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**THE HOUSING AUTHORITY OF THE CITY  
OF EAST ST. LOUIS**

**Annual Plan for Fiscal Year 2002**

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTOBECOMPL  
INSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

**ETEDINACCORDANCEWITH**

**PHA Plan  
Agency Identification**

**PHAName:** Housing Authority of the City of East St. Louis

**PHANumber:** IL001

**PHAFiscalYearBeginning:** 04/2002

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**A.Mission**

State the PHA's mission for serving the needs of low -income, very low income, and extremely low -income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

Provide affordable housing opportunities without discrimination through collaboration with residents and public/private entities to enhance the quality of life and foster economic independence for residents of the community and the agency.

**B.Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD -suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS** (Quantifiable measures would include target such as: numbers of families served or PHAS scores achieved.) PHA should achieved.)

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

**I. GOAL: Recognizing Residents as our Ultimate Customers**

- Objectives #1: Maintain Applicant Review and Orientation Committee. (Refer to Milestone #2)
- #2: Maintain Campus of Learners Screening and Orientation Committee. (Refer to Milestone #2)
- #3: Establish and Maintain ESL HAR Resident Advisory Board and Resident Councils. (Refer to Milestones #1 and 3)
- #4: Conduct Monthly Committee Meetings with Resident Advisory Leaders, i.e. Council Board, RAB Members, Building Captains and Resident Patrol Members. (Refer to Milestone #6)
- #5: Ensure Resident Representation on ESLHA -Housing Authority Advisory Board. (Refer to Milestone #1)
- #6: Maintain Resident Involvement in the Planning, Design, Implementation and Evaluation of all Grant Programs. (Refer to Milestones #4 and 5)
- #7: Support and foster resident participation in internal and external job opportunities, training, education and other support services. (Refer to Milestone #8)
- #8: Provide Homeownership Opportunities for Residents. (Refer to Milestones #9)

**Note: We have met all of the objectives and milestones under Goal #1 except for objective #8, milestone 8. Our**

objectives will now be to maintain and enhance these initiatives and achieve objective #8.

Refer to All Milestones (Attachment K).

## II. GOAL: Establishing Financial Stability and Economic Independence

- Objectives #1: Solicit and Secure Public and Private Grant Funds to Support ESLHA Management (Refer to Milestones #10, 11, 17, and 23)
- #2: Establish a 501(c)(3) Not-for-profit Corporation and Investigate/Establish a 501(c)(4) Status. (Refer to Milestones #12, 13, 14, 26, and 27)
- #3: Develop a five (5) year Financial Plan Based on Current Operating Funds and applicable regulations. (Refer to Milestones #19, 20 and 21)
- #4: Identify, Secure and Monitor Section 3 Employment Opportunities for ESLHA Residents through Modernization and Development Contractual Agreements and other Resources for ESLHA Residents. (Refer to Milestone #21)
- #5: Identify/Implement Entrepreneurialship Training Opportunities for ESLHA Adult and Youth to Establish Resident Owned Businesses (Refer to Milestone #15)
- #6: Establish and Monitor Escrow Savings Account for ESLHA Public Housing Residents and Section 8 Participants. (Refer to Milestone #18)
- #7: Apply for funding to increase Resident Participation and Self-Sufficiency Activities. (Refer to Milestone #23)
- #8: Develop partnership with non-profit agencies and businesses. (Refer to Milestones #10 and 16)
- #9: Expand housing stock to include market rate housing units and commercial development. (Refer to Milestones #16, 22 and 23)
- #10: Conduct annual financial audit. (Refer to Milestone #24)
- #11: Conversion for Finance to GAAP. (Refer to Milestone #25)

**Note:** This past fiscal year we continued to work toward achieving objective #3, milestones 19, 20 & 21, objective #7, milestone 23, objective #10, milestone 24. During the upcoming fiscal year we intend to place emphasis on objective #2, milestones 12, 13, 14, 26, and 27 and on objective #8, milestones 10 and 16.

