

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Rockford Housing Authority

PHA Plans

5-Year Plan for Federal Fiscal Years 2000 - 2004
Annual Plan for Federal Fiscal Year 2004

ROCKFORD HOUSING AUTHORITY IL-022
223 S. WINNEBAGO ST.
ROCKFORD, ILLINOIS 61102

RHA BOARD OF COMMISSIONERS

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2 Vacancies

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H:\DEV\Agency Plans\Agency Plan FFY04 PHA05\Plan for Submission\3RD SUBMISSION

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE
WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

PHA Plan Agency Identification

PHA Name: Rockford Housing Authority

PHA Number: IL06P022

PHA Fiscal Year Beginning: 10/2005

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
Contribute to human growth and dignity through the effective use of available resources by providing a defined quality living environment to eligible people within the Rockford Community while creating opportunities for an improved quality of life.
- This will be accomplished through the mutual effort and contribution of residents, employees, Commissioners, and the community.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHA's should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers: (Up to 200 vouchers contingent upon RHA Board approval) (Increased by 213 vouchers since 4/2002)
 - Reduce public housing vacancies: (By 10% Annual Average)
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)

- Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling: (Counseled approximately 200 residents since year 2002).
 - Conduct outreach efforts to potential voucher landlords (signed up 50 new landlords)
 - Increase voucher payment standards (increased with board approval effective 5/1/02).
 - Implement voucher homeownership program (9 residents completed and purchased homes under the voucher program).
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: (Addressed in the fair housing policies in the Admissions and Occupancy Policy).
- Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2005
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

High Performing PHA

Small Agency (<250 Public Housing Units)

Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Rockford Housing Authority (RHA) Profile – The RHA currently manages 2004 units of low-income public housing in 15 development projects. RHA is also the parent organization of the Rockford Housing Development Corporation (RHDC), which involves the management of two project based Section 8 projects consisting of 216 units at Concord Commons Apartments and 20 units at River North Apartments. The RHA also administers a Section 8 tenant based program within the City of Rockford that currently serves approximately 1,859 families through the Housing Choice Voucher Program, Moderate Rehabilitation program and several small developments in which we partner with several local service agencies providing Project Based Vouchers for persons with special needs.

Overall, RHA/RHDC programs serve about 3.25% of Rockford’s total population and makes up about 9.5% of the City’s rental housing stock.

Objectives for FY2005

The following initiatives are some of the Rockford Housing Authority projects currently in the planning stage or in process.

The Rockford Housing Authority has submitted a questionnaire to IHDA expressing interest in pursuing the possibility of leveraging its Capital Fund monies through participation in IHDA’s “Capital Fund Program Revenue Bond Pool.” Prior to making a decision on whether to participate in this program, a “Physical Needs Assessment” of all Low Income Public Housing programs will be completed and the Rockford Housing Authority’s strategic plan will be updated.

The Rockford Housing Authority is currently exploring cooperative initiatives with the City of Rockford, the Winnebago County Housing Authority, and a local affordable housing developer for the purpose of maximizing resources, and developing alternative

income streams in order to better address the current and future affordable housing needs of our community. Items under discussion include the demolition and/or redevelopment of Concord Commons Apartments through a cooperative effort with the Winnebago County Housing Authority in conjunction with their HOPE VI grant, de-densification and/or demolition of high-density problematic developments such as Fairgrounds Valley and Jane Addams Village. Concurrent with the plans to dedensify and demolish existing properties is the exploration of available financing options that will result in the development of mixed finance and mixed income housing.

Regarding Jane Addams Village. At this time, the City of Rockford intends to replace the Morgan Street Bridge, which is located just west of Jane Addams. As part of their replacement, the bridge will be relocated one span to the south. This shift will require the realignment of College Avenue. The City will need to acquire the Jane Addams Housing units located on the south side of the current street location. This will equate to approximately 7% of the Brewington Oaks/Jane Addams Housing Development. To properly position the Authority, we will seek a 1 to 1 replacement housing posture with the City of Rockford. The Authority will also seek a Section 18 (Demolition/Disposition) application with HUD to address the remainder of the Jane Addams property, which equates to 9% of the total development. Per the Section 18 application, the Authority will work to meet with residents to keep them informed of any and all progress, provide counseling as needed, provide moving assistance (should that time come), and insure 1 to 1 quality housing replacement should the remaining portion of Jane Addams be disposed.

Through the “Replacement Housing Factor (RHF) the Rockford Housing Authority is in the process of purchasing two stand alone three (3) bedroom homes. The Rockford Housing Authority plans to partner with a local agency known as YouthBuild, who will complete any remodeling work required to prepare the homes for rental as Scattered Site properties.

In order to maximize our cooperative efforts in the Rockford community, members of the RHA staff participate on numerous boards and steering committees including:

- West State Street Corridor Planning Committee
- Morgan Street Bridge Planning Committee
- Joint Purchasing Committee
- Fair Housing Board Representation
- Advisory Council of Shelter Care Ministries
- Mayor’s Homeless Task Force
- Homeless Provider’s Committee
- City of Rockford Citizen’s Participation Committee

Sincerely,

Lewis Jordan
Executive Director

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Indicate which attachments selecting all that apply provides. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2000 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5-Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
XX	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
XX	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
XX	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
XX	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (Section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies

XX	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
XX	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
XX	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
XX	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
XX	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
XX	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to Section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
XX	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
XX	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
XX	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency

XX	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
XX	The most recent fiscal year audit of the PHA conducted under Section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	4,853	3	2	3	3	5	2
Income >30% but <=50% of AMI	3,142	3	3	3	3	3	2
Income >50% but <80% of AMI	2,338	3	5	3	3	3	2
Elderly	3,374	3	2	3	3	1	4
Families with Disabilities	6,385	5	4	5	5	4	5
Race/Ethnicity-W	69,883	2	2	5	3	3	2
Race/Ethnicity-B	7,749	3	3	3	3	3	2
Race/Ethnicity-I	2,472	3	3	3	3	3	2
Race/Ethnicity-A	1,204	3	3	3	3	3	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2000 - 2004
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. Complete one table for each type of PHA wide waiting list administered by the PHA. PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	547		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	142	26%	
Race/ethnicity B	372	68%	
Race/ethnicity A	33	6%	
Race/ethnicity Other	0	0%	

Characteristics by Bedroom Size (Public Housing Only)			
1BR	217	40%	
2 BR	168	31%	
3 BR	125	23%	
4 BR	31	5%	
5 BR	5	1%	
5+ BR	1	0%	

Is the waiting list closed (select one)? No Yes
 If yes: How long has it been closed (# of months)?
 Does the PHA expect to reopen the list in the PHA Plan year? No Yes
 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	879		
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	338	38%	
Race/ethnicity B	525	60%	
Race/ethnicity A	0	0%	
Race/ethnicity Other	16	2%	

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through Section 8 replacement housing resources
- Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional Section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
Local preference for disabled

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
Abilities Center training opportunities, Camaraderie Arts after school and summer youth program, CHOICES (youth gang prevention) program, flyers to La Voz Latina, Illinois Employment and Training Resource Center representative, Job Fairs, Mini Resource Fairs, Information Manuals for job search and resources, Rock Valley College GED and Community and Continuing Education classes, Service Coordinators for elderly and disabled, Universal Success tutoring 14-18, University of Illinois Cooperative Extension housekeeping and homeownership classes, Shelter Care Ministries, University of Illinois Health Fairs, and YouthBuild youth skills learning program.
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the Section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	\$8,063,956	
b) Public Housing Capital Fund	* 3,248,262	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	9,065,583	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
FSS Coordinator		Section 8 supportive services
FSS Coordinator		Public Housing supportive Services
ROSS Service Coordinator Grant FY 03	60,482	
Ross – Homeownership	167,000	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Resident Opportunity and Self-Sufficiency Grants FY 00		
3. Public Housing Dwelling Rental Income	1,700,000	
4. Other income (list below)		
4. Non-federal sources (list below)		
Rock River Training Corporation Grant	100,000	
Rockford School District	35,000	
Total resources	\$22,440,283	

*10% is included in operations and is not included here. The whole grant was \$3,609,180.

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

Based on availability of units and the unit turnover rate.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) Expectation of complying with the lease.

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (Either directly or through an NCIC-authorized source).

Results are tracked and reported each month to the Authority's Board of Commissioners.

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)?

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly, and persons with disabilities.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)?

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Resident Handbook
Orientation Video

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

IL022-001 Blackhawk

IL022-007 Fairgrounds

IL022-005 Brewington Oaks & Jane Addams

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
IL022-001 Blackhawk
IL022-007 Fairgrounds
IL022-005 Brewington Oaks & Jane Addams

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer Section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

- a) No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last (36) months.
- b) Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted. If the family's payments are current at the time of final eligibility determination, the family will be issued a voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision" will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.
- c) The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal

- d) activity within thirty-six (36) months of the date of the initial application will be denied admission.

Drug related criminal activity is defined as: “The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance. “Violent criminal activity” is defined as: “Any criminal activity that has as one of its elements, the use, attempted use or threatened use of physical force against a person or property of another.”

- e) No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve (12) months.
f) No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.

Other (list below)

- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

If requested in writing, we provide current and previous landlord name.

(2) Waiting List Organization

- a. With which of the following program waiting lists is the Section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

- b. Where may interested persons apply for admission to Section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

When the wait list is opened, we select other locations to serve the people.

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If requested by participant and they can show listing of where they have been seeking housing, and a 30-day extension will be granted.

Verification is required for extenuating circumstances such as hospitalization or a family emergency. Verification is also required if the family was prevented from finding a unit due to disability accessibility requirements or large size bedroom unit requirements.

(4) Admissions Preferences

- a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the Section 8 program to families at or below 30% of median area income?

- b. Preferences

1. Yes No: Has the PHA established preferences for admission to Section 8 tenant-based assistance? (Other than date and time of application) (if no, skip to subcomponent (5) Special purpose Section 8 assistance programs)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, and persons with disabilities.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements.

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose Section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)
 Newsletter to landlord and participant

b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- Through published notices
- Other (list below)
 Newspapers, flyers, community agencies, churches, postings and RHA website.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

If yes to question 2, list these policies below:

Hardship

- A) The family has lost eligibility or is awaiting an eligibility determination for federal, state, or local assistance, including a family with a member who is a non-citizen lawfully admitted for permanent residence under the Immigration and Nationality Act and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996.
- B) The family would be evicted as a result of the imposition of the minimum rent requirement. The income of the family has decreased because of changed circumstances, including loss of employment, death in the family, or other circumstances as determined by the RHA or HUD.
- C) Rents set at less than 30% of adjusted income.

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

If it is ceiling rent, the rent is capped.
Flat rent option.

- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

- e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)
- For all developments
 - For all general occupancy developments (not elderly or disabled or elderly only)
 - For specified general occupancy developments
 - For certain parts of developments; e.g., the high-rise portion
 - For certain size units; e.g., larger bedroom sizes
 - Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)
Anytime the family has previously been at an income level of zero (0).
Change in family composition.

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The Section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply) N/A

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or sub market
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or sub market
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C (2)

The PHA requests approval through this PHA Plan.

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served At Year Beginning	Expected Turnover
Public Housing	1,979	500
Section 8 Vouchers	1,527	120
Section 8 Certificates	-0-	-0-
Section 8 Mod Rehab	321	25
Special Purpose Section 8 Certificates/Vouchers	60	10
Other Federal Programs (list individually)		
ROSS	275	40
CGP	649	581
Service Coordinator	1,039	80
Section 8 FSS Coordinator	120	17

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Admissions and Continued Occupancy Policy
RHA Lease Agreement
Resident Handbook
Pest Control Policy
Emergency Handbook
SPM Handbook
LBP Guidebook
Pet Policy
Mold Policy (New York)

(2) Section 8 Management: (list below)

Administrative Plan
HCV Lease Agreement
HAP Contract
Housing Choice Voucher Handbook Guide (HUD)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office - Applicants
 PHA development management offices - Residents
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below: None

1. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment B.

