

Nc019v07

PHAPLans

5YearPlanforFiscalYears2002 -2006

AnnualPlanforFiscalYear2002

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTO BECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

PHA Plan Agency Identification

PHAName: Housing Authority of the City of Rocky Mount

PHANumber: NC019

PHAFiscalYearBeginning:(mm/yyyy) 01/2002

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
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- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
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5-YEAR PLAN
PHAF ISCAL YEARS 2002 -2006
[24CFRPart903.5]

A.Mission

State the PHA's mission for serving the needs of low -income, very low income, and extremely low -income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here) **The goal of the Rocky Mount Housing Authority (RMHA) is to provide drug free, decent, safe, and sanitary housing for eligible families; and provide opportunities and promote self-sufficiency and economic independence for all residents. In order to achieve this mission, the RMHA will:**
RECOGNIZE residents are our ultimate customers;
IMPROVE RMHA management and service delivery efforts through effective and efficient management of staff;
SEEK problem -solving partnerships with residents, community, and government leadership;
APPLY limited RMHA resources to the effective and efficient management and operation of public housing programs .

B.Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD -suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS .** (Quantifiable measures would include target statistics: numbers of families served or PHA Scores achieved.) PHAs should identify these measures in the space to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

EXECUTIVE SUMMARY

In accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA), Rocky Mount Housing Authority (RMHA) has developed a Five Year Plan. The Five Year Plan covers specific goals and objectives to be done by various departments at RMHA. The Five Year was developed with input from staff, commissioners, and residents. A brief summary of the projections and conclusions of the Five Year Plan are as follows:

1. To Maintain Maximum Operational Effectiveness.
2. To Increase the Level of Awareness of Policy Makers of the Need and Benefits of Affordable Housing; and
3. to Provide New Housing Opportunities and Preserve the Existing Housing Stock with Fiscal Responsibility
4. To promote self-sufficiency among Residents through education and employment training.

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHA Score)
- Improve voucher management: (SEMA Score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHAGoal:Providean improvedlivingenvironment
Objectives:
- Implementmeasurestodeconcentratepovertybybringinghigherincome publichousinghouseholdsin tolow erincome developments:
 - Implementmeasurestopromoteincomemixinginpublichousingby assuringaccessforlowerincomefamiliesinto higherincome developments:
 - Implementpublichousingsecurityimprovements:
 - Designateddevelopmentsorbuildingsforparticularresidentgroups (elderly,personswithdisabilities)
 - Other:(listbelow)

HUDStrategicGoal:Promoteself -sufficiencyandassetdevelopmentoffamilies andindividuals

- PHAGoal:Promoteself -sufficiencyandassetdevelopmentoffassisted households
Objectives:
- Increasethenumberandpercentageofemployedpersonsinassisted families:
 - Provideor attractsupportiveservicestoimproveassistancerecipients' employability:
 - Provideor attractsupportiveservicesto increaseindependenceforthe elderlyorfamilieswithdisabilities.
 - Other:(listbelow)

HUDStrategicGoal:EnsureEqualOpp ortunityinHousingforallAmericans

- PHAGoal:Ensureequalopportunityandaffirmativelyfurtherfairhousing
Objectives:
- Undertakeaffirmativemeasurestoensureaccesstoassistedhousing regardless ofrace,color,religionnationalorigin,sex,familialstatus,and disability:
 - Undertakeaffirmativemeasurestoprovideasuitablelivingenvironment forfamilieslivinginassistedhousing,regardless ofrace,color,religion nationalorigin,sex,fa m ilialstatus,anddisability:
 - Undertakeaffirmativemeasurestoensureaccessiblehousingtopersons withallvarietiesofdisabilitiesregardless ofunitsizerequired:
 - Other:(listbelow)

OtherPHAGoalsandObjectives:(listbelow)

AttachmentV(nc019w02)

AttachmentW(nc019w01)

**PHA Plan
Agency Identification**

PHAName: Housing Authority of the City of Rocky Mount

PHANumber: NC019

PHAFiscalYearBeginning:(mm/yyyy) 01/2002

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PHA Plan Supporting Documents are available for inspection at:(select all that apply)

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AnnualPHAPlan
PHAFiscalYear2002
[24CFRPart903.7]

i. AnnualPlanType:

SelectwhichtypeofAnnualPlanthePHAwillsubmit.

StandardPlan

StreamlinedPlan:

- HighPerformingPHA**
- SmallAgency(<250PublicHousingUnits)**
- AdministeringSection8Only**

TroubledAgencyPlan

ii. ExecutiveSummaryoftheAnnualPHAPlan

[24CFR Part903.79(r)]

ProvideabriefoverviewoftheinformationintheAnnualPlan,includinghighlightsofmajorinitiatives anddiscretionarypolicies,thePHAhasincludedintheAnnualPlan.

ExecutiveSummary

Rocky Mount Housing Authority's (RMHA) Annual Plan is prepared in accordance with HUD's Preliminary/Draft Guidance on preparation and submission of the PHA Plans for FY 2002 as published July 27, 1999. Staff has made every effort to ensure participation in the Plans from Residents, staff, Board of Commissioners, local government and general government.

The major components of the Annual Plan are considered to be the statements relating to Financial Resources, Agency Policies, Rent Determines Policy, Operations and Management and Capital Improvements. RMHA has over the past eight (9) years done well in overall management of its PHAS requirements

The FY 2002 Annual Plan was prepared with the vision of maintaining the highest level of service possible, with limited resources, for the clients served. Primary focus of RMHA during the FY 2002 will be demolition and new construction of units due to the recent flood. RMHA has acquired two new sites and plans to build 14 new one -bedroom apartments on the Marigold site and estimated 48 single family homes at the Springfield road site. A Section 8 Home Ownership Program will be established in order to sell the single family homes on Springfield Road. Also, RMHA will explore acquisition of a multi -family 236 project and establishing project based Section 8 units. Overall, the FY 2002 Annual Plan was developed to realistically satisfy HUD's regulations as set out in the Housing Act of 1998.

iii. Annual Plan Table of Contents

[24CFR Part 903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

Required Attachments:

A Admissions Policy for RMHA (See Attachment J -nc019k01 -ACOP & Pet Policy)

B FY2002 Capital Fund Program Annual Statement (nc019b01)
Most recent board -approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

C PHA Management Organizational Chart (nc019c01)

D FY2002 Capital Fund Program 5 Year Action Plan (nc019d01) (nc019d01a)

E Public Housing Drug Elimination Program (PHDEP) Plan (nc019e01) (nc019e01a thru nc019e01l)

- F a. Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) **(nc019f01a)-Implementation of PH Resident Community Service Requirement(nc019f01)(nc019f02 -nc019f05)(nc019f05a)**
- b. Membership of Resident of Advisory Board **:(nc019f03)(nc019g01)**
- c. Resident Member of the PHA Governing Board: **Minnie Knight (nc019F03)**
Other (List below, providing each attachment name)
- G. **Statement of Progress(nc019h01)**
- H. **Pet Policy (Attachment O -nc019p01a&nc019p01)**
- I. **Section 8 Homeownership Capacity Statement (Attachment K -nc019l01 &nc019l02)**
- J. **Initial Voluntary Conversion Assessment (Attachment T -nc019v01a&nc019v01b)**
- K. **Do concentration and Income Mixing(Attachment A -nc019a01 -nc019a03)**

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
<input checked="" type="checkbox"/>	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
<input checked="" type="checkbox"/>	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
<input checked="" type="checkbox"/>	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdiction to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
<input checked="" type="checkbox"/>	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
<input checked="" type="checkbox"/>	Most recent board -approved operating budget for the public housing program	Annual Plan: Financial Resources;
<input checked="" type="checkbox"/>	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Public Housing Deconcentration and Income Mixing	Annual Plan: Eligibility,

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Documentation: 1. PHA Board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Selection, and Admissions Policies See Attachment Q nc019s01
<input checked="" type="checkbox"/>	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Schedule of flat rents offered each public housing development <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
<input checked="" type="checkbox"/>	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	The HUD - approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
<input checked="" type="checkbox"/>	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPEVI applications or, if more recent, approved or submitted HOPEVI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
<input checked="" type="checkbox"/>	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted	Annual Plan: Conversion of Public Housing

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	
TO BE DONE	Approved or submitted public housing home ownership programs/plans	Annual Plan: Homeownership
TO BE DONE	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self - Sufficiency
<input checked="" type="checkbox"/>	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self - Sufficiency
N/A	Most recent self - sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self - Sufficiency
<input checked="" type="checkbox"/>	The most recent Public Housing Drug Elimination Program (PHDEP) semi - annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
<input checked="" type="checkbox"/>	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
<input checked="" type="checkbox"/>	Other supporting documents (optional) (list individually; use as many lines as necessary) Annual Resident Satisfaction Survey Follow-up Plan will be available for review, if applicable.	(specify as needed) Annual Resident Satisfaction Survey

1. Statement of Housing Needs ATTACHMENT H(nc019i01)

[24 CFR Part 903.79(a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	2527	5	5	5	5	5	5
Income > 30% but	1671	5	5	5	5	5	5

Housing Need of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
<=50% of AMI							
Income >50% but <80% of AMI	2230	5	5	5	5	5	5
Elderly	2073						
Families with Disabilities	N/A						
Race/Ethnicity/w	39%	5	5	5	5	5	5
Race/Ethnicity/b	65%	5	5	5	5	5	5
Race/Ethnicity/his	55%	5	5	5	5	5	5
Race/Ethnicity/nat	72%	5	5	5	5	5	5

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 1997 -2002
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset ATTACHMENT I(nc019j01)(nc019j01a –nc019j01h)
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

**(1) Housing Need of Families on the Public Housing and Section 8
Tenant-Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA -wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Need of Families on the Waiting List
Waiting list type: (select one) <input type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input checked="" type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:

Housing Needs of Families on the Waiting List			
	# of families	% of total families	Annual Turnover
Waiting list total	391		147
Extremely low income <= 30% AMI	304	78%	
Very low income (>30% but <=50% AMI)	52	13%	
Low income (>50% but <80% AMI)	35	.9%	
Families with children	353	91%	
Elderly	17	4%	
Families with Disabilities	21	5%	
Race/ethnicity white	12	3.5%	
Race/ethnicity black	377	96%	
Race/ethnicity	2	.05%	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	57	30%	97
2BR	97	51%	52
3BR	20	11%	24
4BR	16	8%	2
5BR			0
5+BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to open the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

RMHA will continue efforts to work with South Eastern North Carolina Community Development Corporation (CDC) to establish more affordable housing.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off -line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease -uprates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease -uprates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease -uprates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed -finance housing
- Pursue housing resources other than public housing or Section 8 tenant -based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special purpose voucher targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special purpose voucher targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty/minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant-based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing support services, Section 8 tenant-based assistance, Section 8 support services or other.

RockyMountHousingAuthority(RMHA)currentfundingresourcesarelisted below.Itisverydifficulttoprojectfutureuseoffundsbecauseoftheuncertainty ofHUD’sfundingfromyeartoyear.However,RMHAwillcontinuetoensureits maximumuseinaddressingourneeds.

FinancialResources: PlannedSourcesandUses		
Sources	Planned\$	PlannedUses
1. FederalGrants(FY2002grants)		
a) PublicHousingOperatingFund	\$1,566,492	PHOperations
b) PublicHousingCapitalFund - 2001	\$1,335,841	CapitalImprovements
c) HOPEVIRevitalization		
d) HOPEVIDemolition		
e) AnnualContributionsforSection 8Tenant -BasedAssistance	\$1,268,761Includes39 newVouchers -fair-sharewithAdmon. fees	SupportingTenant BasedAssistance
f) PublicHousingDrugElimination Program(includinganyTechnical Assistancefunds)	\$158,691	PHASafety/Security &SupportiveServices
g) ResidentOpportunityandSelf - SufficiencyGrants		
h) CommunityDevelopmentBlock Grant		
i) HOME		
OtherFederalGrants(listbelow)		
2.PriorYearFederalGrants (unobligatedfundsonly)(listbelow)		
CompGrant1999 -2000	1,772,200	CapitalImprovements
DisasterEmergencyGrant	\$13,500,819	Demolition,Relocation, NewConstructionand Renovation
DrugEliminationGrant	\$150,000	PHSafety/Security& SupportiveServices
3.PublicHousingDwellingRental Income		
DwellingRent	\$1,300,000	Supportingoperations
ExcessUtilities	\$101,000	Supportingoperations
4.Otherincome (listbelow)		
InterestonInvestments	\$80,000	Supportingoperations
MiscellaneousIncome	\$72,000	Supportingoperations

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
4. Non -federal sources (list below)		
Total resources	\$21,305,804	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24CFR Part 903.79(c)] Rocky Mount Housing Authority's (RMHA) policies governing Eligibility, Selection, and Admissions are located in the Admission and Continued Occupancy Policy which is on display for public view.

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply) **Five (5)**

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

b. Which non -income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug -related activity
- Rental history
- Housekeeping
- Other (describe) **Credit Reports**

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC - authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below) **West End Terrace Community Center and Weeks - Armstrong Community Center**

c. If the PHA plan to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously HUD-approved site-based waiting list plan)? If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously? If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One

- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfer take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contribute to meeting income goals (broad range of incomes)
- Household that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- 2 Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- 2 Household that contribute to meeting income goals (broad range of incomes)
- Household that contribute to meeting income requirements (targeting)
- 2 Those previously enrolled in educational, training, or upward mobility programs
- 1 Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5)Occupancy

a. What referencematerialscan applicantsand residentsusetoo obtaininformation abouttherulesofoccupancyofpublichousing(selectallthatapply)

- ThePHA -residentlease
- ThePHA’sAdmissionsand(Continued)Occupancypolicy
- PHAbriefingseminarsorwrittenmaterials
- Othersource(list)

b. HowoftenmustresidentsnotifythePHAofchangesinfamilycomposition? (selectallthatapply)

- Atanannualreexaminationandleaserenewal
- Anytimefamilycompositionchanges
- Atfamilyrequestforrevision
- Other(list)

(6)DeconcentrationandIncomeMixing

a. Yes No: DidthePHA’sanalysisofitsfamily (generaloccupancy) developmentstodetermineconcentrationsofpovertyindicatethe needformeasuretopromotedeconcentrationofpovertyor incomemixing?

b. Yes No: DidthePHAadoptanychangestoits **admissionspolicies** based ontheresultsoftherequiredanalysisoftheneedtopromote deconcentrationofpovertyortoassureincomemixing? **(HardCopyofAdmissionspoliciesforPublicReview)** **ATTACHMENTA(nc019a01 -nc019a02)**

c. Iftheanswertobwasyes,whatchangeswereadopted?(selectallthatapply)

Adoptionofsite -basedwaitinglists
Ifselected,listtargeteddevelopmentsbelow:

Employingwaitinglist“skipping”toachievedeconcentrationofpovertyor incomemixinggoalsattargeteddevelopments
Ifselected,listtargeteddevelopmentsbelow: **WeeksArmstrongHomes**
NC19 2,NC19 -4,NC19 -5

Scattered Sites- NC195

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and development targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher -income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below: **NC19 -2, 19 -4, 19 -5**
Weeks Armstrong Homes

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower -income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below: **NC19 -3, 19 -5, 19 -9**
West End Terrace

B. Section 8

Exemptions: PHA that do not administer section 8 are not required to complete sub -component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant -based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug -related activity only to the extent required by law or regulation

- Criminal and drug -related activity, more extensively than required by law or regulation
- More general screening than criminal and drug -related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC - authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug -related activity
- Other (describe below) **Rental History and Housekeeping Habits are shared with prospective landlords.**

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant -based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project -based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant -based assistance? (select all that apply)

- PHA main administrative office
- Other (list below) **Open or Special Application taken at the Hyman Battle Center**

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60 -day period to search for a unit?

If yes, state circumstances below: **Extenuating circumstances such as family emergencies, hospitalization, difficulty in locating a unit and have requested support services from the Section 8 staff throughout the initial 60 day time and family has turned in lease approval prior to the expiration of 60 days, but the unit has not passed the HQS.**

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contribute to meeting income goals (broad range of incomes)
- Household that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3.IfthePHAwillemployadmissionspreferences, pleaseprioritizebyplacinga“1”in thespace thatrepresentsyourfirstpriority,a“2”intheboxrepresentingyour secondpriority,andsoon.Ifyougiveequalweighttooneormoreofthese choices(eitherthroughanabsolutehierarchyorthroughapointsystem),placethe samenumbertoeach.Thatmeansyoucanuse“1”morethanonce,“2”more thanonce,etc.

2 DateandTime

FormerFederalpreferences

- 1 InvoluntaryDisplacement(Disaster,GovernmentAction,ActionofHousing Owner,Inaccessibility,PropertyDisposition)
- 1 Victimsofdomesticviolence
- 1 Substandardhousing
- 1 Homelessness
- 1 Highrentburden

Otherpreferences(selectallthatapply)

- Workingfamiliesandthoseunabletoworkbecauseofageordisability
- Veteransandveterans’families
- Residentstholiveand/orworkinginyourjurisdiction
- Thoseenrolledcurrentlyineducational,training,orupwardmobilityprograms
- Householdsthatcontribute tomeetingincomegoals(broadrangeofincomes)
- Householdsthatcontribute tomeetingincomerequirements(targeting)
- Thosepreviouslyenrolledineducational,training,orupwardmobility programs
- Victimsofreprisalsorhatecrimes
- Otherpreference(s)(listbelow)

4.Amongapplicantsonthewaitinglistwithethequalpreferencestatus,howare applicantsselected?(selectone)

- Dateandtimeofapplication
- Drawing(lottery)orotherrandomchoicetechnique

5.IfthePHAplanstoemploypreferencesfor“residentstholiveand/orworkinthe jurisdiction”(selectone)

- ThispreferencehaspreviouslybeenreviewedandapprovedbyHUD
- ThePHArequestsapprovalforthispreference throughthisPHAPlan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special -purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan **ATTACHMENT R(nc019t01)**
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special -purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies (Component #4)

[24 CFR Part 903.79(d)] **The main three (3) rent determination methods used by Rocky Mount Housing Authority (RMHA) are:**

- (1) **Minimum Rent, which is \$25.00**
- (2) **Resident's choice in rent - (a) Income -based Rents which are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent of minimum rent (less HUD mandatory deductions and exclusions) or (b) Flat Rents as approved by Rocky Mount Housing Authority Board of Commissioners:**

1 Bedroom	\$300.00
2 Bedroom	\$330.00
3 Bedroom	\$363.00
4 Bedroom	\$399.00
5 Bedroom	\$439.00

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete sub 4A. -component

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent -setting policies for income based rent in public housing. Income -based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub -component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below : **Hardship Notice for public review**

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent -setting policy)
If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent -setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent redeterminations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Anytime the family experiences an income increase
- Anytime a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

g. Yes No: Do esthe PHA planto implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market -based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- This section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below) **Section 8 Reasonableness Study using information from local Realtors**

B. Section 8 Tenant -Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant -based assistance are not required to complete sub -component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant -based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies .

ATTACHMENTS Resolution No. 28 (2000) – (nc019u01)

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below) **Hardship Notice for public review**

5. Operations and Management

[24CFR Part 90 3.79(e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C (2)

See ATTACHMENT J (nc019k01) Statement of RMHA’s Operation and Management

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached. **ATTACHMENT C (nc019c01)**
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	766	39%
Section 8 Vouchers	265	25%
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)	36	18%
Public Housing Drug Elimination Program (PHDEP)	768 units	20%
Other Federal Programs (list individually)	40 units	15%

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

See Attachment J(nc019k01) Statement of RMHA's Operation and Management
-Component 5

(1) Public Housing Maintenance and Management: (list below)

1. Admissions and Occupancy Policy (ACOP)
2. Facilities Management/Maintenance Plan

(2) Section 8 Management: (list below) 1.

Administrative Plan

1. Statement of Policies & Procedures
2. FSS Action Plan
3. Section 8 Owners Handbook

6. PHA Grievance Procedures

[24CFR Part 903.79(f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6.

Section 8 - Only PHAs are exempt from sub - component 6A.

COMPONENT #6 - Statement of RMHA's Grievance Procedures

This statement describes Rocky Mount Housing Authority's (RMHA's) Grievance and Informal Hearing and Review procedures which are made available to residents and applicants. (RMHA's Grievance Procedures are on display for public review)

As noted within the Grievance Procedures, expedited grievance procedures.

- Criminal activity that threaten the health, safety or right to peaceful enjoyment of public housing residents or RMHA staff.
- Drug - Related criminal activity on or off the premises.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24CFR Part 966, Subpart B, for residents of public housing?

If yes, list additional federal requirements below:

2. Which PHA offices should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below) **Housing Managers**

B. Section 8 Tenant -Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant -based assistance program and informal hearing procedures for families assisted by the Section 8 tenant -based assistance program in addition to federal requirements found at 24CFR 982?

If yes, list addition to federal requirements below:

2. Which PHA offices should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below) **Section 8**

7. Capital Improvement Needs

[24CFR Part 903.79(g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub -component 7A: PHA that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

Attachment V -nc019w02 -Five(5) Year Action Plan

Attachment B -nc019b01 -FY2002 CFP Annual Statement

Attachment W -nc019b02 -P&E Reports for FY2001 CFP Natural Disaster

nc019b03 -P&E Reports CFP FY2001

nc019b04 -P&E Reports CFP FY2000

nc019b05 -P&E Reports CFP FY2000 Natural Disaster

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long -term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD -52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at **Attachment B(nc019b01)**

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert there)

(2)Optional5 -YearActionPlan

Agenciesareencouragedtoincludea5 -YearActionPlancoveringcapitalworkitems.Thisstatement canbecompletedbyusingthe5YearActionPlantableprovidedinthetablelibraryattheendofthe PHAPlantemplate **OR**bycompletingandattachingaproperlyupdatedHUD -52834.

a. Yes No:Is the PHA providing an optional 5 -Year Action Plan for the Capital Fund?(if no, skip to sub -component 7B)

b.If yestoquestiona,selectone:

The Capital Fund Program 5 -Year Action Plan is provided as an attachment to the PHA Plan at **Attachment D(nc019d01)**

-or-

The Capital Fund Program 5 -Year Action Plan is provided below:(if selected, copy the CF P optional 5 Year Action Plan from the Table Library and insert here)

B.HOPEVI and Public Housing Development and Replacement Activities(Non -Capital Fund)

Applicability of sub -component 7B: All PHAs administering public housing. Identify any approved HOPEVI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No:a)Has the PHA received a HOPEVI revitalization grant?(if no, skip to question c;if yes, provide responses to question b for each grant,copying and completing as many times as necessary)
b)Status of HOPEVI revitalization grant(complete one set of questions for each grant)

1.Development name:

2.Development(project) number:

3.Status of grant:(select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plans submitted,pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name: Weeks Armstrong Homes	
1b. Development (project) number: NC019PO19002 and NC019P019004	
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input checked="" type="checkbox"/> Demolition Dates and Number 108 Units June 13, 2001 and 26 Units July 24, 2000 Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/> 5(h) Program Pending	
4. Date application approved, submitted, or planned for submission: (07/24/00)	
5. Number of units affected: Number pending Engineer report	
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development NC019PO19002 208 Units; NC019P019004 14 Units	

7. Timeline for activity:

- a. Actual or projected start date of activity: 01 -15-00
- b. Projected end date of activity: 01 -01-2005

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24CFR Part 903.79(i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA's Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>

4. Datethisdesign ationapproved,submitted,orplannedforsubmission: (DD/MM/YY)
5.Ifapproved,willthisdesignationconstitutea(selectone) <input type="checkbox"/> NewDesignationPlan <input type="checkbox"/> Revisionofapreviously -approvedDesignationPlan?
6. Numberofunitsaffected: 7.Coverageofaction(selectone) <input type="checkbox"/> Partofthedevelopment <input type="checkbox"/> Totaldevelopment

10. ConversionofPublicHousingtoTenant -BasedAssistance

[24CFRPart903.79(j)]

ExemptionsfromCom ponent10;Section8onlyPHAsarenorequiredtocompletethissection.

ATTACHMENTT(nc019v01a&nc019v01b) RMHAVoluntaryConversionInitial Assessment

A.AssessmentsofReasonableRevitalizationPursuanttosection202oftheHUD FY1996HUDAppropriationsAct

1. Yes No:HaveanyofthePHA’sdevelopmentsorportionsof developmentsbeenidentifiedbyHUDorthePHAascovered undersection202oftheHUDFY1996HUDAppropriations Act?(If“ **No**”,skiptocomponent11 ;if“yes”,completeone activitydescriptionforeachidentifieddevelopment,unless eligible to completeastreamlinedsubmission.PHAs completingstreamlinedsubmissionsmayskiptocomponent 11.)

2.ActivityDescription

Yes No: HasthePHAprovidedallrequiredactivitydescription informationforthiscomponentinthe **optional**PublicHousing AssetManagementTable?If“yes”,skiptocomponent11.If “No”,completetheActivit yDescriptiontablebelow.

ConversionofPublicHousingActivityDescription	
1a.Developmentname: 1b.Development(project)number:	
2.Whatisthestatusoftherequiredassessment? <input type="checkbox"/> Assessmentunderway <input type="checkbox"/> AssessmentresultssubmittedtoHUD <input type="checkbox"/> AssessmentresultsapprovedbyHUD(ifmarked,proceedtonext question) <input type="checkbox"/> Other(explainbelow)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No:IsaConversionPlanrequired?(Ifyes,got oblock4;ifno,goto block5.)	

4. Status of Conversion Plan (select the statement that best describes the current status)

Conversion Plan in development

Conversion Plans submitted to HUD on: (DD/MM/YYYY)

Conversion Plan approved by HUD on: (DD/MM/YYYY)

Activities pursuant to HUD - approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

Units addressed in a pending or approved demolition application (date submitted or approved: **July 24, 2000**)

Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.79(k)]

ATTACHMENT K (nc019101 - Section 8 Homeownership Capacity Statement) (nc019102) (RMHA plan to establish a Section 8 Home ownership Program)

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

No, But Application pending for 5(h) Program

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z -4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a

streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: Weeks Armstrong Homes 1b. Development (project) number: NC019P019002 and NC019P019004
2. Federal Program authority: <input type="checkbox"/> HOPEI <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) 31/12/2002
5. Number of units affected: 48 estimated
6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26- 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA - established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below: **Criteria are being developed**

12. PHA Community Service and Self -sufficiency Programs

[24CFR Part 903.79(1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8 - Only PHAs are not required to complete sub -component C.

ATTACHMENT L(nc019m01)

A. PHA Coordination with the Welfare (TANF) Agency See Attached ATTACHMENT M(nc019n01 -nc019n02)

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF Agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self -sufficiency services and programs to eligible families
- Jointly administer programs

- PartnertoadministeraHUDWelfare -to-Workvoucherprogram
- Jointadministrationofoth erdemonstrationprogram
- Other(describe)

B. Servicesandprogramsofferedtoresidentsandparticipants

(1)General

a.Self -SufficiencyPolicies

Which,ifanyofthefollowingdiscretionarypolicieswillthePHAemployto enhance theeconomicandsocialself -sufficiencyofassistedfamiliesinthe followingareas?(selectallthatapply)

- Publichousingrentdeterminationpolicies
- Publichousingadmissionspolicies
- Section8adm issionspolicies
- Preferenceinadmissiontosection8forcertainpublichousingfamilies
- Preferencesforfamiliesworkingorengagingintrainingoreducation programsfornon -housingprogramsoperatedorcoordinatedbythe PHA
- Preference/eligibilityforpublichousinghomeownershipoption participation
- Preference/eligibilityforsection8homeownershipoptionparticipation
- Otherpolicies(listbelow)

b.Economic andSocialself -sufficiencyprograms

- Yes No: DoesthePHACoordinate,promoteorprovideany programstoenhancetheeconomicandsocialself - sufficiencyofresidents?(If“yes”,completethefollowing table;if“no”skiptosub -component2,FamilySelf SufficiencyPrograms.Thepositionofthetablemaybe alteredtofacilitateitsuse.)