## III. GOAL: Improving Management

- Objectives #1: Meet or Exceeds Performance Standards in PHAS and SEMAP. (Refer to Milestones #30 through 39, 43, and 46)
- #2: Establish a Continuum of Education for Board of Directors and Resident Council Officers to enable them to take an active role in issues that involve improvement of four Agency. (Refer to Milestone #32)
- #3: Improve Public Housing Management and Service Delivery through Effective and Efficient Management of Housing Authority Staff, Residents and Contractors. (Refer to Milestones #28, 29, 30, 40, 41, 44, 45, and 46)
- #4: Apply Housing Authority Resources to the Effective Management and Operations of Public Housing and Section 8 Programs. (Taking policies into account changes in Federal Funding) (Refer to Milestones #32 and 40)
- #5: Conduct Needs Assessment for Residents to Provide a Better Quality of Life for all Populations (Refer to Milestones #33 and 48)
- #6: Establish Cooperative Agreements with Local Agencies and Organizations to Enhance the Quality of Life for Residents and the Community. (Refer to Milestones #47 and 48)
- #7: Update Admissions and Continued Occupancy Plan (ACO), Section 8 Administration Plan, Family Self-Sufficiency (FSS) Action Plan, PHDE and Capital Funds Program to Comply with Current Federal Regulations. (Refer to Milestone #46)
- #8: Hire, Train and Retain Efficient and Professional Staff to Ensure Quality of Services (Refer to Milestone #32)
- #9: Conduct Computer Hardware and Software Upgrades (Refer to Milestone #41)

**Note:** This past fiscal year we continued to work toward achieving objective #3, milestones 28, 29, 30, 40, 41, 44, 45, & 46, objective #4, milestones 32 & 40, objective #8, milestone 32, and objective #9, milestone 41. This coming fiscal year we plan to emphasize objective #1, milestones 30, 39, 43 and 46, objective #5,

milestones 33 and 48, and objective #7, milestone 46.

#### IV. GOAL      **Developing and Maintaining Competitive Housing Stock**

- Objectives# 1:      Conduct and Complete Physical Needs Assessments for all Housing Authority Properties (Refer to Milestones #42 and 49)
- #2:      Develop Homeownership for Opportunities Residents (Refer to Milestone #50)
- #3:      Conduct Viability Analysis of Existing Housing Stock (Refer to Milestone #31)
- #4:      Develop New Housing Units that are of High Quality in order to compete with other Market Rate Developments (Refer to Milestones #52 through 57 and 60)
- #5:      Provide Safe, Secure Housing Environment (Refer to Milestones #58, 59 and 61)
- #6:      Investigate/Develop Mixed Income Neighborhood Projects that target deconcentration of poverty (Refer to Milestones #42, 49 and 51)

**Note:      During this past fiscal year emphasis continued to be placed on objective #5, milestones 58, 59 and 61. In year one emphasis was placed on objective #5, milestones 58 & 59. We have hired a new Chief of Security along with both fulltime and parttime security officers to provide security for our various complexes. This operation was placed in service on November 1, 2001. Our two Community Relations Specialist continue to recruit and establish active Neighborhood Watch and Resident Patrol Programs.**

**AnnualPHAPlan**  
**PHAFiscalYear2002**  
[24CFRPart903.7]

**AnnualPlanType:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

**High Performing PHA**

**Small Agency (<250 Public Housing Units)**

**Administering Section 8 Only**

**Troubled Agency Plan**

**Executive Summary of the Annual PHA Plan**

[24CFRPart903.79(r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

In year three of the ESLHA 5 Year Agency and Annual Plan, a major effort took place to upgrade the security provided to our residents. A chief of security was hired along with both full time and part time security officers. Since security personnel are now employees the ESLHA will be able to provide our residents with a better product than when security services were contracted out. The ESLHA is continuing to work with the East St. Louis Police Department and Illinois State Police to continue to improve operating interfaces with these agencies.

The ESLHA plans to continue to focus on the goals and objectives outlined in our Five (5) Year Plan. Major emphasis will be placed on moving forward with our mixed finance/mixed income housing development initiative. Additionally, the Agency will perform a comprehensive physical needs assessment to begin to develop an effective long term strategy for effectively managing aging physical plant and structures. We will also continue to explore possible development of a non profit 501 C(3) corporate entity to provide homeownership opportunities for residents emerging from our FSS program and seeking homeownership opportunities.

ESLHA certifies that its plan remains consistent with the city's Consolidated Plan.

