

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

# PHA Plans

5-Year Plan for Fiscal Years 2001 - 2005

Annual Plan for Fiscal Year 2003

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## **PHA Plan Agency Identification**

**PHA Name:** Housing Authority of the City of Charlotte, NC

**PHA Number:** NC003

**PHA Fiscal Year Beginning:** 04/2003

### **Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- X Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### **Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- X Main administrative office of the PHA
- X PHA development management offices
- PHA local offices
- X Main administrative office of the local government
- X Main administrative office of the County government
- Main administrative office of the State government
- X Public library
- X PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- X Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2001-2005**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- X The PHA's mission is: "The Charlotte Housing Authority serves those Charlotte families for whom conventional housing is not affordable. Our mission is to provide these families with safe, decent and sanitary housing while supporting their efforts to achieve economic independence and self-sufficiency."

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- X PHA Goal: Expand the supply of assisted housing  
Objectives:
- X Apply for additional rental vouchers
  - Reduce public housing vacancies
  - X Leverage private or other public funds to create additional housing opportunities
  - X Acquire or build units or developments
  - Other (list below)
- X PHA Goal: Improve the quality of assisted housing  
Objectives:
- X Improve public housing management: (PHAS score)
  - X Improve voucher management: (SEMAP score)
  - X Increase customer satisfaction:
  - X Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
  - X Renovate or modernize public housing units:

- X Demolish or dispose of obsolete public housing:
- X Provide replacement public housing:
- X Provide replacement vouchers:
- X Other: (list below)
  - Improve maintenance of its housing stock.
  
- X PHA Goal: Increase assisted housing choices
  - Objectives:
    - X Provide voucher mobility counseling:
    - X Conduct outreach efforts to potential voucher landlords
    - X Increase voucher payment standards
    - X Implement voucher homeownership program:
    - X Implement public housing or other homeownership programs:
    - X Implement public housing site-based waiting lists:
    - Convert public housing to vouchers:
    - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- X PHA Goal: Provide an improved living environment
  - Objectives:
    - X Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
    - X Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
    - X Implement public housing security improvements:
    - X Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
    - Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- X PHA Goal: Promote self-sufficiency and asset development of assisted households
  - Objectives:
    - X Increase the number and percentage of employed persons in assisted families: Increase income 25 percent in five years.
    - X Provide or attract supportive services to improve recipients' employability: Includes bus passes, GED classes taught by Central Piedmont Community College, computer training, and etc. CHA works with 57 agencies on a referral basis.
    - X Provide or attract supportive services to increase independence for the elderly or families with disabilities.
    - Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

X PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

X Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability: Section 8 in non-impacted neighborhoods, 120 percent of FMR in higher rent areas, and referrals to Fair Housing Enforcement office.

X Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: Sensitivity training for residents and staff, expand staff to include multi-lingual persons for internal communiqués and ads.

Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Other: (list below)

**Other PHA Goals and Objectives: (list below)**

1. Increase supply of non-assisted low-income housing.
  - a. Purchase existing rental housing units to maintain their availability as low-income housing.
  - b. Construct new low-income rental housing units.
2. Utilize PHA assets to generate income to operate PHA housing and self-sufficiency programs.
  - a. Dispose of properties (by sale or lease) as appropriate which are underutilized or highly appreciated.
  - b. Acquire additional properties or interests in properties for the development of additional housing units.

**Annual PHA Plan  
PHA Fiscal Year 2002**

[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Streamlined Plan:**
- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**
- Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 ®]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 ®]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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## Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

### Required Attachments:

FY 2003 Capital Fund Program 5-Year Action Plan (**nc003a01 - Excel**)  
 FY 2003 Capital Fund Program Annual Statement (**nc003b01 - Excel**)  
 FY 2003 Replacement Housing Factor Performance and Evaluation Report (**nc003b01-Excel**)  
 Admissions Policy for Deconcentration of Poverty (**nc003c01 - Word**)  
 Resident Grievance Policy and Procedures (**nc003d01 - Word**)  
 Implementation of Public Housing Residents Community Service Requirements  
 (**nc003e01 - Word**)  
 Pet Policy (**nc003f01 - Word**)  
 Brief Statement of Progress on Meeting the 5-Year Plan, Mission, and Goals (**nc003g01 - Word**)  
 The Resident Membership of the PHA Governing Board (**nc003h01 - Word**)  
 Membership of the Resident Advisory Board (**nc003i01 - Word**)  
 PHA Management Organizational Chart (**nc003j01 - Word**)  
 Assessment of Site-Based List Development Demographic Changes (**nc003k01 - Word**)  
 2000 Comprehensive Grant Program Performance and Evaluation Report (**nc003l01 - Excel**)  
 2000 Capital Fund Program Performance and Evaluation Report (**nc003l01 - Excel**)  
 2000 Replacement Housing Factor Performance and Evaluation Report (**nc002l01 - Excel**)  
 2001 Capital Fund Program Performance and Evaluation Report (**nc003m01 - Excel**)  
 2001 Replacement Housing Factor Performance and Evaluation Report (**nc002m01 - Excel**)  
 2002 Capital Fund Program Performance and Evaluation Report (**nc003n01 - Excel**)  
 2002 Replacement Housing Factor Performance and Evaluation Report (**nc002n01 - Excel**)

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures

**List of Supporting Documents Available for Review**

<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. **Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type</b>							
Family Type	Overall	Afford-ability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	20,000	5	5	5	5	5	5
Income >30% but <=50% of AMI	10,000	5	5	5	5	5	5
Income >50% but <80% of AMI	0	5	5	N/A	N/A	N/A	N/A
Elderly	6,000	5	5	5	5	5	5
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

X Consolidated Plan of the Jurisdiction/s

Indicate year: FY 2003

U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

American Housing Survey data

Indicate year:

Other housing market study

Indicate year:

Other sources: (list and indicate year of information)

## B. **Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

## Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	3,638		750
Extremely low income <=30% AMI	3,561	98%	
Very low income (>30% but <=50% AMI)	74	1%	
Low income (>50% but <80% AMI)	3	0	
Families with children	3,055	84%	
Elderly families	99	3%	
Families with Disabilities	210	6%	
Race/ethnicity (White)	136	3%	
Race/ethnicity (Black)	3,490	97%	
Race/ethnicity (Asian)	12	0.3%	
Race/ethnicity (Pacific Islander)	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	520	32%	180
2 BR	585	36%	220
3 BR	421	26%	140
4 BR	107	6%	50
5 BR	12	1%	10
5+ BR	N/A	N/A	N/A

## Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)    No  Yes

If yes:

How long has it been closed (# of months)? 18 months

Does the PHA expect to reopen the list in the PHA Plan year?    No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?    No  Yes

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
  - Reduce turnover time for vacated public housing units
  - Reduce time to renovate public housing units
  - Seek replacement of public housing units lost to the inventory through mixed finance development
  - Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
  - Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
  - Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
  - Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
  - Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
  - Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)
- Pursue opportunities to partner with other agencies that provide self-sufficiency services as their core business. Use these partnerships to enhance families' ability to move out of public housing units, thereby making those units available to other eligible families.

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing

- X Pursue housing resources other than public housing or Section 8 tenant-based assistance.  
Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- X Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- X Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance  
Employ admissions preferences aimed at families with economic hardships
- X Adopt rent policies to support and encourage work
- X Other: (list below)  
Those enrolled currently in educational, training, or upward mobility programs.

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- X Employ admissions preferences aimed at families who are working
- X Adopt rent policies to support and encourage work
- X Other: (list below)  
Those enrolled currently in educational, training, or upward mobility programs.

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- X Apply for special-purpose vouchers targeted to the elderly, should they become available
- X Other: (list below)
  1. Currently have designation of 6 public housing communities for the elderly and a preference (for elderly and disabled) for all one-bedroom units.
  2. Affirmatively market to the elderly.

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- X Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- X Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- X Affirmatively market to local non-profit agencies that assist families with disabilities
- X Other: (list below)
  1. Currently have designation of 2 public housing communities for mixed (elderly and disabled) occupancy and a preference (for elderly and disabled) for all one-bedroom

units and have received 275 special purpose vouchers targeted to families with disabilities.

2. Affirmatively market to families with disabilities.

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- X Affirmatively market to races/ethnicities shown to have disproportionate housing needs  
Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- X Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- X Market the section 8 program to owners outside of areas of poverty /minority concentrations
- X Other: (list below)  
Coordinate with city departments to affirmatively further fair housing.

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- X Funding constraints
- X Staffing constraints
- X Limited availability of sites for assisted housing
- X Extent to which particular housing needs are met by other organizations in the community
- X Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- X Influence of the housing market on PHA programs
- X Community priorities regarding housing assistance
- X Results of consultation with local or state government
- X Results of consultation with residents and the Resident Advisory Board
- X Results of consultation with advocacy groups
- Other: (list below)

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2002 grants)</b>		
a) Public Housing Operating Fund	\$7,182,936	
b) Public Housing Capital Fund	\$5,327,679	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$24,257,927	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	\$900,000	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Housing Search Assistance Program Grant	\$1,000,000	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
HOPE VI	\$37,310,204	Use as specified in grant
Capital Fund	\$354,001	Use as specified in grant
PHDEP	\$854,801	Use as specified in grant
Resident Opportunity and Self-Sufficiency Grants	\$157,490	Use as specified in grant
<b>3. Public Housing Dwelling Rental Income</b>	\$4,953,757	Public Housing Operations
<b>4. Other income (list below)</b>		
Excess Utilities/Interest Income	\$153,862	Public Housing Operations
Laundry, vending, late fees, etc.	\$335,521	Public Housing Operations

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>4. Non-federal sources</b> (list below)		
Mecklenburg County	\$3,305	Use as specified in grant
<b>Total resources</b>	\$82,791,483	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 ©]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
  - When families are within a certain time of being offered a unit: (state time)  
60 days
  - Other: (describe)
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
  - Rental history
  - Housekeeping
  - Other (describe)
- c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- X Community-wide list  
Sub-jurisdictional lists
  - X Site-based waiting lists
  - X Other (describe): Waiting list is organized to accommodate families who qualify for units in communities designated for elderly and mixed (elderly and disabled) occupancy.
- b. Where may interested persons apply for admission to public housing?
- PHA main administrative office
  - X PHA development site management office
  - X Other (list below)  
PHA application and admissions office.
- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**
1. How many site-based waiting lists will the PHA operate in the coming year? 26
  2. X Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists? 26
  3. X Yes No: May families be on more than one list simultaneously  
If yes, how many lists? 4
  4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?  
PHA main administrative office  
All PHA development management offices  
Management offices at developments with site-based waiting lists  
X At the development to which they would like to apply  
X Other (list below)  
PHA applications and admissions office.

Note: In accordance with PIH 2000-43, see Attachment nc003k01 "Assessment of Site-Based List Development Demographic Changes."

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)  
X One (@ Family Sites; Disabled - two; Elderly - three.)  
Two  
Three or More
- b. Yes X No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA: Elderly persons receive a minimum of three offers: one offer of a unit in a community designated for the elderly; one offer of a unit designated for mixed occupancy; and one offer of a unit in a family/scattered site community.

Disabled persons receive a maximum of two offers. One offer for a unit in a community designated for mixed occupancy, and one offer of a unit in a family/scattered site community.

**(4) Admissions Preferences**

a. Income targeting:

X Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

X Emergencies

X Overhoused

X Underhoused

X Medical justification

X Administrative reasons determined by the PHA (e.g., to permit modernization work)  
Resident choice: (state circumstances below)

X Other: (list below)

Enrolling in or dropping out or being terminated from the Family Self-Sufficiency Program.

c. Preferences

1. X Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

Working families and those unable to work because of age or disability

Veterans and veterans' families

Residents who live and/or work in the jurisdiction

X Those enrolled currently in educational, training, or upward mobility programs (Applies to HOPE VI Sites. Residents at HOPE VI sites must participate in the Family Self-Sufficiency Program.)

Households that contribute to meeting income goals (broad range of incomes)

Households that contribute to meeting income requirements (targeting)  
Those previously enrolled in educational, training, or upward mobility programs  
Victims of reprisals or hate crimes

- X Other preference(s) (list below)
- City of Charlotte Relocation Program (Date & Time)
  - Department of Social Services Housing Programs (Date & Time) (WorkFirst, Family Unification and Independent Living Clients)
  - Elderly/Disabled Applicants (Date & Time)
  - Family Applicants (Date & Time)
  - Single Applicants based on time and date of application

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Prioritized List Below

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Victims of domestic violence  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- 1 Those enrolled currently in educational, training, or upward mobility programs (Date & Time) (Applies to HOPE VI Sites. Residents at HOPE VI sites must participate in the Family Self-Sufficiency Program.)
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

- X Other preference(s) (list below)
- 2. City of Charlotte Relocation Program (Date & Time)
  - 3. Department of Social Services Housing Programs (Date & Time) (WorkFirst, Family Unification and Independent Living Clients)
  - 4. Elderly/Disabled Applicants (Date & Time)
  - 5. Family Applicants (Date & Time)
  - 6. Single Applicants, Date & Time

4. Relationship of preferences to income targeting requirements:  
 The PHA applies preferences within income tiers  
 Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

**(5) Occupancy**

- a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing? (select all that apply)?  
 The PHA-resident lease  
 The PHA's Admissions and (Continued) Occupancy policy  
 PHA briefing seminars or written materials  
 Other source (list)
- b. How often must residents notify the PHA of changes in family composition? (select all that apply)  
 At an annual reexamination and lease renewal  
 Any time family composition changes  
 At family request for revision  
 Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the Deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete

If yes, list these developments as follows:

<b>Deconcentration Policy for Covered Developments</b>			
<b>Development Name</b>	<b>Number of Units</b>	<b>Explanation</b>	<b>Deconcentration Policy (if no explanation)</b>
Cedar Knoll	49		<b>See A&amp;O Policy</b>
Live Oak	32		<b>See A&amp;O Policy</b>
Mallard Ridge	35		<b>See A&amp;O Policy</b>
Savannah Woods	49		<b>See A&amp;O Policy</b>
Tall Oaks	79		<b>See A&amp;O Policy</b>
Tarlton Hills	21		<b>See A&amp;O Policy</b>

Victoria Square	32	Family Self Sufficiency Strategies (FSS Community)	See A&O Policy
Wallace Woods	48		See A&O Policy
Belvedere Homes	162		See A&O Policy
Piedmont Courts	242		See A&O Policy

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
  - Criminal and drug-related activity, more extensively than required by law or regulation
  - More general screening than criminal and drug-related activity (list factors below)
  - Other (list below)
- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
  - Other (describe below)  
Past rental history, if available.

### (2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
  - Federal public housing
  - Federal moderate rehabilitation
  - Federal project-based certificate program
  - Other federal or local program (list below)

- b. Where may interested persons apply for admission to section 8 tenant-based assistance?  
 (select all that apply)  
 PHA main administrative office  
 X Other (list below)  
 Section 8/Resident Selection Office located at 321 North Caldwell Street.

**(3) Search Time**

- a. X Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extensions are issued in 30-day increments, not to exceed an additional 60 days. Units in Charlotte/Mecklenburg, particularly those in non-impacted areas can be difficult to locate.

**(4) Admissions Preferences**

- a. Income targeting

- X Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. X Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence

Substandard housing

Homelessness

High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

Working families and those unable to work because of age or disability

Veterans and veterans' families

Residents who live and/or work in your jurisdiction

- X Those enrolled currently in educational, training, or upward mobility programs

Households that contribute to meeting income goals (broad range of incomes)

Households that contribute to meeting income requirements (targeting)

Those previously enrolled in educational, training, or upward mobility programs

Victims of reprisals or hate crimes

- X Other preference(s) (list below)

1. City of Charlotte Relocation Program that provides Housing Assistance and supportive services to families who must relocate as a result of City Code Enforcement.
  2. Department of Social Services Housing Program that provides Housing Assistance and supportive services to Work First Participants, Family Unification Clients and Young Adults aging out of Foster Care.
  3. Elderly & Disabled Applicants.
  4. Family Applicants based on date and time of application.
  5. Single Applicants (not otherwise eligible) based on date and time of application.
3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
 Victims of domestic violence  
 Substandard housing  
 Homelessness  
 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- X Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- X Other preference(s) (list below)
  1. City of Charlotte Relocation Program
  2. Department of Social Services Housing Program
  3. Elderly and Disabled Applicants.
  4. Family Applicants based on date and time of application.
  5. Single Applicants (not otherwise eligible) based on date and time of application.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)
- X Date and time of application
  - Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)  
 This preference has previously been reviewed and approved by HUD  
 The PHA requests approval for this preference through this PHA Plan
6. Relationship of preferences to income targeting requirements: (select one)  
 The PHA applies preferences within income tiers  
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)  
 The Section 8 Administrative Plan  
 Briefing sessions and written materials  
 Other (list below)
- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?  
 Through published notices  
 Other (list below)

**4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

**(1) Income Based Rent Policies**

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)  
 The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))  
 ---or---  
 The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- X \$1-\$25
- \$26-\$50

2. X Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

A resident may qualify for the Hardship Exemption if the resident is already paying Minimum rent and any of the following apply:

1. The family has lost eligibility for a federal, state or local assistance program, or is awaiting a determination of eligibility for that program;
2. The resident cannot pay minimum rent and would be evicted because he or she could not do so;
3. The income of the resident or his or her family has decreased because of changed circumstances, including loss of employment;
4. A death in the resident's family has occurred; or other circumstances that may later be determined by HUD or the CHA.

3. If yes to question 2, see these policies listed above:

c. Rents set at less than 30% than adjusted income

1. Yes X No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- X No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- X Other (list below)
- When family is on hardship or minimum rent or when an adult member added to the Lease has income.

g. X Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- X The section 8 rent reasonableness study of comparable housing

- X Survey of rents listed in local newspaper
- X Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

At or above 90% but below 100% of FMR

100% of FMR

X Above 100% but at or below 110% of FMR (For remaining census tracts not covered by HUD approval)

X Above 110% of FMR (if HUD approved; describe circumstances below)

120% of FMR was approved by HUD for 35 contiguous census tracts where the median rent exceeded the median gross rent for the Metropolitan Statistical Area by more than 20%

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

FMR's are adequate to ensure success among assisted families in the PHA's segment of the FMR area

The PHA has chosen to serve additional families by lowering the payment standard

Reflects market or submarket

Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

X FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area

X Reflects market or submarket

X To increase housing options for families

Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

X Annually

Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- X Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- X \$1-\$25
- \$26-\$50

b. Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

**5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A. PHA Management Structure**

Describe the PHA’s management structure and organization.

(select one)

- X An organization chart showing the PHA’s management structure and organization is attached.

A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	3,156	600
Section 8 Vouchers	3,908	350*
Non-Elderly Disabled 8 (list individually) Main Stream Disabled Welfare to Work	Non-Elderly Disabled (200) Main Stream Disabled (75) Welfare to Work (600) Family Self Sufficiency	* Included in 350 expected turnovers. **Included in 3908
Public Housing Drug Elimination Program (PHDEP)	4,900	300

Other Federal Programs (list individually)		
Resident Opportunities (ROSS)	1000	200

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Admissions and Occupancy Policy  
Management Standard Operating Procedure  
Work Order Emergency Procedure  
Work Order Regular Procedure

(2) Section 8 Management: (list below)

Section 8 Administrative Plan

### 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

See nc003d01

#### A. Public Housing

1. Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

See Attachment nc003d01 "Resident Grievance Policy and Procedure."

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
  - PHA main administrative office
  - PHA development management offices
  - Other (list below)

#### B. Section 8 Tenant-Based Assistance

1. Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing

procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

PHA main administrative office

Other (list below)

PHA Application and Admissions Office

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment nc003b01

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment nc003a01

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

X Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)  
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: First Ward Place (formerly Earle Village)
2. Development (project) number: 3-05
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved

X Activities pursuant to an approved Revitalization Plan underway

1. Development name: Dalton Village
2. Development (project) number: 3-9
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved

X Activities pursuant to an approved Revitalization Plan underway

1. Development name: Fairview Homes
2. Development (project) number: 3-2
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved

X Activities pursuant to an approved Revitalization Plan underway

X Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below: Piedmont Courts

X Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:  
Arbor Glen, Fairview Homes, First Ward Place (Phase 6), Belvedere

- X Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
 If yes, list developments or activities below:  
 Replacement units for units lost through HOPE VI revitalization at First Ward, Arbor Glen and Fairview Homes.  
 Replacement units for units lost through approved disposition of Belvedere Homes, Live Oak and/or Robinsdale.

