

---

**THE HOUSING AUTHORITY OF THE CITY  
OF EAST ST. LOUIS**

**Annual Plan for Fiscal Year 2003**

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH  
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name:** Housing Authority of the City of East St. Louis

**PHA Number:** IL001

**PHA Fiscal Year Beginning:** 04/2003

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**A. Mission**

State the PHA’s mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA’s jurisdiction. (select one of the choices below)

\_\_\_\_\_ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

X\_\_\_\_\_ The PHA’s mission is: (state mission here)

Provide affordable housing opportunities without discrimination through collaboration with residents and public/private entities to enhance the quality of life and foster economic independence for residents of the community and the agency.

**B. Goals**

The goals and objectives listed below are derived from HUD’s strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

**I. GOAL: Recognizing Residents as our Ultimate Customers**

- Objectives #1: Maintain Applicant Review and Orientation Committee. (Refer to Milestone #2)
- #2: Maintain Campus of Learners Screening and Orientation Committee. (Refer to Milestone #2)
- #3: Establish and Maintain ESLHA Resident Advisory Board and Resident Councils. (Refer to Milestones #1 and 3)
- #4: Conduct Monthly Committee Meetings with Resident Advisory Leaders, i.e. Council Board, RAB Members, Building Captains and Resident Patrol Members. (Refer to Milestone #6)
- #5: Ensure Resident Representation on ESLHA Advisory Board of Commissioners. (Refer to Milestone #1)
- #6: Maintain Resident Involvement in the Planning, Design, Implementation and Evaluation of all Grant Programs. (Refer to Milestones #4 and 5)
- #7: Support and foster resident participation in internal and external job opportunities, training, education and other support services. (Refer to Milestone #8)
- #8: Provide Homeownership Opportunities for Residents. (Refer to Milestones #9)

**Note: Reference Objective #8. We are providing homeownership counseling and opportunities for residents to**

participate in escrow account programs, which may be used toward homeownership purchase. We are continuing to explore additional possibilities through working with local banking entities and through possible building and rehab opportunities.

## II. GOAL: Establishing Financial Stability and Economic Independence

- Objectives #1: Solicit and Secure Public and Private Grant Funds to Support ESLHA Management (Refer to Milestones #10, 11, 17, and 23)
- #2: Establish a 501 (c) 3 Not-for profit Corporation and Investigate/Establish a \*501 (c) 4 Status. (Refer to Milestones #12, 13, 14, 26, and 27)
- #3: Develop a five (5) year Financial Plan Based on Current Operating Funds and applicable regulations. (Refer to Milestones #19, 20 and 21)
- #4: Identify, Secure and Monitor Section 3 Employment Opportunities for ESLHA Residents through Modernization and Development Contractual Agreements and other Resources for ESLHA Residents. (Refer to Milestone #21)
- #5: Identify/Implement Entrepreneurship Training Opportunities for ESLHA Adult and Youth to Establish Resident Owned Businesses (Refer to Milestone #15)
- #6: Establish and Monitor Escrow Savings Account for ESLHA Public Housing Residents and Section 8 Participants. (Refer to Milestone #18)
- #7: Apply for funding to increase Resident Participation and Self-Sufficiency Activities. (Refer to Milestone #23)
- #8: Develop partnership with non-profit agencies and businesses. (Refer to Milestones #10 and 16)
- #9: Expand housing stock to include market rate housing units and commercial development. (Refer to Milestones #16, 22 and 23)
- #10: Conduct annual financial audit. (Refer to Milestone #24)
- #11: Conversion for Finance to GAAP. (Refer to Milestone #25)

**Note:** We have aggressively worked on obtaining Section 3 work opportunities for our residents. Additionally, we have hired 20 plus temporary/seasonal resident workers in the janitorial/grounds services areas. We are still planning to pursue establishment of a 501(c)3 corporation but have deferred this initiative at least until early 2004 in order to more fully pursue other objectives.

## III. GOAL: Improving Management

- Objectives #1: Meet or Exceeds Performance Standards in PHAS and SEMAP. (Refer to Milestones #30 through 39, 43, and 46)
- #2: Establish a Continuum of Education for Board of Directors and Resident Council Officers to enable them to take an active role in issues that involve improvement of our Agency. (Refer to Milestone #32)
- #3: Improve Public Housing Management and Service Delivery through Effective and Efficient Management of Housing Authority Staff, Residents and Contractors. (Refer to Milestones #28, 29, 30, 40, 41, 44, 45, and 46)
- #4: Apply Housing Authority Resources to the Effective Management and Operations of Public Housing and Section 8 Programs. (Taking policies into account changes in Federal Funding) (Refer to Milestones #32 and 40)
- #5: Conduct Needs Assessment for Residents to Provide a Better Quality of Life for all Populations (Refer to Milestones #33 and 48)
- #6: Establish Cooperative Agreements with Local Agencies and Organizations to Enhance the Quality of Life for Residents and the Community. (Refer to Milestones #47 and 48)
- #7: Update Admissions and Continued Occupancy Plan (ACO), Section 8 Administration Plan, Family Self-Sufficiency (FSS) Action Plan, PHDEP and Capital Funds Program to Comply with Current Federal Regulations. (Refer to Milestone #46)
- #8: Hire, Train and Retain Efficient and Professional Staff to Ensure Quality of Services (Refer to Milestone #32)
- #9: Conduct Computer Hardware and Software Upgrades (Refer to Milestone #41)

**Note:** We have performed a major reorganization of the agency in the areas of property management and maintenance. A major part of this reorganization was to identify and implement improved measurable,

management, performance standards. This initiative has absorbed a large portion of our resources and is addressing Objectives #3, #4, and #8.

#### **IV. GOAL            Developing and Maintaining Competitive Housing Stock**

- Objectives #1:    Conduct and Complete Physical Needs Assessments for all Housing Authority Properties (Refer to Milestones #42 and 49)
- #2:        Develop Homeownership for Opportunities Residents (Refer to Milestone #50)
- #3:        Conduct Viability Analysis of Existing Housing Stock (Refer to Milestone #31)
- #4:        Develop New Housing Units that are of High Quality in order to compete with other Market Rate Developments (Refer to Milestones #52 through 57 and 60)
- #5:        Provide Safe, Secure Housing Environment (Refer to Milestones #58, 59 and 61)
- #6:        Investigate/Develop Mixed Income Neighborhood Projects that target deconcentration of poverty (Refer to Milestones #42, 49 and 51)

**Note:    Our physical needs assessment initiated early this past year should be complete in December 2002. This initiative includes a viability analysis of our existing housing stock. Our major mixed income initiative is continuing to move forward with the selection of a program manager and initial possible sites for neighborhood development.**

**Annual PHA Plan**  
**PHA Fiscal Year 2003**  
[24 CFR Part 903.7]

**Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**
  
- Troubled Agency Plan**

**Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

In year four of the ESLHA Five Year Agency and Annual Plan, a shift in focus and direction of the agency is beginning to take place. We are working toward developing and implementing more streamlined and better ways of conducting our daily business. An important part of this initiative is to improve the marketability of all our properties. Our on going and soon to be completed physical needs assessment is just a small part of this initiative. We have also developed employee management teams, which are looking at all the aspects of marketability and will eventually impact on our entire formal and informal agency planning process. Security, physical plant, appearance and community building are all included in these initiatives.

Part of this effort is to improve services to our residents. To do so we have undertaken a major reorganization of property management and maintenance and are hoping that this initiative will rapidly demonstrate improved services to our resident customers.

We are continuing to work on our major mixed finance/mixed income initiative and are hoping within the next year to show major, visible, construction progress in our community.

ESLHA certifies that its plan remains consistent with the city's Consolidated Plan.

# Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

## **Table of Contents**

<b>Annual Plan</b>	<b><u>Page #</u></b>
Executive Summary	
i. Table of Contents .....	7
1. Housing Needs .....	11
2. Statement of Financial Resources .....	34
3. Policies Governing Eligibility, Selection and Admissions .....	36
4. Rent Determination Policies .....	45
5. Operations and Management Policies .....	49
6. Grievance Procedures .....	50
7. Capital Improvement Needs .....	51
8. Demolition and Disposition .....	54
9. Designation of Public Housing .....	55
10. Conversion of Public Housing .....	56
11. Homeownership .....	57
12. Community Service Self Sufficiency Programs .....	59
13. PHA Safety and Crime Prevention .....	61
14. Pet Policy .....	63
15. Civil Rights Certifications (included with PHA Plan Certifications) .....	63
16. Fiscal Audit .....	63
17. PHA Asset Management .....	64
18. Attachment A Notice Requirements .....	67
19. Attachment B Fair Housing Policy .....	68
20. Attachment C Organizational Chart .....	69
21. Attachment D Board Resolution .....	71
22. Attachment E Pet Policy .....	74
23. Attachment F Resident Advisory Board Membership .....	75
24. Attachment G Resident Membership on Housing Authority Board of Commissioners .....	76
25. Attachment H Deconcentration of Poverty in Public Housing .....	77
26. Attachment I Resident Advisory Board Review & Comment .....	79
27. Attachment J Comprehensive Grant IL 06-P001-708-99 .....	83
28. Attachment K Capital Fund Program IL 06-R001-501-99 .....	95
29. Attachment L Capital Fund Program IL-06-P001-501-00 .....	102
30. Attachment M Capital Fund Program IL-06-R001-501-00 .....	112
31. Attachment N Capital Fund Program IL-06-P001-501-01 .....	118
32. Attachment O Capital Fund Program IL-06-R001-501-01 .....	124
33. Attachment P Capital Fund Program IL-06-P001-501-02 .....	130
34. Attachment Q Capital Fund Program IL-06-R001-501-02 .....	137
35. Attachment R Capital Fund Program IL-06P001-501-03 .....	144
36. Attachment S Capital Fund Program – Five-Year Action Plan .....	154

**Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Attachment H - Admissions Policy for Deconcentration
- Attachment Q - FY 2003 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- Attachment C - PHA Management Organizational Chart
- Attachment R - FY 2003 Capital Fund Program 5 Year Action Plan
- Attachment T - Public Housing Drug Elimination Program (PHDEP) Plan
- Attachment I - Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)  
See Table of Contents

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

### List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction.	Annual Plan Housing Needs
X	Most recent board-approved operating budget for the public housing program.	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&)), which includes the Tenant Selection and Assignment Plan (TSAP)	Annual Plan: Eligibility, Selections, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selections, and Admissions Policies
X	Public Housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Section 8 determination (payment standard) policies X Check here if included in Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X Check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures

X	Section 8 informal review and hearing procedures X Check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program annual Statement (HUD 52837)	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any CIAP grant)	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided by PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing Homeownership programs/plans (existing)	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program X check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency (3 year)	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
X	Voluntary Conversion of Developments from Public Housing Stock	Initial Assessment
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(Specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>JURISDICTION: ILLINOIS METRO</b> <b>Housing Needs of Families in the Jurisdiction</b> <b>by Family Type</b>							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income <= 30% of AMI	2069	5	5	5	5	5	5
Income >30% but <=50% of AMI	233	5	5	5	4	5	4
Income >50% but <80% of AMI	23	3	5	5	3	5	3
Elderly	N/A	4	5	5	4	4	5
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Race: City of East St. Louis: 97.7% Black, Other 2.3%. Population: East St Louis: 31,542

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 1999
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS")
- American Housing Survey data  
Indicate year: \_\_\_\_\_
- Other housing market study  
Indicate year: \_\_\_\_\_
- Other sources: (list and indicate year of information)

American Fact Finder, Census 2000 Summary File 3 (SF-3) East St. Louis city, Illinois DP-4 Profile of Selected Housing Characteristics: 2000

## **B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option. (Refer to pages 14 - 31).

### **1-1 Samuel Gompers Housing Needs of Families on the Waiting List**

**Waiting list type: (select one)**



**Section 8 tenant-based assistance**  
**Public Housing**  
**Combined Section 8 and Public Housing**  
**X Public Housing Site-Based or sub-jurisdictional waiting list (optional)**  
**If used, identify which development/sub-jurisdiction:**

	# Of families	% of total families	Annual Turnover
Waiting list total	97	100%	N/A
Extremely low income <=30% AMI	91	94%	N/A
Very low income (>30% but <=50% AMI)	5	6%	N/A
Low income (>50% but <80% AMI)	1	<1%	N/A
Families with children	88	91%	N/A
Elderly families	5	6%	N/A
Families with Disabilities	9	10%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
1 BR	9	9%	N/A
2 BR	74	76%	N/A
3 BR	9	9%	N/A
4 BR	5	6%	N/A
5 BR			

