

U.S.DepartmentofHousingandUrbanDevelopment
OfficeofPublicandIndianHousing

SmallPHAPlanUpdate
AnnualPlanforFiscalYear: 2002

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTOBECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

**PHA Plan
Agency Identification**

PHAName: Housing Authority of Lawrence County

PHANumber: KY086

PHAFiscalYearBeginning:(mm/yyyy) 04 -2002

PHA Plan Contact Information:

Name: Pauletta G. McNabb

Phone: (606) 638 -9414

TDD: 1 -800-648-6056

Email (if available): mcnabb86@kih.net

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered :

- Public Housing and Section 8 Section 8 Only Public Housing Only

Annual PHA Plan Fiscal Year 2002

[24CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

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<input checked="" type="checkbox"/> Attachment O : Resident Membership on PHA Board or Governing Body	
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<input checked="" type="checkbox"/> Other (List below, providing each attachment name)	
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Attachment G is not applicable to the Housing Authority of Lawrence County and is not included.

ii. Executive Summary

[24CFR Part 903.79(r)]

At PHA option, provide a brief overview of the information in the Annual Plan

The following progress is noted in meeting goals and objectives outlined in the Housing Authority original 5 Year Plan:

Goal One: Manage the Housing Authority of Lawrence County's existing public housing and section 8 programs in an efficient and effective manner thereby qualifying as at least a standard performer.

- Objectives:**
1. HUD shall recognize the Housing Authority of Lawrence County as a PHAS high performer by December 31, 2004.
 2. The Housing Authority of Lawrence County shall make our public housing units more marketable to the community as evidenced by an increase in our waiting list to one that requires a 4-month wait for housing by December 31, 2004.

Progress: The Housing Authority of Lawrence County received high performer status for the fiscal year ended March 31, 2001.

Goal Two: Provide a safe and secure environment in the Lawrence County Housing Authority's public housing developments.

- Objectives:**
1. The Housing Authority of Lawrence County shall maintain a crime level in its developments that is no more than the surrounding neighborhood by December 31, 2004.
 2. The Housing Authority of Lawrence County shall refine the memorandum of understanding between the jurisdiction's police force and this agency. The purpose of this is to better define the "edge problem" of crime that occurs near our developments and develop strategies for identifying and reducing this problem.

Progress: The Housing Authority Board of Commissioners and staff are on course in meeting our goals and improving the condition and availability of affordable housing for our community.

Goal Three: Expand the range and quality of housing choices available to participants in the Lawrence County Housing Authority's tenant based assistance program.

- Objectives:**
1. The Housing Authority of Lawrence County shall achieve and sustain a utilization rate of 98% by December 31, 2004.
 2. Manage the Housing Authority of Lawrence County's Section 8 program in an efficient and effective manner thereby qualifying as at least a standard performer in the SEMAP grading program.
 3. The Housing Authority of Lawrence County shall attract new landlords who want to participate in the program and assist in providing affordable and quality housing for our residents.

Progress:

In meeting it's Five Year Goals, the Housing Authority of Lawrence County remains committed in administration of its programs in an efficient and effective manner.

1.Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

With approval of the 2002 Agency Plan Update, the Housing Authority will suspend the Community Service requirement.

2.Capital Improvement Needs

[24CFR Part 903.79(g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? **\$153,253**

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5 -Year Action Plan

The Capital Fund Program 5 -Year Action Plan is provided as **Attachment C**

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as **Attachment B**

3.D Demolition and Disposition

[24CFR Part 903.79(h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If " No", skip to next component; if "yes", complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
--

1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition	<input type="checkbox"/>
Disposition	<input type="checkbox"/>
3. Application status (select one)	
Approved	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u> (DD/MM/YY)</u>	
5. Number of units affected:	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Relocation resources (select all that apply)	
<input type="checkbox"/> Section 8 for units	
<input type="checkbox"/> Public housing for units	
<input type="checkbox"/> Preference for admission to other public housing or section 8	
<input type="checkbox"/> Other housing for units (describe below)	
8. Timeline for activity:	
a. Actual or projected start date of activity:	
b. Actual or projected start date of relocation activities:	
c. Projected end date of activity:	

4. Voucher Homeownership Program

[24CFR Part 903.79(k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24CFR part 982? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner down payment requirement of at least 3 percent and requiring that at least 1 percent of the down payment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5.SafetyandCrimePrevention:PHD EPPlan

[24CFRPart903.7(m)]

ExemptionsSection8OnlyPHAsmaykipptothenextcomponentPHAseligibleforPHDEPfundsmustprovideaPHDEPPlan meetingspecifiedrequirementspriortoreceiptofPHDEPfund.

