

Small PHA Plan Update
Annual Plan for Fiscal Year: 2002

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of Dawson Springs

PHA Number: KY-075

PHA Fiscal Year Beginning: (mm/yyyy) 04/2002

PHA Plan Contact Information:

Name: Tana Townsend, Executive Director

Phone: 270-797-2512

TDD: 1-800-648-6056

Email (if available): hads@vci.net

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

Annual PHA Plan
Fiscal Year 2002
 [24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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<input checked="" type="checkbox"/> Other (List below, providing each attachment name)	
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ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

The HADS plans no major changes in the upcoming Agency Plans (Fiscal) Year from the current year's Plans except for different items comprising the FFY2002 Capital Funds Program (CFP) and some new items in the 5 Year Capital Improvement Programs. HADS will continue with the ACOPP provisions as approved and implemented in its current year (FFY2002) plan. HADS will continue to implement the Community Services requirements and do outreach to attract additional residents in an attempt improve its overall occupancy. It will, through its Resident Initiatives Coordinator, continue to provide educational, self-help and recreational activities/programs for all residents in an attempt to improve resident satisfaction and employment opportunities (i.e., self-sufficiency.)

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 260,300. (or 85% of the current (FFY2001) actual grant.

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment C

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment B

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.79 (k)]

- A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with

- secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____ Not Applicable _____
- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. Yes No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
 The Resident Council was generally very favorable toward the 5 year Capital Improvements (Funds) Program as prepared by the HADS, wishing only that MORE Capital Funds were available where some items might be addressed sooner. In the area of crime and safety, the biggest issues for the residents were Baxter Avenue (City Street) speeders and youth (from outside the developments) congregating on or near the Belmont development site. These youth sometimes generate excess noise and also restrict safe traffic through the City streets there by playing in the street.
2. If yes, the comments are Attached at Attachment (File name)
3. In what manner did the PHA address those comments? (select all that apply)
- The PHA changed portions of the PHA Plan in response to comments
 A list of these changes is included
 Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment _____.
- Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment _____.
 Neither of the items mentioned (discussed above) required or justified a change to the HADS Agency Plan; however, E/D Townsend ask for identification of the speeders (by license number) and those (youth) creating disturbances so that she

might get the Police involved and/or the parents notified. She advised those residents attending the meeting with the Plan's consultant to contact her at anytime with that information and she would take it to the next step.

Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

Commonwealth of Kentucky (State Plan)- Kentucky Housing Corporation.

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
- Other: (list below) The Housing Authority of Dawson Springs (HADS) Agency Plans are consistent with the State Consolidated Plan in that the ACOPP gives priority to selection of extremely low-income applicants (i.e., those whose incomes do not exceed 30% of the Median Family Income (MFI) for Hopkins County), grants applicants/occupants a 15% deduction from gross wages/salaries in determining their Income-Based Rents and also grants all the option of selecting their rent amount from either those computed on the basis of Income-Based Rents or Flat Rents (which are set at rates comparable to local private market rentals.) The minimum rent remains at \$25 per unit per month. Additionally, the Capital Funds Program monies are used to cover the costs of operational services and property upgrades and improvements. In the subject Agency Plans year (FFY2002), the installation of Central Air Conditioning will continue at Belmont Apartments (part of KY 75-02). All of these items provide opportunities and benefits to eligible (i.e., from zero income to 80% of the Hopkins County MFI) applicants and residents.

3. PHA Requests for support from the Consolidated Plan Agency

Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The State Consolidated Plan sets forth the following, with which the HADS's activities are consistent: "Expand the supply of safe, decent, sanitary and affordable housing for very-low and low-income families through...rehabilitation.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

The Housing Authority of Dawson Springs (HADS) has chosen the following as its definition of Substantial Deviation from its Annual Plan:

- 1.) Redirection of more than 20% of its operating budget funds from any budgeted/scheduled activity to another activity or:**
- 2.) A major change in program direction (e.g., new or different housing selection preference criterion, new or changes to deductions from income-based rents, additional efforts to enhance deconcentration opportunities, changes in the basis of determining Ceiling/Flat rent amounts, etc.) that requires action on the part of the Board of Commissioners; or**
- 3.) Increasing or decreasing the total number of HADS employees by more than 25% from that authorized on the April 1st of each fiscal year.**

However, NONE of these changes will be considered a Substantial Deviation IF those changes result from Government (i.e., Federal, State, or Local) actions over which the HADS exercises no control.

