

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2003

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of the City of Fountain

PHA Number: CO071

PHA Fiscal Year Beginning: (mm/yyyy) 01/2003

PHA Plan Contact Information:

Name: Katherine Roby

Phone: 719-382-5639

TDD:

Email (if available): fountha@dl-net.com

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

Annual PHA Plan
Fiscal Year 2003
 [24 CFR Part 903.7]

ii. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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iii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

The PHA has prepared a revision to its Section 8 Administrative Plan to incorporate into one document all the revisions that have occurred during the last five years. In addition, the PHA has included a change to its occupancy standard in the revision to the Section 8 Administrative Plan that allows for separate bedrooms for same sex children when there is an age difference between the children of at least four years and one of the children has reached the age of thirteen. It is anticipated that the revised Section 8 Administrative Plan will be formally approved and adopted by the end of 2002.

The PHA has prepared a revision to its Public Housing Admissions and (Continued) Occupancy Policies to incorporate into one document all the revisions that have occurred during the last five years. It is anticipated that the revised Public Housing Admissions and (Continued) Occupancy Policies will be formally approved and adopted by the end of 2002.

There were no other changes to the policy and programs during the fiscal year 2002 and there are no further changes to programs and policies anticipated for the upcoming year fiscal year.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 50,000.00 estimated.

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment C

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment B

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

- A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner down payment requirement of at least 3 percent and requiring that at least 1 percent of the down payment comes from the family's resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____

C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

D. Yes No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are Attached at Attachment (File name) F

3. In what manner did the PHA address those comments? (select all that apply)

- The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 - Yes No: below or
 - Yes No: at the end of the RAB Comments in Attachment _____.

Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the end of the RAB Comments in Attachment F.

Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) State of Colorado

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan's.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)

To increase and preserve the supply of affordable, decent, safe, and sanitary rental units through moderate and substantial rehabilitation and new construction for extremely low, low and moderate income households by the development of 75 units of newly constructed rental units for extremely low, low and moderate income households and by using capital funds to preserve the Housing Authority's 40 low rent units for the extremely low income elderly and disabled households.

Other: (list below)

3. PHA Requests for support from the Consolidated Plan Agency

Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

The Housing Authority requested funding for the development of a 75 unit apartment complex from the State of Colorado Department of Local Affairs, Division of Housing, in April of 2002.

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The State of Colorado Department of Local Affairs awarded the PHA with funds in the amount of \$218,000.00 to purchase and develop 75 units of low-income housing.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

The PHA uses the following basic criteria to determine whether there is a significant deviation from its 5-Year Plan:

Does the activity further the mission of the PHA?

If not, there is a significant deviation from the 5-Year Plan.

If so, continue to the next question.

Does the activity help the PHA achieve a goal set forth in the 5-Year Plan?

If not, there is a significant deviation from the 5-Year Plan.

If so, there is no significant deviation from the 5-Year Plan.

B. Significant Amendment or Modification to the Annual Plan:

The PHA uses the following basic criteria to determine whether there is a significant amendment or modification to its Annual Plan: If the activity involves the need to adopt new policies and procedures that were not set forth in the Annual Plan; or if an activity requires the use of financial resources that were not set forth in the Annual Plan; there is a significant deviation from the PHA's Annual Plan and an amendment or modification is needed to the PHA's Annual Plan.

