

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2003

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: PRESTONSBURG HOUSING AUTHORITY

PHA Number: KY035

PHA Fiscal Year Beginning: (mm/yyyy/ 04/2003

PHA Plan Contact Information:

Name: Brenda Harris

Phone: 606 886-2717

TDD: 1-800-648-6056

Email (if available): rlbtdn@eastky.net

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

**Annual PHA Plan
Fiscal Year 2003**

[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

Ceiling Rents have been adjusted to the same level as Flat Rents, effective 10/01/02. Tenant Security Deposits for new move-ins will be increased from \$175 to \$200 effective 4/01/03.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 279,804 (estimated)

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment C

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment B

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component ; if "yes", complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family's resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____
- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. Yes No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

- 1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
- 2. If yes, the comments are Attached at Attachment (File name) F
- 3. In what manner did the PHA address those comments? (select all that apply)
 - The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 - Yes No: below or
 - Yes No: at the end of the RAB Comments in Attachment _____.

Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment _F____.

Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)Big Sandy Area Development District.

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below) Both endeavor to provide decent, safe and affordable housing to low-income people.
- Other: (list below)

3. PHA Requests for support from the Consolidated Plan Agency

Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The overall goal of the housing portion of the strategic plan is to provide decent, safe and sanitary housing by maintaining affordable housing opportunities for low-income Kentuckians which corresponds with the PHA mission to promote adequate and affordable housing and to provide and maintain safe, quality housing in a cost effective and non-discriminatory manner.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:Change to the Five Year Plan which re-direct the PHA mission, goals, or objectives to meet the needs of the PHA residents.

B. Significant Amendment or Modification to the Annual Plan:
Significant changes or additions to the Annual Statement of Capital Improvements Needs resulting in a budget revision of more than fifty percent of the total grant funding or changes to the admissions, rent policies and waiting list organization other than those required by funding constraints and regulatory changes.

Attachment A
Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) (list individually; use as many lines as necessary) Voluntary Conversion Assessment	Annual Plan

Required Attachment D: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

B. How was the resident board member selected: (select one)?

- Elected
- Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain): A resident was appointed to our Board of Commissioners on January 22, 2001. The resident moved from public housing on 12/03/01 but continues to serve on the Board. The PHA will survey its residents for interest in serving of the PHA governing Board and submit its recommendation to the appointing official.

B. Date of next term expiration of a governing board member: 04/07/03

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): Mayor Jerry Fannin.

Required Attachment __E____: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Sheila Wright
Harry McCoy
Sondra Spurlock

ATTACHMENT B
CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: PRESTONSBURG HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P03550103 Replacement Housing Factor Grant No:		Federal FY of Grant: FY03	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration	40,416			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	18,800			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	210,588			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	10,000			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: PRESTONSBURG HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: KY36P03550103 Replacement Housing Factor Grant No:	Federal FY of Grant: FY03
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	279,804			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: PRESTONSBURG HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P03550103 Replacement Housing Factor Grant No:				Federal FY of Grant: FY03	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work

Capital Fund Program Five-Year Action Plan

ATTACHMENT C

Part I: Summary

PHA Name PRESTONSBURG HOUSING AUTHORITY		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 3 FFY Grant: 2005 PHA FY: 2005	Work Statement for Year 4 FFY Grant: 2006 PHA FY: 2006	Work Statement for Year 5 FFY Grant: 2007 PHA FY: 2007
KY 35-1 GREEN ACRES	Annual Statement	150,000	90,000	75,000	10,000
KY 35-2 INDIAN HILLS		70,000	80,000	5,000	100,000
KY 35-3 DIXIE			10,000	40,000	
PHA WIDE			40,000	100,000	110,000
CFP Funds Listed for 5-year planning					
Replacement Housing Factor Funds		220,000	220,000	220,000	220,000

ATTACHMENT F COMMENTS OF RESIDENT ADVISORY BOARD

SUMMARY OF RESIDENT ADVISORY BOARD COMMENTS

A meeting with the Resident Advisory Board was held on August 20, 2002. At that meeting, the results of the survey sent to each resident for resident input on the plan was discussed. Listed below is the summary of the resident advisory board recommendations and the manner in which the Housing Authority addressed those comments:

Recommendations: Air Conditioning, Speed Bumps, Shrubberty, Trees Trimmed.

The resident advisory board was advised that plans would be made to do air-conditioning as furnaces were replaced (one complex at a time) and as funds permitted. The annual plan for Capital Funds FY03 includes budget line item for electrical upgrade, heating/air conditioning for the Dixie complex. Heating/Air is addressed in the 5 year action plan for the remaining 2 complexes. The request for speed bumps will be addressed with the City. Shrubberty and tree trimming is addressed in year 4 of the 5 year action plan.

REQUIRED ATTACHMENT G
PROGRESS IN MEETING 5 YEAR
GOALS AND OBJECTIVES

The progress made by the Prestonsburg Housing Authority in meeting the mission and goals of the 5 year plan is as follows:

In an effort to increase customer satisfaction, an applicant survey was implemented in June 2000. At the end of the fiscal year, we reviewed the comments to ascertain if any improvements were needed in the applicant process.

Managed the Housing Authority efficiently and effectively to receive a Public Housing Management Assessment System score of 92.9 for FYE 3/31/00 and 97 for FYE 3/31/01. Final score received for FYE 3/31/02 was 97.

In an effort to encourage self-sufficiency, we continue to partnership with the County Extension Office to offer various classes on self-sufficiency. Several residents have attended meetings on Leadership Training. Transportation is provided by the PHA.

In order to affirmatively further fair housing, we have advertised in the local paper and radio and provided training to all staff. Additional handicapped parking has been made available at the Green Acres Community Room and at the Indian Hills site. Additional handrails will be added to the Indian Hills site by January 15, 2003.

The Section 8 Program has implemented new practices to monitor utilization of funds expended. Our average lease up rate for the FYE 3/31/02 was 99.59% of the 144 allocated vouchers. Average lease up rate for the first 6 months of this fiscal year is 100% of the allocated vouchers. Briefings continue to be conducted in a group setting, using a video produced by this housing authority. In addition, we provide a Landlord and Applicant/Resident brochure for the participants in the program. We have met 100% of our 5 year goal to add new landlords to the program and continue to do outreach for new landlords. The SEMAP overall score for the FYE 3/31/01 was 100%. We have not received the score for 3/31/02 as of January 8, 2003.

CAPITAL FUND PROGRAM TABLES START HERE

ATTACHMENT H

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: PRESTONSBURG		Grant Type and Number Capital Fund Program Grant No: KY36P03550100 Replacement Housing Factor Grant No:			Federal FY of Grant: FY00
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	20,770.00		20,770.00	20,770.00
3	1408 Management Improvements	4,700.00		4,700.00	3,346.24
4	1410 Administration	981.02		981.02	981.02
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	33,000.00		33,000.00	27,015.76
8	1440 Site Acquisition				
9	1450 Site Improvement	44,460.00		44,460.00	36,062.76
10	1460 Dwelling Structures	174,108.98		174,108.98	170,993.46
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	1,000.00		1,000.00	1,000.00
13	1475 Nondwelling Equipment	1,636.00		1,636.00	1,636.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	8,000.00		8,000.00	8,000.00
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: PRESTONSBURG	Grant Type and Number Capital Fund Program Grant No: KY36P03550100 Replacement Housing Factor Grant No:	Federal FY of Grant: FY00
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09/30/2002 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	288,656.00		288,656.00	269,805.24
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: PRESTONSBURG		Grant Type and Number Capital Fund Program Grant No: KY36P03550100 Replacement Housing Factor Grant No:			Federal FY of Grant: FY00			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA WIDE	OPERATIONS	1406		20,770.00		20,770.00	20,770.00	COMPLETE
PHA WIDE	ADMINISTRATIVE SALARY	1408		2,200.00		2200.00	2,200.00	COMPLETE
PHA WIDE	RELOCATION COORDINATOR	1408		2,500.00		2,500.00	1,146.24	
PHA WIDE	PRINTING & ADVERTISING	1410		981.02		981.02	981.02	COMPLETE
PHA WIDE	A/E FEES	1430		18,900.00		18,900.00	18,900.00	COMPLETE
PHA WIDE	CONSULTING FEES	1430		10,500.00		10,500.00	5,775.00	
KY3502	AIR MONITOR	1430		3,600.00		3,600.00	2,340.76	
KY3501	HANDICAP PARKING	1450		23,836.00		23,836.00	23,836.00	COMPLETE
KY3502	HANDICAP RAMPS	1450		9,673.00		9,673.00	1,275.76	
KY3502	RESURFACE PARKING LOT	1450		10,951.00		10,951.00	10,951.00	COMPLETE
KY3501	REPAIR FOUNDATION BLOCKS	1460		3,641.00		3,641.00	3,641.00	COMPLETE
KY3502	REPLACE SEWER LINE/TILE	1460		110,035.95		110,035.95	106,920.43	
KY3501	REPLACE SEWER LINE/TILE	1460		60,432.03		60,432.03	60,432.03	COMPLETE
KY3502	COMMUNITY SPACE LIGHTING	1470		1,000.00		1,000.00	1,000.00	COMPLETE
PHA WIDE	OXYGEN METER	1475		1,636.00		1,636.00	1,636.00	COMPLETE
KY3502	RELOCATION	1495		8,000.00		8,000.00	8,000.00	COMPLETE

CAPITAL FUND PROGRAM TABLES START HERE

ATTACHMENT I

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary						
PHA Name: PRESTONSBURG		Grant Type and Number Capital Fund Program Grant No: KY36P03550101 Replacement Housing Factor Grant No:			Federal FY of Grant: FY01	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)						
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	20,000.00		20,000.00	20,000.00	
3	1408 Management Improvements Soft Costs	6,000.00		2,200.00		
	Management Improvements Hard Costs					
4	1410 Administration	1,500.00		1,370.37	1,370.37	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	37,320.00		26,500.00	19,442.00	
8	1440 Site Acquisition					
9	1450 Site Improvement	15,673.00		11,530.25		
10	1460 Dwelling Structures	206,056.00		206,056.00	84,047.78	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs	8,000.00		1,343.15	1,343.15	
18	1499 Development Activities					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: PRESTONSBURG	Grant Type and Number Capital Fund Program Grant No: KY36P03550101 Replacement Housing Factor Grant No:	Federal FY of Grant: FY01
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09/30/2002
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	294,549.00		268,999.77	126,203.30
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: PRESTONSBURG		Grant Type and Number Capital Fund Program Grant No: KY36P03550101 Replacement Housing Factor Grant No:				Federal FY of Grant: FY01			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					ORIGNIAL	REVISED	OBLIGATED	EXPENDED	
PHA WIDE	OPERATIONS		1406		20,000.00	20,000.00	20,000.00	20,000.00	100%
PHA WIDE	ADMINISTRATIVE SALARY		1408		6,000.00	6,000.00	2,200.00		
PHA WIDE	PRINTING & ADVERTISING		1410		1,500.00	1,500.00	1,370.37	1,370.37	
PHA WIDE	A/E FEES		1430		19,820.00	19,820.00	15,500.00	13,392.00	
PHA WIDE	CONSULTANT FEES		1430		15,500.00	15,500.00	11,000.00	6,050.00	
PHA WIDE	AIR MONITOR		1430		2,000.00	2,000.00			
KY3502	PLAYGROUND EQUIPMENT		1450		10,000.00	-0-			
KY3503	YARD DRAINAGE		1450		1,500.00	11,530.25	11,530.25		
KY3503	CONCRETE PADS		1450		4,173.00	4,142.75			
KY3501	PORCH LIGHTS		1460		5,800.00	5,800.00	5,800.00		
KY3502	SEWERLINE REPLACEMENT/ASBESTOS		1460		37,506.00	100,356.00	100,356.00	14,000.00	
KY3503	ROOFING		1460		70,900.00	70,900.00	70,900.00	70,047.78	
KY3503	A/C UNITS		1460		57,000.00	-0-			
KY3501	PORCH POLES/ABATEMENT		1460		29,000.00	29,000.00	29,000.00		
KY3502	PORCH RAILINGS/IMPROVEMENTS		1460		5,850.00	-0-			
KY3502	RELOCATION		1495.1		8,000.00	8,000.00	1,343.15	1,343.15	

CAPITAL FUND PROGRAM TABLES START HERE

ATTACHMENT J

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: PRESTONSBURG		Grant Type and Number Capital Fund Program Grant No: KY36P03550102 Replacement Housing Factor Grant No:			Federal FY of Grant: FY02
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	18,000			
2	1406 Operations	1,000			
3	1408 Management Improvements Soft Costs	20,000			
	Management Improvements Hard Costs				
4	1410 Administration	2,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35,000		26,760	
8	1440 Site Acquisition				
9	1450 Site Improvement	26,800			
10	1460 Dwelling Structures	172,004			
11	1465.1 Dwelling Equipment—Nonexpendable	5,500			
12	1470 Nondwelling Structures	8,000			
13	1475 Nondwelling Equipment	2,500		1,500	1,500
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	7,000			
18	1499 Development Activities				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: PRESTONSBURG	Grant Type and Number Capital Fund Program Grant No: KY36P03550102 Replacement Housing Factor Grant No:	Federal FY of Grant: FY02
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09/30/2002
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	279,804		28,260	1,500
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: PRESTONSBURG		Grant Type and Number Capital Fund Program Grant No: KY36P03550102 Replacement Housing Factor Grant No:				Federal FY of Grant: FY02			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					ORIGINAL	REVISED	OBLIGATED	EXPENDED	
NON CFP FUNDS	SEWER LIFT STATION STUDY/UPGRADE				18,000				
PHA WIDE	OPERATIONS		1406		1,000				
PHA WIDE	ADMINISTRATIVE SALARY/COMPUTER UPGRADES		1408		20,000				
PHA WIDE	PLANS/PRINTING/ADVERTISING		1410		2,000				
PHA WIDE	A&E FEES		1430		19,500		15,960		
PHA WIDE	CONSULTING FEES		1430		15,500		10,800		
PHA WIDE	KEY VALVES/TREE TRIMMING/ FLAGS		1450		8,600				
KY3502	SIDEWALK REPLACEMENT		1450		3,200				
KY3503	LIFT STATION UPGRADE		1450		15,000				
PHA WIDE	TERMITE TREATMENT		1460		30,000				
KY3501	REPLACE PORCES/ADD DRAINS		1460		6,000				
KY3502	COVER DUCT WORK		1460	16	5,000				
KY3503	SEWER LINE REPLACEMENT		1460	30	120,000				
KY3503	HEATING/AIR CONDITIONING		1460	2	11,004				
PHA WIDE	STOVES/REFRIGERATORS		1465.1		5,500				
KY3503	WINDOWS AND DOORS		1470		8,000				
PHA WIDE	OFFICE FURNITURE		1475		2,500				
KY3503	RELOCATION		1490		7,000				

ATTACHMENT K

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]