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment E

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Brewington Oaks/Jane Addams, Fairgrounds

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Fairgrounds

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

Brewington Oaks/Jane Addams, Fairgrounds

Replacement Housing – Purchase two stand-alone homes

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to Section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.) Currently discussing the possibility of applying for a demolition grant for part or all of IL022-007 Fairgrounds Valley.

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No,” complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Fairgrounds
1b. Development (project) number:	IL022-007
	Development name: Jane Addams Family Development
	Development (project) number: IL022-005F
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	<u>(02/18/05)</u>
5. Number of units affected:	294
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development - Fairgrounds <input checked="" type="checkbox"/> Total development - Jane Addams Family Development
7. Timeline for activity:	a. Actual or projected start date of activity: Still in planning state-undetermined b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by Section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: North Main Manor
1b. Development (project) number: IL022-006
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (06/2004)
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously approved Designation Plan?
6. Number of units affected: 187
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to Section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under Section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved Section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under Section 5(h), the HOPE I program, or Section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	
<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)	
3. Application status: (select one)	
<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected	
6. Coverage of action: (select one)	
<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHAs may skip to component 12.)

1. Program Description: The homeownership option is used to assist a family residing in a home purchased and owned by one or more members of the family. The RHA will limit the number of families assisted with homeownership. The RHA will offer the homeownership option only to participating families who:
Are currently enrolled in the Rockford Housing Authority’s Family Self-Sufficiency (FSS) program and are in compliance with the FSS contract.

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Minimum income of \$10,300,

Currently employed (not less than 30 hours per week),

Employed at least one year (continuously),

First time homebuyer,

Attended pre and post counseling,

Signed statement of homeownership.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by Section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 06/05/02

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
 Jointly administer programs
 Partner to administer a HUD Welfare-to-Work voucher program
 Joint administration of other demonstration program
 Other (describe) In kind seminars for staff and residents.

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
 Public housing admissions policies
 Section 8 admissions policies
 Preference in admission to Section 8 for certain public housing families
 Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
 Preference/eligibility for public housing homeownership option participation
 Preference/eligibility for Section 8 homeownership option participation
 Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or Section 8 participants or both)
Employment Resource Center Rock River Training	Part time Representative	Voluntary	Employment Training Center	Both
Job Fairs/Mini Fairs	2X per year	Voluntary	Developments	Both
Step Up Force Account	6 per year	Voluntary	YouthBuild	Both
Employment Training and Placement	20 per year	Voluntary	Abilities Center	Both
Childcare Training	10 per year	Voluntary need GED	Abilities Center	Both
Transportation	As needed	Voluntary	GED classes, RVC classes, Housekeeping classes, Universal Success, Resident Events, Senior Activities	Both
CHOICES Drug and Gang Prevention	50 per year	Voluntary	Orton Keyes and Fairgrounds	Both
Emotional and physical wellness workshops/fairs	12X per year	Voluntary	High-rises	Both
Camaraderie Arts Programs	80 per year	Voluntary	Fairgrounds	Both
Outreach Services	80 per month	Voluntary	High and Low-rises	LIPH
Homeownership Classes	40 per year	Voluntary	Main Office	Both
Housekeeping Classes	200 per year	Mandatory	High-rises	LIPH
Resident Council Training	24 per year	Voluntary	Main Office	LIPH
Universal Success	50 per year	Voluntary	Fairgrounds/Jane Addams Developments	Both
Information Manuals	200 per year	Voluntary	LIPH Developments	LIPH
Rock Valley Classes	50 per year	Voluntary	High-rises	LIPH
GED Classes	50 per year	Voluntary	All RHA	Both
Grandparents Support Group	12 per year	Voluntary	All RHA	Both

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2004 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	21	67 as of 04/30/04
Section 8	170	124 as of 04/30/04

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

We will send out mass mailings, hold open houses and accept referrals from current participants. We will strive to obtain minimum program size by 07/30/04.

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of Section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to Section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply)?

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-007 Fairgrounds Valley
- IL022-005 Brewington Oaks & Jane Addams

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake:
(select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

1. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-004 Park Terrace
- IL022-005 Brewington Oaks & Jane Addams
- IL022-006 North Main Manor
- IL022-007 Fairgrounds Valley
- IL022-009 Olesen Plaza

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)
Host safety and awareness fairs for children.

Rockford Housing Authority Police operate under a community oriented policing (COP) format by being assigned to one family development. They attempt to attend Resident Council meetings.

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-005 Brewington Oaks & Jane Addams
- IL022-007 Fairgrounds Valley

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: N/A)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

RHA PET POLICY

In compliance with the Quality Housing & Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to trained animals that are used to assist persons with disabilities. The RHA will provide formal applications to residents or applicants who desire to have commons household pets.

Common Household Pets Are Defined As Follows:

Bird-including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. CFR 952.20b1

A) Fish-in tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20b1

B) Dog – not to exceed 20 pounds. Weight at maturity. CFR 942.20b1

C) Cat – species commonly used for household pets (felis catus). CFR 942.20b1

D) Rabbit, rodent, Guinea pig, gerbils and hamsters CFR 942.20b1

E) Turtle – Common household pet does not include reptiles, except turtle CFR 942.20b1

Rules For Owners of Pets

The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority. The Rockford Housing Authority will direct and approve such initial moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents. A resident that has a dog or cat he/she may apply for a transfer to a building or section of a building where pets are permitted. The Rockford Housing Authority will consider requests for transfer in the same order they are received.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

- a) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however; may keep a bird or aquarium in addition. CFR 942.02b2
- b) All common household pets are not to exceed a weight 20 pounds at maturity. CFR 942.02b2
- c) Dogs are to be licensed yearly with the City of Rockford, and residents must show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.02b1
- d) All cats are to be neutered and all dogs should be spayed prior to issuance of the written permit. CFR 942.20a2
- e) Resident must identify an alternate custodian for their pet(s) in the event of absence from the premises including employed residents, or resident's illness, which would prevent the resident from properly caring for the pet.
- f) Rockford Housing Authority may require the removal of any pet from a project, if the pet's conduct or condition is duly determined to constitute, under the provisions of State or local law, a nuisance or a threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

If pets are left unattended for twenty-four (24) hours or more, the Rockford Housing Authority may enter to remove the pet and transfer the pet to the proper authorities subject to the provisions of the Humane Care for Animals Act 510 ILCS 70/1 et seq. of the City of Rockford, the County of Winnebago, and the State of Illinois. The Rockford Housing Authority accepts no responsibility for the pet under such circumstances. No pet may be kept in violation of humane or health laws of the City, County, or State.

Residents shall not permit any disturbance by their pet, which would interfere with the quiet enjoyment of other residents, whether by loud barking, howling, biting, scratching or other such activities.

The resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet.

Dogs and cats shall remain inside a resident's premises unless they are on a leash and directly controlled by an adult.

Cats are to use litter boxes kept in resident's premises. The resident is not allowed to let waste accumulate, waste must be removed daily, and litter changed a minimum of twice a week. The resident must dispose of the litter in the first floor dumpster, no waste or litter is to be put down the garbage chute.

One pet (dog or cat) will be permitted on an elevator at any time. Pets (excluding working animals) are not allowed in common areas such as lobbies, laundry room, and social rooms except for directly entering or existing the building.

The owner of a dog or cat must properly remove and dispose of all waste caused by the animal in the building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accidents"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste.

Resident shall take adequate precautions to eliminate any pet odors within or around the premises and maintain premises in a sanitary condition at all times.

Resident shall pay a \$100.00 Pet Security Deposit for a dog or a cat; there is no deposit required for any other listed common household pet. This deposit is refundable if no damage occurs, as verified by the Rockford Housing Authority, after the resident disposes of the pet, or moves out.

The resident is responsible for damage in excess of the combined total security deposit. A minimum payment of \$50.00 is to be paid when the pet is brought onto the premises, and subsequent monthly payments of \$10.00 or more until the balance is paid.

Residents shall not alter their units, patio, or premises to create an enclosure for the animal. Caged pets must be confined to a cage at all times. Dogs and cats must be identifiable by an identification collar. Residents are prohibited from feeding stray animals. The feeding of stray animals shall constitute having a pet without permission of the Rockford Housing Authority.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under Section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?
In process of being submitted.

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

18. Other Information[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA MUST select one)

Attached at Attachment (File name)

Provided below:

Public Meeting
June 8, 2004
Rockford Housing Authority
PHA Annual Plan
Agenda

Opening Comments	Lewis Jordan
Executive Statement	Lewis Jordan
Capital Fund Program	Paul Hackerson
Work Items Budgeted by Development	Paul Lindstrom
Questions or Comments to Staff	
Closing Statement	Lewis Jordan

To comply with the Quality Housing and Work Responsibility Act of 1998 requirements for preparing and submitting a Public Housing Agency (PHA) Annual Plan the Rockford Housing Authority (RHA) held a Public Hearing. The public hearing was held on June 8, 2004, at 9:00 a.m. in the RHA Conference Center located at 223 S. Winnebago Street, Rockford, Illinois 61102.

RHA residents, community support service representatives, local government representatives, and RHA attended the public hearing.

The following questions, comments and responses were recorded:

Lewis Jordan, Executive Director, presented the Annual and 5-Year Plan. Copies of the Plan were available as handouts. Paul Hackerson, Director of Development, MIS and Security Services and Paul Lindstrom, Modernization Coordinator reviewed the Capital Fund Program and the Work Items Budgeted from Development. Paul Hackerson also reminded the residents about the Resident Survey they may receive from HUD and expressed the importance of residents responding to the RASS Survey.

- Q. Is this a revised 5-Year Plan?
R. Yes –the plan is an ongoing plan that is updated every year.
- Q. Why do we have an Architectural & Engineering Company under Contract?
R. In completing a large job, such as furnaces, elevators, and extensive rehab, an A&E firm will do all the study needed and complete the bidding process.
- Q. Is some of your exterior work going to include painting of the Scattered Sites?
R. We are looking at wrapping the fascia boards instead of painting. We would like to do 12 – 15 over a year’s time.
- Q. Is it okay for us to change our own fluorescent bulbs in the kitchen?
R. We would rather you did not, in case you are injured, however we are going forward with a Section 3 Program where resident training would take place under our Union Contracts.
- Q. Are some of the residents painting their own units?
R. They should not do so, unless they have received the proper training and the cautions about Lead Based Paint.
- Q. Has anything been done about establishing an “Elderly Only” building?
R. Yes, North Main Manor has been approved as an “Elderly Only” facility.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided Section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.) Appointed by the Rockford Mayor

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and Section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)
City of Rockford, Illinois
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Information is included in the attachments to the Plan beginning on page 55.

- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

A. Other Information Required by HUD

Included in the attachments to the Plan beginning on page 55.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment A
Rockford Housing Authority
Deconcentration of Poverty

DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominantly comprised of “lower income” residents and “lower income” residents into developments that are predominantly comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

Developments that are not subject to the deconcentration rule are:

- A) Developments that house only elderly persons, or persons with disabilities, or both;
- B) Developments approved for demolition or for conversion to tenant based assistance; and
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.

In implementing this rule the Rockford Housing Authority shall:

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.
- B) Determine the average income of all families residing in each covered development.
- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan

For Rockford Housing Authority developments that require implementation of the deconcentration of poverty rule the following steps are applied:

The Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority’s deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority’s deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority’s deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's deconcentration of poverty requirements in its "family" low-income public housing developments. Attachment "H" lists the average income and the distribution of income levels for each of these developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.
- D) Is developing a marketing plan that targets working families.
- E) Utilizes Public Housing Drug Elimination Program funding and Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites.
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Magic" and "Family Self Sufficiency".
- H) Continues to modernize RHA's housing stock through the "Capital Fund".