Attachment A
Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any required policies governing any Section 8 special housing types <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
	PHDEP -related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP -funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

ATTACHMENT B

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I:					
Summary					
PHA Name: Housing Authority of the Housing Authority of Fountain		Grant Type and Number Capital Fund Program Grant No: CO06P07150100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	1,552.00	1,552.00	1,552.00	1,552.00
3	1408 Management Improvements				
4	1410 Administration	1,000.00	864.00	864.00	864.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	8,000.00	1,254.00	1,254.00	1,254.00
10	1460 Dwelling Structures	13,400.00	32,556.00	32,586.00	32,586.00
11	1465.1 Dwelling Equipment— Nonexpendable	41,000.00	28,726.00	28,696.00	27,693.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I:
Summary**

PHA Name: Housing Authority of the Housing Authority of Fountain	Grant Type and Number Capital Fund Program Grant No: CO06P07150100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 3)
 Performance and Evaluation Report for Period Ending: 9/30/2002 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	64,952.00	64,952.00	64,952.00	63,949.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs	3,400.00	8,759.00	8,759.00	8,759.00
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Fountain			Grant Type and Number Capital Fund Program Grant No: CO06P07150100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CO06P071001	Replace Building Elevators	1465.1		40,000.00	28,666.00	28,666.00	27,663.00	Complete
	Install Locked Toilet Paper Rolls	1465.1		150.00	60.00	60.00	30.00	Complete
	Install Handrail on Stairway	1465.1		850.00	-0-	-0-	-0-	Move to FY 01 Funds
	Repair Sidewalks	1450		8,000.00	-0-	-0-	-0-	Move to FY 01 Funds
	Install New Door Annunciation System	1460		10,000.00	2,790.00	2,820.00	2,820.00	Complete
	Upgrade Alarm System	1460		3,400.00	8,759.00	8,759.00	8,759.00	Complete
	Install Automatic Door Entrance	1460		5,000.00 for FY 01	14,965.00	14,965.00	14,965.00	Complete
	Replace Ceiling Tiles Community Areas	1460		18,743.00 for FY 01	4,225.00	4,225.00	4,225.00	Complete
	Upgrade Emergency Lights	1460		7,000.00 for FY 01	1,817.00	1,817.00	1,817.00	Partially Complete
	Renovate Common Area Planters	1450		15,000.00 for FY 02	1,254.00	1,254.00	1,254.00	Partially Complete

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the Housing Authority of Fountain		Grant Type and Number Capital Fund Program Grant No: CO06P07150101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	5,000.00	5,200.00	-0-	-0-
3	1408 Management Improvements	1,000.00	1,000.00	-0-	-0-
4	1410 Administration	1,500.00	1,500.00	-0-	-0-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	-0-	24,693.00	1,168.00	1,168.00
10	1460 Dwelling Structures	58,743.00	32,409.00	2,713.00	2,713.00
11	1465.1 Dwelling Equipment— Nonexpendable	-0-	1,441.00	-0-	-0-
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the Housing Authority of Fountain		Grant Type and Number Capital Fund Program Grant No: CO06P07150101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	66,243.00	66,243.00	3,881.00	3,881.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Fountain			Grant Type and Number Capital Fund Program Grant No: CO06P071501001 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CO06P071001	Replace Grease Trap in Community Kitchen	1460		1,500.00	1,500.00	-0-	-0-	Planning
	Retile Laundry Room and Public Bathrooms	1460		1,500.00	909.00	909.00	909.00	Planning
	Install Automatic Door Entrance	1460		5,000.00	-0-	-0-	-0-	Completed FY 00
	Upgrade Emergency Lighting	1460		7,000.00	5,000.00	1,804.00	1,804.00	In Process
	Replace Carpet in Community Areas	1460		25,000.00	25,000.00	-0-	-0-	Planning
	Replace Ceiling Tiles Community Areas	1460		18,743.00	-0-	-0-	-0-	Completed FY 00
	Repair Sidewalks	1450		8,000.00	12,000.00	-0-	-0-	Planning
	Install Handrail on Stairway	1465.1		850.00	1,441.00	-0-	-0-	Planning
	Paint Building Exterior	1450		15,000.00	10,000.00	-0-	-0-	Planning
	Renovate Common Area Planters	1450		15,000.00	2,693.00	1,168.00	1,168.00	In Process

