

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: **2003**

Sparta Housing Authority
300 Crag Rock Village
Sparta, TN 38583

TN044v03

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: **Sparta Housing Authority**

PHA Number: **TN044**

PHA Fiscal Year Beginning: (mm/yyyy) **1/2003**

PHA Plan Contact Information:

Name: **Ed Taylor, Executive Director**

Phone: **931-836-3357**

TDD:

Email (if available): **EdTaylor1@charter.net**

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

**Annual PHA Plan
Fiscal Year 2003**

[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

Not Required

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

No significant changes are proposed.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ **289,245.00**

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment **C**

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment **B**

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component ; if "yes", complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)	
1a. Development name: 1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)	
5. Number of units affected:	
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)	
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:	

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

- A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family's resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____ **Not Applicable**

C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component. **Not Applicable**

D. Yes No: The PHDEP Plan is attached at Attachment _____ **Not Applicable**

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are Attached at Attachment (File name) **Not Applicable**

3. In what manner did the PHA address those comments? (select all that apply) **Not Applicable**

- The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment ____.
- Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the end of the RAB Comments in Attachment
- Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

Tennessee Housing and Development Agency

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
- Other: (list below)

3. PHA Requests for support from the Consolidated Plan Agency

- Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) **Not Applicable**

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

PHA's definition of "Significant Amendment or Substantial Deviation" from its 5-Year and Annual Plans:

1. Changes to rent or admissions policies or organization of the waiting list.
2. Addition of non-emergency work, items (items not included in the Annual Statement or 5-Year Action Plan) or a change in the use of replacement reserve funds under the Capital Fund.
3. Any change with regard to demolition or disposition, designation, or homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements.

B. Significant Amendment or Modification to the Annual Plan:

PHA's definition of "Significant Amendment or Substantial Deviation" from its 5-Year and Annual Plans:

1. Changes to rent or admissions policies or organization of the waiting list.
2. Addition of non-emergency work, items (items not included in the Annual Statement or 5Year Action Plan) or a change in the use of replacement reserve funds under the Capital Fund.
3. Any change with regard to demolition or disposition, designation, or homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements.

Attachment A
Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
NA	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
NA	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
NA	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
NA	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
NA	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
NA	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
NA	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the RSHAbilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
NA	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
NA	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
NA	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
NA	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Sparta Housing Authority	Grant Type and Number Capital Fund Program: TN43P04450103 Capital Fund Program Replacement Housing Factor Grant No:	Federal FY of Grant: FY 2003
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0.00			
2	1406 Operations	\$10,035.00			
3	1408 Management Improvements	\$10,000.00			
4	1410 Administration	\$500.00			
5	1411 Audit	\$0.00			
6	1415 liquidated Damages	\$0.00			
7	1430 Fees and Costs	\$44,000.00			
8	1440 Site Acquisition	\$0.00			
9	1450 Site Improvement	\$24,000.00			
10	1460 Dwelling Structures	\$189,510.00			
11	1465.1 Dwelling Equipment—Nonexpendable	\$6,000.00			
12	1470 Nondwelling Structures	\$1,200.00			
13	1475 Nondwelling Equipment	\$0.00			
14	1485 Demolition	\$0.00			
15	1490 Replacement Reserve	\$0.00			
16	1492 Moving to Work Demonstration	\$0.00			
17	1495.1 Relocation Costs	\$4,000.00			
18	1498 Mod Used for Development	\$0.00			
19	1502 Contingency	\$0.00			
20	Amount of Annual Grant: (sum of lines 2-19)	\$289,245.00			
21	Amount of line 20 Related to LBP Activities	\$0.00			
22	Amount of line 20 Related to Section 504 Compliance	\$10,000.00			
23	Amount of line 20 Related to Security	\$0.00			
24	Amount of line 20 Related to Energy Conservation	\$168,000.00			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Sparta Housing Authority		Grant Type and Number Capital Fund Program #: TN43P04450103 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: FY 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Operations	1406		\$10,035.00				
PHA-Wide	Computer Software/Hardware	1408		\$10,000.00				
PHA-Wide	Advertising	1410		\$500.00				
PHA-Wide	A/E design fee	1430		\$14,500.00				
PHA-Wide	A/E inspection fee	1430		\$9,800.00				
PHA-Wide	Clerk of the Works	1430		\$13,200.00				
PHA-Wide	Consultant Planning	1430		\$6,500.00				
TN044-3	Parking	1450		\$10,500.00				
TN044-3	Sidewalks	1450		\$10,000.00				
TN044-3	Grading and Drainage	1450		\$2,000.00				
TN044-3	Landscaping	1450		\$1,500.00				
TN044-3	Mailboxes	1460		\$1,200.00				
TN044-3	Bathroom Renovations	1460		\$3,870.00				
TN044-3	Building Exterior - Gutters	1460		\$3,000.00				
TN044-3	Electrical	1460		\$1,820.00				
TN044-3	Floors	1460		\$7,200.00				
TN044-3	Walls and Ceilings	1460		\$3,200.00				
TN044-3	Kitchen Renovations	1460		\$5,470.00				
TN044-3	HVAC	1460		\$51,000.00				
TN044-3	Water Heaters	1460		\$11,000.00				
TN044-3	Windows	1460		\$100,000.00				
TN044-3	Doors - Interior	1460		\$1,750.00				
TN044-3	Gas Ranges and Refrigerators	1465.1		\$6,000.00				
TN044-3	MM Building	1470		\$1,200.00				
TN044-3	Relocation	1495.1		\$4,000.00				

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name: Sparta Housing Authority				<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 3 FFY Grant: 2005 PHA FY: 2005	Work Statement for Year 4 FFY Grant: 2006 PHA FY: 2006	Work Statement for Year 5 FFY Grant: 2007 PHA FY: 2007
	Annual Statement				
PHA-Wide		\$63,345.00	\$75,045.00	\$95,045.00	\$289,245.00
TN044-1		\$28,000.00	\$55,800.00	\$45,800.00	\$0.00
TN044-2		\$130,000.00	\$64,800.00	\$64,800.00	\$0.00
TN044-3		\$23,000.00	\$37,800.00	\$37,800.00	\$0.00
TN044-4		\$45,000.00	\$55,800.00	\$45,800.00	\$0.00
CFP Funds Listed for 5-year planning		\$289,245.00	\$289,245.00	\$289,245.00	\$289,245.00
Replacement Housing Factor Funds		\$0.00	\$0.00	\$0.00	\$0.00

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2004 PHA FY: 2004			Activities for Year: <u>3</u> FFY Grant: 2005 PHA FY: 2005		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	PHA-Wide	Operations	\$14,345.00	PHA-Wide	Operations	\$27,545.00
Annual	PHA-Wide	Advertising	\$500.00	PHA-Wide	Advertising	\$500.00
Statement	PHA-Wide	Fees and Costs	\$48,400.00	PHA-Wide	Fees and Costs	\$45,000.00
	TN044-1	Install new siding	\$28,000.00	TN044-001	Ranges and refrigerators	\$6,000.00
	TN044-2	Install new siding	\$130,000.00	TN044-002	Ranges and refrigerators	\$6,000.00
	TN044-3	Install new siding	\$23,000.00	TN044-003	Ranges and refrigerators	\$6,000.00
	TN044-4	Install new siding	\$45,000.00	TN044-004	Ranges and refrigerators	\$6,000.00
				TN044-001	Electrical	\$1,800.00
				TN044-001	Finishes – Wall and ceiling	\$16,000.00
				TN044-001	HVAC	\$20,000.00
				TN044-001	Water heaters	\$4,000.00
				TN044-001	Roof shingles	\$8,000.00
				TN044-002	Electrical	\$1,800.00
				TN044-002	Paint walls/ceilings	\$16,000.00
				TN044-002	HVAC	\$2,000.00
				TN044-002	Water heaters	\$4,000.00
				TN044-002	Roof shingles	\$35,000.00
				TN044-003	Electrical	\$1,800.00
				TN044-003	Paint wall/ceilings	\$16,000.00
				TN044-003	HVAC	\$2,000.00
				TN044-003	Water heaters	\$4,000.00
				TN044-003	Roof shingles	\$8,000.00
				TN044-004	Electrical	\$1,800.00
				TN044-004	Paint wall/ceilings	\$16,000.00
				TN044-004	HVAC	\$20,000.00
				TN044-004	Water heaters	\$4,000.00
				TN044-004	Roof shingles	\$8,000.00
				PHA-Wide	Relocation	\$2,000.00
Total CFP Estimated Cost			\$289,245.00			\$289,245.00