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

Attachment B
Rockford Housing Authority
FY 2005
Operating Budget

Revenue:	FY2005 Budget
Rental Income	\$1,700,000
Interest Income	5,000
Maintenance Charges	100,000
Late Fee Income	22,500
Mgmt Fee Income	104,000
Other Income	121,000
Total Income	\$2,065,000
HUD Contributions-LIPH Subsidy	8,063,956
Capital Fund Contribution	360,918
Total HUD Contribution	\$8,424,874
Total Revenue	\$10,489,874
Expense:	
Admin Salaries	\$ 2,281,474
Legal	89,040
Training	90,000
Mileage	5,000
Auditing	46,000
Sundry	394,000
Resident Services	15,000
Utilities	1,317,000
Labor	2,093,474
Materials	400,000
Contract Costs	1,400,000
Trash Removal	200,000
Insurance	579,000
Payments in Lieu of Taxes	16,878
Terminal Leave	25,000
Employee Benefits	1,730,553
Collection Loss Write Off	50,000
Other General Exp	8,000
Casualty Loss Net	35,000
S8 Landlord Payments	0
Total Expense Before Depreciation	\$10,775,419
Net Income (Loss) Before Depreciation	(285,545)
Depreciation Expense	3,234,108
Total Expense	\$14,009,527
Net Income (Loss)	(\$3,519,653)

Attachment C
Rockford Housing Authority
Component 7
Capital Fund Program Annual Statements
Parts I, II, III

Table Library
Rockford Housing Authority

Component 7
Capital Fund Program Annual Statement
Parts I, II, III

Rockford Housing Authority IL022

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number IL06P022501-04 FFY of Grant Approval: (10/2004)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	\$360,918
3	1408 Management Improvements	721,836
4	1410 Administration	360,918
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	339,200
8	1440 Site Acquisition	0
9	1450 Site Improvement	190,000
10	1460 Dwelling Structures	1,402,350
11	1465 Dwelling Equipment-Nonexpendable	25,000
12	1470 Non-dwelling Structures	0
13	1475 Non-dwelling Equipment	60,000
14	1485 Demolition	0
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495 Relocation Costs	12,000
18	1498 Mod Used for Development	0
19	1502 Contingency	136,958
20	Amount of Annual Grant (Sum of lines 2-19)	\$3,609,180
21	Amount of line 20 Related to LBP Activities	10,000
22	Amount of line 20 Related to Section 504 Compliance	0
23	Amount of line 20 Related to Security	213,200
24	Amount of line 20 Related to Energy Conservation Measures	700,350

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
IL06-P022-001 Blackhawk Courts	A & E design work	1430	\$53,000
	Site work	1450	0
	Exterior rehab	1460	0
	Furnace Replacement	1460	206,000
IL06-P022-003 Orton Keys	A & E design work	1430	35,000
	Site work	1450	0
	Furnace Replacement	1460	0
IL06-ILP022-004 Park Terrace	A & E design	1430	22,500
	Interior Rehab	1460	25,000
	Building Systems-Elevators	1460	200,000
	Relocation	1495.1	2,000
IL06-P022-005 Brewington Oaks & Jane Addams	A & E design	1430	70,000
	Interior rehab	1460	215,000
	Exterior rehab	1460	5,000
	HVAC upgrades	1460	11,000
	Building Systems-Elevators	1460	200,350
	Appliances	1465.1	20,000
	Relocation	1495.1	2,000
IL06-P022-006 North Main Manor	A & E design	1430	41,700
	Interior rehab	1460	15,000
IL06-P022-007 Fairgrounds	A & E design	1430	25,000
	Site work	1450	125,000
	Furnaces	1460	0
IL06-P022-008 Scattered Sites	A & E design	1430	14,000
	Site work	1450	40,000
	Exterior rehab	1460	50,000
	Interior rehab	1460	35,000
	Appliances	1465.1	2,000
IL06-P022-009 Olesen Plaza	A & E design	1430	15,000
	Interior rehab	1460	15,000
	Danfoss controls	1460	55,000
	Relocation	1495.1	2,000
IL06-P022-012 Scattered Sites	A & E design	1430	11,000
	Interior rehab	1460	5,000
	Exterior rehab	1460	5,000
	LBP abatement	1460	10,000

IL06-P022-013 Scattered Sites	A & E design	1430	20,000
	Site work	1450	20,000
	Interior rehab	1460	40,000
	Exterior rehab	1460	45,000
IL06-P022-014 Low Rises	A & E exterior design work	1430	15,000
	Interior rehab	1460	160,000
	PTAC replacement	1460	30,000
	Appliances	1465.1	3,000
	Relocation	1495.1	6,000
IL06-P022-016 Scattered Sites	A & E – design	1430	4,000
	Site work	1450	5,000
	Interior rehab	1460	10,000
	Exterior rehab	1460	5,000
IL06-P022-017 Scattered Sites	A & E – design	1430	5,000
	Interior rehab	1460	20,000
	Exterior rehab	1460	10,000
IL06-P022-019 Scattered Sites	A & E – design	1430	5,000
	Interior rehab	1460	10,000
	Exterior rehab	1460	5,000
IL06-P022-020 Scattered Sites	A & E – design	1430	3,000
	Interior rehab	1460	10,000
	Exterior rehab	1460	5,000
IL022 Management Improvements	Mod for Operations	1406	360,918
	Security Analyst	1408	53,200
	Security	1408	160,000
	Service Coordinators @high rises	1408	130,350
	Training Resident / Staff – HTVN	1408	91,286
	Computer Software Upgrades & HAB	1408	55,000
	Resident Screening and Orientation	1408	20,000
	Resident Business Initiatives/Section 3	1408	20,000
	Community Marketing/Vacancy Reductions	1408	20,000
	Upgrade Maintenance Fleet	1408	70,000
	Maintenance Tools and Equipment	1408	37,000
	UPCS Inspections	1408	20,000
	Bar coding upgrade to system	1408	20,000
	Timekeeping System	1408	25,000
	1408 Total	721,836	
	Non-Technical Salaries	1410	360,918
	Computer Hardware	1475	60,000
Contingency	1502	136,958	
Grand Total		\$3,609,180	

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
HA-Wide	09-30-06	09-30-08
IL06-P022-001 Blackhawk Courts	09-30-06	09-30-08
IL06-P022-003 Orton Keyes	09-30-06	09-30-08
IL06-P022-004 Park Terrace	09-30-06	09-30-08
IL06-P022-005 Brewington Oaks & Jane Addams	09-30-06	09-30-08
IL06-P022-006 North Main Manor	09-30-06	09-30-08
IL06-P022-007 Fairgrounds Valley	09-30-06	09-30-08
IL06-P022-008 Scattered Sites	09-30-06	09-30-08
IL06-P022-009 Olesen Plaza	09-30-06	09-30-08
IL06-P022-012 Scattered Sites	09-30-06	09-30-08
IL06-P022-013 Scattered Sites	09-30-06	09-30-08
IL06-P022-014 Low Rises	09-30-06	09-30-08
IL06-P022-016 Scattered Sites	09-30-06	09-30-08
IL06-P022-017 Scattered Sites	09-30-06	09-30-08
IL06-P022-019 Scattered Sites	09-30-06	09-30-08
IL06-P022-020 Scattered Sites	09-30-06	09-30-08
Management Improvements	09-30-06	09-30-08

Table Library
Rockford Housing Authority

Component 7
Capital Fund Program Annual Statement
Parts I, II, III

Rockford Housing Authority IL022

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number IL06R022501-04 FFY of Grant Approval: (10/2004)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465 Dwelling Equipment-Nonexpendable	
12	1470 Non-dwelling Structures	
13	1475 Non-dwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	\$27,198
16	1492 Moving to Work Demonstration	
17	1495 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
Replacement Housing	09-30-06	09-30-08

Capital Fund Program Five-Year Action Plan

Part I: Summary

Development Number/Name/HA-Wide	Work Statement for Year 2 FFY Grant: IL06P022501-05 PHA FY: 2006	Work Statement for Year 3 FFY Grant: IL06P022501-06 PHA FY: 2007	Work Statement for Year 4 FFY Grant: IL06P022501-07 PHA FY: 2008	Work Statement for Year 5 FFY Grant: IL06P022502-08 PHA FY: 2009
PHA Wide	\$1,959,630	\$1,992,630	\$1,850,630	\$1,802,130
IL22-001 Blackhawk	110,000	110,000	120,000	90,000
IL22-003 Orton Keyes	195,000	200,350	425,350	160,350
IL22-004 Park Terrace	477,650	400,600	190,600	175,600
IL22-006 N. Main Manor	115,000	55,000	30,000	69,843
IL22-007 Fairgrounds	115,000	20,000	230,350	255,000
IL22-008 Scattered Sites (21's)	235,000	100,000	150,000	40,000
IL22-009 Olesen Plaza	15,000	45,000	30,000	151,256
IL22-012 Scattered Sites	5,000	5,000	20,000	40,000
IL22-013 Scattered Sites	105,000	180,000	217,000	170,000
IL22-014 Lowrises	165,000	312,000	175,000	30,000
IL22-016 Scattered Sites	15,000	30,000	30,000	15,000
IL22-017 Scattered Sites	30,000	50,000	30,000	30,000
IL22-019 Scattered Sites	15,000	30,000	15,000	20,000
IL22-020 Scattered Sites	5,000	25,000	10,000	10,000
IL22-051 Jane Addams	15,000	15,000	10,000	500,000
IL22-052 Brewington Oakes	31,900	38,600	75,250	50,001
CFP Funds Listed for 5-year planning	\$3,609,180	\$3,609,180	\$3,609,180	\$3,609,180
Replacement Housing Factor Funds	\$27,198	\$27,198	\$27,198	\$27,198

Attachment D
Rockford Housing Authority
Optional Tables for 5-Year Action Plan for Capital Fund (Component 7)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-001	Blackhawk Courts	7 of 196	3.6%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$190,000	FY06
Exterior Rehab			160,000	FY06
Interior Rehab			50,000	FY06
Building Systems			206,000	FY05
Appliances			30,000	FY09
Relocation				
Total estimated cost over next 5 years			\$636,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-003	Orton Keyes	1 of 175	.6%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$10,000	FY06
Exterior Rehab			636,050	FY06
Interior Rehab			160,000	FY06
Building Systems Furnaces			175,000	FY08
Appliances				
Relocation				
Total estimated cost over next 5 years			\$981,050	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-004	Park Terrace	7 of 183	3.8%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$30,000	FY06
Exterior Rehab			0	
Interior Rehab			1,159,850	FY05
Building Systems			25,000	FY07
Building Systems Elevators			200,000	FY05
Appliances			54,600	FY06
Relocation			2,000	FY05
Total estimated cost over next 5 years			\$1,471,450	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-005	Brewington Oaks & Jane Addams	0 of 84 JA 34 of 418 BO	0% JA 8% BO	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$10,000	FY06
Exterior Rehab			270,000	FY05
Interior Rehab			127,651	FY05
Building Systems			11,000	FY05
Building Systems Elevators			200,350	FY05
Appliances			68,100	FY05
Relocation			2,000	FY09
Demolition of Jane Addams 60%			500,000	
Total estimated cost over next 5 years			\$1,189,101	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-006	North Main Manor	1 of 187	.5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$15,000	FY06
Exterior Rehab			10,000	FY06
Interior Rehab			140,000	FY05
Building Systems			80,000	FY06
Appliances			39,843	FY09
Relocation				
Total estimated cost over next 5 years			\$284,843	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-007	Fairgrounds Valley	9 of 210	4.3%
Description of Needed Physical Improvements or Management Improvements			Estimated Cost
			Planned Start Date (HA Fiscal Year)
Site Improvements			\$205,000
Exterior Rehab			100,000
Interior Rehab			50,000
Building Systems Furnaces			240,350
Appliances			0
Relocation			150,000
Demolition (partial development)			
Total estimated cost over next 5 years			\$745,350

