

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004  
Annual Plan for Fiscal Year 2003

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Housing Authority of Paducah

**PHA Number:** KY-006

**PHA Fiscal Year Beginning:** 04/2003

### Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2000 - 2004**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The mission of the Housing Authority of Paducah is to assist qualified, responsible families and individuals with safe, decent, and affordable housing opportunities as they strive to achieve or maintain self-sufficiency and improve the quality of their lives. The Housing Authority of Paducah is committed to operating in an efficient, ethical, and professional manner. In its operations, the Housing Authority of Paducah is committed to revitalizing and maintaining neighborhoods and a strong urban core. The Housing Authority of Paducah will create and maintain partnerships with its clients and appropriate community agencies in order to accomplish this mission.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)

- PHA Goal: Improve the quality of assisted housing
  - Objectives:
    - Improve public housing management: (PHAS score) **92.00**
    - Improve voucher management: (SEMAP score)
    - Increase customer satisfaction: RASS score 10
    - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
    - Renovate or modernize public housing units:
    - Demolish or dispose of obsolete public housing:
    - Provide replacement public housing:
    - Provide replacement vouchers:
    - Other: (list below)

**PROGRESS TOWARD MEETING THIS GOAL**

For FY 02, the Housing Authority of Paducah received a PHAS score of 90, qualifying it as a high performer. The HAP will continue to improve on this rating, concentrating on the physical assessment, which received several deductions. Staff will concentrate on making physical improvements, while maintaining the quality management that brought about the 90 this year. Toward improving the physical condition, the HAP intends to undertake a substantial renovation project, by borrowing funds from the Kentucky League of Cities bond pool.

- PHA Goal: Increase assisted housing choices
  - Objectives:
    - Provide voucher mobility counseling:
    - Conduct outreach efforts to potential voucher landlords
    - Increase voucher payment standards
    - Implement voucher homeownership program:
    - Implement public housing or other homeownership programs:
    - Implement public housing site-based waiting lists:
    - Convert public housing to vouchers:
    - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment
  - Objectives:
    - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

**PROGRESS TOWARD MEETING THIS GOAL**

The PHA has been successful in attracting higher income families, as evidenced by the Needs Assessment Chart in Section 1, Statement of Housing Needs. In the first year of the Five Year Plan, 54% of families on the waiting list were in the extremely low-income category, compared with only 43% at the present. The PHA has taken a number of steps to improve security, such as adopting stricter One Strike You're Out criteria. Also, signs have been posted at playgrounds that designate hours of operation. This was done in an effort to reduce drug related crime on PHA property.

The Housing Authority of Paducah has undertaken two significant programs to increase security. First, it has a Paducah Police Office assigned to the developments full-time. For a period, a second officer was also assigned, and this year the HAP will try to replace that second officer. Secondly, the HAP installed surveillance cameras in the Anderson and Elmwood communities. These cameras provide police with a 24/7 eye on activities in the sites.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households
  - Objectives:
    - Increase the number and percentage of employed persons in assisted families:
    - Provide or attract supportive services to improve assistance recipients' employability:
    - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
    - Other: (list below)

**PROGRESS TOWARD MEETING THIS GOAL**

The PHA is meeting this goal. The number of minimum renters has decreased. The PHA offers free day care for residents that are either working or enrolled in school. This incentive has helped attract more working residents. The HAP is developing training

programs so residents can become employed as CNA or CDAs. Available jobs are posted each week and employment readiness skill classes are offered frequently. Through the homeownership program, asset building courses will be offered next year.

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

**PROGRESS TOWARD MEETING THIS GOAL**

The PHA is meeting this goal as evidenced by the Statement of Housing Needs in Section 1. The PHA did not have a Fair Housing complaint filed against it during the past year.

**Other PHA Goals and Objectives: (list below)**

**Goal One**

Manage the Paducah Housing Authority’s existing public housing program in an efficient and effective manner thereby qualifying as a high performer.

**Objectives:**

1. The Paducah Housing Authority shall maintain its high performer rating.
2. By March 31, 2004, the Paducah Housing Authority shall have a waiting list of sufficient size so we can fill our public housing units within 20 days of them becoming vacant.
3. The Paducah Housing Authority shall increase the percentage of rents collected to an acceptable level to receive an “A” on PHAS by March 31, 2004.
4. The Paducah Housing Authority shall achieve and sustain an occupancy rate of 98%.

5. The Paducah Housing Authority shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.

## **PROGRESS TOWARD MEETING THIS GOAL**

The PHA achieved a high performer designation for the fiscal year ending March 31, 2002. The PHA is taking steps to ensure it can maintain the high performer status, by concentrating on deficient areas. The PHA is still experiencing problems maintaining a waiting list and can not fill certain bedroom sizes within 20 days of becoming vacant. However, during the last fiscal year the PHA has reduced its vacancy rate to 2.0%. The PHA has improved its ability to collect its rents by instituting stricter lease enforcement provisions.

### **Goal Two**

Enhance the marketability of the Paducah Housing Authority's public housing units.

#### **Objectives:**

1. The Paducah Housing Authority shall remove all graffiti within 24 hours of discovering it.
2. The Paducah Housing Authority shall achieve proper curb appeal for its public housing development by improving its landscaping, keeping its grass cut, making the properties litter-free and other actions by March 31, 2004.
3. The Paducah Housing Authority shall become a more customer-oriented organization.
4. Improve the physical appearance of the properties through exterior modernization and renovation.

## **PROGRESS TOWARD MEETING THIS GOAL**

The PHA is successfully meeting this goal and all of its objectives. The PHA has taken steps to improve its landscaping by trimming and cutting its trees and shrubs. New trees and shrubs are scheduled to be planted at all properties during the next fiscal year. Plans are being developed to improve the exterior façade of Elmwood Court and Anderson Court to make them more aesthetically pleasing.

### **Goal Three**

Improve resident and community perception of safety and security in the Paducah Housing Authority's public housing developments.

#### **Objectives:**

1. The Paducah Housing Authority shall reduce crime in its developments by 20% by March 31, 2005.
2. The Paducah Housing Authority shall reduce crime in its developments so that the crime rate is less than their surrounding neighborhoods by March 31, 2005.

#### **PROGRESS TOWARD MEETING THIS GOAL**

The PHA achieved this goal during the first year of this Plan and continues to maintain this level of reduction.

### **Goal Four**

Maintain the Paducah Housing Authority's real estate in an excellent condition and deliver timely and high quality maintenance service to the residents of the Paducah Housing Authority.

#### **Objectives:**

1. The Paducah Housing Authority shall create an appealing, up-to-date environment in its developments by March 31, 2005.
2. The Paducah Housing Authority shall maintain an average response time of 24 hours in responding to emergency work orders.
3. The Paducah Housing Authority shall maintain an average response time of 15 days in responding to non-emergency work orders.

#### **PROGRESS TOWARD MEETING THIS GOAL**

The PHA is successfully meeting this goal in the area of response to work orders. During the last fiscal year, 100 percent of all emergency work orders were completed in less than 24 hours and non-emergency work orders were completed in the average of one day. The PHA is currently working to improve its preventive maintenance program.

## **Goal Five**

The Paducah Housing Authority shall ensure equal treatment of all applicants, residents, employees, and vendors.

### **Objectives:**

1. The Paducah Housing Authority shall mix its public housing development populations as much as possible with respect to ethnicity, race, and income.

## **PROGRESS TOWARD MEETING THIS GOAL**

The PHA is successful in its efforts to ensure equal and consistent treatment of all PHA applicants, residents, employees, and vendors. The PHA makes every effort to ensure that its developments are not segregated. However, due to the high turnover rate and absence of a waiting list, applicants are often site selective in their housing choices.

## **Goal Six**

Ensure full compliance with all applicable standards and regulations including government generally accepted accounting practices.

### **Objectives:**

1. The Paducah Housing Authority shall operate so that income exceeds expenses every year.
2. The Paducah Housing Authority shall maintain its operating reserves at a level acceptable to receive an "A" on PHAS between now and March 31, 2005.

## **PROGRESS TOWARD MEETING THIS GOAL**

The PHA is meeting this goal for the present fiscal year, and has a strong reserve level.

## **Goal Seven**

Enhance the image of public housing in our community.

### **Objectives:**

1. The Paducah Housing Authority's leadership shall speak to at least 6 civic, religious, or fraternal groups a year to explain how important they are to the community.
2. The Paducah Housing Authority shall ensure that there are at least 6 positive stories a year in the local media about the Housing Authority or one of its residents.
3. The Paducah Housing Authority shall implement an outreach program to inform the community of what good managers of the public's dollars the Housing Authority is by March 31, 2004.

### **PROGRESS TOWARD MEETING THIS GOAL**

PHA staff has met the objectives of addressing at least 6 organizations during the past year and of having six positive stories in the local media. Staff has made a concerted effort to advise the media of positive developments in the HAP and have had excellent media coverage.

### **Goal Eight**

Improve access of public housing residents to services that support economic opportunity and quality of life.

#### **Objectives:**

1. The Paducah Housing Authority will implement 5 new partnerships in order to enhance self-sufficiency services to our residents by March 31, 2005.
2. Apply as often as is realistic for grant funds to improve resident services. For example, these funds will allow us to continue and expand our on-site health program and aid residents in obtaining the dream of homeownership.
3. The Paducah Housing Authority shall ensure that at least three supportive service opportunities are present for every public housing resident by March 31, 2005.
4. The Paducah Housing Authority shall assist its resident organizations in strengthening their organizations and helping them develop their own mission statement, goals, and objectives by March 31, 2005.

5. The Paducah Housing Authority shall assist 5 families to voluntarily move from assisted to unassisted housing by March 31, 2005.

### **PROGRESS TOWARD MEETING THIS GOAL**

During the past fiscal year the HAP has added a partnership with Habitat for Humanity, by providing office space for that organization. Currently, the staff is negotiating partnerships with Hope Unlimited and the Red Cross to provide their services at HAP sites. The HAP developed a partnership with Paducah Tilghman High School for preparation of the newsletter, and continues to maintain proactive partnerships with Paducah Community College, the Purchase Area Development District, City of Paducah, McCracken County, Lourdes Hospital, Purchase District Health Department and Four Rivers Behavioral Health. Finally, the PHA must still assist five families in moving into unassisted housing. The PHA intends to accomplish this goal by establishing a home ownership program in 2003.

**Annual PHA Plan**  
**PHA Fiscal Year 2003**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**  
 **Small Agency (<250 Public Housing Units)**  
 **Administering Section 8 Only**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Five-Year/Annual Plan for the Housing Authority of Paducah contains a number of exciting opportunities for the residents and community. During the term of this plan the HAP intends to make a number of improvements that should (1) allow the Authority to maintain its high performer status; (2) improve occupancy, particularly among higher income residents; (3) provide opportunities for residents to advance to homeownership.

This plan includes the following components:

- (a) The HAP intends to borrow approximately \$8 million from the Kentucky League of Cities bond pool. These funds will be used to make substantial physical improvements to all developments. This debt will be retired through use of capital fund monies during the next 20 years. The HAP will submit complete plans to the U.S. Department of Housing and Urban Development to authorize the borrowing and commit the capital funds over the loan term. This plan is reflected in the capital fund documentation attached to this plan. Attached are annual capital fund expenditure projections that reflect the debt service obligation as well as the plan for expenditure of the bond funds for renovation and improvements to the properties.
- (b) The HAP intends to undertake its replacement housing/homeownership program during the term of this plan. First, the HAP will be submitting plans to the U.S. Department of Housing and Urban Development to extend its Replacement Housing Funds from the current five-year obligation to a ten-year term. The HAP has determined that the five-year obligation is insufficient to meet its replacement housing promise. With the extension of RHP funds, the HAP will then seek additional bond funds from the Kentucky League of Cities in order that the RHP program can be comprehensively completed. The RHP funds will be obligated for debt service. The attached capital fund expenditure projections reflect this annual debt service. Also attached is an expenditure plan for the bond funds. Secondly, the Housing Authority of Paducah plans to use the Replacement Housing Funds to build single-family public housing units at Heritage Place, the site of past demolition. These

homes will be placed in the LIPH rental program upon completion and rented to families who wish to participate in a homeownership program. In the future, the HAP will ask HUD for guidance and approval to convert these homes into a viable 5H program.

- (c) This plan includes modification to the ACOPP and Lease which will ensure the continuation of quality tenants and safe living environments. Specifically, the lease will contain provisions concerning the Housing Authority Day Care facility and its use by tenants. The HAP will tighten its language concerning property abandonment and disposal of abandoned property. Regulations for tenant yard sales will be enumerated and language will be strengthened concerning criminal activity, particularly drug-related actions.
- (d) The HAP plans to conduct an energy audit to determine utility savings that can be achieved through a comprehensive approach to physical improvements and resident education. HAP will seek HUD approval to freeze the utility cost rolling base used for calculating operating subsidy. If sufficient utility savings are achieved, HAP will leverage savings for major capital improvements to bathrooms in Elmwood and Anderson. Additionally, utility allowances for all apartments will be reviewed and revised as necessary to reflect energy improvements.
- (e) The Housing Authority of Paducah is committed to improving the health and well being of its senior citizens. HAP will approach this objective in several ways including:
  - ③ hiring a case worker to focus on the needs of the elderly;
  - ③ building additional units designed to promote independence;
  - ③ implementing services to so that seniors can “age in place”;
  - ③ dedicating Pierce Lackey Village to assisted living and correspondingly modifying the wait list for that property;
- (a) Security and safety measures will be reviewed and improved through technology, resident training, and community policing. Funds will be sought from state, local and federal agencies in combination with capital and operating funds to continue our efforts to reduce crime and promote a healthy environment for all families.

### **iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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### Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### Required Attachments:

- Admissions Policy for Deconcentration
- FY 2003 Capital Fund Program Annual Statement **ky006a02**
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

#### Optional Attachments:

- PHA Management Organizational Chart
- FY 2003 Capital Fund Program 5 Year Action Plan **ky006a02**
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Replacement Housing '03 capital statement **ky006b02**  
Capital Improvement Program bond funds **ky006c02**  
Replacement Housing bond funds **ky006d02**  
Narrative Explanation of Capital Fund Statements **ky006e02**  
Performance & Evaluation Report 2000 **ky006f02**  
Performance & Evaluation Report 2001 **ky006g02**  
Performance & Evaluation Report 2002 **ky006h02**  
Performance & Evaluation Report Final 708 **ky006i02**

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
x	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
x	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
x	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
x	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
x	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
x	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
x	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
x	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Schedule of flat rents offered at each public housing development	Annual Plan: Rent Determination

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
x	<input type="checkbox"/> check here if included in the public housing A & O Policy	
N/A	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
x	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
x	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
x	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
x	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
x	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
x	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
x	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application	Annual Plan: Safety and Crime Prevention

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	(PHDEP Plan)	
x	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X X X	Pet Policy Community Service Policy Documentation of reasoning with regard to voluntary conversion initial assessments	

## **1. Statement of Housing Needs**

[24 CFR Part 903.7 9 (a)]

### **A. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type</b>							
<b>Family Type</b>	<b>Overall</b>	<b>Afford-ability</b>	<b>Supply</b>	<b>Quality</b>	<b>Access-ibility</b>	<b>Size</b>	<b>Loca-tion</b>
Income <= 30% of AMI	1478	4	3	4	1	2	2
Income >30% but <=50% of AMI	968	3	3	3	1	1	1
Income >50% but <80% of AMI	428	2	2	2	1	1	1
Elderly	786	3	2	2	1	1	1
Families with Disabilities	348	3	2	2	2	1	2
Race/Ethnicity (b)	448	4	2	3	1	1	2
Race/Ethnicity (h)	4	4	2	3	1	1	2
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)

**City of Paducah Planning Department**

**B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	103		249
Extremely low income <=30% AMI	44	43%	145/58%
Very low income (>30% but <=50% AMI)	33	32%	94/38%
Low income (>50% but <80% AMI)	26	25%	10/4%
Families with children	65	63%	
Elderly families	22	21%	

<b>Housing Needs of Families on the Waiting List</b>			
Families with Disabilities	16	16%	
Race/ethnicity (w)	41	40%	135/54%
Race/ethnicity (b)	62	60%	114/46%
Race/ethnicity (A)	0	0	
Race/ethnicity (H)	0	0	
Race/Ethnicity NA)	0	0%	
Characteristics by Bedroom Size (Public Housing Only)			Rented 2001-02
0BR			15/6%
1BR	66	64%	83/33%
2 BR	26	25%	102/41%
3 BR	6	6%	38/15%
4 BR	5	5%	9/4%
5 BR	0	0	2/1%
5+ BR	0	0	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### **C. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### **(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units

- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)  
Pursue designation of Pierce Lackey Village as an assisted living facility for the elderly and evaluate the feasibility of building an assisted living high-rise through mixed finance resources.

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

**Financial Resources:  
Planned Sources and Uses**

Sources	Planned \$	Planned Uses
<b>1. Federal Grants (FY 2003 grants)</b>		
a) Public Housing Operating Fund	1,596,508	
b) Public Housing Capital Fund	1,907,188*	*Capital fund + replacement housing funds
c) HOPE VI Revitalization	-0-	
d) HOPE VI Demolition	-0-	
e) Annual Contributions for Section 8 Tenant-Based Assistance	-0-	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	193,140-	
h) Community Development Block Grant	-0-	
i) HOME	-0-	
Other Federal Grants (list below)	-0-	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
2002 CFP	1,946,918	PHA operations
2001 PHDEP	262,399	PHA operations
<b>3. Public Housing Dwelling Rental Income</b>	1,490,380	
<b>Non-dwelling rental</b>	22,080	
<b>Excess utilities</b>	67,980	
<b>4. Other income (list below)</b>		
DJJ grant	27,165	after school program
Late fees	39,570	
<b>5. Non-federal sources (list below)</b>		
<b>Public housing investments</b>	31,895	
<b>Total resources</b>	5,375,806	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

## A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

### (1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: **two weeks**
- Other: **upon initial application**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)  
**credit check**

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

### (2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year? **1**

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists? **1**

3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

### **(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

**Hardship due to proximity to work or school**  
**To meet deconcentration goals**

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)

- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

**1 Date and Time**

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- 1 Residents who live and/or work in the jurisdiction
- 1 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- 1 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease

- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?  
(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a. Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments  
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **B. Section 8 N/A**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)

**(2) Waiting List Organization**

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

**(4) Admissions Preferences**

- a. Income targeting
- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?
- b. Preferences
1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of

application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices

Other (list below)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)
- e. Ceiling rents
1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
- Yes for all developments
- Yes but only for some developments
- No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)
3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)
- Never
  - At family option
  - Any time the family experiences an income increase
  - Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
  - Other (list below)

- g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- The section 8 rent reasonableness study of comparable housing
  - Survey of rents listed in local newspaper
  - Survey of similar unassisted units in the neighborhood
  - Other (list/describe below)

**B. Section 8 Tenant-Based Assistance N/A**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

### **B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		


**C. Management and Maintenance Policies**

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

**6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

**A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

**B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) **ky006a02**

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) **ky006a02**

Also included as attachments **ky006b02, ky006c02, ky006d02** are capital fund program expenditure projections for borrowed bond funding and replacement housing programs. In addition, a narrative explanation (**ky006e02**) of the program is included for clarification.

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)  
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development  
 Revitalization Plan submitted, pending approval  
 Revitalization Plan approved  
 Activities pursuant to an approved Revitalization Plan underway

- Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

- Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

The Housing Authority of Paducah is considering the feasibility of developing a mixed-finance project for assisted living. That evaluation will take place during the '03 fiscal year.

- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:

**8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity:

- a. Actual or projected start date of activity:  
 b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	Pierce Lackey Village
1b. Development (project) number:	KY006-8 (only 50 units)
2. Designation type:	Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	<u>unknown</u>
5. If approved, will this designation constitute a (select one)	

<input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 50 7. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current

status)

- Conversion Plan in development
- Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- Conversion Plan approved by HUD on: (DD/MM/YYYY)
- Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- Units addressed in a pending or approved demolition application (date submitted or approved: \_\_\_\_\_)
- Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: \_\_\_\_\_)
- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: \_\_\_\_\_)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing**)

**PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name Scattered Site 1b. Development (project) number: KY006-12
2. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: July 2003
5. Number of units affected: 5 6. Coverage of action: (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance N/A**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed 07/01/02

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals  
 Information sharing regarding mutual clients (for rent determinations and otherwise)  
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
 Jointly administer programs  
 Partner to administer a HUD Welfare-to-Work voucher program  
 Joint administration of other demonstration program  
 Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
CDA	35	No waiting	On-site center	All adult residents of PHA


**(2) Family Self Sufficiency program/s N/A**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents

(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

**KY 6-3 Elmwood Court**

**KY 6-4 Dr. D. H. Anderson Community**

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)  
Surveillance cameras

2. Which developments are most affected? (list below)

**KY 6-3 Elmwood Court**

**KY 6-4 Dr. D. H. Anderson Community**

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

**KY 6-3 Elmwood Court**

**KY 6-4 Dr. D. H. Anderson Community**

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2002 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename:

## **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? **unknown**
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. **High performing** and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and

other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

### **18. Other Information**

[24 CFR Part 903.7 9 (r)]

#### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name)
- Provided below:

The Resident Advisory Council had the following comments regarding the PHA Plan:

1. The Resident Council supported the Capital Fund submission, especially the plan to undertake multiple projects through bond funding.
2. They supported the PHA's lease addendum concerning use of the day care center.
3. They encouraged the management to try to find resources to build a community facility at Dolly McNutt sometime in the future.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments  
List changes below:

- Other: (list below)  
Staff promised to work with the Dolly McNutt resident council/neighborhood watch to find suitable meeting space.

## **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

### 3. Description of Resident Election Process

#### a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

#### b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

#### c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

The resident representative to the Board of Commissioners is appointed by the Mayor of Paducah. The Mayor considers nominees offered by the staff and Commissioners. Presently, the resident representative is the chairman of the Resident Advisory Council.

## **C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **Commonwealth of Kentucky**
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - Capital Improvements, Modernization and Management and Operations initiatives are listed as high priorities. The HAP plan includes all three components.
  - Resident services, family self-sufficiency and safety and crime prevention initiatives are listed as medium priorities. The HAP plan includes all three components.
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The commitment to provide safe and decent housing for the low income families and individuals of Kentucky and to assist them in their efforts to improve their social and economic conditions.

#### **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

The Housing Authority of Paducah will submit in paper format the revised lease and ACOPP referenced in this document. Those documents will be submitted with certifications.

#### **Attachments**

Use this section to provide any additional attachments referenced in the Plans.

**Deconcentration and Income Mixing**

**Component 3, (6) Deconcentration and Income Mixing**

- a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

<b>Deconcentration Policy for Covered Developments</b>			
<b>Development Name:</b>	<b>Number of Units</b>	<b>Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]</b>	<b>Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]</b>
Elmwood Court	230	Daycare and location to school have helped attain more working families	
Dr. D. H. Anderson Community	100	Several employers located near complex. Many times site if requested due to lack of transportation	
Ella Munal Community	133	Sorting wait list by preference points has increased the number of working families that are offered an apartment at Ella. Previously, most residents were elderly and disabled.	
Scattered Sites	30	Same as above.	

**Voluntary Conversion Initial Assessment**

- How many of the PHA’s developments are subject to the Required Initial Assessment? **All of the PHA’s developments are subject to the required Initial Assessment.**

2. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? **None of the PHA's developments are exempt.**
3. How many Assessments were conducted for the PHA's covered developments? **One Assessment was conducted for each development.**
4. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments? **None of the PHA's developments are appropriate for conversion.**
5. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments. **The Assessments are complete.**

### **Description of the PHA's Implementation of Community Service Requirements**

Presently, the Community Service program is suspended pending enactment of the FY03 Appropriations Bill. If the HAP is required to reinstate the program, it will be as follows.

The Director of Property Management coordinates this program with the PHA's Property Managers. During the annual re-certification process, each adult resident of the household must self-certify as to his/her eligibility. At this time, the residents are informed that failure to comply with the requirements of this program will result in ineligibility for continued occupancy at the time of their next annual re-certification. The PHA verifies the claim of any resident that claims to be exempt from the program.

Residents who must perform community service are given a list of agencies and opportunities that will enable them to meet their requirements. Residents are also given verification forms, which must be signed by an authorized person of the agency where the service is performed, to document their compliance. These forms must be returned to the PHA prior to the annual lease renewal. Courtesy reminder letters are sent during the year to those residents who are not exempt and not in compliance with the requirements of this program.

Thirty days before the annual lease renewal, the PHA will notify any family found to be in noncompliance of the said fact and that this determination is subject to the grievance procedure, and that unless a formal agreement to comply is entered into, the lease will not be renewed or will be terminated. The Agreement will provide for the past service requirement to be fulfilled and for the resident to keep current with present year's service. Failure to abide by the terms of the Agreement will lead to termination of the lease.

The PHA may not substitute Community Service activities performed by residents for work ordinarily performed by its employees in an effort to reduce jobs.

### **Description of the PHA's Pet Policy**

The PHA's Pet Policy is available as supporting documentation to this plan.

### **Membership of the Resident Advisory Board**

1. Ms. Carolyn Wood, President
1. Ms. Arlene Walker, Vice-President
2. Ms. Dorothy Taylor, Secretary
3. Ms. Betty Tabor, Treasurer
4. Ms. Sheila Lee
5. Ms. Karlyn Young
6. Ms. Emma Jones
7. Ms. Emma Freeman
8. Ms. Diane Hariston
9. Ms. Mattie Woods
10. Mr. Albert Parker
11. Mr. Rufus Lumbley

### **Resident Membership of the PHA Governing Board**

**Name:** Ms. Carolyn Wood

**Method of Selection:** appointment by the Mayor

**Term:** four years, beginning on August 10, 2000 and expiring on July 22, 2004

### **Definition of "Substantial Deviation" and "Significant Amendment or Modification":**

- Changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

**Table Library**

## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>						
<b>PHA Name: Housing Authority of Paducah</b> (Capital Fund Annual Statement '03) ky006a03		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P006501/2003 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations	160,000	0	0	0	
3	1408 Management Improvements	232,000	0	0	0	
4	1410 Administration	101,000	0	0	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	60,000	0	0	0	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	0	0	0	0	
10	1460 Dwelling Structures	225,000	0	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0	
12	1470 Nondwelling Structures	164,000	0	0	0	
13	1475 Nondwelling Equipment	0	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	0	0	0	0	
18	1499 Development Activities	0	0	0	0	
19	1501 Collateralization or Debt Service	588,378	0	0	0	
20	1502 Contingency	25,810	0	0	0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of Paducah (Capital Fund Annual Statement '03) ky006a03	Grant Type and Number Capital Fund Program Grant No: KY36P006501/2003 Replacement Housing Factor Grant No:	Federal FY of Grant: 2003
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 2)  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,556,188	0	0	0
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of Paducah		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2003 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operating Funds Transfer	1406		160,000				
Mgmt. Improv.	Daycare Operations	1408		80,000				
	Clinic Operations	1408		30,000				
	Staff Training	1408		25,000				
	Crime Prevention	1408		70,000				
	Adult/Youth Programs	1408		27,000				
Administrative	Salaries/Fringes/Benefits	1410		100,000				
	Sundry/Procurement	1410		1,000				
Fees/Costs	A/E Professional Services	1430		60,000				
Non-Dwelling Equipment		1475						

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of Paducah		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2003 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-2								
New Construction	Dwelling Structures (New) Phase Two	1460						
	*see replacement housing stmt*							
KY 6-3	Renovate Supply Area into new offices	1470		150,000				
Elmwood Court								
KY 6-4	Replace Boiler Room Doors	1470		14,000				
Dr. D.H.Anderson Community								
KY 6-5A	No work Scheduled							
Elmwood Elderly								
KY 6-5B	No Work Scheduled							
Anderson Elderly								

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of Paducah		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2003 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-6 Ella Munal Comm	No Work Scheduled							
KY 6-7 Blackburn Apts.	New Kitchens	1460		125,000				
KY 6-8 Pierce Lackey Village	No work scheduled							
KY 6-9 Dolly McNutt Apartments	No Work Scheduled							
KY 6-11 Scattered Sites	Re-tile units/Replace Underlayment In bathrooms	1460		100,000				
KY 6-12 Acquisitions	No Work Scheduled							

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of Paducah		Grant Type and Number Capital Fund Program No: KY36P006501/2003 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Operating Transfer	3/31/05			9/30/05			
Management Impr.	03/31/05			09/30/05			
Administrative	03/31/05			09/30/05			
Non-Dwelling Equip.	n/a			N/a			
KY 6-1, MLK Comm.	n/a			n/a			
KY 6-2, Heritage Pl.	03/31/05			09/30/05			
KY 6-3, Elmwood Ct.	3/31/05			9/30/05			
KY 6-4, Anderson Com	03/31/05			09/30/05			
KY 6-5 A/B Elderly	n/a			n/a			
KY 6-6, Ella Munal	N/a			N/a			
KY 6-7, Blackburn	03/31/05			09/30/05			
KY 6-8 P. Lackey	N/a			N/a			
KY 6-9, Dolly McNutt	N/a			N/a			
KY 6-11, Scattered	3/31/05			9/30/05			
KY 6-12, Acquisitions	n/a			n/a			

# Capital Fund Program Five-Year Action Plan

## Part I: Summary

PHA Name Paducah Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: KY36P006501/2004 PHA FY: 2004	Work Statement for Year 3 FFY Grant: PHA FY: 2005	Work Statement for Year 4 FFY Grant: PHA FY: 2006	Work Statement for Year 5 FFY Grant: PHA FY: 2007
	Annual Statement				
Administrative		241,580	304,944	222,010	259,044
Mgmt. Improv.		241,580	304,944	222,010	259,044
Debt Service		588,688	589,000	588,378	588,378
PHA-wide		0	0	50,000	0
KY 6-1		0	0	0	0
KY 6-2		0	0	0	0
KY 6-3		0	146,800	75,000	0
KY 6-4		0	0	140,000	449,622
KY 6-5		268,880	0	0	0
KY 6-6		215,460	50,500	0	0
KY 6-7		0	100,000	0	0
KY 6-8		0	45,000	101,622	0
KY 6-9		0	0	0	0
KY 6-11		0	15,000	157,168	0
KY 6-12		0	0	0	0
Total CFP 5-Year		1,556,188	1,556,188	1,556,188	1,556,188











## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>						
<b>PHA Name: Housing Authority of Paducah</b> (Replacement Housing Statement '03) ky006b03		<b>Grant Type and Number</b> Capital Fund Program Grant No: Replcmnt. Housing Statement Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2003	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations	0	0	0	0	
3	1408 Management Improvements	0	0	0	0	
4	1410 Administration	0	0	0	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	0	0	0	0	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	0	0	0	0	
10	1460 Dwelling Structures	0	0	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0	
12	1470 Nondwelling Structures	0	0	0	0	
13	1475 Nondwelling Equipment	0	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	0	0	0	0	
18	1499 Development Activities	0	0	0	0	
19	1501 Collateralization or Debt Service	351,000	0	0	0	
20	1502 Contingency	0	0	0	0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name: Housing Authority of Paducah</b> <b>(Replacement Housing Statement '03) ky006b03</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>Replcmnt. Housing Statement</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2003</b>
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 2)  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>351,000</b>	0	0	0
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0





















## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>						
<b>PHA Name: Housing Authority of Paducah</b> <b>(Capital Plan for bond funding) ky006c03</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>CAPITAL IMPROV. thru KLC Bonds</b> Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b>	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> <b>Revised Annual Statement (revision no: 2)</b>						
<b>Performance and Evaluation Report for Period Ending: Final Performance &amp; Evaluation Report</b>						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	10,023,205				
2	1406 Operations	0	0	0	0	
3	1408 Management Improvements	0	0	0	0	
4	1410 Administration	0	0	0	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	0	0	0	0	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	5,914,050	0	0	0	
10	1460 Dwelling Structures	3,492,700	0	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0	
12	1470 Nondwelling Structures	427,455	0	0	0	
13	1475 Nondwelling Equipment	189,000	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	0	0	0	0	
18	1499 Development Activities	0	0	0	0	
19	1501 Collateralization or Debt Service	0	0	0	0	
20	1502 Contingency	0	0	0		

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of Paducah (Capital Plan for bond funding) ky006c03	Grant Type and Number Capital Fund Program Grant No: CAPITAL IMPROV. thru KLC Bonds Replacement Housing Factor Grant No:	Federal FY of Grant:
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 2)  
**Performance and Evaluation Report for Period Ending: Final Performance & Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	0	0	0	0
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0Pa

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of Paducah		Grant Type and Number Capital Fund Program Grant No: Capital Improv. Program Replacement Housing Factor Grant No:			Federal FY of Grant:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Wire all units for phone & cable	1460	868 units	346,000				
	New Concrete Walks	1450	All sites	440,000				
	Security Fencing	1450	All sites	375,000				
	Tree Trimming/Cutting	1450	All Sites	225,000				
KY 6-3	Exterior Renovations	1450	25 blgs	2,650,000				
Elmwood Court	New parking lot w/ 3-22 bay prk. area	1450		290,000				
	Modify back drive thru	1450		295,000				
	Install Sewer Clean Outs	1450		150,000				
	Adm. Bldg Renovation	1475		175,000				
	New Bathrooms	1460	All units	920,000				
KY 6-4	Exterior Renovations	1450		1,038,000				
D. H. Anderson	New Bathrooms	1460		400,000				
Community	New Window/Security Screens	1460		288,000				
	Install Sewer Clean Outs	1450		81,000				
	Repl. Ext. Boiler Room Doors	1475		14,000				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of Paducah		Grant Type and Number Capital Fund Program Grant No: Captial Improvement Program Replacement Housing Factor Grant No:			Federal FY of Grant:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-5 A & B	Gabled Roofs	1460	40 Units	240,000				
KY 6-6 A & B	Gabled Roofs	1460	74 units	370,000				
	Unit Conversion	1460	2 units	23,300				
KY 6-7 A & B	New Kitchens	1460		125,000				
	Gabled Roofs	1460	28 units	140,000				
	Unit Conversion	1460	2 units	23,300				
	Install Water Heaters (6-7B only)	1460	12 units	7,000				
KY 6-8 A & B	Gabled Roofs	1460	64 units	320,000				
	New Drive/Parking Areas	1450		170,000				
	Elderly Access Drive	1450		170,000				
	Unit Conversion	1460	2 units	23,300				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor**  
**(CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of Paudcah		Grant Type and Number Capital Fund Program Grant No: Captial Imp. Prgrm. Replacement Housing Factor Grant No:				Federal FY of Grant:		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-9	New Gutters	1450	All bldgs	30,050				
Dolly McNutt Apts.	New Window/Screens	1460	All units	166,800				
KY 6-11	Retile Units/ Sctrd. Sites	1460	30 units	100,000				
Non Dwelling	Renovate Supply Area	1470	KY 6-3	120,000				
	Build/Equip	1470		307,455				
	New Cabinet Shop							





**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor  
 (CFP/CFPRHF)  
 Part II: Supporting Pages**

PHA Name: Housing Authority of Paudcah		Grant Type and Number Capital Fund Program Grant No: Captial Imp. Prgrm. Replacement Housing Factor Grant No:			Federal FY of Grant:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	







## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>						
<b>PHA Name: Housing Authority of Paducah</b> (Replacement Housing Bond funding) ky006d03		<b>Grant Type and Number</b> Capital Fund Program Grant No: Replcmnt. Housing thru KLC Bonds Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b>	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	3,420,000	0	0	0	
2	1406 Operations	0	0	0	0	
3	1408 Management Improvements	0	0	0	0	
4	1410 Administration	0	0	0	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	0	0	0	0	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	0	0	0	0	
10	1460 Dwelling Structures	3,420,000	0	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0	
12	1470 Nondwelling Structures	0	0	0	0	
13	1475 Nondwelling Equipment	0	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	0	0	0	0	
18	1499 Development Activities	0	0	0	0	
19	1501 Collateralization or Debt Service	0	0	0	0	
20	1502 Contingency	0	0	0	0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name: Housing Authority of Paducah (Replacement Housing Bond funding) ky006d03</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replcmnt. Housing thru KLC Bonds Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b>
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 2)  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	0	0	0	0
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0





















Attachment kyoo6e03  
Narrative explanation of Capital Fund schedules

The Housing Authority of Paducah is offering four separate schedules to explain its intentions for Capital Fund and Replacement Housing monies. Shown in these is schedules is the Housing Authority's plan to use a portion of its capital fund money and all of its replacement housing money as debt service on borrowed funds. The borrowed funds, which are explained in note #1, will be secured from a bond pool at Kentucky League of Cities. These funds will be used to execute large-scale capital improvement activities, explained in note #3, and to undertake the entire replacement housing program at one time, explained in note #2 below.

Specifically, this plan calls for expenditures as follows:

Capital Fund allocation	\$1,556,188	
Replacement housing funds—add-on to capital fund	\$ 351,000	\$1,907,875
Debt service capital improvement program (annual for 20 years)	\$588,378 (37.8%)	
Debt service for replacement housing program (annual for 10 years)	\$351,000	
Balance of capital fund monies for annual expenditures	\$967,810	
Bond funds for capital projects	\$10,023,205	
Bond funds for replacement housing	\$3,420,000	\$13,443,205

To elaborate:

1. KY006a – This schedule shows the intended uses of the HAP's expected capital fund receipts of \$1,556,188 for FY 2003. Please note at 1501, the projection of \$588,378 for collateralization or debt service of the money borrowed from KLC. This is the expected repayment for the bonds, which will be used for general property improvements.

In Part II of this schedule, we elaborate on the projected expenditures in the 1400 series categories. For example, we show five anticipated expenditures in category 1408.

In the Five-Year Plan, we show the projected expenditures of the capital fund receipts for those years. Please note that the debt service item is carried forward, and the itemization of work is for the funds that remain after debt service is met.

2. KY006b – This schedule shows the anticipated expenditure of replacement housing funds. The Housing Authority of Paducah demolished 199 units in 2000 and the replacement housing allocation, an addition to the Capital Fund Program, is to be used to replace lost housing. The HAP intends to build single-family structures at the 6-2 Heritage Site. The allocation of \$351,000, which is added to the total capital fund allocation, will be used for debt service on the bonds that are borrowed to build the housing. This component is detailed in subsequent schedules. The \$351,000 is promised to the HAP for 5 years, to replace lost housing. However, the HAP has asked the U.S. Department of Housing and Urban Development for an additional five years. This would give HAP the \$351,000 for 10 years to be used for debt service.
3. KY006c – This schedule shows the anticipated expenditures of \$10,023,205 of bond funds. These funds are being borrowed from the Kentucky League of Cities and capital fund monies are pledged to make the debt service.

In Part II, please note how the general capital improvements funds will be expended, site-by-site, for general improvements of the HAP properties.

4. KY006d – This schedule shows the detailed expenditure of the bond funding for replacement housing activities. The HAP will spend \$3,420,000 at the Heritage Place site for replacement housing.

## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Paducah Housing Authority ky006f03		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2000 Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2000</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:9/30/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	364,383	364,383	364,383	364,383
3	1408 Management Improvements	380,109	380,109	380,109	365,209
4	1410 Administration	194,335	194,335	194,335	39,343
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	100,000	100,000	100,000	44,373
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	240,204	580,640	580,640	161,790
10	1460 Dwelling Structures	267,451	267,451	267,451	231,088
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	60,000	60,000	60,000	44,833
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1499 Development Activities	0	0	0	0
19	1501 Collateralization or Debt Service	0	0	0	0
20	1502 Contingency	340,436	0		0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Paducah Housing Authority ky006f03	Grant Type and Number Capital Fund Program Grant No: KY36P006501/2000 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no:)  
 Performance and Evaluation Report for Period Ending:9/30/02  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,946,918	1,946,918	1,946,918	1,251,019
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	70,000	85,000	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2000 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operating Funds Transfer	1406		364,383	364,383	364,383	364,383	Complete
Mgmt. Improv.	Daycare Operations	1408		70,000	70,000	70,000	65,638	In progress
	Clinic Operations	1408		94,996	94,996	94,996	94,996	Complete
	Staff Training	1408		41,000	41,000	41,000	40,124	In progress
	Crime Prevention	1408		98,571	98,571	98,571	88,909	In progress
	Adult/Youth Programs	1408		75,542	75,542	75,542	75,542	Complete
	<b>Subtotal</b>			<b>380,109</b>	<b>380,109</b>	<b>380,109</b>	<b>365,209</b>	
Administrative	Salaries/Fringes/Benefits	1410		193,335	193,335	193,335	39,343	In progress
	Sundry/Procurement	1410		1,000	1,000	1,000		In progress
	<b>Subtotal</b>			<b>194,335</b>	<b>194,335</b>	<b>194,335</b>	<b>39,343</b>	
Fees/Costs	A/E Professional Services	1430		100,000	100,000	100,000	44,373	In progress
	<b>Subtotal</b>			<b>100,000</b>	<b>100,000</b>	<b>100,000</b>	<b>44,373</b>	
Non-Dwelling Equipment	Remodel Main Office	1475		0	0			Deleted
	<b>Subtotal</b>			<b>0</b>	<b>0</b>			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P006501/2000 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-1								
Dr. M.L.King, Jr. Community	Demolition Sewer repair.	1485 1450	13 bldgs.	0 14,319	0 14,319	0 14,319	0 14,319	Deleted Complete
	<b>Subtotal</b>			<b>14,319</b>	<b>14,319</b>	<b>14,319</b>	<b>14,319</b>	
KY 6-2								
Heritage Place	Demolition	1485	9 bldgs.	0	0	0	0	Deleted
	<b>Subtotal</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
KY 6-3								
Elmwood Court	HVAC Installation	1450	136 Units	0	340,436	340,436		In Progress
					<b>340,436</b>	<b>340,436</b>		
KY 6-4								
Dr. D.H.Anderson Community	Construct laundry facility.	1470		60,000	60,000	60,000	44,833	In Progress
	<b>Subtotal</b>			<b>60,000</b>	<b>60,000</b>	<b>60,000</b>	<b>44,833</b>	
KY 6-5A								
Elmwood Elderly	No work scheduled.							
KY 6-5B								
Anderson Elderly	No work scheduled.							

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2000 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-6	Repair brick at selected bldgs.	1460		25,000	25,000	25,000	17,200	In progress
Ella Munal Comm	Phase III san. sewer replacement	1450		163,652	163,652	163,652	135,366	In progress
	<b>Subtotal</b>			<b>188,652</b>	<b>188,652</b>	<b>188,652</b>	<b>152,566</b>	
KY 6-7	San. sewer replacement	1450		54,233	54,233	54,233	8,028	In progress
Blackburn Apts.	Repair brick at 1001 W. J. Blvd.	1460		0	0	0	0	Deleted
	<b>Subtotal</b>			<b>54,233</b>	<b>54,233</b>	<b>54,233</b>	<b>8,028</b>	
KY 6-8								
Pierce Lackey Village	No work scheduled.							
KY 6-9								
Dolly McNutt Apartments	No work scheduled.							
	Install Wall & Ceiling	1460		3,450	3,450	3,450	2,300	
KY 6-11	Install new ductwork	1460		45,000	45,000	45,000	25,032	In progress
Scattered Sites	Install HVAC units	1460		82,113	82,113	82,113	82,113	Complete
	Install new concrete walk	1450		8,000	8,000	8,000	4,077	In progress
	Replace bi-folds with hollow core drs.	1460		111,888	111,888	111,888	104,443	In progress
	<b>Subtotal</b>			<b>250,451</b>	<b>250,451</b>	<b>250,451</b>	<b>217,965</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:Paducah Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: KY36P006501/2000 Replacement Housing Factor No:				<b>Federal FY of Grant:</b> 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Operating Transfer	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
Management Impr.	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
Administrative	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
Non-Dwelling Equip.	n/a	n/a	n/a	n/a	n/a		
KY 6-1, MLK Comm.	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
KY 6-2, Heritage Pl.	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
KY 6-3, Elmwood Ct.	n/a	9/30/02	9/30/02	n/a	n/a		
KY 6-4, Anderson Com	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
KY 6-5 A/B Elderly	n/a	n/a		n/a	n/a		
KY 6-6, Ella Munal	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
KY 6-7, Blackburn	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
KY 6-8 P. Lackey	n/a	n/a		n/a	n/a		
KY 6-9, Dolly McNutt	n/a	n/a		n/a	n/a		
KY 6-11, Scattered	03/31/02	9/30/02	9/30/02	09/30/02	9/30/03		
KY 6-12, Acquisitions	n/a	n/a		n/a	n/a		

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

PHA Name: Paducah Housing Authority	<b>Grant Type and Number</b> Capital Fund Program No: KY36P006501/2000 Replacement Housing Factor No:	<b>Federal FY of Grant:</b> 2000
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	

**Capital Fund Program Five-Year Action Plan  
 Part I: Summary**

PHA Name Paducah Housing Authority	<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>
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Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: KY36P00650 PHA FY:	Work Statement for Year 3 FFY Grant: PHA FY:	Work Statement for Year 4 FFY Grant: PHA FY:	Work Statement for Year 5 FFY Grant: PHA FY: 2005
	Annual Statement				
Administrative		116,625	185,615	135,625	84,125
Mgmt. Improv.		116,625	185,615	135,625	84,125
KY 6-1		0	1,57,5688	0	0
KY 6-2		773,652		0	0
KY 6-3		0		660,000	614,000
KY 6-4		54,488		0	38,000
KY 6-5		0		584,868	0
KY 6-6		232,828		55,000	120,500
KY 6-7		110,700		245,800	140,000
KY 6-8		394,000		45,000	400,000
KY 6-9		148,000		0	90,000
KY 6-11		0		85,000	376,168
KY 6-12		0		0	0
Total CFP 5-Year		1,946,918	1,946,918	1,946,918	1,946,918





**Capital Fund Program Five-Year Action Plan**  
**Part I: Summary**

*S A M P L E*

PHA Name <i>Anytown Housing Authority</i>		<input type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005
	<b>Annual Statement</b>				
<i>10-01/Main Street</i>		<i>\$80,000</i>	<i>\$36,000</i>	<i>\$65,000</i>	<i>\$55,000</i>
<i>10-02/Broadway</i>		<i>\$90,000</i>	<i>\$40,900</i>	<i>\$40,000</i>	<i>\$43,000</i>
<i>HA-wide</i>		<i>\$100,000</i>	<i>\$50,000</i>	<i>\$35,000</i>	<i>\$27,000</i>
CFP Funds Listed for 5-year planning		<i>\$270,000</i>	<i>\$162,900</i>	<i>\$140,000</i>	<i>125,000</i>
Replacement Housing Factor Funds		<i>\$40,000</i>			





## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>						
<b>PHA Name: Paducah Housing Authority</b> ky006g03		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P006501/2001 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2001	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:9/30/02 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations	161,005	200,783	200,783	0	
3	1408 Management Improvements	200,000	220,000	220,000	7890	
4	1410 Administration	135,000	135,000	135,000	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	83,926	83,926	83,926	22,331	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	45,110	40,000	40,000	0	
10	1460 Dwelling Structures	1,075,860	1,240,242	1,240,242	0	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0	
12	1470 Nondwelling Structures	10,000	0	0	0	
13	1475 Nondwelling Equipment	165,000	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1490 Replacement Reserve	0	0	0	0	
16	1492 Moving to Work Demonstration	0	0	0	0	
17	1495.1 Relocation Costs	0	0	0	0	
18	1499 Development Activities	0	0	0	0	
19	1501 Collateralization or Debt Service	0	0	0	0	
20	1502 Contingency	110,974	66,924	0	0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Paducah Housing Authority ky006g03	Grant Type and Number Capital Fund Program Grant No: KY36P006501/2001 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 1)  
 Performance and Evaluation Report for Period Ending:9/30/02  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,986,875	1,986,875	1,919,951	30,221
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006502/2001 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operating Funds Transfer	1406		161,005	200,783	200,783		In Progress
Mgmt. Improv.	Daycare Operations	1408		90,000	90,000	90,000	7,696	In Progress
	Clinic Operations	1408		30,000	30,000	30,000		In Progress
	Staff Training	1408		20,000	20,000	20,000	194	In Progress
	Crime Prevention	1408		60,000	60,000	60,000		In Progress
	Adult/Youth Programs	1408		0	20,000	20,000		In Progress
Administrative	Salaries/Fringes/Benefits	1410		134,000	134,000	134,000		In Progress
	Sundry/Procurement	1410		1,000	1,000	1,000		In Progress
Fees/Costs	A/E Professional Services	1430		83,926	83,926	83,926	22,331	In Progress
Non-Dwelling Equipment	Remodel Main Office	1475		165,000	0	0	0	Deleted

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006502/2001 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-1								
Dr. M.L.King, Jr. Community	Conduct feasibility report for PHA/Comm. Rec Center	1470		10,000	0	0	0	Deleted
KY 6-2								
Heritage Place	No work scheduled.							
KY 6-3								
Elmwood Court	HVAC Installation	1460	73 Units	0	146,242	146,242	0	In Progress
	Replace ext. doors/hardware	1460		388,500	0	0	0	Deleted
	Conduct feasibility report on HVAC	1460		10,000	0	0	0	Deleted
KY 6-4								
Dr. D.H.Anderson Community	HVAC Installation	1460	99 Units	0	240,000	240,000	0	In Progress
KY 6-5A								
Elmwood Elderly	No work scheduled.							
KY 6-5B								
Anderson Elderly	No work scheduled.							

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006502/2001 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-6								
Ella Munal Comm	Replacement landscaping.	1450		20,000	15,000	15,000		In Progress
KY 6-7								
Blackburn Apts.	Replacement landscaping.	1450		15,000	15,000	15,000		In Progress
KY 6-8								
Pierce Lackey Village	Replace front entry doors.	1460		170,000	100,000	100,000		In Progress
	Replace kitchens.	1460		394,000	674,000	674,000		In Progress
KY 6-9	Replace storm drs w/ sec. screens	1460		24,960	30,000	30,000		In Progress
Dolly McNutt Apartments	Replace front/rear entry doors	1460		88,400	50,000	50,000		In Progress
	Replacement landscaping.	1450		10,110	10,000	10,000		In Progress
KY 6-11								
Scattered Sites	No work scheduled.							
KY 6-12								
Acquisitions	No work scheduled.							

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:Paducah Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: KY36P006502/2001 Replacement Housing Factor No:				<b>Federal FY of Grant:</b> 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Operating Transfer				09/30/03			
Management Impr.	03/31/03			09/30/03			
Administrative	03/31/03			09/30/03			
Non-Dwelling Equip.	03/31/03			09/30/03			
KY 6-1, MLK Comm.	03/31/03			09/30/03			
KY 6-2, Heritage Pl.	n/a			n/a			
KY 6-3, Elmwood Ct.	03/31/03			09/30/03			
KY 6-4, Anderson Com	n/a			n/a			
KY 6-5 A/B Elderly	n/a			n/a			
KY 6-6, Ella Munal	03/31/03			09/30/03			
KY 6-7, Blackburn	03/31/03			09/30/03			
KY 6-8 P. Lackey	03/31/03			09/30/03			
KY 6-9, Dolly McNutt	03/31/03			09/30/03			
KY 6-11, Scattered	03/31/03			09/30/03			
KY 6-9, Dolly McNutt	03/31/03			09/30/03			
KY 6-11, Scattered	n/a			n/a			
KY 6-12, Acquisitions	n/a			n/a			

## Capital Fund Program Five-Year Action Plan

### Part I: Summary

PHA Name Paducah Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: KY36P006503/2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: PHA FY: 2003	Work Statement for Year 4 FFY Grant: PHA FY: 2004	Work Statement for Year 5 FFY Grant: PHA FY: 2005
	Annual Statement				
Administrative		61,064	110,974	141,074	150,000
Mgmt. Improv.		271,250	321,250	271,250	372,224
KY 6-1		0	1,575,668	0	0
KY 6-2		773,652	0	0	0
KY 6-3		0	0	660,000	614,000
KY 6-4		16,488	0	0	38,000
KY 6-5		0	0	584,868	
KY 6-6		232,828	0	55,000	120,500
KY 6-7		110,700	0	165,700	140,000
KY 6-8		394,000	0	45,000	150,000
KY 6-9		148,000	0	0	50,000
KY 6-11		0	0	85,000	373,168
KY 6-12		0	0	0	0
Total CFP 5-Year		2,007,982	2,007,892	2,007,892	2,007,892





# Capital Fund Program Five-Year Action Plan

## Part I: Summary

*SAMPLE*

PHA Name <i>Anytown Housing Authority</i>						<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005	
	<b>Annual Statement</b>					
<i>10-01/Main Street</i>		<i>\$80,000</i>	<i>\$36,000</i>	<i>\$65,000</i>	<i>\$55,000</i>	
<i>10-02/Broadway</i>		<i>\$90,000</i>	<i>\$40,900</i>	<i>\$40,000</i>	<i>\$43,000</i>	
<i>HA-wide</i>		<i>\$100,000</i>	<i>\$50,000</i>	<i>\$35,000</i>	<i>\$27,000</i>	
CFP Funds Listed for 5-year planning		<i>\$270,000</i>	<i>\$162,900</i>	<i>\$140,000</i>	<i>125,000</i>	
Replacement Housing Factor Funds		<i>\$40,000</i>				





## CAPITAL FUND PROGRAM TABLES START HERE

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Paducah Housing Authority ky006h03		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P006501/2002 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:9/30/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	100,000	100,000	100,000	100,000
3	1408 Management Improvements	211,250	0	0	0
4	1410 Administration	117,233	0	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	50,000	0	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	41,016	0	0	0
10	1460 Dwelling Structures	764,195	0	0	0
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	148,000	0	0	0
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1499 Development Activities	0	0	0	0
19	1501 Collaterization or Debt Service	0	0	0	0
20	1502 Contingency	124,495	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Paducah Housing Authority ky006h03	Grant Type and Number Capital Fund Program Grant No: KY36P006501/2002 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
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Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no:1)  
 Performance and Evaluation Report for Period Ending:9/30/02  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,556,189	1,556,189	100,000	100,000
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2002 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operating Funds Transfer	1406		100,000	100,000	100,000	100,000	
Mgmt. Improv.	Daycare Operations	1408		60,000				
	Clinic Operations	1408		30,000				
	Staff Training	1408		25,000				
	Crime Prevention	1408		70,000				
	Adult/Youth Programs	1408		26,250				
Administrative	Salaries/Fringes/Benefits	1410		107,233				
	Sundry/Procurement	1410		10,000				
Fees/Costs	A/E Professional Services	1430		50,000				
Non-Dwelling Equipment		1475						

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P006501/2002 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-2								
New Construction	Dwelling Structures (New) Phase One	1460		445,195				
KY 6-3	No Work Scheduled							
Elmwood Court								
KY 6-4	Replace Walks	1450		16,488				
Dr. D.H.Anderson Community								
KY 6-5A	No work Scheduled							
Elmwood Elderly								
KY 6-5B	No Work Scheduled							
Anderson Elderly								

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P006501/2002 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-6	Replace Roofing	1460		215,460				
Ella Munal Comm	Replace Walks	1450		17,368				
KY 6-7	Replace Roofing	1460		103,540				
Blackburn Apts.	Replace Walks	1450		7,160				
KY 6-8	No work scheduled	1460						
Pierce Lackey Village								
KY 6-9	Const. new laundry & comm. bldng.	1470		148,000				
Dolly McNutt Apartments								
KY 6-11	No Work Scheduled							
Scattered Sites								
KY 6-12	No Work Scheduled							
Acquisitions								

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:Paducah Housing Authority		<b>Grant Type and Number</b> Capital Fund Program No: KY36P006501/2002 Replacement Housing Factor No:				<b>Federal FY of Grant:</b> 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Operating Transfer	3/31/04			9/30/04			
Management Impr.	03/31/04			09/30/04			
Administrative	03/31/04			09/30/04			
Non-Dwelling Equip.	n/a			n/a			
KY 6-1, MLK Comm.	n/a			n/a			
KY 6-2, Heritage Pl.	03/31/04			09/30/04			
KY 6-3, Elmwood Ct.	n/a			n/a			
KY 6-4, Anderson Com	03/31/04			09/30/04			
KY 6-5 A/B Elderly	n/a			n/a			
KY 6-6, Ella Munal	03/31/04			09/30/04			
KY 6-7, Blackburn	03/31/04			09/30/04			
KY 6-8 P. Lackey	03/31/04			09/30/04			
KY 6-9, Dolly McNutt	03/31/04			09/30/04			
KY 6-11, Scattered	n/a			n/a			
KY 6-12, Acquisitions	n/a			n/a			

# Capital Fund Program Five-Year Action Plan

## Part I: Summary

PHA Name Paducah Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: KY36P006501/2003 PHA FY: 2003	Work Statement for Year 3 FFY Grant: PHA FY: 2004	Work Statement for Year 4 FFY Grant: PHA FY: 2005	Work Statement for Year 5 FFY Grant: PHA FY: 2006
	Annual Statement				
Administrative		110,974	141,074	195,194	127,976
Mgmt. Improv.		321,250	248,509	417,417	160,267
KY 6-1		0	0	0	0
KY 6-2		1,201,187	0	0	0
KY 6-3		0	414,000	589,000	301,000
KY 6-4		0	0	0	108,000
KY 6-5		0	423,568	161,300	90,000
KY 6-6		0	215,460	105,500	70,000
KY 6-7		0	190,800	105,000	90,000
KY 6-8		0	0	45,000	400,000
KY 6-9		0	0	0	0
KY 6-11		0	0	15,000	286,168
KY 6-12		0	0	0	0
Total CFP 5-Year		1,633,411	1,633,411	1,633,411	1,633,411





# Capital Fund Program Five-Year Action Plan

## Part I: Summary

*SAMPLE*

PHA Name <i>Anytown Housing Authority</i>						<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005	
	<b>Annual Statement</b>					
<i>10-01/Main Street</i>		<i>\$80,000</i>	<i>\$36,000</i>	<i>\$65,000</i>	<i>\$55,000</i>	
<i>10-02/Broadway</i>		<i>\$90,000</i>	<i>\$40,900</i>	<i>\$40,000</i>	<i>\$43,000</i>	
<i>HA-wide</i>		<i>\$100,000</i>	<i>\$50,000</i>	<i>\$35,000</i>	<i>\$27,000</i>	
CFP Funds Listed for 5-year planning		<i>\$270,000</i>	<i>\$162,900</i>	<i>\$140,000</i>	<i>125,000</i>	
Replacement Housing Factor Funds		<i>\$40,000</i>				





**CAPITAL FUND PROGRAM TABLES START HERE**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name: Paducah Housing Authority ky006i03</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P000670899 Replacement Housing Factor Grant No:			<b>Federal FY of Grant: 1999</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no 1) xx Performance and Evaluation Report for Period Ending:9/30/02 xx Final Performance & Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0	152,258	152,258	152,258
3	1408 Management Improvements	372,040	372,040	372,040.	372,040.
4	1410 Administration	180,297	175,949	175,949	175,949
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	51,446	51,446	51,446	51,446
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	164,209.	164,209.	164,209.	164,209.
10	1460 Dwelling Structures	805,667	661,742	661,742	661,742
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	123,468	119,483	119,483	119,483.
13	1475 Nondwelling Equipment	14,486.	14,486.	14,486.	14,486.
14	1485 Demolition	234,245	234,245	234,245	234,245
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	11,433.	11,433.	11,433	11,433
18	1499 Development Activities	0	0	0	0
19	1501 Collateralization or Debt Service	0	0	0	0
20	1502 Contingency	0	0	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Paducah Housing Authority ky006i03	Grant Type and Number Capital Fund Program Grant No: KY36P000670899 Replacement Housing Factor Grant No:	Federal FY of Grant: 1999
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Original Annual Statement  Reserve for Disasters/ Emergencies Revised Annual Statement (revision no 1)  
xx Performance and Evaluation Report for Period Ending:9/30/02 xx Final Performance & Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	<b>1957291</b>	<b>1957291</b>	<b>1,957,291</b>	<b>1,957,291</b>
22	Amount of line 21 Related to LBP Activities	0	0	0	0
23	Amount of line 21 Related to Section 504 compliance	0	0	0	0
24	Amount of line 21 Related to Security – Soft Costs	0	0	0	0
25	Amount of Line 21 Related to Security – Hard Costs	0	0	0	0
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0	0Pa

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P00670899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Daycare Operations	1408	n/a	120,000	120,000	120,000	120,000	Complete
	Clinic Operations	1408	n/a	60,000	60,000	60,000	60,000	Complete
	Staff Training	1408	n/a	56,544	56,544	56,544	56,544	Complete
	Adult/Youth Programs	1408	n/a	51,671	51,671	51,671	51,671	Complete
	Crime Prevention	1408	n/a	80,000	80,000	80,000	80,000	Complete
	Resident Initiatives	1408	n/a	3,825	3,825	3,825	3,825	Complete
	<b>Subtotal</b>			<b>372,040</b>	<b>372,040</b>	<b>372,040</b>	<b>372,040</b>	
PHA Wide	Salaries/Fringes/Benefits	1410	n/a	179,776	175,428	175,428	175,428	Complete
Administration	Sundry	1410	n/a	521.00	521.00	521.00	521.00	Complete
	<b>Subtotal</b>			<b>180,297</b>	<b>175,949</b>	<b>175,949</b>	<b>175,949</b>	
Fees/Costs	A/E Professional Services	1430	n/a	51,446	51,446	51,446	51,446	Complete
Non-Dwelling	Relocation Costs for Demo	1495		11,433	11,433	11,433	11,433	Complete
	Mod. Vehicle	1475		0	0	0	0	Deleted
	Computer/Software	1475		14,486	14,486	14,486	14,486	Complete
	<b>Subtotal</b>			<b>25,919</b>	<b>25,919</b>	<b>25,919</b>	<b>25,919</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P00670899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-1	Trees trimmed and cut	1450	22	0	0	0	0	Deleted
M.L.K.	Remove/Replace walk	1450		0	0	0	0	Deleted
Community	Demolition	1485	13 bldgs	113,758	113,758	113,758	113,758	Complete
	<b>Subtotal</b>			<b>113,758</b>	<b>113,758</b>	<b>113,758</b>	<b>113,758</b>	
KY 6-2	Install addt'l. concrete to rec. area	1450		0	0	0	0	Deleted
Heritage	Trees trimmed/cut	1450	5	0	0	0	0	Deleted
Place	Remove/Replace walks	1450		0	0	0	0	Deleted
	Stump Removal	1450		400	400	400	400	Complete
	Demolition	1485	9 bldgs	120,485	120,485	120,485	120,485	Complete
	<b>Subtotal</b>			<b>120,885</b>	<b>120,885</b>	<b>120,885</b>	<b>120,885</b>	
KY 6-3	Install addition at maint. annex	1470		54,600	54,600	54,600	54,600	Complete
Elmwood Ct.	Install additional 220V outlets	1460	230	29,210	29,210	29,210	29,210	Complete
	Trees trimmed/cut	1450	51	21900	21900	21900	21900	Complete
	Stump removal	1450		600	600	600	600	Complete
	<b>Subtotal</b>			<b>106,310</b>	<b>106310</b>	<b>106310</b>	<b>106,310</b>	

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor  
(CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P00670899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-4 Dr. D. H.	Replace ext. doors/hardware	1460	176	128,189	128,189	128,189	128,189	Complete
Anderson Comm.	Refinish hardwood floors	1460		0	0	0	0	Deleted
	Trees trimmed/cut/stump removal	1450	30	12850	12850	12,850	12,850	Complete
	Kitchen cabinets	1460	100	89,613	12,953	12,953	12,953	Complete
	Bond, Gen. Conditions	1460		7195	5,975	5,975	5,975	Complete
	<b>Subtotal</b>			<b>237,997</b>	<b>159,967</b>	<b>159,967</b>	<b>159,967</b>	
KY 6-5A	Resurface parking lot entrances	1450		26965	26965	26965	26965	Complete
Elmwood Elderly	Trees trimmed/cut	1450	20	987	987	987	987	Complete
	<b>Subtotal</b>			<b>27952</b>	<b>27952</b>	<b>27952</b>	<b>27952</b>	
KY 6-6	Kitchen cabinets	1460	149	233263	167,219	167,219	167,219.	Complete
Ella Munal	Replace sewer line/washer drains	1460		53820	53820	53820	53820	Complete
Community	Trees trimmed/cut	1450	60	27000	27000	27000	27000	Complete



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor**  
**(CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P00670899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-8	Tree trimming/cutting	1450	35	14,750	14,750	14,750	14,750	Complete
Pierce Lackey	Encapsulate ext. panels/trim	1460		131,495	131,495	131,495	131,495	Complete
Village	Stump removal	1450		900	900	900	900	Complete
	Access Ramp	1450		3200	3200	3200	3200	Complete
	<b>Subtotal</b>			<b>150,345</b>	<b>150,345</b>	<b>150,345</b>	<b>150,345</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor**  
**(CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P00670899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
KY 6-9 Removal	Stump	1450		500	500	500	500	Complete
	Replace Floor	1460	52	132881	132881	132881	132881	Complete
	<b>Subtotal</b>			<b>133381</b>	<b>133381</b>	<b>133381</b>	<b>133381</b>	
KY 6-11 Trimming/Cutting	Tree	1450		6000	6000	6000	6000	Complete
	<b>Subtotal</b>			<b>6000</b>	<b>6000</b>	<b>6000</b>	<b>6000</b>	
KY 6-12 Trimming/Cutting	Tree	1450		5996	5996	5996	5996	Complete
	<b>Subtotal</b>			<b>5996</b>	<b>5996</b>	<b>5996</b>	<b>5996</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor**  
**(CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Paducah Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P00670899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	





**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : ____ FFY Grant: PHA FY:			Activities for Year: ____ FFY Grant: PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See						
Annual						
Statement						
	<b>Total CFP Estimated Cost</b>		\$			\$