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the City of Fountain		Grant Type and Number Capital Fund Program Grant No: CO06P07150102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	15,000.00	1,805.00	-0-	-0-
3	1408 Management Improvements				
4	1410 Administration	2,000.00	2,000.00	-0-	-0-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	48,000.00	33,594.00	-0-	-0-
10	1460 Dwelling Structures		13,000.00	-0-	-0-
11	1465.1 Dwelling Equipment— Nonexpendable		12,500.00	-0-	-0-
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the City of Fountain		Grant Type and Number Capital Fund Program Grant No: CO06P07150102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2002 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	65,000.00	62,899.00	-0-	-0-
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Fountain			Grant Type and Number Capital Fund Program Grant No: CO06P07150102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CO06P071	Operations	1406		15,000.00	1,805.00	-0-	-0-	N/A
	Administration	1410		2,000.00	2,000.00	-0-	-0-	Planning
	Paint Building Exterior	1450		15,000.00	-0-	-0-	-0-	FY 01 Funds
	Renovate Common Area Planters	1450		15,000.00	-0-	-0-	-0-	FY 00 & 01 Funds
	Replace Heating Distribution System – Reserve Funds	1450		18,000.00	18,594.00	-0-	-0-	Planning
	Upgrade Sprinkler System Grounds	1450		-0-	4,000.00	-0-	-0-	Planning
	Build Deck Community Grounds	1450		-0-	3,000.00	-0-	-0-	Planning
	Install Fencing North & East Grounds	1450		-0-	8,000.00	-0-	-0-	Planning
	Replace Cafeteria Exterior Door	1460		-0-	3,000.00	-0-	-0-	Planning
	Remodel Community Room	1460		-0-	10,000.00	-0-	-0-	Planning

	Replace Common Area Air Condensing Unit	1465.1		-0-	5,000.00	4,865.00	-0-	Ordered
	Replace Unit Air Conditioners @ 13 per year	1465.1		-0-	6,500.00	4,427.00	-0-	Ordered
	Purchase Outdoor community Furniture	1465.1		-0-	1,000.00	-0-	-0-	Planning

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Housing Authority of the City of Fountain			Grant Type and Number Capital Fund Program No: CO06P071501102 Replacement Housing Factor No:			Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
CO06P071	3/31/04			3/31/06			Approval of funds was not received until June 2002

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the City of Fountain		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Lin e No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	10,000.00		-0-	-0-
3	1408 Management Improvements				
4	1410 Administration	1,500.00		-0-	-0-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	32,000.00		-0-	-0-
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment— Nonexpendable	6,500.00		-0-	-0-
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of the City of Fountain		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Lin e No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	50,000.00		-0-	-0-
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

ATTACHMENT C

Capital Fund Program Five-Year Action Plan Part I: Summary

PHA Name Housing Authority of the City of Fountain		<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 4			
Development Number/Name/H A-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2001 PHA FY: 2001	Work Statement for Year 3 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 4 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 5 FFY Grant: 2004 PHA FY: 2004
CO071/Grinde Manor	Annual Statement	66,243.00	62,899.00	50,000.00	50,000.00
CFP Funds Listed for 5-year planning	64,952.00	66,243.00	62,899.00	50,000.00	50,000.00
Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2001 PHA FY: 2001			Activities for Year: <u>3</u> FFY Grant: 2002 PHA FY: 2002		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	CO0710/Grinde Manor	Operations	5,200.00	CO0710/Grinde Manor	Operations	1,805.00
Annual		Install Additional Telephone Line	1,000.00		Administration	2,000.00
Statement		Administration	1,500.00		Replace Heating Distribution System Reserve Funds	18,594.00
		Replace Grease Trap Community Kitchen	1,500.00		Upgrade Sprinkler System Grounds	4,000.00
		Re-Tile Laundry Room /Public Restrooms	909.00		Build Deck Community Grounds	3,000.00
		Upgrade Emergency Lighting	5,000.00		Install Fencing North & East Grounds	8,000.00
		Replace Carpet Community Areas	25,000.00		Replace Cafeteria Exterior Door	3,000.00
		Repair Sidewalks	12,000.00		Remodel Community Room	10,000.00
		Install Handrail on Stairway	1,441.00		Replace Common Area Air Condensing Unit	5,000.00