**8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. X Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes X No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Piedmont Courts 1b. Development (project) number: 3-01
2. Activity type: <input checked="" type="checkbox"/> Demolition <input type="checkbox"/> Disposition
3. Application status (select one) Approved Submitted, pending approval Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007
5. Number of units affected: 242 Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Fairview Homes	
1b. Development (project) number: 3-02	
2. Activity type: Demolition	
	Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	
	Approved
	Submitted, pending approval
	<input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2005	
5. Number of units affected:	
6. Coverage of action (select one)	
	<input checked="" type="checkbox"/> Part of the development
	Total development
7. Timeline for activity:	
	a. Actual or projected start date of activity: 11-30-02
	b. Projected end date of activity: 11-30-03

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Southside Homes	
1b. Development (project) number: 3-03	
2. Activity type: Demolition	
	Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	
	Approved
	Submitted, pending approval
	<input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 194	
6. Coverage of action (select one)	
	Part of the development
	<input checked="" type="checkbox"/> Total development
7. Timeline for activity:	
	a. Actual or projected start date of activity: 2003-2007
	b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Belvedere Homes 1b. Development (project) number: 3-04
2. Activity type: <input checked="" type="checkbox"/> Demolition <input type="checkbox"/> Disposition
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007
5. Number of units affected: 166 Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>
1a. Development name: First Ward Place (formerly: Earle Village) 1b. Development (project) number: 3-05
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application Note: CHA plans disposition of remaining vacant land in First Ward including 080-063-08, 0.903 acres; a 1.12 acre portion of 080-056-01; a portion of 080-08-01; 0.2 acres of 080-081-05; 0.5 acres of 080-081-02 and 2.48 acres of 080-082-15.
4. Date application approved, submitted, or planned for submission: 12/01-2002
5. Number of units affected: None 6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	Edwin Towers
1b. Development (project) number:	3-06
2. Activity type:	Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission:	2003-2007
5. Number of units affected:	175
6. Coverage of action (select one)	Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for Activity:	<ul style="list-style-type: none"> <li>1. Actual or projected start date of activity: 2003-2007</li> <li>2. Projected end date of activity: 2007</li> </ul>

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	Strawn Apartments
1b. Development (project) number:	3-07
2. Activity type:	Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission:	2003-2007
5. Number of units affected:	318
6. Coverage of action (select one)	Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	<ul style="list-style-type: none"> <li>a. Actual or projected start date of activity: 2003-2007</li> <li>b. Projected end date of activity: 2007</li> </ul>

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Central Office	
1b. Development (project) number: 3-07	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: None	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Arbor Glen 50 Units and FIC Building	
1b. Development (project) number: 3-09	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2002	
5. Number of units affected: 50 Units and FIC Building	
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 11-30-02 b. Projected end date of activity: 11-30-03	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Boulevard Homes	
1b. Development (project) number: 3-11	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
7. Number of units affected: 300	
8. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Dillehay Courts	
1b. Development (project) number: 3-12	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
9. Number of units affected: 136	
10. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Leafcrest	
1b. Development (project) number: 3-16A	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 48	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Cedar Knoll	
1b. Development (project) number: 3-16N	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 49	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Meadow Oaks	
1b. Development (project) number: 3-17F	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 32	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Sunridge	
1b. Development (project) number: 3-17M	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 44	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Charlottetown Terrace	
1b. Development (project) number: 3-18	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 180	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Parktowne Terrace	
1b. Development (project) number: 3-19	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
11. Number of units affected: 164	
12. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Tall Oaks 1b. Development (project) number: 3-20
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007
5. Number of units affected: 79 6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Savanna Woods 1b. Development (project) number: 3-21M
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007
5. Number of units affected: 49 6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Mallard Ridge	
1b. Development (project) number: 3-21P	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 35	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Live Oak	
1b. Development (project) number: 3-21S	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 32	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Hall House	
1b. Development (project) number: 3-22	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 191	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Tarlton Hills	
1b. Development (project) number: 3-23	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 21	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Robinsdale	
1b. Development (project) number: 3-24	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 30	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Gladedale	
1b. Development (project) number: 3-25	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 49	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Wallace Woods	
1b. Development (project) number: 3-26	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 48	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>	
1a. Development name: Central Maintenance	
1b. Development (project) number: 3-31	
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>	
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date application approved, submitted, or planned for submission: 2003-2007	
5. Number of units affected: 0	
6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007	

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Claremont 1b. Development (project) number: 3-93
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007
5. Number of units affected: 50 6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Victoria Square 1b. Development (project) number: 3-95
2. Activity type: Demolition Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: 2003-2007
5. Number of units affected: 32 6. Coverage of action (select one) Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2003-2007 b. Projected end date of activity: 2007

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. X Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes X No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>
Autumn Place Living Center: Elderly
Strawn Apartments (High-Rise): Near Elderly/Elderly
Strawn Apartments (Cottages): Mixed (Near Elderly/Elderly/Disabled)
Edwin Towers: Near Elderly/Elderly
Hall House: Near Elderly/Elderly
Parktowne Terrace: Near Elderly/Elderly
Charlottetowne Terrace: Mixed (Near Elderly/Elderly/Disabled)
Arbor Glen: Near Elderly/Elderly

**10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1. Yes X No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<ul style="list-style-type: none"> <li>Assessment underway</li> <li>Assessment results submitted to HUD</li> <li>Assessment results approved by HUD (if marked, proceed to next question)</li> <li>Other (explain below)</li> </ul>
3. Yes No: Is a Conversion Plan required? (If yes go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<ul style="list-style-type: none"> <li>Conversion Plan in development</li> <li>Conversion Plan submitted to HUD on: (DD/MM/YYYY)</li> <li>Conversion Plan approved by HUD on: (DD/MM/YYYY)</li> <li>Activities pursuant to HUD-approved Conversion Plan underway</li> </ul>
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<ul style="list-style-type: none"> <li>Units addressed in a pending or approved demolition application (date submitted or approved:</li> <li>Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)</li> <li>Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)</li> <li>Requirements no longer applicable: vacancy rates are less than 10 percent</li> <li>Requirements no longer applicable: site now has less than 300 units</li> <li>Other: (describe below)</li> </ul>

## **B. Voluntary Conversion Initial Assessments**

How many of the PHA's developments are subject to the Required Initial Assessment? 18

How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? 8

How many Assessments were conducted for the PHA's covered developments? 0

The Authority is completing a format for the assessment of each development subject to the required initial assessment. Based on preliminary information that will be used in the assessment format, it appears that none of the developments will be appropriate for conversion because it would have a negative impact on the number of affordable housing units.

Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
------------------	-----------------

## **C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

### **11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

#### **A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes  No: Does the PHA administer any Homeownership Programs administered by the PHA under an approved section 5(h) Homeownership Program (42 U.S.C. 1437c(h)), or an approved HOPE program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)
2. Activity Description  
Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If

“yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: HOPE I 5(h) Turnkey III Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) Approved; included in the PHA’s Homeownership Plan/Program Submitted, pending approval Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) Part of the development Total development

**B. Section 8 Tenant-Based Assistance**

1 Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
  - Other (list below)  
PHA Application and Admissions Office

**12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

## A. PHA Coordination with the Welfare (TANF) Agency

### 1. Cooperative agreements:

- X Yes Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

-Data Sharing Agreement signed January 29, 1997.

-MOU for Welfare-to-Work Section 8 Tenant Based Assistance Program signed October 5, 2000.

### 2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- X Client referrals  
X Information sharing regarding mutual clients (for rent determinations and otherwise)  
X Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
X Jointly administer programs  
X Partner to administer a HUD Welfare-to-Work voucher program  
X Joint administration of other demonstration program  
Other (describe)

## B. Services and programs offered to residents and participants

### (1) General

#### a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- X Public housing rent determination policies  
X Public housing admissions policies  
X Section 8 admissions policies  
X Preference in admission to section 8 for certain public housing families  
X Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA  
X Preference/eligibility for public housing homeownership option participation  
Preference/eligibility for section 8 homeownership option participation  
Other policies (list below)

#### b. Economic and Social self-sufficiency programs

- X Yes Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Public Housing Drug Elimination Program	3,992/yr	Specific criteria/random selection	CHA community sites	Public Housing
Welfare-to-Work Section 8 Vouchers	700	Specific criteria	Section 8 office/Mecklenburg County DSS office	Section 8
Resident Opportunities (ROSS)	100	Random/FSS Program and other CHA, Section 8	Aurora Center, FIC, and CHA Communities	Both

**(2) Family Self Sufficiency program/s**

a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2002 Estimate)	Actual Number of Participants (As of: 31/10/01)
Public Housing	0	257
Section 8	62 is now the minimum program size for FSS/SC-8 (30 families have graduated)	45

b. X Yes

If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

Minimum program size is not being maintained for Section 8 FSS; however, the FSS Action Plan has been updated to address the steps the PHA will take to achieve at least the minimum program size. There is ongoing recruitment for the program. Recruitment efforts include monthly meetings with DSS to recruit participants for the Family Unification Program, and weekly meetings of the Homeless Services Network where referrals are accepted.

## C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- X Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
  - X Informing residents of new policy on admission and reexamination
  - X Actively notifying residents of new policy at times in addition to admission and reexamination.
  - X Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
  - X Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

## D. Reserved for Community Service Requirement pursuant to section 12© of the U.S. Housing Act of 1937

See nc003e01

## 13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

### A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- X High incidence of violent and/or drug-related crime in some or all of the PHA's developments  
High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- X Residents fearful for their safety and/or the safety of their children  
Observed lower-level crime, vandalism and/or graffiti
- X People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime  
Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- X Safety and security survey of residents
- X Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- X Resident reports
- X PHA employee reports
- X Police reports
- X Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

- Piedmont Courts
- Southside Homes
- Belvedere Homes
- Boulevard Homes
- Dillehay Courts

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- X Crime Prevention Through Environmental Design
- X Activities targeted to at-risk youth, adults, or seniors
- X Volunteer Resident Patrol/Block Watchers Program
- X Other (describe below)
  - Workshops and information sessions held in communities.
  - Live-in police officers in elderly/disabled communities.

2. Which developments are most affected? (list below)

- Piedmont Courts
- Southside Homes
- Belvedere Homes
- Boulevard Homes
- Dillehay Courts
- Strawn Apartments
- Charlottetown Terrace
- Hall House
- Edwin Towers
- Parktowne Terrace

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

-Police department has assigned a full-time officer to PHA staff.

2. Which developments are most affected? (list below)

- Piedmont Courts
- Southside Homes
- Belvedere Homes
- Boulevard Homes
- Dillehay Courts
- Strawn Apartments
- Charlottetown Terrace
- Hall House
- Edwin Towers
- Parktowne Terrace

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

**14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

See Attachment nc003f01

## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below)
  - Development – based management/maintenance
  - Asset value determination by developing an operating pro forma for each property
  - Joint funding for major renovations with the local jurisdiction
3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 ®]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
  2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)  
Attached at Attachment (See Attachment nc001i02)  
Provided below:
  3. In what manner did the PHA address those comments? (select all that apply)  
Considered comments, but determined that no changes to the PHA Plan were necessary.  
The PHA changed portions of the PHA Plan in response to comments  
List changes below:  
Other: (list below)
- Comments were positive; no changes to the PHA Plan were necessary.

### **B. Description of Election process for Residents on the PHA Board**

1. Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)
3. Description of Resident Election Process
  - a. Nomination of candidates for place on the ballot: (select all that apply)  
Candidates were nominated by resident and assisted family organizations  
Candidates could be nominated by any adult recipient of PHA assistance  
Self-nomination: Candidates registered with the PHA and requested a place on ballot  
Other: (describe)
  - b. Eligible candidates: (select one)  
Any recipient of PHA assistance  
Any head of household receiving PHA assistance  
Any adult recipient of PHA assistance  
Any adult member of a resident or assisted family organization  
Other (list)
  - c. Eligible voters: (select all that apply)  
All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)

Representatives of all PHA resident and assisted family organizations  
Other (list)

### **C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: Charlotte, NC
  
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - X The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
  - X The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.  
The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.  
Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)  
Other: (list below)
  
3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments:

The City of Charlotte, NC FY 2003 Consolidated Action Plan supports the PHA Plan by using federal and local funds to address the needs of homeless, low and moderate-income families/individuals. The keys to implementing housing strategies are to develop partnerships with non-profits and for-profit entities, leveraging federal dollars and building the capacity of communities. In addition, the City has adopted five key strategies for serving the housing needs of low-income families:

1. Revise planning, zoning, and building requirements to promote housing affordability.
2. Create new City subsidy programs to encourage public/private partnerships aimed at the production and preservation of affordable housing.
3. Revise the City's housing policies to facilitate the development and preservation of affordable housing.
4. Increase education, outreach and advocacy efforts in the area of affordable housing.
5. Promote mixed housing development by type and price

### **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

Criteria for determining substantial deviation from Five Year Plan and significant amendment or modification to Five Year and Annual Plans:

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners and the public comment process.

## nc003c01

### ADMISSIONS & OCCUPANCY POLICY PROVISION— DECONCENTRATION OF POVERTY

The Admissions and Occupancy Policy of the City of Charlotte, NC (“CHA”) includes the following provisions, which implement CHA’s statutory mandate to deconcentrate poverty in connection with new admissions to public housing:

1. Section 2.3.2 of the A&O Policy reads as follows:

**“2.3.2 Income mixing and deconcentration of poverty.** The Authority will, at least once each year, evaluate data and information reasonably available to it regarding the economic demographics of each of its twenty-two family and scattered site developments in order to determine the respective average household income, from all sources, for each of those developments. Such data and information may include the Authority’s own demographic data, data available to the Authority from HUD, United States Census data, or any other such data reasonably calculated to provide pertinent and accurate information concerning development demographics. At the same time, the Authority will also determine the average household income for all households in its family and scattered site developments (the “Average Income”). Based upon that evaluation, the Authority will designate those family or scattered site developments with average household incomes less than the Average Income as its “Low Income Developments”, and those family or scattered site developments with average household incomes higher than the Average Income as its “High Income Developments”.

“As a Unit becomes available for new occupancy in any of its family or scattered site developments, the Authority will determine whether that Unit should be occupied by an applicant Family whose income is greater or less than the Average Income, with the goal of (a) offering Units in Low Income Developments to Families with incomes greater than the Average Income, and (b) offering units in High Income Developments to Families with incomes less than the Average Income. The Authority will then offer that Unit to the next family on the waiting list whose income satisfies the foregoing goal, which may or may not be the family at the head of the list (i.e., the family whose name is in first position on the waiting list, and who would otherwise receive the offer for the next available Unit in the Authority), and which may accordingly require the Authority to skip down the waiting list in order to reach and then offer the Unit to a Family with the appropriate income. If that Family declines the Unit, the Authority will offer the Unit to the Family at the head of the list, it being the intent of the Authority that Units not remain vacant for an inordinate length of time.

“If the Authority skips down the waiting list in order to reach and then offer a Unit to a Family, and that Family declines the Unit offered to it, then that Family shall receive a second offer of a Unit, but not until its name reaches the head of the waiting list, and such offer shall be made to that Family without any regard to the Family’s income or whether the unit is in a low or high income development. If any Family at the head of the list declines a Unit offered to it, that Family’s application will be re-dated with the date and time the offer was rejected, the Family will be placed at the bottom of the waiting list, and the Family will be notified of that action. However, and notwithstanding the foregoing, nothing in this Section 2.3.2 shall affect the right of an Elderly/Near Elderly Family or a Disabled Family to receive the number of offers of a Unit in the types of communities (family, mixed, or elderly) provided elsewhere in this Admission & Occupancy Policy. Further, nothing in this Section 2.3.2 shall affect, prevent, or interfere with any site-based waiting list adopted by the Authority. Finally, the provisions of this Section 2.3.2 shall provide only one of the factors to be considered in determining admissions to sites at which all residents are required to be participants in the Authority’s Family Self-Sufficiency program; in addition to the provisions of this Section 2.3.2, admissions to those sites shall be in accordance with all other criteria governing admissions to the Authority’s Family Self-Sufficiency program.

“The Authority may, at any time, reevaluate the data available to it regarding the economic demographics of its developments, and may at any time change the developments it has designated as low income developments and high income developments as a result of those reevaluations.

“Nothing in the foregoing Section 2.3.2 shall apply to sites designated for occupancy by the Near Elderly or for those designated for Mixed Occupancy by the Near Elderly, Elderly, and Disabled.”

Note: The Charlotte Housing Authority (Authority) plans to amend Section 2.3.4 of its Admissions and Occupancy Policy entitled “Housing Offers.” Eligible applicants in the categories of (1) Non-elderly, (2) Elderly, (3) Near Elderly, (4) Disabled, and (5) Single Persons who reject unit offers have his/her application placed at the bottom of the waiting list. The Authority plans to amend section 2.3.4, sub-paragraphs (1), (2), (3), (4), and (5) to read “If the applicant rejects the unit offer(s), the applicant’s application will be removed from the public housing waiting list.” The Authority will notify the applicant. Section 2.3.4, sub-paragraph (6) entitled “Special Conditions” will remain in effect. Public notice will be given prior to instituting this policy change.

**nc003d01**

**HOUSING AUTHORITY OF THE CITY OF CHARLOTTE, NC**

**RESIDENT GRIEVANCE POLICY AND PROCEDURES**

**Effective Date: September 16, 1997**

**I. PURPOSE:**

To establish uniform policies and procedures for the presentation, hearing, and disposition of individual grievances of residents in certain, specific cases.

**II. SCOPE:**

This policy and procedures shall apply to residents of Charlotte Housing Authority properties, Charlotte Housing Authority staff, and other persons, departments or agencies involved in the Grievance process concerning residents. This policy and procedures do not apply to employee grievances or any other grievance which is not brought by a resident.

**III. POLICY:**

**A. DEFINITIONS.** The following definitions apply:

**Authority** Housing Authority of the City of Charlotte, N.C.

**Complainant** A resident who presents a grievance to the Authority at its central office or at the Authority's management office for the community in accordance with this procedure.

**Criminal Activity** (a) Any criminal activity that threatens the health or safety of, or the right to peaceful enjoyment of the Authority's premises by, other residents or employees of the Authority; or

(b) Any drug-related criminal activity on or near the premises of the Authority.

<b>Grievance</b>	Any dispute which a resident may have concerning an Authority action or failure to act in accordance with the resident's lease or the Authority's regulations, if the action or failure to act adversely affects the resident's rights, duties, welfare or status.
<b>Hearing Panel</b>	A panel selected in accordance with Section D of this procedure to hear a complainant's grievance and render a decision on it.
<b>Lease</b>	The conventional public housing dwelling lease agreement or homebuyer's agreement for occupancy of a housing unit owned (wholly or in part by either direct or indirect ownership) by the Authority.
<b>Resident</b>	An adult person (or persons) (other than a live-in aide) who resides in a housing unit owned (wholly or in part by either direct or indirect ownership) by the Authority, and either: <p>(a) is a person who executed the lease with the Authority, or, if no such person now resides in the unit,</p> <p>(b) is a person who resides in the unit, and who is the remaining head of household of the family residing in the unit and is listed on the lease.</p>

## **B. PRESENTATION AND INFORMAL SETTLEMENT OF GRIEVANCES**

As the first step in the grievance process, the resident and the Authority must try to resolve the grievance informally, unless the grievance involves eviction or termination of tenancy for criminal activity on the part of a resident or a member of his/her household, in which case the Authority shall not provide any grievance procedure. However, a resident being evicted for criminal activity shall be entitled to examine any relevant Authority documents, records and regulations prior to any judicial proceeding involving that resident as set forth in footnote 2 of this Grievance Policy, and the Authority shall provide copies of a reasonable number of relevant documents to that resident at no charge to him or her.

1. How to Present a Grievance. The resident must present his or her grievance personally, either orally or in writing, at the Authority's office in the resident's housing development or at the Authority's main office, so that the grievance may be discussed informally and, if possible, settled without a hearing. A grievance presented at the main office should be directed to the Authority's Director of Housing Management.

2. When to Present a Grievance. The grievance must be presented within five (5) calendar days after the date of the Authority action or notice of proposed action that the resident disputes. If the fifth calendar day falls on a weekend or a legal holiday, then the 5-day period will be extended to 5:00 p.m. on the first working day after the weekend or holiday.

A notice of lease termination or other notice of proposed action that is sent to the resident by mail shall be presumed to have been received by the resident on the third business day after the date of the notice.<sup>1</sup>

3. Informal Discussion of Grievance. As soon as practicable following presentation of a grievance, the manager or another member of the Authority's staff will meet with the resident to discuss the grievance and try to settle the dispute without a hearing.

4. Written Summary of Discussion. The Authority will prepare a written summary of the discussion, send or personally deliver one copy to the resident, and keep one copy for the resident's file. The summary will specify who participated in the discussion, the date(s) of the discussion, the Authority's decision on what (if anything) it proposes to do about the resident's grievance, and the specific reasons for the Authority's decision. The summary will also describe the procedures the resident must follow to obtain a hearing if he or she is not satisfied with the result of the informal process.