A. Yes No: IsthePHAeligibletoparticipateinthePHDEPinthefiscalyearcoveredbythisPHA Plan?

B. WhatistheamountofthePHA'sestimatedoractual(ifknown)PHDEPgrantfortheupcomingyear? \$ _____

C. Yes No DoesthePHAplantoparticipateinthePHDEPintheupcomingyear?Ifyes,answer questionD.Ifno,skiptonextcomponent.

D. Yes No: ThePHDEPPlanisattachedatAttachment_____

6.OtherInformation

[24CFRPart903.79(r)]

A. ResidentAdvisoryBoard(RAB)RecommendationsandPHAResponse

1. Yes No: DidthePHAreceiveanycommentsonthePHAPlanfromtheResidentAdvisoryBoard/s?

2. Ifyes, thecommentsareAttachedat **Attachment**(Filename) **I**

3. InwhatmannerdidthePHAaddressthosecomments?(selectallthatapply)

ThePHAchangedportionsofthePHAPlaninresponsetocomments

Alistofthesechangesisincluded

Yes No: belowor

Yes No: attheendoftheRABCommentsinAttachment_____.

Consideredcomments, butdeterminedthatnochangestothePHAPlanwerenecessary. An explanationofthePHA'sconsiderationisincludedattheendoftheRABCommentsin **AttachmentI**.

Other:(listbelow)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

Commonwealth of Kentucky

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)

Maintaining safe and affordable housing in the community.

- Other: (list below)

In accordance with Notice PIH2000 -43(HA) the Housing Authority of Lawrence County is not required to provide this certification as a part of the Small PHA Plan Update submission.

3. PHA Requests for support from the Consolidated Plan Agency

- Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) To provide safe and affordable housing in the community

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5 -year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

These criteria are also outlined in **Attachment J**

A. Substantial Deviation from the 5 -year Plan :

- Change to rent or admissions policies or organization of the waiting list;
- Addition of non -emergency work items (items not included in the current Annual Statement or 5 -Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Addition of new activities not included in the current PHDEP Plan;
- And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

B. Significant Amendment or Modification to the Annual Plan:

- Change to rent or admissions policies or organization of the waiting list;
- Addition of non -emergency work items (items not included in the current Annual Statement or 5 -Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Addition of new activities not included in the current PHDEP Plan;
- And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Attachment A Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
N/A	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board -approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD -approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPEVI applications or, if more recent, approved or submitted HOPEVI revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH99 -52(HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing home ownership programs/plans	Annual Plan: Homeownership

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
N/A	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHA participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHA participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input type="checkbox"/> check here if included in the public housing A&O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Community Service Policy	Annual Plan
X	Pet Policy	Annual Plan
X	Voluntary Conversion Required Initial Assessment	Annual Plan
X	Deconcentration Analysis	Annual Plan

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations	6,500			
3	1408 Management Improvements Soft Costs	1,500			
	Management Improvements Hard Costs				
4	1410 Administration	1,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	18,650			
8	1440 Site Acquisition				
9	1450 Site Improvement	4,500			
10	1460 Dwelling Structures	121,103			
11	1465.1 Dwelling Equipment — Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	153,253			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security — Soft Costs				
	Amount of Line XX Related to Security -- Hard Costs				
	Amount of line XX Related to Energy Conservation				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHAName: Housing Authority of Lawrence County	Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
PHA Wide	Operations	1406		6,500				
	Management Improvements	1408		1,500				
	Administration	1410		1,000				
	Architect	1430		9,325				
	Management Consultant	1430		9,325				
KY086-01	Replace Sidewalk	1450	600 sq. ft.	4,500				
Bird Hayes Manor	Add solid surface tub surround	1460	22	11,550				
	Add grab bars	1460	22	3,850				
	Add tub water diverter	1460	22	2,750				
	Remove/save water closets and remove bathroom flooring	1460	22	3,300				
	Replace subflooring/joists	1460	22	4,400				
	Replace floor covering	1460	22	7,700				
	Replace baseboard with wood	1460	22	2,200				
	Replace saved water closets/rings	1460	22	1,650				
	Replace water heaters	1460	22	11,625				
KY086-02	Replace 2 nd floor porches	1460	8	12,000				
Ray Williams Villa	Remove/replace roofs (use reserve)	1460		43,573				
	Add turbo vents	1460	50	7,500				
	Replace existing wood w/ vinyl	1460		3,800				
	Replace gutters and downspouts	1460		5,200				

CapitalFundProgramFive -YearActionPlan
PartI:Summary

PHAName HousingAuthorityofLawrenceCo.		<input checked="" type="checkbox"/> Original5 -YearPlan <input type="checkbox"/> RevisionNo:			
Development Number/Name/HA- Wide	Year1	WorkStatementforYear2 FFYGrant: 2003 PHAFY: 2004	WorkStatementforYear3 FFYGrant: 2004 PHAFY: 2005	WorkStatementforYear4 FFYGrant: 2005 PHAFY: 2006	WorkStatem entforYear5 FFYGrant: 2006 PHAFY: 2007
	Annual Statement				
KY086-01		No Activity	No Activity	No Activity	No Activity
KY086-02		104,025	104,025	83,000	83,000
PHA Wide		28,500	28,500	68,500	51,000
Replacement Reserve		20,728	20,728	1,753	19,253
TotalCFPFunds(Est.)		153,253	153,253	153,253	153,253
TotalReplacement HousingFactorFunds					

Capital Fund Program Five - Year Action Plan
Part II: Supporting Pages — Work Activities

Activities for Year 1	Activities for Year: 4 FFY Grant: 2005 PHAFY: 2006			Activities for Year: 5 FFY Grant: 2006 PHAFY: 2007		
See	KY086-01	No Activity		KY086-01	No Activity	
Annual						
Statement	KY086-02	Remove/replace furnace and air	68,500	KY086-02	Remove/replace furnace and air	68,500
		Replace thermostats	2,200		Replace thermostats	2,200
		Clean vents	12,300		Clean vents	12,300
	PHA Wide	Enlarge Adm. Operations	40,000	PHA Wide	Renovate Laundry	15,000
			6,500		Replace Laundry Equipment	14,000
		Management Improvements	1,500		Operations	6,500
		Administration	1,000		Management Improvements	1,500
		Fees & Costs	19,500		Administration	1,000
		Reserve	1,753		Fees & Costs	19,500
					Reserve	12,753
		TOTAL	153,235		TOTAL	153,235

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P08650101 Replacement Housing Factor Grant No:		Federal FY of Grant: 2001	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09 -30-2001 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non -CFP Funds				
2	1406 Operations	\$6,500		\$6,500	
3	1408 Management Improvements Soft Costs	\$1,500		\$1,500	
	Management Improvements Hard Costs				
4	1410 Administration	\$1,000		\$1,000	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$18,650		\$18,650	
8	1440 Site Acquisition				
9	1450 Site Improvement	\$7,900		\$7,900	\$7,900
10	1460 Dwelling Structures	\$94,639		\$94,639	\$11,621.17
11	1465.1 Dwelling Equipment — Nonexpendable				
12	1470 Non dwelling Structures	\$10,850		\$10,850	
13	1475 Non dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve	\$12,214		\$12,214	
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency			\$3,086	
	Amount of Annual Grant: (sum of lines.....)	\$153,253		\$153,253	\$19,521.17
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security — Soft Costs				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHAName: Housing Authority of Lawrence County	Grant Type and Number Capital Fund Program Grant No: KY36P08650101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09 -30-2001
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Line XX related to Security --Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P08650101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
KY086-001	Add covered picnic area	1450	1	\$4,200		\$4,200	\$4,200.00	
	Repair/replace sidewalk	1450	260lf	\$1,700		\$1,700	\$1,700.00	
KY086-002	Replace sidewalk	1450	300lf	\$2,000		\$2,000	\$2,000.00	
KY086-001	Remove/replace roofs/add turbovents, replace metal cap	1460	8 bldgs.	\$60,439		\$60,439		
	Repair/refinish stairs (family section)	1460	16	\$13,200		\$13,200	\$11,621.17	
	Add insulation to R30	1460	7 bldgs.	\$21,000		\$21,000		
KY086-001	Replace maintenance exterior door	1470	1	\$850		\$850		
	Maintenance Building (underfunded 1999)	1470		\$10,000		\$10,000		
HA Wide	Operations	1406		\$6,500		\$6,500		
	Management Improvements	1408		\$1,500		\$1,500		
	Administration	1410		\$1,000		\$1,000		
	Fees & Costs	1430		\$18,650		\$18,650		
	Replacement Reserve	1490		\$12,214		\$12,214		
TOTAL				\$153,253		\$153,253	\$19,521.17	

**AnnualStatement/PerformanceandEvaluationReport
CapitalFundProgramandCapitalFundProgramReplacementHousingFactor(CFP/CFPRHF)Part1:Summary**

PHAName: HousingAuthorityofLawrenceCounty	GrantTypeandNumber CapitalFundProgramGrantNo: KY36P08650100 ReplacementHousingFactorGrantNo:	FederalFYofGrant: 2000
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OriginalAnnualStatement ReserveforDisasters/Emergencies RevisedAnnualStatement(revisionno:)
 PerformanceandEvaluationReportforPeriodEnding:09 -30-2001 FinalPerformanceandEvaluationReport

Line No.	SummarybyDevelopmentAccount	TotalEstimatedCost		TotalActualCost	
		Original	Revised	Obligated	Expended
1	Totalnon -CFPFunds				
2	1406Operations	\$6,500	\$6,500	\$6,500	\$6,500.00
3	1408ManagementImprovementsSoftCosts				
	ManagementImprovementsHardCosts				
4	1410Administration	\$2,500	\$2,500	\$2,500	\$2,500.00
5	1411Audit				
6	1415LiquidatedDamage s				
7	1430FeesandCosts	\$20,750	\$20,750	\$20,750	\$9,115.20
8	1440SiteAcquisition				
9	1450SiteImprovement	\$2,000	\$6,500	\$6,500	\$2,000.00
10	1460DwellingStructures	\$107,053	\$107,053	\$107,053	\$107,053.00
11	1465.1DwellingEquipment —Nonexpendable				
12	1470NondwellingStructures				
13	1475NondwellingEquipment				
14	1485Demolition				
15	1490ReplacementReserve	\$11,364	\$6,864	\$6,864	\$0.00
16	1492MovingtoWorkDemonstration				
17	1495.1RelocationCosts				
18	1499DevelopmentA ctivities				
19	1502Contingency				
	AmountofAnnualGrant:(sumoflines.....)	\$150,167	\$150,167	\$150,167	\$127,168.20
	AmountoflineXXRelatedtoLBPActivities				
	AmountoflineXXRelatedtoSection504compliance				
	AmountoflineXXRelatedtoSecurity —SoftCosts				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHAName: Housing Authority of Lawrence County	Grant Type and Number Capital Fund Program Grant No: KY36P08650100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09 -30-2001
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Line XX related to Security --Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P08650100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original R	Revised	Obligated	Expended		
PHA-Wide	Operations	1406		6,500	6,500	6,500	6,500.00		
	Advertisement	1410		1,000	1,000	1,000			
	PHA Staff Training	1410		1,500	1,500	1,500			
	Architect Services	1430		7,100	7,100	7,100	1,465.20		
	Architect Services (2001)	1430		5,000	5,000	5,000			
	Plans/Specs Reproduction	1430		1,000	1,000	1,000			
	Management Consultant	1430		7,650	7,650	7,650	7,650.00		
KY86-01	Upgrades smoke detectors	1460	114	14,250	14,250	14,250	14,250.00		
Bird Hayes Manor	Tub/shower faucet/lavatory faucet/med. Cabinet/light & toilet seat	1460	38	63,153	63,153	63,153	63,153.00		
	Add tub access panel	1460	38	6,650	6,650	6,650	6,650.00		
KY86-02	Replaces sidewalk with curbs	1450	1000 lf	2,000	2,000	2,000	2,000.00		
Ray Williams Villa	Upgrades smoke detectors	1460	150	13,250	13,250	13,250	13,250.00		
	Replace porch lights w/911 numbers	1460	50	9,750	9,750	9,750	9,750.00		
PHA Wide	Replacement Reserve	1490		11,364	6,864	6,864	0.00		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHAName: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program No: KY36P08650100 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	6-30-01		3-31-01	6-30-02			
KY86-01							
Bird Hayes Manor	6-30-01		3-31-01	6-30-02			
KY86-02							
Ray Williams Villa	6-30-01		3-31-01	6-30-02			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P08690899 Replacement Housing Factor Grant No:		Federal FY of Grant: 1999	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09 -30-2001 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs	\$1,500		\$1,500	\$0.00
	Management Improvements Hard Costs				
4	1410 Administration	\$5,000		\$5,000	\$0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$20,690		\$20,690	\$18,810.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$12,750		\$12,750	\$5,700.00
10	1460 Dwelling Structures	\$38,210		\$38,210	\$13,910.61
11	1465.1 Dwelling Equipment — Nonexpendable	\$14,648		\$14,648	\$0.00
12	1470 Non Dwelling Structures	\$49,200		\$49,200	\$42,000.00
13	1475 Non Dwelling Equipment	\$816		\$816	\$0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	\$142,814		\$142,814	\$80,420.61
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security — Soft Costs				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHAName: Housing Authority of Lawrence County	Grant Type and Number Capital Fund Program Grant No: KY36P08690899 Replacement Housing Factor Grant No:	Federal FY of Grant: 1999
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09 -30-2001
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Line XX related to Security --Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P08690899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development No. Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
PHA Wide	Staff Training	1408		1,500		1,500		
	Administrative Salary	1410		4,000		4,000		
	Advertisements	1410		1,000		1,000		
	Architect Services	1430		6,500		6,500	6,500.00	
	Architect Services (Design 2000)	1430		3,500		3,500	3,500.00	
	Plans/Specifications	1430		1,000		1,000	1,000.00	
	MOD Consultant	1430		6,500		6,500	4,620.00	
	Capital Improvement Grant 5 - Year Plan	1430		2,640		2,640	2,640.00	
	Application Preparation	1430		550		550	550.00	
KY86-02	Dumpster Enclosure -concrete pad/block enclosure/4 ballasters	1450		5,700		5,700	5,700.00	
	Remove/replace sidewalk (various locations)	1450	2731sf	2,250		2,250		
	Repair/seal/stripe/add parking stops	1450	2	4,800		4,800		
KY86-01	Repair/refinish stair treads as directed - 14 treads per unit	1460	26 units	10,050		10,050		
	Replace front screen door	1460	26 units	6,890		6,890		
	Replace smoked detectors in living units with multiple detectors -CODE	1460	37 each	15,870		15,870	13,910.61	
KY86-02	Replace/repair/tuckpoint/seal brick wing walls	1460		5,400		5,400		
	Replace ranges	1465	25	8,125		8,125		
	Replace refrigerators	1465	16	6,523		6,523		
	Add maintenance building	1470		42,000		42,000	42,000.00	
	Replace sliding doors	1470	Lumpsum	7,200		7,200		
	Front load washer	1475		816.00		816.00		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P08690899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999	
Development No. Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost Original Revised	Total Actual Cost Obligated Expended	Status of Work

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program No: KY36P08690899 Replacement Housing Factor No:				Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHAWide	9-30-00		9-30-00	9-30-01			
KY86-01	9-30-00		9-30-00	9-30-01			
KY86-02	9-30-00		9-30-00	9-30-01			

Resident Advisory Board Membership

The following individuals are members of the Resident Advisory Board:

President: Edith Spradlin
126 Bird Hayes Manor
Louisa, KY. 41230
606-638-0491

V-President: Anna Watts
118 Bird Hayes Manor
Louisa, KY. 41230
606-638-4677

Secretary: Erma Ray
135 Bird Hayes Manor
Louisa, KY. 41230
606-638-0645

Treasurer: Brian Pauley
402 Gene Wilson Blvd.
Louisa, KY. 41230
606-638-3767

Member-at-Large: Sarah R. McNabb
403 Gene Wilson Blvd.
Louisa, KY. 41230
606-638-1061

There was no response from Section 8 residents.

Resident Advisory Board Recommendations and PHA Response

The following outlines recommendations made by the Resident Advisory Board and the Housing Authority's response:

Prioritized Suggestions: R. W. Villa

1. Kitchen cabinets
2. Kitchen appliances
3. Hot water tanks
4. Washer and dryer hookups

Housing Authority Plans

1. Kitchen cabinets
2. Furnaces
3. Roofs
4. Kitchen appliances

Prioritized Suggestions: B. H. Manor

1. Kitchen appliances
2. Bathroom floors & tubs
3. Closet doors
4. HC units want range hoods
5. Window awnings for elderly
6. Carpet

Housing Authority Plans

1. Bathroom Floors (family section only)
2. Kitchen appliances

Response: The Housing Authority will include work items suggested in its Capital Fund Program as funding allows.

Criteria for Significant Amendment and Substantial Modification

The Housing Authority of Lawrence County shall define substantial deviation and significant amendment to its Agency Plan as follows:

- Changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Additions of new activities not included in the current PHDEP Plan;
- And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Deconcentration & Income Mixing

Attachment: K Deconcentration and Income Mixing

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any)[see step 4 at §903.2©(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2©(1)(v)]

Community Service

The following is a brief description of the Housing Authority's Community Service Requirements:

As a part of its 2001 Agency Plan Update, the Housing Authority of Lawrence County adopted a self-certifying Community Service Policy. Implementation of the requirements of the policy became effective with the first annual reexamination after April 1, 2001. The policy contains detailed procedures for program administration and compliance monitoring.

With approval of the 2002 Agency Plan Update, the Housing Authority of Lawrence County will suspend enforcement of the Community Service requirement as noted in the following resolution:

Resolution
Suspension of Community Service Requirement

WHEREAS, the 2002 HUD Appropriations Act has suspended the 8-hour community service requirement for Housing Authority residents;

NOW THEREFORE BE IT RESOLVED, the Housing Authority of Lawrence County Board of Commissioners do hereby suspend enforcement of the 8-hour community service requirement 30 days from today's date and will not enforce this provision of our Admissions and Continued Occupancy Policy.

FURTHER BE IT RESOLVED, this action shall remain in effect so long as Congress provides for the option of not enforcing this requirement.

FURTHER BE IT RESOLVED, the Housing Authority Board of Commissioners encourages our public housing residents to both participate in their community and enhance their self-sufficiency skills in a truly voluntary manner.

Pet Policy Summary

The following is a brief summary of the Housing Authority's Pet Policy requirements:

The Housing Authority of Lawrence County Pet Policy was adopted January 12, 2001, Board Resolution no. 01-001. The policy requires registration of pets with the Housing Authority and outlines the specific type and number of pets allowed. A pet deposit of \$200 is charged for a dog or cat, \$50 for a fish aquarium and \$25 for caged pets. A "Resident Acknowledgment" is signed by the head of household and the Housing Authority upon approval of the pet. The Housing Authority also issues an "Authorization for Pet Ownership Form" which requires documentation of the pet's vaccination records and a picture of the pet.

Resident Membership PHA Governing Board

Required Attachment O: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

B. How was the resident board member selected: (select one)?

Elected

Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full-time basis

the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (explain):

B. Date of next term expiration of a governing board member: 04-21-2002

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): **County Judge Executive David Compton**

Voluntary Conversion Initial Assessments

Component 10 (B) Voluntary conversion Initial Assessments

- a. How many of the PHA’s developments are subject to the Required Initial Assessment: **KY086-001 and KY086-002**
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects?) **None**
- c. How many Assessments were conducted for the PHA’s covered developments? **Assessment completed for each project number in item a.**
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
None	

- a. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

