

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: **2003**

The Town Of Harrietstown Housing Authority

(ny087v02)

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Town of Harrietstown Housing Authority

PHA Number: NY087

PHA Fiscal Year Beginning: (mm/yyyy) 01/2003

PHA Plan Contact Information:

Name: David K. Aldrich, Executive Director

Phone: 518 891-3050

TDD: 518 891-2860

Email (if available): hhaexedir@adelphia.net

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

Main administrative office of the PHA

PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

Main administrative office of the PHA

PHA development management offices

Main administrative office of the local, county or State government

Public library

PHA website

Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA

PHA development management offices

Other (list below)

PHA Programs Administered:

Public Housing and Section 8

Section 8 Only

Public Housing Only

Annual PHA Plan
Fiscal Year 2003
 [24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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- Other (List below, providing each attachment name)

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- Attachment H: Deconcentration and Income Mixing

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

This section intentionally left blank since it is optional.

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

We have made the following changes to our policies and/or programs based on changes to statutes and/or HUD regulations that have occurred in the past year. HUD mandated these changes.

Community Service Requirements:

The Housing Authority has suspended enforcement of the 8-hour community service requirement. The Housing Authority will not enforce this provision of our Admissions and Continued Occupancy Policy so long as Congress provides for the option to not enforce it. In taking this action, we still want to encourage our public housing residents to both participate in their community and enhance their self-sufficiency skills in a truly voluntary manner.

All affected residents have been notified of the suspension of the requirements

Admissions and Continued Occupancy Policy:

We have updated our Admissions & Continued Occupancy Policy with the new definition for Welfare Assistance.

Section 8 Administrative Plan

We have updated our Section 8 Administrative Plan with the new definition for Welfare Assistance and added a Code of Ethics.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

- A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? **\$ 190,144**
- C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.
- D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment B

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment A

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition Disposition
3. Application status (select one) Approved Submitted, pending approval Planned application
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected: 6. Coverage of action (select one) Part of the development Total development
7. Relocation resources (select all that apply) Section 8 for units Public housing for units Preference for admission to other public housing or section 8 Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family's resources
Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$NA
- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. Yes No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are Attached at Attachment (File name)

3. In what manner did the PHA address those comments? (select all that apply)

The PHA changed portions of the PHA Plan in response to comments

A list of these changes is included

Yes No: below or

Yes No: at the end of the RAB Comments in Attachment

Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment ____.

Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. 1. Consolidated Plan jurisdiction: **Village of Saranac Lake and Franklin County**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)

• The Town of Harrietstown Housing Authority will continue to maintain and renovate its public housing units.

• The Town of Harrietstown Housing Authority will continue to provide accessible housing in the public housing program to persons with disabilities.

• The Town of Harrietstown Housing Authority will continue to market our public housing and Section 8 program to make families and elderly/disabled persons aware of the availability of decent, safe, sanitary and affordable housing in the

Village of Saranac Lake and Franklin County.

Other: (list below)

The Town of Harrietstown Housing Authority Admission and Continued Occupancy Policy Requirements are established and designed to:

- Provide improved living conditions for very low and low-income families while maintaining their rent payments at an affordable level.
- To operate a socially and financially sound public housing agency that provides violence and drug-free, decent, safe and sanitary housing with a suitable living environment for residents and their families.
- To avoid concentrations of economically and socially deprived families in any one or both of our public housing developments.
- To lawfully deny the admission of applicants, or the continued occupancy of residents, whose habits and practices reasonably may be expected to adversely affect the health, safety, comfort or welfare of other residents or the physical environment of the neighborhood, or create a danger to our employees.
- To promote upward mobility opportunities for families who desire to achieve self-sufficiency.
- To facilitate the judicious management of our inventory and efficient management of our staff.
- To ensure compliance with Title VI of the Civil Rights Act of 1964 and all other applicable Federal laws and regulations so that the admissions and continued occupancy are conducted without regard to race, color, religion, creed, sex, national origin, handicap or familial status.

We have similar principles for our Section 8 program:

- To provide decent, safe and sanitary housing for very low income families while maintaining their rent payments at an affordable level.
- To ensure that all units meet Housing Quality Standards and families pay fair and reasonable rents.
- To promote fair housing and the opportunity for very low-income families of all ethnic backgrounds to experience freedom of housing choice.