	Paint Building Exterior	10,000.00		Replace Unit Air Conditioners @ 13 per year	6,500.00
	Renovate Common Area Planters	2,693.00		Purchase Outdoor community Furniture	1,000.00
	Total CFP Estimated Cost	\$66,243.00			\$62,899.00

Required Attachment D: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

Name of resident member(s) on the governing board:

- B. How was the resident board member selected: (select one)?

- Elected
 Appointed

- C. The term of appointment is (include the date term expires):

The term of appointment to the governing board is for five years. Ms. Webb was appointed to the Board of Commissioners on November 14, 2000. Ms Webb's term was to expire October 31, 2005. However, Ms. Webb resigned her position in February 2002.

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):

Paulette Webb, a member of the governing board directly assisted by the PHA, served on the governing board until her resignation in February 2002 due to a move out of the PHA's jurisdiction. The Housing Authority sought to fill the vacancy created by Ms. Webb's resignation with a member that is directly assisted by the PHA. The Resident Advisory Board was notified of the vacancy by letter dated April 2, 2002. A notice of the vacancy was published in the local newspaper on April 3, 2002. Notice of the vacancy was also hand delivered to all residents of the PHA's public housing facility on April 2, 2002. No one assisted by the PHA responded to the solicitation for membership on the governing board within the thirty-day time limit set forth in the notices. The PHA did receive a request to serve on the governing board from an interested community member.

At its meeting held September 19, 2002, the governing board of the PHA decided to avail itself of the exception to the requirement that an individual directly assisted by the PHA serve on its governing board and request that the Fountain City Council appoint the interested community member for a term of one year. On October 8, 2002 the Fountain City Council appointed the interested community member to the governing board for a term of one year. At the expiration of this term, the PHA will again solicit persons directly assisted by it to fill the vacancy on the governing board.

- B. Date of next term expiration of a governing board member: October 31, 2003
- C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): City of Fountain City Council.

Required Attachment E: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Tammy Black
Jana Brandt
Keith Burk
Quintella Burk
Jana Helmick
Vanessa Jones
Annette Lucero
Beth McGonical
Betty Simmons
Helen Zook

Attachment F : Comments of Resident Advisory Board or Boards & Explanation of PHA Response

The PHA received the following comments from the Resident Advisory Board:

1. The PHA should implement a Home Ownership Program.
2. The PHA should implement a safety and crime program for the Section 8 Voucher Program participants.
3. The PHA should develop homes and apartment complexes to provide more choices for low-income families.
4. Section 8 Voucher clients should be educated on how to work with landlords.

In response to the Resident Advisory Board comments, the PHA made the following responses and/or took the following actions:

1. The PHA does not believe it has sufficient capacity at this time to implement a Home Ownership Program.
2. The PHA educates its Section 8 Voucher Clients at certification on the issues of neighborhood safety and crime issues. The PHA feels that the established neighborhood watch program operated by the Fountain Police Department is a more appropriate way to deal with safety and crime issues in the Fountain community.
3. The PHA has partnered with a developer to construct 75 new units of affordable housing in the community. It is anticipated that construction will commence in 2002.
4. The PHA educates its Section 8 Voucher clients on landlord-tenant relationships at its certification meetings. The PHA will strive to ensure all clients understand the information provided at the meetings.

Required Attachment G: Statement of Progress in Meeting 5-Year Plan Mission and Goals

The PHA continually strives to meet its mission to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

During the 2002 fiscal year, the PHA made progress toward meeting the goals set forth in its 5-Year Plan as follows:

Expanded the supply of assisted housing by entering into a contract to purchase and develop 75 low-income units under the low income tax credit program. The PHA expects to close on the property and begin construction in 2002.