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year: <u>4</u> FFY Grant: 2006 PHA FY: 2006			Activities for Year: <u>5</u> FFY Grant: 2007 PHA FY: 2007		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-Wide	Operations	\$27,545.00	PHA-Wide	Operations	\$57,645.00
PHA-Wide	Advertising	\$500.00	PHA-Wide	Advertising	\$500.00
PHA-Wide	Fees and Costs	\$45,000.00	PHA-Wide	Fees and Costs	\$45,000.00
PHA-Wide	Maintenance Vehicle	\$20,000.00	PHA-Wide	Site Improvements	\$80,000.00
TN044-001	Ranges and refrigerators	\$6,000.00	PHA-Wide	Electrical	\$2,000.00
TN044-002	Ranges and refrigerators	\$6,000.00	PHA-Wide	Finishes – Wall and ceiling	\$24,000.00
TN044-003	Ranges and refrigerators	\$6,000.00	PHA-Wide	HVAC	\$8,000.00
TN044-004	Ranges and refrigerators	\$6,000.00	PHA-Wide	Water heaters	\$5,600.00
TN044-001	Electrical	\$1,800.00	PHA-Wide	Roof shingles	\$20,000.00
TN044-001	Finishes – Wall and ceiling	\$16,000.00	PHA-Wide	Electrical	\$4,000.00
TN044-001	HVAC	\$10,000.00	PHA-Wide	Paint walls/ceilings	\$40,000.00
TN044-001	Water heaters	\$4,000.00	PHA-Wide	Relocation	\$2,000.00
TN044-001	Roof shingles	\$8,000.00			
TN044-002	Electrical	\$1,800.00			
TN044-002	Paint walls/ceilings	\$16,000.00			
TN044-002	HVAC	\$2,000.00			
TN044-002	Water heaters	\$4,000.00			
TN044-002	Roof shingles	\$35,000.00			
TN044-003	Electrical	\$1,800.00			
TN044-003	Paint wall/ceilings	\$16,000.00			
TN044-003	HVAC	\$2,000.00			
TN044-003	Water heaters	\$4,000.00			
TN044-003	Roof shingles	\$8,000.00			
TN044-004	Electrical	\$1,800.00			
TN044-004	Paint wall/ceilings	\$16,000.00			
TN044-004	HVAC	\$10,000.00			
TN044-004	Water heaters	\$4,000.00			
TN044-004	Roof shingles	\$8,000.00			
PHA-Wide	Relocation	\$2,000.00			
Total CFP Estimated Cost		\$289,245.00			\$289,245.00

Attachment F

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I:
Summary**

PHA Name: Sparta Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN43P04450102 Replacement Housing Factor Grant No:	Federal FY of Grant: FY 2002
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/02 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0.00		\$0.00	\$0.00
2	1406 Operations	\$30,866.00		\$0.00	\$0.00
3	1408 Management Improvements	\$0.00		\$0.00	\$0.00
4	1410 Administration	\$500.00		\$0.00	\$0.00
5	1411 Audit	\$0.00		\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00		\$0.00	\$0.00
7	1430 Fees and Costs	\$44,659.00		\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00		\$0.00	\$0.00
9	1450 Site Improvement	\$0.00		\$0.00	\$0.00
10	1460 Dwelling Structures	\$180,220.00		\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$6,000.00		\$0.00	\$0.00
12	1470 Nondwelling Structures	\$3,000.00		\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$20,000.00		\$0.00	\$0.00
14	1485 Demolition	\$0.00		\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00		\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00		\$0.00	\$0.00
17	1495.1 Relocation Costs	\$4,000.00		\$0.00	\$0.00
18	1499 Development Activities	\$0.00		\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00		\$0.00	\$0.00
20	1502 Contingency	\$0.00		\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$289,245.00		\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00		\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 compliance	\$0.00		\$0.00	\$0.00
24	Amount of line 21 Related to Security – Soft Costs	\$0.00		\$0.00	\$0.00
25	Amount of Line 21 Related to Security – Hard Costs	\$0.00		\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation	\$70,000.00		\$0.00	\$0.00

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Sparta Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN43P04450102 Replacement Housing Factor Grant No:			Federal FY of Grant: FY 2002		
Development # Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		\$30,866.00		\$0.00	\$0.00	
PHA Wide	Advertising	1410		\$500.00		\$0.00	\$0.00	
PHA Wide	A/E design fee	1430		\$14,110.00		\$0.00	\$0.00	
PHA Wide	A/E inspection fee	1430		\$9,849.00		\$0.00	\$0.00	
PHA Wide	Clerk of the Works	1430		\$13,200.00		\$0.00	\$0.00	
PHA Wide	Consultant management	1430		\$2,500.00		\$0.00	\$0.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Sparta Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04450102 Replacement Housing Factor Grant No:			Federal FY of Grant: FY 2002			
Development # Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Consultant utility allowance	1430		\$4,000.00		\$0.00	\$0.00	
PHA Wide	Planning fee	1430		\$1,000.00		\$0.00	\$0.00	
TN044-003	Site improvements	1450		\$0.00		\$0.00	\$0.00	
TN044-003	Gas meters & distribution	1450		\$0.00		\$0.00	\$0.00	
TN044-003	Bathroom renovations	1460		\$19,350.00		\$0.00	\$0.00	
TN044-003	Building exterior gutters	1460		\$15,000.00		\$0.00	\$0.00	
TN044-003	Interior selected doors	1460		\$5,250.00		\$0.00	\$0.00	
TN044-003	Electrical–smoke detectors and exterior light fixtures	1460		\$6,100.00		\$0.00	\$0.00	
TN044-003	Finishes – Floor walls and ceilings	1460		\$41,750.00		\$0.00	\$0.00	
TN044-003	Kitchen renovations	1460		\$28,770.00		\$0.00	\$0.00	
TN044-003	Mechanical – HVAC	1460		\$53,000.00		\$0.00	\$0.00	
TN044-003	Mechanical – water heating	1460		\$11,000.00		\$0.00	\$0.00	
TN044-003	Windows	1460		\$0.00		\$0.00	\$0.00	
TN044-003	Ranges and refrigerators	1465.1		\$6,000.00		\$0.00	\$0.00	
TN044-003	Remodel maintenance shop	1470		\$3,000.00		\$0.00	\$0.00	
TN044-003	Relocation	1495.1		\$4,000.00		\$0.00	\$0.00	
TN044-003	Maintenance truck	1475		\$20,000.00		\$0.00	\$0.00	
PHA Wide	Roof shingles replacement	1460		\$3,000.00		\$0.00	\$0.00	
PHA Wide	Finishes – floors, walls and ceilings	1460		\$2,000.00		\$0.00	\$0.00	
PHA Wide	Ranges and refrigerators	1465.1		\$1,000.00		\$0.00	\$0.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Sparta Housing Authority		Grant Type and Number Capital Fund Program No: TN43P04450102 Replacement Housing Factor No:				Federal FY of Grant: FY 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	03/30/04			09/30/05			
TN044-003	03/30/04			09/30/05			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I:
Summary