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-008	Scattered Sites – Duplexes	5 of 125	4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$150,000	FY05
Exterior Rehab			110,000	FY05
Interior Rehab			240,000	FY05
Building Systems			150,000	FY06
Appliances			2,000	FY05
Relocation				
Total estimated cost over next 5 years			\$652,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-009	Olesen Plaza	2 of 151	1.3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$15,000	FY06
Exterior Rehab			0	
Interior Rehab			160,000	FY05
Building Systems			55,000	FY05
Appliances			81,256	FY07
Relocation			2,000	FY05
Total estimated cost over next 5 years			\$313,256	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-012	Scattered Sites – Rehab	1 of 10	1%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$ 0	
Exterior Rehab			35,000	FY05
Interior Rehab			55,000	FY05
Building Systems				
Appliances				
Relocation				
Total estimated cost over next 5 years			\$90,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-013	Scattered Sites – Rehab	1 of 46	2.2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$100,000	FY05
Exterior Rehab			407,000	FY05
Interior Rehab			230,000	FY05
Building Systems			40,000	FY07
Appliances				
Relocation				
Total estimated cost over next 5 years			\$777,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-014	Low Rises	2 of 100	2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	
			Planned Start Date (HA Fiscal Year)	
Site Improvements			\$17,000	FY07
Exterior Rehab			270,000	FY06
Interior Rehab			480,000	FY05
Building Systems			105,000	FY05
Appliances			3,000	FY05
Relocation			6,000	FY05
Total estimated cost over next 5 years			\$881,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-016	Scattered Sites	0 of 49	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$40,000	FY05
Exterior Rehab			35,000	FY05
Interior Rehab			35,000	FY05
Building Systems				
Appliances				
Relocation				
Total estimated cost over next 5 years			\$110,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-017	Scattered Sites – Rehab	1 of 30	3.3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$20,000	FY07
Exterior Rehab			50,000	FY05
Interior Rehab			100,000	FY05
Building Systems				
Appliances				
Relocation				
Total estimated cost over next 5 years			\$170,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022-019	Scattered Sites	0 of 20	0%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements		\$0	
Exterior Rehab		35,000	FY05
Interior Rehab		60,000	FY05
Building Systems			
Appliances			
Relocation			
Total estimated cost over next 5 years		\$95,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022-020	Scattered Sites	1 of 20	.5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements			\$ 0	
Exterior Rehab			25,000	FY05
Interior Rehab			40,000	FY05
Building Systems				
Appliances				
Relocation				
Total estimated cost over next 5 years			\$65,000	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
IL06-P022	PHA Wide	72 of 2,004	3.6%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
1406's Mod for Operations		\$1,804,590	FY05
1408's Security Analyst		276,640	FY05
Service Coordinators		671,400	FY05
Training Resident/Staff-HTVN		475,530	FY05
Computer Software upgrades		275,000	FY05
Security		800,000	FY05
Upgrade Maintenance Fleet		350,000	FY05
UPCS Inspections		100,000	FY05
Time Keeping System		125,000	FY05
Bar Coding upgrade to system		100,000	FY05
Resident Screening & Orientation		100,000	FY05
Maintenance Tools & Equipment		135,610	FY05
Marketing & Vacancy Reduction		100,000	FY05
Resident Owned Business Assistance/Section 3		100,000	FY05
1410's Non-Technical Salaries 308,918 X 5		1,804,590	FY05
1475 Computer Hardware 60,000 X 5		300,000	FY05
1502 Contingency \$136,958 X 5		684,790	FY05
1430 A & E		1,364,192	FY05
Total estimated cost over next 5 years		\$9,567,342	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal years. Copy this table as many times as necessary.

Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
IL06-P022	PHA Wide	72 of 2,025	3.6%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Management Improvements				
Security Analyst			276,640	FY05
Service Coordinators			671,400	FY05
Training Resident/Staff-HTVN			475,530	FY05
Computer Software upgrades			275,000	FY05
Security			800,000	FY05
Upgrade Maintenance Fleet			350,000	FY05
UPCS Inspections			100,000	FY05
Time Keeping System			125,000	FY05
Bar Coding upgrade to system			100,000	FY05
Resident Screening & Orientation			100,000	FY05
Maintenance Tools & Equipment			135,610	FY05
Marketing & Vacancy Reduction			100,000	FY05
Resident Owned Business Assistance/Section 3			100,000	FY05
Total estimated cost over next 5 years			\$3,609,180	

Attachment E
Rockford Housing Authority
FY 2004
Performance and Evaluation Reports

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations	412,623	412,623	412,623	412,623	
3	1408 Management Improvements	561,319	509,453	509,453	509,453	
4	1410 Administration	409,295	409,296	409,296	409,296	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	316,395	316,395	316,395	316,395	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	166,000	166,000	166,000	120,141	
10	1460 Dwelling Structures	1,825,580	1,919,461	1,919,461	1,973,850	
11	1465.1 Dwelling Equipment—Nonexpendable	45,131	16,553	16,553	16,553	
12	1470 Nondwelling Structures	207,846	196,446	196,446	187,916	
13	1475 Nondwelling Equipment	152,294	161,414	161,414	161,414	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	22,723	11,565	11,565	11,565	
18	1499 Development Activities	7,024	7,024	7,024	7,024	
19	1501 Collectivization or Debt Service	0	0	0	0	
20	1502 Contingency	0	0	0	0	
21	Amount of Annual Grant: (sum of lines 2 – 20)	4,126,230	4,126,230	4,126,097	4,126,230	
22	Amount of line 21 Related to LBP Activities	0	0	0	0	
23	Amount of line 21 Related to Section 504 comp	0	0	0	0	
24	Amount of line 21 Related to Security – Soft	0	0	0	0	
25	Amount of Line 21 Related to Security – Hard	346,292	346,292	346,292	346,292	
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406.060 Mod for Operations	All		412,623	412,623	412,623	412,623	Complete
PHA Wide	1408.015 Management Improvements	All		561,319	509,453	509,453	509,453	Complete
PHA Wide	1410.010 Administration	All		409,295	409,296	409,296	409,296	Complete
PHA Wide	1430.010 Fees & Costs	All		316,395	316,395	316,395	316,395	Complete
PHA Wide	1498 Mod used for Development	All		7,024	7,024	7,024	7,024	Complete
PHA Wide	1475.052 Grounds Maintenance	All		41,582	41,582	41,582	41,582	Complete
PHA Wide	1475.054 Computers	All		78,475	78,475	78,475	78,475	Complete
PHA Wide	1475.057 Vehicles	All		0	9,120	9,120	9,120	Complete
001 Blackhawk	1450.020 Site Improvements – lighting	001		36,000	36,000	36,000	36,000	Complete
001 Blackhawk	1460.080 Exterior Rehab win/doors	001		37,153	2,460	2,460	2,460	Complete
001 Blackhawk	1460.024 Bldg Systems - plumbing	001		15,000	8,819	8,819	8,819	Complete
001 Blackhawk	1470.015 Roofing daycare, gym, maint	001		117,846	118,381	118,381	118,381	Complete
003 Orton Keyes	1450.020 Site Improvements – lighting	003		50,000	15,563	15,563	15,563	Complete
003 Orton Keyes	1450.050 Site Improvements - concrete	003		0	1,484	1,484	1,469	Complete
003 Orton Keyes	1460.060 Exterior Rehab siding, gutter	003		50,000	49,224	49,224	49,224	Complete
003 Orton Keyes	1470.015 Community Buildings	003		5,000	8,697	8,697	8,697	Complete
004 Park Terrace	1460.010 Bldg. Systems HVAC	004		8,000	8,000	8,000	8,000	Complete
004 Park Terrace	1460.018 Interior Rehab	004		266,663	165,432	165,432	165,432	Complete
004 Park Terrace	1460.024 Plumbing	004		80,000	79,268	79,268	79,268	Complete
004 Park Terrace	1495.000 Relocation	004		9,254	2,418	2,418	2,418	Complete
006 North Main	1450.020 Site Improvements – lighting	006		15,000	9,648	9,649	9,649	Complete
006 North Main	1460.080 Exterior Rehab – win/doors	006		20,000	15,598	15,598	15,598	Complete
006 North Main	1470.015 Community Buildings	006		20,000	2,300	2,300	2,300	Complete
006 North Main	1470.035 Building Systems - plumbing	006		50,000	46,344	46,344	46,344	Complete
006 North Main	1475.015 Community Building	006		4,954	4,954	4,954	4,954	Complete

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
007 Fairgrounds	1460.010 Building Systems HVAC	007		59,345	59,345	59,345	59,345	Complete
008 Scattered Sites	1450.050 Site Improvements- sidewalks	008		20,000	20,000	20,000	20,000	Complete
008 Scattered Sites	1450.050 Site Improvements – drainage	008		30,000	30,000	30,000	30,000	Complete
008 Scattered Sites	1460.040 Exterior Rehab - ventilation	008		50,000	50,000	50,000	50,000	Complete
008 Scattered Sites	1460.060 Exterior Rehab– siding, gutter	008		50,000	79,385	79,385	79,384	Complete
008 Scattered Sites	1460.018 Interior Rehab	008		100,000	116,799	116,799	116,799	Complete
009 Olesen Plaza	1470.015 Community Building	009		0	584	584	584	Complete
009 Olesen Plaza	1470.025 Exterior Rehab-waterproofing	009		15,000	5,675	5,675	5,675	Complete
012 Scattered Sites	1460.060 Exterior Rehab–siding, gutter	012		80,000	73,105	73,105	73,105	Complete
013 Scattered Sites	1460.040 Exterior Rehab – roofing	013		13,000	13,000	13,000	13,000	Complete
013 Scattered Sites	1460.060 Exterior Rehab-siding, gutter	013		50,138	86,002	86,002	86,002	Complete
013 Scattered Sites	1460.080 Exterior Rehab-win/doors	013		49,000	6,691	6,691	6,691	Complete
014 Lowrises	1460.010 Building Systems HVAC	014		0	26,570	26,570	26,570	Complete
014 Lowrises	1460.080 Exterior Rehab	014		40,141	79,002	79,002	79,002	Complete
014 Lowrises	1460.018 Interior Rehab	014		395,137	398,481	398,481	398,481	Complete
014 Lowrises	1465.051 Appliances	014		45,131	10,964	10,964	10,964	Complete
014 Lowrises	1475.053 Water Softener	014		18,785	18,785	18,785	18,785	Complete
014 Lowrises	1495.000 Relocation	014		3,087	2,160	2,160	2,160	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
052 Brewington Oakes	1450.050 Site Improvements concrete	052		15,000	15,000	14,999	14,999	Complete
052 Brewington Oakes	1460.010 Building Systems HVAC	052		55,181	55,181	55,181	55,181	Complete
052 Brewington Oakes	1460.018 Interior Rehab	052		352,628	543,487	543,487	543,504	Complete
052 Brewington Oakes	1460.024 Plumbing	052		54,434	56,382	56,382	56,382	Complete
052 Brewington Oakes	1465.051 Appliances	052		0	5,589	5,589	5,589	Complete
052 Brewington Oakes	1475.053 Security Cameras	052		8,498	8,498	8,498	8,498	Complete
052 Brewington Oakes	1495.000 Relocation	052		10,142	6,987	6,987	6,987	Complete
				\$4,126,230	\$4,126,230	\$4,126,230	\$4,126,231	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022501-00 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-001 Blackhawk	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-003 Orton Keyes	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-004 Park Terrace	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-006 N. Main Manor	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-007 Fairgrounds	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-008 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-009 Olesen Plaza	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-012 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-013 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-014 Lowrises	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-016 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-017 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-019 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-020 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-051 Jane Addams	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-052 Brewington Oakes	03/31/02		03/31/02	09/30/03		09/30/03	

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-00			Federal FY of Grant: 2000	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations					
3	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collectivization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)					
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					
27	Replacement Housing Factor Funds	\$28,347	\$28,347	\$28,347	\$0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No IL06R022501-00:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-001 Blackhawk	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-003 Orton Keyes	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-004 Park Terrace	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-006 N. Main Manor	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-007 Fairgrounds	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-008 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-009 Olesen Plaza	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-012 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-013 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-014 Lowrises	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-016 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-017 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-019 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-020 Scattered Sites	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-051 Jane Addams	03/31/02		03/31/02	09/30/03		09/30/03	
IL22-052 Brewington Oakes	03/31/02		03/31/02	09/30/03		09/30/03	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	420,732	841,304	841,304	841,304
3	1408 Management Improvements	570,000	505,147	505,147	487,888
4	1410 Administration	420,732	434,532	434,532	434,532
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	250,000	247,060	247,060	247,060
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	108,953	116,133	116,133	116,133
10	1460 Dwelling Structures	1,492,220	1,121,900	1,121,900	943,138
11	1465.1 Dwelling Equipment—Nonexpendable	34,168	34,168	34,168	34,168
12	1470 Nondwelling Structures	57,153	603,712	603,712	603,712
13	1475 Nondwelling Equipment	804,464	300,031	300,031	293,968
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	21,000	3,334	3,334	3,334
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	27,899	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	4,207,321	4,207,321	4,207,321	4,005,237
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs	280,940	280,940	280,940	280,940
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406.060 Mod for Operations	All		420,732	841,304	841,304	841,304	Complete
PHA Wide	1408 Management Improvements	All		570,000	505,147	505,147	487,888	In Progress
PHA Wide	1410.010 & 1410.019 Administration	All		420,732	434,532	434,532	434,532	Complete
PHA Wide	1430.010 Fees & Costs	All		250,000	247,060	247,060	247,060	Complete
PHA Wide	200-1470.030 Security Camera	All		0	38,185	38,185	38,185	Complete
PHA Wide	200-1470.025 Administration Facilities	All		0	5,721	5,721	5,721	Complete
PHA Wide	1475.052 Grounds and Maintenance Equip	All		30,000	41,433	41,433	41,433	Complete
PHA Wide	1475.053 Community Room furniture	All		79,000	30,208	30,208	30,208	Complete
PHA Wide	1475.054 Computers	All		90,857	90,857	90,857	84,794	In Progress
PHA Wide	1475.057 Vehicles	All		50,000	82,487	82,487	82,487	Complete
PHA Wide	1502 Contingency	All		27,899	0	0	0	Complete
001 Blackhawk	1450.020 Site Improvements – lighting	001		6,552	6,552	6,552	6,552	Complete
001 Blackhawk	1460.018 Interior Rehab	001		95,000	23,329	23,329	23,329	Complete
001 Blackhawk	1460.024 Bldg Systems - plumbing	001		87,847	0	0	0	Complete
001 Blackhawk	1470.015 Roofing daycare, gym, maint	001		662	662	662	662	Complete
001 Blackhawk	1470.020 Building Systems	001		41,491	42,200	42,200	42,200	Complete
003 Orton Keyes	1450.050 Site Improvements – concrete	003		80,000	98,676	98,676	98,676	Complete
003 Orton Keyes	1450.052 Site improvements - lighting	003		1,901	0	0	0	Complete
003 Orton Keyes	1460.010 Building Systems HVAC	003		50,000	0	0	0	Complete
003 Orton Keyes	1460.018 Interior Rehab	003		42,000	46,752	46,752	46,752	Complete
004 Park Terrace	1460.028 Bldg. Systems elevator	004		20,000	0	0	0	Complete
004 Park Terrace	1460.018 Interior Rehab	004		89,000	298,129	298,129	176,324	In Progress
004 Park Terrace	1460.024 Plumbing	004		80,000	61,960	61,960	18,089	In Progress
004 Park Terrace	1495.000 Relocation	004		16,000	3,314	3,314	3,314	Complete

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
006 North Main	1450.020 Site Improvements – lighting	006		500	10,906	10,906	10,906	Complete
006 North Main	1460.018 Interior Rehab	006		65,000	50,064	50,064	50,064	Complete
006 North Main	1460.024 Plumbing	006		20,000	0	0	0	Changed
006 North Main	1470.015 Community Buildings	006		0	334	334	334	Complete
006 North Main	1475.052 Boiler Upgrades	006		349,500	341,500	341,500	341,500	Complete
007 Fairgrounds	1460.080 Exterior Rehab	007		28,000	16,631	16,631	16,630	Complete
007 Fairgrounds	1460.018 Interior Rehab	007		37,000	38,328	38,328	38,328	Complete
007 Fairgrounds	1470.015 Community Rooms	007		15,000	9,219	9,219	9,219	Complete
008 Scattered Sites	1460.060 Exterior Rehab– siding, gutter	008		0	13,249	13,249	557	In Progress
008 Scattered Sites	1460.018 Interior Rehab	008		100,000	52,884	52,884	52,884	Complete
009 Olesen Plaza	1460.018 Interior Rehab	009		123,893	8,144	8,144	8,144	Complete
009 Olesen Plaza	1460.028 Building Systems Elevator	009		20,000	1,774	1,774	1,774	Complete
009 Olesen Plaza	1470.000 Boiler Upgrades	009		205,107	205,107	205,107	205,107	Complete
009 Olesen Plaza	1470.030 Key Card Update	009		0	2,735	2,735	2,735	Complete
009 Olesen Plaza	1475.052 Boiler & HVAC Upgrades	009		0	13,096	13,096	13,096	Complete
012 Scattered Sites	1460.060 Exterior Rehab–siding, gutter	012		4,500	9,270	9,270	9,270	Complete
012 Scattered Sites	1460.018 Interior Rehab	012		1,500	1,068	1,068	1,068	Complete
013 Scattered Sites	1460.040 Exterior Rehab roofing	013		37,000	0	0	0	Complete
013 Scattered Sites	1460.060 Exterior Rehab-siding, gutter	013		65,000	12,302	12,302	12,302	Complete
013 Scattered Sites	1460.080 Exterior Rehab-win/doors	013		65,000	31,097	31,097	31,097	Complete
013 Scattered Sites	1460.018 Interior Rehab	013		36,000	25,709	25,709	25,709	Complete
014 Lowrises	1460.010 Building Systems HVAC	014		20,000	12,663	12,663	12,663	Complete
014 Lowrises	1460.080 Exterior Rehab	014		41,833	41,833	41,833	41,833	Complete
014 Lowrises	1460.018 Interior Rehab	014		42,147	42,444	42,444	42,444	Complete
014 Lowrises	1460.028 Building Systems elevator	014		7,500	7,500	7,500	7,500	Complete
014 Lowrises	1495.000 Relocation	014		5,000	20	20	20	Complete

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022501-01 Replacement Housing Factor No:			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-001 Blackhawk	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-003 Orton Keyes	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-004 Park Terrace	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-006 N. Main Manor	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-007 Fairgrounds	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-008 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-009 Olesen Plaza	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-012 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-013 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-014 Lowrises	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-016 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-017 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-019 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-020 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-051 Jane Addams	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-052 Brewington Oakes	03/31/03		09/30/03	09/30/04		09/30/04	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-01			Federal FY of Grant: 2001	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations					
3	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collectivization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)					
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					
27	Replacement Housing Factor Funds	\$31,537	\$31,537	\$31,537	\$0	

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program No Replacement Housing Factor No: IL06R022501-01			Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-001 Blackhawk	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-003 Orton Keyes	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-004 Park Terrace	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-006 N. Main Manor	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-007 Fairgrounds	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-008 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-009 Olesen Plaza	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-012 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-013 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-014 Lowrises	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-016 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-017 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-019 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-020 Scattered Sites	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-051 Jane Addams	03/31/03		09/30/03	09/30/04		09/30/04	
IL22-052 Brewington Oakes	03/31/03		09/30/03	09/30/04		09/30/04	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

HA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/04
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Total non-CFP Funds				
	1406 Operations	388,000	776,000	776,000	776,000
	1408 Management Improvements	751,000	448,349	448,349	376,500
	1410 Administration	388,000	388,000	388,000	256,321
	1411 Audit	0	0	0	0
	1415 Liquidated Damages	0	0	0	0
	1430 Fees and Costs	100,000	100,000	100,000	65,040
	1440 Site Acquisition	0	0	0	0
	1450 Site Improvement	170,000	167,735	167,735	132,001
0	1460 Dwelling Structures	1,646,766	1,672,198	1,672,198	1,078,885
1	1465.1 Dwelling Equipment—Nonexpendable	237,000	0	0	0
2	1470 Nondwelling Structures	70,000	33,682	33,682	15,217
3	1475 Nondwelling Equipment	114,000	283,944	283,944	260,683
4	1485 Demolition	0	0	0	0
5	1490 Replacement Reserve	0	0	0	0
5	1492 Moving to Work Demonstration	0	0	0	0
7	1495.1 Relocation Costs	21,000	15,858	15,858	1,642
8	1499 Development Activities	0	0	0	0
9	1501 Collectivization or Debt Service	0	0	0	0
0	1502 Contingency	0	0	0	0
1	Amount of Annual Grant: (sum of lines 2 – 20)	3,885,766	3,885,766	3,885,766	2,962,289
2	Amount of line 21 Related to LBP Activities				
3	Amount of line 21 Related to Section 504 compliance				
4	Amount of line 21 Related to Security – Soft Costs				
5	Amount of Line 21 Related to Security – Hard Costs	360,000	360,000	360,000	352,044
5	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406.060 Mod for Operations	All		388,000	776,000	776,000	776,000	Complete
PHA Wide	1408 Management Improvements	All		751,000	448,349	448,349	376,500	In progress
PHA Wide	1410.010 Administration	All		388,000	388,000	388,000	256,321	In progress
PHA Wide	1430.010 Fees & Costs	All		100,000	100,000	100,000	65,040	In progress
PHA Wide	1470.015 Administration Facilities	All		5,000	5,000	5,000	0	Beginning
PHA Wide	1475.052 Maintenance Equipment	All		10,000	10,000	10,000	10,000	Complete
PHA Wide	1475.054 Computers	All		55,000	162,740	162,740	162,740	Complete
PHA Wide	1475.057 Vehicles	All		49,000	82,461	82,461	61,727	In Progress
001 Blackhawk	1450.050 Site Improvements	001		20,000	82,706	82,706	82,706	Complete
001 Blackhawk	1450.051 Site Improvements	001		50,000	0	0	0	Changed
001 Blackhawk	1460.060 Exterior Rehab	001		0	6,800	6,800	6,800	Complete
001 Blackhawk	1460.080 Exterior Rehab	001		15,000	9,027	9,027	9,027	Complete
001 Blackhawk	1460.018 Interior Rehab	001		0	29,028	29,028	29,028	Complete
001 Blackhawk	1465.051 Appliances	001		80,000	0	0	0	Changed
001 Blackhawk	1470.015 Buildings daycare	001		15,000	14,920	14,920	14,162	Complete
003 Orton Keyes	1450.050 Site Improvements	003		0	40,947	40,947	31,745	In progress
003 Orton Keyes	1460.060 Exterior Rehab	003		10,000	255,388	255,388	0	Beginning
003 Orton Keyes	1460.080 Exterior Rehab	003		20,000	1,583	1,583	1,583	Complete
003 Orton Keyes	1460.022 Electrical	003		10,000	0	0	0	Changed
003 Orton Keyes	1470.015 Community Building	003		15,000	80	80	80	Complete
004 Park Terrace	1450.050 Site Improvements	004		10,000	370	370	0	In progress
004 Park Terrace	1460.080 Exterior Rehab	004		10,000	157	157	157	Changed
004 Park Terrace	1460.018 Interior Rehab	004		345,000	77,975	77,975	68,821	In progress
004 Park Terrace	1460.022 Electrical	004		30,000	9,142	9,142	0	Beginning
004 Park Terrace	1460.024 Plumbing	004		80,000	28,112	28,112	20,439	In progress