Annual Plan Table of Contents

[24CFR Part 903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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## Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

### Required Attachments:

- Admissions Policy for Deconcentration
- FY2001 Capital Fund Program Annual Statement
- Most recent board -approved operating budget (Required Attachment for PHA that are troubled or at risk of being designated troubled ONLY)

### Optional Attachments:

- PHA Management Organizational Chart
- FY2001 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

### List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediment to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction.	Annual Plan Housing Needs
X	Most recent board -approved operating budget for the public housing program.	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&C), which includes the Tenant Selection and Assignment Plan (TSAP)	Annual Plan: Eligibility, Selections, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selections, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 Quality Housing and Work Responsibility Act Initial Guidance: Notice and further HUD Guidance; Notice and any further HUD guidance) and 18. Documentation of the required Deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies

Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Public Housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development X Check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Section 8 determination (payment standard) policies X Check here if included in Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X Check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X Check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD -approved Capital Fund/Comprehensive Grant Program annual Statement (HUD 52837)	Annual Plan: Capital Needs
X	Most recent CIAP Budget /Progress Report (HUD 52825) for any CIAP grant)	Annual Plan: Capital Needs
		Annual Plan: Capital Needs

Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided by PHA option)	
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing Homeownership programs/plans (existing)	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program X check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency ( 3 year)	Annual Plan: Community Service & Self -Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self -Sufficiency
X	Most recent self -sufficiency (ED/SS, TOP or ROSS or other	Annual Plan: Community Service & Self -Sufficiency

Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
	resident services grant program reports	
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
X	Voluntary Conversion of Developments from Public Housing Stock	Initial Assessment
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Others supporting documents (optional) (list individually; use as many lines as necessary)	(Specify as needed)

## 1. Statement of Housing Needs

[24 CFR Part 903.79(a)]

### A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<p><b>JURISDICTION: ILLINOIS METRO</b>  <b>Housing Needs of Families in the Jurisdiction</b>  <b>by Family Type</b></p>
---

FY2002 Annual Plan

HUD50075  
OMB Approval No. 2577 -0226  
Expires: 03/31/2002

FamilyType	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income<=30% ofAMI	5	5	5	5	5	5	5
Income>30% but <=50%ofAMI	4	4	4	4	4	4	4
Income>50%but <80%ofAMI	3	3	3	3	3	3	3
Elderly	4	4	4	4	4	4	4
Familieswith Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Race:CityofEastSt.Louis:98.2%Black,Other 1.8%.Population:EastStLouis:31,542

WhatsourcesofinformationdidthePHAusetoconductthisanalysis?(Checkallthatapply;allmaterials must be made available for public inspection.)

Consolidated Plan of the Jurisdiction/s  
Indicate year: 1999

U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset 1998, Table 1c - Housing Assistance Needs of Low to Moderate Income Households

American Housing Survey data  
Indicate year: \_\_\_\_\_

Other housing market study  
Indicate year: \_\_\_\_\_

Other sources: (list and indicate year of information)  
American Fact Finder, Census 2000 Summary File 1 (SF1)

ESLHAMarketStudy,1998(KriefieldReport)RatingFactorGridutilizedtodetermineImpactPerIncomeLevels  
0-20 =1(NoImpact) 41-60 =3 81-100 =5(SeverImpact)  
21-40 =2 61-80 =4

**B.HousingNeedsofFamiliesonthePublicHousingandSection8Tenant AssistanceWaitingLists -Based**

StatethehousingneedsofthefamiliesonthePHA'swaitinglist/s **.Completeonetableforeachtypeof PHA-widewaitinglistadministeredbythePHA.** PHAsmayprovideseperatetablesforsite -basedor sub-jurisdictionalpublichousingwaitinglistsattheiroption.(Refertopages16 -33).

**1-1S amuelGompers  
HousingNeedsofFamiliesontheWaitingList**

Waitinglisttype:(selectone)  
 Section8tenant -basedassistance  
 PublicHousing  
 CombinedSection8andPublicHousing  
 XPublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)  
 Ifused,identifywhichdevelopment/sub -jurisdiction:

	#Offamilies	%oftotalfamilies	AnnualTurnover
<b>Waitinglisttotal</b>	<b>151</b>	<b>100%</b>	<b>N/A</b>
<b>Extremelylow income&lt;=30%AMI</b>	<b>150</b>	<b>99%</b>	<b>N/A</b>
<b>Verylowincome (&gt;30%but&lt;=50%AMI)</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>
<b>Lowincome (&gt;50%but&lt;80%AMI)</b>	<b>-0-</b>	<b>-0-</b>	<b>N/A</b>
<b>Familieswithchildren</b>	<b>143</b>	<b>95%</b>	<b>N/A</b>
<b>Elderlyfamilies</b>	<b>6</b>	<b>4%</b>	<b>N/A</b>
<b>FamilieswithDisabilities</b>	<b>17</b>	<b>11%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>CharacteristicsbyBedroomSize (PublicHousingOnly)</b>			
<b>1BR</b>	<b>8</b>	<b>5%</b>	<b>N/A</b>
<b>2BR</b>	<b>102</b>	<b>68%</b>	<b>N/A</b>
<b>3BR</b>	<b>31</b>	<b>21%</b>	<b>N/A</b>
<b>4BR</b>	<b>10</b>	<b>7%</b>	<b>N/A</b>
<b>5BR</b>			

Statisticaldataasof08/31/01WaitingList  
 N/A -informationnotavailable

**1-1 Samuel Gompers  
Housing Needs of Families on the Waiting List**

**Isthe waiting list closed (select one)      X No    \_\_ Yes**

**If yes:**

**How long has it been closed (# of months)?**

**Does the PHA expect to reopen the list in the PHA Plan Year? No Yes**

**Does the PHA permit specific categories of families on the waiting list, even if generally**

**1-2,1 -3JohnRobinson/JohnDeShields  
HousingNeedsofFamiliesontheWaitingList**

Waitinglisttype:(selectone) Section8tenant -basedassistance Public Housing CombinedSection8andPublicHousing XPublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional) Ifused,identifywhichdevelopment/sub -jurisdiction:			
	<b>#offamilies</b>	<b>%oftotalfamilies</b>	<b>AnnualTurnover</b>
<b>Waitinglisttotal</b>	<b>91</b>	<b>100%</b>	<b>N/A</b>
<b>Extremelylow income&lt;=30%AMI</b>	<b>91</b>	<b>100%</b>	<b>N/A</b>
<b>Verylowincome (&gt;30%but&lt;=50%AMI)</b>	<b>0</b>	<b>-0-</b>	<b>N/A</b>
<b>Lowincome (&gt;50%but&lt;80%AMI)</b>	<b>-0-</b>	<b>-0-</b>	<b>N/A</b>
<b>Familieswithchildren</b>	<b>90</b>	<b>99%</b>	<b>N/A</b>
<b>Elderlyfamilies</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>
<b>FamilieswithDisabilities</b>	<b>6</b>	<b>7%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristicsby BedroomSize(Public HousingOnly)</b>			
<b>1BR</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>
<b>2BR</b>	<b>61</b>	<b>67%</b>	<b>N/A</b>
<b>3BR</b>	<b>28</b>	<b>31%</b>	<b>N/A</b>
<b>4BR</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>
<b>5BR</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>

Statisticaldataasof08/31/01WaitingList  
 N/A -informationnotavailable

**1-2,1 -3JohnRobinson/JohnDeShields  
HousingNeedsofFamiliesontheWaitingList**

Isthewaitinglistclosed(select one)?  No  Yes

Ifyes:

Howlonghasitbeenenclosed(#ofmonths)?

DoesthePHAexpecttoreopenhelistinthePHAPlanYear?NoYes

DoesthePHApermitspecificcategoriesoffamilies ontothewaitinglist,evenif  
generallyclosed?NoYes

**1-4,1 -26Roosevelt**  
**HousingNeedsofFamiliesontheWaitingList**

Waitinglisttype:(selectone)  
Section8tenant -basedassistance  
PublicHousing  
CombinedSection8andPublicHousing  
XPublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)  
Ifused,identifywhichdevelopment/sub -jurisdiction:

	#offamilies	%oftotalfamilies	AnnualTurnover
<b>Waitinglist total</b>	<b>100</b>	<b>100%</b>	<b>N/A</b>
<b>Extremelylow income&lt;=30%AMI</b>	<b>92</b>	<b>97%</b>	<b>N/A</b>
<b>Verylowincome (&gt;30%but&lt;=50%AMI)</b>	<b>3</b>	<b>3%</b>	<b>N/A</b>
<b>Lowincome (&gt;50%but&lt;80%AMI)</b>	<b>-0-</b>	<b>-0-</b>	<b>N/A</b>
<b>Familieswithchildren</b>	<b>96</b>	<b>96%</b>	<b>N/A</b>
<b>Elderlyfamilies</b>	<b>2</b>	<b>2</b>	<b>N/A</b>
<b>FamilieswithDisabilities</b>	<b>13</b>	<b>13%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristicsby BedroomSize(Public HousingOnly)</b>			
<b>1BR</b>	<b>4</b>	<b>4%</b>	<b>N/A</b>
<b>2BR</b>	<b>62</b>	<b>62%</b>	<b>N/A</b>
<b>3BR</b>	<b>26</b>	<b>26%</b>	<b>N/A</b>
<b>4BR</b>	<b>7</b>	<b>7%</b>	<b>N/A</b>
<b>5BR</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>