- To promote a housing program which maintains quality service and integrity while providing an incentive to private property owners to rent to very low income families.

- To assist the local economy by increasing the occupancy rate and the amount of money flowing into the community.

- To create positive public awareness and expand the level of family, owner, and community support in accomplishing the Town of Harrietstown Housing Authority's mission.

- To attain and maintain a high level of standards and professionalism in our day to day management of all program components.

- To administer an efficient, high-performing agency through continuous improvement of the Housing Authority's support systems and commitment to our employees and their development.

3. PHA Requests for support from the Consolidated Plan Agency

Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The Village of Saranac Lake Consolidated Plan and the State of New York Consolidated Plan supports the Harrietstown Housing Authority Plan as follows:

- The Village of Saranac Lake and the State of New York sought assistance from the U.S. Department of Housing and Urban Development to implement CDBG-funded housing rehabilitation programs several target areas within the Village. These programs have been extremely successful by assisting low and moderate income households.

- The Village of Saranac Lake and the State of New York has identified additional housing rehabilitation needs and continues to submit applications to HUD for funds to implement housing rehabilitation programs. The additional funds and programs will benefit low and moderate income households.

- Rehabilitation of single and multifamily structures in the Village of Saranac Lake provides additional resources for the Town of Harrietstown Housing Authority's Section 8 program.

The New York State Consolidated Plan establishes three strategic objectives that are of equal importance and form the basis of New York State's strategy:

1. **Preserve and increase the supply of decent, safe and affordable housing available to all low and moderate income households, and help identify and develop available resources to assist in the development of housing.**

The need analysis describes a shortage of affordable housing units in New York State. By increasing the number of decent and affordable housing units, New York State will be addressing each of the housing problems: overcrowding, substandard units, and cost burden. The State plan includes the increase of the supply of decent and affordable housing by assisting in the financing of new construction, substantial rehabilitation, and conversion of previously nonresidential properties. While not specifically targeting funds in the Consolidated Plan for such programs, New York State believes it has a vested interest in the federal government's commitment to continue to provide resources for the operations, maintenance and preservation of Section 8 and public housing. The Consolidate Plan states: "The preservation of this irreplaceable low-income housing asset should remain a federal priority. Specifically, the federal government should maintain its commitment to rental assistance, preservation of housing eligible for mortgage prepayment and funding for operations, repairs, maintenance and modernization of public housing."

2. **Improve the ability of low and moderate income New Yorkers to access rental and home-ownership opportunities.**

Cost burden is identified as the most widespread of all the various housing problems by New Yorkers. Cost burden disproportionately affects New Yorkers with low and moderate incomes. Renters make up the substantial majority of households with cost burden.

The Consolidated Plan includes the provision of rental assistance where possible and also to providing home-ownership opportunities to low-income and minority households. State housing agencies are encouraged to apply for Section 8 program funding.

The Consolidated Plan notes that there are insufficient Federal and State capital subsidies to increase the supply of affordable housing to address the problems of all those with cost burdens.

Additional strategies include making mortgages available with below market interest rates to first-time home - buyers and providing rehabilitation assistance to low-income homeowners.

3. **Address the shelter, housing, and service needs of the homeless poor and others with special needs.**

The Consolidated Plan reflects that the demand for housing and supportive

assistance for the homeless far exceeds the supply; particularly, the frail elderly, disabled, and other segments of the Population requiring supportive living arrangements or services.

Among the programs to be utilized, are the various Section 8 programs.

The Consolidated Plan addresses Public Housing Resident Initiatives.

“The State does have a State public housing program as noted in the Needs Assessment. Tenant participation in the management of housing authorities is not only encouraged in this State, but mandated in New York’s Public Housing Law, which provides that authorities having a population under one million be composed of up to seven members, including two tenants elected by public housing residents. The underlying philosophy has been to ensure that tenants’ needs and concerns are effectively communicated to the governing body of the authority and, when necessary, to DHCR, as the supervising State agency.”

The use of the term “low and moderate income households” includes all households at or below 80 percent of median income. Extremely low-income households are included in this category which has been identified in the needs analysis as having the highest magnitude of housing problems.