ServicesandPrograms				
ProgramName&Description (includinglocation,ifappropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (developmentoffice/ PHAMainoffice/ otherprovidername)	Eligibility (publichousingor section8 participantsor both)
1.SouthEasternNorthCarolina CommunityDevelopmentCorp.	Unlimited	Registration (Open)	PHAMainOffice	BothPHAand Section8

2. Jazzy's Restaurant (Jobs and Job Training)	Limited Number	Application Waiting List Screening	Restaurant Site	Both PHA and Section 8
3. Resident Management Council, Inc. (RMC)	Limited Number	Screening	PHAMain Office	Both PHA and Section 8

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8	27 (Will planto continue utilizing 35 but 27 is required)	27

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plan to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

ATTACHMENT N(nc019o01)(nc019o01a -nc019o01c)

[24CFR Part 903.79(m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents

(select all that apply)

- High incidence of violent and/or drug -related crime in some or all of the PHA's developments
- High incidence of violent and/or drug -related crime in the area surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower -level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug -related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anti crime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below) **Note: Weeks-Armstrong Homes West End Terrace, Edgecombe County and Nash County.**

B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plan to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug -prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at -risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

Weeks-Armstrong Edgecombe County West End Terrace Nash County

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug -elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support evictions cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

Weeks-Armstrong Edgecombe County West End Terrace Nash County

D. Additional information as required by PHDEP/PHDEP Plan

PHA eligible for FY2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes No: Has the PHA included the PHDEP Plan for FY2000 in this PHA Plan?

Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: **ATTACHEMENTE (NC019E01)**)

14. RESERVED FOR PET POLICY

[24CFR Part 903.7 9(n)]

ATTACHMENTO(nc019p01a)(nc019p01)PetPolicy

15. Civil Rights Certifications

[24CFR Part 903.79(o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations **.ATTACHMENTU(nc019r01)**

16. Fiscal Audit

[24CFR Part 903.79(p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24CFR Part 903.79(q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management

- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24CFR Part 903.79(r)]

A. Resident Advisory Board Recommendations -

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at **ATTACHMENT F(nc019f01 -nc019f03)**
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided in section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was there a resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family or organizations
- Candidates could be nominated by any adult recipient of PHA assistance

- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe) **Resident on the Board of Commissioners is appointed by the Mayor of the City of Rocky Mount North Carolina. ATTACHMENT P (nc019q01)**

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **City of Rocky Mount, North Carolina**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below) **New construction of single family homes and renovation of apartments.**
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below):

The City of Rocky Mount is working with Rocky Mount Housing Authority on the following items as related to the Consolidated Plan:

- (1) Partnership with Jazzy's Restaurant for Home Maintenance Class and other CD functions.**
- (2) The City helping to broker the deal for the land on Nash/Marigold**
- (3) Using HA units for lead abatement**
- (4) Requiring Rental Rehab participant stores to HA clients**

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

HUD Attachment V – nc019w03 Definition of Substantial Deviation

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and III

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non -CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment - Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2 - 19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

AnnualStatement
CapitalFundProgram(CFP)PartII:SupportingTable

Development Number/Name HA-WideActivities	GeneralDescriptionofMajorWork Categories	Development Account Number	Total Estimated Cost

**AnnualStatement
CapitalFundProgram(CFP) PartIII:ImplementationSchedule**

Development Number/Name HA-WideActivities	AllFundsObligated (QuarterEndingDate)	AllFundsExpended (QuarterEndingDate)

Optional Table for 5 -Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5 -Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5 -Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition/disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A
					N/A	N/A		N/A

Component 10, (B) Voluntary Conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessments? **All of RMHA regular public housing developments are subject to the initial assessments.**
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? **RMHA has a 40 unit "Elderly" Section 8 new construction development that is exempted.**
- c. How many Assessments were conducted for the PHA's covered development? **Only one assessment was done for all RMHA's developments.**
- d. Identify PHA developments that may be appropriated for conversion based on the Required Initial Assessments: **Based on the assessment done by RMHA's, none of its developments are appropriated for conversion.**

Development Name	Number of Units
N/A	

- d. The PHA has not completed the Required Initial Assessments, describe the status of these assessments: **The assessment has been completed. No other action required.**

nc019a03

Component 3, (6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average income above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

Deconcentration Policy for Covered Developments			
Development Name	No. of Units	Explanation (if any) { see step 4 at Deconcentration policy (if §903.2(c)(1)(iv) } no explanation) { see step 5 at §903.2(c)(1)(v) }	
West End Terrace 110 NC19P019001			
Weeks Armstrong Homes 208 NC19P019002			
West End Terrace 100 NC19P019003			
Weeks Armstrong Homes 100 NC19P019004			
Scattered Sites 198 NC19P019005			
McIntyre Lane 50 NC19P019009			

ATTACHMENT R

THE
HOUSING AUTHORITY OF THE CITY
OF
ROCKY MOUNT, NC

FAMILY SELF-SUFFICIENCY

Section
8

ACTION PLAN

COMMISSIONERS

CLEVELAND WHATLEY - CHAIRPERSON
BETTY PAYNE - VICE-CHAIRPERSON
ROBERT BREWER
RUSSELL JACKSON
LEONARD WIGGINS
LUCILLE POWELL - ALTERNATE

LARRY RUSSELL
EXECUTIVE DIRECTOR

REVISED OCTOBER 4, 2000

NC019T01

FAMILY SELF-SUFFICIENCY (FSS) PROGRAM

ACTION PLAN

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APPENDICES

Family Self-Sufficiency (FSS) Program Coordinating Committee Members	APPENDIX A
Family Self-Sufficiency (FSS) Partnership Agreement Between N.E.E.D., Inc. and the City of Rocky Mount Housing Authority	APPENDIX B
Rocky Mount Housing Authority Partership Agreement with N.E.E.D., Incorporated	APPENDIX C
Family Self-Sufficiency Partnership Agreement Between N.E.E.D., Inc. and Rocky Mount Housing Authority	APPENDIX D
N.E.E.D., Incorporated's Flow Chart for FSS Support Services	APPENDIX E

ROCKY MOUNT HOUSING AUTHORITY
ROCKY MOUNT, NORTH CAROLINA

FAMILY SELF-SUFFICIENCY (FSS) PROGRAM

ACTION PLAN
OF THE
FSS PROGRAM COORDINATING COMMITTEE

The Rocky Mount Housing Authority (RMHA) will initiate its Family Self-Sufficiency Program through the recruitment of up to 35 eligible Current Section 8 Voucher families. The program purposes are:

- to aid unemployed and underemployed members of participating low-income households to achieve economic independence, self-sufficiency, and eventual nonreliance upon government assistance programs;
- to coordinate local, public, and private resources toward the goal of economic independence for low-income families;
- to integrate the provision of stable rental assistance with other public and private benefits to help participating family members to obtain necessary training and job skills for economic independence without undue concern for the welfare and safety of their families; and
- to document and share results of these efforts.

Coordinating Body

- A - Is entitled "FSS Program Coordinating Committee"
- B - Is comprised of community leaders from the public sector who are dedicated to the purposes and objectives outlined above and who have committed resources and time to the FSS Program.
- C - The Family Self-Sufficiency Program Coordinating Committee will meet at least semi-annually, unless otherwise announced, to review and oversee administration of the FSS Program.
- D - Members will serve a term of two years or until suitable replacements are installed. The current FSS Program Coordinating Committee members are located in Appendix A.

Chief Executive Officer Support

The Mayor of the City of Rocky Mount will be notified of meeting dates, purposes, and activities of the FSS Program. The Mayor will

be encouraged to participate with the Program Coordinating Committee in supporting and providing resources to help meet program purposes and objectives and in providing guidance for the Action Plan.

ADMINISTRATION

(The following subsections agree in format and content to the instructions contained in 24 CFR Part 982 and 984 as published in the Federal Register March 5, 1996 marked as Family Self-Sufficiency Streamlining Final Rule.)

1. Description of the number, size, characteristics, demographics, and service needs of families expected to participate:

For Section 8 Rental Assistance, it is anticipated that the initial FSS Program size will be 35 families. These are to be comprised of current Section 8 Voucher/Certificate participants.

Service needs of the population expected to participate will include, but are not limited to:

- Child care to allow for training and/or employment
- Transportation at discounted cost for training and/or employment
- Employment counseling
- Money management counseling
- Skills training program
- Employment placement services
- Adult basic education services
- Health care training and services
- Problem identification and goal tracking
- Nutritional support services
- Job opportunities programs
- Legal advocacy programs
- Homeownership and rental opportunities counseling
- Child support enforcement
- Other services necessary to help families become self-sufficient.

2. Selection of FSS Program participants:

For Section 8 Rental Assistance, FSS Program participants will be selected in the following ways:

All families currently receiving Section 8 Housing Voucher/Certificate assistance regardless to race, color, religion, sex, disability, familiar status, or national origin, will be notified about the Family Self-Sufficiency Program and given an opportunity to apply for this program.

3. Number of eligible families that can expect to receive

supportive services:

For Section 8 Rental Assistance

There are hundreds of families in the Housing Authority's jurisdiction who might be determined eligible for the FSS Program. Many of these may be receiving one or more of the supportive services listed. The Program Coordination Committee agrees, however, that the scope and goals of the FSS Program require a concentrated effort with respect to the 35 families to be enrolled. The Committee reasonably expects that the required range of services, including those specifically identified by applicant families in their contracts and individual development plans will be made available and affordable to these 35 families based on currently available public and private resources.

4. Description of activities and supportive services to be provided to participating families:

For Section 8 Rental Assistance, these activities and services include:

<u>Public Entity</u>	<u>Service/Assistance Provided</u>
Nash-Edgecombe Department of Social Services	Food Stamps, AFDC, Child Support Case Management, Medicaid, Counseling, Job Search Classes, Transitional Medicare & Child Care
Opportunities Industrialization Center	HRD-Jobs, Follow-up of Jobs, GED, ABE, No Curriculum Classes (carpenter, plumbing, electrician, etc.)
Nash-Edgecombe Health Department	Screening (overall condition, Counseling, Parenting Skills & Transportation for medicare)
Employment Security Commission	JPTA, Job Search & Placement
Nash-Edgecombe Economic Development (N.E.E.D., Inc.)	Partnership Agreement with RMHA to serve as the FSS Program Coordinator, Head Start Program, Limited Transportation, Crisis-Energy Funds and Rent Assistance

<u>Private Entity</u>	<u>Service/Assistance Provided</u>
Mega Force Temporary	Placement, Skills Enhancement, Counseling for Interviewing
Centura Bank	Credit/Management
Board of Realtors	Housing

5. How the FSS Program will identify needs and deliver services and activities according to those needs:

For Section 8 Rental Assistance, all FSS enrollees will be active Housing Voucher/Certificate participants. Additional needs of these families other than housing assistance are regularly identified and assessed by Housing Authority contractive representative (N.E.E.D. Inc.) Interviews with participating families are held upon initial FSS Program enrollment to determine, list and prioritize these additional needs keeping in mind the family's planned goals for economic self-sufficiency.

Once enrolled, each participating family receives program counseling from the Housing Authority Section 8 Staff and Nash-Edgecombe Economic Development on the range of services and activities available for the needs they have identified. Participants are given program referrals to appropriate providers and assessed for progress each quarter.

Adjustments in services are made at the time of notification of a change in need by the family or when the Housing Authority's contracted representative has obtained convincing evidence of the need for a deleted or additional service or activity.

6. Description of public & private resources to be made available:

For Section 8 Rental Assistance, the FSS Program Committee has determined that sufficient public and private resources exist among program providers to meet the service and activity needs of the 35 participating families. Those resources include, but are not limited to:

- funding
- staff time
- use of equipment
- use of buildings and property
- training assistance
- housing assistance
- employment opportunities

- transportation
- day care services
- financial management counseling

7. Timetable for implementation of the FSS Program:

For Section 8 Assistance, the Family Self-Sufficiency Program will begin with taking applications in May 1993. During January through May, the details of building a family assessment instrument will be done in conjunction with the coordinating committee. We plan to select our first family by August 1, 1993, and begin their work plan toward self-sufficiency.

8. Implementation of Supportive Services:

Section 8 Director will select participants, process rent, recertify families, move-in/out families, identify home ownership programs, calculate escrow accounts, etc.

Rocky Mount Housing Authority contracts out coordination of services with Nash-Edgecombe Economic Development (N.E.E.D.), Inc.

*See Appendix B (Family Self-Sufficiency Partnership Agreement Between N.E.E.D., Inc. and Rocky Mount Housing Authority)
Appendix C (Board Resolution)

9. Description of the RMHA's outreach efforts:

The FSS Program Coordinator (N.E.E.D., Inc.) will maintain contact with the Department of Social Services and other public service agencies with information about Family Self-Sufficiency. A fact sheet will be provided. All current Section 8 Housing Voucher/Certificate participants referred by RMHA will be provided notice of the Family Self-Sufficiency Program and given opportunity to apply.

10. Section 8 Housing Assistance Incentives Plan - The RMHA will offer eligible family incentive to encourage their participation in the FSS Program. The RMHA will establish an FSS escrow account for the family when a family's portion of rent increases due to earned income.

11. Description of the policies for terminating or withholding assistance:

For Section 8 Rental Assistance, every effort will be made by the Housing Authority Staff and by the FSS Coordinator (N.E.E.D., Inc.) to encourage participant compliance and goal achievement under the FSS Program. Regular quarterly and such additional counseling services as may be needed for each family will be made available for these purposes.

Notwithstanding these efforts, participating families found to be in substantial non-compliance with the Contract of Participation will be notified in writing to this effect if such condition is determined by the Housing Authority to have continued for a period of six months. Said notifications will cite the non-compliance by the family as well as specific conditions and requirements for correction by the sessions will be scheduled during the period to assist the family. If the non-compliance continues, the Housing Authority will issue another written notification to the family indicating a decision to terminate rental assistance within 30-45 days and giving the family an opportunity to appeal the decision through the procedures established in the Housing Authority's HUD approved Section 8 Housing Administrative Plan. Again, every effort will be made to encourage participation and to avoid, if possible, termination for non-compliance.

12. Non-Participating Family - The RMHA assures that a family's election not to participate in the FSS Program will not affect the family's continued Section 8 rental assistance.

THE THEME OF FAMILY SELF-SUFFICIENCY IS TO EMPOWER PEOPLE TO TAKE CONTROL OF THEIR LIVES BY BECOMING INDEPENDENT, PRODUCTIVE MEMBERS OF THEIR COMMUNITIES.

Rocky Mount Housing Authority
Rocky Mount, North Carolina

Family Self-Sufficiency (FSS) Program
Coordinating Committee
Members

Representative

Affiliation-Public

Ms. Frances Harrison	Communities In Schools
Ms. Sylvia Harris	Communities In Schools
Ms. Bonita Allen-Watson	Rocky Mount Housing Authority
Mr. Larry Russell	Rocky Mount Housing Authority
Ms. Carol Johnson	Rocky Mount Housing Authority
Ms. Marilyn Wright	Opportunities Industrialization Center (O.I.C.)
Ms. Renee Pittman	Nash-Edgecombe Economic Development
Ms. Brenda Debrew	Nash-Edgecombe Economic Development
Ms. Wouldridge White	City of Rocky Mount Human Relations

Members will serve a term of two years or until suitable
replacements are installed.

Amendment to
May 1, 1996
Agreement
Effective May 1, 1997

**FAMILY SELF-SUFFICIENCY (FSS)
PARTNERSHIP AGREEMENT
BETWEEN
N.E.E.D., INC. AND THE CITY OF ROCKY MOUNT HOUSING AUTHORITY**

N.E.E.D., Inc. hereby agrees to work in partnership with the City of Rocky Mount Housing Authority (RMHA) to provide Support Services and Enrollment for families under the RMHA's Section 8 Voucher Family Self-Sufficiency Program (FSS).

N.E.E.D., Inc. agrees to coordinate the following services with the goal that those families participating in the FSS Program will be financially independent of Public Assistance within five (5) years after entering the program:

- (1) Enroll up to ten (10) additional RMHA Section 8 Voucher families into the FSS Program within six (6) months after this agreement is signed to achieve the maximum thirty-five (35) participants.

As additional families are enrolled, N.E.E.D., Inc. will provide the families with support services as outlined in the Current Family Development Plan.

- (2) Continue implementation of the Family Development Plan for the twenty-six (26) families already enrolled in the FSS Program.
- (3) N.E.E.D., Inc. will strive to establish and maintain thirty-five (35) participants annually under the FSS Program.
- (4) The Enrollment Process will include but not limited to:
 - (a) Orientation Sessions
 - (b) Advertisements
 - (c) Conducting need assessments per each family within 30 days after enrollment
- (5) Submit a written quarterly progress report to RMHA concerning Support Services.

RMHA will:

- (1) Meet with N.E.E.D., Inc. periodically to review the Program progress.
- (2) Provide N.E.E.D., Inc. with information per each family.

PAYMENT TO N.E.E.D., INC.

RMHA agrees to pay N.E.E.D., Inc.:

- (1) Forty-eight (\$48.00) per month for each active participant in the FSS Program, up to the Program size of thirty-five (35) active participants.
- (2) Plus \$250.00 per each new enrollee, up to the program size of thirty-five (35) active participants.

TERMINATION OF AGREEMENT

Either Party may terminate the agreement by issuing the other a thirty (30) days notice.

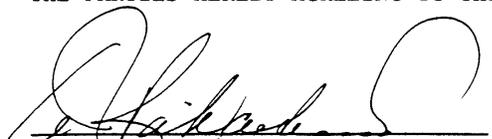
RENEWAL

This Agreement is automatically renewed annually pending funding availability, HUD Regulations and/or termination.

HOLD HARMLESS

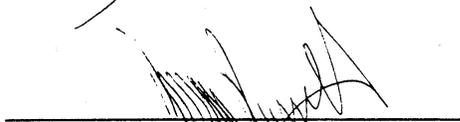
N.E.E.D., Inc. agrees to protect, defend, indemnify and hold the Housing Authority, its officers, employees and agents free and harmless from and against any and all losses, penalties, damages, settlements, costs, professional fees, charges, or other expenses or liabilities of every kind and character arising out of or relating to any and all claims, liens, demands, obligation, actions, proceedings, or causes of action of every kind in connection with or arising out of this agreement and/or the performance hereof that are due to the negligence of the N.E.E.D., Inc., its officers, agents, or employees. The N.E.E.D., Inc. further agrees to investigate, handle, respond to, provide defense for, and defend the same as its sole expense and agrees to bear all other costs and expenses related thereto.

THE PARTIES HEREBY AGREEING TO THIS AGREEMENT



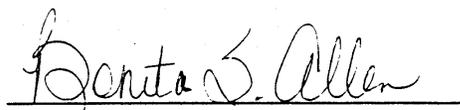
N.E.E.D., INC. EXECUTIVE DIRECTOR

4-25-97
DATE



RMHA EXECUTIVE DIRECTOR

4-25-97
DATE



WITNESS

4-25-97
DATE

FAMILY SELF-SUFFICIENCY
PARTNERSHIP AGREEMENT
BETWEEN
N.E.E.D., INC. AND ROCKY MOUNT HOUSING AUTHORITY

N.E.E.D., Inc. hereby agrees to work in partnership with the Rocky Mount Housing Authority (RMHA) to provide Support Services for thirty five (35) families under the Section 8 Voucher Family Self-Sufficiency Program. (FSS).

N.E.E.D., Inc. agrees to coordinate the following support Services for thirty five (35) families so that they can become financially independent of public assistance within a five (5) year period.

1. Provide RMHA with a written Need Assessment for each family within 30 days after they are placed on the Family-Self-Sufficiency Program.
2. Enroll the families in a Family Development Plan. (See attached FSS Support Services Flow Chart).

The Family Development Plan will be based on the Need Assessment. The plan should include, but not be limited to, providing the families, education, job training, learning skills necessary to obtain and keep employment, transportation, child care, counseling, development of parental skills, budgeting and homemaking skills.

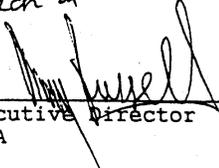
3. Submit a quarterly progress report to RMHA concerning the support services per each family.
4. Periodically re-assess the needs of each family.
5. Advise RMHA quarterly about those families who are not cooperating with the Family Development Plan.

The Contract will be for one year renewable annually over a five (5) year period.

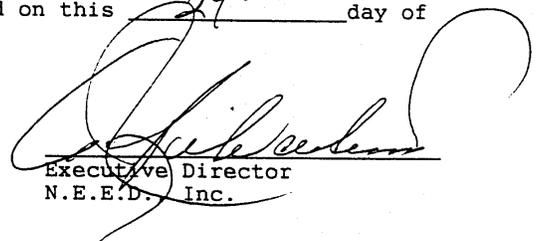
RMHA agrees to pay N.E.E.D., Inc. sixty percent (60%) of the Earned Administrative Fee.

This Agreement can be terminated by either party by issuing a thirty (30) day Notice of Termination.

This Agreement is hereby executed on this 29th day of ~~January~~ March, 1993.



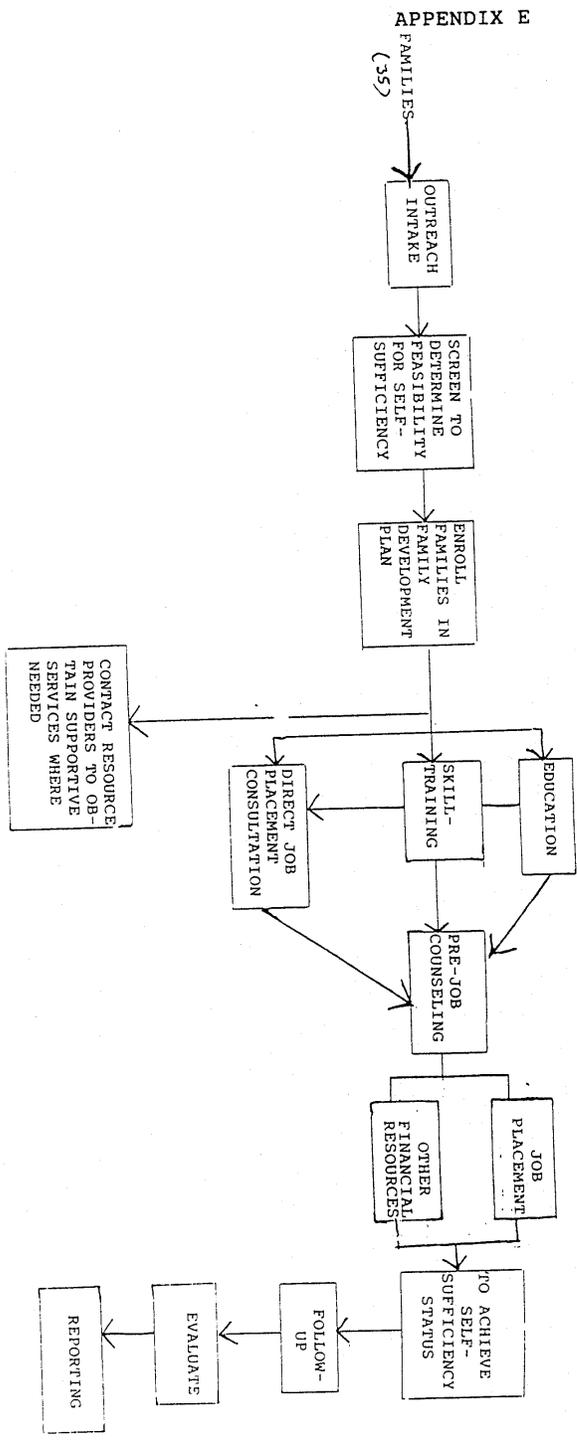
Executive Director
RMHA



Executive Director
N.E.E.D. Inc.

pw\agree

N. E. F. D., INCORPORATED'S
 FLOW CHART FOR FSS
 SUPPORT SERVICES



NUMBER IN PARENTHESIS INDICATES THE NUMBER OF FAMILIES.

*Amendment to
May 1, 2000
Agreement
Effective May, 2001*

**FAMILY SELF-SUFFICIENCY (FSS)
PARTNERSHIP AGREEMENT
BETWEEN
N.E.E.D., INC. AND THE CITY OF ROCKY MOUNT HOUSING AUTHORITY**

N.E.E.D., Inc. hereby agrees to work in partnership with the City of Rocky Mount Housing Authority (RMHA) to provide Support Services and Enrollment for families under the RMHA's Section 8 Voucher Family Self-Sufficiency Program (FSS).

N.E.E.D., Inc. agrees to coordinate the following services with the goal that those families participating in the FSS Program will be financially independent of Public Assistance within five (5) years after entering the program:

- 1. Enroll additional RMHA Section 8 Voucher families into the FSS Program when notified by the RMHA that a new participant is needed to comply with program requirements.***
- 2. If additional families are enrolled, N.E.E.D., Inc. will provide the families with support services as outlined in the Current Family Development Plan.***
- 3. Continue implementation of the Family Development Plan for the families already enrolled in the FSS Program.***
- 4. The Enrollment Process will include but is not limited to:***
 - a. Orientation Sessions***
 - b. Advertisements***
 - c. Conducting need assessments per each family within 30 days after enrollment***
- 5. Submit a written quarterly progress report to RMHA concerning Support Services.***

RMHA WILL:

- (1) Meet with N.E.E.D., Inc. periodically to review the Program progress.**
- (2) Provide N.E.E.D., Inc. with information per each family.**

PAYMENT TO N.E.E.D., INC.:

RMHA agrees to pay N.E.E.D., Inc.:

- (1) Forty-eight (\$48.00) per month for each active participant in the FSS Program, up to the Program size of thirty-five (35) active participants.**
- (2) Plus \$250.00 per each new enrollee (as needed).**

TERMINATION OF AGREEMENT

Either Party may terminate the agreement by issuing the other a thirty (30) days notice.

RENEWAL

This Agreement is automatically renewed annually pending funding availability, HUD Regulations and/or termination.

HOLD HARMLESS

N.E.E.D., Inc. agrees to protect, defend, indemnify and hold the Housing Authority of the City of Rocky Mount, it's officers, employees, and agents free and harmless from and against any and all losses, penalties, damages, settlements, costs, professional fees, charges, or other expenses or liabilities of every kind and character arising out of or relating to any and all claims, liens, demands, obligation, actions, proceedings, or causes of action of every kind in connection with or arising out of this agreement and/or the performance hereof that are due to the negligence of the N.E.E.D., Inc., it's officers, agents, or employees. The N.E.E.D., Inc. further agrees to investigate, handle, respond to, provide defense for, and defend the same as it's sole expenses and agrees to bear all other costs and expenses related thereto.

THE PARTIES HEREBY AGREEING TO THIS AGREEMENT:



N.E.E.D, INC. EXECUTIVE DIRECTOR 5-1-01
DATE



RMHA EXECUTIVE DIRECTOR 5-1-01
DATE



WITNESS 5-1-2001
DATE



WITNESS 5-1-01
DATE

NC019a02

REVISIONTOTENANTSELECTIONANDASSIGNMENTPLAN

Refer to Tenant Selection and Assignment Plan-Page 1

#1Add: INCOMETARGETING

PHA must admit not less than 40% of new families that have income at or below 30% of the area median incomes. Other admission must be at or below 80% of the area median.

Process for Tracking

The RMHA Occupancy Department will implement the income target by:

1. Quarterly identify the incomes (i.e., 30% of area median and not more than 80% of area median) by community.
2. Pull monthly from the waiting list based on the same area median incomes.

#2Add: DECONCENTRATION

The RMHA Policy is to provide for deconcentration of poverty and income mixing by bringing higher income residents into lower income projects and lower income residents into higher projects. The PHA will implement the “skipping” of a family on the waiting list to achieve income mixing goals at targeted developments.

RockyMountHousingAuthority(RMHA)
DwellingLeaseAddendum
EffectiveOctober1,1999

Section2. TERMOFLEASE

CurrentReading- The lease shall begin on _____ and end at midnight on the last day of the same calendar month that a monthly rental of \$ _____.

The

rent for the first month or portion thereof is \$ _____, payable in advance on or before the first day of occupancy.

Change - The lease shall begin on _____ and end at midnight on the last day of the twelfth month of signing the lease at a monthly rental of \$ _____. The rent for the first month or portion thereof is \$ _____ payable in advance on or before the first day of occupancy.