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
004 Park Terrace	1460.028 Building Systems elevator	004		0	7,540	7,540	0	Beginning
004 Park Terrace	1495.000 Relocation	004		16,000	10,000	10,000	328	In progress
006 North Main	1450.050 Site Improvements	006		5,000	5,000	5,000	0	Beginning
006 North Main	1460.010 Building Systems HVAC	006		75,000	15,500	15,500	15,500	In progress
006 North Main	1460.080 Exterior Rehab	006		40,000	333,375	333,375	333,375	Complete
006 North Main	1460.018 Interior Rehab	006		85,000	8,226	8,226	8,226	In progress
006 North Main	1460.022 Electrical	006		10,000	2,150	2,150	700	In progress
006 North Main	1460.028 Building Systems elevator	006		0	11,533	11,533	0	In progress
006 North Main	1465.051 Appliances	006		20,000	0	0	0	Changed
006 North Main	1470.015 Building Systems	006		0	334	334	0	In progress
006 North Main	1475.052 Boiler & HVAC upgrades	006		0	20,743	20,743	20,743	In progress
007 Fairgrounds	1450.050 Site Improvements	007		0	3,036	3,036	4,347	Complete
007 Fairgrounds	1460.010 Building Systems HVAC	007		0	1,532	1,532	1,532	Complete
007 Fairgrounds	1460.040 Exterior Rehab	007		75,000	0	0	0	Changed
007 Fairgrounds	1460.018 Interior Rehab	007		40,000	564	564	5,109	Complete
007 Fairgrounds	1465.051 Appliances	007		55,000	0	0	0	Changed
007 Fairgrounds	1470.015 Community Rooms	007		35,000	13,348	13,348	975	In progress
008 Scattered Sites	1450.050 Site Improvements concrete	008		0	0	0	0	Changed
008 Scattered Sites	1460.060 Exterior Rehab	008		0	1,259	1,259	1,259	Complete
008 Scattered Sites	1460.080 Exterior Rehab	008		20,000	26,339	26,339	26,339	Complete
008 Scattered Sites	1460.018 Interior Rehab	008		25,000	90,222	90,222	42,105	In progress
008 Scattered Sites	1465.051 Appliances	008		20,000	0	0	0	Changed
009 Olesen Plaza	1450.050 Site Improvements	009		0	2,000	2,000	0	Beginning
009 Olesen Plaza	1460.010 Building Systems HVAC	009		20,000	12,000	12,000	0	Beginning
009 Olesen Plaza	1460.018 Interior Rehab	009		5,000	1,452	1,452	1,452	Complete
009 Olesen Plaza	1460.022 Building Systems	009		10,000	0	0	0	Changed
009 Olesen Plaza	1460.028 Building Systems elevator	009		0	9,136	9,136	0	Beginning

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
009 Olesen Plaza	1475.020 Maintenance Equipment	009		0	8,000	8,000	5,472	In progress
012 Scattered Sites	1450.050 Site Improvements	012		5,000	5,000	5,000	857	In progress
012 Scattered Sites	1460.060 Exterior Walls	012		10,000	6,790	6,790	4,450	In progress
013 Scattered Sites	1450.050 Site Improvements	013		0	5,000	5,000	0	Beginning
013 Scattered Sites	1460.060 Exterior Rehab	013		21,000	43,800	43,800	0	Beginning
013 Scattered Sites	1460.080 Exterior Rehab	013		20,000	0	0	0	Changed
013 Scattered Sites	1460.018 Interior Rehab	013		25,000	27,160	27,160	5,541	In progress
013 Scattered Sites	1465.051 Appliances	013		62,000	0	0	0	Changed
014 Lowrises	1450.050 Site Improvements	014		0	5,429	5,429	429	In progress
014 Lowrises	1460.010 Building Systems HVAC	014		0	25,704	25,704	25,704	Complete
014 Lowrises	1460.060 Exterior Rehab	014		10,000	10,000	10,000	5,982	In progress
014 Lowrises	1460.080 Exterior Rehab	014		20,000	46,495	46,495	46,040	Complete
014 Lowrises	1460.018 Interior Rehab	014		200,000	142,088	142,088	35,264	In progress
016 Scattered Sites	1460.018 Interior Rehab	016		20,000	14,703	14,703	2,934	In progress
017 Scattered Sites	1450.050 Site Improvement	017		20,000	371	371	0	Beginning
017 Scattered Sites	1460.018 Interior Rehab	017		0	63,345	63,345	38,255	In progress
017 Scattered Sites	1460.080 Exterior Rehab	017		0	3,081	3,081	3,081	Complete
017 Scattered Sites	1495.000 Relocation	017		0	858	858	858	Complete
019 Scattered Sites	1450.050 Site Improvements	019		20,000	7,365	7,365	7,365	Complete
019 Scattered Sites	1460.018 Interior Rehab	019		0	9,250	9,250	9,498	Complete
020 Scattered Sites	1450.050 Site Improvements	020		20,000	1,840	1,840	1,840	Complete
020 Scattered Sites	1460.018 Interior Rehab	020		0	24,120	24,120	18,650	In progress
051 Jane Addams	1450.050 Site Improvements	051		0	3,547	3,547	2,712	In progress
051 Jane Addams	1460.022 Building Systems	051		10,000	0	0	0	Changed
052 Brewington Oakes	1450.050 Site Improvements	052		10,000	3,160	3,160	0	Beginning
052 Brewington Oakes	1460.080 Exterior Rehab	052		20,000	766	766	766	Complete
052 Brewington Oakes	1460.018 Interior Rehab	052		292,766	254,442	254,442	252,649	Complete

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program No: IL06P022501-02 Replacement Housing Factor No:			Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-001 Blackhawk	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-003 Orton Keyes	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-004 Park Terrace	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-006 N. Main Manor	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-007 Fairgrounds	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-008 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-009 Olesen Plaza	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-012 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-013 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-014 Lowrises	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-016 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-017 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-019 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-020 Scattered Sites	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-051 Jane Addams	09/30/04		09/30/04	09/30/06		09/30/06	
IL22-052 Brewington Oakes	09/30/04		09/30/04	09/30/06		09/30/06	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-02			Federal FY of Grant: 2002	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations					
3	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collectivization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)					
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security – Soft Costs					
25	Amount of Line 21 Related to Security – Hard Costs					
26	Amount of line 21 Related to Energy Conservation Measures					
27	Replacement Housing Factor Funds	\$29,283	\$29,283	\$29,283	\$0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06R022501-02				Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-001 Blackhawk	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-003 Orton Keyes	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-004 Park Terrace	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-006 N. Main Manor	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-007 Fairgrounds	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-008 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-009 Olesen Plaza	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-012 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-013 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-014 Lowrises	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-016 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-017 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-019 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-020 Scattered Sites	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-051 Jane Addams	09/30/04		09/30/04	09/30/05		09/30/05	
IL22-052 Brewington Oakes	09/30/04		09/30/04	09/30/05		09/30/05	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/04 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	308,429	308,429	308,429	308,429
3	1408 Management Improvements	583,290	616,857	500,824	161,365
4	1410 Administration	308,429	308,428	307,411	29,928
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	111,200	285,100	220,200	38,740
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	125,000	125,000	0	0
10	1460 Dwelling Structures	1,319,135	1,108,174	0	0
11	1465.1 Dwelling Equipment—Nonexpendable	60,400	74,400	0	0
12	1470 Nondwelling Structures	0	0	0	0
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	42,000	42,000	0	0
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	226,405	215,900	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,084,288	3,084,288	1,336,864	538,462
22	Amount of line 21 Related to LBP Activities	0			
23	Amount of line 21 Related to Section 504 compliance	0			
24	Amount of line 21 Related to Security – Soft Costs	0			
25	Amount of Line 21 Related to Security – Hard Costs	280,200	445,035	363,974	139,620
26	Amount of line 21 Related to Energy Conservation Measures	43,600	57,600		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1406.060 Mod for Operations	All		308,429	308,429	308,429	308,429	
PHA Wide	1408 Management Improvements	All		583,290	616,857	500,824	161,366	
PHA Wide	1410.010 Administration	All		308,429	308,428	307,411	29,928	
PHA Wide	1430.010 Fees & Costs	All		111,200	285,100	220,200	38,740	
PHA Wide	1502 Contingency	All		226,405	215,900	0	0	
001 Blackhawk	1450.050 Site Improvements	001		40,000	60,000	0	0	
001 Blackhawk	1460.060 Exterior Rehab	001		50,000	60,000	0	0	
003 Orton Keyes	1450.050 Site Improvements	003		20,000	20,000	0	0	
003 Orton Keyes	1460.018 Interior Rehab	003		185,000	71,830	0	0	
003 Orton Keyes	1460.080 Exterior Rehab	003		0	0	0	0	
004 Park Terrace	1460.018 Interior Rehab	004		154,000	122,000	0	0	
004 Park Terrace	1465.051 Appliances	004		16,800	16,800	0	0	
004 Park Terrace	1495.000 Relocation	004		16,000	16,000	0	0	
006 North Main	1460.018 Interior Rehab	006		55,000	35,000	0	0	
006 North Main	1460.080 Exterior Rehab	006		5,000	5,000	0	0	
007 Fairgrounds	1450.050 Site Improvements	007		10,000	5,000	0	0	
008 Scattered Sites	1450.050 Site Improvements	008		50,000	30,000	0	0	
008 Scattered Sites	1460.018 Interior Rehab	008		70,000	50,000	0	0	
009 Olesen Plaza	1460.018 Interior Rehab	009		75,000	50,000	0	0	
012 Scattered Sites	1460.018 Interior Rehab	012		5,000	5,000	0	0	
012 Scattered Sites	1460.080 Exterior Rehab	012		6,000	6,000	0	0	
013 Scattered Sites	1460.018 Interior Rehab	013		50,000	40,000	0	0	
013 Scattered Sites	1460.080 Exterior Rehab	013		20,000	15,000	0	0	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
014 Lowrises	1460.018 Interior Rehab	014		170,000	250,000	0	0	
014 Lowrises	1460.080 Exterior Rehab	014		45,000	0	0	0	
014 Lowrises	1465.051 Appliances	014		10,000	10,000	0	0	
014 Lowrises	1495.000 Relocation	014		10,000	10,000	0	0	
016 Scattered Sites	1460.018 Interior Rehab	016		30,000	25,000	0	0	
016 Scattered Sites	1460.080 Exterior Rehab	016		20,000	15,000	0	0	
017 Scattered Sites	1460.018 Interior Rehab	017		40,000	20,000	0	0	
017 Scattered Sites	1460.080 Exterior Rehab	017		10,000	5,000	0	0	
019 Scattered Sites	1460.018 Interior Rehab	019		30,000	20,000	0	0	
019 Scattered Sites	1460.080 Exterior Rehab	019		10,000	7,500	0	0	
020 Scattered Sites	1460.018 Interior Rehab	020		30,000	20,000	0	0	
020 Scattered Sites	1460.080 Exterior Rehab	020		15,000	10,000	0	0	
051 Jane Addams	1450.050 Site Improvements	051		5,000	5,000	0	0	
051 Jane Addams	1460.080 Exterior Rehab	051		0	5,000	0	0	
052 Brewington Oakes	1450.050 Site Improvements	052		0	10,000	0	0	
052 Brewington Oakes	1460.018 Interior Rehab	052		239,135	265,844	0	0	
052 Brewington Oakes	1465.051 Appliances	052		33,600	47,600	0	0	
052 Brewington Oakes	1495.000 Relocation	052		16,000	16,000	0	0	
	TOTALS			3,084,288	3,084,288	1,336,864	538,463	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022501-03 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-001 Blackhawk	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-003 Orton Keyes	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-004 Park Terrace	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-006 N. Main Manor	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-007 Fairgrounds	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-008 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-009 Olesen Plaza	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-012 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-013 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-014 Lowrises	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-016 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-017 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-019 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-020 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-051 Jane Addams	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-052 Brewington Oakes	09/30/05		09/30/05	09/30/06		09/30/06	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R022501-03			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/04 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collectivization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				
27	Replacement Housing Factor Funds	\$23,243			\$0