Statistical data as of 10/01/02 Waiting List  
 N/A - information not available

**1-1 Samuel Gompers**  
**Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)      X   No       Yes  
 If yes:  
     How long has it been closed (# of months)?  
     Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes  
     Does the PHA permit specific categories of families onto the waiting list, even if

generally

**1-2, 1-3 John Robinson/John DeShields  
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>48</b>	<b>100%</b>	<b>N/A</b>
<b>Extremely low income &lt;=30% AMI</b>	<b>46</b>	<b>95%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>2</b>	<b>5%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>-0-</b>	<b>-0-</b>	<b>N/A</b>
<b>Families with children</b>	<b>41</b>	<b>86%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>0</b>	<b>0</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>10</b>	<b>21%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1 BR</b>	<b>7</b>	<b>15%</b>	<b>N/A</b>
<b>2 BR</b>	<b>29</b>	<b>60%</b>	<b>N/A</b>
<b>3 BR</b>	<b>9</b>	<b>19%</b>	<b>N/A</b>
<b>4 BR</b>	<b>3</b>	<b>6%</b>	<b>N/A</b>
<b>5 BR</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>

Statistical data as of 10/01/02 Waiting List

N/A - information not available

**1-2, 1-3 John Robinson/John DeShields  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?      X   No       Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes

Does the PHA permit specific categories of families onto the waiting list, even if  
generally closed?    No    Yes

## 1-4, 1-26 Roosevelt Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	163	100%	N/A
Extremely low income <=30% AMI	153	94%	N/A
Very low income (>30% but <=50% AMI)	10	6%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	160	99%	N/A
Elderly families	3	2%	N/A
Families with Disabilities	10	7%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	3	2%	N/A
2 BR	111	68%	N/A
3 BR	35	22%	N/A
4 BR	12	8%	N/A
5 BR	2	1%	N/A

Statistical data as of 10/01/02 Waiting List

N/A - information not available

**1-4, 1-26 Roosevelt  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?     No     Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?    No    Yes

# 1-5 Villa Griffin

## Housing Needs of Families on the Waiting List

Waiting list type: (select one)  
 Section 8 tenant-based assistance  
 Public Housing (Summary Page of all Site-Based Waiting Lists)  
 Combined Section 8 and Public Housing  
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)  
 If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>46</b>	<b>100%</b>	<b>N/A</b>
<b>Extremely low income &lt;=30% AMI</b>	<b>44</b>	<b>96%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>2</b>	<b>4%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Families with children</b>	<b>44</b>	<b>96%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>1</b>	<b>3%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>4</b>	<b>9%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1 BR</b>	<b>2</b>	<b>4%</b>	<b>N/A</b>
<b>2 BR</b>	<b>28</b>	<b>61%</b>	<b>N/A</b>
<b>3 BR</b>	<b>13</b>	<b>29%</b>	<b>N/A</b>
<b>4 BR</b>	<b>3</b>	<b>6%</b>	<b>N/A</b>
<b>5 BR</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>

Statistical data as of 10/01/02 Waiting List  
 N/A - information not available

**1-5 Villa Griffin  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)  No     Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?    No    Yes

**1-21, 1-44 Orr-Weathers/Norman E. Owens  
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	204	100%	N/A
Extremely low income <=30% AMI	201	99%	N/A
Very low income (>30% but <=50% AMI)	3	1%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	204	100%	N/A
Elderly families	1	1%	N/A
Families with Disabilities	13	7%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	0	0%	N/A
2 BR	117	57%	N/A
3 BR	74	36%	N/A
4 BR	11	6%	N/A
5 BR	2	1%	N/A

Statistical data as of 10/01/02 Waiting List

N/A - information not available

**1-21, 1-44 Orr-Weathers/Norman E. Owens**

**Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

# 1-11, 1-12, 1-13, 1-25, 1-45, 1-46, 1-47, 1-50, 1-51 Scattered Sites Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	219	100%	N/A
Extremely low income <=30% AMI	162	74%	N/A
Very low income (>30% but <=50% AMI)	52	24%	N/A
Low income (>50% but <80% AMI)	5	2%	N/A
Families with children	219	100%	N/A
Elderly families	3	1%	N/A
Families with Disabilities	20	10%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	0	0%	N/A
2 BR	111	51%	N/A
3 BR	93	42%	N/A
4 BR	13	6%	N/A
5 BR	2	1%	N/A

Statistical data as of 10/01/02 Waiting List

N/A - information not available

**1-11, 1-12, 1-13, 1-25, 1-45, 1-46, 1-47, 1-48, 1-50, 1-51 Scattered Sites  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

**1-7, 1-8, 1-9 Mixed Population Developments  
Housing Needs of Families on the Waiting List**

<b>Waiting list type: (select one)</b> Section 8 tenant-based assistance Public Housing (Summary Page of all Site-Based Waiting Lists) Combined Section 8 and Public Housing X Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub-jurisdiction:			
	<b># of families</b>	<b>% of total families</b>	<b>Annual Turnover</b>
<b>Waiting list total</b>	<b>275</b>	<b>100%</b>	<b>N/A</b>
<b>Extremely low income &lt;=30% AMI</b>	<b>250</b>	<b>91%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>25</b>	<b>9%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Families with children</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>17 elderly/disabled</b>	<b>7%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>70 disabled only</b>	<b>26%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1 BR</b>	<b>275</b>	<b>100 %</b>	<b>N/A</b>
<b>2 BR</b>			
<b>3 BR</b>			
<b>4 BR</b>			
<b>5 BR</b>			

Statistical data as of 10/01/02 Waiting List  
N/A - information not available

**1-7, 1-8, 1-9 Mixed Population Developments  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?     No     Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes

Does the PHA permit specific categories of families onto the waiting list, even if

Generally closed?    No    Yes

**1-27, 1-28 Phoenix Courts - Campus of Learners  
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

**X** Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>126</b>	<b>100%</b>	<b>N/A</b>
<b>Extremely low income &lt;=30% AMI</b>	<b>100</b>	<b>79%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>26</b>	<b>21%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>Families with children</b>	<b>126</b>	<b>100%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>4</b>	<b>5%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1 BR</b>			
<b>2 BR</b>	<b>85</b>	<b>68%</b>	<b>N/A</b>
<b>3 BR</b>	<b>30</b>	<b>23%</b>	<b>N/A</b>
<b>4 BR</b>	<b>9</b>	<b>7%</b>	<b>N/A</b>
<b>5 BR</b>	<b>2</b>	<b>2%</b>	<b>N/A</b>

Statistical data as of 10/01/02 Waiting List

N/A - information not available

**1-27, 1-28 Phoenix Courts - Campus of Learners  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?     No     Yes

If yes:

How long has it been closed (# of months)? Six (6) months

Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?    No    Yes

**Summary of Site-Based Waiting Lists  
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)  
 Section 8 tenant-based assistance  
 **Public Housing (Summary Page of all Site-Based Waiting Lists)**  
 Combined Section 8 and Public Housing  
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)  
 If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>1,178</b>	<b>100%</b>	<b>NA</b>
<b>Extremely low income &lt;=30% AMI</b>	<b>1,047</b>	<b>89%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>125</b>	<b>10%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>6</b>	<b>1%</b>	<b>N/A</b>
<b>Families with children</b>	<b>882</b>	<b>75%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>30</b>	<b>3%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>131</b>	<b>11%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1 BR</b>	<b>296</b>	<b>25%</b>	<b>N/A</b>
<b>2 BR</b>	<b>555</b>	<b>47%</b>	<b>N/A</b>
<b>3 BR</b>	<b>263</b>	<b>22%</b>	<b>N/A</b>
<b>4 BR</b>	<b>56</b>	<b>5%</b>	<b>N/A</b>
<b>5 BR</b>	<b>8</b>	<b>1%</b>	<b>N/A</b>

Statistical data as of 10/01/02 Waiting List  
 N/A - information not available

**Summary of Site-Based Waiting Lists  
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)?    No     Yes

If yes:

    How long has it been closed (# of months)?

    Does the PHA expect to reopen the list in the PHA Plan Year?    No    Yes

    Does the PHA permit specific categories of families onto the waiting list, even if generally closed?    No    Yes

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance. (501)C3
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships

- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## **Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>	-0-	
a) Public Housing Operating Fund	6,599,430	
b) Public Housing Capital Fund	8,334,189	
c) HOPE VI Revitalization	1,000,000	
d) HOPE VI Demolition	-0-	
e) Annual Contributions for Section 8 Tenant-Based Assistance	2,753,690	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	512,813 Drug 11 529,711 Drug 12	
g) Resident Opportunity and Self-Sufficiency Grants	500,000 Family 225,000 SCP	
h) Community Development Block Grant	-0-	
i) HOME		
Other Federal Grants (list below)		
Turnkey III	139,173	Operations
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
<b>3. Public Housing Dwelling Rental Income</b>	2,968,493	Operations
Turnkey Dwelling Rental		
<b>4. Other income (list below)</b>		
Public Housing	583,880	Operations
<b>5. Non-federal sources (list below)</b>		
Other Income		

FY2003 Annual Plan

HUD 50075  
OMB Approval No. 2577-0226  
Expires: 03/31/2002

<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>Total resources</b>	24,146,379	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: approximately 15 on waiting list  
 When families are within a certain time of being offered a unit: (state time)  
 Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity  
 Rental history  
 Housekeeping  
 Other (describe) Credit Checks, References, and Utilities

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list  
 Sub-jurisdictional lists  
 Site-based waiting lists  
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office  
 PHA development site management office  
 Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year? 8

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

PHA main administrative office

All PHA development management offices

Management offices at developments with site-based waiting lists

At the development to which they would like to apply

Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

One Provided applicant is offered a unit in development selected during application process.

Two

Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

### **(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

Emergencies

- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Former Federal preferences:

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 4 Victims of domestic violence
- 7 Substandard housing
- 3 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list) Management Staff

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a. \_\_\_ Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. \_\_\_ Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes in all such developments? If no, this section is complete. See Attachment H for Deconcentration of Poverty in Public Housing (Update FY2003).

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units:	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no Explanation) [see step 5 at §903.2(c)(1)(v)]

N/A	N/A	N/A	N/A
-----	-----	-----	-----

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

Criminal or drug-related activity only to the extent required by law or regulation

Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below)

Other (list below)

Family Composition, Income Eligibility, Need for Assistance, Disclosure of Social Security Numbers, Citizenship, etc.

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

Previous Landlord Name and Number, etc.

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

**If yes, state circumstances below:**

Market availability, unforeseen circumstances (case-by-case judgement)

**(4) Admissions Preferences**

a. Income targeting  Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability

- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these

choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

8 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

The PHA applies preferences within income tiers

Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

The Section 8 Administrative Plan

Briefing sessions and written materials

Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

Through published notices

Other (list below)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? Will be stated in policies.

3. If yes to question 2, list these policies below:

Exceptions to the application of the minimum monthly rental amount apply to any family unable to pay because of financial hardship. Request must be in writing.

c. Rents set at less than 30% of adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Flat (previously ceiling) rents

1. Do you have flat (previously ceiling) rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are flat (previously ceiling) rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at flat (previously ceiling) rents (select all that apply)

Market comparability study

Fair market rents (FMR)

95<sup>th</sup> percentile rents

75 percent of operating costs

100 percent of operating costs for general occupancy (family) developments

Operating costs plus debt service

The "rental value" of the unit

Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)
- Never
  - At family option
  - Any time the family experiences an income increase
  - Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \_\_\_\_\_
  - Other (list below)

Within 30 days of occurrence

- g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- The section 8 rent reasonableness study of comparable housing
  - Survey of rents listed in local newspaper
  - Survey of similar unassisted units in the neighborhood
  - Other (list/describe below)

Survey of Rents listed by Realtors.

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

## **(1) Payment Standards**

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)
- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)
- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)
- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)
- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)
- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)
- d. How often are payment standards reevaluated for adequacy? (select one)
- Annually
- Other (list below)
- e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)
- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

## **(2) Minimum Rent**

- a. What amount best reflects the PHA's minimum rent? (select one)
- \$0
- \$1-\$25
- \$26-\$50
- b.  Yes  No: **Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)**

Exemption due to Financial Hardship.

## **5. Operations and Management**

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A. PHA Management Structure**

(select one)

An organization chart showing the PHA’s management structure and organization is attached.

A brief description of the management structure and organization of the PHA follows:

Describe the PHA’s management structure and organization.

**B. HUD Programs Under PHA Management**

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2100	425
Section 8 Vouchers	489	30
Section 8 Certificates	N/A	N/A
Section 8 Mod Rehab	N/A	N/A
Public Housing Drug Elimination Program (PHDEP)	2100	N/A
Other Federal Programs (list individually)		
Turnkey III- Homeownership	6	N/A

- Expected Turnover - Combination between all programs

**C. Management and Maintenance Policies**

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

**(1) Public Housing Maintenance and Management: (list below)**

Maintenance Plan, Management Plan, Admissions & Continued Occupancy Policy  
Campus of Learners Admissions & Continued Occupancy Policy, Family Self-Sufficiency/Action Plan.

**(2) Section 8 Management: (list below)**

Section 8 Administrative Plan

**(3) Turnkey III-Homeownership**  
HUD Handbook 7495.3

**(4) Other**  
Mary A. Class Action Settlement

**6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

**A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office  
 PHA development management offices  
 Other (list below)

Residents should contact their development management office while applicants should contact the main administrative office.