Section3. AUTOMATICRENEWALOFLEASEANDMONTHLYRENT

CurrentReading- This lease shall be automatically renewed for successive terms of one (First Sentence) (1) month each at the monthly rent set forth above (subject to adjustment in accordance herewith) upon the payment by the Resident of such monthly rent on or before the first day of the month.

Change- This lease shall be automatically renewed for successive terms of one (First Sentence) (1) year each (except for noncompliance with the community service requirements or any other section of the lease) at the monthly rent set forth above (subject to adjustment in accordance herewith) upon the payment by the Resident of such monthly rent on or before the first day of

themoth.

LEASEADDENDUM
RENTDETERMINATIONPROCESS
RESIDENTCHOICEOFRENT

a. Income-BasedRent

RENTCHARGEDBYTHERMHAARETHEGREATEROFTHE
FOLLOWING:

10% OFGROSSMONTHLYINCOME
OR
30% OFADJUSTEDMONTHLYINCOME
OR
\$25.00RMHAMINIMUMRENT

NORESIDENTWILLPAYLESSTHANTHEMINIMUMRENTOF\$25.00
PERMONTHADOPTEDBYTHEBOARDANDEFFECTIVEAPRIL1,1996.
Thismeansthatfamiliespayingzerorentorarentlessthan\$25.00willbecharged
theRMHA'sMinimumrentineffectatthattime.

b. FLATRENT-(effectiveOctober1,1999)
ThisrentfiguremayvarypendingstudiesdonebyRMHA.Thecurrentflatrents
arepostedbyRMHAintheHousingManagerOfficeandtheMainAdministration
Building.

RMHAFLATRENTSCHEDULE

2Bedroom \$350.00
3Bedroom \$363.00
4Bedroom \$399.00
5Bedroom \$439.00

I _____ herebychoosethefollowingrent:

- a. Income-BasedRent _____ -
- b. Flatrentof _____ fora _____ bedroomapartment

Resident

Date

Date

**AMENDMENTSTOPETPOLICY
(EFFECTIVEOCTOBER1,1999)**

Add: Section1. DEFINITIONS

A.- Thepetshouldnotexceed20lbs.

Add: Section2. RULESGOVERNINGTHEKEEPINGOFPETS

**D.- AnypetknowntobiteorattackwillnotbepermittedonRMHA
property.(PitBulldog,Rottweiler,DobermanPincher,Bull
Dog,oranysimilardogisnotallowed.)**

Add: H. FINANCIALOBLIGATIONS:

**(a) thepetownerisrequiredtosecureaHomeownersPolicy
includingliabilitywhichwouldprovidecoverageforthe pet's
owner.Thiscoverageistobenolessthan\$100,000.00.**

©Petwasteremovalminimumchargeis\$10.00.

RMHAPETPOLICYDOCUMENTATIONFORM

PART I

Resident's Name

_____ Date _____

Resident's Address _____ Unit/Project No.

Type of Pet _____ Size of Pet

Approved by

RMHA Representative

PART II

DOCUMENTATION NEEDED:

Veterinarian

Address_____

CertificateofInoculation _____yes_____no

Dateof
Inoculation_____

LiabilityCoverage:

NameofInsurance
Company_____

Dateof
Policy_____

DateapprovedbyRMHA

DatedisapprovedbyRMHA

Comments_____

Representative_____ RMHA

_____ Date

COMPONENT 7 FY 2002 CFP ANNUAL STATEMENT /PE REPORTS 10 PAGES
INCLUDES RESOLUTION .

ATTACHMENT B

Resolution No. 42 (2001)
Approving Rocky Mount Housing Authority (RMHA) Five (5) Year and
Annual Plan FY 2002

WHEREAS, in accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA) Public Housing Authorities are required to develop and implement a Five (5) Year Plan and Annual Plan; and

WHEREAS, because RMHA's fiscal year ends December 2001, the required Annual Plan must be submitted to HUD in December 2001 for implementation January 1, 2002; and

WHEREAS, the Executive Director did solicit Residents and the general public comments and input; and

WHEREAS, the Executive Director is recommending the RMHA approve certification of compliance with HUD's requirements of QHWRA;

NOW THEREFORE BE RESOLVE, Rocky Mount Housing Authority Board of Commissioners does hereby approve the attached Certification of Compliance with QHWRA and the Five Year and Annual Plan for FY 2001 as presented by the Executive Director.

Date Adopted: October 9, 2001

Distribution: **Housing Urban Development (HUD)**
 Housing Management Department
 Resident Advisory Board
 Post
 File

NC019801

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name:

Rocky Mount Housing Authority

Grant Type and Number

Capital Fund Program Grant No.
Replacement Housing Factor Grant No:

NC19P01980102

Federal FY of Grant:

2002

Original Annual Statement
 Performance and Evaluation Report for Period Ending:

Reserve for Disasters/Emergencies

Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1408 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$175,534.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$82,671.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Coats	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$140,000.00	\$0.00	\$0.00	\$0.00
10	1480 Dwelling Structures	\$858,995.00	\$0.00	\$0.00	\$0.00
11	1485.1 Dwelling Equipment-Nonexpendable	\$10,000.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$18,641.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$50,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Colateralization or Debt Services	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$1,335,841.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security - Soft Coats	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security - Hard Coats	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

NC019B01

Annual Statement Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Development Number/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts	Computers & Software Management & Maintenance Training	1408		\$6,500.00	\$0.00	\$0.00	\$0.00	
				\$155,534.00	\$0.00	\$0.00	\$0.00	
				\$13,500.00	\$0.00	\$0.00	\$0.00	
			Total 1408	\$175,534.00	\$0.00	\$0.00	\$0.00	
HA-Wide Admin	Administration	1410		\$82,671.00	\$0.00	\$0.00	\$0.00	
			Total 1410	\$82,671.00	\$0.00	\$0.00	\$0.00	
HA-Wide Fees and Costs	A & E services @ no more than 7% of the annual grant amount	1430		\$0.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Sidewalks & Street Repair/Replacement	1450		\$20,000.00	\$0.00	\$0.00	\$0.00	
	Tree Maintenance & Termitte Service	1450		\$12,000.00	\$0.00	\$0.00	\$0.00	
	Landscaping	1450		\$108,000.00	\$0.00	\$0.00	\$0.00	
			Total 1450	\$140,000.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Nonroutine vacancy prep.	1460		\$20,000.00	\$0.00	\$0.00	\$0.00	
	Unexpected Physical Needs	1460		\$46,000.00	\$0.00	\$0.00	\$0.00	
	Garbage Cans	1460		\$5,000.00	\$0.00	\$0.00	\$0.00	
	PM Materials	1460		\$18,000.00	\$0.00	\$0.00	\$0.00	
	Occupied Painting	1460		\$94,616.00	\$0.00	\$0.00	\$0.00	
	Replace Asbestos Tiles	1460		\$146,164.00	\$0.00	\$0.00	\$0.00	
			Total 1460	\$319,780.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Appliances (HVAC & HWH) Dwelling Equip.	1465		\$10,000.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Non-Dwelling Structures	1470		\$18,641.00	\$0.00	\$0.00	\$0.00	
			Total 1470	\$18,641.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Automotive Equipment	1475		\$18,000.00	\$0.00	\$0.00	\$0.00	
	Office Maintenance & Comm. Space Equip. Maintenance Equipment	1475		\$22,000.00	\$0.00	\$0.00	\$0.00	
		1475		\$10,000.00	\$0.00	\$0.00	\$0.00	
			Total 1475	\$50,000.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Development/Relocation Expenses	1499		\$80,000.00	\$0.00	\$0.00	\$0.00	
			Total 1499	\$80,000.00	\$0.00	\$0.00	\$0.00	

PHA Name: Rocky Mount Housing Authority
 Grant Type and Number: Capital Fund Program Grant N: NC19PO1850102
 Replacement Housing Factor Grant No: 2002
 Federal FY of Grant: 2002

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPHF)
Part II: Supporting Pages**

PVA Name:		Grant Type and Number		Federal FY of Grant:				
Rocky Mount Housing Authority		Capital Fund Program Grant No NC19PO1980102 Replacement Housing Factor Grant No:		2002				
Development Number Name:Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-1 West End Terrace	Site: None Mechanical and Electrical: TUD Inter/Bathroom Renovations Building Exterior: Roof Repair Dwelling Units: None Dwelling Equipment: None Interior Common Areas: None Site-Wide Facilities: None Nondwelling Equipment: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$64,500.00	\$0.00	\$0.00	\$0.00	
				\$64,500.00	\$0.00	\$0.00	\$0.00	
				\$20,000.00	\$0.00	\$0.00	\$0.00	
				\$20,000.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
Total, West End	1475	Project Total:	\$24,500.00	\$0.00	\$0.00	\$0.00		
			\$0.00	\$0.00	\$0.00	\$0.00		

**Annual Statement Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PIA Name:		Grant Type and Number		Federal FY of Grant:		Status of Work	
Rocky Mount Housing Authority		Capital Fund Program Grant No NC19PC01990102 Replacement Housing Factor Grant No:		2002			
Development Number Name/IA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
NC 19-2 Weeks Armstrong	Site: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00
	Mechanical and Electrical: None	1460	Total ME:	\$0.00	\$0.00	\$0.00	\$0.00
	Building Exterior: None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: None	1460	Total D.U.:	\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: None	1470	Total I.C.A.:	\$0.00	\$0.00	\$0.00	\$0.00
	Site-Wide Facilities: None	1470	Total S.W.F.:	\$0.00	\$0.00	\$0.00	\$0.00
	Nondwelling Equipment: None	1475	Total N.D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Total Weeks		Project Total:	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement / Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PIA Name:		Grant Type and Number		Federal FY or Grant:		Status of Work	
Rocky Mount Housing Authority		Capital Fund Program Grant No NC19P01960102 Replacement Housing Factor Grant No:		2002			
Development Number Name/IA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
NC 19-3 West End Terrace	Site: None Mechanical and Electrical: None Building Exterior: Roof Repairs Dwelling Units: None Dwelling Equipment: None Interior Common Areas: None Site-Wide Facilities: None Nondwelling Equipment: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$20,000.00	\$0.00	\$0.00	\$0.00
				\$20,000.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
Total NDE:			\$0.00	\$0.00	\$0.00	\$0.00	
Total SWFs:			\$0.00	\$0.00	\$0.00	\$0.00	
Total ICAs:			\$0.00	\$0.00	\$0.00	\$0.00	
Total D.E.:			\$0.00	\$0.00	\$0.00	\$0.00	
Total DUs:			\$0.00	\$0.00	\$0.00	\$0.00	
Total D.E.:			\$0.00	\$0.00	\$0.00	\$0.00	
Total ICAs:			\$0.00	\$0.00	\$0.00	\$0.00	
Total SWFs:			\$0.00	\$0.00	\$0.00	\$0.00	
Total NDE:			\$0.00	\$0.00	\$0.00	\$0.00	
Total West End			Project Total:	\$20,000.00	\$0.00	\$0.00	\$0.00

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PIA Name:		Grant Type and Number		Federal FY of Grant:		Status of Work	
Rocky Mount Housing Authority		Capital Fund Program Grant No NC19PO1990102 Replacement Housing Factor Grant No:		2002			
Development Number Name/FA-Vide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
NC 19.4 Weeks Armstrong	Site: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00
	Mechanical and Electrical: Install Central Heat Systems	1460	13 Units	\$58,000.00	\$0.00	\$0.00	\$0.00
			Total MAE:	\$58,000.00	\$0.00	\$0.00	\$0.00
	Building Exterior: None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Roof Repairs	1460	As Needed	\$40,000.00	\$0.00	\$0.00	\$0.00
			Total DUE:	\$40,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: None	1470	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00
	Site-Wide Facilities: None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00
Non-dwelling Equipment: None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
Total, Weeks		Project Total:	\$98,000.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

Development Number Nemaha-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-4 Scattered Sites	Site: None Mechanical and Electrical: Replace Electrical Service Panels Building Exterior: Dwelling Units: Bathroom Renovations Dwelling Equipment: None Interior Common Areas: None Site-Wide Facilities: None Nondwelling Equipment: None	1450	Total Site: As Needed Total M&E: Total B.E.: Total DUs: Total D.E.: Total CAs: Total SWFs: Total NDE: Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$15,000.00	\$0.00	\$0.00	\$0.00	
				\$15,000.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$85,000.00	\$0.00	\$0.00	\$0.00	
				\$85,000.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
				\$0.00	\$0.00	\$0.00	\$0.00	
\$0.00	\$0.00	\$0.00	\$0.00					
\$0.00	\$0.00	\$0.00	\$0.00					
\$0.00	\$0.00	\$0.00	\$0.00					
\$0.00	\$0.00	\$0.00	\$0.00					
\$0.00	\$0.00	\$0.00	\$0.00					
\$0.00	\$0.00	\$0.00	\$0.00					
\$0.00	\$0.00	\$0.00	\$0.00					
\$100,000.00	\$0.00	\$0.00	\$0.00					

PMA Name: Rocky Mount Housing Authority
 Grant Type and Number: Capital Fund Program Grant No NC19PO1930102
 Replacement Housing Factor Grant No:
 Federal FY of Grant: 2002

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name:		Grant Type and Number		Federal FY of Grant:		Status of Work	
Rocky Mount Housing Authority		Capital Fund Program Grant No NC19PO1950102 Replacement Housing Factor Grant No:		2002			
Development Number Narrow/All-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
NC 19-9 Methyrs Lane	Site: None Mechanical and Electrical: Installation Of Central-Heat Systems Building Exterior: None Dwelling Units: None Dwelling Equipment: None Interior Common Areas: None Site-Wide Facilities: None Nondwelling Equipment: None	1450	Total Site: 50 Units Total MAE: Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.90	\$0.00	\$0.00	\$0.00
				\$236,715.00	\$0.00	\$0.00	\$0.00
				\$236,716.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
1460	Total DUs: Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
1470	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
		\$0.00	\$0.00	\$0.00	\$0.00		
Total, Methyrs			Project Total:	\$236,716.00	\$0.00	\$0.00	\$0.00

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)	
	Original	Revised	Original	Revised
Rocky Mount Housing Authority				
Grant Type and Number Capital Fund Program Grant No.: NC19PO1850102 Replacement Housing Factor Grant No.				
Computers & Software	03/31/04		03/31/05	
Management	03/31/04		03/31/05	
Management & Maintenance Training	03/31/04		03/31/05	
HA-Wide				
Norroutine vacancy prep.	03/31/04		03/31/05	
Garbage Carts	03/31/04		03/31/05	
HA-Wide Appliances (HVAC & HWH) Dwelling Equip.	03/31/04		03/31/05	
HA-Wide Automotive Equipment	03/31/04		03/31/05	
Maintenance Equipment	03/31/04		03/31/05	
NC 19-1 West End	03/31/04		03/31/05	
NC 19-2 Weeks	03/31/04		03/31/05	
NC 19-3 West End	03/31/04		03/31/05	
NC 19-4 Weeks	03/31/04		03/31/05	
NC 19-5 Scattered	03/31/04		03/31/05	
NC 19-9 Mchtyre	03/31/04		03/31/05	

ATTACHMENTW

P&EReportsforFY2001CFP(NaturalDisaster)IncludesResolutionNo.50(2001)

NC019B02

CAPITAL FUND PROGRAM TABLES START HERE

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: The Housing Authority of Rocky Mount, NC
Grant Type and Number: Capital Fund Program Grant No: NC19PO1950101
Replacement Housing Factor Grant No: Federal FY of Grant: 2001

Original Annual Statement and Evaluation Report for Period Ending: Reserve for Disasters/ Emergencies
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements Soft Costs	\$175,534.00	\$0.00	\$0.00	\$0.00
	Management Improvements Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$82,671.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$40,000.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$742,879.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$226,116.00	\$0.00	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$18,641.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$50,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
	Amount of Annual Grant: (Sum of lines 2-19)	\$1,335,841.00	\$0.00	\$0.00	\$0.00
	Amount of line 19 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
	Amount of line 19 Related to Section 504 compliance	\$0.00	\$0.00	\$0.00	\$0.00
	Amount of line 19 Related to Security—Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
	Amount of line 19 related to Security—Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPPRH) Part I: Summary

PHA Name: The Housing Authority of Rocky Mount, NC
 Grant Type and Number: Capital Fund Program Grant No: NC19PO1950101
 Replacement Housing Factor Grant No: _____
 Federal FY of Grant: 2001

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: Y Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	Total Actual Cost
	Amount of line 19 Related to Energy Conservation Measures	\$0.00	\$0.00
	Collateralization Expenses or Debt Service	\$0.00	\$0.00

X _____ X
 Larry Russell Signature of Executive Director Date

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
HA-Wide Mgmt.	Computers & Software	1408		\$6,500.00				
Improvements	Management	1408		\$155,534.00				
	Management & Maintenance Training	1408		\$10,000.00				
	Consultant Fees	1408		\$3,500.00				
			Total 1408	\$175,534.00				
HA-Wide Admin	Administration	1410		\$82,671.00		\$0.00	\$0.00	
HA-Wide Fees & Costs	A & E services @ no more than 7% of the annual grant amount	1430		\$0.00		\$0.00	\$0.00	
	Maps & Surveys	1440	Total 1440	\$0.00				
	Sidewalks & Street Repair/Replacement	1450		\$20,000.00				
	Tree Maintenance & Termitte Service	1450		\$12,000.00				
	Landscaping	1450		\$8,000.00				
			Total 1450	\$40,000.00		\$0.00	\$0.00	
HA-Wide	Non-routine Vacancy Prep.	1460		\$20,000.00				
	Unexpected Physical Needs	1460		\$46,000.00				
	Garbage Carts	1460		\$5,000.00				
	PM Material	1460		\$18,000.00				
	Occupied Painting	1460		\$53,500.00				
	Replace Asbestos Tiles	1460		\$46,164.00				
			Total 1460	\$188,664.00		\$0.00	\$0.00	
	Appliances (HVAC & HWHD) Dwelling Equip	1465	Total 1465	\$10,000.00		\$0.00	\$0.00	
	Non Dwelling Structures	1470	Total 1470	\$18,641.00		\$0.00	\$0.00	
	Automotive Equipment	1475.7		\$18,000.00				
	Office Maintenance & Community Space Equ	1475		\$22,000.00				
	Maintenance Equipment	1475		\$10,000.00				
			Total 1475	\$50,000.00		\$0.00	\$0.00	
	Development Activities	1499	Total 1499	\$0.00		\$0.00	\$0.00	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC 19-1	Site:							
West End	None	1450		\$0.00		\$0.00	\$0.00	
Terrace	Building Dwelling		Total Site	\$0.00		\$0.00	\$0.00	
	Tub Liners	1460		\$64,500.00		\$0.00	\$0.00	
	Building Exterior:		Total M&E	\$64,500.00		\$0.00	\$0.00	
	Roof Repair	1460	As Needed	\$20,000.00		\$0.00	\$0.00	
	Dwelling Units:		Total B&E	\$20,000.00		\$0.00	\$0.00	
	Bathroom Renovations	1460	15 Units	\$0.00		\$0.00	\$0.00	
	Dwelling Equipment:		Total DU's	\$0.00		\$0.00	\$0.00	
	None	1465.1		\$0.00		\$0.00	\$0.00	
	Interior Common Areas:		Total DE	\$0.00		\$0.00	\$0.00	
	None	1470		\$0.00		\$0.00	\$0.00	
	Site-Wide Facilities:		Total ICA's	\$0.00		\$0.00	\$0.00	
	None	1470		\$0.00		\$0.00	\$0.00	
	Non-dwelling Equipment:		Total SWF's	\$0.00		\$0.00	\$0.00	
	None	1475		\$0.00		\$0.00	\$0.00	
			Total NDE	\$0.00		\$0.00	\$0.00	
Total	West End		Project Total	\$84,500.00		\$0.00	\$0.00	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PIHA Name: The Housing Authority of Rocky Mount, North NC		Grant Type and Number Capital Fund Program Grant No: NC19P01950101 Replacement Housing Factor Grant No:		Federal FY of Grant: 2001		Status of Work		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost			Total Actual Cost	
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC 19-2	Site:							
Weeks	None	1450		\$0.00		\$0.00	\$0.00	
Armstrong	Mechanical and Electrical:		Total Site	\$0.00		\$0.00	\$0.00	
	None	1460	Total M&E	\$0.00		\$0.00	\$0.00	
	Building Exterior:							
	None	1460	Total B&E	\$0.00		\$0.00	\$0.00	
	Dwelling Units:		25 Units	\$0.00		\$0.00	\$0.00	
	Install Tub Liners	1460	Total DU's	\$0.00		\$0.00	\$0.00	
	Dwelling Equipment:							
	None	1465.1	Total DE	\$0.00		\$0.00	\$0.00	
	Interior Common Areas:							
	None	1470	Total ICA's	\$0.00		\$0.00	\$0.00	
	Site-Wide Facilities:							
	None	1470	Total SWP's	\$0.00		\$0.00	\$0.00	
	Non-dwelling Equipment:							
	None	1475	Total NDE	\$0.00		\$0.00	\$0.00	
			Project Total	\$0.00		\$0.00	\$0.00	
Total	Weeks							

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: The Housing Authority of Rocky Mount, North NC		Grant Type and Number Capital Fund Program Grant No: NC19PO1950101 Replacement Housing Factor Grant No:		Federal FY of Grant: 2001				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC 194	Site:							
Weeks	None	1450		\$0.00		\$0.00	\$0.00	
Armstrong	Building Exterior:		Total Site	\$0.00		\$0.00	\$0.00	
	None	1460		\$0.00		\$0.00	\$0.00	
	Renovations:		Total M&E	\$0.00		\$0.00	\$0.00	
	None	1460		\$0.00		\$0.00	\$0.00	
	Dwelling Units:		Total BE	\$0.00		\$0.00	\$0.00	
	Roof Repair	1460	As Needed	\$40,000.00		\$0.00	\$0.00	
	Install Central Heat System		Total DU's	\$58,000.00		\$0.00	\$0.00	
	Dwelling Equipment:			\$98,000.00		\$0.00	\$0.00	
	None	1465.1		\$0.00		\$0.00	\$0.00	
	Interior Common Areas:		Total DE	\$0.00		\$0.00	\$0.00	
	None	1470		\$0.00		\$0.00	\$0.00	
	Site-Wide Facilities:		Total ICA's	\$0.00		\$0.00	\$0.00	
	None	1470		\$0.00		\$0.00	\$0.00	
	Non-dwelling Equipment:		Total SWF's	\$0.00		\$0.00	\$0.00	
	None	1475		\$0.00		\$0.00	\$0.00	
			Total NDE	\$0.00		\$0.00	\$0.00	
Total	Weeks		Project Total	\$98,000.00		\$0.00	\$0.00	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Act No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC 19-5	Site:							
Scattered Sites	None	1450		\$0.00		\$0.00	\$0.00	
	Renovations		Total Site	\$0.00		\$0.00	\$0.00	
	Replace Kitchen Cabinets, sinks, ranges	1460	101 Units	\$336,715.00		\$0.00	\$0.00	
	Building Exterior:		Total M&E	\$336,715.00		\$0.00	\$0.00	
	Windows	1460	40 Units	\$0.00		\$0.00	\$0.00	
	Renovations		Total BE	\$0.00		\$0.00	\$0.00	
	Replace Electrical Service Panels	1460	As Needed	\$15,000.00		\$0.00	\$0.00	
	Dwelling:		Total DU's	\$15,000.00		\$0.00	\$0.00	
	Bathroom Renovations	1465.1	40 Units	\$85,000.00		\$0.00	\$0.00	
	Interior Common Areas:		Total DE's	\$85,000.00		\$0.00	\$0.00	
	None	1470		\$0.00		\$0.00	\$0.00	
	Site-Wide Facilities:		Total ICA's	\$0.00		\$0.00	\$0.00	
	None	1470		\$0.00		\$0.00	\$0.00	
	Non-dwelling Equipment:		Total SWF's	\$0.00		\$0.00	\$0.00	
	None	1475		\$0.00		\$0.00	\$0.00	
			Total NDE	\$0.00		\$0.00	\$0.00	
Total	Scattered		Project Total	\$436,715.00		\$0.00	\$0.00	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: The Housing Authority of Rocky Mount, North NC		Grant Type and Number Capital Fund Program Grant No: NC19PO1950101 Replacement Housing Factor Grant No:		Federal FY of Grant: 2001				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NC 19-9	Site:							
McIntyre	None	1450		\$0.00		\$0.00	\$0.00	
			Total Site	\$0.00		\$0.00	\$0.00	
	Mechanical and Electrical:							
	None	1460		\$0.00		\$0.00	\$0.00	
			Total ME's	\$0.00		\$0.00	\$0.00	
	Building Exterior:							
	None	1460		\$0.00		\$0.00	\$0.00	
			Total BE's	\$0.00		\$0.00	\$0.00	
	Dwelling Units:							
	Kitchen Renovations	1460	46 Units	\$131,116.00		\$0.00	\$0.00	
			Total DU's	\$131,116.00		\$0.00	\$0.00	
	Interior Common Areas:							
	None	1470		\$0.00		\$0.00	\$0.00	
			Total ICA's	\$0.00		\$0.00	\$0.00	
	Site-Wide Facilities:							
	None	1470		\$0.00		\$0.00	\$0.00	
			Total SWF's	\$0.00		\$0.00	\$0.00	
	Non-dwelling Equipment:							
	None	1475		\$0.00		\$0.00	\$0.00	
			Total NDE's	\$0.00		\$0.00	\$0.00	
Total	McIntyre			\$131,116.00		\$0.00	\$0.00	

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name The Housing Authority of Rocky Mount, NC		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No. 1				
Development Number/Name/HA-Wide	Year 1 FFY: 2001	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 4	Work Statement for Year 3 FFY Grant: 2003 PHA FY:	Work Statement for Year 4 FFY Grant: 2004 PHA FY:	Work Statement for Year 5 FFY Grant: 2005 PHA FY:	
PHA WIDE	Annual Statement	\$174,500.00	\$171,000.00	\$172,000.00	\$122,000.00	
NC 19-1 West End Terrace		\$48,000.00	\$44,950.00	\$232,000.00	\$44,950.00	
NC 19-2 Weeks Armstrong		\$70,000.00	\$25,000.00	\$20,000.00	\$20,000.00	
NC 19-3 West End Terrace		\$247,541.00	\$45,000.00	\$45,000.00	\$345,500.00	
NC 19-4 Weeks Armstrong		\$12,500.00	\$90,986.00	\$187,641.00	\$90,986.00	
NC 19-5 Scattered Sites		\$172,931.00	\$623,816.00	\$344,111.00	\$450,905.00	
NC 19-9 McIntyre Lane		\$290,000.00	\$8,500.00	\$8,500.00	\$8,500.00	
HA-Wide Contingency @ X%		\$0.00	\$0.00	\$0.00	\$0.00	
Physical Improvements Subtotal		\$1,015,472.00	\$1,009,252.00	\$1,009,252.00	\$1,082,841.00	
Management Improvements		\$164,869.00	\$164,869.00	\$164,869.00	\$135,000.00	
HA-Wide Non-dwelling Structures & Equipment		\$64,000.00	\$64,000.00	\$64,000.00	\$40,000.00	
Administration		\$85,500.00	\$91,720.00	\$91,720.00	\$76,000.00	
Other (Fees, Cost & Relocation)		\$6,000.00	\$6,000.00	\$6,000.00	\$2,000.00	
Operations		\$0.00	\$0.00	\$0.00	\$0.00	
Deduction		\$0.00	\$0.00	\$0.00	\$0.00	
Replacement Reserve		\$0.00	\$0.00	\$0.00	\$0.00	
Mod Used for Development		\$0.00	\$0.00	\$0.00	\$0.00	
Total CFP Funds (Est.)		\$1,335,841.00	\$1,335,841.00	\$1,335,841.00	\$1,335,841.00	
Total Non-CGP Funds		\$0.00	\$0.00	\$0.00	\$0.00	
Total Replacement Housing Factor Funds		\$1,335,841.00	\$1,335,841.00	\$1,335,841.00	\$1,335,841.00	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1 FFY 2001	Activities for Year: 2005 FFY Grant: PHA FY:	Activities for Year: 2005 FFY Grant: PHA FY:	Activities for Year: 2005 FFY Grant: PHA FY:
Description of Major Work Categories	Quantity	Estimated Cost	
See PHA Wide			
Annual Computer Software		\$5,000.00	NC 19-2 Weeks Armstrong
Statement Unexpected Physical Needs		\$37,000.00	Landscaping
Non-Routine Vacancy Repairs		\$5,000.00	Sidewalk Repair
PM Materials		\$16,000.00	Total NC 19-2
Maps & Surveys		\$1,000.00	NC 19-3 West End Terrace
A&E Service		\$5,000.00	Sidewalk Repair
Consultant Fees		\$3,000.00	Landscaping
Office Equipment		\$1,000.00	Central Heat
Community Space Equipment		\$2,000.00	Total NC 19-3
Maintenance Equipment		\$5,000.00	NC 19-4 Weeks Armstrong
Pest Control/Termite Service		\$5,000.00	Interior Doors Closets
Graffiti Removal		\$5,000.00	Central Heat Systems
Automotive Equipment		\$4,000.00	Total NC 19-4
Dwelling Equipment		\$12,000.00	NC 19-5 Scattered Sites
Garbage Carts		\$4,000.00	HVAC
Vinyl Repair		\$2,000.00	Roof Repair
Non-Dwelling Equipment		\$10,000.00	Window Replacements
Total PHA Wide		\$172,000.00	Sidewalk Repair
Management		\$135,000.00	Total NC 19-5
Administration		\$118,000.00	NC 19-9 McIntyre Lane
NC 19-1 West End Terrace			Landscaping
Three-step or more handrails	45 Units	\$6,450.00	Sidewalk Repair
Interior Painting	20 Units	\$13,500.00	Total NC 19-9
Sidewalk Repair	As needed	\$10,000.00	
Large Tree Removal	As needed	\$10,000.00	
Landscaping	As needed	\$5,000.00	
Total NC 19-1		\$44,950.00	
Subtotal of Estimated Cost		\$469,950.00	Subtotal of Estimated Cost
			\$915,891.00

CAPITAL FUNDS PROGRAM 2001
HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NC

ACCOUNT 1410

ADMINISTRATION COST ALLOCATION
METHODOLOGY

<u>LINE ACCOUNT ITEM:</u>	<u>BUDGET:</u>
Travel Expenses	\$3,000.00
Printing of Publications	\$1,500.00
Membership Dues and Fees	\$500.00
Telephone and Facsimile	\$2,500.00
Sundries	\$6,000.00
Maintenance/Modernization Assistant Salary (100%)	\$23,514.00
PM Mechanic Salary (100%)	\$27,347.00
Benefits for above two salaries	\$18,310.00
<u>GRAND TOTAL:</u>	<u>\$82,671.00</u>

/TWR

CAPITAL FUNDS PROGRAM 2001
HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NC.