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06R022501-03				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-001 Blackhawk	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-003 Orton Keyes	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-004 Park Terrace	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-006 N. Main Manor	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-007 Fairgrounds	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-008 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-009 Olesen Plaza	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-012 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-013 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-014 Lowrises	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-016 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-017 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-019 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-020 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-051 Jane Addams	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-052 Brewington Oakes	09/30/05		09/30/05	09/30/06		09/30/06	

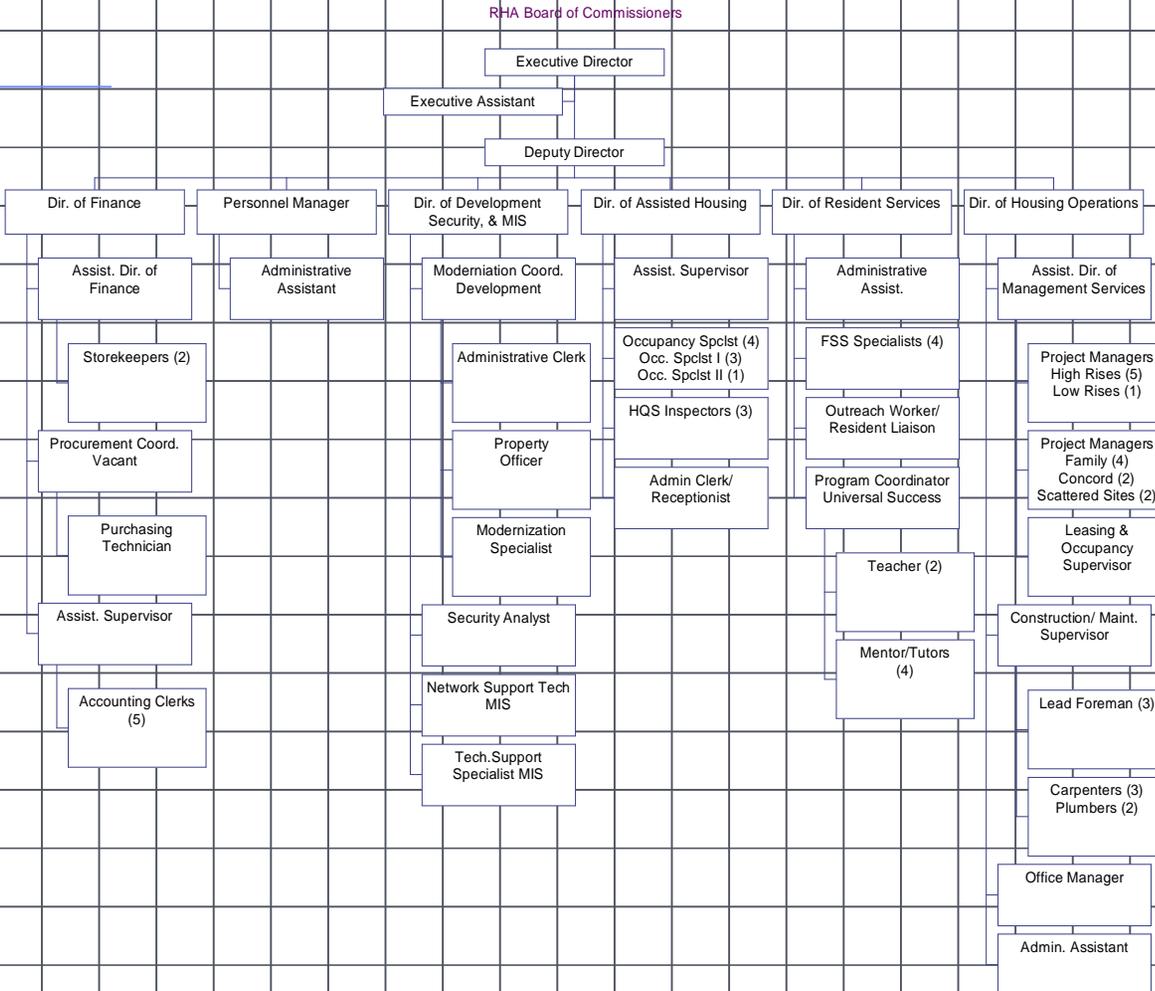
Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P022502-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/04 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	65,634	65,634	65,634	65,634
3	1408 Management Improvements	131,268	131,268	0	0
4	1410 Administration	65,634	65,634	61,482	30,741
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	0	0	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	393,804	393,804	364,981	0
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	0	0	0	0
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	0	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	656,340	656,340	492,097	96,375
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P022502-03 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-001 Blackhawk	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-003 Orton Keyes	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-004 Park Terrace	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-006 N. Main Manor	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-007 Fairgrounds	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-008 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-009 Olesen Plaza	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-012 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-013 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-014 Lowrises	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-016 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-017 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-019 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-020 Scattered Sites	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-051 Jane Addams	09/30/05		09/30/05	09/30/06		09/30/06	
IL22-052 Brewington Oakes	09/30/05		09/30/05	09/30/06		09/30/06	

Attachment F
Rockford Housing Authority
Organizational Charts

RHA 2004 Organization

As of April 2004



Attachment G
Rockford Housing Authority
Optional Public Housing Asset Management Tables

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL22-001 Blackhawk Courts	196	Attachment B	NA	NA	NA	Complete	NA	
IL22-003 Orton Keyes	175	Attachment B	NA	NA	NA	Complete	NA	
IL22-004 Park Terrace	183	Attachment B	NA	NA	NA	Complete	NA	
IL22-051 (5F) Jane Addams	84	Attachment B	NA	10/06	NA	Complete	NA	
IL22-052 Brewington Oakes	418	Attachment B	NA	NA	NA	Complete	NA	
IL22-006 North Main Manor	187	Attachment B	NA	NA	#1 Elderly Only	Complete	NA	
IL22-007 Fairgrounds Valley	210	Attachment B	NA	Planning 10/06	NA	Complete	NA	
IL22-008 Scattered Sites	120	Attachment B	NA	NA	NA	Complete	NA	
IL22-009 Olesen Plaza	151	Attachment B	NA	NA	N/A	Complete	NA	
IL22-012 Scattered Sites Rehab	10	Attachment B	NA	NA	NA	Complete	Near Completion	
IL22-013 Scattered Sites	46	Attachment B	NA	NA	NA	Complete	NA	
IL22-014 Low-Rises	100	Attachment B	NA	NA	NA	NA	NA	
IL22-016 Scattered Sites – New	49	Attachment B	NA	NA	NA	Complete	Near Completion	
IL22-017 Scattered Sites – Rehab	30	Attachment B	NA	NA	NA	Complete	Near Completion	
IL22-019 Scattered Sites – FSS	20	Attachment B	NA	NA	NA	Complete	NA	
IL22-020 Scattered Sites	20	Attachment B	NA	NA	NA	Complete	NA	

Attachment H
Rockford Housing Authority
Resident Advisory Board

Name of the Resident Association	Contact Person	Address, City, & State
Orton Keyes Resident Council	Tauhidah El-Amin	601 Ranger St. Rockford, IL 61109
Orton Keyes Resident Council	Sandra Burnette	2803 Echo St. Rockford, IL 61109
Orton Keyes Resident Council	Tamatha McCoy	2817 Echo St. Rockford, IL 61109
Orton Keyes Resident Council	Tasha Parchman	624 Ranger St. Rockford, IL 61109
Park Terrace Resident Council	Jerry Marshall	1000 Chamberlain St. #1108
Park Terrace Resident Council	Eva Hicks	1000 Chamberlain Apt 1001 Rockford, IL 61107
Park Terrace Resident Council	Cindy Taylor	1000 Chamberlain Apt 607 Rockford, IL 61107
Park Terrace Resident Council	Judy Mc Geary	1000 Chamberlain Apt. 1403, Rockford, IL 61107
Brewington Oaks Resident Council	Ron Jackson	515 Seminary St., Apt 817B, Rockford, IL 61104
Brewington Oaks Resident Council	Roosevelt Box	505 Seminary St. Apt 1010A Rockford, IL 61104
Brewington Oaks Resident Council	Rena Porter	505 Seminary St. Apt 1401A Rockford, IL 61104
Brewington Oaks Resident Council	Judy Batey	505 Seminary St. Apt 205A Rockford, IL 61104
North Main Manor Resident Council	Merleean Thompson	505 N. Main St. Apt 404 Rockford, IL 61103
North Main Manor Resident Council	Angel Ojeda	505 N. Main St. Apt 609 Rockford, IL 61103
North Main Manor Resident Council	Kevin Neal	505 N. Main St. Apt 1209 Rockford, IL 61103
North Main Manor Resident Council	Norm Anderson	505 N. Main St. Apt 408 Rockford, IL 61103
Fairgrounds Resident Council	Bettie Foster	345 Underwood St. Rockford, IL 61103
Fairgrounds Resident Council	Diane Foster	337 Underwood St. Rockford, IL 61103
Fairgrounds Resident Council	Charlene Gregory	319 Underwood St. Rockford, IL 61103
Fairgrounds Resident Council	Felicia Keys	345 Underwood St. Rockford, IL 61103
Blackhawk Resident Council	Demetrius Champion	1516 Sun Ct., Rockford, IL 61104
Blackhawk Resident Council	Sholanda Evans	
Blackhawk Resident Council	Lekesha Beasley	318 – 15 th Ave., Rockford, IL 61104
Blackhawk Resident Council	Keshia Evans	
Scattered Site Resident Council	Mario Blackman	5920 Strathmoor Dr. Rockford, IL 61107
Scattered Site Resident Council	George Poole	320 Kenilworth Dr. Rockford, IL 61107

Scattered Site Resident Council	Sharon Hinkle	1912 Raismore Road 61107
Scattered Sire Resident Council	Margaret Ergle	1320 – 24 th Street, Rockford, IL 61108
Olesen Plaza Resident Council	Johnanna Robertson	511 N. Church St. Apt 1311 Rockford, IL 61103
Olesen Plaza Resident Council	John Simmons	511 N. Church St. Apt 305 Rockford, IL 61103
Olesen Plaza Resident Council	Lois Keierleber	511 N. Church St. Apt 1302 Rockford, IL 61103
Olesen Plaza Resident Council	Alice Jenkins	511 N. Church St. Apt 202 Rockford, IL 61103
Concord Commons Resident Council	Karen Staten	226 Cameron Ave. 2C Rockford, IL 61101
Buckbee Resident Council	Linda Leake	4124 Harrison Ave Apt 111 Rockford, IL 61108
Buckbee Resident Council	Louis Gibson	4124 Harrison Ave Apt 103 Rockford, IL 61108
Buckbee Resident Council	Bob Green	4124 Harrison Ave Apt 201 Rockford, IL 61108
Buckbee Resident Council	Robert Curry	4124 Harrison Ave Apt 214 Rockford, IL 61108
Jane Addams Resident Council	Jewel Veasley	508 Seminary St., Rockford, IL 61104
Jane Addams Resident Council	Pamela Wallace	529 S. Third St., Rockford, IL 61104
Jane Addams Resident Council	Candee Gardner	506 Seminary St., Rockford, IL 61104
Jane Addams Resident Council	Geneva Harvey	525 3 rd St., Rockford, IL 61104
Summit Green Resident Council	Doug Harbors	1514 East State St. Apt Rockford, IL 61108
Summit Green Resident Council	Robert Heaslip	1514 East State St. Apt Rockford, IL 61108
Summit Green Resident Council	Caroline Seabaugh	1514 East State St. Apt 304 Rockford, IL 61108
Midvale Resident Council	Mary Roth	5410 Midvale Drive Apt 103 Rockford, IL 61108
Midvale Resident Council	Chris Ballentyne	5410 Midvale Drive Apt 211 Rockford, IL 61108
Midvale Resident Council	Elaine Ballentyne	5410 Midvale Drive Apt 211 Rockford, IL 61108
Midvale Resident Council		5410 Midvale Drive Apt Rockford, IL 61108

The Resident Advisory Board consists of all Residents on the HOME Board and Resident Councils. These Residents are elected by developments on the third Tuesday of September, every odd year, with the exception of the Low-Rises. They hold their election on the third Tuesday of September, every year.