B. Significant Amendment or Modification to the Annual Plan:

The HADS has chosen to use the HUD definition of Significant Amendment or Modification. Specifically, it will consider the following to be such modifications/amendments:

- 1.) Changes to rent or admission policies or organization of its waiting list.**
- 2.) Additions of non-emergency work items (not included in the current Annual Statement or 5-Year Action Plan) or change in use of the replacement reserve funds under the Capital Grant Funds Program.**
- 3.) Additions of new activities not included in the current PHDEP; and**
- 4.) Any change with regard to demolition or disposition, designation of projects/buildings (for the elderly/disabled or families with disabilities), homeownership programs or conversion activities.**

However, NONE of these changes will be considered Substantial Amendments/Modifications IF those changes result from Government (i.e., Federal, State, of Local) actions over which the HADS exercises no control.

Attachment A

Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents Community Services Requirements Implementation Plan, Detailed Analysis of Deconcentration Requirements by Project, and Details of Initial Assessment of Voluntary Conversion of Public Housing	(specify as needed) Annual Plan Requirement

Required Attachment D: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: Ruth Key

B. How was the resident board member selected: (select one)?

Elected

Appointed

C. The term of appointment is (include the date term expires): 11/04/2005

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis

the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (explain):

B. Date of next term expiration of a governing board member: 11/04/2002

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): Mayor Stacia Peyton

Required Attachment E: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Anthony Phelps (President), James Forbes (Vice President), Crystal Howell (Secretary), Patricia Matheny (Treasurer) and Eric Hickerson (Parliamentarian).

Required Attachment F. Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

Required Attachment G. Component 10(B) Voluntary Conversion Initial Assessments

- a. How many of the PHA’s developments are subject to the Required Initial Assessments? Two (both)
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on the exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? None
- c. How many Assessments were conducted for the PHA’s covered developments? Two (both)
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: None (N/A)

Development Name	Number of Units

- e. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments: Not Applicable

**Annual Statement/Performance and Evaluation Report Attachment B.
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Housing Authority of Dawson Springs	Grant Type and Number Capital Fund Program Grant No: KY36P07550102 Replacement Housing Factor Grant No:	Federal FY of Grant: 2002
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$ 119,300.			
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 5,000.			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 8,000.			
10	1460 Dwelling Structures	\$ 106,000.			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$ 22,000.			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$ 260,300.			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Capital Fund Program Five-Year Action Plan Attachment C.