ACCOUNT 1408

MANAGEMENT COST ALLOCATION
METHODOLOGY

<u>LINE ACCOUNT ITEM:</u>	<u>% FACTOR:</u>	<u>BUDGET:</u>
Accounting Clerk Assistant Salary	10%	\$2,618.00
Accounting Clerk Salary	5%	\$1,048.00
Administrative Assistant Salary	15%	\$4,194.00
Executive Director Salary	15%	\$13,341.00
Finance/MIS Director Salary	15%	\$8,108.00
Director of Facilities Salary	100%	\$48,456.00
Maintenance Operations Coordinator Salary	100%	\$35,765.00
Receptionist	5%	\$833.00
Benefits (for above eight salaries)	N/A	\$41,171.00
Computer Software Management	N/A	\$6,500.00
Management and Maintenance Training	N/A	\$10,000.00
Consultant Fees	N/A	\$3,500.00
<u>GRAND TOTAL:</u>		<u>\$175,534.00</u>

TWR/

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

FYA Name:

Rocky Mount Housing Authority

Grant Type and Number
Capital Fund Program Grant No.
Replacement Housing Factor Grant No:

NC19P019501 00

Federal FY of Grant:
2000

Original Annual Statement
 Performance and Evaluation Report for Period Ending: 8/30/2001

Reserve for Disaster/Emergencies

Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1408 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$164,860.00	\$0.00	\$151,540.75	\$122,067.50
4	1410 Administration	\$79,500.00	\$0.00	\$79,500.00	\$30,289.27
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$5,000.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$2,500.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$37,800.00	\$0.00	\$32,500.00	\$29,750.00
10	1460 Dwelling Structures	\$799,540.00	\$0.00	\$723,367.02	\$334,532.84
11	1465.1 Dwelling Equipment-Nonexpendable	\$68,000.00	\$0.00	\$4,168.00	\$4,168.00
12	1470 Nondwelling Structures	\$2,000.00	\$0.00	\$2,000.00	\$1,978.00
13	1475 Nondwelling Equipment	\$150,000.00	\$0.00	\$88,543.52	\$88,543.52
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1480 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$1,309,200.00	\$0.00	\$1,081,619.29	\$611,329.13
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

NC019B04

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No. NC19PO19501 00 Replacement Housing Factor Grant No:		Federal FY of Grant: 2000		Status of Work		
Development Number HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost	
				Original	Revised		Funds Obligated	Funds Expended
HA-Wide Mgmt. Improvmts	Computers & Software Management & Maintenance Training Consultant Fees	1408		\$6,500.00	\$0.00	\$6,500.00	\$4,501.52	
		"		\$144,860.00	\$0.00	\$144,860.00	\$117,385.23	
		"		\$10,000.00	\$0.00	\$180.75	\$180.75	
		"		\$3,500.00	\$0.00			
	Total 1408			\$164,860.00	\$0.00	\$151,540.75	\$122,067.50	
HA-Wide Admin	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan - Administration	1410		\$79,500.00	\$0.00	\$79,500.00	\$30,289.27	
HA-Wide Fees and Costs	A & E services	1430		\$5,000.00	\$0.00	\$0.00	\$0.00	
	Maps & Surveys	1440		\$2,500.00	\$0.00	\$32,500.00	\$29,750.00	
	Landscaping all communities	1450		\$32,500.00	\$0.00	\$5,450.00		
	Nonroutine vacancy prep.	1460		\$20,000.00	\$0.00	\$10,281.47	\$10,281.47	
HA-Wide	Unexpected physical needs	"		\$6,000.00	\$0.00	\$2,875.46	\$2,875.46	
	Garbage Carts	"		\$8,000.00	\$0.00	\$9,569.35	\$9,569.35	
	PM materials	"		\$18,000.00	\$0.00	\$28,176.28	\$22,726.28	
		"		\$70,000.00	\$0.00	\$4,168.00	\$4,168.00	
	Total 1460			\$110,000.00	\$0.00	\$4,168.00	\$4,168.00	
HA-Wide	Appliances (HVAC & HWH) Dwelling	1465		\$10,000.00	\$0.00	\$4,168.00	\$4,168.00	
		"		\$10,000.00	\$0.00	\$4,168.00	\$4,168.00	
		"		\$60,000.00	\$0.00	\$47,607.06	\$47,607.06	
		"		\$5,000.00	\$0.00	\$536.74	\$536.74	
HA-Wide	Office equipment	1475		\$5,000.00	\$0.00	\$39,099.35	\$39,099.35	
	Maintenance equipment	"		\$75,000.00	\$0.00	\$1,300.37	\$1,300.37	
	Community space equipment	"		\$10,000.00	\$0.00	\$88,543.52	\$88,543.52	
		"		\$150,000.00	\$0.00	\$0.00	\$0.00	
	Total 1475			\$350,000.00	\$0.00	\$88,543.52	\$88,543.52	
HA-Wide	Demolition (specify location(s))	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	Relocation expenses	1495.1		\$0.00	\$0.00	\$0.00	\$0.00	

**Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PIA Name:		Grant Type and Number		Federal FY of Grant:		Status of Work	
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO19501 00		2000			
		Replacement Housing Factor Grant No:					
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
NC 19-1 West End Terrace	Site: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
	Mechanical and Electrical: None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
	Building Exterior: House Numbers	1460	Total B.E.:	\$3,000.00	\$0.00	\$0.00	\$0.00
				\$3,000.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: None	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: None	1470	Total ICAs:	\$2,000.00	\$0.00	\$2,000.00	\$1,978.00
				\$2,000.00	\$0.00	\$2,000.00	\$1,978.00
	Site-Wide Facilities: None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
	Nondwelling Equipment: None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00
				\$0.00	\$0.00	\$0.00	\$0.00
Total, West End			Project Total:	\$5,000.00	\$0.00	\$2,000.00	\$1,978.00

**Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No. NC19PO19601 00 Replacement Housing Factor Grant No.		Federal FY of Grant: 2000		Status of Work	
Development Number Namer/PA-Vide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost
				Original	Revised	Obligated	Expended
NC 19-2 Weeks Armstrong	Site: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00
	Mechanical and Electrical: None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00
	Building Exterior: None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: None	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: None	1470	Total I.Cas.:	\$0.00	\$0.00	\$0.00	\$0.00
	Site-Wide Facilities: None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00
	Nondwelling Equipment: None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00
	Total, Weeks		Project Total:	\$0.00	\$0.00	\$0.00	\$0.00

**Annual Statement Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

Development Number Name/FA-Vide Activities		General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
Original	Revised	Obligated	Expended						
PFA Name: Rocky Mount Housing Authority Grant Type and Number: Capital Fund Program Grant No. NC19P019501 00 Replacement Housing Factor Grant No: 2000 Federal FY of Grant: 2000									
NC 19-3 West End Terrace	Site: None		1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
					\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None		1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
					\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: Install House Numbers		1460	110 Units	\$2,800.00	\$0.00	\$0.00	\$0.00	
				Total B.E.:	\$2,800.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: Replace kitchen Bathroom renovations Replace tiles		1460	26 Units 100 Units 25 Units	\$62,400.00 \$220,000.00 \$39,940.00	\$0.00	\$62,400.00 \$220,000.00 \$17,558.43	\$18,177.47 \$118,867.55 \$17,558.43	
				Total DUs:	\$322,340.00	\$0.00	\$299,958.43	\$154,603.45	
	Dwelling Equipment: None		1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
					\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None		1470	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
					\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None		1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
					\$0.00	\$0.00	\$0.00	\$0.00	
	NonDwelling Equipment: None		1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
					\$0.00	\$0.00	\$0.00	\$0.00	
				Project Total:	\$325,140.00	\$0.00	\$299,958.43	\$154,603.45	
Total, West End									

Annual Statement Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PIA Name:		Grant Type and Number		Federal FY of Grant		Status of Work	
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO19501 00 Replacement Housing Factor Grant No.		2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Obligated	Expended
NC 19-4 Weeks Armstrong	Site: None	1450	Total Site:	\$0.00	\$0.00	\$0.00	\$0.00
	Mechanical and Electrical: None	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00
	Building Exterior: None	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Dwelling Units: Bathroom/tub surrounds & tubs Kitchen Paint interiors	1460	45 Units 26 Units 20 Units Total DUs:	\$99,000.00 \$57,600.00 \$22,000.00 \$178,600.00	\$0.00	\$99,000.00 \$57,600.00 \$21,832.31 \$178,432.31	\$0.00 \$57,600.00 \$21,832.31 \$79,432.31
	Dwelling Equipment: None	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00
	Interior Common Areas: None	1470	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00
	Site-Wide Facilities: None	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00
	Nondwelling Equipment: None	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00
	Total, Weeks		Project Total:	\$178,600.00	\$0.00	\$178,432.31	\$79,432.31

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No. NC-19PO19501 00 Replacement Housing Factor Grant No:		Federal FY of Grant: 2000		Status of Work		
Development Number Name/FA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost	
				Original	Revised	Obligated	Expended	
NC 19-5 Scattered Sites	Site: None	1450	Total Site: \$0.00	\$0.00	\$0.00	\$0.00		
	Mechanical and Electrical: None	1460	Total M&E: \$0.00	\$0.00	\$0.00	\$0.00		
	Building Exterior: Install House Numbers	1460	198 Units Total B.E.: \$6,000.00	\$0.00	\$0.00	\$0.00		
	Dwelling Units: Doors Kitchens	1460	101 Units 38 Units Total DUs: \$216,800.00	\$0.00	\$0.00	\$77,770.80		
	Dwelling Equipment: Replace Ranges	1465.1	133 Units Total D.E.: \$58,000.00	\$0.00	\$0.00	\$0.00		
	Interior Common Areas: None	1470	Total ICAs: \$0.00	\$0.00	\$0.00	\$0.00		
	Site-Wide Facilities: None	1470	Total SWFs: \$0.00	\$0.00	\$0.00	\$0.00		
	Nondwelling Equipment: None	1475	Total NDE: \$0.00	\$0.00	\$0.00	\$0.00		
	Total	Scattered		Project Total	\$280,800.00	\$0.00	\$216,800.00	\$77,770.80

**Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/FA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-9 McIntyre Lanes	Site: Community Entrance Sign & Landscaping Clean & re-stripe parking lots	1450	11 Units	\$3,300.00 \$2,000.00 Total Site: \$5,300.00	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	
	Mechanical and Electrical:	1460	Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior:	1460	Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	None							
	Dwelling Units:	1460	Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	None							
	Dwelling Equipment:	1465.1	Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	None							
	Interior Common Areas:	1470	Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	None							
	Site-Wide Facilities:	1470	Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	None							
	Nondwelling Equipment:	1475	Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
	None							
Total, McIntyre			Project Total:	\$5,300.00	\$0.00	\$0.00	\$0.00	

PIA Name: Rocky Mount Housing Authority
 Grant Type and Number: Capital Fund Program Grant No. NC19PO19501 00
 Replacement Housing Factor Grant No. 2000
 Federal FY of Grant: 2000

Economic Opportunities for Low and Very Low-Income Persons in Connection with Assisted Projects

U.S. Department of Housing and Urban Development
Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043 (exp. 4/30/97)

For Project Numbers:
NC19FO1970899, NC19FO1950100,
NC19FO1950201, NC19FO1970900, and
NC19FO19709002

HUD Act of 1968, Section 3 **Contracts: \$2,000.00 & up**

Public Reporting Burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2529-0043), Washington, D.C. 20503. Do not send this completed form to either of these addressees.

1. Recipient Name & Address: (Street, City, State, Zip) Housing Authority of the City of Rocky Mount, NC Post Office Box 4717 Rocky Mount, N.C. 27803		2. Federal Identification: (Contract/Award No.) See Project Numbers Above	3. Dollar Amount of Award \$279,444.56
		4. Contact Person: Teresa Rhodes, Mod./Maint. Asst.	5. Phone: (include Area code) 252-407-1941
		6. Reporting Period: July 1st, 2000-to June 30, 2001	7. Date Report Submitted: August 22, 200

8. Program Code: **3** (Use a separate sheet for each Program code)

Part I: Employment and Training (* Include New Hires in columns C and D.)

	A Total New Hires by Job Category	B % of Aggregate New Hires that are Section 3 Residents	C % of total staff hours for Section 3 Employees and Trainees *	D Number of Section 3 Employees and Trainees *	E Racial/Ethnic Code(s) For Columns D and E, show Numbers				
					1	2	3	4	5
Professionals	SPECIAL Projects Manager	1%	Temp. Full-Time 40 hrs. a week	1		1			
Technicians	Maintenance Mechanic	1%	Perm. Full-Time 40 hrs. a week	1		1			
Office/Clerical	Community Services Coordinators	2%	Temp. Full-Time 40 hrs. a week	1		3			
Construction by Trade (List)	Trade: General Contractor	2%	Temp. Part-Time 20 - 25 hrs. a week	2		2			
Trade:									
Trade:									
Trade:									
Trade:									
Other (List)	Maintenance Assistant	1%	Temp. Full-Time 40 hrs. a week	1		1			
Total:		6%	240 to 250 hrs. a wk.	6		6			

Racial/Ethnic Codes:
1 = White American
2 = Black American
3 = Native American
4 = Hispanic American
5 = Asian/Pacific American
6 = Hasidic Jews (For Part II only)

Program Codes:
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing Development, Operation and Modernization
4 = Homeless Assistance
5 = HOME

6 = HOME-State Administered
7 = CDBG-Entitlement
8 = CDBG-State Administered
9 = Other CD Programs
10 = Other Housing Programs

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: _____ Grant Type and Number: _____ Federal FY of Grant: **2000**
 The Housing Authority of the City of Rocky Mount, NC
 Capital Fund Program Grant No. Disaster & Emergency Funding Caused By "Hurricane Floyd"
 Replacement Housing Factor Grant No: _____

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 12-31-01 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$26,700.00	\$26,700.00	\$26,700.00	\$26,700.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$15,500.00	\$15,500.00	\$15,500.00	\$15,500.00
8	1440 Site Acquisition	\$0.00	\$125,000.00	\$121,824.50	\$121,824.50
9	1450 Site Improvement	\$140,000.00	\$85,150.00	\$65,509.92	\$65,509.92
10	1460 Dwelling Structures	\$1,560,000.00	\$319,000.00	\$17,315.56	\$17,315.56
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$30,000.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$325,000.00	\$119,105.60	\$119,105.60
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1498 Mod Used for Development	\$0.00	\$675,850.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$1,772,200.00	\$1,772,200.00	\$365,955.58	\$365,955.58
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

NC019B05

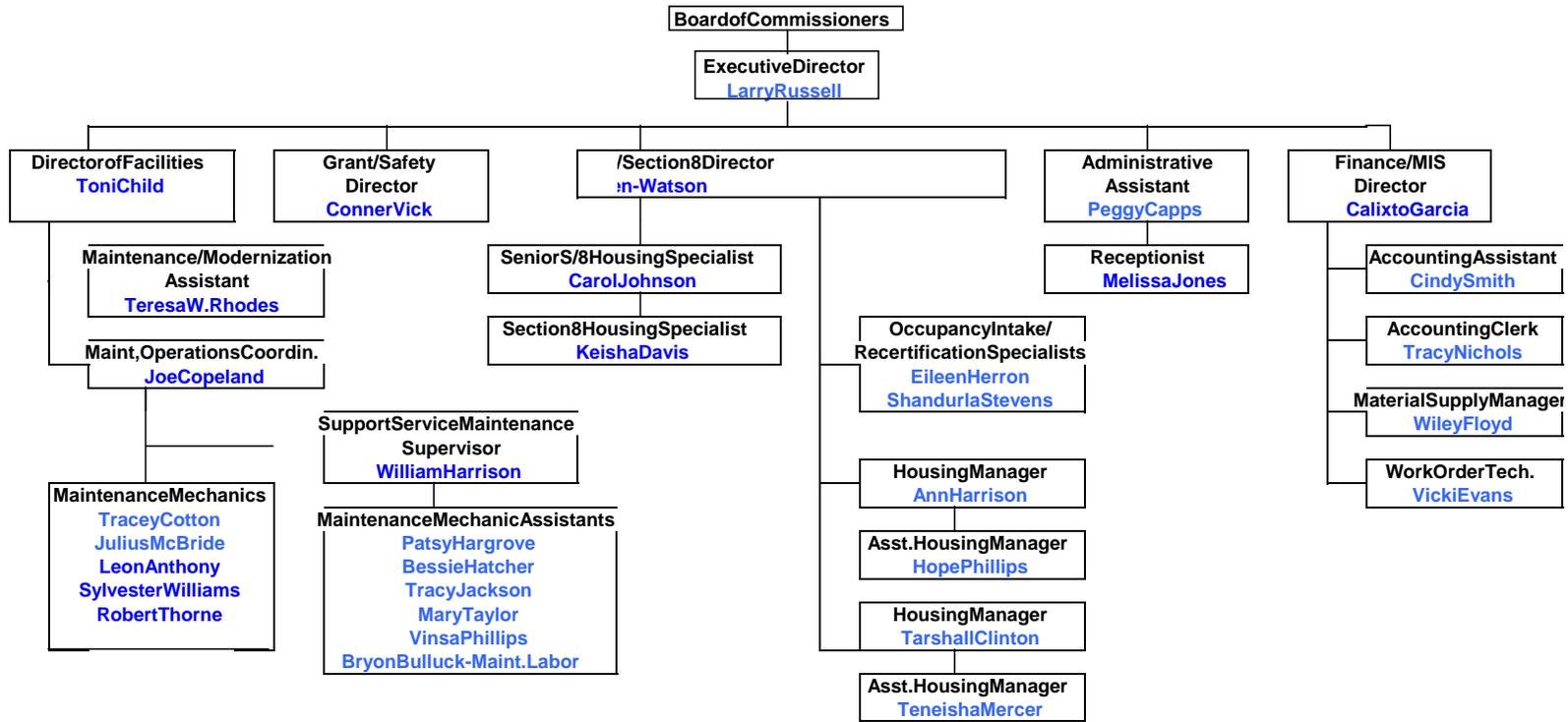
**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work*	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PIA Name: Rocky Mount Housing Authority Grant Type and Number: Capital Fund Program Grant Replacement Housing Factor Grant No: NC19PO19709 Federal FY of Grant: 2000 "Hurricane Floyd"								
Weeks Armstrong Disaster and Emergency Request 19-2 19-4 19-5	Management	1408						
	Administration	1410	100%	\$26,700.00	\$26,700.00	\$26,700.00	\$26,700.00	
			Total 1408	\$0.00	\$0.00	\$0.00	\$0.00	
HA-Wide Fees and Costs	A & E services @ 7% of the	1430	100%	\$15,500.00	\$15,500.00	\$15,500.00	\$15,500.00	
	Acquisitions	1440	100%	\$0.00	\$125,000.00	\$121,824.50	\$121,824.50	
	Sidewalks Repair/Replacement	1450		\$50,000.00	\$30,000.00	\$30,000.00	\$30,000.00	
	Tree maintenance & Landscaping	1450		\$30,000.00	\$10,000.00	\$4,913.01	\$4,913.01	
	Site Improvements	1450		\$60,000.00	\$45,150.00	\$30,596.91	\$30,596.91	
		1450	Total 1450	\$140,000.00	\$85,150.00	\$65,509.92	\$65,509.92	
HA-Wide	Replacement/New Construction Critical/Substantial Renovations Non Dwelling Structures Demolition	1460 1470 1485	58 Units	\$1,560,000.00	\$319,000.00	\$17,315.56	\$17,315.56	
	Mod Used for Development	1498	58 Units	\$30,000.00	\$325,000.00	\$119,105.60	\$119,105.60	
	Disaster & Emergencies Funds Grand Total			\$0.00	\$875,850.00	\$0.00	\$0.00	
				\$1,772,200.00	\$1,772,200.00	\$365,955.58	\$365,955.58	

Annual Statement / Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name:	Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Federal FY of Grant:
		Original	Revised	Original	Revised	
Rocky Mount Housing Authority	NC 19-2 Weeks Armstrong NC 19-4 Weeks Armstrong NC 19-5 Scattered Sites Management	03/30/03		03/30/05		Disaster & Emergency Funding 2000 Reasons for Revised Target Dates
		03/30/03		03/30/05		
		03/30/03		03/30/05		
		03/30/03		03/30/05		

ORGANIZATIONAL CHART



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ATTACHMENT D

**Capital Fund Program Five-Year Action Plan
Part I: Summary**

PHA Name: _____
Rocky Mount Housing Authority

Original 5-Year Plan
 Revision No: _____

Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2		Work Statement for Year 3		Work Statement for Year 4		Work Statement for Year 5	
		FFY Grant: PHA FY:	2003	FFY Grant: PHA FY:	2004	FFY Grant: PHA FY:	2005	FFY Grant: PHA FY:	2006
PHA Wide		\$195,000	\$190,000	\$192,000	\$195,000	\$195,000			
NC 19-1: West End Terrace		\$48,000	\$150,000	\$75,000	\$50,000	\$50,000			
NC 19-2: Weeks Armstrong		\$0	\$0	\$0	\$0	\$0			
NC 19-3: West End Terrace		\$150,000	\$43,000	\$140,000	\$150,000	\$150,000			
NC 19-4: Weeks Armstrong		\$165,000	\$265,000	\$273,841	\$165,000	\$165,000			
NC 19-5: Scattered Sites		\$202,841	\$102,841	\$200,000	\$200,000	\$200,000			
NC 19-9: McInhyre Lane		\$100,000	\$10,000	\$5,000	\$90,000	\$90,000			
HA-Wide contingency @X%		\$0	\$0	\$0	\$0	\$0			
HA-Wide Physical Activities		\$160,000	\$250,000	\$125,000	\$160,841	\$160,841			
Management Improvements		\$175,000	\$175,000	\$175,000	\$175,000	\$175,000			
HA-Wide Non-dwelling Structures		\$150,000	\$150,000	\$150,000	\$150,000	\$150,000			
CFP Funds Listed for		\$850,841	\$760,841	\$885,841	\$850,000	\$850,000			
5-year planning		\$1,335,841	\$1,335,841	\$1,335,841	\$1,335,841	\$1,335,841			
Replacement Housing									
Factor Funds		\$0	\$0	\$0	\$0	\$0			

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Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1 2002		Activities for Year 2 FFY Grant: 2003 PHA FY:		Activities for Year 3 FFY Grant: 2004 PHA FY:	
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
HA-Wide Physical Improvements					
	HA-WIDE Site: Sidewalk Repair Tree Maintenance PM Material Unexpected Physical Needs Garbage Carts Office Equipment Computer Equipment Asbestos Tile Removal Maintenance Equipment Community Space Equipment Automotive Equipment Non-Dwelling Structures Physical Activities	\$30,000 \$15,000 \$18,000 \$40,000 \$5,000 \$5,000 \$6,500 \$32,500 \$10,000 \$5,000 \$18,000 \$150,000 \$150,000		HA-WIDE Site: Sidewalk Repair Tree Maintenance PM Material Unexpected Physical Needs Garbage Carts Office Equipment Computer Equipment Asbestos Tile Removal Maintenance Equipment Community Space Equipment Automotive Equipment Non-Dwelling Structures Physical Activities	\$20,000 \$15,000 \$15,000 \$40,000 \$5,000 \$5,000 \$6,500 \$40,000 \$40,000 \$5,500 \$20,000 \$18,000 \$150,000 \$250,000
	Total Site:	\$495,000		Total Site:	\$590,000
	NC 19-1: West End Terrace Tub liners	\$48,000		NC 19-1: West End Terrace HVAC Systems	\$150,000
	Total 19-1:	\$48,000		Total 19-1:	\$150,000
	NC 19-2: Weeks Armstrong None	\$0		NC 19-2: Weeks Armstrong None	\$0
	Total 19-2:	\$0		Total 19-2:	\$0
	NC 19-3: West End Terrace HVAC Systems	\$150,000		NC 19-3: West End Terrace Roof Repair	\$43,000
	Total NC 19-3:	\$150,000		Total NC 19-3:	\$43,000
	NC 19-4: Weeks Armstrong HVAC Systems	\$165,000		NC 19-4: Weeks Armstrong HVAC Systems	\$265,000
	Total NC 19-4:	\$165,000		Total NC 19-4:	\$265,000
	NC 19-5: Scattered Sites Bathroom Renovations	\$202,841		NC 19-5: Scattered Sites Bathroom Renovations	\$102,841
	Total NC 19-5:	\$202,841		Total NC 19-5:	\$102,841
	NC 19-9: McIntyre Lane New Roofs	\$100,000		NC 19-9: McIntyre Lane Building Numbers	\$10,000
	Total NC 19-9:	\$100,000		Total NC 19-9:	\$10,000
	Management Improvements PHA Wide	\$175,000		Management Improvements PHA Wide	\$175,000
	Total Management:	\$175,000		Total Management:	\$175,000
	Subtotal of Estimated Cost	\$1,335,841		Subtotal of Estimated Cost	\$1,335,841

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year 4 FFY Grant: 2005 PHA FY:			Activities for Year 5 FFY Grant: 2005 PHA FY:			
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost	
2002	HA-Wide Physical Improvements	HA-WIDE Site: Sidewalk Repair Tree Maintenance PM Material Unexpected Physical Needs Garbage Carts Office Equipment Computer Equipment Asbestos Tile Removal Maintenance Equipment Community Space Equipment Automotive Equipment Non-Dwelling Structures Physical Activities	\$30,000	HA-Wide Physical Improvements	HA-WIDE Site: Sidewalk Repair Tree Maintenance PM Material Unexpected Physical Needs Garbage Carts Office Equipment Computer Equipment Asbestos Tile Removal Maintenance Equipment Community Space Equipment Automotive Equipment Non-Dwelling Structures Physical Activities	\$20,000	
			\$15,000			\$15,000	
			\$18,000			\$15,000	
			\$47,000			\$40,000	
			\$5,000			\$8,341	
			\$5,000			\$10,000	
			\$6,500			\$26,500	
			\$32,500			\$40,000	
			\$10,000			\$20,000	
			\$5,000			\$20,000	
			\$18,000			\$18,000	
			\$150,000			\$150,000	
			\$125,000			\$125,000	
			Total Site:			\$467,000	\$506,841
			NC 19-1: West End Terrace HVAC Systems				\$75,000
Total 19-1:	\$75,000	\$50,000					
NC 19-2: Weeks Armstrong None		\$0	NC 19-2: Weeks Armstrong None		\$0		
Total 19-2:	\$0	\$0					
NC 19-3: West End Terrace HVAC Systems		\$140,000	NC 19-3: West End Terrace HVAC Systems		\$150,000		
Total NC 19-3:	\$140,000	\$150,000					
NC 19-4: Weeks Armstrong HVAC Systems		\$273,841	NC 19-4: Weeks Armstrong New Roofs		\$165,000		
Total NC 19-4:	\$273,841	\$165,000					
NC 19-5: Scattered Sites HVAC Systems		\$200,000	NC 19-5: Scattered Sites HVAC Systems		\$200,000		
Total NC 19-5:	\$200,000	\$200,000					
NC 19-9: McIntyre Lane Re-striping Parking Lot		\$5,000	NC 19-9: McIntyre Lane New Playgrounds		\$90,000		
Total NC 19-9:	\$5,000	\$90,000					
Management Improvements PHA Wide		\$175,000	Management Improvements PHA Wide		\$175,000		
Total Management:	\$175,000	\$175,000					
Subtotal of Estimated Cost		\$1,335,841	Subtotal of Estimated Cost		\$1,335,841		



Revision 4: July 17, 2001

**Rocky Mount Housing Authority's Schedule For Submitting
Conventional & Capital Annual & Five Year Plans
FOR FISCAL YEAR 2002**

Prepared By: Larry Russell, Executive Director

<u>DATE</u>	<u>ACTIVITIES</u>
Tuesday, June 12, 2001	Review New Housing Act and Schedule with RMHA Board of Commissioners.
Tuesday, June 21, 2001	Review New Housing Act and Schedule with RMHA Resident Advisory Board.
Tuesday, June 26, 2001 (Staff Meeting)	RMHA Departmental 5-year Goals and Objectives Due.
Tuesday, July 17, 2001	Have First Draft of 5-year and Annual Plan Completed. Notify Advisory Board and Post.
Monday, July 23, 2001	West End Terrace Resident Community Meeting Capital Funds Grant 2002
Monday, July 30, 2001	Weeks Armstrong Homes Resident Community Meeting - Capital Funds Grant 2002
Friday, August 10, 2001	Post All Policies and Proposed Rent Change Information (if applicable). Send Out Notice For Public Housing Hearing Scheduled September 25, 2001
Monday, August 13, 2001	Advance Resident Meeting for all Communities Final Proposed Draft - Capital Funds Grant 2002
Tuesday, August 21, 2001	Present Information on Any Proposed Policy and Rent Change Information to RMHA Board of Commissioners. Present any required Resolutions to the Board for Approval.
August 20, 2001 - August 31, 2001	Schedule Additional Meetings with Residents and Resident Advisory Board.
Tuesday, September 25, 2001	Public Hearing at Rocky Mount City Council Chamber 4:00 p.m. Have Final Proposed Draft of 5 Year and Annual Plan Available.
October 1, 2001 - October 8, 2001	Meet with Advisory Board If Necessary.
Tuesday, October 9, 2001	Present 5-year and Annual Plan to RMHA Board of Commissioners for Final Approval.

