

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2003

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Appleton Housing Authority

PHA Number: WI065

PHA Fiscal Year Beginning: January 1, 2003

PHA Plan Contact Information:

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Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

Annual PHA Plan Fiscal Year 2003

[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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<input checked="" type="checkbox"/> Other	

The Appleton Housing Authority held two public comment hearings for the development of the PHA Plan. These comments and a list of attendees are included as attachments to this PHA Plan.

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

The Appleton Housing Authority has prepared the Annual Plan for the year 2003 in compliance with Section 511 of the Housing and Quality Work Responsibility Act of 1998 and the ensuing HUD regulation changes if any from that Act. The following policies as adopted by the Appleton Housing Authority Board of Commissioners were previously submitted by the Authority under the Five Year Plan documents:

**Housing Choice Voucher Administrative Plan
Housing Choice Voucher Informal Review & Hearing Procedures
Public Housing Admissions and Continued Occupancy Policies
Public Housing Grievance Procedures
Public Housing Preventative Maintenance Plan
Public Housing Pet Policy
Appleton Housing Authority Employee & Commissioner Code of Ethics
Investment Policy**

Also included through hardcopy by mail are the following certifications:

**Board Resolution Re: PHA Plan approval & compliance
Last Annual Audit of 2001
Drug-free certification-50071
Disclosure of Lobbying Activities Standard Form LLL**

Moving Forward-2003-Annual Plan Information

The 2003 PHA Plan outlines policies and procedures to be implemented by the Appleton Housing Authority in order to strive to meet the needs of the local community and the residents we serve. It is also to meet the requirements of the federal regulations that govern over the activities and programs of the PHA.

Overall, the Plan demonstrates that the Appleton Housing Authority will continue its effort to improve its Public Housing operations management and to maintain its facilities in a quality condition. The Housing Authority will also stay focused on identifying and seeking additional resources for affordable housing for the lower income population of the City of Appleton.

The Appleton Housing Authority now has 828 housing units in its inventory with the 2002 acquisition of a private Section 8 Substantial Rehab Project (formerly called the Conway Building). This 70 unit project is designed for low income seniors.

This year, we received permission from HUD to purchase a new facility for the AHA administrative and Section 8 offices due to a lack of space and overcrowding.

We are now moving forward with our plans to rehabilitate the recent acquisition of this facility with a tentative move-in date of December 16, 2002. This new facility will allow the AHA to offer more comprehensive services to the clients we serve by developing additional partnerships and bringing these services in-house.

Towards the end of 2002, applicants from our community will be able to apply for rental assistance from locations other than the AHA main administrative offices. The AHA has collaborated with the Salvation Army, LEAVEN, Emergency Shelter, Housing Partnership, and Harbor House in training their staff on how to complete an application for rental assistance at their respective site with their client and then forward the application on to the AHA.

Rental assistance applications will also remain available at all three housing authority locations... the main administrative office, Oneida Heights and Washington Place. This significant change has streamlined the application process for our clients.

Other major changes include the hiring of an on-site caretaker for Oneida Heights in order to enhance security of the building and peace of mind of the residents. The Executive Director worked with the Resident Council on this concern.

Moving forward towards 2003-the Housing Authority is requesting the State of Wisconsin to approve a HOME application to extend our Homeownership Program County-wide as we have recently secured a cooperation agreement with the Outagamie County and Kaukauna Housing Authorities.

Our 2003 Annual Plan and our Five-Year Plan outlines what we aim to accomplish. Under each goal fall action objectives for the Authority that are achievable, clear, concise, consistent and measurable. These goals are in addition to the goals outlined in our Five Year Plan.

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

The Appleton Housing Authority has added the following goals and objectives to this Annual Plan for 2003:

Action Objective # 1

Improve public housing management by improving upon our Admissions and Occupancy Policies to meet the recently released Public Housing Guidebook. The Housing Authority will present the revised ACAP to the Board of Commissioners for adoption and will forward a copy to HUD. Enforce the A & O consistently as well as other PH Policies & Procedures.

Action Objective # 2

Improve the administration of the Authority. The AHA will develop and perform an agency-wide self assessment to improve it's overall agency effectiveness and responsibilities. This assessment will include all programs administered by the AHA, clients, and others.

Action Objective # 3

The AHA will increase the Rental Voucher FMR by 110% to increase and create assisted housing choice and opportunity for one and two bedroom families seeking housing. The current FMR is not reflective of local market conditions for the one and two bedroom units.

Action Objective # 4

The Appleton Housing Authority will enter into a cooperative agreement with

the Outagamie County Housing Authority to administer the Rental Voucher Program on a county-wide basis. Currently, the AHA only has jurisdiction within the City of Appleton. This agreement will increase and expand the availability of housing choice and opportunity that did not exist before.

Action Objective 5

The AHA will conduct outreach to new landlords on a county-wide basis by attending and speaking at the Fox Cities Landlord Association meetings, conducting landlord training programs, marketing a landlord handbook for rental voucher information and having information available to landlords on the AHA website.

Action Objective # 6

The Appleton Housing Authority will create a rental voucher portability brochure that explains the portability process and options.

Action Objective # 7

The AHA will encourage greater resident involvement and improve resident relations via the Resident Council. The AHA will continue to assist the resident council in strengthening their organization and help them review and update their mission statement. The AHA will strive to open up communication lines between the AHA and its residents, and provide a greater sense of accountability for both resident and AHA's actions.

Action Objective # 8

The AHA will continue the Service Coordinator Wellness Program using on-site Public Health Nursing services in order to keep the residents living independently and longer. The AHA will undertake an initial health assessment of individuals applying for senior independent living.

Action Objective # 9

The Appleton Housing Authority is committed to providing a safe living environment and will seek out and identify areas that need security improvements in order to provide a safe and secure environment. The AHA

will enhance the current security camera system by installing additional cameras.

Action Objective # 10

The AHA will hire an on-site caretaker for Oneida Heights and will no longer use resident key holders to handle lock-outs or other resident concerns after regular office hours.

Action Objective # 11

The Appleton Housing Authority will seek partnerships to create opportunities for families who strive to meet self-sufficiency goals under the public housing FSS program.

Action Objective # 12

The Appleton Housing Authority will apply under the 2003 NOFA for 100 additional rental vouchers. The AHA did not qualify to apply in 2000 and 2001, and therefore was not able to compete for additional vouchers. With the current waiting list over 500 families, and the agreement with OCHA to administer on a county-wide basis, the Authority realizes the strong need to obtain additional vouchers.

Authority's utilization rate of its current inventory of vouchers meets/exceeds the eligibility guide to apply.

Action Objective # 13

The Appleton Housing Authority will work with local government and other agencies in the discussion, development and creation of affordable housing solutions. The AHA commissioned a comprehensive affordable housing needs analysis, which is appropriately called; Blueprint to Affordable Housing. This study has identified several major recommendations in providing affordable housing opportunities in the City of Appleton.

Currently, the AHA enlisted community leaders in rating the top three goals from the study and created three sub-committees to move forward in the

following three areas:

- A. Development of Special Needs Housing.**
- B. Public/Private Role in the Development of Affordable Housing.**
- C. Housing the Hard to House.**

Action Objective # 14

The AHA will develop a relationship with other agencies/organizations that directly assist & serve disabled families or individuals that need affordable housing. The AHA will apply for special set aside vouchers to assist the special needs population. The AHA will research and apply for section 811 housing for the special needs population.

Action Objective # 15

The AHA will apply for HUD designation of a Certified Counseling Agency. The AHA offers an extensive counseling program currently for its homebuyers program and is recognized by Fannie May as a homebuyer counseling agency for lenders in the area.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year?

\$ 300,000 Estimated

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment Yes

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment Yes

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

- A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

The Appleton Housing Authority has successfully implemented the DOORS Program since 1993. DOORS is a first time home owner’s program for low income families. The program uses HOME dollars from the State of Wisconsin, CDBG funds from the City of Appleton and a Federal Home Loan grant in providing down payment assistance and rehabilitation of the property.

The Authority offers extensive homeownership counseling and educational classroom training, coupled with financial assistance for downpayment and rehabilitation of properties.