**B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

**7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

## A. Capital Fund Activities

### (1) Capital Fund Program Annual Statement

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment R

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

### (2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment S

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)  
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved
  - Activities pursuant to an approved Revitalization Plan underway

- Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

- Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:  
Mixed-finance development planned project IL06P001049.

- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:  
As part of Project identified in (D) above, some units will be developed as conventional public housing units. ESLHA is currently in planning stages.

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: Scattered Sites – various individual units/homes 1b. Development (project) numbers: II1-25, 45, 46, 47, 48, 50 and 51
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: <u>(06/30/03)</u>
5. Number of units affected: 10-20 Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development <input checked="" type="checkbox"/> Individual non-collocated units
6. Timeline for activity: a. Actual or projected start date of activity: 09/30/03 b. Projected end date of activity: 12/31/03
7. Note: The agency is planning to conduct an assessment of scattered site units to reduce inventory through possible disposition and/or where necessary demolition. Specific units have not been identified as yet to be included in this initiative.

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description/ table below.

<b><u>Designation of Public Housing Activity Description</u></b>
1a. Development name: 1b. Development (project) number:
2. Designation type: <input type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities
3. Application status (select one) <input type="checkbox"/> Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date this designation approved, submitted, or planned for submission:
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously approved Designation Plan?
6. Number of units affected:
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Voluntary Conversion of Developments from Public Housing Stock; Required Initial Assessments:**

1. 27 Number of PHA developments subject to the Required Initial Assessment.
2. 0 Number of PHA developments not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects).
3. 27 How many Assessments were conducted for the PHA's covered Developments?
4. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessment.

Development Name	Number of Units
N/A	None

5. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments.

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937.**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.      Yes   X   No: Does the PHA administer any Homeownership programs administered by the PHA under an approved section 5(h) Homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any Homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

     Yes      No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name:
1b. Development (project) number:

2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

### 2. Program Description:

#### a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 Homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

#### b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
If yes, list criteria below:

## 12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

FY2003 Annual Plan

HUD 50075  
OMB Approval No. 2577-0226  
Expires: 03/31/2002

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

**A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 05/18/99

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing Homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-

sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Campus of Learners Program	68	specific criteria	The ESLHA main office & Phoenix Courts Development	public housing

**(2) Family Self Sufficiency program/s**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 12/01/02)
Public Housing	N/A	120
Section 8	50	35

b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply):

- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.

- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

**A. Need for measures to ensure the safety of public housing residents**

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

All Developments

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

The East St. Louis Housing Authority has developed its own in house security force. This force provides additional security coverage for public housing developments with the express purpose of reducing crime and drug trafficking.

2. Which developments are most affected? (list below)  
All Developments

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

**Anti-Drug Rally Activity**

The East St. Louis Police Department has agreed to work with our security forces by responding to our calls for assistance.

2. Which developments are most affected? (list below)  
All Developments

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes  No: **Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?**

Yes  No: **Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?**

Yes  No: **This PHDEP Plan is at Attachment T.** This information is included since remaining funds received under the FY2001 PHDEP grant will continue to be expended in FY2003.

[24 CFR Part 903.7 9 (n)]

**14. RESERVED FOR PET POLICY**

Pet policy summary is under Attachment E.

### **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

### **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes \_\_\_ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2.  Yes \_\_\_ No: Was the most recent fiscal audit submitted to HUD?
3.  Yes \_\_\_ No: Were there any findings as the result of that audit?
4. \_\_\_ Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_
5. \_\_\_ Yes \_\_\_ No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

### **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes \_\_\_ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)  
\_\_\_ Not applicable  
\_\_\_ Private management  
\_\_\_ Development-based accounting  
 Comprehensive stock assessment  
\_\_\_ Other: (list below)
3. \_\_\_ Yes  No: Has the PHA included descriptions of asset management

activities in the **optional** Public Housing Asset Management Table?

**18. Other Information**

[24 CFR Part 903.7 9 (r)]

**A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached See Attachment I

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

**B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot

Other: (describe)

Candidates are nominated by members of the Resident Council. Nominations are made from the floor during a scheduled election meeting. Mayoral appointment then took place. See Attachment G.

b. Eligible candidates: (select one)

Any recipient of PHA assistance

- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list) Any Resident Council President

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) The City of East St. Louis, Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - A. Mixed Use/Mixed Finance Development for the City of East St. Louis.
    - Obtain program management services for Mixed Use/Mixed Finance Development
    - Secure Developer/Partner, to develop a Mix-Use/Mixed Finance Development.
    - Seek additional funding sources such as Low Income Housing Tax Credits.
    - Begin work on Central City site and continue process of finding other sites within the city for development.
  - B. Sell scattered site individual properties to homeowners or demolish, where properties cannot be made habitable as necessary. (Reduce Housing Authority inventory of properties)

Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Projects located in the proximity of: 12th St. & Bond, Gay Ave., South 8th St. at Piggott Ave Pump Station Piggott Ave 11-1200 Blocks

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.



## **FY 2003 ANNUAL PLAN**

---

### **ATTACHMENT A NOTICE REQUIREMENTS**

In compliance with the federal statute regarding the development of the Agency Annual Plan, the ESLHA conducted the following:

November 20, 2002 December 10, 2002 December 27, 2002	Resident Advisory Board Meetings
January 6, 2003	Public Hearing
January 9, 2003	HUD Representative/ Advisory Board Approval
January 14, 2003	Submission to HUD

<p><b>ATTACHMENT B FAIR HOUSING POLICY</b></p>
--

**It is the policy of the ESLHA to comply fully with all Federal, State and local nondiscrimination laws and in accordance with the rules and regulations governing Fair Housing and Equal Opportunity in housing and employment and with the Americans with Disabilities Act.**

**Specifically, the ESLHA shall not on account of race, color, sex, religion, creed, national or ethnic origin, family status, disability or handicap, deny any family or individual the opportunity to apply for or receive assistance under HUD's Public Housing Programs, within the requirements and regulations of HUD and other regulatory authorities.**

**To further its commitment to full compliance with applicable Civil Rights laws, the ESLHA will provide access to information to public housing residents regarding "discrimination". Also, this subject will be discussed during the briefing session and any complaints will be documented and made part of the applicant's tenant file.**

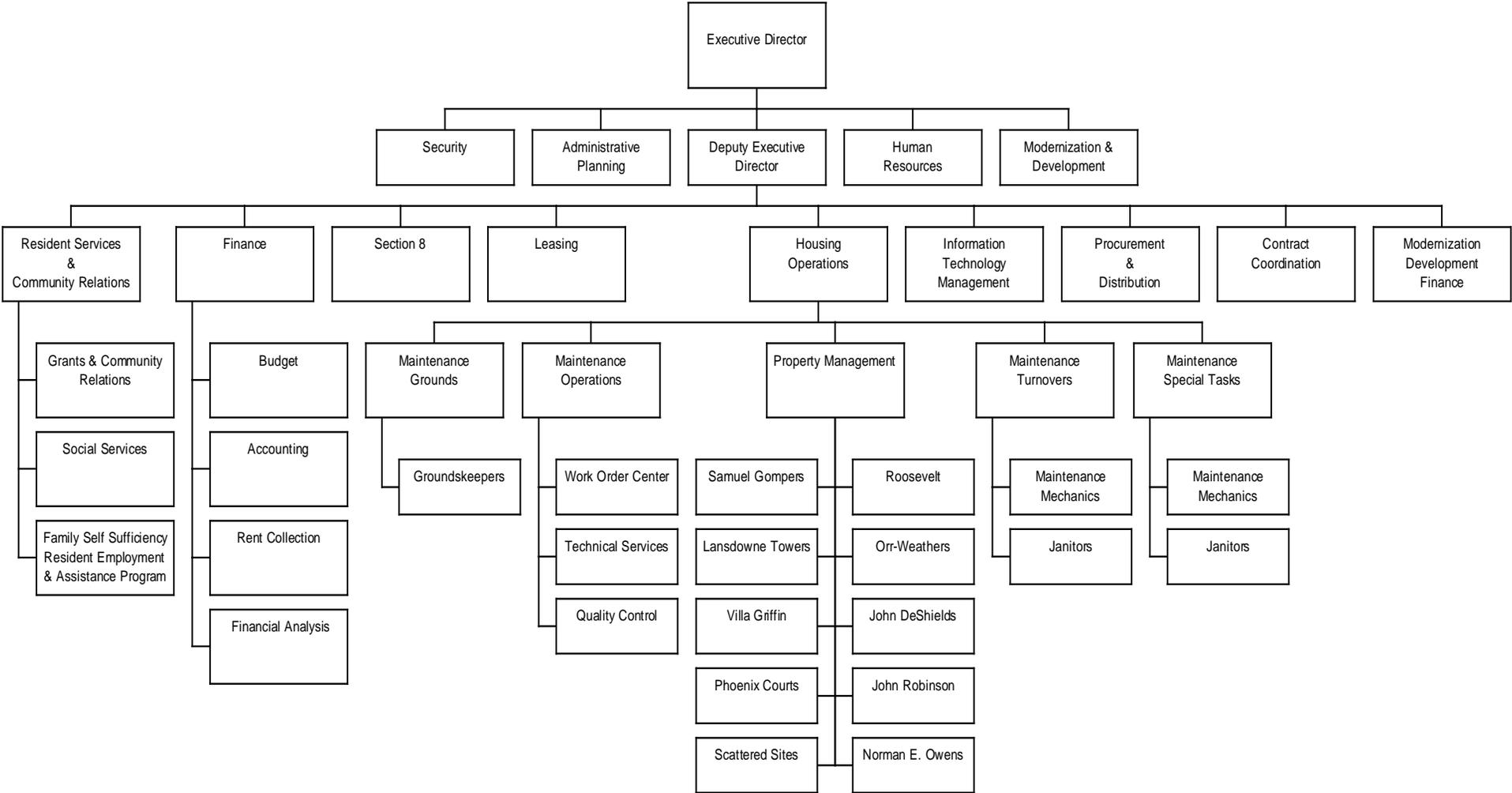
**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT C  
ORGANIZATIONAL CHART**

# HOUSING AUTHORITY OF THE CITY OF EAST ST. LOUIS

## Organizational Chart



<p><b>ATTACHMENT D</b> <b>BOARD RESOLUTION</b></p>
--

**RESOLUTION No. 34-02**

**AGENCY ANNUAL PLAN**

**WHEREAS**, the Housing Authority of the City of East St. Louis (ESLHA) has completed its Agency Annual Plan in accordance with the Quality Housing and Work Responsibility Act (QHWRA) of 1998, and

**WHEREAS**, the ESLHA has complied with the QHWRA requirement requesting participation from the local public and private entities, Resident Advisory Board (RAB), and residents from the public housing and Section 8 programs, and

**WHEREAS**, the ESLHA certifies that its Agency Annual Plan is consistent with the City of East St. Louis Consolidated Plan and the Temporary Assistance for Needy Families (TANF) Plan, and

**WHEREAS**, the ESLHA will comply with all policies, procedures and requirements of its Agency Annual Plan in a timely, efficient and economical manner, and

**WHEREAS**, the ESLHA, has taken into consideration the comments and concerns of residents and other entities in preparing the Agency Annual Plan, and

**WHEREAS**, the ESLHA has made available all information relevant to the public hearing and requested public comments, and

**WHEREAS**, the ESLHA will carry out its Agency Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Section 504 of the Rehab. Act of 1993 & Title II of the Americans with Disabilities Act of 1990, and

**WHEREAS**, the ESLHA will comply with the Age Discrimination Act of 1975, the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped, Section 3 requirements and the HUD Act of 1968, and

**WHEREAS**, the ESLHA has submitted with its Agency Annual Plan, a drug free workplace certification required by 24 CFR Part 24, subpart 1, and

**WHEREAS**, the ESLHA has submitted its Disclosure of Lobbying Activities, its Certification of Payments to Influence Federal Transactions, and

**WHEREAS**, the ESLHA Public Housing Drug Elimination Program (PHDEP) is consistent with Agency Annual Plan requirements and Grantee Performance Requirements as specified in 24 CFR 761.21 and 761.23, and

**WHEREAS**, the ESLHA will comply with Affirmative Action Requirements, Acquisition and Relocation Public Act 1970, Davis Bacon determined Wage Rate Requirements under Section 12 and the Contract Workers Hours and Safety Standard Act, Lead Based Poisoning Prevention Act, National Environmental Policy Act, and

**WHEREAS**, the ESLHA will keep its records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine complaints with program requirements and policies, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirement for Grants and Cooperative Agreements), and

**WHEREAS**, the ESLHA will undertake the activities and programs covered in its Agency Annual Plan, and will utilize covered grant funds for activities appropriate under the regulations, and

**WHEREAS**, the Agency Annual Plan and all it's attachments have been and will continue to be available at the ESLHA Central Office at all times for public inspection.