### **C. PROCEDURE FOR OBTAINING A FORMAL HEARING.**

If the resident is not satisfied with the outcome of the informal discussion, the resident may request and obtain a formal grievance hearing.

1. How to Request a Hearing. To obtain a hearing, the resident must submit a written request at the Authority's office in the resident's housing development or at the Authority's main office. A request presented at the main office should be addressed to the Authority's Director of Housing

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<sup>1</sup>If the grievance involves lease termination, residents should remember that the time allowed for presenting a grievance may differ from the time allowed to cure a lease default. For example, a resident has 14 days to pay the overdue rent after receiving a notice of proposed lease termination from the Authority; but only 5 days after receiving that notice to present a grievance based on the proposed termination.

Management. The manager or other Authority staff person who receives the resident's request can assist the resident in preparing the request, which must be signed and dated by the resident and should be co-signed by the assisting staff person.

2. When to Make the Request. To obtain a hearing, the resident must submit the written request for a hearing within five (5) calendar days after the date he or she receives the written summary of the informal discussion. If the fifth calendar day falls on a weekend or a legal holiday, then the 5-day period will be extended to 5:00 p.m. on the first working day after the weekend or holiday.

A written summary of their formal discussion which is sent to the resident by mail shall be presumed to have been received by the resident on the third business day after the date of the notice.

3. What the Request for a Hearing Must Say. The written request must specify, at a minimum:

(a) Nature of Complaint. The nature of the complaint (for example, the reasons the resident believes he or she should not be evicted or the reasons the believes the Authority should or should not take some other action); and

(b) Action Requested. The action the resident wants the Authority to take or refrain from taking (for example, not filing an eviction complaint for poor housekeeping).

#### **D. PROCEDURE FOR SELECTING A HEARING PANEL.**

The Hearing Panel selected to conduct each grievance hearing shall consist of three (3) impartial persons appointed by the Authority according to the following rules and procedures.

1. List of Eligible Panelists. The Authority will at all times maintain a list of eligible panelists who shall have been selected in three separate categories, as follows:

(a) Residents. Residents selected by the Resident's Advisory Council (which is composed of the presidents of the resident organizations in

the Authority's communities) to serve as eligible panelists for terms of one calendar year (or until their successors shall have been elected);

(b) Authority. All Commissioners and those staff members of the Authority appointed by its Executive Director to serve as eligible panelists for terms of one calendar year (or until their successors shall have appointed); and

(c) Neutral. Neutral persons selected to serve as eligible panelists by the Commissioners of the Authority, after considering advice from the Residents' Advisory Council.

The resident and Authority panelists shall normally be selected for the coming calendar year between October 1 and December 31, but additional selections and appointments may be made at any time for terms expiring at the end of that calendar year. The neutral panelists shall be selected as follows:

(a) In or about September of each year, the Authority will solicit suggestions from its staff, its Commissioners, the Residents' Advisory Council, Legal Services of the Southern Piedmont, Inc., and other interested groups for the names of persons who might make suitable, impartial panelists.

(b) On or about October 1 of each year, the Authority will compile a list of names of all suggested panelists who are preliminarily acceptable to its Executive Director and will submit the list to the Residents' Advisory Council for comment within 30 days thereafter.

(c) Upon the expiration of that 30 day period, the Authority will submit to its Commissioners the (i) list of proposed panelists and (ii) any written comments or other indications of approval or disapproval which the Authority shall have received from the Residents' Advisory Council.

(d) By December 31, the Commissioners shall select and appoint (from the persons whose names appear on the list) the persons who are to serve as neutral hearing panelists during the coming year and until their successors shall have been selected. The Commissioners may select other persons to be added to the list during the year, following consideration of written comments, if any, received from the

Residents' Advisory Council within 30 days after the names of those persons shall have been submitted to the Council.

2. Choosing a Panel. For each grievance hearing, the Authority's Director of Housing Management (or his or her designee) shall designate and appoint a hearing panel consisting of three (3) impartial panelists selected from the list of eligible panelists, one from each of the three categories. The Authority's Director of Housing Management (or his or her designee) will use all reasonable efforts to utilize all persons on the list of eligible panelists; however, it is acknowledged that some persons may be unavailable for service because of personal or business commitments or schedules. The panel may include an officer or employee of the Authority (as the Authority appointee), but may not include a person who made or approved the Authority action in question or who is a subordinate of the person who made or approved that action. The neutral appointee shall normally serve as a chairperson of the three-person hearing panel.

**E. CONDITIONS THAT MUST BE MET BEFORE A HEARING CAN BE SCHEDULED (FOR GRIEVANCES INVOLVING THE AMOUNT OF RENT THE AUTHORITY CLAIMS IS DUE).**

1. Payment Requirement. In any grievance involving the amount of rent the Authority claims is due where the Authority claims an increase in rent is due, the complainant shall pay to the Authority an amount equal to the amount of the rent due and payable as of the first of the month preceding the month in which the Authority's action or failure to act took place (i.e., the amount of rent that was due and payable before the act giving rise to the grievance occurred). In any grievance involving the amount of rent the Authority claims is due, where the resident claims he/she is entitled to a decrease in rent, the resident must pay rent in the amount of 30% of his/her income at the time the resident makes the payment, but in no event shall that amount be less than the minimum rent established by the Authority which is in effect at that time. In either case, this payment must be made no later than five (5) calendar days after the date the complainant receives the written summary of the informal discussion (i.e., the same date by which the complainant must make his or her request for a formal hearing). If the fifth calendar day falls on a weekend or a legal holiday, then the 5-day period will be extended to 5:00 p.m. on the first working day after the weekend or holiday. The complainant must pay that same amount of rent to the Authority, on the

regular due date for rent payments, each month thereafter until the complaint is formally resolved by a decision of the hearing panel.

2. No Waiver. These payments by the complainant shall not constitute a waiver by the complainant of his or her grievance, nor shall their acceptance by the Authority constitute a waiver of its right to demand the amount of rent it claims is due or its right to pursue any remedies available to it after the hearing panel issues its decision.

3. Waiver of Payment Requirement in Extenuating Circumstances. The Authority may in its discretion waive this monthly rent payment requirement in extenuating circumstances. Unless the Authority waives this requirement due to extenuating circumstances, the complainant must make these rent payments to the Authority, and if the complainant fails to do so, the Authority shall terminate the grievance procedure and may proceed with its intended action. However, the complainant's failure to make such payments to the Authority shall not constitute a waiver of any right the complainant may have to contest in an appropriate judicial proceeding the Authority's disposition of the grievance.

#### **F. SCHEDULING THE HEARING.**

1. Schedule. Upon complainant's compliance with the requirements for obtaining a hearing, the chairperson of the hearing panel (with administrative assistance from the Authority) shall promptly schedule the hearing for a time and place reasonably convenient to both the complainant and the Authority.

2. Notification. The chairperson of the hearing panel (with administrative assistance from the Authority) shall send the complainant and the Authority's Director of Housing Management (or his or her designee) written notification specifying the time and place of the hearing and a brief statement describing the procedures that will govern the hearing. Requests to change the time or place of the hearing at any time shall be submitted to the chairperson of the hearing panel who may grant or deny it in his or her discretion.

**G. PROCEDURES GOVERNING THE HEARING.**

1. Due Process. The hearing panel will conduct the hearing. The complainant is entitled to a hearing that includes the basic safeguards of due process. These safeguards include the following:

(a) Document Examination and Copying. The opportunity before the hearing to examine and to copy all unprivileged files, documents, records and regulations of the Authority that are directly relevant to the hearing.<sup>2</sup> The Authority shall provide copies of a reasonable number of relevant documents to the complainant at no charge to him or her.

(b) Representation. The right to be represented at the hearing by a lawyer or other representative of the complainant's choice and to have that person make statements on the complainant's behalf;

(c) Private Hearing. The right to a private hearing, unless the complainant requests a public hearing;

(d) Evidence. The right to present evidence and arguments in support of the complainant's position, to dispute and controvert evidence relied on by the Authority, and to confront and cross-examine all witnesses on whose testimony or information the Authority relies;

(e) Excluding Witnesses. The right to request that persons who are expected to testify be excluded from the hearing room except while presenting testimony;<sup>3</sup>

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<sup>2</sup>In a case involving a proposed lease termination, the Authority's notice of termination must inform the resident of the right to examine Authority records. A request to examine documents must be made through the Authority's management office in the complainant's housing development or through the Authority's main office at least 24 hours in advance of the desired examination. The complainant may make his or her examination only during the Authority's regular business hours.

The Authority will make copies or permit the complainant to make copies of a reasonable number of such documents using the Authority's copying equipment at no charge.

If the Authority fails to make a requested, unprivileged and relevant document available to the complainant, the Authority may not rely on the document at the grievance hearing or at a court proceeding.

<sup>3</sup>The Authority may also make such a request. The request in either case shall be granted or denied in the discretion of the hearing panel.

(f) Accommodations for Persons with Disabilities. Reasonable accommodation for persons with disabilities to participate in the hearing;<sup>4</sup> and

(g) Decision. A decision by the hearing panel based solely and exclusively on the facts presented at the hearing.

2. Issue Previously Decided. The hearing panel may render a decision without proceeding with the hearing if the hearing panel determines that the issue in dispute has already been decided in an earlier proceeding involving the rights of a complainant (for example, an earlier grievance hearing or a court proceeding).

3. Failure to Appear. If the complainant or the Authority fails to appear at a scheduled or rescheduled hearing, the hearing panel may decide to:

(a) Postpone. Postpone the hearing for no more than five business days; or

(b) Waive. In the case of the complainant's failure, rule that the complainant has waived the right to a grievance hearing; or

(c) Proceed. In the case of the Authority's failure, hear the complainant's evidence and rule based solely on it.

The hearing panel shall notify both the complainant and the Authority of the panel's determination. A determination that the complainant has waived his or her right to a hearing shall not constitute a waiver of any right the complainant may have to contest in an appropriate judicial proceeding the hearing panel's disposition of the grievance.

4. Burden of Persuasion. At the hearing, the complainant must first make a showing that he or she is entitled to the relief sought. If the complainant makes that showing, the Authority must then sustain the

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<sup>4</sup>These accommodations may include qualified sign language interpreters, readers, accessible locations, or attendants. If the resident is visually impaired, any notice to the resident that is required by this procedure must be in an accessible format.

burden of justifying the Authority's action or failure to act, which is the subject of the grievance.

5. Informality. The hearing panel will conduct the hearing informally and will receive testimony, documents and other evidence relevant to the grievance without regard to the rules of evidence that would apply in court. The complainant, the Authority, and any lawyer or other representative for these parties will respect this informality and will not object to evidence as he or she might do if formal rules of evidence applied, though the parties and their representatives are free to argue that certain evidence (for example, hearsay) is less reliable. The hearing panel may refuse to hear or accept offered evidence if the panel considers it repetitive or irrelevant.

6. Orderliness. The hearing panel shall require that the complainant and the Authority, and their lawyers or other representatives, and all other participants and spectators, conduct themselves in an orderly fashion. Failure to comply with the hearing panel's orders on comportment at the hearing or on the admission of evidence may result in exclusion from the hearing or in a decision adverse to the noncomplying party.

7. Transcript. The complainant or the Authority may arrange, in advance, for a transcript of the hearing to be made at the expense of the requesting party. Any interested person may purchase a copy of the transcript.

## **H. DECISION OF THE HEARING PANEL.**

1. Written Decision. The hearing panel will prepare a written decision, including the reasons for the decision, within a reasonable time after the hearing. A copy of the decision will be sent to the complainant and the Authority, which will retain a copy of the decision in the complainant's file. The Authority shall also keep on file a copy of the decision, with all names and identifying references deleted, which the Authority shall make available for inspection by a prospective complainant, his or her representative, or the hearing panel.

2. Form and Content of Decision. The Authority may provide the hearing panel sample decision forms, conforming to the requirements of this procedure, and administrative assistance, to assist the panel in the preparation of a written decision.

In a case involving lease termination, a decision upholding the Authority's decision to terminate the lease will advise the complainant that:

(a) Eviction Possible. The Authority may begin an eviction action if the resident does not vacate by the first to occur of the following: (i) the expiration of the notice period stated in the notice of lease termination, or (ii) the third (3rd) day after the decision of the hearing panel;

(b) Cost of Eviction. The complainant may be required to pay the costs of an eviction action; and

(c) Proof of Good Cause. If the resident contests an eviction action, the Authority will have to prove in court that its reasons for terminating the lease constitute good cause for lease termination under North Carolina law.

3. Binding Effect. The decision of the hearing panel shall be binding on the Authority, and the Authority will take all actions or refrain from taking any actions necessary to carry out the decision, unless the Authority's Board of Commissioners decide within a reasonable time and promptly notify the complainant that the Board has determined that:

(a) No "Grievance". The resident's complaint does not constitute a "grievance" as that term is defined in this procedure (for example, if the complainant involves a dispute between residents rather than between a resident and the Authority, or if the grievance has to do with a policy change a resident thinks the Authority should make); or

(b) Contrary to Law. The decision of the hearing panel is contrary to applicable Federal, State or local law including HUD regulations, or contrary to the requirements of the Annual Contributions Contract between HUD and the Authority.

A decision of the hearing panel or Commissioners in favor of the Authority, or a decision that denies all or any part of what the complainant sought at the grievance hearing, does not in any way affect the right of the complainant in any court action on the subject that may take place later.

**I. WAIVER OR LOSS OF RIGHT TO GRIEVANCE HEARING.**

If the resident does not begin the grievance process by requesting an informal settlement discussion within the time allowed, the hearing panel at any hearing on the resident's grievance may rule against the resident solely on that basis. However, the hearing panel may refrain from doing so upon finding that the resident has shown good cause for the failure to begin the process on time.

If the resident does not request a hearing on time or in the required manner, the Authority will have the right to proceed with eviction proceedings or such other action as may have been the subject of the grievance.

If the resident waives or otherwise loses his or her right to a grievance hearing, he or she shall not lose the right to contest in an appropriate judicial proceeding the Authority's disposition of the grievance.

**nc003e01**

**IMPLEMENTATION OF PUBLIC HOUSING COMMUNITY SERVICE  
REQUIREMENT**

**(THE COMMUNITY SERVICE REQUIREMENT WILL NOT BE IMPLEMENTED IN  
NON-HOPE VI DEVELOPMENTS PURSUANT TO FY202 HUD APPROPRIATIONS  
ACT, UNTIL CHA IS OTHERWISE DIRECTED.)**

**1. The administrative steps being taken to implement the requirement:**

The Community Service Requirement has been incorporated into the public housing lease. A written description of the service requirement was developed after gathering input from residents (via Resident Advisory Council) and staff. A copy of the full administrative policy is included as a supporting document to the CHA's Agency Plan.

For new residents to public housing, participation status is determined at lease signing. For current residents of public housing, the requirement is being phased in at annual re-certification. A checklist is used to determine exemption status. This checklist is made a part of the resident's permanent file. In addition, residents sign a "Community Service Compliance Certification" form that lists all household members who are required to complete the service requirement. A form has also been developed that is completed by the local TANF agency in order to verify family members' participation in a state welfare program.

The Charlotte Housing Authority is administering the Community Service Requirement.

**2. The programmatic aspects of the requirement:**

Residents may participate in activities that are a public benefit, serve to improve quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. This excludes, however, employment and political activities.

An extensive list of eligible activities has been developed, and includes opportunities with agencies such as: Boy/Girl Scouts, schools, hospitals, libraries, food banks, and other local non-profits. The list also includes numerous opportunities within the Charlotte Housing Authority: Learning Centers, Resident Organizations, serving as chaperones for youth field trips, etc.

The process to cure noncompliance is as follows:

a) the resident must enter into a written agreement with the CHA to cure such noncompliance by completing the additional hours of community service or economic self-sufficiency activity needed to make up the total number of hours required over the twelve-month term of the new lease, and in fact cure such noncompliance in accordance with such agreement; or

b) the family provides written assurance satisfactory to the CHA that the resident or other noncompliant resident no longer resides in the unit.

**nc003f01**

**PET POLICY AND PROCEDURES**

**Public Housing Developments Other Than Developments  
for the Elderly or Persons with Disabilities**

**REVISION**

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Pending public review, Section IV, #4 of the pet policy will be revised to reduce the pet deposit from \$1,650 to \$750, \$150 of which will be non-refundable.

# nc003f01

## HOUSING AUTHORITY OF THE CITY OF CHARLOTTE, NC

### PET POLICY AND PROCEDURES

#### **Public Housing Developments Other Than Developments for the Elderly or Persons with Disabilities**

**Effective Date: June 11, 2001**

#### **I. PURPOSE**

The purpose of this policy is to establish guidelines regarding the owning and keeping of pets in public housing developments owned or managed by the Housing Authority of the City of Charlotte, N.C. (the "Housing Authority") other than public housing developments for the elderly or persons with disabilities.

#### **II. SCOPE**

These guidelines apply to all public housing developments owned or managed by the Housing Authority other than public housing developments for the elderly or persons with disabilities.

#### **III. POLICY**

All residents in public housing developments owned or managed by the Housing Authority other than developments for the elderly or persons with disabilities are allowed to own and keep certain common household pets in their respective units, subject to the following rules and regulations.

Those common household pets are limited to small dogs; small cats; small tropical birds such as parakeets, budgies, etc.; and fish suitable for and commonly kept in a home aquarium. They do not include dangerous breeds of dogs (such as Doberman pinschers, pit bulls [a/k/a American Staffordshire terriers], or Rottweilers) of any age or size; any bird other than small tropical birds which are commonly kept as pets; or dangerous fish. No other animals other than those listed in this paragraph may be kept as pets on any Housing Authority property.

These rules are part of the dwelling lease between the Housing Authority and the resident by reference and are enforceable accordingly.

These rules do not apply to animals that are used to assist the handicapped and/or disabled.

#### **IV. ESTABLISHED RULES FOR KEEPING COMMON HOUSEHOLD PETS:**

1. Approval by the Housing Authority as evidenced by a signed lease addendum must be obtained prior to a resident owning and/or keeping a pet in the dwelling unit.

2. The Housing Authority will allow only the following common household pets: small dogs; small cats; small tropical birds such as parakeets, budgies, etc.; domesticated rabbits, hamsters and guinea pigs (They must be caged at all times.); and fish suitable for and commonly kept in a home aquarium. The Housing Authority will not allow dangerous breeds of dogs (such as Doberman pinschers, pit bulls [a/k/a American Staffordshire terriers], or Rottweilers) of any age or size; any bird other than small tropical birds which are commonly kept as pets; or dangerous fish. No other animals other than those listed in this paragraph may be kept as pets on any Housing Authority property. There is a limit of one pet per dwelling unit, with the exception of fish and birds.
3. A dog or cat must not weigh over 20 pounds when fully grown. Dogs and cats must be on a leash and accompanied by the owner whenever outside the dwelling unit.

Birds, rabbits, hamsters and guinea pigs must be caged at all times. Aquariums cannot exceed ten (10) gallons each.

4. There is a mandatory non-refundable pet deposit of \$150.00 and a refundable pet deposit of \$1,500.00 for a dog or cat. Both deposits are due and payable in full at the time of the execution of the lease addendum allowing the pet to be present. The foregoing pet deposits are in addition to the security deposit paid by the resident.

The pet deposit may be used by the Housing Authority in its discretion to pay for reasonable expenses directly attributable to the presence of the dog or cat in the property, including (but not limited to) the cost of repairs and replacement to, and fumigations of, the resident's dwelling unit, as well as pet-caused damage to any public or common areas. In the event that the pet deposit or any part of it is used by the Housing Authority to pay for such reasonable expenses, the Housing Authority will notify the resident and the resident will be required to replenish the deposit in accordance with paragraph 1 of this Rule.

The Housing Authority shall refund the unused portion of the pet deposit to the resident within a reasonable time after the resident has moved from the property or no longer owns or keeps a pet in the dwelling unit.

5. Residents will be prohibited from owning and keeping pets in the dwelling unit or on Housing Authority property which the Housing Authority reasonably believes to be dangerous to other pets, residents or staff such as dangerous breeds of pets, including, but not limited to, dog breeds such as Doberman pinschers, pit bulls [a/k/a American Staffordshire terriers], and Rottweilers, regardless of their sizes.
6. Residents must abide by all state and local laws and ordinances governing the owning and keeping of pets, including all licensing and permit requirements, where applicable.
7. The resident must furnish a current license tag, where applicable, a current photograph of the pet, a statement(s) from a veterinarian showing that the dog or cat has had all inoculations and has been spayed or neutered prior to the signing of the

lease addendum, and must thereafter furnish a current license tag, where applicable, a current photograph of the pet and/or statements with respect to inoculations at the resident's subsequent yearly recertifications.