The New York State Objectives respond to the purposes of the National Affordable Housing Act that are:

1. to help families not owning a home to save for a down payment for the purchase of a home;
2. to retain wherever feasible as housing affordable to low-income families those dwelling units produced for such purposes with Federal assistance;
3. to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of housing affordable to low-income and moderate-income families;
4. to expand and improve Federal rental assistance for very low-income families; and
5. to increase the supply of supportive housing, which combines structural features and services needed to enable persons with special needs to live with dignity and independence.

In summary, the New York State Consolidated Plan strategies are consistent with and support the goals and objectives of the Town of Harriestown Housing Authority.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

A substantial deviation from the 5-Year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-Year Plan.

B. Significant Amendment or Modification to the Annual Plan:

Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the Housing Authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.

Attachment E

Town of Harriestown Housing Authority

Annual Plan

Fiscal Year Beginning 01/2003

Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

X	Public housing rent determination policies, including the method for setting public housing flat rents check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
NA	Any required policies governing any Section 8 special housing types check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing

NA	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
NA	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
NA	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
NA	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
NA	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) (list individually; use as many lines as necessary) Implementation of Community Service Requirements Substantial Deviation Definition Deconcentration & Income Mixing Documentation	(specify as needed) ACOP Annual Plan ACOP & Annual Plan

Attachment F

Town of Harriestown Housing Authority

Annual Plan

Fiscal Year Beginning 01/2002

Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

Ms. Ann Umber
Ms. Minda Davis

B. How was the resident board member selected: (select one)?

Elected
Appointed

C. The term of appointment is (include the date term expires): two years and expires June 2004

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not? **NA**

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
Other (explain):

B. Date of next term expiration of a governing board member: **NA**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

NA

Attachment G

The Town of Harriestown Housing Authority

Annual Plan

Fiscal Year Beginning 01/2003

Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

1. Charles Ranieri
2. Margaret Atkins
3. Catherine Macdougall
4. Ann Umber
5. Jennifer Parker

Attachment H

Town of Harrietstown Housing Authority

Annual Plan

Fiscal Year Beginning 01/2003

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

In accordance with the regulations at 24 CFR 903.2(b)(2)(ii) and (iii), the Town of Harrietstown Housing Authority is exempt from Deconcentration and Income Mixing requirements. We own and operate one general occupancy, family public housing development and one development designed for occupancy by elderly and disabled families and individuals.

- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

Attachment A

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: The Town of Harriestown Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P08750103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) Performance and Evaluation Report for Period Ending: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	91,000			
3	1408 Management Improvements				
4	1410 Administration	13,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	21,144			
10	1460 Dwelling Structures	65,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	190,144			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	2,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Town of Harriestown Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P08750103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

HA Wide Operations 1406 Pickup truck with plow Lump sum 15,000 To operations Lump sum 76,000 **Subtotal 1406 91,000**
 HA Wide Administration 1410 Labor (salaries/benefits for administration of CFP) Lump sum 13,000 Subtotal 1410 **13,000**
NY087-2 Site Improvements 1450 Rear yard enhancements Lump sum 2,000 Driveway resurfacing Lump sum 19,144 Subtotal
 1450 **21,144** **Dwelling Structures 1460 NY087-1** Renovate dwelling units: upgrade kitchens, bathrooms, faucets, lighting; **8**
units 42,000 NY087-2 Renovate dwelling units: upgrade kitchens, bathrooms, faucets, lighting; 4 units 23,000 Subtotal 1460 **65,000**
 Grand Total **190,144**