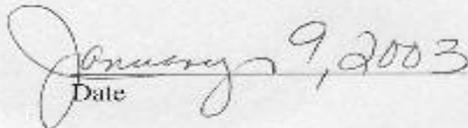
**NOW, THEREFORE BE IT RESOLVED**, that the Housing Authority Representative for the Housing Authority of the City of East St. Louis has reviewed and accepts the Annual Agency Plan as written.

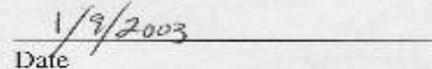
Attest:

  
Selva A. Conners

  
Elmore Richardson

Elmore Richardson, HUD  
Representative for the Housing  
Authority of the City of East  
St. Louis

  
Date

  
Date

**ATTACHMENT E**  
**PET POLICY**

**Pet Policy Summary**

Residents living in East St. Louis Housing Authority properties may have common household pets. Pets allowed do not include reptiles other than turtles. Additionally, Rottweilers and Bull Terriers or mixed breeds with these characteristics are not allowed. Size and number of pets are limited except for working animals for the handicapped. Dogs and cats are subject to various requirements, such as registration with the management office, personal liability insurance requirements, certification of inoculation, and proof of spaying/neutering, and payment of a nonrefundable registration fee/pet deposit. Residents seeking to have pets must sign an agreement with the housing authority, which outlines all requirements for keeping and maintaining pets.

## **FY 2003 ANNUAL PLAN**

---

<b>ATTACHMENT F RESIDENT ADVISORY BOARD MEMBERSHIP</b>
--

PRESIDENT: Ms. Edna Mayes

VICE-PRESIDENT: Ms. Yvonne Beasley

MEMBERS:

Brenton Building President:	Ms. Rosie Harper
Ruggeri Building President:	Ms. Yvonne Beasley
Rukavina Building President:	Ms. Arthelma Guthrie
Starnes Building President:	Ms. Cenola Miller
Roosevelt Homes President:	Vacant
Villa Griffin Homes President:	Ms. Jacqueline Gaston
Northend Scattered Sites President:	Vacant
Phoenix Courts President:	Vacant
E-2 Building President:	Ms. Edna Mayes
D-1 Building President:	Ms. Patsy Haynes
Low Rise Orr-Weathers President:	Ms. Thelma Burrell
Norman E. Owens President:	Vacant
John DeShields President:	Ms. Sharon Hendricks
John Robinson President:	Ms. Deborah Nixon
Samuel Gompers President:	Ms. Brenda Martin

## **FY 2003 ANNUAL PLAN**

---

<p><b>ATTACHMENT G</b> <b>RESIDENT MEMBERSHIP ON THE HOUSING</b> <b>AUTHORITY ADVISORY BOARD</b> <b>OF COMMISSIONERS</b></p>
--

1. Housing Authority Advisory Board of Commissioners  
Resident Member: Ms. Ethel Sylvester
2. Method of Selection:  
Resident Advisory Board Recommendation and Mayoral Appointment
3. Term of Office: Five years through January 2004

**ATTACHMENT H  
DECONCENTRATION OF POVERTY IN  
PUBLIC HOUSING (UPDATE FY2003)**

The East St. Louis Housing Authority performed a deconcentration analysis as required by Notice PIH 2001-4 and 24 CFR §903.2 in December 2001. This analysis is now updated with more recent census data and housing authority statistical data. The agency performed both an average income analysis and an average income (bedroom adjusted) analysis. The agency chose to adopt the average income analysis which is included as part of this summary.

The average income for all units was \$7,100 and the 85% to 115% income range was \$6,035 to \$8,165. All developments fell within the 85% to 115% income range except John Robinson, which was 9% or \$520 per family under the 85% value.

The Agency also reviewed the most recent census data available. Income and poverty data as follows was obtained from the HUD SOCDs Census Data Base. The median family income for the St. Louis, MO-IL MSA in 1999(1999 dollars) is \$54,123. Thirty percent of this figure is \$16,237. For the central city of East St. Louis the median family income in 1999 (1999 dollars) was \$24,567. Thirty percent of this figure is \$7,370.

The Agency will continue to monitor income levels in each of the developments. The John Robinson development is located across the street from John DeShields and is separated only by a middle school. We will continue to look at John Robinson but do not believe due to the marginal difference between John Robinson and our other developments that any specific action is necessary at this time to deconcentrate poverty in this development. Please also note when John Robinson and John DeShields are combined, the total of 330 units have an average income of \$6,834, well within the 85% to 115% criteria.

DECONCENTRATION ANALYSIS  
(Average Income Analysis)

A. Developments included in analysis:

<u>Unit</u>	<u>Project No.</u>	<u>Units Occupied</u>	<u>Average Income</u>
Gompers	001	217	\$7,311
Robinson	002	74	\$5,515
DeShields	003	256	\$7,215
Roosevelt	004/026	215	\$6,797
Griffin	005	81	\$7,180
Orr-Weathers	007	236	\$7,380
Lansdowne	008/009	331	\$7,213
Norman			
E. Owens	021/044	109	\$7,073

Note: We are required to consider developments with 100 or more living units. Robinson is included since is closely located to DeShields. Griffin was included since there are 100 units although only 81 are occupied. Roosevelt, Lansdowne and Norman E. Owens each have two project numbers.

B. Deconcentration Calculation:

Total Income all residents: \$10,784,712.

Total Units: 1519

Average Income all Units: \$7,100 vs. \$7,285 last year  
Income Range:

85%	TO	115%
\$6,035	-	\$8,165

1999 Median Family Income in 1999 Dollars:

St. Louis, MO – IL MSA: \$54,123  
30% = \$16,237

Central city of East St. Louis, IL: \$24,567  
30% = \$7,370

**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT I**  
**RESIDENT ADVISORY BOARD REVIEW & COMMENT**

# THE HOUSING AUTHORITY OF THE CITY OF EAST ST. LOUIS

## RESIDENT ADVISORY BOARD (RAB)

### REVIEW & COMMENT

#### PHA ANNUAL PLAN FY2003

---

1. The following comments concerning the PHA Annual Plan were collected and recorded from members of the RAB over two days on December 10<sup>th</sup> and 27<sup>th</sup>, 2002.

2. Attendees:

Ms. Edna Mayes	President - Resident Advisory Board
Ms. Yvonne Beasley	Vice-President – Resident Advisory Board
Ms. Cenola Miller	President - Starnes Building
Ms. Arthelma Guthrie	President - Rukavina Building
Ms. Thelma Burrell	President – Orr Weathers Row Houses
Mr. John Prather	ESLHA – Administrative Planning Director

3. Reviewed goals of the agency on draft pages 3 and 4.

A. Goal: Recognizing Residents as our Ultimate Customers:

(1) Objective #1 - Maintain Applicant Review and Orientation Committee. *RAB members stated that this committee had not been functioning for quite a long time and needed to be put back in operation.*

(2) Objective #2 – Maintain Campus of Learners Screening and Orientation Committee. *RAB members stated that this committee had not been functioning for quite a long time and needed to be put back in operation.*

(3) Objective #3 – Establish and maintain ESLHA Resident Advisory Board and Resident Councils. *RAB members said work needed to be done in this area as out of 15 Resident Councils, 4 were not currently active and functioning.*

(4) Objective #4 – Conduct Monthly Committee Meetings with Resident Advisory Leaders, i.e. Council Board, RAB Members, Building Captains and Resident Patrol Members. *RAB members were uncertain who was being referred to concerning this objective.*

(5) Objective #6 – Maintain Resident Involvement in the Planning, Design, Implementation and Evaluation of all Grant Programs. *RAB members indicated that this was not being done particularly at the planning and design stages. Said were not involved in the ROSS grant process and wanted to be.*

B. Goal: Establishing Financial Stability and Economic Independence:

(1) Objective #5 – Identify/Implement Entrepreneurialship Training Opportunities for ESLHA Adult and Youth to Establish Resident Owned Businesses. *RAB members wanted to see more done with this and asked about opportunities such as a small grocery store run by residents. Also wanted residents to run laundry mats as opposed to a vender – wanted to get money back.*

(2) Objective #7 – Apply for funding to increase Resident Participation and Self Sufficiency Activities. *RAB members felt more needed to be done in this area. RAB cited PIH 2001-3 Distribution and Use of Operating Subsidy Funds Received for Resident Participation Activities.*

C. Goal: Improving Management:

(1) Objective #3 – Improve Public Housing Management and Service Delivery through Effective and Efficient Management of Housing Authority Staff, Residents and Contractors. *RAB members felt that agency needs to continue to work on this area.*

(2) Objective #5 – Conduct Needs Assessment for Residents to Provide a Better Quality of Life for all Populations. *RAB members felt this has not been done very well and cited fact that have been arguing for a long time to try to get individually controlled heat in hi-rise living units and nothing has happened yet. RAB members stated that they want to be involved in any revision of the ACOP.*

4. Page 33 item: Need: Specific Family Types: The Elderly – Strategy 1: Target available assistance to the elderly: – Seek designation of public housing for the elderly. *RAB members support this objective and would like to see building(s) designated for the elderly as soon as possible.*

5. Page 39 item: b. Transfer policies: *RAB members expressed concerns that the site based transfer list was closed except for emergencies, Medical justification and Administrative reasons. RAB members felt they were not adequately informed of the change although they understood the reasoning behind temporarily closing the list as part of an overall strategy to reduce vacant units in the agency to more acceptable levels. RAB members also felt that agency needs to look into fact that a number of people are in the wrong apartments such as non-handicapped individuals in handicapped apartments, etc.*

6. Page 51, item: 6. PHA Grievance Procedures - *RAB members indicated that they want a formal grievance hearing panel and want a resident to participate on this panel. RAB members were advised that the panel procedure would require a change to the ACOP.*

7. The next meeting of the RAB was held on Friday, December 27<sup>th</sup> and was attended by:

Ms. Edna Mayes	President - Resident Advisory Board
Ms. Cenola Miller	President - Starnes Building
Ms. Arthelma Guthrie	President - Rukavina Building
Ms. Thelma Burrell	President – Orr Weathers Row Houses
Mr. John Prather	ESLHA – Administrative Planning Director

8. Page 56, item 9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities. *RAB members want to have the Agency look into designating a senior building. RAB members support the designation of a hi-rise building exclusively for seniors. RAB members also expressed concerns that non-handicapped individuals had on occasion been placed in handicapped apartments. RAB members felt this condition should be corrected.*

9. Page 62, item 13. PHA Safety and Crime Prevention Measures. *RAB members stressed that residents are a primary source of information about what is going on in their respective developments.*

**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT J**  
**COMPREHESIVE GRANT IL 06-P001-708-99**

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001708-99 Replacement Housing Factor Grant No:	Federal FY of Grant: 1999
---	--	------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 9/30/02  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0	\$0	\$0	\$0
3	1408 Management Improvements	\$327,106	\$401,261	\$401,261	\$249,904
4	1410 Administration	\$360,002	\$365,562	\$365,562	\$365,562
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$356,036	\$347,202	\$347,202	\$279,241
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$440,865	\$432,044	\$432,044	\$322,241
10	1460 Dwelling Structures	\$940,031	\$933,798	\$933,798	\$318,010
11	1465.1 Dwelling Equipment— Nonexpendable	\$10,748	\$10,748	\$10,748	\$8,784
12	1470 Nondwelling Structures	\$2,122,305	\$1,920,101	\$1,920,101	\$1,225,768
13	1475 Nondwelling Equipment	\$0	\$146,377	\$146,377	\$146,377
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$19,112	\$19,112	\$19,112	\$22,515
18	1499 Development Activities	\$0	\$0	\$0	\$0
19	1501 Collaterization or Debt Service	\$0	\$0	\$0	\$0
20	1502 Contingency	\$0	\$0	\$0	\$0

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001708-99 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    )					
<b>X Performance and Evaluation Report for Period Ending: 9/30/02   <input type="checkbox"/> Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,576,205	\$4,576,205	\$4,576,205	\$2,938,402
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$200,000	\$200,000	\$200,000	\$180,669
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

<b>Annual Statement/Performance and Evaluation Report</b>						
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>						
<b>Part II: Supporting Pages</b>						
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-708-99 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work