Statisticaldataasof08/31/01WaitingList  
N/A -informationnotavailable

**1-4,1 -26Roosevelt  
HousingNeedsofFamiliesontheWaitingList**

Isthewaitinglistclosed(selectone)?      X No      \_\_ Yes

Ifyes:

Howlonghasitbeenclosed(#ofmonths)?

DoesthePHAexpecttoreopenthelistinthePHAPlanYear?NoYes

DoesthePHApermitspecificcategoriesoffamiliesontothewaitinglist      ,evenif  
generallyclosed?NoYes

# 1-5 Villa Griffin

## Housing Needs of Families on the Waiting List

Waiting list type: (select one) Section 8 tenant -based assistance Public Housing (Summary Page of all Site -Based Waiting Lists) Combined Section 8 and Public Housing X Public Housing Site -Based or sub -jurisdictional waiting list (optional) If used, identify which development/sub -jurisdiction:			
	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>36</b>	<b>100%</b>	<b>N/A</b>
<b>Extremely low income (&lt;=30% AMI)</b>	<b>35</b>	<b>97%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>1</b>	<b>3%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>Families with children</b>	<b>35</b>	<b>97%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>5</b>	<b>14%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1BR</b>	<b>1</b>	<b>3%</b>	<b>N/A</b>
<b>2BR</b>	<b>19</b>	<b>53%</b>	<b>N/A</b>
<b>3BR</b>	<b>15</b>	<b>42%</b>	<b>N/A</b>
<b>4BR</b>	<b>1</b>	<b>3%</b>	<b>N/A</b>
<b>5BR</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>

Statistical data as of 08/31/01 Waiting List  
 N/A - information not available



**1-7,1 -21,1 -44Orr -Weathers/NormanE.Owens  
HousingNeedsofFamiliesontheWaitingList**

Waitinglisttype:(selectone)  
 Section8tenant -basedassistance  
 PublicHousing( SummaryPageofallSite -BasedWaitingLists)  
 CombinedSection8andPublicHousing  
 XPublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)  
 Ifused,identifywhichdevelopment/sub -jurisdiction:

	#offamilies	%o ftotalfamilies	AnnualTurnover
<b>Waitinglisttotal</b>	<b>88</b>	<b>100%</b>	<b>N/A</b>
<b>Extremelylow income&lt;=30%AMI</b>	<b>85</b>	<b>97%</b>	<b>N/A</b>
<b>Verylowincome (&gt;30%but&lt;=50%AMI)</b>	<b>2</b>	<b>2%</b>	<b>N/A</b>
<b>Lowincome (&gt;50%but&lt;80%AMI)</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Familieswithchildren</b>	<b>88</b>	<b>100%</b>	<b>N/A</b>
<b>Elderlyfamilies</b>	<b>2</b>	<b>2%</b>	<b>N/A</b>
<b>FamilieswithDisabilities</b>	<b>9</b>	<b>10%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristicsby BedroomSize(Public HousingOnly)</b>			
<b>1BR</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>2BR</b>	<b>41</b>	<b>47%</b>	<b>N/A</b>
<b>3BR</b>	<b>40</b>	<b>45%</b>	<b>N/A</b>
<b>4BR</b>	<b>4</b>	<b>7%</b>	<b>N/A</b>
<b>5BR</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>

Statisticaldataasof08/31/01WaitingList  
 N/A -informationnotavailable

**1-7,1 -21,1 -44Orr -Weathers/NormanE.Owens**

**Housing Needs of Families on the Waiting List**

**Isthe waiting list closed (select one)?**       **No**       **Yes**

**If yes:**

**How long has it been closed (# of months)?**

**Does the PHA expect to re-open the list in the PHA Plan Year? No Yes**

**Does the PHA permit specific categories of families on to the waiting list, even if generally closed? No Yes**

**1-11,1 -12,1 -13,1 -25,1 -45,1 -46,1 -47,1 -50,1 -51 Scattered Sites  
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)  
 Section 8 tenant-based assistance  
 Public Housing (Summary Page of all Site -Based Waiting Lists)  
 Combined Section 8 and Public Housing  
 X Public Housing Site -Based or sub-jurisdictional waiting list (optional)  
 If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>151</b>	<b>100%</b>	<b>N/A</b>
<b>Extremely low income &lt;= 30% AMI</b>	<b>150</b>	<b>99%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>20</b>	<b>1%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Families with children</b>	<b>143</b>	<b>95%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>6</b>	<b>4%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>17</b>	<b>11%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1BR</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>2BR</b>	<b>8</b>	<b>5%</b>	<b>N/A</b>
<b>3BR</b>	<b>102</b>	<b>68%</b>	<b>N/A</b>
<b>4BR</b>	<b>31</b>	<b>21%</b>	<b>N/A</b>
<b>5BR</b>	<b>10</b>	<b>7%</b>	<b>N/A</b>

Statistical data as of 08/31/01 Waiting List  
 N/A - information not available

**1-11,1 -12,1 -13,1 -25,1 -45,1 -46,1 -47,1 -48,1 -50,1 -51 Scattered Sites  
Housing Needs of Families on the Waiting List**

**Isthe waiting list closed (select one)?**       **No**       **Yes**

**If yes:**

**How long has it been closed (# of months)**      **?**

**Does the PHA expect to re-open the list in the PHA Plan Year?** **No Yes**

**Does the PHA permit specific categories of families onto the waiting list, even if generally closed?** **No Yes**

**1-8,1 -9Mixed PopulationDevelopments  
HousingNeedsofFamiliesontheWaitingList**

Waitinglisttype:(selectone)  
 Section8tenant -basedassistance  
 PublicHousing(SummaryPageofallSite -BasedWaitingLists)  
 CombinedSection8andPublicHousing  
 XPublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)  
 Ifused,identifywhichdevelopment/sub -jurisdiction:

	#offamilies	%oftotalfamilies	AnnualTurnover
<b>Waitinglisttotal</b>	<b>123</b>	<b>100%</b>	<b>N/A</b>
<b>Extremelylo w income&lt;=30%AMI</b>	<b>122</b>	<b>99%</b>	<b>N/A</b>
<b>Verylowincome (&gt;30%but&lt;=50%AMI)</b>	<b>1</b>	<b>1%</b>	<b>N/A</b>
<b>Lowincome (&gt;50%but&lt;80%AMI)</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Familieswithchildren</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>Elderlyfamilies</b>	<b>6elderly/disabled</b>	<b>5%</b>	<b>N/A</b>
<b>FamilieswithDisabi lities</b>	<b>43disabledonly</b>	<b>35%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristicsby BedroomSize(Public HousingOnly)</b>			
<b>1BR</b>	<b>123</b>	<b>100%</b>	<b>N/A</b>
<b>2BR</b>			
<b>3BR</b>			
<b>4BR</b>			
<b>5BR</b>			

Statisticaldataasof08/31/01WaitingList  
 N/A -informationnotavailable

**1-8,1 -9MixedPopulationDevelopments  
HousingNeedsofFamiliesontheWaitingList**

**Isthewaitinglistclosed(selectone)?**       **No**       **Yes**

**Ifyes:**

**Howlonghasitbeenenclosed(#ofmonths)?**

**DoesthePHAexpecttoreopenhelistinthePHAPlanYear?NoYes**

**DoesthePHApermitspecificcategoriesoffamiliesonthewaitinglist,evenif  
Generallyclosed?NoYes**

**1-27,1 -28PhoenixCourts -CampusofLearners  
HousingNeedsofFamiliesontheWaitingList**

Waitinglisttype:(selectone) Section8tenant -basedassistance PublicHousing(SummaryPage ofallSite -BasedWaitingLists) CombinedSection8andPublicHousing XPublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional) Ifused,identifywhichdevelopment/sub -jurisdiction:			
	<b>#offamilies</b>	<b>%oftotalfami lies</b>	<b>AnnualTurnover</b>
<b>Waitinglisttotal</b>	<b>122</b>	<b>100%</b>	<b>N/A</b>
<b>Extremelylow income&lt;=30%AMI</b>	<b>108</b>	<b>89%</b>	<b>N/A</b>
<b>Verylowincome (&gt;30%but&lt;=50%AMI)</b>	<b>13</b>	<b>11%</b>	<b>N/A</b>
<b>Lowincome (&gt;50%but&lt;80%AMI)</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>Familieswithchildren</b>	<b>122</b>	<b>100%</b>	<b>N/A</b>
<b>Elderlyfamilies</b>	<b>-0-</b>	<b>0%</b>	<b>N/A</b>
<b>FamilieswithDisabilities</b>	<b>6</b>	<b>5%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristicsby BedroomSize(Public HousingOnly)</b>			
<b>1BR</b>			
<b>2BR</b>	<b>86</b>	<b>70%</b>	<b>N/A</b>
<b>3BR</b>	<b>28</b>	<b>23%</b>	<b>N/A</b>
<b>4BR</b>	<b>6</b>	<b>5%</b>	<b>N/A</b>
<b>5BR</b>	<b>2</b>	<b>2%</b>	<b>N/A</b>

Statisticaldataasof08/31/01WaitingList  
 N/A -informationnotavailable

**1-27,1 -28PhoenixCourts -CampusofLearners  
HousingNeedsofFamiliesontheWaitingList**

**Ist hewaitinglistclosed(selectone)?**       **No**     **Yes**

**Ifyes:**

**Howlonghasitbeenenclosed(#ofmonths)?**Six(6)months

**DoesthePHAexpecttoreopenthelistinthePHAPlanYear?**NoYes

**DoesthePH**      **Apermitspecificcategoriesoffamiliesontothewaitinglist,evenif**  
**generallyclosed?**NoYes

**Summary of Site -Based Waiting Lists  
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)  
 Section 8 tenant -based assistance  
 X Public Housing (Summary Page of all Site -Based Waiting Lists)  
 Combined Section 8 and Public Housing  
 Public Housing Site -Based or sub -jurisdictional waiting list (optional)  
 If used, identify which development/sub -jurisdiction:

	# of families	% of total families	Annual Turnover
<b>Waiting list total</b>	<b>1,030</b>	<b>100%</b>	<b>NA</b>
<b>Extremely low income &lt;=30% AMI</b>	<b>984</b>	<b>96%</b>	<b>N/A</b>
<b>Very low income (&gt;30% but &lt;=50% AMI)</b>	<b>41</b>	<b>1%</b>	<b>N/A</b>
<b>Low income (&gt;50% but &lt;80% AMI)</b>	<b>0</b>	<b>0%</b>	<b>N/A</b>
<b>Families with children</b>	<b>893</b>	<b>87%</b>	<b>N/A</b>
<b>Elderly families</b>	<b>22</b>	<b>4%</b>	<b>N/A</b>
<b>Families with Disabilities</b>	<b>125</b>	<b>11%</b>	<b>N/A</b>
<b>Race/ethnicity</b>	<b>Black/Non-Hispanic</b>	<b>100%</b>	<b>N/A</b>
<b>Characteristics by Bedroom Size (Public Housing Only)</b>			
<b>1BR</b>	<b>137</b>	<b>13%</b>	<b>N/A</b>
<b>2BR</b>	<b>539</b>	<b>52%</b>	<b>N/A</b>
<b>3BR</b>	<b>297</b>	<b>29%</b>	<b>N/A</b>
<b>4BR</b>	<b>10</b>	<b>5%</b>	<b>N/A</b>
<b>5BR</b>	<b>7</b>	<b>1%</b>	<b>N/A</b>

Statistical data as of 08/31/01 Waiting List  
 N/A - information not available

**Summary of Site -Based Waiting Lists  
Housing Needs of Families on the Waiting List**

**Is the waiting list closed (select one)?**       **No**     **Yes**

**If yes:**

**How long has it been closed (# of months)?**

**Does the PHA expect to reopen the list in the PHA Plan Year?** **No** **Yes**

**Does the PHA permit specific categories of families onto the waiting list, even if generally closed?** **No** **Yes**

### C.StrategyforAddressingNeeds

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list IN THE UPCOMING YEAR, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance. (501)C3
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30% of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships

- Adoptrentpoliciestosupportandencouragework
- Other:(listbelow)

**Need:SpecificFamilyTypes:Familiesatorbelow5 0%ofmedian**

**Strategy1:Targetavailableassistancetofamiliesatorbelow50%ofAMI**

- