Management Improv.	
		Resident Services Program.	150,000		Resident Services Program	150,000
		Security Program	125,000		Security Program	125,000
	HA Wide	1410 Administration – Salaries	125,000	HA Wide	1410 Administration – Salaries	125,000
	HA Wide	1430 Other Fees		HA Wide	1430 Other Fees	
		A & E Fees	60,000		A & E Fees	60,000
		Contract Fees	35,000		Contract Fees	35,000
	HA Wide	1470 Non Dwelling Structures		HA Wide	1470 Non Dwelling Structures	
		Administration Building	500,000		Administration Building	465,000
	HA Wide	1475 Non Dwelling Equipment		HA Wide	1475 Non Dwelling Equipment	
		Computer Hardware & Software	10,000		Computer Hardware & Software	20,000
		Security Equipment	40,000		Security Equipment	40,000
		Maintenance Equipment	20,000		Maintenance Equipment	20,000
	RI 2-1			RI 2-1		
	560 Prospect Street			560 Prospect Street	1450 Site Improvements	75,000
				RI 2-2		
	RI 2-2			Galego Court	1450 Site Improvements	75,000

NOTICE REQUIREMENTS

In compliance with federal statute regarding the development of the five-year plan, the agency conducted the following hearings.

Publication of proposed five-year and annual plans (Draft)	Date: 10/22/03
Family development meetings Galego Court 560 Prospect Street	Date: November 4, 2003 – 7:00pm October 29, 2002 - 7:30pm
Senior/ handicapped development meetings Fogarty Manor Burns Manor Kennedy Manor St. Germain Manor	Date: October 23, 2003 – 10:30am October 22, 2003 – 200pm October 23, 2003 – 1:00pm October 23, 2003 – 2:30pm
Public hearing at Fogarty Manor	Date: December 19, 2003 – 2:30 pm
Board approval and submission to HUD	Date: January 13, 2003

Attendance sheets and responses to comments received are contained in the “Attachments” at the end of the One Year Plan.

HOUSING AUTHORITY OF THE CITY OF PAWTUCKET.

DECONCENTRATION POLICY

To deconcentrate poverty the Pawtucket Housing Authority in compliance with 24 CFR 903 will:

1. Determine average income of all families residing in all covered developments
2. Determine average income of all families residing in each covered development
3. Determine which developments are outside of the established income range. The established income range is defined as those covered developments where the average income is between 85 percent and 115 percent (inclusive of those percentages) of the PHA-wide average for covered developments.

PHA Certifications of Compliance with the PHA Plans and Related Regulations Form

This form has been completed and signed by Kevin Rabbitt, Chairman, Board of Commissioners.
Signed copy will be sent with the hard copy.

The Certification by State of Local Official of PHA Plans has been signed by James E. Doyle, Mayor of the City of Pawtucket.

Signed copy will be sent with the hard copy of the Plan.

The form, Certification for a Drug-Free Workplace has been signed by Robert R. Girouard, Executive Director of the Housing Authority of the City of Pawtucket.

Signed copy will be sent with the hard copy of the Plan.

The form, Disclosure of Lobbying Activities, has been completed and signed by Robert R. Girouard, Executive Director of the Housing Authority of the City of Pawtucket.

A hard copy of this form will be enclosed with the Plan.

RESIDENT ADVISORY BOARD MEETING

Resident Advisory Board Meeting

A meeting of the Resident Advisory Board was held on Monday, November 3, 2003, beginning at 2:30 p.m. in the community room at Fogarty Manor.

