

PHAPlans

5-YearPlanforFiscalYears2000 -2004

AnnualPlanforFiscalYear2002

- AdmissionsandContinuedOccupancyPolicy
- CapitalImprovementsFund(CompPlan)
- Certifications
- FairHousingDocuments
- ConsolidatedPlanCertification
- ApprovedOperatingBudget
- AdoptedProceduresandPolicies
- PHDEPPerformanceReport
- CitizenParticipationDocumentation
- LatestAudit

JeffersonvilleHousingAuthority **Jeffersonville,Indiana**

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTOBECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

PHA Plan Agency Identification

PHAName: Jeffersonville Housing Authority

PHANumber: IN023

PHAFiscal Year Beginning: (October, 2002)

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHAFISCAL YEARS 2000 –2004
 [24CFRPart903.5]

A.Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B.Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHA may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS**. (Quantifiable measures would include target such as: numbers of families served or PHAS scores achieved.) PHA should identify these measures in the space to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
 Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies: **Goal of 3% vacancies**
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
 Objectives:
 - Improve public housing management: (**PHAS score is 92.0**)
 - Improve voucher management: (**SEMAP score is 100 points or 100%**)
 - Increase customer satisfaction: **Carry out recommendations of Customer Satisfaction Survey that was conducted by HUD.**
 - Concentrate one effort to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units: **Continue ongoing comprehensive program. Replace heating, install air conditioning and improve curb appeal in all developments.**

- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach effort to potential voucher landlords **Continue current strategy and marketing.**
 - Increase voucher payment standards
 - Implement voucher homeownership program: **Investigate proposed HUD program**
 - Implement public housing or other homeownership programs: **Continue to coordinate and work with local non-profit homeownership programs.**
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: **Goal of 40% higher income in 5 years.**
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements: **Continue coordination with police department and program of community policing.**
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families: **Employment opportunities strategically posted and placed in newspaper.**
 - Provide or attract supportive services to improve assistance recipients' employability: **Continue partnering with various community agencies and working with State Employment Agency.**
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities. **Continue ongoing programs with aging organizations and Parks Department.**
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability: **Continue ongoing programs.**
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability: **Continue ongoing programs.**
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: **Certifications are in place.**
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

AnnualPHAPlan
PHAFiscalYear2002
[24CFRPart903.7]

i. AnnualPlanType:

SelectwhichtypeofAnnualPlanthePHAwillsubmit.

StandardPlan

StreamlinedPlan:

- HighPerformingPHA**
 SmallAgency(<250PublicHousingUnits)
 AdministeringSection8Only

TroubledAgencyPlan

ii. ExecutiveSummaryoftheAnnualP HAPlan

[24CFRPart903.79(r)]

ProvideabriefoverviewoftheinformationintheAnnualPlan,includinghighlightsofmajorinitiatives anddiscretionarypolicies,thePHAhasincludedintheAnnualPlan.

TheJeffersonvilleHousingAuthority’sAnnualP lanisdesignedtofurtherthe Authority’smissionofpromotingadequateandaffordablehousing, economic opportunity,andasuitable discrimination -freelivingenvironmentforthelow - incomeresidentsofJeffersonville,Indiana.

ThePlan’smajorinitiati vesinclude:

- (a) Modernizationofhousingunitsthroughthereplacementofheating equipmentandinstallationoffairconditioning.**
- (b) Partneringwithcommunityagenciestoprovidejobtrainingandemployment toresidents,particularlyyouth.**
- (c) Improvingthesafety ofresidentsthroughacloseworkingrelationshipwith theJeffersonvillePoliceDepartmentanditscommunitypolicing.**
- (d) Supportingandpartnershipwithlocalnon -profitorganizationsinaffordable homeownershipprograms.**

Theplanincludesdiscretionarya dmissionspolicies thatwill supportand encourageworkinitiatives, andthatwillachievetheAuthority’s5 -yeargoalsof deconcentrationofpovertyandmixingofincomesinthehousingdevelopments.

ThePlanwasdevelopedafterconsultationwithresiden trepresentativesand participationbythegeneralpublic.

iii. Annual Plan Table of Contents

[24CFR Part 903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (sent via Federal Express to IND HUD Field Office.)
- FY2002 Capital Fund Program Annual Statement (Attachment A, which is located in the Table Library of this PHA Plan)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY2002 Capital Fund Program 5 Year Action Plan (Attachment B, which is located in the Table Library of this PHA Plan)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

The Annual Statement/Performance and Evaluation Report (CFP/CFPRHF) Parts I through III for FY2000 and FY2001 (Attachments C & D) are in the Table Library of this PHA Plan

The following attachments are located, in the following order, under the heading Attachments following Item 19 of this template:

- Description of Pet Policy
- Statement of Progress on 5 -Year Plan
- List of Resident Advisory Board Members
- Information on Resident Appointee to the Housing Authority

The following attachments were sent via Federal Express to IND HUD Field Office.