8. Pet Care

- a. No outside cages, fences, or houses are permitted
- b. Waste shall be disposed of immediately and properly in sealed plastic bags. Litter in cat litter boxes must be disposed of no less often than twice per week; waste must be scooped from cat litter boxes no less often than daily. Precautions must be taken by the resident to eliminate odors and maintain sanitary conditions inside the unit.
- c. Food for pets must be sealed in a container kept inside the apartment.
- d. Pets will not be allowed in areas such as lobbies, meeting rooms and laundry rooms. Dogs and cats must be carried on elevators and when passing through common areas to the outside. Dogs and cats must be kept on leashes when outside on Housing Authority property.
- e. Dogs and cats cannot be left alone over eight (8) hours. The Housing Authority will attempt to contact the pet owner or responsible parties designated by the pet owner if any pet has been left unattended for more than 24 hours; however, in the event the Housing Authority is unable to obtain a favorable response to those efforts, the Housing Authority may remove or cause the appropriate authorities to remove the pet to a kennel or other facility, which will be at the pet owner's expense.
- f. The resident will be responsible to ensure that the pet does not disturb the neighbors, create odor problems, or constitute a threat or nuisance to others.
- g. Residents cannot take pets with them while visiting other Housing Authority residents. Also, visitors will not be allowed to bring pets onto Housing Authority property for the purpose of either visiting or pet sitting. Neighbors who pet-sit must do so in the pet owner's apartment.
- e. Exterminations for fleas, mites, etc. at the pet owner's apartment will be the resident's responsibility; exterminations for fleas in common areas and in neighboring apartments shall be undertaken at the pet owner's expense.
- i. The Housing Authority assumes no responsibility for pets during its own pest extermination program. The resident will be required to remove the pet during the Housing Authority-provided exterminations.
- j. The Housing Authority reserves the right to inspect any pet owner's unit without prior notice if the Housing Authority has reasonable cause to suspect the pet is not being cared for and/or that the resident is unable to care for the pet properly.

- k. The resident must be present during a scheduled dwelling unit inspection and any maintenance work order service if the unit is occupied by a dog or cat or other unrestrained ambulatory pet.
- l. Pet bedding shall not be washed in any common laundry facilities.
- m. Pets cannot be kept, bred or used for any commercial purpose.

#### 10. Pet Safety

- a. The Charlotte Housing Authority reserves the right to refuse pet ownership to any resident that the Housing Authority has reason to believe is unable to care for a pet properly.
- b. Pets are not to be left chained or leashed outside the dwelling unit or anywhere on Housing Authority property.
- c. Pets must be leashed and restrained under the control of a responsible person while being exercised outside the resident's dwelling unit.
- d. Any pet waste must be scooped, bagged, and properly disposed of immediately in all areas, including pet waste dropped outdoors.
- e. The Housing Authority has the right to require removal of a pet if the pet's conduct or condition is determined to constitute a nuisance or threat to other residents, visitors, or staff.
- f. Any pet which bites, attacks or threatens a human or animal shall be removed from Housing Authority property and permanently banned from all property owned by the Housing Authority.
- g. A resident who violates any conditions of this policy may be required to remove his/her pet from the development within 10 days of written notice from the Housing Authority. The resident may also be subject to termination of his/her dwelling lease.
- h. The Housing Authority's grievance procedures shall be applicable to all individual grievances or disputes arising out of violations or alleged violations of this policy.

#### 11. Emergencies

- a. Prior to obtaining a pet, the resident must have at least two responsible persons who will sign a statement agreeing to remove the pet from the premises if the owner becomes ill or incapacitated or dies.
- b. The Housing Authority has the right to remove the pet to a shelter at resident's expense in the case of emergency.

12. The Charlotte Housing Authority carries no insurance for pet owners with respect to any action by or to their pets. Residents are responsible for any damage caused by their pet, including the cost of fumigating or cleaning the unit. Also, any pet related insect infestation in the pet owner's unit will be the financial responsibility of the resident. The CHA reserves the right to exterminate, fumigate and clean any resident's unit and charge the responsible pet owner. A resident assumes full responsibility and liability for the pet and agrees to hold the CHA harmless from any claims caused by an action or inaction of the pet. A resident will be held responsible for any pets residing in his/her unit.
13. The Housing Authority reserves the right to create pet-free areas within any CHA property; in which event, a pet owner may be required to relocate to another dwelling unit.

**PET POLICY- PUBLIC HOUSING DEVELOPMENTS OTHER THAN DEVELOPMENTS  
FOR THE ELDERLY OR PERSONS WITH DISABILITIES**

1. Section I entitled Purpose will be revised to read:

The purpose of this policy is to establish guidelines regarding the owning and keeping of pets in public housing developments owned or managed by the Housing Authority of the City of Charlotte, N.C. (the Housing Authority□) other than public housing developments for the elderly or persons with disabilities.

2. Section II entitled Scope will be revised to read as follows:

These guidelines apply to public housing developments owned or managed by the Housing Authority other than public housing developments for the elderly or persons with disabilities.

3. Section III entitled Policy will be revised to read as follows in the first paragraph:

All residents in public housing developments owned or managed by the Housing Authority other than developments for the elderly or persons with disabilities are allowed to own and keep certain common household pets in their respective units, subject to the following rules and regulations.

No changes to the remaining paragraphs in this section.

4. Section IV entitled Established Rules for Keeping Common Household Pets will be revised to read as follows:

There is a mandatory non-refundable pet deposit of \$150.00 and a refundable pet deposit of \$1,500.00 for a dog or cat. Both deposits are due and payable in full at the time of the execution of the lease addendum allowing the pet to be present. The foregoing pet deposits are in addition to the security deposit paid by the resident.

No changes to the remaining paragraphs in this section.

## nc003g01

### STATEMENT OF PROGRESS IN MEETING THE 5-YEAR PLAN, MISSION AND GOALS

This section will outline the progress made by the CHA in meeting its mission and goals in its current 5-Year Plan.

#### **HUD Strategic Goal: Increase the availability of decent, safe and affordable housing.**

To achieve the goal of expanding the supply of assisted public housing units the CHA will focus on the following areas:

**Development/Acquisition of Additional Units:** The Authority has created a development subsidiary whose sole purpose is to expand the supply of assisted housing in Charlotte/Mecklenburg. The subsidiary will be governed by a board of directors with skill in varying aspects of housing development. The CHA will leverage its real estate assets and funds from the Replacement Housing Factor Grant to develop mixed-income communities whose percent of assisted housing does not exceed 30%. We will also pursue the acquisition of existing communities.

A mixed-finance approach is our preferred model. Preliminary development work indicates that a mixture of land sale proceeds, HUD funds, low-income housing tax credits, bond financing, and conventional debt are available. In addition, the City of Charlotte will submit a bond referendum to voters in November 2002 to create a Housing Trust Fund. The fund will establish a competitive pool of dollars aimed at providing gap financing for affordable housing projects. Because the lowest income rental market has been identified as the highest priority for the Fund, the CHA's priority will be to submit competitive applications for replacement of housing units lost.

Additionally, it is our intention to convert our current inventory of scattered sites to mixed-income larger communities. Our general methodology will be to sell selected scattered sites to private developers and/or non-profit developers and use the sale proceeds to develop or acquire larger apartment communities on a different site. The communities will be composed of market rate and public housing apartments. In one or two instances we will maintain ownership of the land and partner with a private developer to redevelop the community on the same site. In order to facilitate this strategy, we are proposing to dispose the scattered sites into one of our development subsidiaries. Disposition into a subsidiary is meant to allow us to move quickly to accomplish real estate transactions as they present themselves.

**HOPE VI:** Through our First Ward, Arbor Glen and Fairview Homes HOPE VI grants, the CHA will be leveraging private and other local government funds to acquire or build units to provide off-site replacement housing. Furthermore, we have submitted a 2001 HOPE VI grant application to receive funding for the demolition and redevelopment of Piedmont Courts. The application narrowly missed funding, however, we will resubmit in 2003.

## **HUD Strategic Goal: Improve the quality of assisted housing.**

To improve the quality of assisted housing the CHA initiated the following:

**Property Database:** Developed a computer database from the PHAS results. This database has and will guide CHA staff in addressing the deficiencies outlined in the PHAS results in a systematic and priority order. Likewise, using the SEMAP results as a guide, the Section 8 Department will continue to improve voucher management.

**Section 8 Budget Revision:** The CHA will also lobby HUD for some change in our budget formula for Section 8. Our current Approved Budget Amount (ABA) allows us to achieve a voucher utilization rate of only 80%.

**Asset Management:** In addition, the CHA has begun the process of building its operating and capital budgets from the site up. The new budget process will impact accounting procedures and move accountability and responsibility to site managers and site maintenance personnel. The new process is one component of our renewed emphasis on asset management. We have reorganized to form an Asset Management Division that oversees the Capital Program and Property Management while compiling financial information on each of the Authority's assets. Our asset management goal is to maximize the value of public housing communities by enhancing their long-term physical and financial viability with the appropriate capital renovations, while providing cost effective on-going maintenance, increasing the rental income, influencing residents' behavior toward greater compliance with the lease, and limiting overhead costs.

We have also developed a formal preventive maintenance program that will address repair and equipment replacement issues in a more organized and cyclical way. The program is meant to address major systems such as HVAC, plumbing and electrical.

**Customer Service:** The CHA has already begun to increase customer satisfaction by providing skills and practices in customer service training to staff. This training will continue on a regular basis. Customer service has also been institutionalized into the performance appraisal system. Our conversion to site-based management and maintenance will also enable us to hold site managers and maintenance staff more accountable for customer service. As a part of this transition, we distributed our own customer satisfaction survey to all public housing residents. The responses will serve as a baseline database that should help us improve our services.

## **HUD Strategic Goal: Increase assisted housing choices.**

To achieve the goal of increased assisted housing choices the CHA has initiated the following:

**Relocation Assistance:** Entered into a Memorandum of Understanding with the City of Charlotte to provide assistance and counseling to Section 8 participants in the City's Relocation Program find housing. In addition, the CHA will be using the website SocialServe.com to assist participants in locating housing within the neighborhood at rents they can afford.

**Outreach & Homeownership:** Within the past 12 months the CHA has attracted 75 new landlords to the Section 8 program. This was a result of direct marketing to landlords and the CHA Board of Commission's adoption of 110 percent and 120 percent Fair Market Rents. The CHA has a successful Home Ownership program already in place. {If HUD, through these PHA Plans, takes no objection, the CHA is ready to implement a site-based waiting list.}

**HUD Strategic Goal: Improve quality of life and economic vitality.**

To improve community quality of life and economic vitality measures to deconcentrate poverty:

**Deconcentration Program:** A Deconcentration Program is planned for nine CHA communities falling between the 85-115 percent range, unless justification can be shown through these PHA Plans.

**Resident Safety:** Security improvements will be accomplished through the Resident Safety Department and Crime Prevention Through Environmental Design (CPTED) capital improvements funded by HUD PHDEP grants. In addition, the Charlotte-Mecklenburg Police Department has assigned a police officer to serve as a full-time liaison to the CHA.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals.**

The CHA has and will continue to strive to have a premier family self-sufficiency Program. Since 1992, a total of 550 families have graduated from the CHA's Family Self-Sufficiency Program. Over 50 percent of graduating families have purchased single-family homes. There are currently 541 families participating in the CHA's Family Self-Sufficiency Program. Incomes for families in the CHA's Family Self-Sufficiency Program will increase by 25 percent through supportive services and job training.

**nc003h01**

**Resident Member on the PHA Governing Board**

1.  Yes  No Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (If no, skip to #2)

A. Name of resident member(s) on the governing board: Ms. Mary B. Stitt

B. How was the resident board member selected: (select one)?

Elected

Appointed

C. The term of appointment is (include the date term expires): Three years (12/31/03)

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

The PHA is located in a state that requires the members of a governing board to be salaried and serve on a full-time basis.

The PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (Explain):

B. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

## **nc003i01**

### **MEMBERSHIP OF THE RESIDENT ADVISORY BOARD OR BOARDS**

#### **Public Housing Resident Advisory Board**

Mary Stitt, President  
Patricia Holmes, Secretary  
Rosa Dargins-Hunter  
Velma Jones

#### **Section 8 FSS Participants**

No Participation from Section 8 was achieved.

Comments Received were as follows:

#### **Resident Advisory Board Meeting held on 01/07/03at 11:30 am - In Attendance:**

Mary B. Stitt – RAB President  
Rosa Dargins-Hunter – RAB Member  
Velma Jones – RAB Member  
Cheryl Campbell, Capital Assets Director, Charlotte Housing Authority  
Joe Hecksher, Interim COO, Charlotte Housing Authority  
Sonny McMahan, Director of Property Management  
Stephanie Orrell, Administrative Assistant, Charlotte Housing Authority

Introduction: Cheryl Campbell

The Agency Plan document was reviewed and RAB members were given the opportunity to ask questions or state any issues or concerns regarding each section.

#### **Issue:**

- Mary Stitt stated that residents had issues with the statement used in the agency plan, located in the PHA's mission section stating "...to provide these families with safe, decent and sanitary housing..." due to concerns with maintenance issues, such as pest control, work quality, carpet cleaning, etc.

**CHA Response:** CHA anticipates revamping the Pest Control program by April 1, 2003 and the residents were urged to call their managers with any existing problems. CHA further stated that we were developing an extensive Quality Control and Preventive Maintenance programs, both of which will be funded in the current 2003 Capital Fund Budget attached to the agency plan. In addition, the housing authority has been able to establish a cycle painting program, which should address some of the residents' concerns regarding painting.

**Other Issues Noted:**

- Rosa Dargins-Hunter stated that in noting the Disposition/Demolition of a number of CHA properties in the plan, they requested that prior to any such action, CHA would meet with the residents in advance.

**CHA Response:** Any such Disposition/Demolition action mandates a very lengthy process including extensive resident participation.

**Additionally, the following requests were made not related to the agency plan:**

- Ms. Stitt requested a list of the FSS graduates that have graduated over the past 24 months.
- Residents asked if FSS participants dropped out or were terminated from the program, once they reapply, could they go back to the same place they were at when they left.
- Residents wanted to know if it is possible to share laundry room income like they do with vending machine income.
- Residents requested a copy of the ban list.
- RAB Members would like assistance from CHA staff in completely understanding the differences and uses of the Resident Participation Fund and Tenant Services.
- Ms. Hunter asked if the residents are able to elect the Resident Board Member on the CHA Board of Commissioners.

CHA staff will provide answers to the issues listed above within the next 30 days.

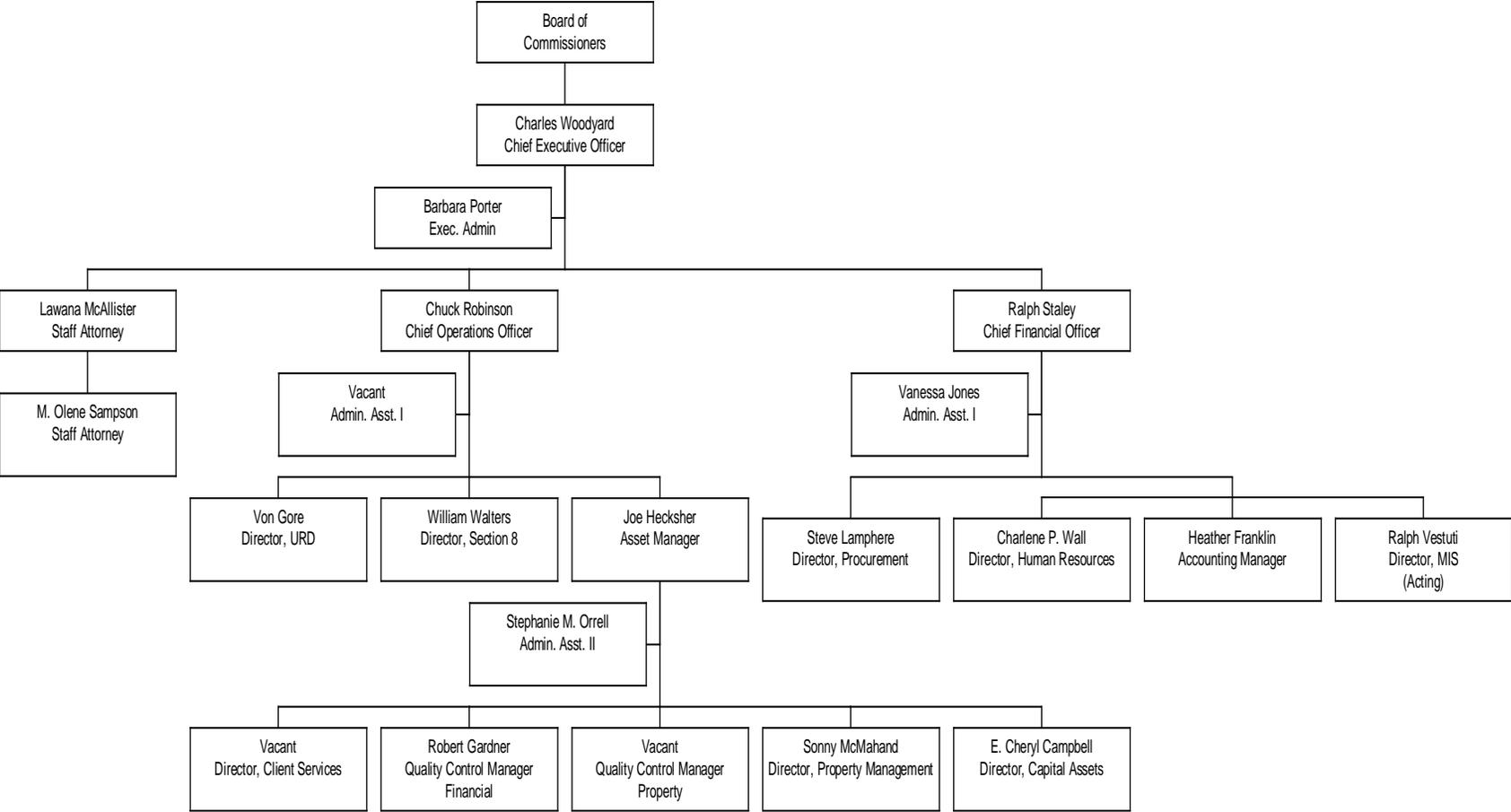
CHA staff urged the RAB members to re-establish the Capital Fund Resident Committee.

CHA staff also offered to attend any future RAC meetings to continue discussions of the agency plan, if required.

RAB members were also reminded that all documentation incorporated in this plan is in the library located at the Central Office, which is at 1301 South Boulevard, Charlotte, NC 28203.

Meeting adjourned at 12:30 p.m.

**nc003j01**  
**Charlotte Housing Authority**  
**Functional Organizational Chart**



**nc003k01**

Development Name	November 2001 Race Percentages				November 2002 Race Percentages				Percent Change in Race from October 2001			
	White	Black	Indian	Asian	White	Black	Indian	Asian	White	Black	Indian	Asian
BELVEDERE HOMES	3%	97%	0%	0%	3%	97%	0%	0%	0%	0%	0%	0%
BOULEVARD HOMES	0%	99%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%
DILLEHAY COURTS	1%	67%	0%	1%	1%	99%	0%	0%	0%	32%	0%	-1%
PIEDMONT COURTS	1%	98%	0%	0%	0	100%	0%	0%	-1%	2%	0%	0%
SOUTHSIDE HOMES	0%	99%	0%	0%	1%	99%	0%	0%	-1%	0%	0%	0%
CHARLOTTETOWN	22%	77%	0%	1%	17%	73%	0%	1%	-5%	4%	0%	0%
EDWIN TOWERS	15%	85%	0%	0%	18%	79%	0%	0%	3%	-6%	0%	0%
HALL HOUSE	16%	84%	0%	1%	4%	90%	0%	1%	-12%	6%	0%	0%
PARKTOWNE TERRACE	40%	60%	0%	1%	46%	50%	0%	1%	-6%	-10%	0%	0%
STRAWN APARTMENTS	24%	73%	0%	3%	25%	69%	0%	4%	1%	-4%	0%	1%
ARBOR GLEN	0%	98%	0%	0%	0%	100%	0%	0%	0%	2%	0%	0%
FIRST WARD PLACE ***	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CEDAR KNOLL	0%	100%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%
CLAREMONT	0%	100%	0%	0%	2%	98%	0%	0%	2%	-2%	0%	0%
GLADEDALE	0%	100%	0%	0%	0%	95%	0%	1%	0%	-5%	0%	1%
LEAFCREST	2%	98%	0%	0%	2%	96%	0%	0%	0%	-2%	0%	0%
LIVE OAK	7%	94%	0%	0%	0%	100%	0%	0%	-7%	6%	0%	0%
MALLARD RIDGE	0%	97%	0%	0%	0%	100%	0%	0%	0%	3%	0%	0%
MEADOW OAKS	3%	97%	0%	0%	3%	97%	0%	0%	0%	0%	0%	0%
ROBINSDALE	0%	100%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%
SAVANNAH WOODS	0%	100%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%
SUNRIDGE	0%	100%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%
TALL OAKS	1%	100%	0%	0%	0%	100%	0%	0%	-1%	0%	0%	0%
TARLTON HILLS	0%	100%	0%	0%	9%	91%	0%	0%	9%	-9%	0%	0%
VICTORIA SQUARE	0%	100%	0%	0%	0%	95%	0%	0%	0%	-5%	0%	0%
WALLACE WOODS	2%	98%	0%	0%	0%	90%	0%	10%	-2%	-8%	0%	0%

**nc003k01**

Development Name	October 2001 Race Ethnicity Percentages		November 2002 Race Ethnicity Percentages		Percent Change in Race from October 2001	
	Hispanic	Non-Hispanic	Hispanic	Non-Hispanic	Hispanic	Non-Hispanic
BELVEDERE HOMES	3%	97%	0%	100%	-3%	3%
BOULEVARD HOMES	0%	99%	0%	100%	0%	1%
DILLEHAY COURTS	1%	67%	0%	100%	-1%	33%
PIEDMONT COURTS	1%	98%	0%	100%	-1%	2%
SOUTHSIDE HOMES	0%	99%	0%	100%	0%	1%
CHARLOTTETOWN	22%	77%	9%	91%	-13%	14%
EDWIN TOWERS	15%	85%	3%	97%	-12%	12%
HALL HOUSE	16%	84%	5%	95%	-11%	11%
PARKTOWNE TERRACE	40%	60%	4%	96%	-36%	36%
STRAWN APARTMENTS	24%	73%	3%	97%	-21%	24%
ARBOR GLEN	0%	98%	0%	100%	0%	-2%
FIRST WARD PLACE ***	N/A	N/A	N/A	N/A	N/A	N/A
CEDAR KNOLL	0%	100%	0%	100%	0%	0%
CLAREMONT	0%	100%	0%	100%	0%	0%
GLADEDALE	0%	100%	4%	96%	4%	-4%
LEAFCREST	2%	98%	2%	98%	0%	0%
LIVE OAK	7%	94%	0%	100%	-7%	6%
MALLARD RIDGE	0%	97%	0%	100%	0%	3%
MEADOW OAKS	3%	97%	0%	100%	-3%	3%
ROBINSDALE	0%	100%	0%	100%	0%	0%
SAVANNAH WOODS	0%	100%	0%	100%	0%	0%
SUNRIDGE	0%	100%	0%	100%	0%	0%
TALL OAKS	1%	100%	0%	100%	-1%	0%
TARLTON HILLS	0%	100%	0%	100%	0%	0%
VICTORIA SQUARE	0%	100%	5%	95%	5%	-5%
WALLACE WOODS	2%	98%	0%	100%	-2%	2%

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-004</b>	<b>Belvedere Homes</b>	<b>2</b>	<b>1.20%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
REPLACE HOT WATER HEATERS			\$38,250	2005
REPLACE FURNACES			\$255,000	2005
<b>Total estimated cost over next 5 years</b>			<b>\$293,250</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-006</b>	<b>Edwin</b>	<b>0</b>	<b>0.00%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
REPLACE/REBUILD BOILERS			\$150,000	2003
REPLACE HVAC THRU WALL UNITS			\$48,000	2003
KITCHEN RENO/PAINT/BATH TILE			517,515	2007
<b>Total estimated cost over next 5 years</b>			<b>\$715,515</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-007</b>	<b>Strawn</b>	<b>2</b>	<b>0.01%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
REPLACE HVAC THRU WALL UNITS			45,600	2003
KITCHEN RENO/PAINT/BATH TILE (COTTAGES)			486,060	2007
KITCHEN RENO/PAINT/BATH TILE (HIGH RISE)			652,330	2007
<b>Total estimated cost over next 5 years</b>			<b>\$1,183,990</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-016</b>	<b>Leafcrest</b>	<b>6</b>	<b>12.20%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION			729,600	2005
<b>Total estimated cost over next 5 years</b>			<b>\$729,600</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-016</b>	<b>Cedar Knoll</b>	<b>1</b>	<b>2.00%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION			1,274,000	2003
RELOCATION			\$29,400	
<b>Total estimated cost over next 5 years</b>			<b>\$1,303,400</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-018</b>	<b>Charlottetown</b>	<b>0</b>	<b>0.00%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
KITCHEN RENO/PAINT/BATH TILE			556,335	2005
<b>Total estimated cost over next 5 years</b>			<b>\$556,335</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-019</b>	<b>Parktowne</b>	<b>0</b>	<b>0.00%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
REPLACE HVAC UNITS			45,600	2003
<b>Total estimated cost over next 5 years</b>			<b>\$45,600</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-020</b>	<b>Tall Oaks</b>	<b>1</b>	<b>1.00%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
SITE WORK			20,000	2005
<b>Total estimated cost over next 5 years</b>			<b>\$20,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-021</b>	<b>Savanna Woods</b>	<b>0</b>	<b>0.0%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION/AC			1,058,400	2004
<b>Total estimated cost over next 5 years</b>			<b>\$1,058,400</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-021</b>	<b>Mallard Ridge</b>	<b>3</b>	<b>8.50%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION/AC			679,000	2003
<b>Total estimated cost over next 5 years</b>			<b>\$679,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-023</b>	<b>Tarlton Hills</b>	<b>1</b>	<b>4.76%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
LANDSCAPING			25,000	2005
INTERIOR RENOVATION/AC			\$1,722,200	2006
<b>Total estimated cost over next 5 years</b>			<b>\$1,747,200</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-024</b>	<b>Robinsdale</b>	<b>1</b>	<b>4.00%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION			690,000	2005
<b>Total estimated cost over next 5 years</b>			<b>\$690,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-025</b>	<b>Gladedale</b>	<b>3</b>	<b>6.10%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION/AC			1,303,400	2004
<b>Total estimated cost over next 5 years</b>			<b>\$1,303,400</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-026</b>	<b>Wallace Woods</b>	<b>1</b>	<b>2.10%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
REPLACE BACK DOORS & REPAIR SUBFLOOR			44,000	2003
SITE GRADING & IMPROVEMENTS			10,000	2003
RE-PROOF			39,100	2004
<b>Total estimated cost over next 5 years</b>			<b>\$93,100</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-093</b>	<b>Claremont</b>	<b>2</b>	<b>4.20%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
REPLACE FURNACE			75,000	2005
<b>Total estimated cost over next 5 years</b>			<b>\$75,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>NC3-095</b>	<b>Victoria Square</b>	<b>1</b>	<b>3.20%</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
INTERIOR RENOVATION			569,600	2006
<b>Total estimated cost over next 5 years</b>			<b>\$569,600</b>	
<b>MANAGEMENT IMPROVEMENTS</b>				
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Resident Organization Facility Improvements			\$5,000	2003
			\$5,000	2004
			\$5,000	2005
			\$5,000	2006
			\$5,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$25,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Resident Organization Training			\$7,000	2003
			\$7,000	2004
			\$7,000	2005
			\$7,000	2006
			\$7,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$35,000</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Resident Youth Program & Equipment			\$5,000	2003
			\$5,000	2004
			\$5,000	2005
			\$5,000	2006
			\$5,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$25,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Economic Development Training (Section 3)			\$20,000	2003
			\$20,000	2004
			\$20,000	2005
			\$20,000	2006
			\$20,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$100,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
PHA-Wide Quality Control Program			\$142,951	2003
			\$148,669	2004
			\$154,615	2005
			\$160,800	2006
			\$167,232	2007
<b>Total estimated cost over next 5 years</b>			<b>\$774,267</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
PHA-Wide PC Software			\$50,000	2003
			\$25,000	2004
			\$5,000	2005
			\$15,000	2006
			\$20,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$115,000</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
PHA-Wide Staff Development/Training			\$50,000	2003
			\$30,000	2004
			\$35,000	2005
			\$40,000	2006
			\$35,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$190,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Administration			\$455,794	2003
			\$478,143	2004
			\$491,268	2005
			\$513,119	2006
			\$535,440	2007
<b>Total estimated cost over next 5 years</b>			<b>\$2,473,764</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
CF Audit			\$1,000	2003
			\$1,000	2004
			\$1,000	2005
			\$1,000	2006
			\$1,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$5,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Operations PM PROGRAM & EXCESS UNIT TURN COSTS			\$790,000	2003
			\$790,000	2004
			\$790,000	2005
			\$790,000	2006
			\$790,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$3,950,000</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Fees	MIS		\$220,000	2003
	MIS		\$220,000	2004
	MIS		\$220,000	2005
	MIS		\$220,000	2006
	MIS		\$220,000	2007
	PHA-WIDE A/E, ENVIRO, UPI		\$255,000	2003
	PHA-WIDE A/E, ENVIRO, UPI		\$200,058	2004
	PHA-WIDE A/E, ENVIRO, UPI		\$215,000	2005
	PHA-WIDE A/E, ENVIRO, UPI		\$220,000	2006
	PHA-WIDE A/E, ENVIRO, UPI		\$145,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$2,135,058</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Equipment	MIS		\$175,000	2003
	MIS		\$175,000	2004
	MIS		\$175,000	2005
	MIS		\$175,000	2006
	MIS		\$200,000	2007
Equipment	PHA-WIDE		\$25,000	2003
	PHA-WIDE		\$25,000	2004
	PHA-WIDE		\$35,000	2005
	PHA-WIDE		\$60,000	2006
	PHA-WIDE		\$50,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$1,095,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Section 504			\$7,500	2003
			\$5,000	2004
			\$5,000	2005
			\$5,000	2006
			\$5,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$27,500</b>	

<b>Table for 5-year Action Plan for Capital Fund</b>				
<b>NC19P00350103</b>			<b>FFY of Grant Approval 2003</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
PHA-Wide Security			\$7,500	2003
			\$5,000	2004
			\$5,000	2005
			\$6,500	2006
			\$5,000	2007
<b>Total estimated cost over next 5 years</b>			<b>\$29,000</b>	
Development Number	Development Name (or indicate PHA wide)	Number of Vacant Units	% Vacancies in Development	
<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>	
Description of Needed Physical Improvements or Management Improvements			Estimate Cost	Planned Start Date (HA Fiscal Year)
Contingency			\$98,424	2003
			\$100,000	2004
			\$82,700	2005
			\$105,550	2006
			\$79,222	2007
<b>Total estimated cost over next 5 years</b>			<b>\$465,896</b>	

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part I: Summary**

<b>PHA Name:</b> Housing Authority of the City of Charlotte		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>NC19P00350103</b> Replacement Housing Factor Grant No:		
<b>X</b>	<b>Original Annual Statement</b>	<b>Revised Annual Statement (revision no: )</b>		
	<b>Performance and Evaluation Report for Period Ending:</b>	<b>Final Performance and Evaluation Report</b>		
Line No.	Summary by Development Account	Total Estimated Cost		Total Act
		Original	Revised	Obligated
1	TOTAL NON-CGP FUNDS			
2	1406 OPERATIONS	\$790,000		
3	1408 MANAGEMENT IMPROVEMENTS	\$279,951		
4	1410 ADMINISTRATION	\$455,794		
5	1411 AUDIT	\$1,000		
6	1415 LIQUIDATED DAMAGES	\$0		
7	1430 FEES AND COSTS	\$475,000		
8	1440 SITE ACQUISITION	\$0		
9	1450 SITE IMPROVEMENTS	\$17,500		
10	1460 DWELLING STRUCTURES	\$2,272,700		
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	\$0		
12	1470 NONDWELLING STRUCTURES	\$0		
13	1475 NONDWELLING EQUIPMENT	\$200,000		
14	1485 DEMOLITION	\$0		
15	1490 REPLACEMENT FOR RESERVE	\$0		
16	1492 MOVING TO WORK DEMONSTRATION	\$0		
17	1495.1 RELOCATION COSTS	\$50,400		
18	1499 DEVELOPMENT ACTIVITIES	\$0		
19	1501 COLLATERIZATION OF DEBT SERVICE	\$0		
20	1502 CONTINGENCY	\$98,424		
21	<b>AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-19)</b>	<b>\$4,640,769</b>		
22	Amount of line 21 Related to LBP Activities	35,000	0	
23	Amount of line 21 Related to Section 504 Compliance	7,500	0	
24	Amount of line 21 Related to Security - Soft Costs	0	0	
25	Amount of line 21 Related to Security - Hard Costs	7,500	0	
26	Amount of line 21 Related to Energy Conservation Measures	0	0	

Signature of President/CEO and Date



## Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

## Part II: Supporting Table

Capital Fund Grant Number NC19P00350103

FFY of Grant Approval: 10/2003

X

Original Annual Statement

HA Name: HOUSING AUTHORITY OF THE CITY OF CHARLOTTE

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
3-6 EDWIN TOWERS	REPLACE/REBUILD BOILERS	1460	\$150,000
	REPLACE THRU WALL HVAC UNITS	1460	\$48,000
	<b>TOTAL</b>		<b>\$198,000</b>
3-7 STRAWN	REPLACE THRU WALL HVAC UNITS	1460	\$45,600
	<b>TOTAL</b>		<b>\$45,600</b>
3-16 CEDAR KNOLL	INTERIOR RENOVATION	1460	\$1,274,000
	RELOCATION	1495	\$29,400
	<b>TOTAL</b>		<b>\$1,303,400</b>
3-19 PARKTOWNE	REPLACE THRU WALL HVAC UNITS	1460	\$45,600
	<b>TOTAL</b>		<b>\$45,600</b>
3-21 MALLARD RIDGE	INTERIOR RENOVATION	1460	\$658,000
	RELOCATION	1495	\$21,000
	<b>TOTAL</b>		<b>\$679,000</b>
3-26 WALLACE WOODS	REPLACE BACK DOOR & REPAIR SUBFLOOR	1460	\$44,000
	SITE GRADING & IMPROVEMENTS	1450	\$10,000
	<b>TOTAL</b>		<b>\$54,000</b>
MANAGEMENT IMPROVEMENTS:	IMPROVE RESIDENT ORGANIZATION FACILITIES/SUPPLIES	1408	\$5,000
	RESIDENT ORGANIZATION TRAINING	1408	\$7,000
	RESIDENT YOUTH PROGRAM & EQUIP	1408	\$5,000
	HR & STAFF TRAINING	1408	\$50,000
	ESTABLISHMENT OF QUALITY CONTROL PROGRAM (manager, 2 QC officers)	1408	\$142,951
	SECTION 3 RESIDENT EMPLOYMENT INITIATIVES	1408	\$20,000
	PHA-WIDE ENTERPRISE SOFTWARE	1408	\$50,000
	MANAGEMENT IMPROVEMENT SALARIES (HR,Legal,Acct,P&D,Sec.3, Res. Serv., Auditor, MIS)	1408	\$0
	FRINGE BENEFITS	1408	\$0
	<b>TOTAL</b>		<b>\$279,951</b>
ADMINISTRATION	MOD TECHNICAL/NON-TECHNICAL SALARIES	1410	\$354,239
	NON-TECHNICAL SALARIES	1410	
	BENEFITS	1410	\$81,555
	LEGAL, TRAVEL, PRINTING, PHONE, ADS	1410	\$20,000
<b>TOTAL</b>		<b>\$455,794</b>	
OPERATIONS	17% Operational Expense - vacancy (\$400K) & PM (\$390K)		\$790,000
<b>TOTAL</b>		<b>\$790,000</b>	
AUDIT	CGP Audit Fee		\$1,000
<b>TOTAL</b>		<b>\$1,000</b>	
NON-DWELLING EQUIPMENT	PHA-WIDE COMPUTER UPGRADES, T-1/INTERNET LINES	1475	\$175,000
	1 MAINTENANCE VEHICLE & EQUIPMENT	1475	\$25,000
	<b>TOTAL</b>		<b>\$200,000</b>

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Table**

Capital Fund Grant Number **NC19P00350103** FFY of Grant Approval: **10/2003**

Original Annual Statement

**HA Name: HOUSING AUTHORITY OF THE CITY OF CHARLOTTE**

<b>Development Number/Name HA-Wide Activities</b>	<b>General Description of Major Work Categories</b>	<b>Development Account Number</b>	<b>Total Estimated Cost</b>
<b>FEES:</b>	JOC	1430	\$30,000
	ENVIRONMENTAL CONSULTING	1430	\$35,000
	MIS PROFESSIONAL SERVICES FOR OUTSOURCING	1430	\$220,000
	A/E FEES @ SOUTHSIDE	1430	\$75,000
	UPI INSPECTOR FEE	1430	\$40,000
	MANAGEMENT CONSULTING/APPRAISALS	1430	\$75,000
	<b>TOTAL</b>		<b>\$475,000</b>
<b>PHA-Wide</b>	Security	1450	\$7,500
	Section 504	1460	\$7,500
	<b>TOTAL</b>		<b>\$15,000</b>
<b>CONTINGENCY</b>	EMERGENCY REQUESTS & CONSTRUCTION CONTG.	1502	\$98,424
	<b>TOTAL</b>		<b>\$98,424</b>
<b>GRANT TOTAL</b>			<b>\$4,640,769</b>

Signature of President/CEO and Date

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part I: Summary**

PHA Name: <b>Housing Authority of the City of Charlotte</b>		Grant Type and Number Capital Fund Program Grant No:  Replacement Housing Factor Grant No: <b>NC19R0035010</b>		
<b>X</b>	<b>Original Annual Statement</b>	<b>Revised Annual Statement (revision no: )</b>		
	<b>Performance and Evaluation Report for Period Ending:</b>	<b>Final Performance and Evaluation Report</b>		
Line No.	Summary by Development Account	Total Estimated Cost		Total Act
		Original	Revised	Obligated
1	TOTAL NON-CGP FUNDS			
2	1406 OPERATIONS			
3	1408 MANAGEMENT IMPROVEMENTS			
4	1410 ADMINISTRATION			
5	1411 AUDIT			
6	1415 LIQUIDATED DAMAGES			
7	1430 FEES AND COSTS			
8	1440 SITE ACQUISITION			
9	1450 SITE IMPROVEMENTS			
10	1460 DWELLING STRUCTURES	\$686,910		
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE			
12	1470 NONDWELLING STRUCTURES			
13	1475 NONDWELLING EQUIPMENT			
14	1485 DEMOLITION			
15	1490 REPLACEMENT FOR RESERVE			
16	1492 MOVING TO WORK DEMONSTRATION			
17	1495.1 RELOCATION COSTS			
18	1499 DEVELOPMENT ACTIVITIES			
19	1501 COLLATERIZATION OF DEBT SERVICE			
20	1502 CONTINGENCY			
21	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-19)	\$686,910		
22	Amount of line 21 Related to LBP Activities	0	0	
23	Amount of line 21 Related to Section 504 Compliance	0	0	
24	Amount of line 21 Related to Security - Soft Costs	0	0	
25	Amount of line 21 Related to Security - Hard Costs	0	0	
26	Amount of line 21 Related to Energy Conservation Measures	0	0	

Signature of President/CEO and Date



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Table**

Replacement Housing Factor Grant Number: NC19R00350103      FFY of Grant Approval: 10/2003

Original Annual Statement

HA Name: HOUSING AUTHORITY OF THE CITY OF CHARLOTTE

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
RHF (Replacement Housing Factor)	2003 Replacement Housing Factor Funds	1460	\$686,910.00

Signature of President/CEO and Date

**COMPREHENSIVE GRANT PROGRAM-2000 (Replacement Housing Factor)  
STATUS REPORT 09/30/02**

ANNUAL STATEMENT/PERFORMANCE  
AND EVALUATION REPORT

U. S. Department of Housing and Urban Development

Part I: Summary

Office of Public and Indian Housing

Comprehensive Grant Program (CGP)

PHA/IHA Name:

Comprehensive Grant Number (RHF)  
NC19R00350199

FFY of Grant Approval  
2000

HOUSING AUTHORITY OF THE CITY OF CHARLOTTE

Orig. Annual Statement  Reserve for Disasters/Emergencies  Rev. Annual Statement/Rev. No.  Performance and Evaluation Report for Prog. Yr. Ending:

9/30/2002

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	TOTAL NON-CGP FUNDS	0				0	0
2	1406 OPERATIONS	0	0	0	0	0	0
3	1408 MANAGEMENT IMPROVEMENTS (1)	0	0	0	0	0	0
4	1410 ADMINISTRATION (2)	0	900	900	154	0	746
5	1411 AUDIT	0	0	0	0	0	0
6	1415 LIQUIDATED DAMAGES	0	0	0	0	0	0
7	1430 FEES AND COSTS	0	96,000	96,000	26,990	0	69,010
8	1440 SITE ACQUISITION	0	0	0	0	0	0
9	1450 SITE IMPROVEMENTS	0	0	0	0	0	0
10	1460 DWELLING STRUCTURES	237,408	140,508	140,508	0	0	140,508
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	0	0	0	0	0	0
12	1470 NONDWELLING STRUCTURES	0	0	0	0	0	0
13	1475 NONDWELLING EQUIPMENT	0	0	0	0	0	0
14	1485 DEMOLITION	0	0	0	0	0	0
15	1490 REPLACEMENT FOR RESERVE	0	0	0	0	0	0
16	1495.1 RELOCATION COSTS	0	0	0	0	0	0
17	1498 MOD USED FOR DEVELOPMENT	0	0	0	0	0	0
18	1502 CONTINGENCY (may not exceed 8% of line 19)	0	0	0	0	0	0
19	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-14)						
20	AMOUNT OF LINE 15 RELATED TO LBP ACTIVITIES	0	0	0	0	0	0
21	AMOUNT OF LINE 15 RELATED TO SECTION 504 COMPLIANCE	0	0	0	0	0	0
22	AMOUNT OF LINE 19 RELATED TO SECURITY	0	0	0	0	0	0
23	AMOUNT OF LINE 15 RELATED TO ENERGY CONSERVATION	0	0	0	0	0	0

Signature of Executive Director and Date

Signature of Field Office Manager and Date

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Comprehensive Grant Program (CGP) YEAR 2000-RHF		U. S. Department of Housing and Urban Development Office of Public and Indian Housing CGPRHF						
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19R00350199						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF PROPOSED WORK ITEMS	DEV. ACCT. #	ESTIMATED COST		FUNDS EXPENDED*	FUNDS OBLIGATED	FUNDS TO BE EXPENDED	STATUS OF PROPOSED WORK
			ORIGINAL	REVISED*				
<b>DWELLING</b>								
<b><u>ADMINISTRATIVE COSTS</u></b>								
	PUBLISHING/PRINTING	141011/12		200	0.00	200	200.00	
	LEGAL EXPENSE	141014		0	0.00	0	0.00	
	TELEPHONE	141016		200	53.85	200	146.15	
	SUNDRY (advertise, postage, etc.)	141019		500	100.56	500	399.44	
	<b>SUBTOTAL</b>	<b>1410</b>	<b>0</b>	<b>900</b>	<b>154.41</b>	<b>900</b>	<b>745.59</b>	
<b><u>FEES AND COSTS</u></b>								
	ARCHITECTURAL / ENGINEERING	143001		1,000	234.10	1,000	765.90	
	ENVIRONMENTAL CONSULTING	143002		30,000	1,650.00	30,000	28,350.00	
	PLANNING & DEVELOPMENT	143000		50,000	25,105.80	50,000	24,894.20	
	JOC	143005		15,000	0.00	15,000	15,000.00	
	<b>SUBTOTAL</b>	<b>1430</b>	<b>0</b>	<b>96,000</b>	<b>26,989.90</b>	<b>96,000</b>	<b>69,010.10</b>	
<b><u>DWELLING STRUCTURES</u></b>								
	REPLACEMENT HOUSING	1460	237,408	140,508	0.00	140,508	140,508.00	
	<b>GRAND TOTAL</b>		237,408	237,408	27,144.31	237,408	210,263.69	

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Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte			Grant Type & Number Capital Fund Program #NC19R00350199			Federal FY of Grant: 2000	
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA-Wide	12/2001			6/2002	3/2004		Development Plan In Progress

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
 Signature of President/CEO and Date

(2) To be completed for the Performance and Evaluation Report.  
 Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date

Form HUD-52837(01/05/95)

Page \_\_\_\_ of \_\_\_\_

ref. Handbook 7485.3

**CAPITAL FUND PROGRAM-2000  
STATUS REPORT - 09/30/02**

**ANNUAL STATEMENT/PERFORMANCE  
AND EVALUATION REPORT**

U. S. Department of Housing and Urban Development

**Part I: Summary**

Office of Public and Indian Housing

**Capital Fund Program (CFP)**

**PHA/IHA Name:**

**HOUSING AUTHORITY OF THE CITY OF CHARLOTTE**

**Comprehensive Grant Number**

**NC19P00350100**

**FFY of Grant Approval**

**2000**

\_\_\_ Orig. Annual Statement \_\_\_ Reserve for Disasters/Emergencies \_\_\_ Rev. Annual Statement/Rev. No. \_\_\_ Performance and Evaluation Report for Prog. Yr. Ending:

**9/30/2002**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	<b>TOTAL NON-CFP FUNDS</b>	0	0	0	0.00	0	0
2	<b>1406 OPERATIONS</b>	404,000	369,997	369,997	369,997.00	0	0
3	<b>1408 MANAGEMENT IMPROVEMENTS (1)</b>	700,796	513,420	513,420	417,767.43	0	95,653
4	<b>1410 ADMINISTRATION (2)</b>	559,121	568,931	568,931	501,346.83	0	67,584
5	<b>1411 AUDIT</b>	1,000	1,000	1,000	0.00	0	1,000
6	<b>1415 LIQUIDATED DAMAGES</b>	0	0	0	0.00	0	0
7	<b>1430 FEES AND COSTS</b>	180,000	251,211	251,211	251,210.67	0	0
8	<b>1440 SITE ACQUISITION</b>	0	0	0	0.00	0	0
9	<b>1450 SITE IMPROVEMENTS</b>	247,285	243,475	243,475	28,069.61	0	215,405
10	<b>1460 DWELLING STRUCTURES</b>	3,081,200	3,356,840	3,356,840	1,169,914.56	0	2,186,925
11	<b>1465.1 DWELLING EQUIPMENT-NONEXPENDABLE</b>	60,500	76,797	76,797	1,597.10	0	75,200
12	<b>1470 NONDWELLING STRUCTURES</b>	0	2,190	2,190	2,190.00	0	0
13	<b>1475 NONDWELLING EQUIPMENT</b>	95,000	75,042	75,042	75,043.19	0	-1
14	<b>1485 DEMOLITION</b>	0	0	0	0.00	0	0
15	<b>1490 REPLACEMENT FOR RESERVE</b>						
16	<b>1495.1 RELOCATION COSTS</b>	55,000	55,000	55,000	8,582.45	0	46,418
17	<b>1498 MOD USED FOR DEVELOPMENT</b>	0	0	0	0.00	0	0
18	<b>1502 CONTINGENCY (may not exceed 8% of line 19)</b>	150,000	20,000	20,000	0.00	0	20,000
19	<b>AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-18)</b>						
20	<b>AMOUNT OF LINE 19 RELATED TO LBP ACTIVITIES</b>	60,000	57,999	57,999	57,999	0	0
21	<b>AMOUNT OF LINE 19 RELATED TO SECTION 504 COMPLIANCE</b>	0	10,000	10,000	0	0	10,000
22	<b>AMOUNT OF LINE 19 RELATED TO SECURITY</b>	25,000	10,000	10,000	0	0	10,000
23	<b>AMOUNT OF LINE 19 RELATED TO ENERGY CONSERVATION</b>	0	0	0	0	0	0

Signature of Executive Director and Date

Signature of Field Office Manager and Date

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2000		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2000
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350100						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
OPERATIONS		1406	404,000	369,997	369,997	369,997	0	
MANAGEMENT IMPROVEMENTS	<b>RESIDENT INITIATIVES</b>							
	MAINTENANCE APPRENTICE PROGRAM	140805	50,000	1,244	1,049	1,244	195	ON-GOING EXPENSES
6	RESIDENT ORGANIZATION TRAINING	140806	5,000	6,058	6,058	6,058	0	ON-GOING EXPENSES
3	RESIDENT YOUTH PROG. & EQUIPMENT	140809	10,000	0	0	0	0	ON-GOING EXPENSES
	<b>AUTHORITY-WIDE</b>							
	CONSULTING FEES	140802	0	84,532	84,532	84,532	0	
8	COMPUTER TRAINING & SOFTWARE (training @ \$140/slot)	140801/03	5,000	23,548	23,548	23,548	0	ON-GOING EXPENSES
2	MANAGEMENT IMPROVEMENT SALARIES	140810-19	630,796	385,328	289,870	385,328	95,458	USE AS NEEDED
PHA	STAFF TRAINING	140823		12,710	12,709.69	12,710	0	
	<b>SUBTOTAL</b>	<b>1408</b>	<b>700,796</b>	<b>513,420</b>	417,767	513,420	95,653	
ADMINISTRATIVE COSTS	TECHNICAL/NON-TECHNICAL SALARIES	141001/02	542,121	521,893	460,081	521,893	61,812	ON-GOING EXPENSES
	TRAVEL	141010	4,000	5,664	3,387	5,664	2,277	ON-GOING EXPENSES
	PUBLISHING/PRINTING	141012/11	6,000	13,756	13,143	13,756	613	ON-GOING EXPENSES
	LEGAL EXPENSE	141014	1,000	1,000	0	1,000	1,000	ON-GOING EXPENSES
	TELEPHONE	141016	2,500	10,618	9,146	10,618	1,472	ON-GOING EXPENSES
	SUNDRY (ADVERTISING @ \$1,200 + POSTAGE @ \$1,000)	141019	3,500	16,000	15,589	16,000	411	ON-GOING EXPENSES
	<b>SUBTOTAL</b>	<b>1410</b>	<b>559,121</b>	<b>568,931</b>	501,347	568,931	67,584	
AUDIT EXPENSE	CGP AUDIT	1411	1,000	1,000	0	1,000	1,000	
	<b>SUBTOTAL</b>	<b>1411</b>	<b>1,000</b>	<b>1,000</b>	0	1,000	1,000	
		<b>1415</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>SUBTOTAL</b>	<b>1415</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2000		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2000
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350100						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
FEES & COSTS	LBP COSTS (ENVIRONMENTAL CONSULTING)	143002	60,000	57,999	57,999	57,999	0.00	ON-GOING EXPENSES
	A/E	143001	90,000	0	0	0	0.00	ON-GOING EXPENSES
	PROPERTY APPRAISALS	143000		162,489	162,489	162,489	0.00	
	JOC (2500/MONTH)	143005	30,000	30,723	30,723	30,723	0.00	ON-GOING EXPENSES
							0.00	
	<b>SUBTOTAL</b>	<b>1430</b>	<b>180,000</b>	<b>251,211</b>	251,211	251,211	0.00	
		<b>1440</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>SUBTOTAL</b>	<b>1440</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
SITE IMPROVEMENTS						0		
PIEDMONT COURTS	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	15,000	700	700	700	0	
SOUTHSIDE HOMES	RENO (WALKS, LANDSCAPE, ETC.), WATER LINE	1450	40,000	216,412	1,007	216,412	215,405	
BELVEDERE	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	40,000	12,709	12,709	12,709	0	
EDWIN TOWERS	WATER LINE REPLACEMENT	1450		2,185	2,185	2,185	0	
STRAWN	SITE WORK	1450	0	0	0	0	0	
BOULEVARD HOMES	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	36,200	1,400	1,400	1,400	0	
DILLEHAY COURTS	REPLACE PROJECT SIGNAGE	1450	1,200	1,513	1,513	1,513	0	
	SITE RENOVATION (WALKS, LANDSCAPE, ETC.)	1450	40,000	0	0	0	0	
CEDAR KNOLL	SITE RENOVATION (WALKS, LANDSCAPE, ETC.)	1450	7,500	0	0	0	0	
SUNRIDGE	SITE RENOVATION (WALKS, LANDSCAPE, ETC.)	1450	5,000	0	0	0	0	
TALL OAKS	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	21,200	0	0	0	0	
SAVANNAH WOODS	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	11,200	0	0	0	0	
MALLARD RIDGE	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	11,200	0	0	0	0	
LIVE OAK	REPLACE PROJECT SIGNAGE/SITE IMPROVEMENTS	1450	8,985	0	0	0	0	
TARLTON	REPLACE PROJECT SIGNAGE	1450	1,200	0	0	0	0	
ROBINSDALE	REPLACE PROJECT SIGNAGE	1450	1,200	0	0	0	0	
WALLACE WOODS	REPLACE PROJECT SIGNAGE	1450	6,200	2,851	2,851	2,851	0	
GLADEDALE	REPLACE PROJECT SIGNAGE	1450	1,200	2,989	2,989	2,989	0	
VICTORIA SQ		1450	0	219	219	219	1	
PHA - WIDE		1450	0	2,497	2,497	2,497	0	
	<b>SUBTOTAL</b>	<b>1450</b>	<b>247,285</b>	<b>243,475</b>	28,070	243,475	215,405	
DWELLING STRUCTURES						0	0	

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2000		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2000
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350100						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
PIEDMONT	UNIT RESTORATION	1460	247,500	44,187	44,186.50	44,187	0	
			48,400	0	0.00	0	0	
			181,500	0	0.00	0	0	
SOUTHSIDE HOMES	INTERIOR RENO/UNIT RESTORE	1460	2,205,000	2,653,904	736,113.64	2,653,904	1,917,790	
BELVEDERE	EMERGENCY REPAIR	1460		16,782	16,782.00	16,782	0	
EDWIN TOWERS	FIRE PANEL UPGRADE	1460		95,622	95,622.28	95,622	0	
STRAWN	FIRE PANEL UPGRADE	1460		75,000	16,553.60	75,000	58,446	
DALTON	UNIT RESTORATION	1460		6,582	6,581.52	6,582	0	
BOULEVARD	UNIT RESTORATION	1460	304,200	57,445	57,445.23	57,445	0	
DILLEHAY	REPAIR /CLEAN EXT SIDING	1460		19,455	19,455.24	19,455	0	
LEAFCREST	REPAIR /CLEAN EXT SIDING	1460		65,000	33,551.55	65,000	31,448	
CEDAR KNOLL	REPAIR /CLEAN EXT SIDING	1460		3,739	3,079.71	3,739	659	
SUNRIDGE	REPAIR /CLEAN EXT SIDING	1460		13,961	13,961.26	13,961	0	
MEADOW OAKS	REPAIR /CLEAN EXT SIDING	1460		8,860	1,858.51	8,860	7,001	
CHARLOTTETOWNE	FIRE PANEL UPGRADE	1460		75,000	25,800.15	75,000	49,200	
PARKTOWNE	FIRE PANEL UPGRADE	1460		50,000	11,048.68	50,000	38,951	
TALL OAKS	REPAIR/CLEAN EXT SIDING	1460		9,097	7,092.11	9,097	2,005	
HALL HOUSE	FIRE PANEL UPGRADE	1460		75,000	4,784.55	75,000	70,215	
SAVANNA/LIVE OAKS	REPAIR /CLEAN EXT SIDING	1460		17,514	16,623.40	17,514	891	
WALLACE WOODS	BREEZEWAY RENO & TERMITE	1460	69,600	9,600	8,244.00	9,600	1,356	
ROBINSDALE	REPAIR /CLEAN EXT SIDING	1460	0	4,235	4,235.00	4,235	0	
GLADEDALE	REPAIR /CLEAN EXT SIDING	1460	0	13,849	13,848.99	13,849	0	
VICTORIA SQ	UNIT RESTORATION	1460		6,700	6,700.00	6,700	0	
PHA-WIDE	UNITS RESTORATION	1460		2,783	3,821.64	2,783	-1,039	
PHA-WIDE	SECTION 504	1460	25,000	10,000	0.00	10,000	10,000	
CLAREMONT	UNIT RESTORATION	1460		15,300	15,300.00	15,300	0	
TARLTON	UNIT RESTORATION	1460		7,225	7,225.00	7,225	0	
	<b>SUBTOTAL</b>	<b>1460</b>	<b>3,081,200</b>	<b>3,356,840</b>	1,169,914.56	<b>3,356,840</b>	2,186,925	
DWELLING EQUIPMENT								
PIEDMONT COURTS	APPLIANCES	1465.1	60,500	1,597	1,597	1,597	0	
SOUTHSIDE HOMES	APPLIANCES (94 UNITS @ \$800)			75,200	0	75,200	75,200	
	<b>SUBTOTAL</b>	<b>1465.1</b>	<b>60,500</b>	<b>76,797</b>	1,597	76,797	75,200	
NONDWELLING STRUCTURE								
BELVEDERE	EMERG. REPLACEMENT / HVAC FOR OFFICE /LEARN	1470-04	0	2,190	2,190	2,190	0	BALANCE ON WORK INITIALLY FUNDED VIA CGP98

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2000		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2000
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350100						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
	<b>SUBTOTAL</b>	<b>1470</b>	<b>0</b>	<b>2,190</b>	2,190	<b>2,190</b>	0	
<b>NON-DWELLING EQUIPMENT</b>					0			
	PHA WIDE OFFICE FURNITURE	147501	5,000	6,551	6,551	6,551	0	
	REPLACEMENT OF 3 MAINTENANCE VEHICLES	147507	90,000	28,416	28,416	28,416	0	
	EXPEND/SITE BASED MAINT. START-UP	147508		40,075	40,075	40,075	0	
	<b>SUBTOTAL</b>	<b>1475</b>	<b>95,000</b>	<b>75,042</b>	75,043	75,042	-1	
<b>DEMOLITION</b>								
	DEMOLITION	1485	0	0	0	0	0	
	<b>SUBTOTAL</b>	<b>1485</b>	<b>0</b>	<b>0</b>	0	0	0	
<b>RELOCATION COSTS SOUTHSIDE</b>								
	RELOCATION	1495	55000	55,000	8,582	55,000	46,418	
	<b>SUBTOTAL</b>	<b>1495</b>	<b>55,000</b>	<b>55,000</b>	8,582	55,000	46,418	
<b>CONTINGENCY</b>								
	CONTINGENCY	1502	150,000	20,000	0	20,000	20,000	
	<b>SUBTOTAL</b>	<b>1502</b>	<b>150,000</b>	<b>20,000</b>	0	20,000	20,000	
<b>GRAND TOTAL</b>			5,533,902	5,533,902	2,825,719	5,533,902	2,708,183.16	

nc003102

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte				Grant Type & Number Capital Fund Program #NC19P00350100			Federal FY of Grant: 2000
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
3-1 PIEDMONT	03/01/01			03/01/02	09/30/02		WAITING ON WORD FROM HOPE VI APP
3-3 SOUTHSIDE	03/01/01			03/01/02	06/30/03		JOC CONTRACT HAD TO BE RE-BID
3-4 BELVEDERE		6/30/2002		12/02/02			WORK ITEM ADDED/ENGINEER BIDS REQUIRED
3-6 EDWIN TOWERS		6/30/2002		12/02/02			WORK ITEM ADDED/ENGINEER BIDS REQUIRED
3-7 STRAWN		6/30/2002		12/02/02	06/30/03		WORK ITEM ADDED/ENGINEER BIDS REQUIRED
3-11 BOULEVARD HOMES	03/01/01			03/01/02			
3-12 DILLEHAY COURTS	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-16 CEDAR KNOLL/LEAFCREST					06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-17 SUNRIDGE/MEADOW					06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-18 CHARLOTTETOWN		3/30/2003			6/30/03		
3-20 TALL OAKS	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-21M SAVANNA WOODS	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-21P MALLARD RIDGE	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-21 LIVE OAKS	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-23 TARLTON HILLS	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-24 ROBINSDALE	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-25 GLADEDALE	03/01/01			03/01/02	06/01/02		DURING WORK, MORE REPAIRS TO SIDING NEEDED
3-26 WALLACE WOODS	03/01/01			03/01/02	12/01/02		NEED TO DEVELOP PLANS FOR REMAINING FUNDS
PHA-WIDE	03/01/01			03/01/02	03/01/03		ON-GOING EXPENDITURE
MANAGEMENT	03/01/01			03/01/02	03/01/03		ON-GOING EXPENDITURE
IMPROVEMENTS	03/01/01			03/01/02	03/01/03		ON-GOING EXPENDITURE
	4	03/01/01		03/01/02	03/01/03		ON-GOING EXPENDITURE
	5	03/01/01		03/01/02	03/01/03		ON-GOING EXPENDITURE

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date

Form HUD-52837(01/05/95)

Page \_\_\_\_ of \_\_\_\_

ref. Handbook 7485.3

**CAPITAL FUND PROGRAM-2000 (Replacement Housing Factor)  
STATUS REPORT - 09/30/02**

<b>ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT</b> Part I: Summary Comprehensive Grant Program (CGP)				U. S. Department of Housing and Urban Development  Office of Public and Indian Housing			
PHA/IHA Name: HOUSING AUTHORITY OF THE CITY OF CHARLOTTE				Comprehensive Grant Number (RHF) NC19R00350100		FFY of Grant Approval 2000	
___ Orig. Annual Statement ___ Reserve for Disasters/Emergencies ___ Rev. Annual Statement/Rev. No. ___ Performance and Evaluation Report for Prog. Yr. Ending:						9/30/2002	
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	TOTAL NON-CGP FUNDS	0				0	0
2	1406 OPERATIONS	0	0	0	0	0	0
3	1408 MANAGEMENT IMPROVEMENTS (1)	0	0	0	0	0	0
4	1410 ADMINISTRATION (2)	0	0	0	0	0	0
5	1411 AUDIT	0	0	0	0	0	0
6	1415 LIQUIDATED DAMAGES	0	0	0	0	0	0
7	1430 FEES AND COSTS	0	0	0	0	0	0
8	1440 SITE ACQUISITION	0	0	0	0	0	0
9	1450 SITE IMPROVEMENTS	0	0	0	0	0	0
10	1460 DWELLING STRUCTURES	399,211	0	399,211	0	0	399,211
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	0	0	0	0	0	0
12	1470 NONDWELLING STRUCTURES	0	0	0	0	0	0
13	1475 NONDWELLING EQUIPMENT	0	0	0	0	0	0
14	1485 DEMOLITION	0	0	0	0	0	0
15	1490 REPLACEMENT FOR RESERVE	0	0	0	0	0	0
16	1495.1 RELOCATION COSTS	0	0	0	0	0	0
17	1498 MOD USED FOR DEVELOPMENT	0	0	0	0	0	0
18	1502 CONTINGENCY (may not exceed 8% of line 19)	0	0	0	0	0	0
19	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-14)						
20	AMOUNT OF LINE 15 RELATED TO LBP ACTIVITIES	0	0	0	0	0	0
21	AMOUNT OF LINE 15 RELATED TO SECTION 504 COMPLIANCE	0	0	0	0	0	0
22	AMOUNT OF LINE 19 RELATED TO SECURITY	0	0	0	0	0	0
23	AMOUNT OF LINE 15 RELATED TO ENERGY CONSERVATION	0	0	0	0	0	0
Signature of Executive Director and Date				Signature of Field Office Manager and Date			



nc003102

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte				Grant Type & Number Capital Fund Program #NC19R00350100			Federal FY of Grant: 2000
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA-Wide	12/2002			6/2003	6/2004		Development Plan In Progress

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
 Signature of President/CEO and Date

(2) To be completed for the Performance and Evaluation Report.  
 Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date

Form HUD-52837(01/05/95)

Page \_\_\_\_ of \_\_\_\_

ref. Handbook 7485.3

**CAPITAL FUND PROGRAM-2001  
STATUS REPORT - 09/30/02**

ANNUAL STATEMENT/PERFORMANCE  
AND EVALUATION REPORT  
Part I: Summary  
Capital Fund Program (CFP)

U. S. Department of Housing and Urban Development  
  
Office of Public and Indian Housing

PHA/IHA Name

HOUSING AUTHORITY OF THE CITY OF CHARLOTTE

Comprehensive Grant Number  
NC19P00350101

FFY of Grant Approval  
2001

\_\_\_\_ Orig. Annual Statement \_\_\_\_ Reserve for Disasters/Emergencies \_\_\_\_ Rev. Annual Statement/Rev. No. \_\_\_\_ Performance and Evaluation Report for Prog. Yr. Ending: **9/30/2002**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	TOTAL NON-CFP FUNDS	0	0	0	0.00	0	0
2	1406 OPERATIONS	830,085	419,768	419,768	140,655.00	0	279,113
3	1408 MANAGEMENT IMPROVEMENTS (1)	961,188	640,478	640,478	39,193.10	0	601,285
4	1410 ADMINISTRATION (2)	526,926	536,926	536,926	160,780.01	0	376,146
5	1411 AUDIT	1,000	1,000	1,000	0.00	0	1,000
6	1415 LIQUIDATED DAMAGES	0	0	0	0.00	0	0
7	1430 FEES AND COSTS	116,519	125,182	125,182	27,663.00	0	97,519
8	1440 SITE ACQUISITION	0	0	0	0.00	0	0
9	1450 SITE IMPROVEMENTS	94,500	244,500	244,500	4,575.00	0	239,925
10	1460 DWELLING STRUCTURES	2,807,850	3,313,714	2,989,464	1,562.24	324,250	3,312,152
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	0	88,000	88,000	0.00	0	88,000
12	1470 NONDWELLING STRUCTURES	5,337	5,337	5,337	0.00	0	5,337
13	1475 NONDWELLING EQUIPMENT	79,605	98,105	98,105	0.00	0	98,105
14	1485 DEMOLITION	0	0	0	0.00	0	0
15	1490 REPLACEMENT FOR RESERVE	0	0	0	0.00	0	0
16	1495.1 RELOCATION COSTS	60,000	30,000	30,000	0.00	0	30,000
17	1498 MOD USED FOR DEVELOPMENT	0	0	0	0.00	0	0
18	1502 CONTINGENCY (may not exceed 8% of line 19)	49,751	29,751	0	0.00	29,751	29,751
19	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-18)						
20	AMOUNT OF LINE 19 RELATED TO LBP ACTIVITIES	30,000	30,000	0	6,000	30,000	24,000
21	AMOUNT OF LINE 19 RELATED TO SECTION 504 COMPLIANCE	0	0	0	0	0	0
22	AMOUNT OF LINE 19 RELATED TO SECURITY	0	0	0	0	0	0
23	AMOUNT OF LINE 19 RELATED TO ENERGY CONSERVATION	0	0	0	0	0	0

Signature of Executive Director and Date

Signature of Field Office Manager and Date

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2001		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2001
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350101						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
<b>OPERATIONS</b>		1406	830,085	419,768	140,655	419,768	279,113	
<b>MANAGEMENT IMPROVEMENTS</b>	<b>RESIDENT INITIATIVES</b>							
	MAINTENANCE APPRENTICE PROGRAM	140805		0	0	0	0	ON-GOING EXPENSES
6	RESIDENT ORGANIZATION TRAINING	140806	2,000	2,000	200	2,000	1,800	ON-GOING EXPENSES
5	RESIDENT ORGANIZATION FACILITIES	140807	7,000	7,000	915	7,000	6,085	
7	RESIDENT EMPLOYMENT INITIATIVE (SEC 3)	140806-456	3,000	3,000	0	3,000	3,000	
3	RESIDENT YOUTH PROG. & EQUIPMENT	140809	28,688	0	0	0	0	ON-GOING EXPENSES
	<b>AUTHORITY-WIDE</b>							
	PUBLIC RELATIONS PROFESSIONAL SERVICES	140802	37,000	28,337	6,013	28,337	22,325	
	HR TRAINING & COMP AUDIT & AUDIT SOFTWARE		83,750	83,750	0	83,750	83,750	
8	PHA-WIDE PC SOFTWARE & SERVICE	140801	114,000	114,000	950	114,000	113,050	ON-GOING EXPENSES
	PHA-WIDE PC COMPUTER TRAINING	140803	24,250	24,250	0	24,250	24,250	
2	MANAGEMENT IMPROVEMENT SALARIES	140810-19	537,805	204,565	0	204,565	204,565	USE AS NEEDED
	FRINGE BENEFITS	140819	123,695	123,695	0	123,695	123,695	
	STAFF TRAINING (Various Depts. and Housing Sites)	140823	0	49,881	31,115.42	49,881	18,766	
	<b>SUBTOTAL</b>	<b>1408</b>	<b>961,188</b>	<b>640,478</b>	<b>39,193</b>	<b>640,478</b>	<b>601,285</b>	
<b>ADMINISTRATIVE COSTS</b>								
	TECHNICAL/NON-TECHNICAL SALARIES	141001/02	412,135	412,135	184,921	412,135	227,214	ON-GOING EXPENSES
	FRINGE BENEFITS	141009	94,791	94,791	0	94,791	94,791	
	TRAVEL	141010		0	0	0	0	ON-GOING EXPENSES
					0	0	0	ON-GOING EXPENSES
					0	0	0	ON-GOING EXPENSES
					154	30,000	29,846	ON-GOING EXPENSES
	<b>SUBTOTAL</b>	<b>1410</b>	<b>526,926</b>	<b>536,926</b>	<b>160,780</b>	<b>536,926</b>	<b>376,146</b>	
<b>AUDIT EXPENSE</b>								
	CFP AUDIT	1411	1,000	1,000	0	1,000	1,000	
	<b>SUBTOTAL</b>	<b>1411</b>	<b>1,000</b>	<b>1,000</b>	<b>0</b>	<b>1,000</b>	<b>1,000</b>	
<b>FEES &amp; COSTS</b>								
	LBP COSTS (ENVIRONMENTAL CONSULTING)	143002	30,000	30,000	6,000	30,000	24,000	ON-GOING EXPENSES
	A/E	143001	32,519	32,519	0	32,519	32,519	ON-GOING EXPENSES
	JOC (2500/MONTH)	143005	30,000	30,000	0	30,000	30,000	ON-GOING EXPENSES
	YOUTH PROFESSIONAL SERVICES	1430	6,000	3,000	0	3,000	3,000	

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2001		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2001
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350101						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
	MIS PROFESSIONAL SERVICES	1430	8,000	8,000	0	8,000	8,000	
	PLANNING & DEVELOPMENT PROFESSIONAL SERV	1430	10000	21,663	21,663	21,663	0	
	<b>SUBTOTAL</b>	<b>1430</b>	<b>116,519</b>	<b>125,182</b>	<b>27,663</b>	<b>125,182</b>	<b>97,519</b>	
		<b>1440</b>		0	0	0	0	
	<b>SUBTOTAL</b>	<b>1440</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>SITE IMPROVEMENTS</b>								
3	SOUTHSIDE HOMES							
	SITE PAVING & DRAINAGE	1450	70,000	70,000	0	70,000	70,000	
12	DILLEHAY COURTS							
	SITE WORK	1450		30,000	0	30,000	30,000	
16	LEAFCREST							
	TRIM TREES	1450		30,000	0	30,000	30,000	
17	MEADOW OAKS							
	SITE WORK	1450		30,000	0	30,000	30,000	
20	TALL OAKS							
	SITE WORK	1450		10,000	0	10,000	10,000	
23	TARLTON							
	SITE WORK	1450		50,000	0	50,000	50,000	
26	WALLACE WOODS							
	SITE GRADING & IMPROVEMENTS	1450	7,500	7,500	0	7,500	7,500	
93	CLAREMONT							
	SITE IMPROVEMENTS/RETAINING WALLS	1450	10,000	10,000	4,575	10,000	5,425	
95	VICTORIA SQUARE							
	SITE IMPROVEMENTS/RETAINING WALLS	1450	5,000	5,000	0	5,000	5,000	
	REPLACE PROJECT SIGNAGE	1450	2,000	2,000	0	2,000	2,000	
	<b>SUBTOTAL</b>	<b>1450</b>	<b>94,500</b>	<b>244,500</b>	<b>4,575</b>	<b>244,500</b>	<b>239,925</b>	
<b>DWELLING STRUCTURES</b>								
3	SOUTHSIDE HOMES					0		
	INTERIOR RENOVATION OF 100 UNITS	1460	2,700,000	3,258,124	1,562	2,933,874	3,256,562	
7	STRAWN							
	REGROUT/REPLACE SHOWER TILE	1460	47,700	47,700	0	47,700	47,700	
16	LEAFCREST							
		1460	0	0	0	0	0	
21P	MALLARD RIDGE							
	PAINT INTERIORS	1460	27,300	0	0	0	0	
24	ROBINSDALE							
	PAINT INTERIORS	1460	24,960	0	0	0	0	
	REPLACE WINDOW TREATMENT	1460	4,470	4,470	0	4,470	4,470	
26	WALLACE WOODS							
	REPLACE WINDOW TREATMENT	1460	3,420	3,420	0	3,420	3,420	
	<b>SUBTOTAL</b>	<b>1460</b>	<b>2,807,850</b>	<b>3,313,714</b>	<b>1,562</b>	<b>2,989,464</b>	<b>3,312,152</b>	
<b>DWELLING EQUIPMENT</b>								
3-1	PIEDMONT COURTS							
	APPLIANCES	1465.1		88,000	0	88,000	88,000	
	<b>SUBTOTAL</b>	<b>1465.1</b>	<b>0</b>	<b>88,000</b>	<b>0</b>	<b>88,000</b>	<b>88,000</b>	
<b>NONDWELLING STRUCTURE</b>								
16A	LEAFCREST							
	PAINT COMMUNITY CENTER	1470	2,337	2,337	0	2,337	2,337	
16N	CEDAR KNOLL							
	PAINT COMMUNITY CENTER	1470	3,000	3,000	0	3,000	3,000	
	<b>SUBTOTAL</b>	<b>1470</b>	<b>5,337</b>	<b>5,337</b>	<b>0</b>	<b>5,337</b>	<b>5,337</b>	
<b>NON-DWELLING EQUIPMENT</b>								
	PHA WIDE OFFICE EQUIPMENT	147503	0	7,500	0	7,500	7,500	
	COMPUTER EQUIPMENT - ASSET MGMT	147503	0	6,000	0	6,000	6,000	
	COMPUTER EQUIPMENT - HSNM MGMT	147503	0	5,000	0	5,000	5,000	
	PHA WIDE COMPUTER UPGRADES, T-1/INTERNET I	147503	54,605	54,605	0	54,605	54,605	

<b>ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT</b> Part II: Supporting Pages Capital Fund Program (CFP) Year 2001		U. S. Department of Housing and Urban Development Office of Public and Indian Housing						CFP2001
<b>PHA NAME:</b> CHARLOTTE HOUSING AUTHORITY		<b>GRANT NUMBER</b> NC19P00350101						
<i>DEVELOPMENT #/NAME</i>	<b>GENERAL DESCRIPTION OF</b>	<b>DEV.</b>	<b>ESTIMATED COST</b>		<b>FUNDS</b>	<b>FUNDS</b>	<b>FUNDS TO BE</b>	<b>STATUS OF PROPOSED WORK</b>
	<b>PROPOSED WORK ITEMS</b>	<b>ACCT. #</b>	<b>ORIGINAL</b>	<b>REVISED*</b>	<b>EXPENDED*</b>	<b>OBLIGATED</b>	<b>EXPENDED</b>	
DEMOLITION	1 MAINTENANCE VEHICLE	147507	25,000	25,000	0	25,000	25,000	
	<b>SUBTOTAL</b>	<b>1475</b>	<b>79,605</b>	<b>98,105</b>	<b>0</b>	<b>98,105</b>	<b>98,105</b>	
	DEMOLITION	1485		0	0	0	0	
	<b>SUBTOTAL</b>	<b>1485</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
RELOCATION COSTS	RELOCATION	1495	60,000	30,000	0	30,000	30,000	
3 SOUTHSIDE	<b>SUBTOTAL</b>	<b>1495.1</b>	<b>60,000</b>	<b>30,000</b>	<b>0</b>	<b>30,000</b>	<b>30,000</b>	
CONTINGENCY	CONTINGENCY	1502	49,751	29,751	0	0	29,751	
	<b>SUBTOTAL</b>	<b>1502</b>	<b>49,751</b>	<b>29,751</b>	<b>0</b>	<b>0</b>	<b>29,751</b>	
	<b>GRAND TOTAL</b>		<b>5,532,761</b>	<b>5,532,761</b>	<b>374,428</b>	<b>5,178,760</b>	<b>5,158,333</b>	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte				Grant Type & Number Capital Fund Program #NC19P00350101			Federal FY of Grant: 2001
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NC3-3 SOUTHSIDE	3/2002	12/2003		3/2003	3/2004		
NC3-7 STRAWN	9/2002			3/2003			
NC3-12 DILLEHAY COURTS		3/2004			3/2004		ADDED SCOPE - SITE EROSION CONTROL
NC3-16A LEAFCREST	9/2002			3/2003	3/2004		
NC3-16N CEDAR KNOLL	9/2002			3/2003	3/2004		
NC3-17 MEADOW OAKS		3/2004			3/2004		ADDED SCOPE - SITE EROSION CONTROL
NC3-21P MALLARD RIDGE	9/2002			3/2003	3/2004		
NC3-24 ROBINSDALE	9/2002			3/2003	3/2004		
NC3-26 WALLACE WOODS		3/2004			3/2004		ADDED SCOPE - SITE EROSION CONTROL
NC3-93 CLAREMONT	9/2002			3/2003	3/2004		
NC3-95 VICTORIA SQUARE	9/2002			3/2003	3/2004		
IMPROVE RESIDENT ORGAN. FACILITIES	3/2002			6/2003			
RESIDENT ORGANIZATION TRAINING	9/2002			6/2003			ON-GOING EXPENDITURE
RESIDENT YOUTH PROGRAM & EQUIP	12/2002			6/2003			ON-GOING EXPENDITURE
RESIDENT EMPLOYMENT INITIATIVES (SEC 3)	12/2002			6/2003			ON-GOING EXPENDITURE
PHA-WIDE PC SOFTWARE & SERVICE	9/2002			6/2003			ON-GOING EXPENDITURE
PHA-WIDE COMPUTER TRAINING	9/2002			6/2003			ON-GOING EXPENDITURE
MANAGEMENT IMPROVEMENT SALARIES	12/2002			6/2003			ON-GOING EXPENDITURE

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of President/CEO and Date

Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date

**CAPITAL FUND PROGRAM-2001 (Replacement Housing Factor)**  
**STATUS REPORT - 09/30/02**

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT  
 Part I: Summary  
 Capital Fund Program (CFP) YEAR 2001-RHF

U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing

PHA/IHA Name: HOUSING AUTHORITY OF THE CITY OF CHARLOTTE  
 Comprehensive Grant Number: NC19R00350101  
 FFY of Grant Approval: 2001

Orig. Annual Statement Reserve for Disasters/Emergencies Rev. Annual Statement/Rev. No. Performance and Evaluation Report for Prog. Yr. Ending: 9/30/2002

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	TOTAL NON-CFP FUNDS	0				0	0
2	1406 OPERATIONS	0	0	0	0	0	0
3	1408 MANAGEMENT IMPROVEMENTS (1)	0	0	0	0	0	0
4	1410 ADMINISTRATION (2)	0	0	0	0	0	0
5	1411 AUDIT	0	0	0	0	0	0
6	1415 LIQUIDATED DAMAGES	0	0	0	0	0	0
7	1430 FEES AND COSTS	0	0	0	0	0	0
8	1440 SITE ACQUISITION	0	0	0	0	0	0
9	1450 SITE IMPROVEMENTS	0	0	0	0	0	0
10	1460 DWELLING STRUCTURES	442,036	0	442,036	0	0	442,036
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	0	0	0	0	0	0
12	1470 NONDWELLING STRUCTURES	0	0	0	0	0	0
13	1475 NONDWELLING EQUIPMENT	0	0	0	0	0	0
14	1485 DEMOLITION	0	0	0	0	0	0
15	1490 REPLACEMENT FOR RESERVE	0	0	0	0	0	0
16	1495.1 RELOCATION COSTS	0	0	0	0	0	0
17	1498 MOD USED FOR DEVELOPMENT	0	0	0	0	0	0
18	1502 CONTINGENCY (may not exceed 8% of line 19)	0	0	0	0	0	0
19	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-18)						
20	AMOUNT OF LINE 19 RELATED TO LBP ACTIVITIES	0	0	0	0	0	0
21	AMOUNT OF LINE 19 RELATED TO SECTION 504 COMPLIANCE	0	0	0	0	0	0
22	AMOUNT OF LINE 19 RELATED TO SECURITY	0	0	0	0	0	0
23	AMOUNT OF LINE 19 RELATED TO ENERGY CONSERVATION	0	0	0	0	0	0

Signature of Executive Director and Date

Signature of Field Office Manager and Date

<b>ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT</b> Part II: Supporting Pages Capital Fund Program (CFP) YEAR 2001-RHF		U. S. Department of Housing and Urban Development Office of Public and Indian Housing                      CFP2001-RHF						
<b>PHA NAME:</b> CHARLOTTE HOUSING AUTHORITY		<u>GRANT NUMBER</u> NC19R00350101						
<i>DEVELOPMENT #/NAME</i>	<b>GENERAL DESCRIPTION OF PROPOSED WORK ITEMS</b>	<b>DEV. ACCT. #</b>	<b>ESTIMATED COST</b>		<b>FUNDS EXPENDED*</b>	<b>FUNDS OBLIGATED</b>	<b>FUNDS TO BE EXPENDED</b>	<b>STATUS OF PROPOSED WORK</b>
			<b>ORIGINAL</b>	<b>REVISED*</b>				
12	<b>DWELLING STRUCTURES</b>							
	DILLEHAY	REPLACEMENT HOUSING	1460	442,036	442,036	0	442,036	442,036
		<b>SUBTOTAL</b>	<b>1460</b>	<b>442,036</b>	<b>442,036</b>	<b>0</b>	<b>442,036</b>	<b>442,036</b>
		<b>GRAND TOTAL</b>			442,036	0	442,036	442,036

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte			Grant Type & Number Capital Fund Program #NC19R00350101			Federal FY of Grant: 2001	
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA-Wide	12/2002			6/2003	6/2004		Development Plan In Progress

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
 Signature of President/CEO and Date

(2) To be completed for the Performance and Evaluation Report.  
 Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date

Form HUD-52837(01/05/95)

Page \_\_\_\_ of \_\_\_\_

ref. Handbook 7485.3

**CAPITAL FUND PROGRAM-2002  
STATUS REPORT - 09/30/02**

**ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT**  
**Part I: Summary**  
**Capital Fund Program (CFP)**  
 U. S. Department of Housing and Urban Development  
 Office of Public and Indian Housing

PHA/IHA Name **HOUSING AUTHORITY OF THE CITY OF CHARLOTTE**  
 Comprehensive Grant Number **NC19P00350102**  
 FFY of Grant Approval **2002**

\_\_\_\_ Orig. Annual Statement \_\_\_\_ Reserve for Disasters/Emergencies \_\_\_\_ Rev. Annual Statement/Rev. No. \_\_\_\_ Performance and Evaluation Report for Prog. Yr. Ending: **9/30/2002**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	<b>TOTAL NON-CFP FUNDS</b>	0	0	0	0.00	0	0
2	<b>1406 OPERATIONS</b>	500,000	500,000	500,000	0.00	0	500,000
3	<b>1408 MANAGEMENT IMPROVEMENTS (1)</b>	556,453	556,453	556,453	0.00	0	556,453
4	<b>1410 ADMINISTRATION (2)</b>	460,714	460,714	460,714	0.00	0	460,714
5	<b>1411 AUDIT</b>	1,000	1,000	0	0.00	1,000	1,000
6	<b>1415 LIQUIDATED DAMAGES</b>	0	0	0	0.00	0	0
7	<b>1430 FEES AND COSTS</b>	387,519	387,519	0	0.00	387,519	387,519
8	<b>1440 SITE ACQUISITION</b>	0	0	0	0.00	0	0
9	<b>1450 SITE IMPROVEMENTS</b>	190,000	190,000	0	0.00	190,000	190,000
10	<b>1460 DWELLING STRUCTURES</b>	1,980,500	1,980,500	0	0.00	1,980,500	1,980,500
11	<b>1465.1 DWELLING EQUIPMENT-NONEXPENDABLE</b>	0	0	0	0.00	0	0
12	<b>1470 NONDWELLING STRUCTURES</b>	0	0	0	0.00	0	0
13	<b>1475 NONDWELLING EQUIPMENT</b>	428,000	428,000	0	0.00	428,000	428,000
14	<b>1485 DEMOLITION</b>	0	0	0	0.00	0	0
15	<b>1490 REPLACEMENT FOR RESERVE</b>						
16	<b>1495.1 RELOCATION COSTS</b>	30,000	30,000	0	0.00	30,000	30,000
17	<b>1498 MOD USED FOR DEVELOPMENT</b>	0	0	0	0.00	0	0
18	<b>1502 CONTINGENCY (may not exceed 8% of line 19)</b>	106,584	106,584	0	0.00	106,584	106,584
19	<b>AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-18)</b>						
20	<b>AMOUNT OF LINE 19 RELATED TO LBP ACTIVITIES</b>	60,000	60,000	0	0	60,000	60,000
21	<b>AMOUNT OF LINE 19 RELATED TO SECTION 504 COMPLIANCE</b>	30,000	30,000	0	0	30,000	30,000
22	<b>AMOUNT OF LINE 19 RELATED TO SECURITY</b>	0	0	0	0	0	0
23	<b>AMOUNT OF LINE 19 RELATED TO ENERGY CONSERVATION</b>	0	0	0	0	0	0

Signature of Executive Director and Date

Signature of Field Office Manager and Date

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2002		U. S. Department of Housing and Urban Development Office of Public and Indian Housing CFP2002						
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350102						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
OPERATIONS		1406	500,000	500,000	0	500,000	500,000	
MANAGEMENT IMPROVEMENTS	<b>RESIDENT INITIATIVES</b>					0		
	SECTION 3 REIDENT EMPLOYMENT INITIATIVES	14080	20,000	20,000	0	20,000	20,000	ON-GOING EXPENSES
	RESIDENT ORGANIZATION TRAINING	140806	7,000	7,000	0	7,000	7,000	ON-GOING EXPENSES
	RESIDENT ORGANIZATION FACILITIES/SUPPLIES	140807	20,000	20,000	0	20,000	20,000	
	RESIDENT YOUTH PROG. & EQUIPMENT	140809	5,000	5,000	0	5,000	5,000	ON-GOING EXPENSES
	<b>AUTHORITY-WIDE</b>					0		
	PUBLIC RELATIONS PROFESSIONAL SERVICES	140802	5,000	5,000	0	5,000	5,000	
	HR & STAFF TRAINING	140823	62,000	62,000	0	62,000	62,000	
	PHA-WIDE ENTERPRISE SOFTWARE	140801	300,000	300,000	0	300,000	300,000	ON-GOING EXPENSES
	ESTAB. OF QUALITY CONTROL PROGRAM	140810-19	137,453	137,453	0	137,453	137,453	USE AS NEEDED
				0	0	0	0	
	<b>SUBTOTAL</b>	<b>1408</b>	<b>556,453</b>	<b>556,453</b>	<b>0</b>	<b>556,453</b>	<b>556,453</b>	
ADMINISTRATIVE COSTS	TECHNICAL/NON-TECHNICAL SALARIES	141001/02/09	435,714	435,714	0	435,714	435,714	ON-GOING EXPENSES
	TRAVEL	141010-19	25,000	25,000	0	25,000	25,000	ON-GOING EXPENSES
	PUBLISHING/PRINTING	141012/11		0	0	0	0	ON-GOING EXPENSES
	LEGAL EXPENSE	141014		0	0	0	0	ON-GOING EXPENSES
	TELEPHONE	141016		0	0	0	0	ON-GOING EXPENSES
	SUNDRY (ADVERTISING @ \$1,200 + POSTAGE @ \$1,000)	141019		0	0	0	0	ON-GOING EXPENSES
	<b>SUBTOTAL</b>	<b>1410</b>	<b>460,714</b>	<b>460,714</b>	<b>0</b>	<b>460,714</b>	<b>460,714</b>	
AUDIT EXPENSE	CGP AUDIT	1411	1,000	1,000	0	0	1,000	
	<b>SUBTOTAL</b>	<b>1411</b>	<b>1,000</b>	<b>1,000</b>	<b>0</b>	<b>0</b>	<b>1,000</b>	
		<b>1415</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>SUBTOTAL</b>	<b>1415</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2002		U. S. Department of Housing and Urban Development Office of Public and Indian Housing CFP2002							
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350102							
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE		
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK	
FEES & COSTS	LBP COSTS (ENVIRONMENTAL CONSULTING)	143002	60,000	60,000	0		60,000.00	ON-GOING EXPENSES	
	A/E	143001	32,519	32,519	0		32,519.00	ON-GOING EXPENSES	
	UPI INSPECTOR FEE	143000	40,000	40,000	0		40,000.00		
	JOC (2500/MONTH)	143005	30,000	30,000	0		30,000.00	ON-GOING EXPENSES	
	MIS PROFESSIONAL SERVICES	1430	195000	195,000	0		195,000.00		
	PLANNING & DEVELOPMENT PROFESSIONAL SERVICE	1430	30000	30,000	0		30,000.00		
	<b>SUBTOTAL</b>		<b>1430</b>	<b>387,519</b>	<b>387,519</b>	<b>0</b>	<b>0</b>	<b>387,519.00</b>	
		<b>1440</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>		
	<b>SUBTOTAL</b>	<b>1440</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>		
SITE IMPROVEMENTS							0		
3 SOUTHSIDE HOMES	SITE PAVING & DRAINAGE & SITE UTILITIES & LANDS	1450	190,000	190,000	0	0	190,000		
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	
		1450		0	0	0	0	0	

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2002		U. S. Department of Housing and Urban Development Office of Public and Indian Housing CFP2002						
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350102						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF	DEV.	ESTIMATED COST		FUNDS	FUNDS	FUNDS TO BE	
	PROPOSED WORK ITEMS	ACCT. #	ORIGINAL	REVISED*	EXPENDED*	OBLIGATED	EXPENDED	STATUS OF PROPOSED WORK
	<b>SUBTOTAL</b>	<b>1450</b>	<b>190,000</b>	<b>190,000</b>	<b>0</b>	<b>0</b>	<b>190,000</b>	
	<b>DWELLING STRUCTURES</b>							
<b>1</b>	<b>PIEDMONT</b>							
	REPAIR PORCH CANOPIES/ROOF REPAIRS	1460	150,000	150,000	0	0	150,000	
				0	0	0	0	
				0	0	0	0	
<b>3</b>	<b>SOUTHSIDE HOMES</b>							
	INTERIOR RENOVATION OF 42 UNITS	1460	1,428,000	1,428,000	0	0	1,428,000	
<b>4</b>	<b>BELVEDERE</b>							
	EMERGENCY REPAIR	1460	60,000	60,000	0	0	60,000	
<b>6</b>	<b>EDWIN TOWERS</b>							
	REPAIR ROOF	1460	12,000	12,000	0	0	12,000	
	EDWIN TOWERS	1460	50,000	50,000	0	0	50,000	
	EDWIN TOWERS	1460	7,500	7,500	0	0	7,500	
<b>7</b>	<b>STRAWN</b>							
	UPGRADE ELEVATOR	1460	35,000	35,000	0	0	35,000	
	STRAWN	1460	30,000	30,000	0	0	30,000	
		1460		0	0	0	0	
		1460		0	0	0	0	
		1460		0	0	0	0	
		1460		0	0	0	0	
		1460		0	0	0	0	
		1460		0	0	0	0	
		1460		0	0	0	0	
		1460		0	0	0	0	
<b>18</b>	<b>CHARLOTTETOWNE</b>							
	UPGRADE ELEVATOR	1460	30,000	30,000	0	0	30,000	
	CHARLOTTETOWNE	1460	40,000	40,000	0	0	40,000	
<b>19</b>	<b>PARKTOWNE</b>							
	UPGRADE ELEVATOR	1460	25,000	25,000	0	0	25,000	
	SHOWER REPAIR	1460	25,000	25,000	0	0	25,000	
		1460		0	0	0	0	
<b>22</b>	<b>HALL HOUSE</b>							
	UPGRADE ELEVATOR	1460	50,000	50,000	0	0	50,000	
		1460		0	0	0	0	
<b>26</b>	<b>WALLACE WOODS</b>							
	EXTERIOR PAINTING	1460	38,000	38,000	0	0	38,000	
<b>24</b>	<b>ROBINSDALE</b>							
	REPAIR /CLEAN EXT SIDING	1460		0	0	0	0	
<b>25</b>	<b>GLADEDALE</b>							
	REPAIR /CLEAN EXT SIDING	1460		0	0	0	0	
<b>95</b>	<b>VICTORIA SQ</b>							
	UNIT RESTORATION	1460		0	0	0	0	
	PHA-WIDE	1460		0	0	0	0	
	PHA-WIDE	1460		0	0	0	0	
	SECTION 504	1460		0	0	0	0	
<b>93</b>	<b>CLAREMONT</b>							
	UNIT RESTORATION	1460		0	0	0	0	
<b>23</b>	<b>TARLTON</b>							
	UNIT RESTORATION	1460		0	0	0	0	

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT Part II: Supporting Pages Capital Fund Program (CFP) Year 2002		U. S. Department of Housing and Urban Development Office of Public and Indian Housing CFP2002						
PHA NAME: CHARLOTTE HOUSING AUTHORITY		GRANT NUMBER NC19P00350102						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF PROPOSED WORK ITEMS	DEV. ACCT. #	ESTIMATED COST ORIGINAL REVISED*		FUNDS EXPENDED*	FUNDS OBLIGATED	FUNDS TO BE EXPENDED	STATUS OF PROPOSED WORK
	<b>SUBTOTAL</b>	<b>1460</b>	<b>1,980,500</b>	<b>1,980,500</b>	<b>0</b>	<b>0</b>	<b>1,980,500</b>	
<b>3-1</b>	<b>DWELLING EQUIPMENT</b>							
	PIEDMONT COURTS	1465.1	0	0	0	0	0	
<b>3-3</b>	<b>SOUTHSIDE HOMES</b>							
	APPLIANCES (94 UNITS @ \$800)		0	0	0	0	0	
	<b>SUBTOTAL</b>	<b>1465.1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>NONDWELLING STRUCTURE</b>							
<b>3-4</b>	<b>BELVEDERE</b>							
	EMERG. REPLACEMENT / HVAC FOR OFFICE /LEARNIN	1470-04	0	0	0	0	0	BALANCE ON WORK INIATILLY FUNDED VIA CGP98
	<b>SUBTOTAL</b>	<b>1470</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>NON-DWELLING EQUIPMENT</b>				0			
	PHA-WIDE COMPUTER UPGRADES, T-1/INTERNET LINES	147503/08	273,000	273,000	0			
	GENERATOR FOR STRAWN	147501	45,000	45,000	0	0	45,000	
	1 MAINTENANCE VEHICLE & EQUIPMENT	147502/07	60,000	60,000	0	0	60,000	
	2 QUAILITY CONTROL VEHICLES/EQUIPMENT	147507/08	50,000	50,000	0	0	50,000	
	<b>SUBTOTAL</b>	<b>1475</b>	<b>428,000</b>	<b>428,000</b>	<b>0</b>	<b>0</b>	<b>428,000</b>	
	<b>DEMOLITION</b>							
	DEMOLITION	1485	0	0	0	0	0	
	<b>SUBTOTAL</b>	<b>1485</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>RELOCATION COSTS</b>							
<b>3</b>	<b>SOUTHSIDE</b>							
	RELOCATION	1495	30,000	30,000	0	0	30,000	
	<b>SUBTOTAL</b>	<b>1495</b>	<b>30,000</b>	<b>30,000</b>	<b>0</b>	<b>0</b>	<b>30,000</b>	
	<b>CONTINGENCY</b>							
	CONTINGENCY	1502	106,584	106,584	0	0	106,584	
	<b>SUBTOTAL</b>	<b>1502</b>	<b>106,584</b>	<b>106,584</b>	<b>0</b>	<b>0</b>	<b>106,584</b>	
	<b>GRAND TOTAL</b>		<b>4,640,769</b>	<b>4,640,769</b>	<b>0</b>	<b>1,517,166</b>	<b>4,640,769.47</b>	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte				Grant Type & Number Capital Fund Program #NC19P00350102			Federal FY of Grant: 2002
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NC3-1 PIEDMONT COURTS	12/2003			6/2004			
NC3-3 SOUTHSIDE	3/2004			9/2005			
NC3-4 BELVEDERE	3/2004			12/2004			
NC3-6 EDWIN TOWERS	3/2004			6/2005			
NC3-7 STRAWN	3/2004			6/2005			
NC3-18 CHARLOTTETOWN	3/2004			6/2005			
NC3-19 PARKTOWNE	3/2004			6/2005			
NC3-22 HALL HOUSE	3/2004			6/2005			
NC3-26 WALLACE WOODS	3/2004			3/2005			
IMPROVE RESIDENT ORGAN. FACILITIES	12/2003			6/2005			
RESIDENT ORGANIZATION TRAINING	12/2003			6/2005			
RESIDENT YOUTH PROGRAM & EQUIP	12/2003			6/2005			
RESIDENT EMPLOYMENT INITIATIVES (SEC 3)	12/2003			6/2005			
PHA-WIDE PC SOFTWARE & SERVICE	12/2003			6/2005			
PHA-WIDE COMPUTER TRAINING	12/2003			6/2005			
MANAGEMENT IMPROVEMENT SALARIES	12/2003			6/2005			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of President/CEO and Date

Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date

**CAPITAL FUND PROGRAM-2002 (Replacement Housing Factor)  
STATUS REPORT - 09/30/02**

ANNUAL STATEMENT/PERFORMANCE  
AND EVALUATION REPORT

U. S. Department of Housing and Urban Development

Part I: Summary

Office of Public and Indian Housing

Capital Fund Program (CFP) YEAR 2002-RHF

PHA/IHA Name

Comprehensive Grant Number

FFY of Grant Approval

HOUSING AUTHORITY OF THE CITY OF CHARLOTTE

NC19R00350102

2002

\_\_\_\_ Orig. Annual Statement \_\_\_\_ Reserve for Disasters/Emergencies \_\_\_\_ Rev. Annual Statement/Rev. No. \_\_\_\_ Performance and Evaluation Report for Prog. Yr. Ending: **9/30/2002**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Costs (2)		Funds to be Obligated	Funds to be Expended
		Original	Revised (1)	Obligated	Expended		
1	TOTAL NON-CFP FUNDS	0				0	0
2	1406 OPERATIONS	0	0	0	0	0	0
3	1408 MANAGEMENT IMPROVEMENTS (1)	0	0	0	0	0	0
4	1410 ADMINISTRATION (2)	0	0	0	0	0	0
5	1411 AUDIT	0	0	0	0	0	0
6	1415 LIQUIDATED DAMAGES	0	0	0	0	0	0
7	1430 FEES AND COSTS	0	0	0	0	0	0
8	1440 SITE ACQUISITION	0	0	0	0	0	0
9	1450 SITE IMPROVEMENTS	0	0	0	0	0	0
10	1460 DWELLING STRUCTURES	686,910	0	686,910	0	0	686,910
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	0	0	0	0	0	0
12	1470 NONDWELLING STRUCTURES	0	0	0	0	0	0
13	1475 NONDWELLING EQUIPMENT	0	0	0	0	0	0
14	1485 DEMOLITION	0	0	0	0	0	0
15	1490 REPLACEMENT FOR RESERVE	0	0	0	0	0	0
16	1495.1 RELOCATION COSTS	0	0	0	0	0	0
17	1498 MOD USED FOR DEVELOPMENT	0	0	0	0	0	0
18	1502 CONTINGENCY (may not exceed 8% of line 19)	0	0	0	0	0	0
19	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-18)						
20	AMOUNT OF LINE 19 RELATED TO LBP ACTIVITIES	0	0	0	0	0	0
21	AMOUNT OF LINE 19 RELATED TO SECTION 504 COMPLIANCE	0	0	0	0	0	0
22	AMOUNT OF LINE 19 RELATED TO SECURITY	0	0	0	0	0	0
23	AMOUNT OF LINE 19 RELATED TO ENERGY CONSERVATION	0	0	0	0	0	0

Signature of Executive Director and Date

Signature of Field Office Manager and Date

<b>ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT</b> Part II: Supporting Pages Capital Fund Program (CFP) YEAR 2002-RHF		U. S. Department of Housing and Urban Development Office of Public and Indian Housing                      CFP2002-RHF						
<b>PHA NAME:</b> CHARLOTTE HOUSING AUTHORITY		<u>GRANT NUMBER</u> NC19R00350102						
DEVELOPMENT #/NAME	GENERAL DESCRIPTION OF PROPOSED WORK ITEMS	DEV. ACCT. #	ESTIMATED COST		FUNDS EXPENDED*	FUNDS OBLIGATED	FUNDS TO BE EXPENDED	STATUS OF PROPOSED WORK
			ORIGINAL	REVISED*				
<u>DWELLING STRUCTURES</u>	REPLACEMENT HOUSING	1460	686,910	686,910	0	686,910	686,910	
	<b>SUBTOTAL</b>	<b>1460</b>	<b>686,910</b>	<b>686,910</b>	<b>0</b>	<b>686,910</b>	<b>686,910</b>	
	<b>GRAND TOTAL</b>		686,910	686,910	0	686,910	686,910	

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule

U.S. Department of Housing  
 and Urban Development  
 Office of Public and Indian Housing

PHA NAME: Housing Authority of the City of Charlotte				Grant Type & Number Capital Fund Program #NC19R00350102			Federal FY of Grant: 2002
DEVELOPMENT #/NAME HA WIDE ACTIVITIES	Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA-Wide	9/2004			9/2005			Development Plan In Progress

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
 Signature of President/CEO and Date

(2) To be completed for the Performance and Evaluation Report.  
 Signature of Public Housing Director/Office of Native American Programs  
 Administrator and Date