The Authority has created a Lender Consortium of local participating lenders who have agreed to minimize closing costs, waive PMI charges, and consider liberal debt and loan-to-value ratios. More than 300 applicants have become home owners through the Authority’s DOORS Program.

While some of those clients have come from the Section 8 Housing

Choice Voucher program and the Public Housing Family units, we have used no section 8 funds in assisting these families with homeownership except for the FSS escrow accounts of the families towards downpayment of a home. The AHA has no immediate plans to use rental vouchers for homeownership.

The Appleton Housing Authority has entered into an agreement with The Outagamie County Housing Authority and the Kaukauna Housing Authority to operate our homeownership program within their jurisdiction (regional administration) beginning 2003.

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____
- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. Yes No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are Attached at Attachment (File name) Resident Comments A
3. In what manner did the PHA address those comments? (select all that apply)
- The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included

- Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment ____.
- Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment ____.
- Other: (list below)

The Appleton Housing Authority made changes based upon the security concerns of the residents at Oneida Heights. The AHA will enhance the security cameras at OH and will hire an on-site caretaker for the 153 unit high rise.

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Appleton
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
 - Other: (list below)
3. PHA Requests for support from the Consolidated Plan Agency
 - Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

A) The Appleton Housing Authority, through a competitive process under the City of Appleton's CBDG program, receives funds to support a first time homeownership program for the lower income population of the community. This funding is critical for the program. For 2003, the AHA will receive \$25,000 for the homebuyers program.

B) The Appleton Housing Authority receives funds from the State of Wisconsin for its operation of a homeownership program. Again, these funds are critical to continue the program.

C) The Appleton Housing Authority utilizes funds from the State of Wisconsin to operate a rental assistance program under HCRI funding through collaborative efforts with other housing shelters in the community.

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

1. Agreement with other PHA's to regionally expand our service area for the Housing Choice Voucher Program & the Home Buyer's Program.

B. Significant Amendment or Modification to the Annual Plan:

- 1. Additional goal & objectives as outlined in the 2003 Plan.**
- 2. Proposed revision of the Housing Choice Administrative Plan.**
- 3. Proposed revision of the Admissions & Continued Occupancy Policies.**
- 4. The release of the "Blue Print to Affordable Housing" study that identifies affordable housing gaps and priorities for the Fox Cities area.**

Attachment A
Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
N/a	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any required policies governing any Section 8 special housing types <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/a	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/a	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
N/a	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/a	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/a	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/a	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/a	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
N/a	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/a	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
N/a	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/a	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program: WI39-PO65-501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$60,000			
3	1408 Management Improvements	\$4,000			
4	1410 Administration	0			
5	1411 Audit	\$2,000			
6	1415 liquidated Damages	0			
7	1430 Fees and Costs	\$8,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$101,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	\$120,000			
13	1475 Nondwelling Equipment	\$5,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2-19)	\$300,000			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary				
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program: WI39-PO65-501-03 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:				
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost
24	Amount of line 20 Related to Energy Conservation Measures			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Appleton Housing Authority			Grant Type and Number Capital Fund Program #: WI39-PO65-501-03 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: 2003-proposed		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-wide	Operations	1406		\$60,000				
HA-wide	Management Improvement	1408		\$4,000				
HA-wide	Audit Costs	1411		\$2,000				
HA-wide	A & E Fees	1430		\$8,000				
003-01	Elevator Update	1470		\$100,00				
003-02	Expand Wellness Program Dept.	1470		\$20,000				
003-03	Replace OH Kitchen Light Fixtures	1460		\$23,000				
003-04	Paint 70 units at OH	1460		\$35,000				
003-05	Carpet 48 units	1460		\$48,000				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program #: WI30-PO65-501-03 Capital Fund Program Replacement Housing Factor #:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quart Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-wide	12-31-03			3-30-04			
HA-wide	12-31-03			12-31-03			
HA-wide	12-31-03			12-31-03			
HA-wide	12-31-03			12-31-03			
003-01	6-30-04			9-30-04			
003-02	12-31-03			12-31-03			
003-03	6-30-04			9-30-04			
003-04	6-30-04			9-30-04			
003-05	6-30-04			9-30-04			

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
WI39-PO65	Oneida Heights	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Installation of 70 Air Conditioning Sleeves for Oneida Heights	\$356,000	2006
Renovation/replacement of two elevators for Oneida Heights	\$250,000	2005
Expansion of Service Coordinator/Nurse Advocate Space Utilization	\$ 25,000	2004
Replacement of kitchen light fixtures Oneida Heights units	\$17,480	2003
Replacement of SunRoom Furniture	\$33,000	2003
Carpet/replace flooring 152 Units	\$252,000	2004
Total estimated cost over next 5 years	\$1,000,480	

PHA Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Section 1: General Information/History

A. Amount of PHDEP Grant \$ _____

B. Eligibility type (Indicate with an "x") **N1**_____ **N2**_____ **R**_____

C. FFY in which funding is requested _____

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

12 Months_____ **18 Months**_____ **24 Months**_____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 1995						
FY 1996						
FY 1997						
FY1998						
FY 1999						

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FFY _____ PHDEP Budget Summary	
Original statement	
Revised statement dated:	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	
9115 - Special Initiative	
9116 - Gun Buyback TA Match	
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement	Total PHDEP Funding: \$
Goal(s)	
Objectives	

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDE P Funding	Other Funding (Amount/ Source)	Performance Indicators
1.							
2.							
3.							

9115 - Special Initiative					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/ Source)	Performance Indicators
1.							
2.							
3.							

9116 - Gun Buyback TA Match					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9120 - Security Personnel					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9130 – Employment of Investigators					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9140 – Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators

1.							
2.							
3.							

9170 - Drug Intervention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Person s Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

Required Attachment ____: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: Ray Barlow

B. How was the resident board member selected: (select one)?

- Elected
- Appointed

C. The term of appointment is (include the date term expires): 01/01/02-12/31/07

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

B. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Mayor Timothy Hanna, City of Appleton, Wisconsin

Required Attachment __A____: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Resident Advisory Members:

Lois LaChapelle-President
Dorothy Hartford-Secretary
Vera Tesnow-Treasurer
Harold Page-Vice-President
Lorraine Clifton-member
Larry Baudhuin-member
Theresa Senecal-member
Beryl LeFever-member
Karen Rider-member

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-PO6550100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 02/28/03 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$315,088	\$156,000	\$156,000	\$156,000
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit	\$1,000	\$1,000	\$1,000	\$1,000
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$6,000	\$5,824	\$5,824	\$5,824
8	1440 Site Acquisition				
9	1450 Site Improvement	\$34,088	\$43,031	\$40,390	\$40,390
10	1460 Dwelling Structures	\$34,500	\$42,978	\$41,337	\$25,970
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$83,500	\$70,955	\$70,955	\$70,955
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Appleton Housing Authority	Grant Type and Number Capital Fund Program Grant No: WI39-PO6550100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no: 2)
 Performance and Evaluation Report for Period Ending: **02/28/03**
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$315,088	\$315,088	\$315,506	\$300,139
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures		\$1,420	\$1,420	\$1,420