PHA Name: Sparta Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN43P04450101 Replacement Housing Factor Grant No:	Federal FY of Grant: FY 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0.00		\$0.00	\$0.00
2	1406 Operations	\$40,991.00		\$40,991.00	\$0.00
3	1408 Management Improvements	\$0.00		\$0.00	\$0.00
4	1410 Administration	\$250.00		\$250.00	\$0.00
5	1411 Audit	\$0.00		\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00		\$0.00	\$0.00
7	1430 Fees and Costs	\$46,264.00		\$46,264.00	\$46,264.00
8	1440 Site Acquisition	\$0.00		\$0.00	\$0.00
9	1450 Site Improvement	\$26,400.00		\$22,323.00	\$16,537.50
10	1460 Dwelling Structures	\$180,400.00		\$180,400.00	\$131,832.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$6,000.00		\$6,000.00	\$0.00
12	1470 Nondwelling Structures	\$1,200.00		\$1,200.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00		\$0.00	\$0.00
14	1485 Demolition	\$0.00		\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00		\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00		\$0.00	\$0.00
17	1495.1 Relocation Costs	\$4,000.00		\$2,000.00	\$0.00
18	1499 Development Activities	\$0.00		\$0.00	\$0.00
19	1501 Collaterization or Debt Service	\$0.00		\$0.00	\$0.00
20	1502 Contingency	\$0.00		\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$305,505.00		\$299,428.00	\$194,633.50
22	Amount of line 21 Related to LBP Activities	\$0.00		\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 compliance	\$0.00		\$0.00	\$0.00
24	Amount of line 21 Related to Security – Soft Costs	\$0.00		\$0.00	\$0.00
25	Amount of Line 21 Related to Security – Hard Costs	\$0.00		\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation	\$64,000.00		\$0.00	\$0.00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Sparta Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN43P04450101 Replacement Housing Factor Grant No:			Federal FY of Grant: FY 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		\$40,991.00		\$40,991.00	\$0.00	
PHA Wide	Advertising	1410		\$250.00		\$250.00	\$0.00	
PHA Wide	Fees and Costs	1430		\$46,264.00		\$46,264.00	\$46,264.00	
PHA Wide	Relocation	1495.1		\$4,000.00		\$2,000.00	\$0.00	
TN044-001	Sidewalks	1450		\$1,200.00		\$1,200.00	\$1,200.00	
TN044-002	Sidewalks	1450		\$1,200.00		\$1,200.00	\$1,200.00	
TN044-003	Sidewalks	1450		\$12,000.00		\$12,000.00	\$12,000.00	
TN044-003	Recreation	1450		\$10,800.00		\$6,723.00	\$937.50	
TN044-003	Bathroom renovation	1460		\$19,350.00		\$19,350.00	\$14,265.00	
TN044-003	Building exterior	1460		\$15,000.00		\$15,000.00	\$10,950.00	
TN044-003	Doors – interior	1460		\$5,250.00		\$5,250.00	\$3,833.00	
TN044-003	Electrical	1460		\$6,100.00		\$6,100.00	\$4,453.00	
TN044-003	Floors	1460		\$25,750.00		\$25,750.00	\$18,798.00	
TN044-003	Walls and ceilings	1460		\$16,000.00		\$16,000.00	\$11,680.00	
TN044-003	Kitchen renovations	1460		\$28,950.00		\$28,950.00	\$21,133.00	
TN044-003	Mechanical – HVAC	1460		\$53,000.00		\$53,000.00	\$38,690.00	
TN044-003	Mechanical – water heaters	1460		\$11,000.00		\$11,000.00	\$8,030.00	
TN044-003	Dwelling equipment	1465.1		\$6,000.00		\$6,000.00	\$0.00	
TN044-003	Non dwelling structures	1470		\$1,200.00		\$1,200.00	\$0.00	
TN044-004	Sidewalks	1450		\$1,200.00		\$1,200.00	\$1,200.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Sparta Housing Authority		Grant Type and Number Capital Fund Program No: TN43P04450101 Replacement Housing Factor No:					Federal FY of Grant: FY 2001
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	03/31/03			09/30/04			
TN044-001	03/31/03			09/30/04			
TN044-002	03/31/03			09/30/04			
TN044-003	03/31/03			09/30/04			
TN044-004	03/31/03			09/30/04			

Required Attachment D: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Jeannie Gribble**

B. How was the resident board member selected: (select one)?

- Elected
 Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not? **Not Applicable**

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

A. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Required Attachment E: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Sandra Richmond – Development #44-1

Delmar Anderson – Development #44-2

Ernest Jones – Development #44

Attachment G : Voluntary Conversion Initial Assessments

(B) Voluntary Conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessments? **4**
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? **0**
- c. How many Assessments were conducted for the PHA's covered developments? **4**
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
None	

If the PHA has not completed the Required Initial Assessments, describe the status of these assessments.

Not Applicable

Attachment H - Deconcentration Policy For the Sparta Housing Authority

I. DECONCENTRATION POLICY

- A. The objective of the Deconcentration Policy for the Sparta Housing Authority (SHA) is to achieve the goal that families are housed in a manner that will prevent a concentration of poverty families and/or a concentration of higher income families in any one development or census tract. The SHA will take actions as necessary to achieve the goal that no individual development has a concentration of higher income or lower income families. To ensure that the SHA does not concentrate families with higher or lower income levels in any one development, the SHA will track the status of family income, by development, on a monthly basis utilizing income reports generated by the SHA's computer system.
- b. The SHA will periodically compare the relative incomes of its developments to the relative incomes of the census tracts in which they are located. Where significant differences are identified, income targeting will be applied.

I. INCOME TARGETING

- a. To accomplish the deconcentration goals, the Sparta Housing Authority will take the following actions:
1. At the beginning of each fiscal year the Sparta Housing Authority will establish a numerical goal for admission of families whose incomes are at or below 30 percent of the area median income. The target annual goal will be calculated by taking 40 percent of the total number of move-ins from the previous Sparta fiscal year.
 2. The SHA will limit the number of admissions to ensure that not less than 40 percent of admissions are families with incomes at or below 30 percent of the area median income.
 3. The SHA will skip families on the waiting list or skip developments to accomplish these goals.

The Sparta Housing Authority will not hold units vacant to accomplish these goals.

Admission Policy for Deconcentration

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]