The following were present:

Maria-Anna Desrochers – St. Germain Manor
Donald Goss – Kennedy Manor
Juliette Goss – Kennedy Manor
Agnes Condon – Fogarty Manor
Barbara Rogers – Fogarty Manor
Elaise Mullen – Fogarty Manor
Louise Schultz – Fogarty Manor
Frances McCluskie – Fogarty Manor
Rose Wahl – Fogarty Manor
Ronald Harrop – Fogarty Manor
Vera Washington – Fogarty Manor
Jim Goff- Pawtucket Housing
Bob Girouard – Pawtucket Housing
Kerrie Brown – Pawtucket Housing

Fogarty Manor Resident Concerns

The residents brought the following items to the attention of Mr. Robert Girouard, Executive Director:

1. Moving Times – There have been many residents recently who have been moving in and out on the weekend.
2. Elevator Problems – The elevator broke on Friday, October 31st. The residents feel they didn't receive a quick response from the elevator company. The elevator wasn't fixed until Monday morning. The residents were concerned because the fire department didn't respond nor did anyone from our maintenance department.

Emergency numbers were subsequently posted in the kitchen near the phone. In the event that this situation was to ever happen again, the housing authority personnel should be notified. that Fogarty Manor is approximately 6 months away from being repaired. Each elevator will be out of services for approximately 6 months.

Elevators

Fogarty Manor had previously been assessed for a 3rd elevator. To install another elevator (to be used for move-ins) would probably cost \$1 million. However, we should get more speed out of the existing elevators, which should take approximately 4-5 months. The residents were also concerned about the access door on Exchange Street. They want the Keri key system put outside the community room door for entry into the building.

Drug Elimination Grant

The Drug Elimination grant cut a lot of money in federal funds. They feel that the police should be more involved. They feel that these programs should be put into developments to keep the kids active and out of trouble.

The Capital Fund will fund the following projects:

- St. Germain Manor
1. Community room improvement
 2. Building connectors
 3. Elevators

HOUSING AUTHORITY OF THE CITY OF PAWTUCKET

BOARD OF COMMISSIONERS

December , 2003

Chairman, Kevin J. Rabbitt
Vice Chairman, Harvey E. Goulet
Commissioner, Stella Carrera
Commissioner, Hugh R. Monahan
Resident Commissioner, Vincent R. Duffy

Resident Membership on the Governing Board

The Housing Authority of the City of Pawtucket notified residents in June of 1999 requesting that anyone interested in becoming a Resident Commissioner for the PHA must submit a letter of interest to the Executive Director. The residents of each development narrowed the list of interested candidates down to two members per development. This final list was forwarded to the Mayor for his final determination.

Mr. Vincent Duffy was selected and appointed to the Board of Commissioner in September, 1999. He will serve a term of 5 years.

SECTION 8 HOMEOWNERSHIP PROGRAM

CAPACITY STATEMENT

The Housing Authority of the City of Pawtucket certifies to the following in its demonstration to administer a Homeownership Program under its Section 8 Housing Voucher Program:

1. The PHA will establish a minimum homeowner down payment requirement of at least three percent and require that at least one percent of the down payment come from the family's resources;
2. The PHA plans to require that financing for purchase of a home under its Section 8 Homeownership Program will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I Summary					
PHA Name: The Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No. RI43P002501 02 Replacement Housing Grant No.		2002	
<input type="checkbox"/> Original Annual Statement		Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report		Period Ending: 1-31-04		<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	150,470	150,470	150,470	150,470
3	1408 Management Improvements	344,000	344,000	344,000	0
4	1410 Administration	45,000	45,000	45,000	45,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	172,000	147,000	147,000	90,916
8	1440 Site Acquisition				
9	1450 Site Improvements	145,000	145,000	20,000	0
10	1460 Dwelling Structures	891,717	916,717	508,000	144,824
11	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	
12	1470 Nondwelling Structures	0			0
13	1475 Nondwelling Equipment	100,000	100,000	80,000	12,290
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Services	0	0		
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 1,848,187	\$ 1,848,187	\$ 1,294,470	\$ 443,500
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs	177,000	177,000	177,000	0
25	Amount of line 21 Related to Security - Hard Costs	95,000	105,000	105,000	0
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II Supporting Pages									
PHA Name: The Housing Authority of the City of Pawtucket			Grant Type and Number Capital Fund Program Grant No. RI43P002501 02 Replacement Housing Grant No.				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Oligated	Funds Expended		
Operations	Operations	1406		150,470	150,470	150,470	150,470	in progress	
		1406	Total	\$150,470	\$150,470	\$150,470	\$150,470		
HA-Wide	Resident Services Programs	1408		157,000	157,000	157,000	-	In Progress	
Management	Resident Services Coordinator	1408		0	0	0	-		
Improvements	Security Program	1408		177,000	177,000	177,000	-	In Progress	
	Assisted Living Study	1408		0	0	0	-		
	Computer Software & Training	1408		10,000	10,000	10,000	-	In Progress	
		1408	Total	\$344,000	\$344,000	\$344,000	\$0		
Administration	Salaries	1410		45,000	45,000	45,000	45,000	Complete	
		1410	Total	\$45,000	\$45,000	\$45,000	\$45,000		
Other	Architectural Fees	1430		60,000	60,000	60,000	43,224	In Progress	
	Contract Fees	1430		87,000	87,000	87,000	47,692	In Progress	
A&E Fees	Assisted Living/Land Use	1430		25,000	0	0	-		
		1430	Total	\$172,000	\$147,000	\$147,000	\$90,916		
Dwelling	Equipment (Appliances)	1465.1		\$0	\$0		-		
Equipment			Total	\$0	\$0				

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II Supporting Pages									
PHA Name: The Housing Authority of the City of Pawtucket			Grant Type and Number Capital Fund Program Grant No. RI43P002501 02 Replacement Housing Grant No.				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
HA Wide	Computer Hardware & Software	1475		10,000	20,000	20,000	12,290	In Progress	
Non-Dwelling	Security Equipment	1475		60,000	60,000	60,000	-	In Progress	
Equipment	Maintenance Equipment	1475		30,000	20,000		-	In Planning	
		1475	Total	\$100,000	\$100,000	\$80,000	\$12,290		
RI 2-1	Landscaping/Site Impvts.	1450		10,000	10,000	10,000	-	In Progress	
560 Prospect S	Security Improvements	1460		0	0		-		
	Kitchen Repairs & Impvts.	1460		0	0		-		
	Lead Safe Program	1460		178,000	178,000	178,000	125,017	In Progress	
		RI 2-1	Total	\$188,000	\$188,000	\$188,000	\$125,017		
RI 2-2	Site Improvements	1450		0	10,000	10,000	-	In Progress	
Galego Court	Playground/Recreat. Impvts.	1450		10,000			-	In Progress	
	Security Improvements	1460		10,000	10,000	10,000	-	In Progress	
		RI 2-2	Total	\$20,000	\$20,000	\$20,000	\$0		
RI 2-16	Interior Improvements	1460		\$5,000	\$0		-		
Scattered Sites	Exterior Improvements	1460		\$15,000	\$20,000	\$20,000	19,807	In Progress	
		RI 2-16	Total	\$20,000	\$20,000	\$20,000	\$19,807		

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II Supporting Pages									
PHA Name: The Housing Authority of the City of Pawtucket			Grant Type and Number Capital Fund Program Grant No. RI43P002501 02 Replacement Housing Grant No.				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
RI 2-3/5	Security Improvements	1460		10,000	10,000	10,000	-	In Progress	
	Elevators	1460		0	0		-		
Kennedy Manor	Interior Imprvts/existing units	1460		200,000	0		-		
		RI 2-3/5	Total	\$210,000	\$10,000	\$10,000	\$0		
RI 2-4	Security Improvements	1460		15,000	15,000	15,000	-	In Progress	
	Elevators	1460		240,000	265,000	265,000	-	In Progress	
	Common Areas	1460			200,000	0	-		
Fogarty Manor	Remodel Existing Units	1460		208,717	208,717		-	In Progress	
		RI 2-4	Total	\$463,717	\$688,717	\$280,000	\$0		
RI 2-7.1	Site Improvements	1450		125,000	125,000	0	-		
St. Germain Manor	Security Improvements	1460		5,000	5,000	5,000	-	In Progress	
	Building Connector Construct	1460		0	0	0	-		
	Elevators	1460		0	0	0	-		
		RI 2- 7.1	Total	\$130,000	\$130,000	\$5,000	\$0		
RI 2-7.2									
Burns	Security Improvements	1460		5,000	5,000	5,000	-	In Progress	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II Supporting Pages								
PHA Name: The Housing Authority of the City of Pawtucket			Grant Type and Number Capital Fund Program Grant No. RI43P002501 02 Replacement Housing Grant No.			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Manor		RI 2- 7.2	Total	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$0</u>	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I Summary					
PHA Name: The Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No. RI43P002501 01 Replacement Housing Grant No.		Federal FY of Grant: 2001	
<input type="checkbox"/> Original Annual Statement		Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report		Period Ending: 1/31/04		<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	38,340	50,915	50,915	38,340
3	1408 Management Improvements	298,623	240,623	240,623	82,090
4	1410 Administration	45,000	45,000	45,000	45,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	147,000	134,425	134,425	93,949
8	1440 Site Acquisition				
9	1450 Site Improvements	100,000	100,000	100,000	67,298
10	1460 Dwelling Structures	1,230,000	1,288,000	1,288,000	659,329
11	1465.1 Dwelling Equipment - Nonexpendable	20,754	20,754	20,754	0
12	1470 Nondwelling Structures	0	0	0	0
13	1475 Nondwelling Equipment	34,000	34,000	34,000	4,395
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Services				
20	1502 Contingency		0		
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 1,913,717	\$ 1,913,717	\$ 1,913,717	\$ 990,401
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs	151,947	119,947	119,947	22,232
25	Amount of line 21 Related to Security - Hard Costs	52,690	82,690	82,690	16,085
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II Supporting Pages								
PHA Name: The Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No. RI43P002501 01 Replacement Housing Grant No.				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Oligated	Funds Expended	
Operations	Operations	1406		38,340	50,915	50,915	38,340	Inprogress
		1406	Total	\$38,340	\$50,915	\$50,915	\$38,340	
HA-Wide	Resident Services Programs	1408		26,000			0	
Management	Resident Services Coordinator	1408		79,000	79,000	79,000	42,918	In Progress
Improvements	Security Program	1408		151,947	119,947	119,947	22,232	In Progress
	Grants Manager	1408		35,676	35,676	35,676	16,940	In Progress
	Assisted Living Study	1408		0	0	0	0	
	Computer Software & Training	1408		6,000	6,000	6,000	0	In Progress
		1408	Total	\$298,623	\$240,623	\$240,623	\$82,090	
Administration	Salaries	1410		45,000	45,000	45,000	45,000	Completed
		1410	Total	\$45,000	\$45,000	\$45,000	\$45,000	
Other	Architectural Fees	1430		60,000	79,460	79,460	54,668	In Progress
A&E Fees	Contract Fees	1430		87,000	54,965	54,965	39,281	In Progress
		1430	Total	\$147,000	\$134,425	\$134,425	\$93,949	
HA_Wide								
Non-Dwelling Structures	Maintenance Garage	1470		\$0	\$0	\$0	0	
			Total	\$0	\$0	\$0	\$0	