Part I: Summary

PHA Name Housing Authority of Dawson Springs		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2003 PHA FY: 4-1-2003	Work Statement for Year 3 FFY Grant: 2004 PHA FY: 4-1-2004	Work Statement for Year 4 FFY Grant: 2005 PHA FY: 4-1-2005	Work Statement for Year 5 FFY Grant: 2006 PHA FY: 1-1-2006
	Annual Statement				
PHA - WIDE		\$ 150,000.	\$ 130,000.	\$ 155,000.	\$ 197,000.
KY 75-01, Clarkdale Court		\$ 3,000.	NONE	\$ 67,000.	\$ 66,600.
KY 75-02, Belmont, Arcadia & Dixon		\$ 127,000.	\$ 170,000.	\$ 80,000.	\$ 36,400.
CFP Funds Listed for 5-year planning		\$ 280,000.	\$ 300,000.	\$ 302,000.	\$ 300,000.
Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan Attachment C.
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: 2005 PHA FY: 4-1-2005			Activities for Year: <u>5</u> FFY Grant: 2006 PHA FY: 4-1-2006		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA – WIDE	Operations & Van	\$ 155,000.	PHA – WIDE	Operations	\$ 120,000.
				Office & Maintenance Tools/Equipment	\$ 22,000.
KY 75-01	A&E to design & supervise	\$ 7,000.		Upgrade computer hardware/software	\$ 10,000.
	Enlarge OCM by 20' X 24" privacy space	\$ 60,000.		2 Riding Mowers	\$ 16,000.
				¾ Ton Pickup Truck	\$ 29,000.
KY 75-02	Continue reroofing/decking replacement	\$ 40,000.			
	Continue window & frame replacements	\$ 40,000.	KY 75-01	Treat for Termites	\$ 50,000.
				Paint Interiors-Occupied Dus	\$ 13,600.
				New HVAC Community Rm.	\$ 3,000.
			KY 75-02	Gazebo & Picnic Table-Arcadia	\$ 3,400.
				8' Sitting Bench-Dixon	\$ 400.
				Section Chain Link Fence-Belmont	\$ 2,000.
				8' X 10' Patios @ Belmont & Dixon	\$ 8,500.
				Treat for Termites	\$ 18,100.
				Paint Interiors Occupied Dus	\$ 4,000.

Total CFP Estimated Cost	\$ 302,000.			\$
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**Annual Statement/Performance and Evaluation Report Required Attachment H.
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Housing Authority of Dawson Springs	Grant Type and Number Capital Fund Program Grant No: KY36P07550100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no #1)
 Performance and Evaluation Report for Period Ending: 09/30/01 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$ 105,700.	\$ 105,700.	\$ 105,700	\$ 105,700.00
3	1408 Management Improvements	\$ 6,000.	\$ 6,000.	\$ 142.	\$ 141.98
4	1410 Administration	None	\$ 1,400.	\$ 1,219.	\$ 1,218.53
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	None	\$ 14,000.	\$ 10,763.	\$ 7,387.59
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 18,783.	\$ 31,053.	\$ 1,607.	\$ 1,607.25
10	1460 Dwelling Structures	\$ 173,300.	\$ 145,630.	\$ 138,457.	\$ 35,866.40
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$ 2,500.	\$ 2,500.	\$ 1,031.	\$ 1,030.57
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$ 306,283.	\$ 306,283.	\$ 258,919.	\$ 152,952.32
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report Required Attachment H.
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of Dawson Spring		Grant Type and Number Capital Fund Program Grant No: KY36P07550100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA – WIDE	OPERATIONS –various including RIC	1406	Various	\$ 105,700.	\$ 105,700.	\$ 105,700.	\$ 105,700.00	Drawn down
PHA – WIDE	MGMT. IMPROVEMENTS-Tenant & Resident Initiative Programs/Activities	1408	Various	\$ 6,000.	\$ 6,000.	\$ 142.	\$ 141.98	In Progress
PHA – WIDE	ADMINISTRATION-advertise/copying	1410	Various	None	\$ 1,400.	\$ 1,219.	\$ 1,218.53	In Progress
PHA – WIDE	FEES & COSTS- hire A&E & Mod Mgmt. Consultant	1430	2	None	\$ 14,000.	\$ 10,763.	\$ 7,387.59	In Progress
KY 75-02	SITE IMPROVEMENTS- Blacktop Parking Areas & Install Playground & improve Playground lot	1450	Various	\$ 18,783.	\$ 31,053.	\$ 1,607.	\$ 1,607.25	In Progress
	DWELLING STRUCTURES-	1460						
KY 75-01	Install Central Air Conditioning		30 Dus	\$ 120,000.	\$ 97,650.	\$ 96,650.	\$ 35,464.76	In Progress
	Purchase New H W Heaters		30 Dus	\$ 9,600.	None	-0-	-0-	Earlier CIAP
	Install Clean-outs for sewer lines		Various	\$ 1,200.	\$ 4,075.	-0-	-0-	T/B Done
KY 75-02	New Carpets		6 Dus	\$ 2,500.	\$ 2,500.	\$ 402.	\$ 401.64	In Progress
	Reroofing & Decking Replacement		12 Bldgs.	\$ 40,000.	\$ 41,405.	\$ 41,405.	None	Awarded
	NON-DWELLING EQUIPMENT-	1475.						
KY 75-01	Community Room Furniture & Equip.		Various	\$ 2,500.	\$ 2,500.	\$ 1,031.	\$ 1,030.57	In Progress

**Annual Statement/Performance and Evaluation Report Required Attachment H.
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Housing Authority of Dawson Springs	Grant Type and Number Capital Fund Program No: KY36P07550100 Replacement Housing Factor No:	Federal FY of Grant: 2000
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA –Wide	03/31/02			09/30/03			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary

PHA Name: Housing Authority of Dawson Springs	Grant Type and Number Capital Fund Program Grant No: KY36P07550101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 9-30-01 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$ 140,000.		\$ 140,000.	\$ 140,000.
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$ 11,500.		None	
8	1440 Site Acquisition				
9	1450 Site Improvement	\$ 50,000.		None	
10	1460 Dwelling Structures	\$ 111,020.		None	
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$ 312,520.		\$ 140,000.	\$ 140,000
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report Required Attachment H.
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of Dawson Springs		Grant Type and Number Capital Fund Program Grant No: KY36P07550101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA –WIDE	Operations –Support due to excessive vacancies, pay RIC salary/benefits,etc.	1406	Various	\$ 140,000.		\$ 140,000.	\$ 140,000.	Drawn down
PHA - WIDE	Fees & Costs -Employ A&E to design and supervise work	1430	1	\$ 11,500.		None		
KY 075-02	Site Improvements – Blacktop parking areas @ Belmont	1450	Various	\$ 45,000.		None		
KY 075-02	Site Improvement – Repair Sidewalks	1450	As Needed	\$ 5,000.		None		
KY 075-02	Dwelling Structures – Install Central A/C @ Belmont to extent \$ are available	1460	20-25 Dus	\$ 80,000.		None		
KY 075-02	Dwelling Structures - Replace worse windows	1460	5-6 Bldgs.	\$ 31,020.		None		

Required Attachment I. Report on the Progress in Meeting the Five-Year Mission/Goals

The HADS continues to achieved its mission in providing affordable, decent, safe, sanitary and drug free housing for eligible families.

In regard to its goals, HADS has achieve (or not achieved) its goals as follows:

1. While HADS still has 34 units off-line for Capital Funds Program (CFP) renovations, it has improved in the number of income eligible family/individual occupied units from 93 to 99 during the past year.
2. The HADS believes it has improved the quality of assisted housing by adding central A/C for all units at Clarkdale (KY 75-01) and having it funded and scheduled for some units at Belmont (part of KY 75-02). It is unable to comment on improvements in the RASS and/or the overall PHAS score as neither is available at this writing.
3. HADS has an improved living environment by continuation of its charging residents the lesser of Income-Based Rents (which allow a 15% deduction from gross wages/salaries) and Flat Rents (which are based on local private market housing), with a minimum gross rent of \$25. Further, the HADS has a policeman living on both of its family sites (i.e., Clarkdale and Belmont) to foster safety and security on both sites. The Neighborhood Watch Program has ceased at Belmont.
4. The HADS has promoted self-sufficiency and asset development of its assisted households by providing various supportive services, such as GED and computer training courses, Meals on Wheels and lunch meal in its Clarkdale Community Room to the elderly/disabled, health fairs, etc., conducted by or coordinated through its RIC. Further, it assisted its youth to achieve greater success by providing after school tutorial programs, girl scout activities, and drug & alcohol free training. Unfortunately, the number of “working families” has decreased by one (1), i.e. from 18 to 17, between April 1, 2001 and October 1, 2001.