Wednesday, October 10, 2001

**Mail Final 5-year Annual Plan to HUD for Approval.
(NOTE - PLAN DUE TO HUD ON THURSDAY,
OCTOBER 18, 2001)**

cc: WET Resident President
WAH Resident President
Resident Advisory Board
RMHA Department Heads
RMHA Board of Commissioners
HUD
Post
File

letter/lrhrgoal

ATTACHMENTE

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075 - PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$188,691.00

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R _____ X _____

C. FFY in which funding is requested 2002 _____

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
West End Terrace	372	901
Weeks Armstrong	394	1204

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ **12 Months** _____ **18 Months** _____ **24 Months** _____ **X** **Other** _____

NC019E01

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant#	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1996 -1997	209,000	NC19DEP0190197	-0-		Completed
FY 1997 -1998	230,100	NC19DEP0190198	-0-		Completed
FY 1998 -1999	168,914	NC19DEP0190199	\$23,741.00		12-2001
FY 1999-2000	176,043	NC19DEP0190200	\$17,348.00		9-2001
FY 2000 -2001	188,691	NC19DEP0190201	\$188,691.00		11-2002

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2002 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 -Reimbursement of Law Enforcement	45,961
9120 -Security Personnel	
9130 -Employment of Investigators	
9140 -Voluntary Tenant Patrol	
9150 -Physical Improvements	
9160 -Drug Prevention	135,730
9170 -Drug Intervention	
9180 -Drug Treatment	
9190 -Other Program Costs	7,000
TOTAL PHDEP FUNDING	188,691

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise — not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement						Total PHDEP Funding: \$45,961	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.			9/2002	9/2004	45,961	-0-	
2.							
3.							

9120 - Security Personnel						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9130 - Employment of Investigators						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol						Total PHDEP Funding: \$	
Goal(s)							
Objectives							

ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.							
2.							
3.							

9150 - PhysicalImprovements					TotalPHDEPFunding:\$		
Goal(s)							
Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.							
2.							
3.							

9160 -DrugPrevention					TotalPHDEPFunding:\$		
Goal(s)							
Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.	2100	RMHA	2/2002	9/2004	135,730	-0-	
2.							
3.							

9170 -DrugIntervention					TotalPHDEPFunding:\$		
Goal(s)							
Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.							
2.							
3.							

9180 -DrugTreatment					TotalPHDEPFunding:\$		
Goal(s)							

Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.							
2.							
3.							

9190 -OtherProgramCosts					TotalPHDEPFunds:\$		
Goal(s)							
Objectives							
Proposed Activities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.			9/2002	9/2004	7,000	-0-	
2.							
3.							

Section3:Expenditure/ObligationMilestones

IndicatebyBudgetLineItemandtheProposedActivity(basedontheinformationcontainedinSection2PHDEPPlanBudgetand Goals),the%offundsthatwillbeexpended(atleast25%ofthetotalgrantaward)andobligated(atleast50%ofthetotalgr ant award)within12monthsofgrantexecution.

BudgetLine Item#	25%Expenditure ofTotalGrant FundsByActivity #	TotalPHDEP Funding Expended(sumof theactivities)	50%Obligation ofTotalGrant FundsbyActivity #	TotalPHDEP Funding Obligated(sumof theactivities)
<i>e.gBudgetLine Item#9120</i>	<i>Activities1,3</i>		<i>Activity2</i>	
911045,961		45,961		45,961
9120				
9130				
9140				
9150				
9160135,730		135,730		135,730
9170				
9180				
91907,000		7,000		7,000
TOTAL		\$188,691		\$188,691

Section4:Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certification of Compliance with the PHA Plan and Related Regulations.”

D.EXECUTIVESUMMARY

The authorities PHDEP is built around the following programs -- Community Policing and youth activities.

The objectives of Community Policing in the Rocky Mount Housing Authority (RMHA) is to develop trust at the neighborhood level by identifying and learning to effectively work with the community around a shared program for drug education and victim/witness responsibilities; the needs of the community in general; and the needs of victims in particular.

The focus of our youth programs is on prevention rather than treatment; specifically promoting programs that build strengths and address causes rather than symptoms. A major goal of the program is to expand effective outreach to more youth who are most vulnerable because they feel inadequate, inferior, or insecure and have no support systems.

SECTION 2
A--PHDEPPLANSUMMARY

There is clearly a need in our community for our youth to have an alternative to drugs, alcohol, teenage pregnancy, and dropping out of school. Our youth programs will indeed provide for a much needed physical fitness program for our youth.

The broad strategies for intervention employed by our youth programs:

- 1. Develop highly structured activities that foster sportsmanship, discipline, respect, honesty and fair play.*
- 2. Provide peer tutoring to improve academic performance.*
- 3. Provide parental skill building in communication and discipline.*
- 4. Provide mentoring opportunities involving successful community citizens to provide a positive, caring role model.*

Evaluation of programs will be through monthly reports and end of year evaluation by a third party and RMHA Staff.

REIMBURSEMENT OF LAW ENFORCEMENT (9110)

GOALS

Community Police Officers offer a wide variety of crime prevention programs and outreach services and program to at-risk youth in targeted communities, such as Kids Against The Streets -- K.A.T.S., Boy Scout Explorer Post and Youth Understand Cigarettes Kill -- Y.U.C.K. Additionally, the officers act as liaisons between resident committees and local government, including the police department.

OBJECTIVES

The Rocky Mount Police Department will work closely with the Rocky Mount Housing Authority's (RMHA) Safety and Security Specialist and the Analysis and Reporting Section to monitor police activities, gather data on criminal activities, evaluate progress and success, and plan for future efforts. RMHA Property Managers also will be a part of this effort and will move to terminate the lease of any resident involved in drug-related criminal activity.

PROPOSED ACTIVITIES

The Rocky Mount Police Department (RMPD) has well established partnership with the Rocky Mount Housing Authority. This program is modeled after the "Weed and Seed" prototype and provides a mix of proactive and law enforcement elements (weeding) and community-wide oriented prevention elements (seeding).

PERFORMANCE INDICATORS

By August 31, 2002, the number of calls for police services to RMHA developments will be reduced by 60%.

By August 31, 2002, 100% of all residents and staff of the Authority will know the Community Police Officers by name.

DRUGPREVENTION(9160)

GOALS

To promote academic excellence and good citizenship through athletics. Our goal is to provide opportunities and resources so that youth living in assisted housing may advance to satisfactory levels in their academic and athletic goals.

OBJECTIVES

To translate the dedication and work ethic necessary to be successful in sports to the classroom. To provide each participant the opportunity to receive additional help and tutoring with schoolwork and increase the level of education to that desired by the individual.

PROPOSED ACTIVITIES

The components of our sports programs teach the youth: football, basketball, soccer and baseball are not only games of brawn but also games of speed, agility and carefully orchestrated team work; no matter which sport you play, set high standards and inspire loyalty; play by the rules and be dedicated to the highest standards and goals; always striving to improve, whether playing a sport or simply living.

PERFORMANCE INDICATORS

Number of youth who have improved self-esteem, number of youth who have improved behavior, number of youth who have improved sense of responsibilities and opportunities.

Did the programs translate the dedication and work ethic necessary to be successful in sports to the classroom? Did the programs provide each participant the opportunity to receive additional help and tutoring with school work and increase the level of education to that desired by the individual?

NC019E01d

OTHER PROGRAM COST (9190)

GOALS

To conduct assessments and evaluations of the effectiveness of the PHDEP.

OBJECTIVES

The Rocky Mount Housing Authority (RMHA) strongly suggests that the principal approaches that the evaluator uses are Impact and Process evaluations. Impact evaluations determine if the programs are having the intended effect on the targeted problems and process evaluations determine if the programs are having the intended effect on the targeted problems and process evaluations determine if the programs is operating the way they were designed to operate. By looking at process and outcome, the Evaluator has a basis for knowing not only what the successes and failures are but what accounts for them.

PROPOSED ACTIVITIES

The major focus of the evaluation will be to insure that the increase knowledge gain through the training, consultation, and technical assistance efforts are effectively and consistently applied by staff working with youth and families during the implementation process. An evaluation of the Drug Elimination Program will be conducted as the primary part of the program development and implementation components of this grant. A series of program dimensions will be assessed through data collection. Reports from the progress of the program will be completely monthly and quarterly along with an annual report that will summarize the results of the overall program for the first year of funding. The program effectiveness will be evaluated primarily employing a multiple baselined design, (Baer, Wolf and Risley).

PERFORMANCE INDICATORS

Did the evaluation answer the following questions:

What was done in the program?

How was the program carried out?

Who participated in the program?

Was the program implemented as intended?

Did the program achieve what was expected?

Did the program produce the desired long-term effects?

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the "PHA Certifications of Compliance with the PHA Plan and Related Regulations."

ROCKYMOUNT HOUSING AUTHORITY
ROCKYMOUNT, NORTH CAROLINA
LARRY RUSSELL, EXECUTIVE DIRECTOR

PHDEP Plan for FY2001

Our PHDEP Plan for FY2002 is built around the following programs:

COMMUNITY POLICING

PROGRAM DESCRIPTION

In our ninth (9) year of having Community Policing Officers patrolling communities of the Rocky Mount Housing Authority (RMHA) we have found that community policing is at one time many things: it is philosophy, a style, a method, an approach, and an understanding of community-specific needs. Community policing is not rote, patterned unchanging, inflexible, and impersonal. Rather, it is a people and community-centered approach that redefines conventional police wisdom. Community policing is both results and process oriented. While results are clearly the end of the community policing, the process of problem identification, problem analysis, and problem solving are also vitally important and directly influence results. Other distinguishing characteristics of community policing include values, accountability, decentralization, power sharing, beat redesign assignments, empowerment of beat officers, investigations, supervision and management, training performance measures, and managing calls for police assistance.

Community policing values citizen input and participation in problem identification, analysis and solving. At its core, community policing is a philosophy that is accountable to residents of the community. It is decentralized to allow officers closest to the community to work with residents to solve problems. It may require redistricting police beats as rigidly assigned reporting boundaries do not always reflect neighborhood boundaries. Community policing involves local police officers who are most familiar with the communities in investigations that were traditionally centrally operated. This program encourages local police officers to become more involved in the assignment of the patrol activities.

The training integrates a community-centered problem solving philosophy at all levels of instruction from basic cadet training to supervisory and management training. Community policing performance measures success in terms beyond statistical reporting of arrests and citations, and rewards officer involvement with community members in crime prevention and resulting reduced crime rates.

COMMUNITY-CENTERED PATROL ACTIVITIES

Community policing is not defined by a specific program, but is more broadly illustrated as an array of strategies that place police officers in closer contact with the citizens they serve. Examples of community-centered patrol activities include:

- 1. Foot Patrols ó placing officers in the community in situations more apt to involve residents thereby building trust.*
- 2. Bicycle Patrols — providing a proven and effective law enforcement strategy with additional community relations benefits.*
- 3. Problem-oriented policing programs ó Involving residents, housing authority administrators and staff, and other community members into police partnerships to address specific community needs.*
- 4. Supporting resident patrols ó encouraging residents participation and supporting local organizations through visible and frequent contact while on patrol.*
- 5. Fear reduction programs ó providing needed assistance to residents reducing their fear of crime and increasing their likelihood of becoming involved in drug and crime prevention activities in their community.*
- 6. Community patrol officer programs ó having police officers conduct foot patrols, vertical patrols, and crime prevention through environmental design (CTED) activities.*

They can also promote crime prevention activities such as resident

patrols and neighborhood watch groups, and provide valuable assistance to residents by creating relationships with other community service agency personnel.

7. *Community substation ó placing officers closer to the people they serve and protect, reducing response times, and thereby reducing residents' fear of crimes, and increasing community relations.*
8. *Code enforcement teams ó rallying other community personnel such as inspectors for fire codes, building codes, health codes and tax codes to identify and turnaround troubled housing situations that contribute to neighborhood decay.*

While none of these programs individually compromise community policing, together they embody a philosophy that places community needs at the forefront of policing priorities.

OBSERVABLE OUTCOMES

In the Rocky Mount Housing Authority's (RMHA's) communities, community policing increases accountability to communities and provide quicker reaction times to respond to reports of drug and crime activities more efficiently.

Community policing increases police and citizen partnerships building confidence by involving and supporting residents in problem-solving situations.

Community policing encourages proactive crime prevention by placing them close to problem areas, enabling them to intervene earlier through foot patrols, and identification and removal of contributors to drug and crime problems.

Community policing encourages decentralization operations and creative problem solving. Community policing supports team decision making to solve problems at the street level where problems are most evident and solvable.

Community policing addresses issues of neighborhood decay preventing, wherever possible, such vices as drug selling, prostitution, and street gambling which can result in greater levels of deterioration if not abated. Such deterioration may serve as a magnet drawing unwanted criminal offenders into the neighborhood.

PROBLEM-ORIENTED POLICING

Community policing requires nothing less than problem oriented policing. Policing activities must be appropriate for community-specific needs. Police must be aware of community needs in order to address them. A problem oriented policing approach has four basic goals:

First, to increase police performance in reducing drugs and crime by addressing root causes underlying community-specific problems. Not in some soft or passive manner, but rather in a proactive and assertive manner that encourages officers to look beyond observable symptoms to address deeper rooted problems.

Second, to increase all police officer's knowledge, capacity, and reliance on creative approaches to analyze community-specific problems, identify strategies and tactics, and develop solutions appropriate for targeted communities, rewarding where possible the creative efforts of the officers involved;

Third, to encourage police officers to develop linkages with outside resources that may include housing, schools, healthcare, and treatment providers, businesses, churches, and other service organizations in cooperative efforts to solve community-specific problems and;

Fourth, to foster better human relations within communities to ensure that police response is appropriate, proactive, and viewed as contributing to the crime resolution by their communities and citizens.

Community policing works best in the light, in public, when successes are broadcasted.

Larry Russell, Executive Director, Rocky Mount Housing Authority (RMHA) has stated on several occasions:

Prevention is the historical legacy of the modern police force. Sir Robert Peel, author of the 1829 Metropolitan Police Act, directed in his first operational order to the London Police that it should be understood, at the onset, that the principal object to be attained is the

prevention of crime. To this great end, every effort is to be directed.

The Rocky Mount Police Department has been deeply involved in the development and ongoing implementation of drug elimination in public housing. All officers within the Rocky Mount Police Department provide assistance in making Housing Authority Communities a safe place to live. Thanks to federal grant funds two Rocky Mount Police Officers are able to concentrate the majority of their time on Housing Authority concerns. Having these two officers working for housing allows those that work and live in Housing to have all of their time related concerns taken care of in a quick and efficient manner.

The Housing Authority Officers actively patrol all Public Housing Communities within the city. The concentrated attention reduces loitering and the crime potential within these communities. Field interviews are taken of all suspicious persons within the community and those persons that have been banned from the property are charged with trespassing. A recent directed patrol of one community resulted in 13 arrests, including 2 for illegal drugs. Housing Authority Officers also take part in a number of community meetings and are attentive to what is said in these meetings.

Housing Authority Officers review daily reports for incidents which have occurred within housing. This information is given to resident managers and any questions they may have been answered. These reports allow the resident managers to know what is being done in their communities and allows them to take the appropriate action. These reports have allowed the Housing Authority to evict people that have taken part in criminal activity including the use of drugs.

NC019E01h

RockyMountHousingAuthority
RockyMount,NorthCarolina
LarryRussell,ExecutiveDirector

PHA/PHDEPPLANFORFY2000

COMMUNITYPOLICING

Supplementary Law Enforcement activities requested are those services not presently covered by baseline services provided by the Rocky Mount Police Department. Specifically, RockyMountHousingAuthority(RMHA)proposeto improve data collection and information exchange, target investigation, and narcotics enforcement at hot spots identified with public housing. Data Collection and information exchange includes the provision of fee-based information services from federal and local law enforcement agencies, in addition to improved information retrieval and archival for planning and analysis purposes. Targeted investigations and narcotics enforcement are designed to respond to and/or preempt street level drug market activity from occurring in and around housing authority premises, especially in locations where kids are present.

COMPUTERLEARNINGCENTER

These funds will help to continue an extremely successful program for youth and adults living in our communities. The RockyMountHousingAuthorityhas computer equipment purchased with money from a previous Drug Elimination Grant valued at \$175,000.00. Fund will be extended to pay for one (1) resident (RMHA) to help with the program. The program will promote our Welfare To Work Program for residents (RMHA). The school system will pay the salary and fringe benefits, program director and the class rooms, utilities, janitorial services, etc. will be provided by the school system at no cost to the RMHA.

SPORTSPROGRAMS

Increasingly, experts agree that the best long-term answer to drug abuse is effective prevention and that the most effective focuses on reaching at risk children. The RMHA, with its focus on at-risk youths and its long history of leadership in youth development programs, is strategically placed to provide

highly effective, well managed and successful prevention for RMHA youth.

NC019E01i

The classroom setting may not be the most effective place to teach life skills; golf programs may be a more appropriate setting. Children may learn social responsibility more effectively in adult supervised, real-life situations, such as on a golf course than in pretend exercises.

A strong policy against substance abuse—clearly articulated, consistently enforced and broadly communicated is the foundation upon which we will build our sports programs.

EDUCATION + HARD WORK - DRUGS = THE AMERICAN DREAM

OPERATION LIFT **(Learning Is Fun Today)**

The North Carolina Army National Guard units located in Rocky Mount, North Carolina in conjunction with the Rocky Mount Housing Authority, will operate Operation LIFT (Learning Is Fun Today). Operation LIFT is the sixth iteration of a youth program focused on Drug Demand Reduction in the Rocky Mount, North Carolina community.

Operation LIFT is a program for public housing youth, ages 11 to 16 years, male and female, to be conducted at the Rocky Mount National Guard, 600 Walnut Street, Rocky Mount, North Carolina.

GIRL SCOUTS PROGRAM

Girl Scouting is - A movement that gives from all segments of American Life a chance to develop their potential to make friends and to become a vital part of their community. Based on ethical values, it opens up a world of opportunity for youth working in partnership with adult volunteers.

COMMUNITY SERVICES COORDINATOR

The Community Services Coordinator position is the key ingredient in our strategy to eliminate drugs and drug-related crime from our housing development and in assisting the residents to recognize their ability and right to take control of their lives and environment.

ARTS PROGRAM

This program will help out youth connect through the arts. This program will put them in touch with the best of themselves, the best of their neighborhoods and the best that the world has to offer in music and the related arts. Everyone can find a program of instruction or activity in music and the related arts that are appropriate to his or her needs and aspirations. The program is dedicated to a mission of service and excellence in a setting that is fully accessible to students of all backgrounds and circumstances, without regard to ethnicity or ability to pay.

DR. MARTIN LUTHER KING CHOIR

Having evaluated our previous programs and the statistics of American young adult males, in particular African American males, along with racial tension from our youth, we now find it necessary to challenge the myths and statistics. This program will seek young people to discuss cultural diversity, violence and race relations. It is our intent the sessions will underscore the importance of educating youth to prepare them for the responsibility of citizenship. These sessions will help release our communities from the bondage of racism by using our youth to be a vehicle to carry this philosophy of hope.

EDUCATIONAL/TRAINING SEMINARS

RMHA staff and residents directly involved with the implementation of PHDEP will be offered the opportunity to attend training and seminars that support program goals. The training can include, but is not limited to, the following training programs, and seminars:

- *HUD sponsored training related to PHDEP*
- *Crime data analysis training*

- *Crime Prevention Training*
- *Training related to prevention, intervention, treatment, security or evaluation for PHDEP activities.*

***INDEPENDENT THIRD
PARTY TO EVALUATE***

The RMHA will hire an independent third party to conduct independent assessments and evaluations of the effectiveness of the PHDEP program.

STATE OF TEXAS

2008

Expenses for the year

The following is a list of the expenses for the year:

- 1. The first expense is for the purchase of a new car for the year 2008. The car was purchased on 1/15/08 for a net price of \$15,000. The car was purchased from a dealer and the purchase price included a sales tax of \$1,500 and a license fee of \$100. The total cost of the car was \$16,600. The car was used for personal purposes and is not eligible for a deduction.
- 2. The second expense is for the purchase of a new car for the year 2008. The car was purchased on 2/15/08 for a net price of \$15,000. The car was purchased from a dealer and the purchase price included a sales tax of \$1,500 and a license fee of \$100. The total cost of the car was \$16,600. The car was used for personal purposes and is not eligible for a deduction.
- 3. The third expense is for the purchase of a new car for the year 2008. The car was purchased on 3/15/08 for a net price of \$15,000. The car was purchased from a dealer and the purchase price included a sales tax of \$1,500 and a license fee of \$100. The total cost of the car was \$16,600. The car was used for personal purposes and is not eligible for a deduction.
- 4. The fourth expense is for the purchase of a new car for the year 2008. The car was purchased on 4/15/08 for a net price of \$15,000. The car was purchased from a dealer and the purchase price included a sales tax of \$1,500 and a license fee of \$100. The total cost of the car was \$16,600. The car was used for personal purposes and is not eligible for a deduction.
- 5. The fifth expense is for the purchase of a new car for the year 2008. The car was purchased on 5/15/08 for a net price of \$15,000. The car was purchased from a dealer and the purchase price included a sales tax of \$1,500 and a license fee of \$100. The total cost of the car was \$16,600. The car was used for personal purposes and is not eligible for a deduction.

Expenses for the year 2008

NC019E01k

NC019E011



ATTACHMENT F

EXECUTIVE DIRECTOR
Larry Russell

WEST END TERRACE
1006 Aycock Street
Rocky Mount, N.C.

WEEKS-ARMSTRONG
116 Pinehurst Drive
Rocky Mount, N.C.

HOUSING AUTHORITY
OF THE CITY OF

ROCKY MOUNT, NORTH CAROLINA

27803-0717
POST OFFICE BOX 4717
TELEPHONE (919) 977-3141
Voice & TDD
FAX (919) 977-6210

BOARD OF COMMISSIONERS

CLEVE WHATLEY, Chairperson
BETTY PAYNE, Vice Chairperson
ROBERT BREWER
RUSSELL JACKSON
LEONARD WIGGINS
LUCILLE POWELL, Alternate

Mailed 8-31-99 PC
August 31, 1999

**Ms. Meredith Holland
My Sister's House
P.O. Box 1702
Rocky Mount, NC 27802**

Dear Ms. Holland:

Re: Rocky Mount Housing Authority Community Service Program

Recently a letter was mailed to various local non-profits in referenced to public housing Residents having to perform Community Service.

A question and answer meeting concerning the referenced program is scheduled at the Hyman Battle Center, 1101 Aycock Street, September 8, 1999 at 4:00 P.M.

If you are interested in participating in the program and would like to attend the September meeting, please contact Mrs. Peggy Capps by September 3, 1999 at 977-3141.

Sincerely,

**Larry Russell
Executive Director**

LR:mc

**cc: Resident Presidents
Shirley Leak, Housing Services Director/Asst. to the E.D.
RMHA Board of Commissioners**



HOUSING AUTHORITY

OF THE CITY OF

ROCKY MOUNT, NORTH CAROLINA

27803-0717

POST OFFICE BOX 4717

TELEPHONE (252) 977-3141

Voice & TDD

FAX (252) 977-6210

WEST END TERRACE

Administrative
1006 Aycokk Street
Rocky Mount, N.C. 27803
FAX (252) 977-6210

WEEKS-ARMSTRONG

Housing Management
Maintenance/Moderization
116 Pinehurst Drive
Rocky Mount, N.C. 27801
FAX (252) 407-1941

August 11, 1999

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LEONARD WIGGINS
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EXECUTIVE DIRECTOR

Larry Russell

*Ms. Myrtle Thomas
AARP (Lunch Group)
1405 Pine Haven Drive
Rocky Mount, NC 27803*

Dear Ms. Thomas:

Re: Public Housing Community Service Requirement

In accordance with the Quality Housing and Work Responsibility Act of 1998, each adult resident (18 or older) living in public housing must contribute 8 hours of Community Service per month to the community in which the family resides. Those who are employed, elderly, disabled, participating in an economic self-sufficiency program, excluded from the state's work requirements or enrolled in a qualifying state program are exempted.

Rocky Mount Housing Authority(RMHA) must monitor family's compliance. If the family members fail to comply, the Housing Authority must not renew the lease unless the family members and the Housing Authority enter into an agreement to bring the resident current with owed hours.

RMHA's Residents have established a "Resident Advisory Board" who have been making some recommendations to RMHA on how to enforce various regulations of the 1998 Housing Act. The Resident Advisory Board recommended that RMHA identify non-profit agencies in the Rocky Mount area to determine if they are willing to allow residents to complete the required hours at their agency. Based on the above recommendation, I hope your agency will be willing to be a participating Community Service Agency.