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: The Town of Harriestown Housing Authority	Grant Type and Number Capital Fund Program No: NY06P08750103 Replacement Housing Factor No:	Federal FY of Grant: 2003
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Attachment B
Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name : Harriestown Housing Authority		Original 5-Year Plan Revision No:			
Development Number/Name/H A-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2004 PHA FY: 01/01/04	Work Statement for Year 3 FFY Grant: 2005 PHA FY: 01/01/05	Work Statement for Year 4 FFY Grant: 2006 PHA FY: 01/01/06	Work Statement for Year 5 FFY Grant: 2007 PHA FY: 01/01/07
	Annual Statement				
HA Wide		73,144	78,144	56,144	33,629
NY087-1		50,000	50,000	60,000	82,515
NY087-2		67,000	62,000	74,000	74,000
CFP Funds Listed for 5-year planning		190,144	190,144	190,144	190,144
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2004 PHA FY: 01/01/04			Activities for Year: <u>3</u> FFY Grant: 2005 PHA FY: 01/01/05		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA Wide	Operations		HA Wide	Operations	
Annual		Operations	53,940		Operations	15,940
Statement		Subtotal 1406	53,940		Subtotal 1406	15,940
	HA Wide	Mgt Improvements		HA Wide	Mgt Improvements	
		Office equip. upgrades	4,515		Office equip. upgrades	5,000
		Subtotal 1408	4,515		Subtotal 1408	5,000
	HA Wide	Administration		HA Wide	Nondwelling Equip.	
		Force acct labor	14,689		Handicapped Equipped Bus	42,515
		Subtotal 1410	14,689		Subtotal 1475.7	42,515
		Total HA Wide	73,144	HA Wide	Administration	
		Dwelling Structures			Force acct labor	14,689
	NY087-1	Upgrade apartments	50,000		Subtotal 1490	14,689
					Total HA Wide	78,144
		Subtotal 1460	50,000		Dwelling Structures	
		Total NY087-1	50,000	NY087-1	Upgrade apartments	50,000
	NY087-2	Site Improvements			Subtotal 1460	50,000
		Rear yard enhancements	12,000		Total NY087-1	50,000
		Blacktop roadways	25,000	NY087-2	Site Improvements	
		Subtotal 1450	37,000		Rear yard enhancements	12,000
		Dwelling Structures			Replace walkways	20,000
		Upgrade apartments	30,000		Subtotal 1450	32,000
		Subtotal 1460	30,000		Dwelling Structures	
		Total NY087-2	67,000		Upgrade apartments	30,000
					Subtotal 1460	30,000
					Total NY087-2	62,000
		Total CFP Estimated Cost	190,144			190,144

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year : <u>4</u> FFY Grant: 2006 PHA FY: 01/01/06			Activities for Year: <u>5</u> FFY Grant: 2007 PHA FY: 01/01/07		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
HA Wide	Operations		HA Wide	Operations	
	Operations	18,940		Operations	18,940
	Subtotal 1406	18,940		Subtotal 1406	18,940
HA Wide	Mgt Improvements		HA Wide	Administration	
	Office equip. upgrades	22,515		Force acct labor	29,689
	Subtotal 1408	22,515		Subtotal 1410	29,689
HA Wide	Administration			Total HA Wide	33,629
	Force acct labor	14,689	NY087-1	Site Improvements	
	Subtotal 1410	14,689		Site improvements	14,689
	Total HA Wide	56,144		Subtotal 1450	14,689
NY087-1	Dwelling Structures		NY087-1	Dwelling Structures	
	Upgrade apartments	60,000		Upgrade apartments	60,000
	Subtotal 1460	60,000		Subtotal 1460	60,000
	Total NY087-1	60,000		Total NY087-1	82,515
NY087-2	Site Improvements			Site Improvements	
	Site improvements	24,000	NY087-2	Site improvements	24,000
	Subtotal 1450	24,000		Subtotal 1450	24,000
	Dwelling Structures		NY087-2	Dwelling Structures	
	Upgrade apartments	50,000		Upgrade apartments	50,000
	Subtotal 1460	50,000		Subtotal 1460	50,000
	Total NY087-2	74,000		Total NY087-2	74,000
Total CFP Estimated Cost		190,144			190,144

Attachment C

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: The Town of Harriestown Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P08750102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)					
Performance and Evaluation Report for Period Ending: 06/30/02 Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	45,455	10,144	0	0
3	1408 Management Improvements	0	10,000	0	0
4	1410 Administration	0	13,000	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	12,000	37,500	0	0
10	1460 Dwelling Structures	143,000	119,500	0	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	200,455	190,144	0	0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance		35,000	0	0
24	Amount of line 21 Related to Security – Soft Costs		0		
25	Amount of Line 21 Related to Security – Hard Costs		0		
26	Amount of line 21 Related to Energy Conservation Measures		2,200	0	0