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II Supporting Pages									
PHA Name: The Housing Authority of the City of Pawtucket			Grant Type and Number Capital Fund Program Grant No. RI43P002501 01 Replacement Housing Grant No.				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Oligated	Funds Expended		
Dwelling	Equipment (Appliances)	1465.1		<u>\$20,754</u>	<u>\$20,754</u>	<u>\$20,754</u>	0	In progress	
Equipment			Total	<u>\$20,754</u>	<u>\$20,754</u>	<u>\$20,754</u>			
HA_Wide	Computer Hardware & Software	1475		4,395	4,395	4,395	4,395	Completed	
Non-Dwelling	Security Equipment	1475		29,605	29,605	29,605	0	in progress	
Equipment	Maintenance Equipment	1475		0	0	0	0		
		1475	Total	<u>\$34,000</u>	<u>\$34,000</u>	<u>\$34,000</u>	<u>\$4,395</u>		
RI 2-1	Interior Improvements	1460		0	0	0	0		
560 Prospect S	Security Improvements	1460		0	0	0	0		
	Lead Safe Program	1460		116,209	116,209	116,209	116,209	Completed	
		RI 2-1	Total	<u>\$116,209</u>	<u>\$116,209</u>	<u>\$116,209</u>	<u>\$116,209</u>		
RI 2-2	Site Improvements	1450		72,368	72,368	72,368	67,298	In Progress	
Galego Court	Recreation Improvements	1450		27,632	27,632	27,632	0	In Progress	
	Security Improvements	1460		30,000	30,000	30,000	0	In Progress	
	Dwelling Improvements	1460		10,000	10,000	10,000	6,385	In Progress	
		RI 2-2	Total	<u>\$140,000</u>	<u>\$140,000</u>	<u>\$140,000</u>	<u>\$73,683</u>		
RI 2-16	Interior Improvements	1460		\$0	\$0	\$0	0		
Scattered Sites	Exterior Improvements	1460		<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	0		

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II Supporting Pages									
PHA Name: The Housing Authority of the City of Pawtucket			Grant Type and Number Capital Fund Program Grant No. RI43P002501 01 Replacement Housing Grant No.				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Oligated	Funds Expended		
		RI 2-16	Total	\$0	\$0	\$0	\$0		
RI 2-3/5	Security Improvements	1460		6,085	6,085	6,085	6,085	Completed	
Kennedy Manor	Elevators	1460		<u>278,000</u>	<u>347,200</u>	<u>347,200</u>	0	In Progress	
		RI 2-3/5	Total	<u>\$284,085</u>	<u>\$353,285</u>	<u>\$353,285</u>	<u>\$6,085</u>		
RI 2-4	Security Improvements	1460		10,000	10,000	10,000	10,000	Completed	
Fogarty Manor	Elevators	1460		218,842	133,534	133,534	0	In Progress	
		RI 2-4	Total	<u>\$228,842</u>	<u>\$143,534</u>	<u>\$143,534</u>	<u>\$10,000</u>		
RI 2-7.1									
St. Germain Manor	Security Improvements	1460		2,000	2,000	2,000	0	In Progress	
		RI 2- 7.1	Total	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$0</u>		
RI 2-7.2	Common Area Improvements	1460		553,864	553,864	553,864	520,650	complete	
	Fire Alarms	1460		0	74,108	74,108	0	in progress	
Burns Manor	Security Improvements	1460		5,000	5,000	5,000	0	in progress	
		RI 2- 7.2	Total	<u>\$558,864</u>	<u>\$632,972</u>	<u>\$632,972</u>	<u>\$520,650</u>		