- Required Certifications.
- Certification of Consistency with Consolidated Plan
- Latest Approved 5 -Year Comp Plan

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
PHA Office	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
PHA Office	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
PHA Office	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
PHA Office	Consolidated Plan for the jurisdiction/in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction.	Annual Plan: Housing Needs
PHA Office	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
PHA Office	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
PHA Office	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
PHA Office	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certification of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance</i> ; <i>Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
PHA Office	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
PHA Office	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the A&O Policy	Annual Plan: Rent Determination
PHA Office	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
PHA Office	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
PHA Office	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
PHA Office	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
PHA Office	The HUD - approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
PHA Office	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing home ownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing a <input type="checkbox"/> check here if included in the Section 8 Administrative Plan ny Section 8 Homeownership program	Annual Plan: Homeownership
PHA OFFICE	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
PHA Office	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
PHA Office	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1.StatementofHousingNeeds

[24CFRPart903.79(a)]

A.HousingNeedsofFamiliesin theJurisdiction/sServedbythePHA

BasedupontheinformationcontainedintheConsolidatedPlan/sapplicabletothejurisdiction,and/or otherdataavailabletothePHA,provideastatementofthehousingneedsinthejurisdictionby completingthefollowingtable.Inthe“Overall”Needscolumn,providetheestimatednumberofrenter familiesthathavehousingneeds.Fortheremainingcharacteristics,ratetheimpactofthatfactoronthe housingneedsforeachfamilytype,from1to5,with1being “noimpact”and5being“severeimpact.” UseN/AtoindicatethatnoinformationisavailableuponwhichthePHAcannmakethisassessment.

HousingNeedsofFamiliesintheJurisdiction byFamilyType							
FamilyType	Overall	Afford- ability	Supply	Quality	Access- ability	Size	Locatio n
Income<=30%of AMI	1283	5	5	3	3	2	4
Income>30%but <=50%ofAMI	1203	4	4	3	3	2	4
Income>50%but <80%ofAMI	740	3	3	3	3	2	4
Elderly	718	4	2	3	4	2	3
Familieswith Disabilities	N/A						
Caucasian	2865	3	2	3	3	2	3
AfricanAmerican	273	4	3	3	3	2	3
Hispanic	56	4	3	3	3	2	3
OtherMinorities	32	4	3	3	3	2	3

WhatsourcesofinformationdidthePHAusetoconductthisanalysis?(Checkallthat apply;allmaterials mustbemadeavailableforpublicinspection .)

- ConsolidatedPlanoftheJurisdiction/s
Indicateyear: **2001**
- U.S.Censusdata:theComprehensiveHousingAffordabilityStrategy
 (“CHAS”)dataset
- AmericanHousingSurveydata
Indicateyear:
- Otherhousingmarketstudy
Indicateyear:
- Othersources:(listandindicateyearofinformation)
SubsidizedRentalHousingSurvey,May,2002

B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA - wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input checked="" type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	222		220
Extremely low income <=30% AMI	171	77.0	
Very low income (>30% but <=50% AMI)	42	18.9	
Low income (>50% but <80% AMI)	9	4.0	
Families with children	106	47.7	
Elderly families	21	9.5	
Families with Disabilities	58	26.1	
Caucasian	152	68.5	
African-American	69	31.0	
Asian	1	0.5	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	107	48.2	35
2BR	72	32.4	24
3BR	36	16.2	36
4BR	7	3.2	8
5BR	0	0.0	2
5+BR	0	0.0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes: How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families on the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C.Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed financed development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease -uprates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease -uprates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease -uprates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed -finance housing
- Pursue housing resources other than public housing or Section 8 tenant -based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special -purpose voucher targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special -purpose voucher targeted to families with disabilities, should they become available
- Affirmatively market to local non -profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs **Work with local NAACP Chapter and cooperative agreement with Housing Authorities in the Metropolitan Area**
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty/minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant-based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	903,967	
b) Public Housing Capital Fund	713,986	
c) HOPEVI Revitalization		
d) HOPEVI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	1,489,809	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
PHDEP	93,608	Safety and Security
3. Public Housing Dwelling Rental Income	665,087	Public Housing Operation
4. Other income (list below)		
Investment Income	11,500	Public Housing Operations
Tenant Charges in Excess of Rent	30,000	Public Housing Operations
4. Non-federal sources (list below)		
Total resources	3,907,957	

3.PHAPoliciesGoverningEligibility,Selection,andAdmissio ns

[24CFRPart903.79(c)]

A.PublicHousing

Exemptions:PHAsthatdonotadministerpublichousingarenotrequiredto completesubcomponent 3A.

(1)Eligibility

a. WhendoesthePHAverifyeligibilityforadmissiontopublichousing?(select 1 thatapply)

- Whenfamiliesarewithinacertainnumberofbeingofferedaunit:(state number)
- Whenfamiliesarewithinacertaintimeofbeingofferedaunit:(statetime)
- Other:(describe) **Attheinitialpre -applicationstageandwhenofferismade.**

b. Whichnon -income(screening)factorsdoesthePHAusetoestablisheligibilityfor admissiontopublichousing(selectallthatapply)?