Attachment I
Rockford Housing Authority
Component 10 (B) Voluntary Conversion Initial Assessments

a. How many of the PHA's developments are subject to the Required Initial Assessments? Eleven
(listed below)

b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? Four (Park Terrace, North Main, Olesen Plaza, Lowrises)

c. How many Assessments were conducted for the PHA's covered developments?
Eleven (listed below)

d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: None

Development Name	Number of Units
Blackhawk Courts IL-022-001	196
Orton Keyes IL-022-003	175
Brewington Oaks IL022-005	418
Fairgrounds Valley IL-022-007	210
Scattered Sites IL-022-008	127
Scattered Sites IL-022-012	10
Scattered Sites IL-022-013	46
Scattered Sites II-022-016	49
Scattered Sites IL-022-017	30
Scattered Sites IL-022-019	20
Scattered Sites IL-022-020	20

Attachment J
Rockford Housing Authority
Community Service Requirement Policy

The Rockford Housing Authority met with employers that could use intermittent workers to find out what their needs are. A list of those agencies wishing to participate was created for resident distribution.

Agency staff contact all of the residents that are required to fulfill this mandate and we have documented those in compliance as well as those not in compliance. Quarterly reminder notices are sent to residents that are not in compliance prior to their lease expiration.

The following is our policy:

1. COMMUNITY SERVICE REQUIREMENT POLICY

INTRODUCTION

Section 512 of the "Quality Housing and Work Responsibility Act" of 1998, entitled "Public Housing Community Service Requirements", imposes a requirement on all adult housing residents, with important exceptions, to participate for a minimum of eight (8) hours per month in community service or in an economic self-sufficiency program. The Rockford Housing Authority may not renew any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who is subject to these requirements and has failed to comply with his or her obligation under this provision.

REQUIREMENTS

All adult public housing household members shall contribute:

- 1. Eight (8) hours per month of community service (not including political activities) within the local community in which the adult resident resides; or*
- 2. Participate in an economic self-sufficiency program approved by the RHA for eight (8) hours per month.*

TYPES OF COMMUNITY SERVICE

Community Service is defined as any volunteer activity designed to improve the quality of life in the City of Rockford, Illinois. Such activities may include, but are not limited to the following.

- 1. Resident Council membership activities;*
- 2. Participation in local community clean up activities;*
- 3. Participation in PTA, church, school activities or other local community service organization or governmental body;*
- 4. Any other local community service activity approved in advance by the Rockford Housing Authority.*

EXEMPTIONS

Exemptions are given to any individual who;

1. *Is sixty two (62) years of age or older; or*
2. *Is a blind or disabled individual, as defined in Section 216 or 1614 of the Social Security Act, and who is unable to comply with this section, or who is a primary caretaker of such an individual; or*
3. *Engaged in work activities as defined in Section 407(d) of the Social Security Act, specified below:*
 - a. *Unsubsidized employment;*
 - b. *Subsidized private-sector employment;*
 - c. *Subsidized public-sector employment;*
 - d. *Work experience (including work associated with the Refurbishing of publicly assisted housing) if sufficient private sector employment is not available;*
 - e. *On-the-job-training;*
 - f. *Job-search and job-readiness assistance;*
 - g. *Community service programs;*
 - h. *Vocational educational training (not to exceed 12 months with respect to any individual);*
 - i. *Job-skills training directly related to employment;*
 - j. *Education directly related to employment in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency;*
 - k. *Satisfactory attendance at secondary school or in a course of study leading to a certificate of general equivalence, in the case of a recipient who has not Complete secondary school or received such a certificate; and*
 - l. *The provision of childcare services to an individual who is participating in a community service program.*

ANNUAL DETERMINATIONS

The Rockford Housing Authority shall review and determine compliance of the resident's household for this requirement thirty (30) days before the expiration of each lease term (annually).

NONCOMPLIANCE

If the Rockford Housing Authority determines that a resident subject to this requirement has not fully complied with the community service requirement, the RHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household. Nothing in the act shall prevent a resident from seeking timely redress in court for a failure to renew based upon such noncompliance.

The RHA shall notify the head of household:

1. *Of the noncompliance;*
2. *That the determination of noncompliance is subject to the RHA Grievance Procedure; and*
3. *That unless the resident enters into an agreement to remedy the noncompliance, the resident's lease will not be renewed.*

REMEDY OF THE NONCOMPLIANCE

In order for the resident to remedy the noncompliance the resident must enter into an agreement before the expiration of the lease term, to complete the community services requirement by contributing as many additional hours as the resident needs in order to comply in aggregate with such requirement over the twelve (12) month term of the lease agreement.

This requirement applies exclusively to the Rockford Housing Authority Low Income Public Housing Program.

Attachment K
Rockford Housing Authority
Section 3 Reports

Section 3 Summary Report
Economic Opportunities for
Low- and Very Low-Income Persons

**U.S. Department of
Housing
And Urban Development**
Office of Fair Housing
And Equal Opportunity

OMB Approval No. 2529-0043
(exp. 4/30/2001)

HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102	2. Federal Identification: (contract/award no.) IL06P022501-100, 101, 102, 103, 203	3. Dollar Amount of Award: 4. Contact Person: Susan Hazley
	4. Contact Person: Susan Hazley	5. Phone: (include area code) 815-972-4861
	6. Reporting Period: 7-1-2003 thru 6-30-2004	7. Date Report Submitted: July 15, 2004

8. Program Code: *	C	(Use a separate sheet for each program code)	9. Program Name: Comprehensive Grant Program
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Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	1	0	0		0
Technicians	0	0	0		0
Office/Clerical	0	0	0		0
Construction by Trade (List Trade)	0	0	0		0
Trade – Carpenter	0	0	0		0
Trade – Plumber	0	0	0		0
Trade – Painter	0	0	0		0
Trade	0	0	0		0
Other (List)					
Pre-Apprenticeship Building Maintenance Repairer	0	0	0		0
Pre-Employment Training	28	28	100%		28
Total	29	28	100%		28

*Program Codes 1 = Flexible Subsidy 2 = Section 202/811	3 = Public/Indian Housing A = Development B = Operation C = Modernization	4 = Homeless Assistance 5 = HOME 6 = HOME-State Administered 7 = CDBG-Entitlement	8 = CDBG-State Administered 9 = Other CD Programs 10 = Other Housing Programs
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Part II: Contracts Awarded

1. Construction Contracts: %	
A. Total dollar amount of all contracts awarded on the project	\$1,635,005
B. Total dollar amount of contracts awarded to Section 3 businesses	\$0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving contracts	0
2. Non-Construction Contracts:	
A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$582,150
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or non metropolitan county) in which the Section 3 covered program or project is located, or similar methods.

Participated in a HUD program or other program, which promotes the training or employment of, Section 3 residents.

Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

Coordinated with YouthBuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

Other; describe below.

Contracted an employment training and job placement program for the RHA Residents through the Goodwill Abilities Center.

There was a total of 85 Residents Referred for Job Training
 11 White 74 Black 1Hispanic
 Of these 85 – 29 enrolled in the training program.
 1 White 29 Black
 Of these 29 – 20 graduated from the training program.
 1 White 19 Black
 Of these 20 – 19 are working and have held a job in the community for over 30 days.
 0 White 19 Black

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Section 3 Summary Report
Economic Opportunities for
Low- and Very Low-Income Persons

**U.S. Department of Housing
and Urban Development**

OMB Approval No. 2529-0043 (exp.
4/30/2001)

Office of Fair Housing
and Equal Opportunity

HUD Field Office:

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Rockford Housing Authority 223 S. Winnebago St. Rockford, Ill 61102		2. Federal Identification: (contract/award no.) Operating	3. Dollar Amount of Award:
		4. Contact Person: Susan Hazley	5. Phone: (include area code) 815-972-4861
		6. Reporting Period: 7-1-2003 thru 6-30-2004	7. Date Report Submitted: 07/15/04
8. Program Code: *	B	(Use a separate sheet for each program code)	9. Program Name: Rockford Housing Authority

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	2	0	0	0	0
Technicians	1	0	0	0	0
Office/Clerical	2	0	0	0	0
Construction by Trade (List Trade)					
Trade - PAINTER	0	0	0	0	0
Trade - CARPENTER	0	0	0	0	0
Trade					
Trade					
Other (List)					
Professional Engineer	1	1	100%	100%	1
Seasonal	7	7	100%	100%	7
Total	13	8	62%	62%	8

***Program Codes**
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME-State Administered
7 = CDBG-Entitlement

8 = CDBG-State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts: %

A. Total dollar amount of all contracts awarded on the project	\$1,100,000
B. Total dollar amount of contracts awarded to Section 3 businesses	\$1,100,000
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	100%
D. Total number of Section 3 businesses receiving contracts	1

2. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$2,262,996
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$187,200
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	8%
D. Total number of Section 3 businesses receiving non-construction contracts	4

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or non metropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with YouthBuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

Other; describe below.

Seasonal help, on the job training for 7 residents.
Temporary help, 1 engineer who is a resident.

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Attachment L
Rockford Housing Authority
Progress Report

The Rockford Housing Authority has made significant progress on our five-year and annual plans. Most of the computer hardware has been replaced in the offices and a new software program, called HAB, has been installed. We have made the transition.

With capital funds, we are able to maintain additional security in our developments. We are also able to supply outreach workers in five of the elderly/disabled high-rise buildings and our three disabled low-rise complexes through capital fund assistance.

Work on the risers at Brewington Oakes, building A is nearing completion. The apartments in the Park Terrace development have had substantial interior rehab and plumbing repairs. North Main Manor windows are nearly complete.

A number of scattered site properties primarily the 13's have had substantial rehab inside and out for mold remediation.

Jobs planned for the upcoming year include the replacement of entrance doors at Orton Keyes, replacement of water lines at Park Terrace, continued work on the risers at Brewington Oakes, replacement of gutters and downspouts in our scattered sites, replacement of cabinets in Olesen Plaza and the replacement of flooring in the low-rises. The replacement of all furnaces in Blackhawk, Orton Keyes, and Fairgrounds Valley family housing developments.

Rockford Housing Authority will continue to provide clean, safe and affordable environments for our residents in the future.