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I Summary**

PHA Name: The Housing Authority of the City of Pawtucket	Grant Type and Number Capital Fund Program Grant No. RI43P002501 00 Replacement Housing Grant No.	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report Period Ending: 9/30/02 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0	0	0	0
3	1408 Management Improvements	134,986	136,430	136,430	99,098
4	1410 Administration	45,000	45,000	45,000	45,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	106,637	106,637	106,637	106,637
8	1440 Site Acquisition				
9	1450 Site Improvements	669,754	626,979	626,979	573,554
10	1460 Dwelling Structures	724,000	765,331	765,331	703,212
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures	160,000	160,000	160,000	146,087
13	1475 Nondwelling Equipment	35,000	35,000	35,000	25,051
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Services				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2-20)	\$ 1,875,377	\$ 1,875,377	\$ 1,875,377	\$ 1,698,639
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 Compliance				
24	Amount of line 21 Related to Security - Soft Costs	75,310	75,310	75,310	55,593
25	Amount of line 21 Related to Security - Hard Costs	143,395	143,395	143,395	119,073
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II Supporting Pages								
PHA Name: The Housing Authority of the City of Pawtucket		Grant Type and Number Capital Fund Program Grant No. RI43P002501 00 Replacement Housing Grant No.				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Operations								
		1406	Total	\$0	\$0	\$0	\$0	
HA-Wide	Resident Services Programs	1408		18,923	20,367	20,367	7,799	In Progress
Management	Resident Services Coordinator	1408		35,753	35,753	35,753	35,706	In Progress
Improvements	Security Program	1408		75,310	75,310	75,310	55,593	In Progress
	Computer Software & Training	1408		5,000	5,000	5,000	0	In Progress
		1408	Total	\$134,986	\$136,430	\$136,430	\$99,098	
Administration	Salaries	1410		45,000	45,000	45,000	45,000	Complete
		1410	Total		\$45,000	\$45,000	\$45,000	
Other	Architectural Fees	1430		47,300	47,300	47,300	47,300	Complete
A&E Fees	Contract Fees	1430		59,337	59,337	59,337	59,337	Complete
		1430	Total	\$106,637	\$106,637	\$106,637	\$106,637	
HA_Wide								
Non-Dwelling Structures	Maintenance Garage	1470		\$0	\$0	\$0	\$0	
			Total	\$0	\$0	\$0	\$0	
HA_Wide	Computer Hardware & Software	1475		0	0			
Non-Dwelling Equipment	Security Equipment	1475		28,395	28,395	28,395	18,446	In Progress
	Maintenance Equipment	1475		6,605	6,605	6,605	6,605	Complete
		1475	Total	\$35,000	\$35,000	\$35,000	\$25,051	
RI 2-1	Interior Improvements (lead paint)	1460		110,000	110,000	110,000	110,000	Complete
560 Prospect S	Laundry Facilities	1470		0	0			
		RI 2-1	Total	\$110,000	\$110,000	\$110,000	\$110,000	
RI 2-2	Site Improvements	1450		344,754	302,313	302,313	266,586	In Progress
Galego Court	Laundry Facilities	1470		0	0			
		RI 2-2	Total	\$344,754	\$302,313	\$302,313	\$266,586	
RI 2-3/5	Site Improvements	1450		135,000	134,666	134,666	134,666	Complete
Kennedy Manor	Security Improvements	1460		65,000	65,000	65,000	65,000	Complete
	Common Area Improvements	1460		90,000	85,539	85,539	85,539	Complete
		RI 2-3/5	Total	\$290,000	\$285,205	\$285,205	\$285,205	
RI 2-4	Site Improvements	1450		190,000	190,000	190,000	172,302	In Progress
Fogarty Manor	Security Improvements	1460		40,000	40,000	40,000	25,627	In Progress
	Balcony Improvements	1460		30,000	30,000	30,000	30,000	Complete
	Floor Covering	1460		0	0		0	In Planning
		RI 2-4	Total	\$260,000	\$260,000	\$260,000	\$227,929	
RI 2-7.1	Floor Covering	1460		0	0			
St. Germain Manor	Security Improvements	1460		0	0			
	Fire Alarm Upgrade	1460		0	0			
		RI 2-7.1	Total	\$0	\$0	\$0	\$0	
RI 2-7.2	Floor Covering	1460		11,875	11,875	11,875	9,921	In Progress
Burns Manor	Security Improvements	1460		10,000	10,000	10,000	10,000	Complete
	Fire Alarm Upgrade	1460		137,000	182,792	182,792	137,000	In Progress
	Common Area Improvements	1460		230,125	230,125	230,125	230,125	Complete
	Community Room Improvements	1470		160,000	160,000	160,000	146,087	In Progress
		RI 2-7.2	Total	\$549,000	\$594,792	\$594,792	\$533,133	