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39- PO6550100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Total Estimated Cost		Total Actual Cost		Status of Work	
			Original	Revised	Funds Obligated	Funds Expended		
HA-Wide	Audit	1411	\$1,000		\$1,000	\$1,000	Complete	
HA-Wide	Check Signer	1475	\$5,000		\$3,681	\$3,681	Complete	
100-50	Replace Cement Drive OH	1450	\$28,088		\$28,088	\$28,088	Complete	
100-51	Install Water Faucet	1450	\$3,500	\$4,373	\$4,373	\$4,373	Complete	
100-52	5th St. Cement Drive	1450	\$2,500	\$4,359	\$4,359	\$4,359	Complete	
100-60	Repair Roof Maintenance	1450	\$3,000	\$3,570	\$3,570	\$3,570	Complete	
100-61	Replace Entry Doors OH	1460	\$3,000	\$3,000	\$2,641	\$2,641	Complete	
100-62	Install 2 Furnaces	1460	\$3,500	\$2,670	\$2,670	\$2,670	Complete	
100-63	Replace Kitchen Cabinets	1460	\$8,000		\$11,867	-0-	In Progress	
100-64	Replace Garage Door	1460	\$2,000		\$530	\$530	Complete	
100-65	Replace Flooring	1460	\$4,000		\$2,500	-0-	In Process	
100-66	Install Energy Lights OH	1460		\$1,420	\$1,420	\$1,420	Complete	
100-75	Commercial Washer & Dryers	1475	\$4,000	\$4,640	\$4,640	\$4,640	Complete	
100-76	Plow Truck/Van	1475	\$45,000		\$45,768	\$45,768	Complete	
100-77	PH Copier & Monitors	1475	\$12,000		\$16,866	\$16,866	Complete	
100-67	Install Carpet	1460		\$1,350	\$1,350	\$1,350	Complete	
HA-Wide	Consultant	1430	\$6,000		\$5,824	\$5,824	In Progress	
HA-Wide	Replace Sun-room Furniture	1460	\$22,500		\$18,359	\$17,359	In Progress	
HA-Wide	Operations	1406	\$156,000		\$156,000	\$156,000	Complete	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program No: WI39-PO6550100 Replacement Housing Factor No:					Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
PHA Operations	3/30/02	6/30/02	6/30/02			6/30/02		
Audit	12/31/02	9/30/02	9/30/02			9/30/02		
HA-Wide	9/30/02	9/30/02	9/30/02					
100-50	6/30/02	9/30/02	9/30/02					
100-51	6/30/02	9/30/02	8/30/02			8/30/02		
100-52	6/30/02	9/30/02	9/30/02			10/30/02		
100-60	9/30/02	9/30/02	7/30/02			8/30/02		
100-61	6/30/02	9/30/02	6/30/02			7/30/02		
100-62	6/30/02	9/30/02	7/30/02			8/30/02		
100-63	9/30/02	9/30/02	9/30/02					
100-64	6/30/02	9/30/02						
100-65	8/30/02	9/30/02						
100-66	9/30/02	9/30/02	6/30/02			6/30/02		
100-75	9/30/02	9/30/02	7/30/02			7/30/02		
100-76	8/30/02	9/30/02	5/30/02			5/30/02		
100-77	9/30/02	9/30/02	9/30/02			12/31/02		
HA-Wide	9/30/02	9/30/02	9/30/02			12/31/02		

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-PO6550100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)						
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	\$315,088	\$156,000	\$156,000		
3	1408 Management Improvements					
4	1410 Administration					
5	1411 Audit		\$1,000			
6	1415 Liquidated Damages					
7	1430 Fees and Costs		\$6,000			
8	1440 Site Acquisition					
9	1450 Site Improvement		\$34,088			
10	1460 Dwelling Structures		\$34,500			
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment		\$83,500			
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					
19	1501 Collaterization or Debt Service					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Appleton Housing Authority	Grant Type and Number Capital Fund Program Grant No: WI39-PO6550100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$315,088	\$315,088		
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance		\$6,000		
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-PO6550100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-wide	Operations	1406		\$315,088	\$156,000			
HA-wide	Audit	1411			\$1,000			
HA-wide	Check Signer	1475			\$5,000			
100-50	Replace Cement Dr.	1450			\$28,088			
100-51	Install Water Faucet	1450			\$3,500			
100-52	5 th St. Cement Drive	1450			\$2,500			
100-60	Repair Main. Roof	1460			\$3,000			
100-61	Replace Entry Doors	1460			\$3,000			
100-62	Install 2 furnaces	1460			\$3,500			
100-63	Replace kitchen cabinets	1460			\$8,000			
100-64	Replace garage door	1460			\$2,000			
100-65	Replace flooring	1460			\$4,000			
100-66	ADA shower	1460			\$6,000			
100-75	Commercial Washer/Dryers	1475			\$4,000			
100-76	Plow Truck/Van	1475			\$45,000			
100-77	PH Copier	1475			\$12,000			
HA-wide	Consultant-Professional	1430			\$6,000			
HA-wide	Replace sun-room furniture	1475			\$22,500			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program No: WI39-PO6550100 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Operations		3-30-02			3-30-02		
Audit		12-31-02			3-30-02		
HA-wide		9-30-02			12-31-02		
100-50		6-30-02			12-31-02		
100-51		6-30-02			12-31-02		
100-52		6-30-02			12-31-02		
100-60		9-30-02			12-31-02		
100-61		6-30-02			12-31-02		
100-62		6-30-02			12-31-02		
100-63		9-30-02			12-31-02		
100-64		6-30-02			12-31-02		
100-65		8-30-02			12-31-02		
100-66		9-30-02			12-31-02		
100-75		9-30-02			12-31-02		
100-76		8-30-02			12-31-02		
100-77		9-30-02			12-31-02		
HA-wide		9-30-02			12-31-02		

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : ____ FFY Grant: PHA FY:			Activities for Year: ____ FFY Grant: PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See						
Annual						
Statement						
Total CFP Estimated Cost			\$			\$

Capital Fund Program Five-Year Action Plan

Part I: Summary

S A M P L E

PHA Name <i>Anytown Housing Authority</i>						<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005	
	Annual Statement					
<i>10-01/Main Street</i>		<i>\$80,000</i>	<i>\$36,000</i>	<i>\$65,000</i>	<i>\$55,000</i>	
<i>10-02/Broadway</i>		<i>\$90,000</i>	<i>\$40,900</i>	<i>\$40,000</i>	<i>\$43,000</i>	
<i>HA-wide</i>		<i>\$100,000</i>	<i>\$50,000</i>	<i>\$35,000</i>	<i>\$27,000</i>	
CFP Funds Listed for 5-year planning		<i>\$270,000</i>	<i>\$162,900</i>	<i>\$140,000</i>	<i>125,000</i>	
Replacement Housing Factor Funds		<i>\$40,000</i>				

Annual Statement/Performance and Evaluation Report				
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary				
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program: WI39-PO65-501-02 Replacement Housing Factor Grant No:		Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1)				
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending February 28, 2002 <input type="checkbox"/> Final Performance and Evaluation Report				
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost
24	Amount of line 20 Related to Energy Conservation Measures			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program #: WI39-PO65-501-02 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-wide	Operations	1408		\$10,000	\$60,000	\$15,000	\$15,000	
HA-wide	Tools, Maintenance Office Equip.	1475		\$5,000	\$17,831	\$7,421.74	\$7,421.74	
HA-wide	Audit Costs	1411		\$2,000	\$2,000	\$1,000		
HA-wide	A & E Fees	1430		\$23,000	\$8,000			
002-50	Landscape project w/ electrical	1450		\$40,000	\$40,000	\$2,953.51	\$2,953.51	
002-51	Parking Lot, Curb, Blacktop	1450		\$35,000	\$35,000			
002-52	OH Sidewalk rear to front	1450		\$6,000	0			
002-60	Model Unit	1460		\$6,000	\$6,000			
002-53	Construct Exterior Patio OH	1450		\$30,000	0			
002-54	Sidewalk repairs scattered sites	1450		\$3,000	0			
002-61	Painting 70 units OH	1460		\$35,000	\$35,000			
002-62	Com. Room Project OH	1460		\$30,000	\$20,000			
002-63	Construct garage scattered site	1460		\$10,000	\$10,000			
002-64	Install 152 bathroom vanities	1460		\$50,000	\$50,000			
002-65	Replace carpet OH Halls	1460		\$20,000	\$6,000			
002-66	OH signage	1450		\$3,000	\$3,000			
002-67	Update fire alarm	1460		\$12,000	\$12,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program #: WI30-PO65-501-02 Capital Fund Program Replacement Housing Factor #:				Federal FY of Grant: 2002-Proposed	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quart Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-wide	06/30/03			12/31/03			
HA-wide	6/30/03			12/31/03			
HA-wide	9/30/03			03/30/04			
HA-wide	6/30/03			12/31/03			
002-50	8/30/03			12/31/03			
002-51	8/30/03			12/31/03			
002-52	8/30/03			12/31/03			
002-60	6/30/03			12/31/03			
002-53	8/30/03			12/31/03			
002-54	8/30/03			12/31/03			
002-61	6/30/03			9/30/03			
002-62	9/30/03			12/31/03			
002-63	8/30/03			12/31/03			
002-64	9/30/03			12/31/03			
002-65	3/30/03			6/30/03			
002-66	6/30/03			9/30/03			
002-67	9/30/03			12/31/03			