				Original	Revised	Funds Obligated	Funds Expended	
IL1-2, John Robinson	Install bollards	1450		\$5,600.00	\$5,600.00	\$5,600	\$6,160	Completed
IL1-2, John Robinson	Replace Gutters/downspouts	1460		\$62,410	\$62,410	\$62,409	\$62,409	Completed
IL1-7 Orr-Weathers Low rise	Major renovation of interior of units and exterior of buildings	1460	20 Units	\$810,709	\$810,709	\$810,709	\$196,623	Completed
IL1-7 Orr-Weathers Low rise	Site Improvements	1450		\$348,493	\$339,672	\$348,493	\$229,249	Completed
IL1-7 Orr-Weathers Low rise	Relocation Costs	1495	20 Units	\$11,114	\$11,114	\$11,114	\$14,074	Completed
IL1-7 Orr-Weathers Hi rise	Replace flat roof at D-1 shop	1470		\$7,933	\$7,933	\$7,933	\$7,933	Completed
IL1-7 Orr-Weathers Hi rise	Remove & Replace carpet in corridors D-1 Bldg.	1470		\$46,200	\$67,167	\$67,167	\$67,167	Completed
IL1-7 Orr-Weathers Hi rise	Upgrade Elevators in D-1 & E-2 bldgs.	1470	4	\$372,902	\$372,902	\$372,902	\$298,492	In progress
IL1-7 Orr-Weathers Hi rise	Relocation Costs	1495		\$0	\$0	\$0	\$0	N/A
IL1-8 Lansdowne Towers	Emergency Call System	1460	3 bldgs.	\$0	\$0	\$0	\$0	N/A
IL1-8 Lansdowne Towers	Fire Panel Replacement	1470	3 bldgs.	\$39,888	\$39,888	\$39,888	\$39,888	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-708 99 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-8 Lansdowne Towers	Upgrade Elevators	1470	3 bldgs.	\$1,304,975	\$1,304,975	\$1,304,975	\$752,883	In Progress
IL1-8 Lansdowne Towers	Install Roof Antennae	1470	3 bldgs.	\$2,500	\$2,500	\$2,500	\$2,500	Completed
IL1-9 Lansdowne Towers	Upgrade Elevators	1470	2	\$150,000	\$67,436	\$67,436	\$0	In progress
IL1-9 Lansdowne Towers	Major renovation of interior of units	1460	23 units	\$30,145	\$31,845	\$30,144	\$30,144	Completed
IL1-9 Lansdowne Towers	Common Area Improvements	1470		\$48,176	\$48,176	\$48,176	\$48,976	Completed
IL1-9 Lansdowne Towers	Relocation Costs	1495	23 units	\$7,998	\$7,998	\$7,998	\$8,441	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-708 99 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-9 Lansdowne Towers	Purchase stoves & refrigerators	1465	16 units	\$10,748	\$10,748	\$8,784	\$8,784	Completed
IL1-21 Norman E. Owens	Replace/install handrails outside units where there are 3 or more steps	1450		\$85,393	\$85,393	\$85,393	\$85,453	Completed
IL1-25, Scattered Sites	Replace shingle roofs	1460	10 units	\$28,834	\$28,834	\$28,834	\$28,834	Completed
IL1-25, Scattered Sites	Rehab S.F. unit (1816 Russell)	1460	1	\$0	\$0	\$0	\$0	N/A
IL1-25, Scattered Sites	Rehab S.F. unit (1810 Lawrence)	1460	1	\$0	\$0	\$0	\$0	N/A
IL1-47 Scattered Sites	Rehab S.F. unit (4038 N. Park)	1460	1	\$0	\$0	\$0	\$0	N/A

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-708 99 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Agency Wide	Replace telephone system	1475		\$0	\$0	\$0	\$0	N/A
	Upgrade Computer System	1475		\$100,507	\$100,507	\$100,507	\$100,506	Completed
	Purchase computer for CAD system	1475		\$15,000	\$15,875	\$15,876	\$15,876	Completed
	Provide Security for residents	1408		\$257,871	\$257,871	\$257,871	\$180,669	In progress
	Provide Staff Training	1408		\$19,193	\$19,193	\$19,193	\$19,193	Completed
	Provide Resident Training	1408		\$1,820	\$1,820	\$1,820	\$1,820	Completed
	Resident Services Salaries	1408		\$30,000	\$30,000	\$30,000	\$30,000	Completed
	Resident Services Programs	1408		\$12,192	\$12,192	\$12,192	\$12,192	Completed
	Computer Software Upgrade	1408		\$6,030	\$80,185	\$6,030	\$6,030	Completed
	Upgrade Computers in Self Sufficiency Learning Labs	1408		\$0	\$0	\$0	\$0	N/A
	Administrative Staff Salaries	1410		\$351,727	\$351,727	\$351,727	\$351,727	Completed
	Advertisement/Sundry	1410		\$8,275	\$13,835	\$13,835	\$13,835	Completed
	Audit Fees	1415		\$0	\$0	\$0	\$0	N/A
	Engineering Study 683 bldg. parking lot	1430		\$0	\$0	\$0	N/A	
	A & E Fees	1430		\$79,806	\$70,972	\$70,972	\$38,325	In progress

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P001-708 99 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Elevator Consultant	1430		\$96,230	\$96,230	\$96,230	\$80,144	In progress
	Physical Needs Consultant	1430		\$100,000	\$100,000	\$97,160	\$91,472	In progress
	Construction Inspectors Salaries	1430		\$80,000	\$80,000	\$80,000	\$69,300	In progress

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: IL06-P001-708 99 Replacement Housing Factor No:				Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL1-2, John Robinson	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		Program obligation & expenditure deadlines were extended when the elevator bid process resulted
IL1-7, Orr-Weathers LR	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		In only one bid. Contract had to be submitted
IL1-7, Orr-Weathers HR	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		And approved by HUD prior to award.
IL1-8, Lansdowne Towers	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
IL1-9 Lansdowne Towers	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
IL1-21, Norman E. Owens	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
IL1-25, Scattered Sites	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
IL1-47, Scattered Sites	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
IL1-48, Scattered Sites	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
Helen Davis Center	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: IL06-P001-708 99 Replacement Housing Factor No:				Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Central Office	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
Central Warehouse	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		
Agency Wide	3/31/01	12/31/01	10/31/01	3/31/02	12/31/02		

**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT K**  
**CAPITAL FUND PROGRAM IL 06-R001-501-99**



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06-R001-501-99	Federal FY of Grant: 1999
---	--	------------------------------

Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )

**X** Performance and Evaluation Report for Period Ending: 9/30/02  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$0		\$0	\$0
11	1465.1 Dwelling Equipment— Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$82,179		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06-R001-501-99	Federal FY of Grant: 1999
---	--	------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
**X Performance and Evaluation Report for Period Ending: 9/30/02**  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$82,179		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				







**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT L**  
**COMPREHESIVE GRANT IL 06-P001-501-00**

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis

Grant Type and Number  
 Capital Fund Program Grant No: IL06P001501-00  
 Replacement Housing Factor Grant No:

Federal FY of Grant:  
 2000

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )

Performance and Evaluation Report for Period Ending: 9/30/02  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations		\$412,525	\$412,525	\$412,525
3	1408 Management Improvements	\$187,000	\$383,134	\$383,134	\$204,042
4	1410 Administration	\$356,751	\$369,783	\$369,783	\$356,813
5	1411 Audit	\$1,500	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$180,000	\$205,603	\$205,603	\$153,234
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$360,000	\$423,046	\$423,046	\$89,137
10	1460 Dwelling Structures	\$3,000,000	\$871,521	\$871,521	\$755,958
11	1465.1 Dwelling Equipment— Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$1,284,963	\$1,284,963	\$377,153
13	1475 Nondwelling Equipment	\$0	\$161,513	\$161,513	\$11,471
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$40,000	\$13,163	\$13,163	\$10,983
18	1499 Development Activities	\$0	\$0	\$0	\$0
19	1501 Collateralization or Debt Service	\$0	\$0	\$0	\$0
20	1502 Contingency	\$0	\$0	\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 –	\$4,125,251	\$4,125,251	\$4,125,251	\$2,371,316

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
--	--	------------------------------

Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )

**X** Performance and Evaluation Report for Period Ending: 9/30/02  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-7/Orr Weathers Low rise	Comprehensive renovation of buildings and units in Phase V	1460	28	\$3,000,000	\$871,126	\$871,126.12	\$755,658	In progress
IL1-7/Orr-Weathers low rise	Site Improvements	1450		\$360,000	\$360,000	\$360,000	\$29,092	In progress
IL1-7/Orr-Weathers low rise	Relocation Costs	1495	28	\$40,000	\$13,163	\$13,163	\$10,983	Completed
IL1-7/Orr-Weathers Hi Rise	Sidewalk Repairs	1450		\$0	\$4,917	\$4,917	\$4,916	Completed
IL1-7/Orr-Weathers Hi Rise	Roof Replacement D-1 & E-2	1470		\$0	\$328,350	\$328,350	\$0	In progress
IL1-7/Orr-Weathers Hi Rise	Lighting and Tile D-1	1470		\$0	\$11,779	\$11,779	\$11,779	Completed
IL1-7/Orr-Weathers Hi Rise	R & R Asbestos Floor Tile E-2	1470		\$0	\$75,800	\$75,800	\$0	In progress
IL1-8/Lansdowne	Elevator Rehab	1470		\$0	\$671,197	\$671,197	\$269,490	In progress
IL1-8/Lansdowne	Trash Compactors	1470		\$0	\$41,945	\$41,945	\$41,945	Completed
IL1-8/Lansdowne	Roof Replacement Brenton, Ruggeri	1470		\$0	\$99,590	\$99,590	\$53,939	Completed

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-8/Lansdowne	Sidewalk Repairs	1450		\$0	\$50,894	\$50,894	\$50,894	Completed
IL121/N.E.Owens	Porch Stoop and Step Replacement	1450		\$0	\$4,235	\$4,235	\$4,235	Completed
IL1-47/Scat. Sites	Rehab 5 single family Units	1460		\$0	\$395	\$395	\$300	Work item eliminated
Central Office	Roof Replacement	1470		\$0	\$28,000	\$28,000	\$0	In progress

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Central Office	Carpet Replacement/Painting	1475		\$0	\$3,302	\$3,302	\$3,302	Work Item transferred to CFP 50101
Central Office	Parking Lot Repair/Resurface	1450		\$0	\$3,000	\$3,000	\$0	Not Started
IL1-1/S. Gompers	Roof Replacement-Admin. Bldg.	1470		\$0	\$25,000	\$25,000	\$0	In Progress
Agency Wide	New Telephone System	1475		\$180,000	\$157,347	\$157,347	\$0	In progress
Agency Wide	Computer System Upgrade	1475		\$10,000	\$0	\$0	\$0	Work Item transferred to CFP 50101
Agency Wide	Office Furniture	1475		\$25,000	\$4,166	\$4,166	\$8,169	Completed
Agency Wide	Operations	1406		\$0	412,525	\$412,525	\$412,525	Completed
Agency-Wide	Provide Security	1408		\$0	\$250,000	\$250,000	\$102,215	Completed
Agency Wide	Provide Staff Training	1408		\$35,000	\$35,000	\$35,000	\$31,900	Completed
Agency-Wide	Provide Resident Services Salary	1408		\$35,000	\$35,000	\$35,000	\$14,483	Completed
Agency-Wide	Provide Resident Training	1408		\$0	\$5,394	\$5,394	\$3,941	Completed
Agency-Wide	Provide Resident Programs	1408		\$0	\$4,569	\$4,569	\$4,948	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Agency-Wide	Computer Hardware/Software Upgrade	1408		\$100,000	\$16,025	\$16,025	\$10,189	Work Item transferred to CFP 50101
Agency-Wide	Provide trucks for MOD/DEV Staff	1408		\$12,000	\$37,146	\$37,146	\$36,366	Completed
	Administrative Staff Salaries	1410		\$353,751	\$353,751	\$353,751	\$345,195	Completed
	Advertisement Costs/Sundry	1410		\$3,000	\$16,032	\$16,032	\$11,618	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Total Grant Amount			\$4,125,25 1	\$4,125,25 1	\$4,125,25 1	\$2,371,31 6	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: IL06P001501-00 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL1-7/Orr-Weathers	9/30/02		9/30/02	9/30/04			No changes to target dates
IL1-7/Orr-Weathers Hi Rise	9/30/02		9/30/02	9/30/04			
IL1-8/Lansdowne	9/30/02		9/30/02	9/30/04			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: IL06P001501-00 Replacement Housing Factor No:					Federal FY of Grant: 2000
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL121/N.E.Owens	9/30/02		9/30/02	9/30/04			
IL1-47/Scat. Sites	9/30/02		9/30/02	9/30/04			
Central Office	9/30/02		9/30/02	9/30/04			
Agency-Wide	9/30/02		9/30/02	9/30/04			

**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT M**  
**COMPREHESIVE GRANT IL 06-R001-501-00**

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06-R001-501-00	Federal FY of Grant: 2000
---	--	------------------------------

Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: )

Performance and Evaluation Report for Period Ending: 9/30/02
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$0		\$0	\$0
11	1465.1 Dwelling Equipment— Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$371,732		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06-R001-501-00	Federal FY of Grant: 2000
---	--	------------------------------

Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )  
  Performance and Evaluation Report for Period Ending: 9/30/02  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$371,732		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				







**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT N**  
**COMPREHESIVE GRANT IL 06-P001-501-01**

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-01 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2001</b>
---	--	-------------------------------------

Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )

Performance and Evaluation Report for Period Ending: 9/30/02  
  Final Performance and Evaluation Report

Lin e No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$240,000	\$340,000	\$73,646	\$45,067
4	1410 Administration	\$360,000	\$360,000	\$24,694	\$19,785
5	1411 Audit	\$1,500	\$1,500	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$130,000	\$211,000	\$99,557	\$4,547
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$437,438	\$437,438	\$0	\$0
10	1460 Dwelling Structures	\$3,000,000	\$2,853,000	\$0	\$0
11	1465.1 Dwelling Equipment— Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$40,000	\$6,000	\$6,000	\$1,314
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-01 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2001</b>
---	--	-------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
**X Performance and Evaluation Report for Period Ending: 9/30/02**  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,208,938	\$4,208,938	\$203,897	\$70,713
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$150,000	\$250,000	\$41,925	\$19,912
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-01 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-7/Orr-Weathers low rise	Comprehensive renovation of buildings and units in Phase VI	1460	28	\$3,000,000	\$475,815	\$0	\$0	Not Started
IL1-7/Orr-Weathers low rise	Site Improvements	1450		\$437,438	\$437,438	\$0	\$0	Not Started
IL1-7/Orr-Weathers low rise	Relocation Costs	1495	28	\$40,000	\$6,000	\$6,000	\$1,314	In progress
Agency Wide	Provide Security	1408		\$150,000	\$250,000	\$41,925	\$19,912	In progress
Agency Wide	Provide Staff Training	1408		\$35,000	\$35,000	\$25,000	\$20,247	In progress
Agency-Wide	Provide Resident Services Salary	1408		\$35,000	\$35,000	\$5,361	\$3,548	In progress
Agency-Wide	Provide Resident Training	1408		\$5,000	\$5,000	\$720	\$720	In progress
Agency-Wide	Provide Resident Services Programs	1408		\$5,000	\$5,000	\$0	\$0	Not Started
Agency-Wide	Computer Hardware/Software Upgrade	1408		\$10,000	\$10,000	\$640	\$640	In planning
	Administrative Staff Salaries	1410		\$350,000	\$350,000	\$14,694	\$9,096	In progress

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-01 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Advertisement Costs/Sundry	1410		\$10,000	\$10,000	\$10,000	\$10,689	In progress
	Audit Fees	1411		\$1,500	\$1,500	\$0	\$0	Not Started
	A & E Fees	1430		\$50,000	\$131,000	\$95,140	\$130	In progress
	Construction Inspectors Salaries	1430		\$80,000	\$80,000	\$4,417	\$4,417	In progress
	Total Grant Amount			\$4,208,938	\$4,208,938	\$203,897	\$70,713	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**



**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT O**  
**COMPREHESIVE GRANT IL 06-R001-501-01**

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06-R001-501-01</b>	Federal FY of Grant: <b>2001</b>
---	---	-------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )

Performance and Evaluation Report for Period Ending: 9/30/02  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$0		\$0	\$0
11	1465.1 Dwelling Equipment— Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$386,884		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06-R001-501-01</b>	Federal FY of Grant: <b>2001</b>
---	---	-------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
**X Performance and Evaluation Report for Period Ending: 9/30/02**  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$386,884		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				



Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06-R001-501-01				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
	Total Grant Amount			\$386,884		\$0	\$0		

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part III: Implementation Schedule									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06-R001-501-01				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates		
	Original	Revised	Actual	Original	Revised	Actual			
Replacement Units	TBD			TBD			No target dates established as yet. In accordance with PIH 2001-4, obligation deadlines are established upon Approval of a development proposal.		



**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT P**  
**COMPREHESIVE GRANT IL 06-P001-501-02**

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-02 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2002</b>
---	--	-------------------------------------

Original Annual Statement
  Reserve for Disasters/ Emergencies
  Revised Annual Statement (revision no: )
   
 Performance and Evaluation Report for Period Ending: 9/30/02
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0		\$0	\$0
3	1408 Management Improvements	\$290,000		\$0	\$0
4	1410 Administration	\$310,000		\$0	\$0
5	1411 Audit	\$1,500		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$530,000		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$1,583,000		\$0	\$0
10	1460 Dwelling Structures	\$1,200,000		\$0	\$0
11	1465.1 Dwelling Equipment— Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$120,405		\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,034,905		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-02 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2002</b>
---	--	-------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
**X Performance and Evaluation Report for Period Ending: 9/30/02**  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$200,000		\$0	\$0
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-7, Orr-Weathers LR	Major renovation of buildings and units in Phase VI	1460	7 bldgs.	\$1,200,000		\$0	\$0	
IL1-7, Orr-Weathers HR	Engineering assessment of plumbing, electrical & HVAC systems	1430	2 High Rise buildings	\$200,000		\$0	\$0	
IL1-8, Lansdowne Towers	Engineering assessment of plumbing, electrical & HVAC systems	1430	3 High Rise buildings	\$200,000		\$0	\$0	
IL1-2, John Robinson	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$268,000		\$0	\$0	
IL1-3, John Deshields	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000		\$0	\$0	
IL1-4, -26, Roosevelt Homes	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000		\$0	\$0	
IL1-5, Villa Griffin	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000		\$0	\$0	
IL1-7, Orr-Weathers	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000		\$0	\$0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-8, Lansdowne Towers	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000		\$0	\$0	
IL1-21, -44, Norman E. Owens	Repair/seal asphalt parking lot	1450		\$25,000		\$0	\$0	
	Contingency	1500		\$120,405		\$0	\$0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Agency Wide	Provide Security	1408		\$200,000		\$0	\$0	
Agency Wide	Provide Staff Training	1408		\$35,000		\$0	\$0	
Agency Wide	Provide Resident Training	1408		\$5,000		\$0	\$0	
Agency Wide	Provide Res. Services Staff Salaries	1408		\$35,000		\$0	\$0	
Agency Wide	Provide Res. Services Programs	1408		\$5,000		\$0	\$0	
Agency Wide	Provide Computer Software Upgrade	1408		\$10,000		\$0	\$0	
	Administrative Staff Salaries	1410		\$300,000		\$0	\$0	
	Sundry Costs	1410		\$10,000		\$0	\$0	
	Audit Fees	1415		\$1,500		\$0	\$0	
	Salaries for Construction Inspectors	1430		\$80,000		\$0	\$0	
	A & E Fees	1430		\$50,000		\$0	\$0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: IL06-P001-501-02 Replacement Housing Factor No:					Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
IL 1-7 Low Rise Orr-Weathers	9/30/03			9/30/05				
IL 1-7 High Rise Orr-Weathers	9/30/03			9/30/05				
IL 1-8 Lansdowne Towers	9/30/03			9/30/05				
IL 1-21 & IL 1-44 Norman E. Owens	9/30/03			9/30/05				
IL1-2, John Robinson	9/30/03			9/30/05				
IL1-3, John Deshields	9/30/03			9/30/05				
IL1-4, -26, Roosevelt Homes	9/30/03			9/30/05				
IL1-5, Villa Griffin	9/30/03			9/30/05				

**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT Q**  
**COMPREHESIVE GRANT IL 06-R001-501-02**



## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06-R001-501-02</b>	Federal FY of Grant: <b>2002</b>
---	---	-------------------------------------

Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no:    )

**X** Performance and Evaluation Report for Period Ending: 9/30/02  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$0		\$0	\$0
11	1465.1 Dwelling Equipment— Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$373,321		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06-R001-501-02</b>	Federal FY of Grant: <b>2002</b>
---	---	-------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
**X Performance and Evaluation Report for Period Ending: 9/30/02**  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$373,321		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				



Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06-R001-501-02				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Funds Obligated	Funds Expended		
	Total Grant Amount			\$373,321		\$0	\$0		

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part III: Implementation Schedule									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06-R001-501-02				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates		
	Original	Revised	Actual	Original	Revised	Actual			
Replacement Units	TBD			TBD			No target dates established as yet. In accordance with PIH 2001-4, obligation deadlines are established upon Approval of a development proposal.		



**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT R**  
**Capital Fund Program IL-06P001-501-03**

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-03 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2003</b>
---	--	-------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$ 420,000.00			
3	1408 Management Improvements	\$ 445,000.00			
4	1410 Administration	\$ 331,500.00			
5	1411 Audit	\$ 1,500.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 430,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$445,000.00			
10	1460 Dwelling Structures	\$1,279,000.00			
11	1465.1 Dwelling Equipment— Nonexpendable				
12	1470 Nondwelling Structures	\$ 740,000.00			
13	1475 Nondwelling Equipment				
14	1485 Demolition	\$ 532,000.00			
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$ 5,000.00			
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	\$100,000.00			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$ 3,101,900.00			
22	Amount of line 21 Related to LBP Activities				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-03 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2003</b>
---	--	-------------------------------------

XOriginal Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$ 350,000.00			
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2003</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost  Original Revised	Total Actual Cost  Funds Funds Obligated Expended	Status of Work	
<b>HA-Wide</b>	Management Improvements:						
	Security Salaries & Benefits	1408		\$ 350,000.00			
	Mod. Staff Training	1408		\$ 35,000.00			
	Resident Services Salaries	1408		\$ 35,000.00			
	Resident Services Programs	1408		\$20,000.00			
	Resident Training Programs	1408		\$5,000.00			
	<b>TOTAL</b>			\$445,000.00			
	Administration:						
	Administrative Staff Salaries	1410		\$ 320,000.00			
	Sundry	1410		\$ 10,000.00			
	Audit Fees	1411		\$ 1,500.00			
	<b>TOTAL</b>			\$ 331,500.00			
	A & E Fees / Inspection Costs:						
	Construction Inspection Salaries	1430		\$ 80,000.00			

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: <b>2003</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Funds Obligated Expended		
	A & E Fees	1430		\$350,000.00				
	TOTAL			\$430,000.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East St. Louis, IL.		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	MAJOR STRUCTURE							
IL-13 Audubon	Demolish building at Belmont	1485	6 units	\$30,000.00				
IL-25 Conv	Demolish building at 1805 Wilford	1485	1 unit	\$5,000.00				
IL-25 Conv	Demolish building at 1812 Russell	1485	1 unit	\$5,000.00				
IL-25 Conv	Demolish building at 1721 Lawrence	1485	1 unit	\$5,000.00				
IL-47 Conv Units	Demolish building at 1362 N 35 <sup>th</sup> St	1485	1 unit	\$5,000.00				
IL-46 Conv Units	Demolish building at 519 N 13 <sup>th</sup> St	1485	1 unit	\$5,000.00				
IL-11 Scat Site	Demolish building at 1802 Lake	1485	4 units/1 Bldg.	\$30,000.00				
IL-11 Scat Sites	Demolish building at 1720 Lake	1485	4 units/1 Bldg.	\$30,000.00				
IL-50 Conv Units	Demolish building at 2429 Market	1485	1 unit	\$5,000.00				
IL-16 Turnkey III	Demolish building at 3232 Forest Place	1485	1 unit	\$5,000.00				
IL-16 Turnkey III	Demolish building at 730 N 55 <sup>th</sup> street	1485	1 unit	\$5,000.00				
IL-16 Turnkey III	Demo foundation at 1209 N 18 <sup>th</sup> street	1485	1 unit	\$2,000.00				
IL-18 Turnkey III	Demolish building at 3811 Audubon	1485	1 unit	\$5,000.00				
IL-18 Turnkey III	Demolish building at 1276 N 35 <sup>th</sup> street	1485	1 unit	\$5,000.00				
IL-20 Turnkey III	Demolish building at 3216 Geitz Ave	1485	1 unit	\$5,000.00				
IL-20 Turnkey III	Demolish building at 503 N 36 <sup>th</sup> street	1485	1 unit	\$5,000.00				
IL-20 Turnkey III	Demolish building at 2900 Renshaw	1485	1 unit	\$5,000.00				
IL-20 Turnkey III	Demolish bldg at 4304 North Park Dr	1485	1 unit	\$5,000.00				
IL-20 Turnkey III	Demolish bldg at 4312 North Park Dr	1485	1 unit	\$5,000.00				