- CriminalorDrug -relatedactivity
- Rentalhistory
- Housekeeping
- Other(describe) **Previousparticipationwithsubsidyprograms.**

c. Yes No:DoesthePHArequestcriminalrecordsfromlocallaw enforcementagenciesforscreeningpurposes?

d. Yes No:DoesthePHArequestcriminalrecordsfromStatelaw enforcementagenciesforscreeningpurposes?

e. Yes No:DoesthePHAacce ssFBIcriminalrecordsfromtheFBIfor screeningpurposes?(eitherdirectlyorthroughanNCIC - authorizedsource)

(2)WaitingListOrganization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plan to operate one or more site -based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3)Assignment**

1. How many site -based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site -based waiting lists new for the upcoming year (that is, they are not part of a previously -HUD-approved site based waiting list plan)? If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously? If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site -based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site -based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3)Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More **Exactly three.**

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfer take precedence over new admissions? (list below)

- Emergencies
- Over-housed
- Under-housed
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If 'no' is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- 4 Veterans and veterans' families
- 3 Residents who live and/or work in the jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- 5 Households that contribute to meeting income goals (broad range of incomes)
- 5 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 2 Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5)Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA -resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Anytime family composition changes
- At family request for revision
- Other (list) **Within ten (10) days of occurrence.**

(6)Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub -component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug -related activity only to the extent required by law or regulation
- Criminal and drug -related activity, more extensively than required by law or regulation
- More general screening than criminal and drug -related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC - authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug -related activity
- Other (describe below)
- Share information on request.**

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant -based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project -based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

One or more extensions of time not to exceed 120 calendar days from initial date of issuance.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in your jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs

- Householdsthatcontributetomeetingincomegoals(broadrangeofincomes)
- Householdsthatcontributetomeetingincomerequirements(targeting)
- Thosepreviouslyenrolledineducational,training,orupwardmobility programs
- Victimsofreprisalsorhatecrimes
- Otherpreference(s)(listbelow)

3.IfthePHAwillemployadmissionspreferences,pleaseprioritizebyplacinga“1”inthespacethatrepresentsyourfirstpriority,a“2”intheboxrepresentingyoursecondpriority,andsoon.Ifyougiveequalweighttooneormoreofthese choices(eitherthroughanabsolutehierarchyorthroughapointsystem),placethesamenumbernexttoeach.Thatmeansyoucanuse“1”morethanonce,“2”more thanonce,etc.

1 DateandTime

FormerFederalpreferences

- 2 InvoluntaryDisplacement(Disaster,GovernmentAction,ActionofHousing Owner,Inaccessibility,PropertyDisposition)
- 2 Victimsofdomesticviolence
- 1 Substandardhousing
- 1 Homelessness
- Highrentburden

Otherpreferences(selectallthatapply)

- 2 Workingfamiliesandthoseunabletoworkbecauseofageordisability
- 4 Veteransandveterans’families
- 3 Residentstholiveand/orworkinyourjurisdiction
- 2 Thoseenrolledcurrentlyineducational,training,orupwardmobilityprograms
- Householdsthatcontributetomeetingincomegoals(broadrangeofincomes)
- Householdsthatcontributetomeetingincomerequirements(targeting)
- Thosepreviouslyenrolledineducational,training,orupwardmobility programs
- 2 Victimsofreprisalsorhatecrimes
- Otherpreference(s)(listbelow)

4.Amongapplicantsonthewaitinglistwiththequalpreferencestatus,howare applicantsselected?(selectone)

- Dateandtimeofapplication
- Drawing(lottery)orotherrandomchoicetechnique

5.IfthePHAplanstoemploypreferencesfor“residentstholiveand/orworkinthe jurisdiction”(selectone)

- ThispreferencehaspreviouslybeenreviewedandapprovedbyHUD
- ThePHArequestsapprovalforthispreferencethroughthisPHAPlan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensure that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

Not applicable, Jeffersonville HA is not involved in special purpose programs.

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special purpose section 8 program to the public?

- Through published notices
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.79(d)]

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent setting policies for income based rent in public housing. Income based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below :

Policies are listed in the ACOP.

c. Rents set at less than 30% than a djusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusion policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent -setting policy)
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent -setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families **50% of expense not to exceed \$100 per month.**
- Other (describe below)
Child support paid to someone outside of household.

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income re-examinations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Anytime the family experiences an income increase
- Anytime a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

Within ten (10) days of change of family composition.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12-month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- This section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant -Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

Policies are stated in the ACOP.

5. Operations and Management

[24CFR Part 903.79(e)]

Exemptions from Component 5: Higher performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

-SECTION NOT REQUIRED BECAUSE OF HIGH PERFORMING PHA STATUS -

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing using Drug Elimination Program (PHDEP)		
Other Federal Programs (list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24CFR Part 903.79(f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8 - Only PHAs are exempt from sub -component 6A.

-SECTION NOT REQUIRED BECAUSE OF HIGH PERFORMING PHA STATUS -

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA offices should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant -Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant -based assistance program and informal hearing procedures for families assisted by the Section 8 tenant -based assistance program in addition to federal requirements found at 24CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA offices should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24CFR Part 903.79(g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHA that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD Form 52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **Attachment A, located in the Table Library**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert there)

(2) Optional 5 -Year Action Plan

Agencies are encouraged to include a 5 -Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD Form 52834.

a. Yes No: Is the PHA providing an optional 5 -Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5 -Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name **Attachment B, located in the Table Library**)

-or-

The Capital Fund Program 5 -Year Action Plan is provided below: (if selected, copy the CFP Optional 5 Year Action Plan from the Table Library and insert here)

B.HOPEVIandPublicHousingDevelopmentandReplacement Activities(Non -CapitalFund)

Applicability of sub -component 7B: All PHAs administering public housing. Identify any approved HOPEVI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPEVI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPEVI Revitalization grant in the Plan year?
- If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?
- If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

8. Demolition and Disposition

[24CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name: Greenwood Apartments	
1b. Development (project) number: IN23 -3	
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: (30/09/2002)	
5. Number of units affected: 12	
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: March, 2003 b. Projected end date of activity: March, 2004	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24CFR Part 903.79(i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA's Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <u> (DD/MM/YY) </u>	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously -approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant -Based Assistance

[24CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessment of Reasonable Revitalization Pursuant to Section 202 of the HUD FY1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD -approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____)	
<input type="checkbox"/> Units addressed in a pending or approved HOPEV Idemolition application (date submitted or approved: _____)	

<input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)
--

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24CFR Part 903.79(k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z -4). (If "No", skip to component 11B; if "Yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPEI <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the U.S.H.A. of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8 (y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete at least a minimal submission due to high performer status. **High performing PHAs** may skip to component 12.)

-SKIPPED TO COMPONENT 12 BECAUSE OF HIGH PERFORMING STATUS -

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26- 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA -established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self -sufficiency Programs

[24CFR Part 903.79(1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8 - Only PHAs are not required to complete sub -component C.

-SECTION NOT REQUIRED BECAUSE OF HIGH PERFORMING PHA STATUS -

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 03/15/01

2. Other coordination efforts between the PHA and TANF Agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self -sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self -Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing home ownership option participation
- Preference/eligibility for section 8 home ownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any program to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size (Annually)	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office/ PHA main office/ other provider name)	Eligibility (public housing or section 8 participants or both)
Employment				
Education				
Homeownership				
Drug Treatment Referral				
Drug Prevention & Intervention				

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. Yes No: If the PHA is not maintaining the minimum programs size required by HUD, does the most recent FSS Action Plan address the steps the PHA plan to take to achieve at least the minimum programs size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13.PHASafetyandCrimePreventionMeasures

[24CFRPart903.7 9(m)]

ExemptionsfromComponent13:HighperformingandsmallPHAsnotparticipatinginPHDEPand Section8OnlyPHAsmayskipcomponent15.HighPerformingandsmallPHAs thatare participatinginPHDEPandaresentsubmittingaPHDEPPlanwiththisPHA Planmayskipsub componentD.

-SECTIONNOTREQUIRED BECAUSE OF HIGH PERFORMING PHA STATUS -

A.Needformeasurestoensurethesafetyofpublichousingresidents

1.Describetheneedformeasurestoensurethesafetyofpublichousingresidents

(selectallthatapply)

- Highincidenceofviolentand/or drug -relatedcrimeinsomeorallofthePHA's developments
- Highincidenceofviolentand/or drug -relatedcrimeintheareassurroundingor adjacenttothePHA's developments
- Residentsfearfulfortheirsafetyand/orthesafetyoftheirchildren
- Observedlower -levelcrime,vandalismand/orgraffiti
- Peopleonwaitinglistunwillingtomoveintooneormoredevelopmentsdueto perceivedand/oractuallevelsofviolentand/or drug -relatedcrime
- Other(describellow)

2.WhatinformationordatadidthePHAusedtodeterminetheneedforPHAactions toimprovesafetyofresidents(selectallthat apply).

- Safetyandsecuritysurveyofresidents
- Analysisofcrimestatisticsovertimeforcrimescommitted“inandaround” publichousingauthority
- Analysisofcosttrendsovertimeforrepairofvandalismandremovalof graffiti
- Residentreports
- PHAemployeereports
- Policereports
- Demonstrable,quantifiablesuccesswithpreviousorongoinganticrime/anti drugprograms
- Other(describellow)

3.Whichdevelopmentsaremostaffected?(listbelow)

B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plan to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug -prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at -risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug -elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHA eligible for FY2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

NOLONGER APPLICABLE TO PHAs

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY2001 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: _____)

14. RESERVED FOR PET POLICY

[24CFRPart903.79(n)]

The Pet Policy is in the supporting documentation and was submitted as an Attachment to the HUD Indiana Field Office. A description of the Pet Policy is attached to this template.

15. Civil Rights Certifications

[24CFRPart903.79(o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations. **(Submitted via mail to the IND HUD Field Office)**

16. Fiscal Audit

[24CFRPart903.79(p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24CFRPart903.79(q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

-SECTION NOT REQUIRED BECAUSE OF HIGH PERFORMING PHA STATUS -

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24CFR Part 903.79(r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached as Attachment (Filename)
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was there a resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

Resident was appointed. See Attachment.

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (**State of Indiana Consolidated Plan**)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
(a) Supporting and partnership with local non-profit organizations in affordable homeownership programs.
(b) Partnering with community agencies to provide job training and employment to residents.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

(a) Expanding and preserving affordable rental housing opportunities is the highest priority goal and action item.

(b) Enhancing affordable homeownership opportunities is the second highest priority goal and action item.

(c) Enhancing local capacity for housing and community development is a major priority goal and action item.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

19. Definition of “Substantial Deviation” and “Significant Amendment or Modification”

[903.7(r)]

The Jeffersonville Housing Authority defines the following actions to be significant amendments or modifications:

- change to rent or admissions policies or organization of the waiting list;
- additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund; and
- any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

The attachments listed below are included, in the following order, following this page:

- Description of Pet Policy
- Statement of Progress on 5 -Year Plan
- List of Resident Advisory Board Members
- Name, Method of Selection and Term of Resident Appointee to the Housing Authority

THE FOLLOWING ATTACHMENTS ARE INCLUDED IN THE TABLE LIBRARY, which follow the above listed attachments:

ATTACHMENT A – FY2002 Capital Fund Program Annual Statement
ATTACHMENT B – FY2000 -2004 Capital Fund Program 5 -Year Action Plan
ATTACHMENT C – FY2000 Annual Statement/Performance Evaluation Report
ATTACHMENT D – FY2001 Annual Statement/Performance Evaluation Report

DESCRIPTION OF PET POLICY

The Jeffersonville Housing Authority pet policy does not apply to animals that are used to assist persons with disabilities. The policy applies to common household pets, which include only domesticated animals such as dogs, cats, birds, rodents, fish in aquariums, or turtles. Common pets do not include mice, ferrets, or reptiles. The policy permits only one (1) pet per unit with exceptions for fish, caged birds, and caged rodents. No animal may exceed 25 pounds in weight at its projected full adult size. A potentially harmful animal such as an attack or fighting dog, is not permitted.

Residents must obtain written pre-approval by the Housing Authority before moving a pet into their unit. Pets must be certified as having been inoculated against rabies and other diseases or conditions.

A pet deposit, totaling \$200.00, is required to register a pet with the Housing Authority. The deposit is refundable upon vacation of the unit, less damages beyond normal wear and tear.

Pets must be kept in the owner's apartment or on a leash at all times when outside the unit. Pet owners must clean up after their pets and must immediately dispose of all waste material produced inside or outside the dwelling unit. Pets are not allowed in the community rooms, community room kitchens, laundry rooms, beauty shops, lobbies, hallways, or offices.

A resident assumes fully responsibility for their pet and agrees to hold the Jeffersonville Housing Authority harmless from any claims caused by the pet. The Housing Authority staff is responsible for enforcing its pet policy and its determinations shall be final.

Pet owners who violate the conditions of the pet policy may be required to remove the pet from the development within five days of receiving written notice from the Housing Authority. The Authority's grievance procedures will apply to disputes arising out of pet policy violations.

PROGRESS IN MEETING 5 -YEAR PLAN MISSION AND GOALS

During the second year of its 5 -Year Plan, the Jeffersonville Housing Authority made significant progress in accomplishing its mission of promoting adequate and affordable housing, economic opportunity, and a suitable discrimination -free living environment for low- and moderate -income households in Jeffersonville and Clark County.

The Authority improved the quality of the housing stock through its ongoing program of installing air conditioning, and replacing furnaces, stoves, refrigerators, and hot water tanks in the five housing developments.

The residents' living environment continues to be improved through the Authority's close working relationship with the Jeffersonville Police Department and its community policing in and around the housing developments.

By partnering with the Indiana workforce and employment agency and other community agencies, the Housing Authority increased the self -sufficiency and asset development of a significant number of residents.

The Jeffersonville Housing Authority anticipates the continuation and acceleration during the coming year of these and other activities related to the accomplishment of its mission and goals.

RESIDENT COUNCIL REPRESENTATIVES

IN23 -2 –Greentree Village

Ms.Amanda Cole
721 Virginia Avenue
Jeffers onville, Indiana 47130
Phone: 812282 -7045

IN23 -1 –Northtown Terrace & IN23 -3 –Greenwood Apartments

Mr. Joe Beaty, President
227 National Avenue
Jeffersonville, Indiana 47130
Phone: 812282 -9731

Ms. Fabienne Alexander, Vice President
234 National Avenue
Jeffersonville, Indiana 47130
Phone: 812283 -8002

Ms. Geraleathe Workman, Secretary
159 Greenwood
Jeffersonville, Indiana 47130
Phone: 812282 -9658

IN23 -4 –Fulton Terrace

Ms. Eula Mitchell, President
1365 E. Court Avenue
Jeffersonville, Indiana 47130
Phone: 812280 -9688

Ms. Sharon D. Cowher d, Vice President
1357 E. Court Avenue
Jeffersonville, Indiana 47130
Phone: 812284 -2479

Ms. Michelle Gentry, Secretary
1363 E. Court Avenue
Jeffersonville, Indiana 47130
Phone: NoPhone

Ms. Alicia Conway, Treasurer
116 E. Charlestown Avenue
Jeffersonville, Indiana 47130
Phone: NoPhone

Ms. Marla Fisher, Activity Director
106 E. Charlestown Avenue
Jeffersonville, Indiana 47130
Phone: 812284 -5749

IN23 -6 –Clark Arms Apartments

Ms. Betty Boehnlein
117 W. Market Street
Apartment #104
Jeffersonville, Indiana 47130
Phone: 812282 -8716

**RESIDENT MEMBERSHIP OF THE GOVERNING BOARD
of the
JEFFERSONVILLE HOUSING AUTHORITY**

Name: Amanda Cole
Elected or Appointed: Appointed on June 19, 2002
Term of Appointment: June 19, 2002 to June 19, 2003

1. The following attachments are relocated in the Table Library section of the FY 2002 Annual Plan, which follows this page:

- **ATTACHMENT A, Capital Fund Program Annual Statement**
- **ATTACHMENT B, 5 -Year Action Plan for Capital Fund**
- **ATTACHMENT C, FY 2000 Annual/Statement Performance Evaluation Report**
- **ATTACHMENT D, FY 2001 Annual/Statement Performance Evaluation Report**

2. The following attachments are being submitted to the HUD Indiana Field Office via overnight Federal Express:

- **Certifications**
- **Certification of Consistency with Consolidated Plan**
- **Admissions and Continued Occupancy Policy**
- **Latest Approved 5 -Year Capital Fund Program Plan**

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and III

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number IN36P023708FFY of Grant Approval: (10/2002)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non - CGP Funds	
2	1406 Operations	\$70,000.00
3	1408 Management Improvements	76,586.00
4	1410 Administration	
5	1411 Audit	6,000.00
6	1415 Liquidated Damages	
7	1430 Fees and Costs	35,000.00
8	1440 Site Acquisition	
9	1450 Site Improvement	247,900.00
10	1460 Dwelling Structures	256,000.00
11	1465.1 Dwelling Equipment - Nonexpedable	7,500.00
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	15,000.00
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$713,986.00
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	25,000.00
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement Capital Fund Program (CFP) Part II: Description of Major Work Categories			
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
IN23-1 Northtown Terrace	Fees and Cost	1430	11,000
	Replace Existing Downspouts	1450	4,200
	Add Attractive Façade to Front Elevation	1450	100,000
	Interior and Exterior Gas Line Improvements	1450	47,100
	Erosion Control, Landscape Curb Appeal	1450	15,000
IN23-2 Greentree Village	Landscape to Include Replacing, Pruning, Trimming Plantings	1450	7,000
IN23-3 Greenwood Apartments	Fees and Cost	1430	1,000
	Landscape, Erosion Control	1450.1	20,000
IN23-4 Fulton Terrace	Fees and Cost	1430	15,000
	Interior and Exterior Gas Line Improvements	1450	47,100
	Replace Front and Rear Storm Doors	1460	56,000
	Landscape, Erosion Control	1460	15,000
	Replace Front and Rear Prime Doors	1450	80,000
Fencing	1450	15,000	
IN23-6 Clark Arms Apartments	Fees and Cost	1430	8,000
	Construct Additional Parking at Rear of Building & Seal & stripe Existing Parking Lot	1450	70,000
	Landscape to Include Replacing, Trimming Plantings	1450	7,500
	Install Awning at the Entrance of Facility	1450	10,000
	Purchase Furniture for Lobby Area and Sitting Area of Each Floor	1465	7,500
	Install Metal Sleeve in the Exterior Walls and Purchase Air Conditioner for Laundry Rooms	1460	10,000
PHA-WIDE Management Improvement	Modernization Coordinator	1408	20,000
	Additional Security	1408	36,586
	Resident Initiative Coordinator	1408	20,000
	Maintenance Equipment	1475	12,000
	Miscellaneous Maintenance	1475	3,000
	Audit Fees	1411	6,000
	Operations	1406	70,000

**AnnualStatement
CapitalFundProgram(CFP)PartIII:ImplementationSchedule**

DevelopmentNumber/Name HA-WideActivities	AllFund sObligated (QuarterEndingDate)	AllFundsExpended (QuarterEndingDate)
Management Improvements	09/30/03	09/30/04
IN23-1 Northtown Terrace	09/30/03	09/30/04
IN23-2 Greentree Village	09/30/03	09/30/04
IN23-3 Greenwood Apartments	09/30/03	09/30/04
IN23-4 Fulton Terrace	09/30/03	09/30/04
IN23-6 Clark Arms Apartments	09/30/03	09/30/04

Capital Fund Program Five - Year Action Plan

Part I: Summary

PHAName Jeffersonville Housing Authority, Jeffersonville, Indiana				<input checked="" type="checkbox"/> Original 5 - Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/HA - Wide	Year 1	Work Statement for Year 2 FFY Grant: 2003 PHAFY: 2003	Work Statement for Year 3 FFY Grant: PHAFY: 2004	Work Statement for Year 4 FFY Grant: PHAFY: 2005	Work Statement for Year 5 FFY Grant: PHAFY: 2006
	Annual Statement				
IN-23-1 Northtown Terrace			\$ 16,250	\$10,400	
IN23 -2 Greentree Village			\$217,000	\$105,000	
IN23 -3 Greenwood Apts		\$26,250	\$200,000	\$200,000	\$104,000
IN23 -4 Fulton Terrace			\$31,000		\$33,975
IN23 -6 Clark Arms		\$10,000	\$250,000	\$90,000	\$75,000
PHA-wide		\$191,000	\$191,000	\$191,000	\$191,000
CFP Funds Listed for 5 -year planning		\$227,500	\$905,250	\$596,400	\$403,975
Replacement Housing Factor Funds					

Capital Fund Program Five - Year Action Plan
Part II: Supporting Pages — Work Activities

Activities for Year 1	Activities for Year: <u>2</u> FFY Grant: 2003 PHAFY: 2003			Activities for Year: <u>3</u> FFY Grant: 2004 PHAFY: 2004		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Statement	IN23-3GreenwoodApts	Replace25Refrigerators	\$10,000	IN23-1NorthtownTerrace	ReplaceRefrigerators	\$10,000
		Replace25GasStoves	\$6,250		ReplaceGas Stoves	\$6,250
		Replace25HotWaterTanks	\$10,000		Subtotal	\$16,250
	<i>Subtotal</i>		\$26,250			
				IN23 -2GreentreeVillage	ReplaceWoodPrimeDoors	\$25,000
	IN23-6ClarkArms	LibraryImprovements	\$10,000		ReplaceKitchenCabinets,Sinks	\$160,000
	Subtotal		\$10,000		InstallFireshields/RangeHoods	\$32,000
					Subtotal	\$217,000
	HousingAuthority -Wide	ManagementImprovements	\$191,000			
	Subtotal		\$191,000	IN23-3GreenwoodApts	InteriorParkingAreas	\$200,000
					Subtotal	\$200,000
				IN23-4FultonTerrace	ReplaceHotWaterTanks	\$16,000
					UpgradeCommunityBuilding	\$15,000
					Subtotal	\$31,000
				IN23-6ClarkArms	ReplaceElevators	\$250,000
					Subtotal	\$250,000
				HousingAuthority -wide	ManagementImprovements	\$191,000
					Subtotal	\$191,000
	TotalCFPEstimatedCost		\$227,250			\$905,250

Capital Fund Program Five - Year Action Plan
Part II: Supporting Pages — Work Activities

Activities for Year: <u>4</u> FFY Grant: 2005 PHAFY: 2005			Activities for Year: <u>5</u> FFY Grant: 2006 PHAFY: 2006		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
IN23-1 Northtown Terrace	Replace Hot Water Tanks	\$10,400	IN23-1 Greenwood Apts	Replace Roof Materials	\$104,000
Subtotal		\$10,400	Subtotal		\$104,000
IN23-2 Greentree Village	Replace Roof, 21 Buildings	\$105,000	IN23-4 Fulton Terrace	Replace 225 Wood Bi-fold Doors	\$33,975
Subtotal		\$105,000	Subtotal		\$33,975
IN23-3 Greenwood Apts	Perimeter Fence	\$200,000	IN23-6 Clark Arms	Hallway Fresh Air Ventilation	\$75,000
Subtotal		\$200,000	Subtotal		\$75,000
IN23-6 Clark Arms	Replace Gas with Electric Ranges	\$40,000	Housing Authority -Wide	Management Improvements	\$191,000
	Install Electric Range Circuit	\$25,000	Subtotal		\$191,000
	Replace San Sewer Drain System	\$25,000			
Subtotal		\$90,000			
Housing Authority -Wide	Management Improvements	\$191,000			
Subtotal		\$191,000			
Total CFPEstimatedCost		\$596,400			\$403,975

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana		Grant Type and Number Capital Fund Program Grant No: IN36P023501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations	\$66,710		\$66,710	\$66,710
3	1408 Management Improvements	\$66,250		\$66,250	\$66,250
4	1410 Administration				
5	1411 Audit	\$5,000		\$5,000	-0-
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$25,000		\$21,500	\$16,452
8	1440 Site Acquisition				
9	1450 Site Improvement	\$38,000		\$38,000	\$7,328
10	1460 Dwelling Structures	\$257,023		\$223,078	\$202,248
11	1465.1 Dwelling Equipment — Nonexpendable	\$144,150		\$233,455	\$233,455
12	1470 Non Dwelling Structures	\$35,926		\$27,707	\$27,707
13	1475 Non Dwelling Equipment	\$48,327		\$39,728	\$39,728
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana	Grant Type and Number Capital Fund Program Grant No: IN36P023501-00 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/02
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$686,386		\$721,428	\$659,878
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$25,000		\$25,000	
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana		Grant Type and Number Capital Fund Program Grant No: IN36P023501-00 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost Original Revised	Total Actual Cost (Funds) Obligated Expended		Status of Work	
IN23-1 Northtown Terrace	Fees and Costs	1430		\$5,000	\$5,000		Completed	
	Replace Roof on Adm. Building	1470	1	\$27,926	\$27,707	\$27,707	Completed	
	Replace Refrigerators	1465.1	25	\$10,000	\$9,467	\$9,467	Completed	
	Replace Gas Stoves	1465.1	25	\$7,500	\$2,808	\$2,808	Completed	
	Paint Interior/Exterior of Adm. Bldg	1470	1	\$8,000			Reprogram	
	Gas Line Improvement, Bond Dresser Valves	1450	5	\$5,000	\$5,000		Completed/Final Payment Pending	
IN23-2 Greentree Village	Fees and Cost	1430		\$5,000	\$5,000		Completed/Final Payment Pending	
	Install AC to Boilers	1465.1	62	\$126,650	\$221,180	\$221,180	Completed	
	Landscaping, Pruning, Trimming	1450		\$7,000			Reprogram	
IN23-3 Greenwood Apts	Fees and Costs	1430		\$500	\$500	\$500	Completed	
	Erosion Control, Landscape	1450		\$10,000			Reprogram	
IN23-4 Fulton Terrace	Fees and Costs	1430		\$14,000	\$10,500	\$5,452	A/E Under Contract	
	Replace Floor Tile	1460	73,456 sq. ft.	\$253,423	\$208,300	\$187,470	Completed/Final Payment Pending	
	Gas Line Imp., Bond Dresser Valves, Gas Catho. Protection	1450	11	\$11,000	\$12,000		Completed/Final Payment Pending	
	Erosion Control, Landscaping	1450		\$5,000	\$5,000	\$7,328	Completed	
IN23 -6 Clark Arms	Fees and Costs	1430		\$500	\$500	\$500	Completed	
	Replace Metal Doors	1460	6	\$3,600	\$14,778	\$14,778	Completed	
PHA-Wide	Modernization Coordinator	1408		\$25,000	\$25,000	\$25,000	Completed	
	Additional Security	1408		\$25,000	\$30,223	\$30,223	Completed	
	Resident Initiative Coordinator	1408		\$16,250	\$11,027	\$11,027	Completed	
	Maintenance, Equipment & Vehicle	1475		\$48,327	\$39,729	\$39,729	Completed	
	Audit Fees	1411		\$5,000	\$5,000		Audit at Close	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP /CFPRHF)
Part III: Implementation Schedule

PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana		Grant Type and Number Capital Fund Program No: IN36P023501-00 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide Management Improvements	09/30/01			09/30/02			
IN23-1 Northtown Terrace	09/30/01			09/30/02			
IN23-2 Greentree Village	09/30/01			09/30/02			
IN23-3 Greenwood Apartments	09/30/01			09/30/02			
IN23-4 Fulton Terrace	09/30/01			09/30/02			
IN23-6 Clark Arms Apartments	09/30/01			09/30/02			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana		Grant Type and Number Capital Fund Program Grant No: IN36P023501-01 Replacement Housing Factor Grant No:		Federal FY of Grant: 2001	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non -CFP Funds				
2	1406 Operations	\$70,000		\$70,000	
3	1408 Management Improvements	\$60,000		\$60,000	\$15,463
4	1410 Administration				
5	1411 Audit	\$5,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$25,000		\$24,275	
8	1440 Site Acquisition				
9	1450 Site Improvement	\$205,300		\$38,000	\$7,328
10	1460 Dwelling Structures	\$8,400			
11	1465.1 Dwelling Equipment — Nonexpendable	\$304,120			
12	1470 Nondwelling Structures	\$7,500			
13	1475 Nondwelling Equipment	\$15,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana	Grant Type and Number Capital Fund Program Grant No: IN36P023501-01 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/02
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 20) –	\$700,320		\$161,275	\$22,791
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance	\$7,500		\$7,500	
24	Amount of line 21 Related to Security – Soft Costs	\$25,000		\$25,000	
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana		Grant Type and Number Capital Fund Program Grant No: IN36P023501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost (Funds)		Status of Work
				Original	Revised	Obligated	Expended	
IN23-1 Northtown Terrace	Fees and Costs	1430		\$5,000		\$5,000		Contract
	Update Sanitary Sewer Systems	1450	52	\$46,800				Plan Stage
	Update Washer Drain Lines, 1½ to 2"	1450	52	\$104,000				Plan Stage
	Install Mech. Ventilation, Office Area	1450	1	\$3,000				Received Bids
	Erosion Control, Landscape	1450		\$17,000				Plan Stage
	Install Auto Door Entry, Main Office	1470		\$7,500				Plan Stage
IN23-2 Greentree Village	Fees and Cost	1430		\$5,000		\$4,275		Contract
	Replace Hot Water Tanks	1465.1	24	\$9,600		\$9,600		In Progress
	Landscaping, Pruning, Trimming	1450		\$7,000				Plan Stage
IN23-3 Greenwood Apts	Fees and Costs	1430		\$5,000		\$5,000		Contract
	Install Furnaces & Air Conditioning	1465.1		\$284,520				Received Bids
	Erosion Control, Landscape	1450		\$10,000				Plan Stage
IN23-4 Fulton Terrace	Fees and Costs	1430		\$5,000		\$5,000		Contract
	Replace Gas Stoves	1465.1	40	\$10,000		\$10,000		In Progress
	Fencing	1450		\$5,000				Plan Stage
	Erosion Control, Landscaping	1450		\$5,000				Plan Stage
IN23 -6 Clark Arms	Fees and Costs	1430		\$5,000		\$5,000		Contract
	Replace Wood Doors to Stair Towers	1460		\$8,400				Plan Stage
	Landscaping, Replace Trimming	1450		\$7,500				Plan Stage
PHA-Wide	Modernization Coordinator	1408		\$20,000		\$20,000		In Progress
	Additional Security	1408		\$25,000		\$25,000	\$15,463	In Progress
	Resident Initiative Coordinator	1408		\$15,000		\$15,000		In Progress
	Maintenance, Equipment & Vehicle	1475		\$12,000		\$12,000		In Progress
	Miscellaneous Maintenance	1475		\$3,000		\$3,000		In Progress
	Audit Fees	1411		\$5,000				Audit at Close

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: Jeffersonville Housing Authority Jeffersonville, Indiana		Grant Type and Number Capital Fund Program No: IN36P023501-01 Replacement Housing Factor No:				Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide Management Improvements	09/30/02			09/30/03			
IN23-1 Northtown Terrace	09/30/02			09/30/03			
IN23-2 Greentree Village	09/30/02			09/30/03			
IN23-3 Greenwood Apartments	09/30/02			09/30/03			
IN23-4 Fulton Terrace	09/30/02			09/30/03			
IN23-6 Clark Arms Apartments	09/30/02			09/30/03			