If your agency is interested in obtaining more information about the Community Service Program, please do not hesitate to contact me or Mrs. Shirley Leak at 977-3141.

Sincerely,

*Larry Russell
Executive Director*

LR:mc

*cc: Shirley Leak, Housing Services Director/Asst. to E.D.
Gwen Mulchi, WET Housing Manager
Ann Harrison, WAH Housing Manager
Lisa Parker, WET Resident President
Brenda Macklin, WAH Resident President
Connor Vick, Safety/Grant Coordinator
RMHA Board of Commissioners
File*

**MINUTES OF ROCKY MOUNT HOUSING AUTHORITY
RESIDENT ADVISORY BOARD MEETING
JUNE 17, 1999 AT 4:20 P.M.**

The purpose of the meeting was to update the residents on -

- 1. Rocky Mount Housing Authority (RMHA) Schedule for Implementing the QHWRAR*
- 2. Resident Survey*
- 3. Community Service Requirement*

Residents present — See attached sign-in sheet

RMHA Staff present — Larry Russell, Executive Director

Mr. Russell first reviewed the following:

- 1. Introduced members*
- 2. Purpose of the Advisory Board*
- 3. Informed Board that Laurene Hunter, Section 8 Resident had agreed to be a member of the Board.*
- 4. Parts of the 1998 Housing Act has been reviewed —*
 - a. Community Service Requirement*
 - b. Income Targeting*
 - c. Minimum Rent*
 - d. Flat-Rent*
 - e. Minimum Rent Hardship*
 - f. Disallowance of Earned Income*

g. Earned Income Disregard

5. Resident Survey Form —

A copy of the Housing Authority Act was passed out to those present. The Advisory Board agreed that it would be better for the Housing Authority to identify Community Agencies who will allow Residents to perform their Community Service Requirement.

The next Advisory Board meeting will be as stated in the schedule provided at the meeting.

The meeting adjourned 5:30 P.M.

***cc: RMHA Advisory Board Members
RMHA Board of Commissioners
Gwen Mulchi, WET Housing Manager
Ann Harrison, WAH Housing Manager
Shirley Leak, Housing Services Director/Asst to E.D.
File***

RESIDENT ADVISORY BOARD MEETING ON APRIL 7, 1999

I. Goals Established By The Resident Advisory Board (RAB)

1. **To obtain information about New Public Housing Act of 1998**
2. **To understand the role of the RAB**
 - (a) **To participate in the establishment of the 5 year plan.**
3. **To share the information on the Public Housing Act with other Residents**

II. First Project: To work with Rocky Mount Housing Authority to deal with Residents who have to perform community services.

Items #I and #II were voted on and approved by the RAB. At the next meeting (TBA) the RAB will discuss;

1. **Parts of the Housing Act and get a progress report form RMHA staff**
2. **Plans on steps to be taken in referenced to the first project "Community Service Requirement."**

A copy for provisions related to the Housing Act was handed out to the RAB.

**ROCKY MOUNT HOUSING AUTHORITY'S (RMHA'S) PARTICIPATING
COMMUNITY SERVICE AGENCIES**

<u>Agency Name</u>	<u>Address</u>	<u>Phone #</u>	<u>Contact Person</u>
<i>Edgecombe- Nash Mental Health</i>	<i>500 Nash Medical Arts Mall Rocky Mount, NC 27804</i>	<i>937-8141</i>	<i>Doris Garrett</i>
<i>Computer Learning Center</i>	<i>Baskerville Elementary 1100 Stokes Street Rocky Mount, NC 27801</i>	<i>972-2714</i>	<i>Phyllis E. Parker</i>
<i>My Sister's House</i>	<i>P.O. Box 1702 Rocky Mount, NC 27801</i>	<i>977-2092</i>	<i>Sheryl Ellis</i>
<i>United Way</i>	<i>161 S. Winstead Ave. Rocky Mount, NC 27804</i>	<i>937-2213</i>	<i>Michelle Sessoms</i>
<i>CIS/WFRC (Community In Schools/ Williford Family Resource Center)</i>	<i>Williford Elementary 801 Williford Street Rocky Mount, NC 27803</i>	<i>446-5118</i>	<i>Tilda D. Howard</i>
<i>Communities in School</i>	<i>201 S. Pearl Street Rocky Mount, NC 27804</i>	<i>442-9991</i>	<i>Sylvia Harris</i>
<i>Down East Partnership for Children</i>	<i>P.O. Box 1245 Rocky Mount, NC 27802</i>	<i>985-4300</i>	<i>Shirley Green Brenda Battle</i>
<i>Ebenezer Baptist Church</i>	<i>652 Raleigh Road Rocky Mount, NC 27803</i>	<i>446-8363</i>	
<i>Wright Center</i>	<i>501 Raleigh Road Rocky Mount, NC 27803</i>	<i>442-8363</i>	<i>Renee Leonard</i>
<i>Life Changing Christian Outreach Center</i>	<i>223 S. E. Main Street Rocky Mount, NC 27801</i>	<i>977-2337</i>	<i>Bob Prezioso</i>
<i>Nash Edgecombe Economic Development Full-Year Head Start</i>	<i>1108 Luper Street P.O. Box 2346 Rocky Mount, NC 27802</i>		
<i>O.R. Pope School</i>	<i>226 Coleman Avenue Rocky Mount, NC 27801</i>	<i>977-3487</i>	<i>Gloria Williams</i>
<i>Carolyn's Child Care Center</i>	<i>1501 Barnes Street P.O. Box 612 Rocky Mount, NC 27801</i>	<i>442-6134</i>	<i>Carolyn</i>

ROCKYMOUNTHOUSINGAUTHORITY
ROCKYMOUNT,NORTHCAROLINA

CRIMEADVISORYBOARD
(CAB)

RMHA

AnnHarrison
KirbyHarper
MinnieKnight
LarryRussell

ConnorVick
AnnieScott
BeatriceArmstrong
GwenMulchi

RMPD

OfficerJefferies
OfficerMoore

ChiefBillHogan
Lt.WayneSears

PARKSANDRECREATION

JohnBattle

The next meeting of CAB will be:

Day: Wednesday

Date: April 5, 2000

Time: 10:00 AM

Where: 3rd Floor, Police Office, City Hall

Attached is a copy of the minutes of the last meeting.

Required Attachment: Resident Member of the PHA Governing Board

i. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Minnie Knight**

B. How was the resident board member selected: (select one)?

Elected

Appointed

C. The term of appointment is (include the date term expires): **2/20/01-6/30/04**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full-time basis

the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (explain):

B. Date of next ten-year expiration of a governing board member: **6/30/04**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Mayor Frederick Turnage

City of Rocky Mount

P.O. Box 1180

Rocky Mount, NC 27802-1180

AGENCY MEETING FOR ALL COMMUNITIES

CAPITAL EQUIPMENT PROGRAM

AGENCY MEETING

OFFICE OF THE CITY OF BOSTON, BOSTON, MASSACHUSETTS

M I N U T E S

The meeting began at 7:00 PM on 11/17/2010.

The Board of Directors reviewed the meeting agenda and approved the agenda. The Board of Directors also reviewed the agenda and approved the agenda.

The purpose of the meeting is to discuss the Capital Equipment Program. The Board of Directors will discuss the program and make recommendations to the City Council. The Board of Directors will also discuss the program and make recommendations to the City Council.

The Board of Directors will discuss the program and make recommendations to the City Council. The Board of Directors will also discuss the program and make recommendations to the City Council.

The Board of Directors will discuss the program and make recommendations to the City Council. The Board of Directors will also discuss the program and make recommendations to the City Council.

The meeting adjourned at 8:00 PM.

Paul F. Daley
Mayor

11/17/10

WEST END TERRACE (RESIDENTS UNDER THE MANAGEMENT OF GWEN MULCH) COMMUNITY MEETING

CAPITAL FUNDS PROGRAM 2002 MONDAY, JULY 23RD, 2001 HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NORTH CAROLINA MINUTES

The meeting began at 5:36 P.M. There were approximately 34 attendees.

Toni Child, Director Of Facilities, greeted and welcomed everyone in attendance. She then began the meeting by explaining the reason for this community meeting, the objective of the Capital Funds Program (previously known as Comprehensive Grant Program), and the program process. Toni explained that the Housing Authority is now required to submit an Agency "Five - year Plan". The Capital Funds Grant Submittal will be a part of this Agency "Plan". Next, Toni explained that the community meetings are used to gather residents' wishes (suggestions, ideas) for improvements on their apartment. The same thing we did last year, and will continue to do every year. We're here as a team to gather lists to be included in the 2002 Capital Funds Grant and Five -Year Plan. Sheets were passed to all attendees for their use and convenience.

Toni asked if anyone present has gotten anything that they asked for last year such as new doors, closet doors, painting, etc. A few in attendance did receive an improvement that they asked for in the 2000 and/or 2001 Capital Funds Meeting.

Next, Toni went over physical improvements being implemented presently or will be implemented in the next month or two such as new doors, closet doors, interior painting, bathroom renovations, kitchen renovations, new floor tile, handrails, etc. Remember, we can only do a little at a time. We will get to everyone eventually. Physical Improvements and Communities are listed in a Five -Year Plan. So, you will get done eventually as each group of monies come in. We try to do the oldest first. Such as kitchen renovations. We try to get the people who have the oldest cabinets first, then move on to the second oldest, and so on.

Joe Copeland, Maintenance Operations Coordinator, went over some of the improvements already done in the past such as new electrical services, some tree services, some landscaping, some handrails, sidewalk repairs, etc.

Again, we are asking for your input on improvements that you would like to see done in your apartment. On the sheets that were given to you, please list any improvements you would like. Please give this to us at the end of this meeting or forward it to your Housing Manager as soon as possible, before the Advance Meeting. We try to put as many improvements in the plan as we can. However, remember that they have to be reasonable. (No Jacuzzi -ha/ha.)

nc019f05

WESTEND TERRACE COMMUNITY MEETING MINUTES

.JULY 23RD, 2001. -PAGETWO -

The next meeting will be for the residents residing in the Weeks Armstrong Community; and then the "Advance Meeting" which will be held on August 13th. At this Advance Meeting, we will finalize the lists from all communities. If you forgot something that you want, you will want to tell us then. After the Advance Meeting, there will not be another opportunity to add things. The Public Hearing, which will be held on September 25th, will be the final meeting. After this meeting, the finalized Grant will be presented to our Board of Commissioners, then it turns to HUD.

It was suggested that the attendees take extra forms home to distribute among their neighbors who were unable to attend. The more feedback (residents' wishes) we receive, the better the program can be. We want to meet the residents' needs, therefore, we need all residents to speak out. re

After no further discussion, the meeting was adjourned at 6:40 P.M.

Refreshments were reserved thereafter.

-Teresa w Rhodes Modernization/Maintenance Assistant

HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NORTH CAROLINA

M I N U T E S

The meeting began at 5:45 P.M. There was approximately 66 attendees

Toni Child, Director Of Facilities, greeted and welcomed everyone in attendance. She then introduced herself, Larry Russell -Executive Director, Joe Copeland -Maintenance Operations Coordinator, and Teresa Rhodes - Modernization/Maintenance Assistant. She also introduced Bonita Allen -Watson, Housing Services Director and Minnie Knight, Resident Advisory Board/Council President. Ann Harrison, Housing Manager and Hope Phillips, Assistant Housing Manager, was introduced as well.

Toni began by explaining the reason for the community meetings, the objective of the Capital Funds Program (previously known as Comprehensive Grant Program), and the program process. Toni explained that the community meetings are used to gather residents' wishes (suggestions, ideas) for improvements on their apartment. We're here as a team to gather lists to be included in the 2002 Capital Funds Grant and Five Year Plan. Sheets were passed to all attendees for their use and convenience. This is the same thing we have done for the past couple of years and will continue to do so each year. It is the residents' input that we include in the "Five - Year Plan" and Grant Submittal to HUD. This year, however, HUD has made a change. The Capital Funds Grant Submittal will be a part of the Agency Plan. This is why we are meeting again this year. The earlier part of this year, we met for the 2001 Capital Funds Grant. Today, we are meeting for the 2002 Capital Funds Grant which is due in October.

Residents were stressed to write down (on the forms provided) any wishes they had for improvements to their home; even down to whirlpools if wanted. This is a "wishlist". You may not get it, but it is still a wish. Please give your completed list to us before you leave or bring back -into your Housing Manager before the "Advance Meeting" which will be held on August 13th. Once we receive these lists, we combine them with the lists from West End Terrace Residents and with suggestions from staff and work -order items.

Many items that you requested last year, we are doing such as new doors, new kitchens, new bathrooms, closet doors, painting, tree removal, etc.,

**WEEKS ARMS TRONG COMMUNITY MEETING MINUTES
JULY 30TH, 2001 - PAGES TWO -**

Some questions were asked about whether to complete a list if you knew you were going to be relocated under the "Relocation Plan - Emergency Disaster Grant". Yes. Please write down items you would still want done for the units that will not be torn down or remodeled. We would like these units to have as many physical improvements needed done so they will be up-graded and look good along with the new ones. Think of your neighbor that will be staying!

Tom then briefly spoke on the status of the Emergency Disaster Project. She spoke of which areas are scheduled to be torn-down and which ones are to be renovated. All units being torn down will not be rebuilt in same areas. These areas will become grassy areas because they are considered to be in the 100-Year Flood Plain. There will be multi-family homes being built on scattered sites called new development.

We are also in the planning process of building single-family homes on scattered sites to be in a Home-Ownership Program. These homes will be 3 and 4 bedroom homes. This will be a process that you can apply for if you meet all eligibility criteria such as income levels, credit checks, etc. This will be discussed more at a later date.

Next, Mr. Russell accepted the floor and briefly spoke on the Emergency Disaster Project as well. He spoke on the "Relocation Plan" and the "Re-building Plan"! Mr. Russell went over the budget. Mr. Russell thanked the residents for their cooperation and patience during this period.

The next meeting is the "Advance Meeting" to be held on August 13th, 2001. At this meeting, we will finalize everything. If you forgot to list something, this will be the last chance to submit it. The Public Hearing will then be held on September 25th. After this meeting, the finalized Grant will be presented to our Board of Commissioners, then in turn to HOD on October 25th.

After no further discussions or questions, the meeting was adjourned at 6:45 P.M.

Refreshments were served!

-Teresa W. Rhodes, Maintenance Assistant

PUBLIC HEARING
CONVENTIONAL AND CAPITAL ANNUAL AND FIVE - YEAR PLAN --~

TUESDAY, SEPTEMBER 25TH, 2001 @ 4:00 P.M.

HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT, NORTH CAROLINA

MINUTES

The Hearing began at 4:04 P.M. with only six people in attendance which were all RJ \, IHA Staff. One staff member is also a resident. This Hearing was advertised (two weeks prior to Hearing) in the local newspaper, "Rocky Mount Telegram" for two days as required by HUD Regulations.

Larry Russell, Executive Director, greeted, welcomed, and thanked everyone for coming. He explained that this Hearing is the final meeting before the Capital and Agency Five - Year Plan is submitted to the Rocky Mount Housing Authority's Board of Commissioners on October 9th. Upon Board Approval, the Plan will be submitted to HUD by its October 18th deadline date.

The Agency Five - Year Plan is at its 4th revision since June 12th. All information has been discussed with the "Residents' Advisory Board". All required documents for the Capital and Conventional Plans are ready to be submitted to the Board and to HUD.

Mr. Russell explained that the Authority may lose some of its funding due to the number of off -line units that we have. We have 606 units on -line from a total of 766 units (about 160 off -line units). These off -line units may very well affect our funding in the Conventional and Capital Budgets. Therefore, we need to expedite the "ready for occupancy" units as soon as possible. The more units we take from off -line, the better our budget will be. The amount of budget decrease we may experience will be seen at a later date. Thus Government has seen a decline in its subsidiary from 99.5% to 92.5%; a \$58,000 deficit. It will be a tight year and the Authority may have to cut-back on many things. We can only hope that HUD will approve the requested amount of funding when we submit our Plan to them by October 18th.

Mr. Russell asked Toni Child, Director Of Facilities, if she had any comments regarding Capital Funding Grants. Mrs. Child stated that there has not been any change since the last Residents' Meetings.

Bonita Allen -Watson, Housing Services Director/Section 8, had a question regarding the effect that the off -line units (from 766 to 606 on -line) will have on the Utilization Report? Mr. Russell stated that he will question HUD regarding this matter and get back with her at a later date.

The floor was then open for questions and/or comments from any in attendance. Andrea Hendricks, Community Service Coordinator and Resident, had a question regarding the exterior bricks of some of the units that are being renovated i.e., 925 Stokes Avenue. Some of the brick is missing, aluminum missing, porch cleaning, etc. Joe Copeland, Maintenance Operations Coordinator, said he would look into this matter. However, he knows that the top priority is to get the interior remodeled first. The exterior can be completed after the unit has been put back on -line and a resident moved in.

Mr. Russell asked Andrea if she has had any feedback/comments from residents regarding the Renovation and Relocation Plans. She stated that she has had all positive feedback, none negatives. The residents that are having to relocate are not upset, but excited about moving to another apartment especially the ones that are moving to a unit that has a sup - graded kitchen or bathroom or closet doors.

After no further discussion, questions, or comments on the Capital and Conventional Five - Year Plan, all was in favor of submitting Plans to the Board and to HUD.

The Hearing was adjourned at 4:27 P.M.

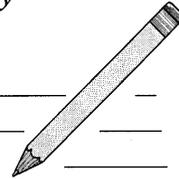
-Teresa W. Rhode/Modernization/Maintenance Assistant

Resident Service Survey

This survey is designed to help the Housing Authority with programs that assist in life skills, education and areas to promote self-sufficiency.

Please help us improve our services to you by completing this survey and returning it to your Housing Manager.

Name _____
 Date _____
 Address _____



What types of skills are you interested in learning? Check all that apply.

- | | |
|--------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Computer Skills | <input type="checkbox"/> Budgeting |
| <input type="checkbox"/> Parenting Skills | <input type="checkbox"/> GED |
| <input type="checkbox"/> Clerical Skills | <input type="checkbox"/> Resume Writing |
| <input type="checkbox"/> Career Counseling | <input type="checkbox"/> Other (specify) _____ |

----- Cut and submit to Housing Manager -----

Notice: Installation of Window A/C Units

All residents must get prior approval from their Housing Manager, Assistant Housing Manager or the Maintenance Operations Coordinator before installing a Window A/C Unit. All Window A/C Units must be properly installed or properly reinstalled. All Window A/C Units must be installed to insure compliance with the Health and Safety-Emergency/Fire Exits protocol as prescribed in BOCA National Building Code 1010.2 and 1010.4 and as prescribed by HUD.



**Smoke
Alarm
Warning**

“It Is The Law”

Each apartment must have a smoke alarm. Tampering with a smoke alarm carries a fine of \$100.00 for the first violation and \$100.00 for the second violation **PLUS** an immediate termination of the Lease.

★ ★ ★ ★ ★ ★ ★ ★ ★ ★

★ **SECTION 8 RESIDENT** ★

★ **WINS 2001 SERSHA** ★

★ **SCHOLARSHIP** ★

★ The Rocky Mount ★

★ Housing Authority (RMHA) ★

★ is proud to announce that ★

★ **Miss Latoria M. Davis,** ★

★ daughter of Ms. Brenda L. ★

★ Davis, is the recipient of the ★

★ Southeast Regional Section 8 ★

★ Housing Association ★

★ (SERSHA) 2001 Scholarship. ★

★ Miss Davis competed with ★

★ applicants in the Southeastern ★

★ region of the United States. ★

★ **CONGRATULATIONS** ★

★ **LATORIA!** ★

★ **The RMHA is proud of you.** ★

★ ★ ★ ★ ★ ★ ★ ★ ★ ★

*Resident Advisory Board (RAB)
Officers and Members
June 21, 2001*

OFFICERS

Minnie Knight, WAH President
154 B Otis Lane

Calvenia Jenkins, Asst. Secretary
102 Manning Court

Rocky Mount, NC 27801

Rocky Mount, NC 27803

Anna Mae Batts, Secretary

Donald Knight, WET President

1205 A. Raleigh Road

905 Evergreen Road

Rocky Mount, NC 27803

Rocky Mount, NC 27803

MEMBERS

Katie Jenkins

Pamela Knight

931 Tessie Street, Apt. 203
Rocky Mount, NC 27801

1450 Hunter Street
Rocky Mount, NC 27801

Delois Green

Andrea Hendricks

844 Evergreen Road
Rocky Mount, NC 27803

1416 Avenue C
Rocky Mount, NC 27801

Dorothy Williams
1207-A Raleigh Road
Rocky Mount, NC 27803

ATTACHMENT G

STATEMENT OF PROGRESS

In striving to achieve the mission and goals of Rocky Mount Housing Authority (RMHA) the agency has done the following in FY 2001:

- . Thirty-four (34) apartments that were flooded has been renovated. Also, twenty-six (26) apartments were demolished;
- . The 1999 and 2000 Grants will close out by December 2001;
- . Acquired thirty-nine (39) additional Fair-Share Section 8 Vouchers ;
- . Partnership with various agencies for supportive services;
- . Expanded outreach efforts to increase applications for housing program via newspaper and postings;

COMPONENT#1

1.STATEMENTOFHOUSINGNEEDS

A.HousingNeedsofFamiliesintheJurisdiction/sServedbythePHA

According to the Rocky Mount's Down East Home Consortium: Consolidated Plan (DEHC) dated 1997-2002, the DEHC consists of ten (10) jurisdictions within both Nash and Edgecombe Counties, North Carolinas. The total estimated population in the DEHC jurisdictions is 140,227.

Rocky Mount Housing Authority (RMHA) has apartments in 4 census tracts; 102, 103, 105.01, and 204. The Housing Needs of Families in the Jurisdictions by Family type are reflected herein.

RMHA along with the of City of Rocky Mount and other local non-profits will make a sound effort to address the housing need of families in Rocky Mount over the next five (5) years as stated herein.

NC019I01

CHAS Table 1C-All Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.
- The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.
- The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Rocky Mount City, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	1,212	1,361	291	543	3,407	857	378	1,235	4,642
2. 0 to 30% MFI	875	811	155	287	2,128	386	216	602	2,730
6. 31 to 50% MFI	337	550	136	256	1,279	471	162	633	1,912
10. Other Low-Income (51 to 80% MFI)	303	743	229	508	1,783	573	479	1,052	2,835

14. Moderate Income (81 to 95% MFI)	69	304	87	348	808	230	396	626	1,434
18. Total Households**	1,787	3,783	926	1,993	8,489	2,841	5,907	8,748	17,237

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C-White Non-Hispanic Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.
- The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.
- The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Rocky Mount City, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	713	218	60	227	1,218	382	103	485	1,703
2. 0 to 30% MFI	463	132	29	97	721	147	59	206	927
6. 31 to 50% MFI	250	86	31	130	497	235	44	279	776
10. Other Low-Income (51 to 80% MFI)	292	358	73	355	1,078	351	181	532	1,610

14. Moderate Income (81 to 95% MFI)	51	66	11	323	451	135	173	308	759
18. Total Households**	1,245	1,577	282	1,485	4,589	1,676	3,053	4,729	9,318

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C-Black Non-Hispanic Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.
- The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.
- The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Rocky Mount City, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	515	900	185	279	1,879	536	322	858	2,737
2. 0 to 30% MFI	399	538	100	163	1,200	285	191	476	1,676
6. 31 to 50% MFI	116	362	85	116	679	251	131	382	1,061
10. Other Low-Income (51 to 80% MFI)	62	363	132	189	746	193	357	550	1,296

14. Moderate Income (81 to 95% MFI)	24	192	56	81	353	87	255	342	695
18. Total Households**	652	1,968	539	675	3,834	1,067	2,884	3,951	7,785

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C-All Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at +27.01%.
- The renter occupied households in 2002 is estimated at 35.56% of all occupied units. The owner occupied households in 2002 is estimated at 64.44% of all occupied units.
- The change in elderly is estimated to be +1.43% from 1990 to 2002.

Name of Jurisdiction: Nash County, NC		Source of Data CHAS Data Book				Data is Adjusted per Community 2020 Projections for the Year: 2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	1,763	1,660	504	854	4,781	2,338	1,464	3,802	8,583
2. 0 to 30% MFI	1,196	955	261	429	2,841	1,268	595	1,863	4,704
6. 31 to 50% MFI	567	705	243	425	1,940	1,070	869	1,939	3,879
10. Other Low-Inc ome (51 to 80% MFI)	437	1,334	376	804	2,951	1,330	2,070	3,400	6,351

14. Moderate Income (81 to 95% MFI)	89	528	128	460	1,205	546	1,495	2,041	3,246
18. Total Households**	2,498	6,030	1,482	3,076	13,086	6,649	17,064	23,713	36,799

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C - White Non-Hispanic Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at +27.01%.
- The renter occupied households in 2002 is estimated at 35.56% of all occupied units. The owner occupied households in 2002 is estimated at 64.44% of all occupied units.
- The change in elderly is estimated to be +1.43% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Nash County, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	1,307	448	182	465	2,402	1,505	688	2,193	4,595
2. 0 to 30% MFI	827	163	54	211	1,255	742	276	1,018	2,273
6. 31 to 50% MFI	480	285	128	254	1,147	763	412	1,175	2,322
10. Other Low-Income (51 to 80% MFI)	485	895	139	638	2,157	1,003	1,047	2,050	4,207

14. Moderate Income (81 to 95% MFI)	93	315	52	461	921	426	969	1,395	2,316
18. Total Households**	2,094	3,919	692	2,551	9,256	4,895	11,878	16,773	26,029

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C-Black Non-Hispanic Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at +27.01%.
- The renter occupied households in 2002 is estimated at 35.56% of all occupied units. The owner occupied households in 2002 is estimated at 64.44% of all occupied units.
- The change in elderly is estimated to be +1.43% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Nash County, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	476	827	226	306	1,835	937	940	1,877	3,712
2. 0 to 30% MFI	349	521	135	166	1,171	633	406	1,039	2,210
6. 31 to 50% MFI	127	306	91	140	664	304	534	838	1,502
10. Other Low-Income (51 to 80% MFI)	36	410	165	201	812	286	1,312	1,598	2,410

14. Moderate Income (81 to 95% MFI)	11	181	55	64	311	93	583	676	987
18. Total Households**	555	1,896	581	691	3,723	1,628	5,119	6,747	10,470

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C-All Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -0.82%.
- The renter occupied households in 2002 is estimated at 38.07% of all occupied units. The owner occupied households in 2002 is estimated at 61.93% of all occupied units.
- The change in elderly is estimated to be +1.81% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Edgecombe County, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	1,198	1,475	421	519	3,613	1,372	861	2,233	5,846
2. 0 to 30% MFI	853	831	207	321	2,212	711	406	1,117	3,329
6. 31 to 50% MFI	345	644	214	198	1,401	661	455	1,116	2,517
10. Other Low-Income (51 to 80% MFI)	211	670	272	356	1,509	613	1,141	1,754	3,263

14. Moderate Income (81 to 95% MFI)	60	259	126	146	591	350	785	1,135	1,726
18. Total Households**	1,648	3,544	1,108	1,418	7,718	3,485	9,070	12,555	20,273

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C - White Non-Hispanic Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -0.82%.
- The renter occupied households in 2002 is estimated at 38.07% of all occupied units. The owner occupied households in 2002 is estimated at 61.93% of all occupied units.
- The change in elderly is estimated to be +1.81% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Edgecombe County, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	621	395	45	172	1,233	570	288	858	2,091
2. 0 to 30% MFI	390	174	16	76	656	265	103	368	1,024
6. 31 to 50% MFI	231	221	29	96	577	305	185	490	1,067
10. Other Low-Income (51 to 80% MFI)	167	300	42	197	706	334	389	723	1,429

14. Moderate Income (81 to 95% MFI)	29	172	33	105	339	212	280	492	831
18. Total Households**	997	1,735	223	821	3,776	1,890	4,253	6,143	9,919

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

CHAS Table 1C-Black Non-Hispanic Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -0.82%.
- The renter occupied households in 2002 is estimated at 38.07% of all occupied units. The owner occupied households in 2002 is estimated at 61.93% of all occupied units.
- The change in elderly is estimated to be +1.81% from 1990 to 2002.