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: The Town of Harrietstown Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P08750102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

HA Wide Operations 1406 Planning Pickup truck with plow 25,455 0 Other Operations 20,000 10,144 0 0 **Subtotal 1406 45,455 10,144 0 0** HA Wide
 Management Improvements 1408 Planning Computer/software/printer 2 0 10,000 0 0 Subtotal 1408 10,000 0 0 HA Wide Administration 1410 Planning
 CFP Labor/benefits 0 13,000 0 0 Subtotal 1410 13,000 0 0 NY087-2 Site Improvements 1450 Planning Rear yard enhancements 8 units 12,000 2,000 0 0
 Retaining wall 0 10,500 0 0 Lift and Grading 0 25,000 0 0 Subtotal 1450 12,000 37,500 0 0 Dwelling Structures 1460 Planning NY087-1 Renovate dwelling
 units: upgrade kitchens, bathrooms, faucets, lighting, blinds 8 units 60,000 42,000 0 0 Corridor Lighting 0 3,000 0 0 Install roof exhausters 3,000 0 Social Area addition
 with garage and utility space 50,000 50,000 0 0 NY087-2 Renovate dwelling units: upgrade kitchens, bathrooms, faucets, lighting, blinds 4 units 30,000 23,000 0 0 Fire
 system improvements 0 1,500 0 0 Subtotal 1460 143,000 119,500 0 0 Grand Total 200,455 190,144

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: The Town of Harrietstown Housing Authority		Grant Type and Number Capital Fund Program No: FORMTEXT NY06P08750102 Replacement Housing Factor No: formtext			Federal FY of Grant: FORMTEXT 2002		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	12/31/04			12/31/05			
NY087-1	12/31/04			12/31/05			
NY087-2	12/31/04			12/31/05			



Attachment D

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: Harriestown Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P08750101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001	
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)						
Performance and Evaluation Report for Period Ending: 06/30/02 Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	3,940	3,940	3,940	3,940	
3	1408 Management Improvements					
4	1410 Administration	0	14,200	0	0	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	0	17,500	0	0	
8	1440 Site Acquisition					
9	1450 Site Improvement	33,515	33,515	1,500	1,500	
10	1460 Dwelling Structures	113,000	131,300	83,552	83,552	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve	50,000	0			
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1499 Development Activities					

19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	200,455	200,455	88,992	88,992
22	Amount of line 21 Related to LBP Activities	8,000	8,000	0	0
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	20,000	20,000	0	0

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Harrietstown Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P08750101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

HA Wide Operations 1406 Public Housing Operations Expenses Lump Sum 3,940 3,940 3,940 3,940 Complete **Subtotal 1406 3,940 3,940**
3,940 3,940 HA Wide Administration 1410 Administrative Expenses Lump Sum 0 14,200 0 0 In progress **Subtotal 1410 14,200**
0 0 HA Wide Fees and Costs 1430 Lump Sum A&E Fees; reimbursable costs 0 17,500 0 0 In progress **Subtotal 1430 0 17,500 0**
0 Site Improvements 1450 HA Wide Site Improvements: Property Development Lump Sum 20,000 **0 Deleted NY087-1 Handicap**
Lift Lump Sum 8,000 33,515 0 0 Planning **NY087-2 Site Improvements Lump Sum 5,515 0 Subtotal 1450 33,515 33,515 0 0**
Dwelling Structures 1460 NY087-1 Apartment Renovations 8 units 63,000 **56,764 56,764 56,764 100% NY087-1 Social Area Additions**
Lump Sum 0 47,748 0 0 Planning **NY087-2 Apartment Renovations 4 units 50,000 26,788 26,788 100% Subtotal 1460 113,000 131,300**
83,552 83,552 Replacement Reserve 1490 NY087-1 Replacement Reserve 50,000 0 Deleted **Subtotal 1490 50,000 0 Grand**
Total **200,455 200,455 113,576 113,576**

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: Harrietstown Housing Authority	Grant Type and Number Capital Fund Program No: NY06P08750101 Replacement Housing Factor No:	Federal FY of Grant: 2001
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