Improved the quality of assisted housing by obtaining a Successful Performer Status on both PHAS and SEMAP. The PHA is continuing to improve operations to obtain High Performer Status on both scoring systems.

In addition, the PHA completed capital improvements to its public housing facility under the CFP program. The PHA also received a score of 99.4 on its most recent REAC inspection of its public housing facility.

Increased assisted housing choices through marketing PHA programs to area landlords.

Improved community quality of life and economic vitality by working the City of Fountain's Code Enforcement Office to implement the City of Fountain's new Housing Code.

Promoted self-sufficiency and asset development of assisted families by providing families with resource lists and referrals to appropriate community service agencies.

Ensured equal opportunity and affirmatively furthered fair housing by administering, in a consistent and fair manner, all programs operated by the PHA. In addition, at annual certifications, the PHA advised all program participants of their rights and the applicable procedures available to them to redress any discrimination encountered in obtaining housing.

The PHA continues to promote efforts to develop affordable housing projects throughout the community.

Required Attachment H: PHA Response to REAC Survey of Public Housing Residents

The PHA has received and reviewed the REAC Survey of Public Housing Residents for the year 2000. The PHA has developed a Follow-up Plan in response to the Survey.

PHA Follow-Up Plan

Communication –

The PHA holds its regular meeting of the Board of Commissioner's at the public housing facility twice each year. This meeting gives residents an opportunity to discuss with PHA staff and the Board of Commissioners planned improvements; resident issues; complaints; and other community matters.

Management staff periodically holds residents meetings concerning scheduled improvements and other matters concerning residents.

Management notifies residents of improvements scheduled; maintenance issues and community activities through the use of flyers delivered to residents and posted on the community bulletin board.

Residents are notified of opportunities to become involved in the PHA management through the use of flyers to encourage participation on the Resident Advisory Board. In addition, flyers were used to notify all residents of a vacancy on the PHA governing board.

Residents have not had an operating resident organization since 1996. At that time, PHA management went door to door to give residents a survey to determine interest in a Resident Committee. The majority of residents stated that they were not interested in such an organization. Since that time, the PHA has encouraged residents to form a committee and will continue to support efforts to create a committee of residents.

Safety –

The PHA has undertaken capital improvements to increase security in the building through replacement of entry doors and the implementation of a new security system for the building. In addition, security alarms are installed on all building doors that prohibit entry into the building without proper authorization.

The PHA implemented screening procedures for potential tenants in June of 2000. These procedures require that a criminal background check be conducted on all individuals seeking residence in the building.

The PHA implemented a policy for tracking crime and related problems in its public housing facility in February of 2002. To date, no crimes have been reported.

Neighborhood Appearance -

The PHA has improved the general cleanliness of the building during the last year. Capital improvements to the facility have improved its appearance and residents are taking more pride in their community. Management inspects the property on a regular basis to ensure routine maintenance tasks are accomplished. The PHA received a score of 99.4 on its most recent REAC inspection of the facility indicating that the facility is well maintained. The PHA will continue to maintain the facility in a manner that is safe, decent and sanitary.

Required Attachment I: Component 3, (6) Deconcentration and Income Mixing

- a) Yes No: Does the PHA have any general occupancy family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to next question.

- b) Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at § 903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

Required Attachment J: Component 10 (B) Voluntary Conversion Initial Assessments

- c) How many of the PHA’s developments are subject to the Required Initial Assessments? **NONE.**
- d) How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g. elderly and/or disabled developments not general occupancy projects)? **ONE** – Grinde Manor is a development that houses only elderly and disabled tenants.
- e) How many Assessments were conducted for the PHA’s covered developments? **Not Applicable.**
- f) Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: **Not Applicable.**

Development Name	Number of Units

- e) If the PHA has not completed the Required Initial Assessments, describe the status of these assessments. **Not Applicable.**