Name of Jurisdiction:		Source of Data				Data is Adjusted per Community 2020 Projections for the Year:			
Edgecombe County, NC		CHAS Data Book				2002			
Household by Type, Income, & Housing Problem	Renters					Owners			Total Households
	Elderly 1&2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	593	906	284	306	2,089	849	643	1,492	3,581
2. 0 to 30% MFI	448	538	143	204	1,333	485	353	838	2,171
6. 31 to 50% MFI	145	368	141	102	756	364	290	654	1,410
10. Other Low-Income (51 to 80% MFI)	77	355	183	171	786	262	830	1,092	1,878

14. Moderate Income (81 to 95% MFI)	30	109	75	59	273	117	558	675	948
18. Total Households**	747	1,801	709	658	3,915	1,499	4,871	6,370	10,285

**Includes all income groups--including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

ATTACHMENTJ

COMPONENT#5

**STATEMENTOFROCKYMOUNTHOUSINGAUTHORITY'SOPERATION
ANDMANAGEMENT**

To ensure proper operation and management of Rocky Mount Housing Authority's (RMHA's) housing units, the following information is attached:

PartI

PolicyTitle

1. ***Admissions&Continued
OccupancyPolicy
(Policyonfileforpublicreview)***
2. ***MaintenancePlan
(PlanonFileforpublicreview)***
3. ***ProcurementPolicy
(Planonfileforpublicreview)***
4. ***PetPolicy(ACOP)
(Policyonfileforpublicreview)***

PartII

PROGRAMSADMINISTEREDBYRMHA

- a. ***ConventionHousing,Section8;andNewConstructionChart.(Budgetsto
besubmittedtoHUDandondisplayforpublicreview)***
- b. ***ResidentInitiativePrograms***
 1. ***FamilySelfSufficiency***

***Maximumprogramsizeis35.Thisprogramoffersassistance
to S/8 participants interested in developing job skills and/or
educational skills.(FSS Action Plan is on display for public***

review)

NC019K01

2. **Public Housing Drug Elimination Program**

Through this program, Rocky Mount Housing Authority (RMHA) offers program aimed at drug use prevention for youth and adults.

RMHA has received funding for various programs over the past 7 years.

A list of current programs and progress reports are attached. (Program update on display for public review)

3. **RMHA's Organizational Chart**

Currently RMHA has thirty-three (33) full-time employees. (RMHA's organizational chart is on display for review)

4. **Facilities Management/Maintenance Plan**

Facilities Management/Maintenance Planning both play a major role in the overall efficiency of RMHA operation. The complexity of the job covers such items as the number of units in the inventory, the age of the units, location of the units, work order procedures, emergency response, and more. (The Maintenance Plan is on display for public review)

5. **Section 8 Administrative Plan**

Section 8 Administrative Plan policies administers the Section 8 program.

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ATTACHMENTK

SECTION8HOMEOWNERSHIPCAPACITYSTATEMENT

Rocky Mount Housing Authority (RMHA) intend to establish a Section 8 Homeownership Program. However the three HUD criteria cannot be addressed until Section 8 Homeownership Program Plan have been developed. It may be FY2003 before the plan is in place.

COMPONENT#11

**STATEMENT OF ANY HOME OWNERSHIP PROGRAM ADMINISTERED
BY ROCKY MOUNT HOUSING AUTHORITY**

This statement describes steps taken by Rocky Mount Housing Authority (RMHA) to address its home ownership programs.

Over the past seven (7) years RMHA has made three (3) attempts to create additional public housing units and the third for 202 Elderly housing. None of the three applications were approved.

Within the past two years, RMHA purchased a 3 bedroom single family home under the 203(k) program and sold it within a four month period. There has not been any attempt to acquire additional 203 (k) units because of funding and man hours to address.

In 1997 RMHA formed a non-profit 501 3 C named South Eastern North Carolina Community Development Corporation (SENCCDC). The primary goal of this corporation is to (1) create affordable housing units and (2) help residents in creating business. Currently SENCCDC has a 2 bedroom home for sale.

Several home ownership workshops have been conducted by RMHA and SENCCDC over the past four (4) years.

RMHA plans to replace units to be demolished (estimated 48) with single family homes. Our intent is to sell the homes to qualified families. The home ownership plan is in development criteria cannot be addressed until Section 8 Homeownership Program Plan has been developed. It may be 2003 before the plan is in place.

RMHA will continue to explore every reasonable means to create ownership opportunities for Residents.

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ATTACHMENT L

COMPONENT #12

**A STATEMENT OF RMHA'S COMMUNITY SERVICE AND
SELF-SUFFICIENCY PROGRAMS**

In terms of Rocky Mount Housing Authority's Community Service Program, the Resident Advisory Board participated in determining how the Community Service Requirement will be implemented. Several meetings were held:

- 1. To explain the New Housing Act*
- 2. To solicit input in developing a Five Year and Annual Plan.*

The Resident Advisory Board recommended that RMHA identify area non-profits who will be willing to allow Residents to perform the Community Service.

Housing Management will track all Community Service Activities.

On June 10, 1997 the RMHA Board of Commissioners approved two important resolutions encourage Resident to input and support Administrative actions to develop economic development programs.

Initially RMHA had a Resident who was a commissioner for more than ten (10) years (Lucille Powell). North Carolina Legislature amended General Statutes 157 to comply with the HUD Resident Commissioner Requirement.

OTHER ECONOMIC DEVELOPMENT PROGRAMS

- 1. Restaurant Business (Jazzy's)*

On July 5, 1999 RMHA opened a restaurant specializing in Southern food. As of August, 2001, eleven (11) of the fifteen (15) are Residents. The primary goals are:

- a. To give Residents and citizens a job;*
- b. To enhance the employee's job skills; and*
- c. To generate a positive net cash to support future affordable housing programs.*

2. Employing Residents (Section 3)

Over the past six (6) years, RMHA has made efforts to employ residents.

Steps are ongoing throughout FY 2001 to implement all of the above community service and self-sufficiency programs.

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ATTACHMENTN

COMPONENT#13

STATEMENTOFRMHAÍSSAFETYANDCRIMEPREVENTION
MEASURES

Rocky Mount Housing Authority has been awarded for seven straight years drug elimination funds. The funds have been used to reduce crime and drug use in all communities, thus recreating safer communities.

In terms of lease enforcement, on October 8, 1996, RMHA Board of Commissioners passed a "One Strike and You're out" Policy. In addition, RMHA has assigned police officers to work in its communities . The combination of various drug grant programs and lease enforcement has significantly reduce crime in all RMHA communities. However, without ongoing financial support, crime will go will definitely be increased.

RMHA has received numerous HUD Awards for its drug elimination programs; Computer Learning Center, Best Practices, etc.

Steps will be taken to continue and or implement drug prevention programs throughout FY2002.

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ROCKYMOUNTHOUSINGAUTHORITY
ROCKYMOUNT,NORTHCAROLINA
LARRYRUSSELL,EXECUTIVEDIRECTOR

PHASafetyandCrimePreventionMeasures

5YearandAnnualGoalsandObjectives

- I.** ***ToMaintainMaximumOperationalEffectiveness***
- A.** ***HUD Standard Form 269A (Financing) is completed by Grant Coordinator and submitted to HUD office every six months.***
- B.** ***AllactivitiesforactivePHDEPwillbeoutlinedandsubmitted toHUDeverysixmonthsbyGrantCoordinator.***
- C.** ***AllotherreportsrequiredbyHUDwillbesubmittedtimelyby GrantCoordinator.***
- D.** ***Reports for all PHDEP Grants, when closed out, will be submittedtimelybyGrantCoordinator.***
- E.** ***A monthly report will be submitted by Community Police OfficerstoGrantCoordinatorshowingCrimeStatistics,Part 1,Part2CrimesandTotalCallsForService.***
- F.** ***TheGrantCoordinatorandRMHAStaffwillusethisreport totrackcrimeinRMHACommunities.***
- G.** ***All programs funded by PHDEP Grants will submit a monthlyreporttoGrantCoordinator.Changesinprograms and policies will be ongoing for compliance with HUD Regulations.***
- H.** ***All requirements assigned under QHWRA were completed beforeOctober1,1999.***

2. ***To increase the knowledge of Staff and Residents concerning PHDEP.***
 - A. ***The RMHA has in the past and will in the future, stress the importance of Resident involvement in the planning and preparation of all Grant Application.***
 - B. ***Residents will be surveyed as to their perception and support of the drug elimination grant strategies proposed to the survey questionnaire, the results of which were taken into consideration in preparing all PHDEP.***
 - C. ***Meetings with residents and RMHA Staff routinely communicated drug elimination ideas, strategies and areas needed improvement with Rocky Mount Police Department officials and other members of the RMHA. The strategy and concept proposed in our drug applications have been the focus of many of these meetings.***
 - D. ***Resident input provided the backbone from which the all drug elimination programs were developed.***
 - E. ***Resident News, the official bi-monthly publication of the RMHA, will carry front page news articles requesting input from our residents for programs for our Drug Grants.***

GOALS

Our goal is to make RMHA's developments national models for livability for medium sized public housing agencies. In an effort to achieve this goal, we have developed a strategy that has the major components relating to prior and existing efforts, proposed activities without grant assistance and proposed activities with grant assistance.

We believe it is our mission to provide a safe, secure and supportive environment for all socially and economically disadvantaged young adults in which:

- I. ***There is a profound respect for the intelligence and decision making ability of young adults.***

- II. Resources are provided to reverse the impact and experiences that have limited the achievement of their full potential.*
- III. Young adults are empowered spiritually and intellectually to make decisions and take responsibility for their lives, their families and their communities.*
- IV. Young adults learn and take pride in their individual cultural background while simultaneously learning to respect and appreciate the many diverse cultures that make up our society.*
- V. Leadership development, education and skills training are combined to prepare young adults to take advantage of existing economic opportunities, create new ones and further their education.*
- VI. The importance of community service, compassion for and a genuine desire to help others, is a philosophy in all young adults.*

RESULTS

The focus of our programs is on prevention rather than treatment; specifically promoting programs that build strengths and address causes rather than symptoms. A major goal of the program is to expand effective outreach to more youth who are most vulnerable because they feel inadequate, inferior, or insecure and have no support systems.

The following are ten (10) demonstrable quantifiable results with previous or ongoing anti-crime/anti-drug programs:

- 1. To give public housing children a technologically advanced, educational environment which will provide new possibilities for learning, thinking and growing.*
- 2. To improve self-esteem, self-confidence, and self-image for each participant.*
- 3. To breakdown barriers, overcome prejudices and to work together for the benefit of all residents in our community.*

5. *To eliminate violent crime, drug trafficking and drug-related crime from targeted, high-crime neighborhoods.*
6. *To improve the performance of children in schools.*
7. *To expand educational and career opportunities for all participants.*
8. *To develop and enhance parenting and family support skills to at-risk families.*
9. *To teach participants that they have a choice and they are responsible for that choice.*
10. *To make learning more interesting and fun. Learn by doing.*

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ROCKYMOUNTHOUSINGAUTHORITY
ROCKYMOUNT,NORTHCAROLINA
LARRYRUSSELL,EXECUTIVEDIRECTOR

D.AdditionalinformationasrequiredbyPHDEPPlan

***PHAíseligibleforFY2002PHDEPfundsmustprovideaPHDEPPlanmeeting
specifiedrequirementspriortoreceiptofPHDEPfund.***

***X Yes ___ No: IsthePHAeligibletoparticipateinthePHDEPinthefiscal
year
coveredbythisPHAPlan?***

***X Yes ___ No: HasthePHAincludedthePHDEPPlanforFY2002inthis
PHAPlan?***

***X Yes ___ No: ThePHDEPPlanisanAttachment.
(AttachmentFilename:NC019E01)***

YOUTH ACTIVITIES

The Rocky Mount Housing Authority (RMHA) has a long history in designing programs to meet the needs of at-risk youth. Highly successful community based approaches, such as Learning Is Fun Today (LIFT), Helping Equip Little People (HELP), Tutorial Opportunity Program (TOP), Students Taking Action Not Drugs (STAND) Uniting Neighborhoods by Involving Teens and Youths (UNITY), and expanded education programs, have been credited with improving the quality of life for young people. It is upon this proven track record that the RMHA will cooperatively work with other community agencies and institutions to provide leadership and guidance to impact the lives of at-risk youth.

The focus of our youth programs is on prevention rather than treatment; specifically promoting programs that build strengths and address causes rather than symptoms. A major goal of the program is to expand effective outreach to more youth who are most vulnerable because they feel inadequate, inferior, or insecure and have no support systems.

The youth programs for FY 2002 are Golf, Tennis, Gym, Swimming, Honor Roll, Program Title S.T.A.R.S., Students Taking A Right Stand, L.I.F.T., Learning Is Fun Today, H.E.L.P., Helping Equip Little People, Girl Scout, Choral Group, Drill Team and Computer Learning Center.

Participants must be drug free and willing to sign a statement on youthful drug abuse furnished by Rocky Mount Housing Authority.

The components of the programs teach the youth: agility and carefully orchestrated teamwork: no matter which sport you play, set high standards and inspire loyalty: play by the rules and be dedicated to the highest standards and goals: never stop striving to improve, whether playing a sport or simply living.

IT IS BETTER TO EDUCATE NOW THAN TO INCARCERATE LATER.!

ATTACHMENTO

COMPONENT#14

STATEMENTOFHOUSINGAUTHORITY'SPOLICIESANDRULES
REGARDINGOWNERSHIPOFPETSINPUBLICHOUSING

RockyMountHousingAuthority(RMHA)reviseditspetpolicyinSeptemberof 1999withaneffective date ofOctober 1, 1999. A copy is on display for public view.

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THE ROCKY MOUNT HOUSING AUTHORITY
PET POLICY

Except as otherwise specifically authorized under this pet policy, this Authority will not prohibit any Resident of its elderly or disabled housing projects from owning common household pets or having such pets living in the Resident's dwelling unit or

Restrict or discriminate against any person in connection with admission to, or continued occupancy of, such housing by reason of the person's ownership of common household pets or the presence of such pets in that person's dwelling unit.

I. DEFINITIONS

A. Common Household Pet means a domesticated animal, such as a dog, cat, bird, rodent (including a rabbit), fish or turtle, that is traditionally kept in the home for pleasure rather than for commercial purposes. Common household pet does not include reptiles (except turtles). If this definition conflicts with any applicable State or local law or regulations defining the pets that may be owned or kept in dwelling accommodations, the State or local law or regulations shall apply. This definition shall not include animals that are used to assist the disabled.

B. Elderly or Disabled Family means a family who is elderly or disabled as defined in this Authority's Admissions and Continued Occupancy Policies.

C. Project for the Elderly or Disabled means any project assisted under the United States Housing Act of 1937 (other than Section 8 or 17 of the Act), including any building within a mixed use project that was designated for occupancy by the elderly or disabled at its inception or, although not so designated, for which the Authority gives preference in Resident selection (with HUD approval) for all units in the project (or for a building in a mixed use project) to elderly or disabled families.

D. Authority means the Rocky Mount Housing Authority.

2. RULES GOVERNING THE KEEPING OF PETS

A. Inoculations - The pet owner will have the pet inoculated in accordance with State and Local laws. Proof of these inoculations will be furnished to the Authority upon request and no less often than annually.

B. Sanitary Standards - The pet owners shall exercise due care to keep the apartment and common areas in a sanitary condition. All removal pet waste shall be removed from the grounds immediately upon deposit by the pet and properly disposed of. All cages, aquariums, litter boxes, etc. will be cleaned on a regular basis and as often as necessary to prevent unsanitary conditions and odors.

C. Pet Restraint - All cats and dogs shall be appropriately and effectively restrained and under the control of a responsible individual, who is capable of controlling such animal, while on the common areas of the project. No pets will be allowed to run loose upon project grounds.

D. Except while the pet is being exercised, the pet is to be kept within the confines of the apartment.

E. The apartment cannot be altered to accommodate, or provide an enclosure for the pet.

...
".
Fences cannot be erected on the project grounds.

F. Registration - Pet owners are required to apply for and receive a pet permit before the pet is brought onto the project. This permit is to be renewed annually and, if possible, will be renewed during the annual recertification of the Resident's income and family composition. This registration will include:

(a) A certificate signed by a licensed veterinarian or a State or local authority empowered to inoculate animals (or designated agent of such an authority) stating that the pet has received all inoculations required by applicable State or local law.

(b) Information sufficient to identify the pet and to demonstrate that it is a common household pet, and;

(c) The name, address and phone number of one or more responsible parties who will care for the pet if the pet owner dies, is incapacitated or is otherwise unable to care for the pet

(d) The pet owners shall sign an addendum to the Lease agreement, incorporating this pet policy into said Lease. That Lease addendum shall contain the provision that the pet owner agrees to comply with this pet policy and that violation of the pet policy shall be grounds for removal of the pet or termination of the pet owner's tenancy (or both), in accordance with the provisions of the lease, State and local laws.

(e) The authority may refuse to register a pet if that pet is not a common household pet, if the keeping of the pet would violate any applicable house pet rule, if the pet owner fails to provide complete pet registration information or fails to annually update the pet registration, or if the Authority reasonably determines, based on the pet owner's habits and practices that the owner will be unable to keep the pet in compliance with the pet rules and other Lease obligations. The Authority may not refuse to register a pet based on a determination that the pet owner is financially unable to care for the pet or that the pet is inappropriate, based on the therapeutic value to the pet owner or the interest of the property or existing tenants. The pet owner will be notified if the Authority refuses to register a pet. The notice shall state the Authority's other Lease provisions. This notice of refusal may be combined with a notice of Lease violation. The pet owners shall have the right to a grievance hearing, as stated in the Authority's Lease and Grievance Procedures.

G. Number of pets - The number of four-legged warm-blooded animals shall be limited to one (1) pet in each dwelling unit. Birds, fish and turtles shall be limited to the number that can be reasonably kept in one (1) standard cage or aquarium designed for that purpose.

H. Financial Obligations:

(a) If the pet is a cat or dog, the pet owner will be required to pay a pet deposit of the equivalent of one (1) month's rent or two hundred dollars (\$200.00) whichever is greater. The pet owner may gradually accumulate this pet deposit by paying the Authority no less than fifty dollars (\$50.00) per month until the deposit is paid in full. The pet deposit is payable immediately upon approval of the pet permit. This deposit is in addition to the security deposit required by other conditions of the Lease. The pet deposit shall be used to pay only reasonable expenses directly attributable to the presence of the pet in the project, including (but not limited to)

the cost of repairs and replacement to, and fumigation, of the Resident's dwelling unit. The Authority will refund that unused portion of the pet deposit to the Resident within a reasonable time after the Resident moves from the project or no longer keeps a pet in the dwelling unit. The pet deposit shall not be used to pay expenses while the pet owner is in possession of a pet.

(b) A pet waste removal charge of five dollars (\$5.00) per occurrence may be assessed a pet owner that fails to remove pet waste in accordance with the pet rules.

(c) Fumigation and pest control measures taken by the Authority directly attributable to the keeping of a pet in the apartment shall be charged to the pet owner, if said pet owner fails to control fleas and other common pests associated with keeping of animals in the apartment. Costs of same will be billed to the Resident in the amount that it costs the Authority to employ a professional firm for this purpose.

I. Standards for Pet Care

(a) No pet shall be left alone for any unreasonable length of time. If the health or safety of a pet is threatened by the death or incapacity of the pet owner, or by other factors that render the pet owner unable to care for the pet, the Authority may contact the responsible party or parties listed in the pet registration required under this pet policy. If the responsible party or parties are unwilling or unable to care for the pet, or the Authority despite reasonable effort has been unable to contact the responsible party or parties, the Authority may contact the appropriate State or local authority (or designated agent of such an authority) and request the removal of the pet. If there is no such State or local authority (or designated agent of such an authority) authorized to remove the pet under these circumstances the Authority may enter the pet owner's unit, remove that pet and place that pet in a facility that will provide care and shelter until the pet owner or a representative of the pet owner is able to assume responsibility for the pet, but no longer than thirty (30) days. The cost of the animal care facility provided under this section shall be borne by the pet owner. If the pet owner (or the pet owner's estate) is unable or unwilling to pay, the cost of the animal care facility may be paid from the pet deposit.

(b) Pets shall not be allowed to disturb both tenants in the quiet enjoyment of their homes. Pet owners will take adequate precautions to prevent a pet from disturbing other tenants; i.e. barking, howling, loud meowing, scratching, biting, etc.

(c) Pet owners will be required to take effective flea and other pest control measures with respect to the pet and the surroundings. Failure to do so will result in termination of the pet permit and the Authority taking pet control measures at the owner's expense.

3. It is not permissible to keep pets on the premises that are not owned by the Resident and that are staying on the premises on a temporary basis. Pets are to be kept temporarily/ if they are to be kept in the Resident's dwelling accommodations for a period of less than fourteen (14) consecutive days and nights.

This Authority, however, does encourage the use of a visiting pet program sponsored by a humane society or other nonprofit organization.

4. This pet policy does not apply to animals that are used to assist the disabled. This exclusion applies to animals that reside in the projects for the elderly and disabled, as well as to animals that visit these projects. This Authority will not apply or enforce any pet rules developed under this pet policy against individuals with animals that are used to assist the disabled.

Nothing in this pet policy:

- (1) Limits or impairs the rights of disabled individuals.
- (2) Authorizes the Authority to limit or impair the rights of disabled individuals or
- (3) Affects any authority that that Authority may have to regulate animals that assist the disabled, under Federal, State or local law.

5. If there is an applicable State or local law or regulation governing the keeping of pets, the pet rules prescribed under this pet policy shall not conflict with such law or regulation. If such a conflict may exist, the State or local law or regulation shall apply.

Failure of this pet policy to address any State or local law or regulation does not relieve the pet owner from complying with applicable State or local requirements.

6. Nothing in this pet policy prohibits the Authority, or an appropriate community authority, from requiring the removal of any pet from a project, if the pet's conduct is duly determined to constitute, under the provisions of State or local law, an nuisance or a threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

(Four Pages)
RESOLUTION NO. 30 (1999)

Approving Amendments to Rocky Mount Housing Authority (RMHA) Pet Policy

WHEREAS, RMHA staff is recommending amending the current Pet Policy in order to address concerns relating to HUD's proposed rules; and

NOW THEREFORE BE IT RESOLVED the Rocky Mount Housing Authority Board of Commissioners does hereby approve the attached Amendments to the Pet Policy effective October 1, 1999.

Date Adopted September 29, 1999

Distribution: **HUD**
 Housing Management Department
 Resident Advisory Board
 Post
 File



"For the Betterment of Rocky Mount,
The City on the Plain."

HOUSING AUTHORITY

OF THE CITY OF

ROCKY MOUNT, NORTH CAROLINA

27803-0717

POST OFFICE BOX 4717

TELEPHONE (252) 977-3141

Voice & TDD

FAX (252) 977-6210

WEST END TERRACE

Administrative

1006 Aycock Street

Rocky Mount, N.C. 27803

FAX (252) 977-6210

WEEKS-ARMSTRONG

Housing Management

Maintenance/Moderization

116 Pinehurst Drive

Rocky Mount, N.C. 27801

FAX (252) 407-1941

BOARD OF COMMISSIONERS

CLEVE WHATLEY, Chairperson

BETTY PAYNE, Vice Chairperson

ROBERT BREWER

RUSSELL JACKSON

LEONARD WIGGINS

LUCILLE POWELL, Alternate

EXECUTIVE DIRECTOR

Larry Russell

TO: Rocky Mount Housing Authority Residents

FROM: Shirley Leak, Housing Services Director/
Assistant to the Executive Director

DATE: August 11, 1999

SUBJECT: Amendments to RMHA Pet Policy

Attached is proposed Amendments to RMHA Pet Policy that will be presented to the RMHA Board of Commissioners in September for approval. The proposed effective date is October 1, 1999.

As required by HUD, residents must be allowed thirty (30) days to make comments. All comments will be taken into consideration prior the Board approval.

Any questions or comments may be submitted to:

Shirley Leak
Housing Services Director/
Assistant to the Executive Director
Rocky Mount Housing Authority
P. O. Box
Rocky Mount, North Carolina 27803
Phone: 977-3141

**AMENDMENTS TO PET POLICY
(EFFECTIVE OCTOBER 1, 1999)**

Add: Section 1. DEFINITIONS

A- The pet should not exceed 20 lbs.

Add: Section 2. RULES GOVERNING THE KEEPING OF PETS

D - Any pet known to bite or attack will not be permitted on RMHA property. (Pit Bull dog, Rotweiler, Doberman Pincher, Bull Dog, or any similar dog is not allowed)

Add: H. FINANCIAL OBLIGATIONS:

(a) the pet owner is required to secure a Homeowners Policy including liability which would provide coverage for the pet's owner. The coverage is to be no less than \$ 100,000.

© Pet waste removal minimum charge is \$10.00.

LEASE AMENDMENTS
Effective October 1, 1999

#1. Resident Community Service Requirement

ADD: Section 14 - RESIDENT'S OBLIGATIONS

- S. Residents 18 years or older who are not working or in school or participating in a qualified work first program or other eligible training programs, will be required to do 8 hours Community Service per month. Failure to do so will be grounds for Lease termination.

#2. REFERS TO PET POLICY

Add: Section S. Each resident is to abide by the following rules and regulations while residing in the dwelling unit.

- cc. **Old:** No dogs or cats allowed except for units designed for elderly or the visually handicapped as agreed to in the "Dwelling Lease Addendum" regarding the owning and keeping of common household pets.

Change: Pets are allowed according to RMHA's New Pet Policy.
(see attached policy)

ATTACHMENT P

CITY OF ROCKY MOUNT
OFFICE OF THE MAYOR

December 12, 2000

Ms. Minnie D. Knight
154-B Otis Lane
Rocky Mount, NC 27801

Dear Ms. Knight:

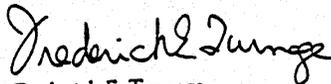
I am pleased to advise you that I have appointed you as an alternate member to the Rocky Mount Housing Authority. This unexpired term will expire June 30, 2004.

I want to take this opportunity to thank you for your willingness to serve in this capacity. The assistance rendered by you and other citizens in serving our City contributes tremendously to the development of Rocky Mount and for this I am grateful.

By copy of this letter, I am asking Larry Russell, Executive Director of the Rocky Mount Housing Authority, to advise you of meeting dates and to furnish you with information that will bring you up to date on the activities of the Housing Authority.

With best wishes and highest personal regards, I am

Sincerely,



Frederick E. Turnage
Mayor

FET/dlj

c Larry Russell, Executive Director of the Rocky Mount Housing Authority
Cleve Whatley, Chairperson

ONE GOVERNMENT PLAZA · POST OFFICE BOX 1180 · ROCKY MOUNT, NORTH CAROLINA 27802-1180
NC019Q01

Agency Annual Plan

HOUSING AUTHORITY

Component (o) Civil Rights Certification

January 1, 2002

The Housing Authority of hereby certifies that all programs and activities included in the Authority's Five Year and Annual Plans will be carried out in full compliance with Title VI of the Civil Rights Act of 1964, The Fair Housing Act, Section 504 of the Rehabilitation Act of 1973 and Title II of the Americans with Disabilities Act of 1990.

In addition, the Housing Authority confirms that to affirmatively further fair housing, the Authority has reviewed programs and activities to identify any impediments to fair housing choice and finding none, further certifies that it will continue to be cognizant of any impediments and work with the City/County to implement initiatives to further fair housing in the jurisdiction.

Housing Authority of **the City of Rocky Mount**

By: _____

Larry Russell, Executive Director

Date: _____

10-4-01

Its

Approved as to Form:

General Counsel _____

NCO19R01

Agency Annual Plan

HOUSING AUTHORITY

Component (o) Civil Rights Certification

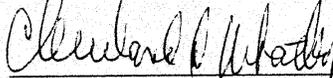
January 1, 2002

The Housing Authority of hereby certifies that all programs and activities included in the Authority's Five Year and Annual Plans will be carried out in full compliance with Title VI of the Civil Rights Act of 1964, The Fair Housing Act, Section 504 of the Rehabilitation Act of 1973 and Title II of the Americans with Disabilities Act of 1990.