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name: Housing Authority of the City of East St. Louis, IL.		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL-20 Turnkey III	Demolish building at 779 N 54 <sup>th</sup> street	1485	1 unit	\$5,000.00				
IL-22 Turnkey III	Demolish building at 638 N 56 <sup>th</sup> street	1485	1 unit	\$5,000.00				
IL-07 Orr Weathers	Phase 6 – Demo & abatement	1485	1 L.S.	\$350,000.00				
	Relocation			\$5,000.00				
				0				
	MAINTENANCE WORKLOAD REDUCTION			0				
Central office	Parking lot repair/replace	1450	1 L.S.	\$50,000.00				
North Park Towers	Site engineering	1450	1 L.S.	\$100,000.00				
IL-03 John DeShields	Add roof overhangs	1470	1 L.S.	\$400,000.00				
IL-04 Roosevelt	Sidewalk repair/ replace	1450	1 L.S.	\$50,000.00				
IL-05 Villa Griffin	Sidewalk repair/ replace	1450	1 L.S.	\$50,000.00				
IL-05 Villa Griffin	Admin. Bldg. reroof	1470	1 L.S.	\$11,000.00				
IL-05 Villa Griffin	Tree trimming	1450	1 L.S.	\$20,000.00				
IL-03 John DeShields	Tree trimming	1450	1 L.S.	\$20,000.00				
IL-04 Roosevelt	Tree trimming	1450	1 L.S.	\$20,000.00				
IL-01 Gompers	Tree trimming	1450	1 L.S.	\$20,000.00				
IL-07 Orr Weathers D-1	Plumbing/Electrical improvements	1460	114 Units	\$450,000.00				
IL-08 Lansdown Towers, Rukavina	Plumbing/Electrical Improvements	1460	93 Units	\$450,000.00				
IL-08, IL-09 Lansdown Towers	Tree trimming	1450	1 L.S.	\$20,000.00				
				0				
	DEVELOPMENT ENHANCEMENT			0				

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name: Housing Authority of the City of East St. Louis, IL.		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2003		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL-27 & 28 Phoenix Ct 73 Units	Perimeter landscaping, storage sheds, development signage	1450	1 L.S.	\$95,000.00				
IL-01 Gompers 240 Units	Admin Bldg; code, ADA, finishes, kitchen.	1470	1 L.S.	\$129,000.00				
IL-07 Orr Weathers E-2	8 <sup>th</sup> floor community space	1470	1 L.S.	\$200,000.00				
IL-07 Orr Weathers	E-2 Individual thermostat control	1460	108 units	\$162,000.00				
IL-07 Orr Weathers	E-2 corridor lighting & painting	1460	1 L.S.	\$20,000.00				
IL-07 Orr Weathers	D-1 corridor painting	1460	1 L.S.	\$26,000.00				
IL-07 Orr Weathers	D-1 Individual thermostat control	1460	114 units	\$171,000.00				
				0				
				0				
				0				
<b>TOTAL</b>				<b>\$2,996,000.00</b>				
				<b>0</b>				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:		Grant Type and Number Capital Fund Program No: IL06P001501-03 Replacement Housing Factor No:					Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
IL-01	4/1/05			4/1/07				
IL-02	4/1/05			4/1/07				
IL-03	4/1/05			4/1/07				
IL-04	4/1/05			4/1/07				
IL-05	4/1/05			4/1/07				
IL-07	4/1/05			4/1/07				
IL-08	4/1/05			4/1/07				
IL-09	4/1/05			4/1/07				
IL-11	4/1/05			4/1/07				
IL-13	4/1/05			4/1/07				
IL-21	4/1/05			4/1/07				
IL-25	4/1/05			4/1/07				
IL-26	4/1/05			4/1/07				
IL-27	4/1/05			4/1/07				
IL-28	4/1/05			4/1/07				
IL-38	4/1/05			4/1/07				
IL-39	4/1/05			4/1/07				
IL-40	4/1/05			4/1/07				
IL-41	4/1/05			4/1/07				
IL-45	4/1/05			4/1/07				
IL-46	4/1/05			4/1/07				
IL-47	4/1/05			4/1/07				
IL-48	4/1/05			4/1/07				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name:		Grant Type and Number Capital Fund Program No: IL06P001501-03 Replacement Housing Factor No:					Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
IL-50	4/1/05			4/1/07				
IL-51	4/1/05			4/1/07				
IL-	4/1/05			4/1/07				

**FY 2003 ANNUAL PLAN**

---

**ATTACHMENT S**  
**Capital Fund Program Five- Year Action Plan**

**Capital Fund Program Five-Year Action Plan**  
**Part I: Summary**

PHA Name		<input type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>			
Development Number/Name/H A-Wide	Year 1	Work Statement for Year 2 FFY Grant: <b>2004</b> PHA FY:	Work Statement for Year 3 FFY Grant: <b>2005</b> PHA FY:	Work Statement for Year 4 FFY Grant: <b>2006</b> PHA FY:	Work Statement for Year 5 FFY Grant: <b>2007</b> PHA FY:
	Annual Statement				
IL1-07 Orr-Weathers D-1		\$596,816.00			
IL1-07 Orr-Weathers E-2		\$569,812.00		\$450,000.00	
IL1-09 Starnes		\$588,496.00		\$500,000.00	
IL1-01 Gompers		\$385,000.00		0	0
IL1-07 Orr-Weathers		0		0	\$839,200.00
IL1-09 Brenton		\$142,500.00	\$511,455.00	\$50,000.00	\$450,000.00
IL1-09 Rukavina		\$139,500.00	\$502,477.00	\$50,000.00	0
IL1-09 Ruggeri		\$139,500.00	\$502,477.00	\$500,000.00	0
IL1-02 Robinson		\$80,000.00	\$100,000.00	0	0
IL1-03 John DeSheilds		0	0	\$150,000.00	0
Urban League		\$25,000.00	0	0	0
Helen Davis		\$25,000.00	0	0	0
Maintenance		\$25,000.00	0	0	0
IL-27/28 Phoenix Ct		0	0	0	0
IL-41 59 units		\$197,000.00	0	0	0
IL-21/14 Norman E Owens		0	\$1,000,000.00	0	0

IL-13 AudubonTer		0	0	\$1,558,800.00	0
Sewer cleaning		\$100,000.00	0	0	0
IL-04/26 Roosevelt		0	0	\$290,000.00	0
IL-38 Scattered Sites		0	0	\$50,000.00	0
IL-39 Scattered Sites		0	0	\$100,000.00	0
IL-50 Conv Units		0	0	0	\$1,169,100.00
IL-45 Conv Units		0	0	0	\$311,760.00
IL-46 Conv Units		0	0	0	\$233,820.00
IL-11 Scattered Site		0	0	0	\$2,805,840.00
IL-51 Conv Units		0	0	\$623,000.00	0
IL-07 PHASE 2 (E-2)		\$200,000.00	0		
IL-07 PHASE 3 (E-2)		0	\$200,000.00		
Contingency		\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00
<b>CFP Funds Listed for 5-year planning</b>		<b>\$3,313,624.00</b>	<b>\$2,916,409.00</b>	<b>\$4,421,800.00</b>	<b>\$5,909,720.00</b>
Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : 2- 2004 FFY Grant: PHA FY:			Activities for Year: 3-2005 FFY Grant: PHA FY:		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See		CODE/SAFETY/SECURITY			CODE/SAFETY/SECURITY	0
Annual	IL-07 Orr W. D-1 114 Units	Repair fire alarm sys – all floors all units	\$5,000.00	IL-09 Brenton 95 Units	Repair fire alarm sys all floors all units	\$5,000.00
Statement		Upgrade security sys – lobby/stairs CCTV & card reader	\$200,526.00		Upgrade security sys – lobby/stairs CCTV & card reader	\$167,105.00
		Provide fire suppression – units, corridors, lobby, common areas	\$311,290.00		Provide fire suppression – units, corridors, lobby, common areas	\$259,350.00
		Replace generator	\$80,000.00		Replace generator	\$80,000.00
			0			0
	IL-07 Orr W. E-2 108 Units	Repair fire alarm sys all floors all units	\$5,000.00	IL-09 Ruckavina 93 Units	Repair fire alarm sys all floors all units	\$5,000.00
		Upgrade security sys lobby/stairs CCTV & card reader	\$189,972.00		Upgrade security sys - lobby/stairs CCTV & card reader	\$163,587.00
		Provide fire suppression – units, corridors, lobby, common areas	\$294,840.00		Provide fire suppression – units, corridors, lobby, common areas	\$253,890.00
		Replace generator	\$80,000.00		Replace generator	\$80,000.00
			0			0
	IL-09 Starnes 84 Units	Repair fire alarm sys all floors all units	\$5,000.00	IL-09 Ruggeri 93 Units	Repair fire alarm sys all floors all units	\$5,000.00

		Upgrade security sys lobby/stairs CCTV & card reader	\$147,756.00		Upgrade security sys lobby/stairs CCTV & card reader	\$163,587.00
		Provide fire suppression – units, corridors, lobby, common areas	\$229,740.00		Provide fire suppression – units, corridors, lobby, common areas	\$253,890.00
		Replace generator	\$80,000.00		Replace generator	\$80,000.00
			0			0
		Individual thermostat control	\$126,000.00			0
		MAINTENANCE WORKLOAD REDUCTION	0		MAINTENANCE WORKLOAD REDUCTION	0
	IL-01 Gompers	Sidewalk repair/replace	\$100,000.00	IL-02 John Robinson	Sidewalk repair/replace	\$100,000.00
	IL-01 Gompers	Dumpster pad & enclosure	\$75,000.00			0
	IL-01 Gompers 240 units	Roof, downspouts/fascias	\$185,000.000		MAJOR STRUCTURE	0
	Urban League	Repair/seal/stripe parking areas	\$25,000.00	IL-21/14 Norman E Owens	Demolish 148 units	\$1,000,000.00
	Helen Davis Center	Repair/seal/stripe parking areas	\$25,000.00			0
	Maintenance/PDC	Repair ponding / parking areas	\$25,000.00			0
		Sewer cleaning	\$100,000.00			0
			0			0
		DEVELOPMENT ENHANCEMENT	0		DEVELOPMENT ENHANCEMENT	0
	IL-041 59 Units at Forest Blvd	Landscaping, ornamental iron fencing, storage sheds, development signage	\$197,000.00	IL-07 PHASE 3 (E-2)	Community space 8 <sup>th</sup> fl	\$200,000.00
	IL-02 John Robinson	Play area, fence, l'scape, site lighting	\$80,000.00			0
	IL-08 Rukavina 93 units	Individual t'stat control	\$139,500.00			0
	IL-08 Ruggeri 93 units	Individual t'stat control	\$139,500.00			0

	IL-08 Brenton 95 units	Individual t'stat control	\$142,500.00			0
	IL-07 PHASE 2 (E-2)	Community space 8 <sup>th</sup> flr	\$200,000.00			0
	IL-08 RUGGERI	Repair,seal & stripe parking areas	\$25,000.00			0
			0			0
			0			0
			0	Hope VI Grant	Norman E Owens Revitalization Area	0
			0			0
	Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>			<b>\$3,313,624.000</b>			<b>\$2,916,409.00</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year: <b>4-2006</b> FFY Grant: PHA FY:			Activities for Year: <b>5-2007</b> FFY Grant: PHA FY:		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
	DEVELOPMENT ENHANCEMENT			DEVELOPMENT ENHANCEMENT	0
IL-13 AudubonTerrace 20 Units Winstanley/N 13th	Int/ext renovation & Site. Replace fixtures, finishes, doors, HVAC, plumbing, electric	\$1,558,800.00	IL-07 Orr W LR 72 Units	Int/ext renovation & Site – minor repairs, sheds	\$619,200.00
Il-51 Conv Units 8 Units N. 18 <sup>th</sup> /N. 39 <sup>th</sup> /N. 55 <sup>th</sup> / N. Park/Ohio	Int/ext renovation & Site - Replace fixtures, finishes, door HVAC, plumbing, electric	\$623,000.00	IL-07 Orr W LR 72 Units	Dumpster pad & enclos	\$40,000.00
		0	IL-07 Orr W LR 72 Units	Play equip & l'scape	\$80,000.00
IL-03 John DeShields	Play equipment	\$50,000.00	IL-45 Conv Units 4 Units Gay/Central/Russell	Int/ext renovation & Site - Replace fixtures, finishes, door HVAC, plumbing, electric	\$311,760.00
IL-04 Roosevelt	Downspt/gutter & l'scape	\$200,000.00	IL-50 Conv Units 15 Units Gay/Central/Summi t/ Piggot	Int/ext renovation & Site Replace fixtures, finishes, doors, HVAC, plumbing, electric	\$1,169,100.00
IL-04 Roosevelt	Dumpster pad & enclos	\$40,000.00	IL-46 Conv Units 3 Units N. 13 <sup>th</sup> /College/Pennsy l.	Int/ext renovation & Site Replace fixtures, finishes, doors, HVAC, plumbing, electric	\$233,820.00
IL-08 Lansdowne 3 bldgs	L'scape, lobby blinds-film & lobby seating	\$150,000.00			0

IL-09 Lansdowne 1 bldg	L'scape, lobby blinds-film & lobby seating	\$50,000.00			0
IL-26 Roosevelt 95 Units	L'scape, dumpster pad & enclosure	\$50,000.00			0
IL-38 scattered sites	Sheds & fencing	\$50,000.00			0
IL-39 scattered sites	Sheds & fencing	\$100,000.00			0
		0			0
		0			0
		0			0
	MAINTENANCE WORKLOAD REDUCTION	0		MAINTENANCE WORKLOAD REDUCTION	0
IL-07 Orr Weathers E-2	Plumbing/Electrical Improvements	\$450,000.00	IL-08 Lansdown Towers, Brenton	Plumbing/Electrical Improvements	\$450,000.00
IL-08 Lansdown Towers, Starnes	Plumbing/Electrical Improvements	\$450,000.00			0
IL-08 Lansdown Towers, Ruggeri	Plumbing/Electrical Improvements	\$450,000.00			0
		0			0
IL-03 John DeShields	Sidewalk repair/replace	\$100,000.00	IL-07 Orr W LR	Sidewalk repair/replace	\$100,000.00
		0			0
		0		GRANT / OTHER FUNDING	0
		0	IL-11 Scattered Site 36 Units Gross/Lake/Summit	Int/ext renovation & Site – Replace fixtures, finishes, doors, HVAC, plumbing, electric	\$2,805,840.00
Hope VI Grant		0			0
		0			0
Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>		<b>\$4,421,800.00</b>			<b>\$5,909,720.00</b>



<b>Total CFP Estimated Cost</b>	<b>\$5,352,223.00</b>			<b>\$4,826,114.00</b>
---------------------------------	-----------------------	--	--	-----------------------



Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>		<b>\$11,683,360.00</b>			<b>\$7,352,223.00</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year : <b>10-2012</b> FFY Grant: PHA FY:			Activities for Year: <b>11-2013</b> FFY Grant: PHA FY:		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
	DEVELOPMENT ENHANCEMENT	0		DEVELOPMENT ENHANCEMENT	0
IL-04 Roosevelt 48 Units	Int/ext ren & site – minor repairs sheds, landscaping	\$2,191,120.00	IL-47 Conv Units 7 Units N. 18 <sup>th</sup> /N. 31 <sup>st</sup> /N. 45 <sup>th</sup> / N. Park	Int/ext renovation & Site - Replace fixtures, finishes, door HVAC, plumbing, electric	\$545,580.00
		0	IL-48 Conv Units 7 Units N. 31 <sup>st</sup> /N. 37 <sup>th</sup> /N. 27 <sup>th</sup> / N. 52/N. 54 <sup>th</sup>	Int/ext renovation & Site - Replace fixtures, finishes, door HVAC, plumbing, electric	\$545,580.00
		0	IL-026 Roosevelt 95 Units	Int/ext renovation & Site Minor repairs, sheds, landscaping	\$817,000.00
	MAINTENANCE WORKLOAD REDUCTION	0		MAINTENANCE WORKLOAD REDUCTION	0
IL-08 Brenton 95 units	Major Systems Upgrade – Plumbing, electric	\$1,500,000.00	IL-07 D-1 114 Units	Major Systems Upgrade – Plumbing, electric	\$1,500,000.00
		0			0
	GRANT / OTHER FUNDING	0		GRANT / OTHER FUNDING	0
IL-08 Brenton 95 units	Major Systems Upgrade – Plumbing, electric	\$3,869,045.00	IL-07 D-1 114 Units	Major Systems Upgrade – Plumbing, electric	\$5,149,784.00
		0			0
		0			0
Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>		<b>\$7,660,165.00</b>			<b>\$8,657,944.00</b>

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year : 12-2014 FFY Grant: PHA FY:			Activities for Year: 13-2015 FFY Grant: PHA FY:		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	MAINTENANCE WORKLOAD REDUCTION	0		DEVELOPMENT ENHANCEMENT	0
IL-07 E-2 108 Units	Major Systems Upgrade – Plumbing, electric	\$1,500,000.00	IL-38 Scattered Site 20 Units N. 36 <sup>th</sup> /Gross/Natalie	Int/ext renovation & Site – Minor repairs, landscaping	\$172,000.00
		0	IL-39 Scattered Site 39 Units N. 13 <sup>th</sup> /Gross/Natalie/ Renshaw	Int/ext renovation & Site - Minor repairs, landscaping	\$335,400.00
		0	IL-40 Scattered Site 7 Units Central Ave.	Int/ext renovation & Site - Minor repairs, sheds, landscaping	\$60,200.00
		0	IL-27& 28 Phoenix 73 Units	Repairs/Replacement – Minor repairs.	\$365,000.00
		0	IL-41 & 42 59 units Sites, 43 <sup>rd</sup> /44 <sup>th</sup> /Forest	Repairs/Replacement Minor repairs.	\$295,000.00
		0			0
		0			0
	GRANT / OTHER FUNDING	0			0
IL-07 E-2 108 Units	Major Systems Upgrade – Plumbing, electric	\$4,790,388.00			0
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
Contingency		\$100,000.00	Contingency		\$100,000.00

Total CFP Estimated Cost	<b>\$6,390,388.00</b>			<b>\$1,327,600.00</b>
--------------------------	-----------------------	--	--	-----------------------

Activities for Year : <b>14-2016</b> FFY Grant: PHA FY:			Activities for Year: <b>15-2017</b> FFY Grant: PHA FY:		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
	DEVELOPMENT ENHANCEMENT			DEVELOPMENT ENHANCEMENT	
IL-25 Con Scat Site 35 Units Sites Gay/Lawrence Russell/Wilford/Central	Int/ext renovation & Site– Replace fixtures, finishes, doors, HVAC, plumbing, electric	\$2,727,900.00	IL-03 John DeShields 294 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$1,500,000.00
		0			0
		0			0
		0		GRANT / OTHER FUNDING	0
		0	IL-03 John DeShields 294 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$9,500,000.00
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>		<b>\$2,827,900.00</b>			<b>\$11,100,000.00</b>

Activities for Year : <b>16-2018</b> FFY Grant: PHA FY:			Activities for Year: <b>17-2019</b> FFY Grant: PHA FY:		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
	GRANT / OTHER FUNDING	0		GRANT / OTHER FUNDING	0
IL-02 John Robinson 84 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$1,500,000.00	IL-05 Villa Griffin 100 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$6,294,000.00
	GRANT / OTHER FUNDING	0			0
IL-02 John Robinson 84 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$5,046,960.00		DEVELOPMENT ENHANCEMENT	0
		0	IL-05 Villa Griffin 100 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$1,500,000.00
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
		0			0
Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>		<b>\$6,646,960.00</b>			<b>\$7,894,000.00</b>

Activities for Year : <b>18-2020</b> FFY Grant: PHA FY:			Activities for Year: <b>19-2021</b> FFY Grant: PHA FY:		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
	GRANT / OTHER FUNDING				
IL-03 John DeShields 294 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$10,414,360.00			
		0			
	DEVELOPMENT ENHANCEMENT	0			
IL-03 John DeShields 294 Units	Int/ext ren/Site & repairs, sheds and landscaping	\$1,500,000.00			
		0			
		0			
		0			
		0			
		0			
		0			
		0			
		0			
		0			
Contingency		\$100,000.00	Contingency		\$100,000.00
<b>Total CFP Estimated Cost</b>		<b>\$12,014,360.00</b>			<b>\$100,000.00</b>



**ATTACHMENT T  
PHDEP ANNUAL PLAN**

# Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

**Annual PHDEP Plan Table of Contents:**

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

**Section 1: General Information/History**

**A. Amount of PHDEP Grant**           \$529,711          

**B. Eligibility type (Indicate with an “x”)**      N1\_\_\_\_\_ N2\_\_\_\_\_      R\_\_\_\_\_

**C. FFY in which funding is requested**           2001          

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority of the City of East St. Louis (ESLHA) plans to continue spending remaining PHDEP funds in support of the safety and well being of residents living in and around ESLHA developments. ESLHA has designed and implemented a comprehensive approach that is supported by many state and local organizations. ESLHA has implemented a Housing Authority Security Detail to work along with the Metropolitan Law Enforcement Group of Southwestern Illinois (MEGSI), East St. Louis Police Officers, Resident Patrols and New Neighborhood Watch Programs to combat covert and overt criminal activity and drug activity. In addition, PHDEP funds continue to fund six (6) After School Programs, and the Gompers Youth Leadership Program. The 2001 PHDEP plan also includes a new Boys and Girls club as part of our drug prevention component. Other program costs include PHDEP Program staff, which includes the Director of Resident Services, a Grants Manager, two Community Relations Specialist and a Resident Initiatives Coordinator.

**E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
All sites	2220	5069

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

**6 Months**\_\_\_\_\_      **12 Months**\_\_\_\_\_      **18 Months**\_\_\_\_\_      **24 Months**   **x**        **Other** \_\_\_\_\_

## G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995					
FY 1996	\$570,250	IL06DEP0010196	0	0	Closed
FY 1997	\$581,100	IL06DEP0010197	0	0	Closed
FY1998	\$572,260	IL06DEP0010198	0	0	Closed
FY 1999	\$451,098	IL06DEP0010099	0	0	Closed
FY 2000	\$512,813	IL06DEP0010100	0	0	Closed
FY 2001	\$529,711	IL06DEP0010101	\$246,471	0	8/31/03

## Section 2: PHDEP Plan Goals and Budget

### A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

ESLHA’s PHDEP plan is holistic in that it addresses the transient nature of criminal offenders in our developments and addresses the risk factors that make an individual more likely to abuse drugs or become involved in drug-related criminal activity. ESLHA uses a Housing Authority Security Detail, MEGSI, Resident Patrols and new Neighborhood Watch organizations to combat overt and covert drug trafficking and drug-related crime. Security Detail Officers, MEGSI and two ESLHA Community Relations Specialist will assist in training residents to report and observe crime, conduct safety workshops and maintain a visible presence at resident activities and on patrols. Our law enforcement objectives include reducing part I and part II crimes in our developments, improving enforcement of One Strike You’re Out and grievance procedures. Developing new Neighborhood Watch groups and improving ESLHA management staff’s ability to track, report on and evict criminals and lease violators in our developments. In addition, ESLHA proposes the continuations of our prevention programs; six (6) After School Programs, the Gompers Youth Leadership Program and new Boys and Girls Club for youth ages 13-19. Approximately 1200 youth are actively involved in these programs on a continuing basis. Our goal is to increase the participant’s grades, increase the number of high school seniors attending college, maintain a drug free status among participants, increase social skills and conflict resolution skills so that they will be prepared to combat the negative factors that lead to drug and criminal activity.

## B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

<b>FY 2001 PHDEP Budget Summary</b>	
<b>Budget Line Item</b>	<b>Total Funding</b>
9110 - Reimbursement of Law Enforcement	
9120 - Security Personnel	\$37,909
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	\$6,000
9150 - Physical Improvements	
9160 - Drug Prevention	\$200,000
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	\$285,802
<b>TOTAL PHDEP FUNDING</b>	<b>\$529,711</b>

### C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9110 - Reimbursement of Law Enforcement</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

<b>9120 - Security Personnel</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

<b>9130 - Employment of Investigators</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

<b>9140 - Voluntary Tenant Patrol</b>					<b>Total PHDEP Funding: \$ 6,000</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Training	375	All Residents	12/1/01	8/31/03	\$6,000	\$0	Monthly and semi-annual reports and annual surveys.

<b>9150 - Physical Improvements</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9160 - Drug Prevention</b>					<b>Total PHDEP Funding: \$200,000</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Boys and Girls Club	825	Children from the Family Developments	12/31/01	12/01/02	\$50,000	\$243,905	Performance indicators
2. After School Programs: Roosevelt Homes Program Orr Weather Program	640	Children from the Family Developments	12/1/02	11/30/03	\$40,000	\$60,500	Site, visits, monthly reports, statistics and surveys
3. After School Programs: John DeSheilds Homes, Villa Griffin Homes, John Robinson Homes, Norman E. Owens Homes	640	Children from the Family Developments	1/4/02	1/3/03	\$80,000	\$88,974	Site, visits, monthly reports, statistics and surveys
4. Gompers Youth Leadership Program	250	Children from the Family Developments	12/1/01	11/30/02	\$30,000	\$11,450	Site, visits, monthly reports, statistics and surveys

<b>9170 - Drug Intervention</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9180 - Drug Treatment</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9190 - Other Program Costs</b>					<b>Total PHDEP Funds: \$285,802</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Resident Initiatives Coordinator			8/30/01	8/29/03	\$153,891	0	Monthly goals reports PHAS indicators
2. Director of Resident Services			8/30/01	8/29/03	\$131,911	0	Monthly goals reports PHAS indicators

### **Section 3: Expenditure/Obligation Milestones**

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

<b>Budget Line Item #</b>	<b>25% Expenditure of Total Grant Funds By Activity #</b>	<b>Total PHDEP Funding Expended (sum of the activities)</b>	<b>50% Obligation of Total Grant Funds by Activity #</b>	<b>Total PHDEP Funding Obligated (sum of the activities)</b>
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110				
9120	Activity 1	\$ 38,324	Activity 1	\$37,909
9130				
9140		\$ 2,426		\$6,000
9150				
9160	Activity 1 & 4	\$134,489	Activity 1, 2, &3	\$200,000
9170				
9180				
9190	Activity 1, 2, 3 &4	\$108,001	Activity 1, 2, 3 &4	\$285,802
<b>TOTAL</b>		\$283,240		\$529,711

### **Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”