In addition, the Housing Authority confirms that to affirmatively further fair housing, the Authority has reviewed programs and activities to identify any impediments to fair housing choice and finding none, further certifies that it will continue to be cognizant of any impediments and work with the City/County to implement initiatives to further fair housing in the jurisdiction.

Housing Authority of the City of Rocky Mount

By:


Cleveland D. Whatley, RMHA Chairman

Date:

10-9-01

Its

Approved as to Form:

General Counsel _____

11/21/01

**PHA Certifications of Compliance with the PHA Plans
and Related Regulations
Board Resolution to Accompany the PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year Plan and Annual Plan for PHA fiscal year beginning 2002, hereinafter referred to as the Plan of which this document is a part and make the following certifications and agreements with the Department of Housing Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).

NCO192016

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
13. For PHA Plan that includes a PHDEP Plan as specified in 24 CFR 761.21: The PHDEP Plan is consistent with and conforms to the "Plan Requirements" and "Grantee Performance Requirements" as specified in 24 CFR 761.21 and 761.23 respectively and the PHA will maintain and have available for review/inspection (at all times), records or documentation of the following:
 - Baseline law enforcement services for public housing developments assisted under the PHDEP plan;
 - Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15);
 - Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities;
 - Coordination with other law enforcement efforts;
 - Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and
 - All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan.
14. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
15. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
16. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
17. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
18. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
19. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
20. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
21. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

22. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and attachments at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Rocky Mount Housing Authority

NC019

PHA Name

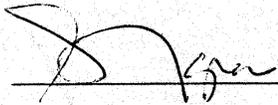
PHA Number

Orlando Whitley 10-9-01

Signed/Dated by PHA Board Chair or other authorized PHA official

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Stephen W. Raper the City Manager for Rocky Mount certify
that the Five Year and Annual PHA Plan of the Rocky Mount Housing Authority is
consistent with the Consolidated Plan of Down East HOME Consortium prepared
pursuant to 24 CFR Part 91.

 9-27-00

Signed / Dated by Appropriate State or Local Official

nc

ATTACHMENT A

Page 1 of 3

DECONCENTRATION

NC019A01

RESOLUTION NO. 16 (1999)

Approving Amendment to Rocky Mount Housing Authority (RMHA) Admission and Continued Occupancy Policy (ACOP) to Provide for Deconcentration of Poverty and Income Mixing in all RMHA Communities

WHEREAS, HUD issued a notice in the Federal Register on February 18, 1999 concerning the New Quality Housing and Work Responsibility Act (QRWHA) of 1998 requiring Public Housing Authorities to develop a policy to provide for deconcentration of poverty and income mixing by bringing higher income Residents into lower-income public housing communities and bringing lower-income Residents into higher-income public housing communities; and

WHEREAS, the Executive Director is recommending amending both the Tenant Selection Policies and Procedures, and Tenant Selection and Assignment Plan as stated on the attached sheet to achieve deconcentration of poverty and income mixing in all RMHA communities;

NOW THEREFORE BE IT RESOLVED that the Board of Commissioners of the Rocky Mount Housing Authority hereby approve the attached Amendments to meet HUD's Deconcentration of Poverty and Income Mixing requirements.

Date Adopted: June 8, 1999

**Distribution: HUD
RMHA Housing Manager
RMHA Occupancy Staff
Residents Presidents
Resident Advisory Board
Post
File**

ACOP AMENDMENTS

11. **Tenant Selection Policies and Procedures**

Current wording-Page 35, a **To avoid concentration of the most economically and socially deprived families in one or all the developments operated by the RMHA**

Amendment-Page 35, a. **To provide for deconcentration of poverty and income mixing, by bringing higher-income tenants into lower-income public housing communities and bring lower-income Residents into higher-income communities.**

12. **Tenant Selection and Assignment Plan**

Current wording-Page 35, a. **Assignment of applications and units must be conducted in accordance with a Tenant Selection and Assignment Plan that meets HUD requirements and approval. Units assignments must be based on the type of project, size and type of unit required, applicable preferences, and date and time of application.**

Amendment-Page 35, a. **Assignments of applicants and units must be conducted in accordance with a Tenant Selection and Assignment Plan that meets HUD requirements and approval. Units assignments must be based on the project income, size and type of unit required, applicable preferences, and date and time of application.**

1/1/00

ATTACHMENT 5



HOUSING AUTHORITY

OF THE CITY OF

ROCKY MOUNT, NORTH CAROLINA

27803-0717

POST OFFICE BOX 4717

TELEPHONE (252) 977-3141

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BOARD OF COMMISSIONERS

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EXECUTIVE DIRECTOR

Larry Russell

WEST END TERRACE

Administrative

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WEEKS-ARMSTRONG

Housing Management

Maintenance/Moderization

116 Pinehurst Drive

Rocky Mount, N.C. 27801

FAX (252) 407-1941

October 11, 2000

Mr. Ledford Alston, Director, Office of Public Housing
North Carolina State Office, Koger Building
2306 West Meadowview Road
Greensboro, North Carolina 27404

Re: Voucher Payment Standards

Dear Mr. Alston:

Attached for your information is a copy of our Resolution No. 28 (2000) - Section 8 Voucher Payment Standards. The new Voucher Payment Standards were adopted by the Housing Authority of the City of Rocky Mount Board of Commissioners on October 10, 2000. The new Voucher Payment Standards are set at 110% of the HUD published FMR's for our area with an implementation date of October 11, 2000.

If you should have any questions regarding this matter feel free to contact me.

Sincerely,

Bonita Allen-Watson

Director of Housing Management and Section 8

cc: Larry Russell, Executive Director
Calixto Garcia, Finance/MIS Director

Attachments

NC019401

RESOLUTION NO. 28 (2000)

Approving New Section 8 Housing Choice Voucher Payment Standards

WHEREAS, according to the code of Federal Regulations 24 CFR Section 888, Housing Authorities are required to adopt Section 8 Housing Choice Voucher Payment Standards; and

WHEREAS, the Rocky Mount Housing Authority staff has prepared the attached New Section 8 Voucher Payment Standards in accordance with HUD regulations; and

WHEREAS, the Rocky Mount Housing Authority staff set the Housing Choice Voucher Payment Standards as 110% of the HUD published Fair Market Rents in accordance with HUD regulations and to assist families served by the Rocky Mount Housing Authority with incidences of high rent burden and expand housing opportunities outside areas of poverty; and

WHEREAS, the Executive Director recommends approval of the New Section 8 Housing Choice Voucher Payment Standards; and

NOW THEREFORE BE IT RESOLVED by the Board of Commissioners of the Rocky Mount Housing Authority hereby adopt the attached New Section 8 Housing Choice Voucher Payment Standards effective October 11, 2000.

Date Adopted: October 10, 2000

Distribution: U.S. Department of Housing and Urban Development (HUD)
RMHA Section 8 Department
RMHA Finance Department
Post
File

HOUSING AUTHORITY OF THE CITY OF ROCKY MOUNT

SECTION 8

HOUSING ASSISTANCE PAYMENT PROGRAM FISCAL YEAR 2001

HOUSING CHOICE VOUCHER PAYMENT STANDARDS
AND
FAIR MARKET RENTS

EFFECTIVE OCTOBER 1, 2000

PUBLISHED SEPTEMBER 25, 2000 — EFFECTIVE OCTOBER 1, 2000

IMPLEMENTED OCTOBER 11, 2000

SECTION 8 HOUSING CHOICE VOUCHER PROGRAM PAYMENT STANDARD SET AT 110% OF THE PUBLISHED FMR's

0BR	---	367.
1BR	---	397.
2BR	---	482.
3BR	---	639.
4BR	---	705.
5BR	---	811.

SECTION 8 - FAIR MARKET RENTS

0BR	---	334.
1BR	---	361.
2BR	---	438.
3BR	---	581.
4BR	---	641.
5BR	---	737.

FAIR MARKET RENTS ARE BASED ON THE 40TH PERCENTILE FOR EXISTING HOUSING AND THE PAYMENT STANDARDS ARE SET AT 110% OF THE FAIR MARKET RENTS.

The FMR's for unit sizes larger than a 4 bedroom are calculated by adding 15% to the 4 Bedroom FMR for each extra bedroom. For example: the FMR for a 5 bedroom unit is 1.15 times the 4 bedroom FMR, and the FMR for a 6 bedroom unit is 1.30 times the 4 bedroom FMR.

NOTE:

THE PAYMENT STANDARDS FOR THE ROCKY MOUNT HOUSING AUTHORITY'S HOUSING CHOICE VOUCHER PROGRAM IS SET AT 110% OF THE HUD PUBLISHED FAIR MARKET RENTS. THIS WILL SERVE TO ASSIST FAMILIES WITH INCIDENCES OF HIGH RENT BURDEN AND EXPAND HOUSING OPPORTUNITIES BY ALLOWING FAMILIES TO FIND UNITS OUTSIDE AREAS OF POVERTY OR MINORITY CONCENTRATION.

Attachment T

**ROCKY MOUNT HOUSING AUTHORITY
VOLUNTARY CONVERSION INITIAL ASSESSMENT
SEPTEMBER 27, 2001**

Prepared by:
Larry Russell
RMHA Executive Director

The Rocky Mount Housing Authority's (RMHA) response to U.S. Department of Housing and Urban Development's (HUD) June 22, 2001 Final Rule (Federal Register 66 FR 4476) on "Voluntary Conversion" is as follows:

Addressing Each Test Question -

- **Test Question (a.) - How many of the Public Housing Authorities (PHA's) developments are subject to the required initial assessments?**

Response - All of RMHA regular public housing developments are subject to the initial assessments.

- **Test Question (b.) - How many of the PHA's developments are not subject to the required initial assessments based on exemptions (e.g., elderly and/or disabled developments on general occupancy projects)?**

Response - RMHA has a 40 unit "Elderly" Section 8 new construction development that is exempted.

- **Test Question (c.) - How many assessments were conducted for the PHAs covered developments?**

Response - Only one assessment was done for all RMHA's developments. (See attached assessment)

- **Test Statement (d.) - Identify PHA developments that maybe appropriate for conversion based on the required initial assessments?**

Response - Based on the assessment done by RMHA, none of its developments are appropriate for conversion.

- **Test Statement (e.) - If the PHA has not completed the required initial assessments, describe the status of these assessments.**

Response - The assessment has been completed. No other action required.

**ROCKY MOUNT HOUSING AUTHORITY
VOLUNTARY CONVERSION INITIAL ASSESSMENT
SEPTEMBER 27, 2001**

Rocky Mount Housing Authority (RMHA) initial voluntary conversion assessment was done by comparing RMHA; Flat Rents to RMHA current HUD approved Section 8 choice voucher payment standards.

RMHA approved flat rents in 1999 that was based on cost to operate its developments using only Flat Rents. The Flat Rents are:

- one bedroom - \$300.00
- two bedrooms - \$330.00
- three bedrooms - \$363.00
- four bedrooms - \$399.00
- Five bedrooms - \$439.00

RMHA's current Section 8 housing choice payment standards are:

- zero bedroom \$367.00
- one bedroom \$397.00
- two bedroom \$482.00
- three bedroom \$639.00
- four bedroom \$705.00
- five bedroom \$811.00

By conducting the stated comparison, it is concluded:

1. Conversion will be more expensive; and
2. Conversion will not principally benefit Residents; and
3. Conversion would adversely affect the availability of affordable housing in the community.

**CERTIFICATION OF VOLUNTARY CONVERSION
OF
PUBLIC HOUSING DEVELOPMENTS**

On June 22, 2001, the Department of Housing and Urban Development (HUD) issued a Final Rule amending chapter IX of title 24 of the Code of Federal Regulations by adding part 972. This new part 972 implements section 533 of the Quality Housing and Work Responsibility Act of 1998 which requires every public housing authority to conduct and submit to HUD an initial assessment to determine if statutory objectives would be satisfied by converting certain developments or parts of developments to tenant-based assistance.

Acting of behalf of the City of Rocky Mount Housing Authority (PHA) as its Authorized Official, I make the following certifications and assurances to HUD regarding:

Project No. NC19P019004

Project Name: Weeks-Armstrong Homes

Project Address: P.O. Box 4717, Rocky Mount, North Carolina, 27803

I hereby certify that the development named above:

- Is not subject to required conversion under 24 CFR part 971;
- Is the subject of an application for demolition or disposition that has been approved by HUD, or submitted to HUD and awaiting determination;
- Is not a HOPE VI revitalization site; and
- Is not applied for and received HUD designation for occupancy only by the elderly and/or persons with disabilities under 24 CFR part 945.

I further certify that I have:

- Reviewed the development's operation as public housing;
- Considered the implications of converting the development to tenant-based assistance; and
- Concluded that the conversion of the development may be;

* Inappropriate because removal of the development would not meet the necessary conditions for voluntary conversion described below.

Necessary conditions for voluntary conversion:

- Conversion to tenant-based assistance would not be more expensive than continuing to operate the development (or portion of it) as public housing;
- Conversion to tenant-based assistance would principally benefit the residents of the public housing development to be converted and the community; and
- Conversion to tenant-based assistance would not adversely affect the availability of affordable housing in the community.

Signed by:

Signature: _____

Larry Russell, Executive Director

Date: _____

9-25-01

**CERTIFICATION OF VOLUNTARY CONVERSION
OF
PUBLIC HOUSING DEVELOPMENTS**

On June 22, 2001, the Department of Housing and Urban Development (HUD) issued a Final Rule amending chapter IX of title 24 of the Code of Federal Regulations by adding part 972. This new part 972 implements section 533 of the Quality Housing and Work Responsibility Act of 1998 which requires every public housing authority to conduct and submit to HUD an initial assessment to determine if statutory objectives would be satisfied by converting certain developments or parts of developments to tenant-based assistance.

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I further certify that I have:

- Reviewed the development's operation as public housing;
- Considered the implications of converting the development to tenant-based assistance; and
- Concluded that the conversion of the development may be;

* Inappropriate because removal of the development would not meet the necessary conditions for voluntary conversion described below.

Necessary conditions for voluntary conversion:

- Conversion to tenant-based assistance would not be more expensive than continuing to operate the development (or portion of it) as public housing;
- Conversion to tenant-based assistance would principally benefit the residents of the public housing development to be converted and the community; and
- Conversion to tenant-based assistance would not adversely affect the availability of affordable housing in the community.

Signed by:

Signature:


Larry Russell, Executive Director

Date:

9-25-01

**CERTIFICATION OF VOLUNTARY CONVERSION
OF
PUBLIC HOUSING DEVELOPMENTS**

On June 22, 2001, the Department of Housing and Urban Development (HUD) issued a Final Rule amending chapter IX of title 24 of the Code of Federal Regulations by adding part 972. This new part 972 implements section 533 of the Quality Housing and Work Responsibility Act of 1998 which requires every public housing authority to conduct and submit to HUD an initial assessment to determine if statutory objectives would be satisfied by converting certain developments or parts of developments to tenant-based assistance.

Acting of behalf of the City of Rocky Mount Housing Authority (PHA) as its Authorized Official, I make the following certifications and assurances to HUD regarding:

Project No. NC19P019001

Project Name: West-End Terrace

Project Address: P.O. Box 4717, Rocky Mount, North Carolina, 27803

I hereby certify that the development named above:

- Is not subject to required conversion under 24 CFR part 971;
- Is not the subject of an application for demolition or disposition that has been approved by HUD, or submitted to HUD and awaiting determination;
- Is not a HOPE VI revitalization site; and
- Is not applied for and received HUD designation for occupancy only by the elderly and/or persons with disabilities under 24 CFR part 945.

I further certify that I have:

- Reviewed the development's operation as public housing;
- Considered the implications of converting the development to tenant-based assistance; and
- Concluded that the conversion of the development may be;

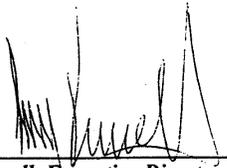
* Inappropriate because removal of the development would not meet the necessary conditions for voluntary conversion described below.

Necessary conditions for voluntary conversion:

- Conversion to tenant-based assistance would not be more expensive than continuing to operate the development (or portion of it) as public housing;
- Conversion to tenant-based assistance would principally benefit the residents of the public housing development to be converted and the community; and
- Conversion to tenant-based assistance would not adversely affect the availability of affordable housing in the community.

Signed by:

Signature:


Larry Russell, Executive Director

Date:

9-25-01

**CERTIFICATION OF VOLUNTARY CONVERSION
OF
PUBLIC HOUSING DEVELOPMENTS**

On June 22, 2001, the Department of Housing and Urban Development (HUD) issued a Final Rule amending chapter IX of title 24 of the Code of Federal Regulations by adding part 972. This new part 972 implements section 533 of the Quality Housing and Work Responsibility Act of 1998 which requires every public housing authority to conduct and submit to HUD an initial assessment to determine if statutory objectives would be satisfied by converting certain developments or parts of developments to tenant-based assistance.

Acting of behalf of the City of Rocky Mount Housing Authority (PHA) as its Authorized Official, I make the following certifications and assurances to HUD regarding:

Project No. NC19P019009

Project Name: McIntyre Acres

Project Address: P.O. Box 4717, Rocky Mount, North Carolina, 27803

I hereby certify that the development named above:

- Is not subject to required conversion under 24 CFR part 971;
- Is not the subject of an application for demolition or disposition that has been approved by HUD, or submitted to HUD and awaiting determination;
- Is not a HOPE VI revitalization site; and
- Is not applied for and received HUD designation for occupancy only by the elderly and/or persons with disabilities under 24 CFR part 945.

I further certify that I have:

- Reviewed the development's operation as public housing;
- Considered the implications of converting the development to tenant-based assistance; and
- Concluded that the conversion of the development may be;

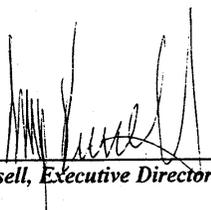
* Inappropriate because removal of the development would not meet the necessary conditions for voluntary conversion described below.

Necessary conditions for voluntary conversion:

- Conversion to tenant-based assistance would not be more expensive than continuing to operate the development (or portion of it) as public housing;
- Conversion to tenant-based assistance would principally benefit the residents of the public housing development to be converted and the community; and
- Conversion to tenant-based assistance would not adversely affect the availability of affordable housing in the community.

Signed by:

Signature:


Larry Russell, Executive Director

Date:

9-25-01

**CERTIFICATION OF VOLUNTARY CONVERSION
OF
PUBLIC HOUSING DEVELOPMENTS**

On June 22, 2001, the Department of Housing and Urban Development (HUD) issued a Final Rule amending chapter IX of title 24 of the Code of Federal Regulations by adding part 972. This new part 972 implements section 533 of the Quality Housing and Work Responsibility Act of 1998 which requires every public housing authority to conduct and submit to HUD an initial assessment to determine if statutory objectives would be satisfied by converting certain developments or parts of developments to tenant-based assistance.

Acting of behalf of the City of Rocky Mount Housing Authority (PHA) as its Authorized Official, I make the following certifications and assurances to HUD regarding:

Project No. NC19P019003

Project Name: West-End Terrace

Project Address: P.O. Box 4717, Rocky Mount, North Carolina, 27803

I hereby certify that the development named above:

- Is not subject to required conversion under 24 CFR part 971;
- Is not the subject of an application for demolition or disposition that has been approved by HUD, or submitted to HUD and awaiting determination;
- Is not a HOPE VI revitalization site; and
- Is not applied for and received HUD designation for occupancy only by the elderly and/or persons with disabilities under 24 CFR part 945.

I further certify that I have:

- Reviewed the development's operation as public housing;
- Considered the implications of converting the development to tenant-based assistance; and
- Concluded that the conversion of the development may be;

* Inappropriate because removal of the development would not meet the necessary conditions for voluntary conversion described below.

Necessary conditions for voluntary conversion:

- Conversion to tenant-based assistance would not be more expensive than continuing to operate the development (or portion of it) as public housing;
- Conversion to tenant-based assistance would principally benefit the residents of the public housing development to be converted and the community; and
- Conversion to tenant-based assistance would not adversely affect the availability of affordable housing in the community.

Signed by:

Signature: _____

Larry Russell, Executive Director

Date: _____

9-25-07

**CERTIFICATION OF VOLUNTARY CONVERSION
OF
PUBLIC HOUSING DEVELOPMENTS**

On June 22, 2001, the Department of Housing and Urban Development (HUD) issued a Final Rule amending chapter IX of title 24 of the Code of Federal Regulations by adding part 972. This new part 972 implements section 533 of the Quality Housing and Work Responsibility Act of 1998 which requires every public housing authority to conduct and submit to HUD an initial assessment to determine if statutory objectives would be satisfied by converting certain developments or parts of developments to tenant-based assistance.

Acting of behalf of the City of Rocky Mount Housing Authority (PHA) as its Authorized Official, I make the following certifications and assurances to HUD regarding:

Project No. NC19P019005

Project Name: Scattered Sites

Project Address: P.O. Box 4717, Rocky Mount, North Carolina, 27803

I hereby certify that the development named above:

- Is not subject to required conversion under 24 CFR part 971;
- Is not the subject of an application for demolition or disposition that has been approved by HUD, or submitted to HUD and awaiting determination;
- Is not a HOPE VI revitalization site; and
- Is not applied for and received HUD designation for occupancy only by the elderly and/or persons with disabilities under 24 CFR part 945.

I further certify that I have:

- Reviewed the development's operation as public housing;
- Considered the implications of converting the development to tenant-based assistance; and
- Concluded that the conversion of the development may be;

* Inappropriate because removal of the development would not meet the necessary conditions for voluntary conversion described below.

Necessary conditions for voluntary conversion:

- Conversion to tenant-based assistance would not be more expensive than continuing to operate the development (or portion of it) as public housing;
- Conversion to tenant-based assistance would principally benefit the residents of the public housing development to be converted and the community; and
- Conversion to tenant-based assistance would not adversely affect the availability of affordable housing in the community.

Signed by:

Signature: _____

Larry Russell, Executive Director

Date: _____

9-25-01

		k. Provider referralsto Residentsfor Supportive Services	#1k.	#1k.	#1k.	#1k.	#1k.
<u>Finance</u>	#1 To maintain maximum	a. Maintains sufficient reserve levels as required by HUD	#1a.	#1a.	#1a.	#1a.	
#1a.	operational Effectiveness	b. Provide computer training for staff	#1b.	#1b.	#1b.	#1b.	
#1b.		c. Maintain maximum efficiency use of computer Hardware and Software	#1c.	#1c.	#1c.	#1c.	
#1c.		d. Maintain adequate financial records	#1d.	#1d.	#1d.	#1d.	
#1d.		e. Maintain adequate inventory levels	#1e.	#1e.	#1e.	#1e.	
#1e.		f. Provide best investment rates for Reserves Funds	#1f.	#1f.	#1f.	#1f.	
#1f.							

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nc019w02

SPECIFICS OF THE FIVE YEAR PLAN

<u>PROVIDER</u>	<u>GOALS</u>	<u>OBJECTIVES</u>	<u>YEARS</u>				
			<u>2002</u>	<u>2003</u>	<u>2004</u>	2005	<u>2006</u>

#1d.		d. Complete goals under FSS Program	#1d.	#1d.	#1d.	#1d.
#1e.		e. Encourage participants to enter homeownership counseling	#1e.	#1e.	#1e.	#1e.
#1f.		f. Encourage participants to purchase a home	#1f.	#1f.	#1f.	#1f.
#1g.		g. Timely produce all required HUD Reports	#1g.	#1g.	#1g.	#1g.
#1h.		h. Timely establish and maintain all SEMA Requirements	#1h.	#1h.	#1h.	#1h.

<u>Crime Prevention</u>	#1 To maintain maximum operational effectiveness	a. Monitor all programs funded with DEGP to ensure goals are met	#1a.	#1a.	#1a.	#1a.
#1b.		b. Monitor all DEGP expenditures to ensure proper accountability	#1b.	#1b.	#1b.	#1b.
#1c.		c. Provide Annual Application to obtain DEGP funding	#1c.	#1c.	#1c.	#1c.
#1d.		d. Meet all goals established under RMHAS Safety Program	#1d.	#1d.	#1d.	#1d.
#1e.		e. Conduct Resident Survey	#1e.	#1e.	#1e.	#1e.

<u>Maintenance/</u>	#1 To maintain maximum	a. Meet all requirements assigned under PHAs and REAC	#1a.	#1a.	#1a.	#1a.
#1a.						
<u>Modernization</u>	operational effectiveness	b. Meet all requirements established under QHWRA of 1998	#1b.	#1b.	#1b.	#1b.
#1b.		c. Monitor expenditures to ensure good fiscal management	#1c.	#1c.	#1c.	#1c.
#1d.		d. Timely provide all required HUD Reports	#1d.	#1d.	#1d.	#1d.
#1e.		e. Provide staff training programs	#1e.	#1e.	#1e.	#1e.
#1f.		f. Provide maintenance training/education for Residents	#1f.	#1f.	#1f.	#1f.
		g. Monitor use of inventory				

#1i.

SPECIFICS OF THE FIVE YEAR PLAN

<u>PROVIDER</u>	<u>GOALS</u>	<u>OBJECTIVES</u>	<u>YEARS</u>				
			<u>2002</u>	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>

Commissioners #2To increasethellevelofawareness a.Provideinformationtothegeneralpublicaboutpublichousing #2a. #2a. #2a. #2a.
#2a.

topolicymakersoftheneedfor anditsgoalsandachievements
affordablehousing. b.Providewaystogenerateaffordablehousingunits

#2b #2b #2b #2b

#2b

HousingManagement #2To increasethellevelofawareness a.ProvideinformationonaffordablehousinginRMHANewsletter

#2a. #2a. #2a. #2a. #2a.

topolicymakersoftheneedfor affordablehousing. b.Establishflatrentsoresidentscansavemoneytoimprovequality
oflife

#2b. #2b. #2b. #2b.

#2b.

c.EncourageResidentstopurchasehome

#2c. #2c. #2c. #2c.

#2c.

Finance #2To increasethellevelofawareness a.Establishmeanstouseoperatingfundstosupportdevelopment

topolicymakersoftheneedfor inaccordancewithQHWRA

#2a. #2a. #2a. #2a.

#4a. Employment Training Job training and employment. #4a. #4a. #4a. #4a.

Section 8
#4a. #4 To promote self-sufficiency among a. Provide monthly reports on FSS Program #4a. #4a. #4a. #4a.

#4b. Residents through education and Employment Training b. Encourage Section 8 participants to seek better education, a job and/or job training #4b. #4b. #4b. #4b.

ATTACHMENTW

CONCLUSION

The Housing Authority of the City of Rocky Mount (RMHA) has been in existence since 1953. RMHA began using a long and short term planning system in mid -1992. Much of its achievements have been documented in the Annual Reports and HUD Reviews. However, in accordance with QHWRA, a more formal (written) five year and annual plan has been developed. These plans will be updated as needed.

The major components of the plans is actually a management plan which realistically forecasts the fiscal needs, and describes the need for new developments and improvements to the existing buildings.

In the development of these plans, the Board of Commissioners, Department Heads and Staff considered the following:

- 1. Review of current and proposed agency problems and determined those solutions that would have the greatest positive impact over the next five years;*
- 2. Examined current regulations and how it would impact what RMHA does in the next five years; and*
- 3. Made sure the departmental plans are consistent with Residents' needs and HUD Objectives.*

The goals and objectives as outlined are acceptable to all parties involved. However, Staff will monitor activities throughout the first year for possible revisions.

ATTACHMENT V

Rocky Mount Housing Authority Definition of “Substantial Deviation” and “Significant Amendment or Modification”

The Rocky Mount Housing Authority (RMHA) definition to meet the requirement of final rule 903.7(r) and PIH 99-51, pertaining to “Substantial Deviation” and “Significant Amendment or Modification” is as follows:

- 1. Any changes to rent or admissions policies or other policies including organization of the waiting list.**
- 2. Any significant change in use of funds under the Capital Fund Program.**
- 3. Any change significant with regard to demolition or disposition, designation, homeownership programs or conversion activities.**
- 4. Any substantial deviation from the Mission Statement and/or Goals and Objectives presented in the Five-Year and Annual Plan that cause changes in the services provided to Residents or significant changes to the Agency’s financial situation will be documented in subsequent Agency Plans.**

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements offered by HUD.

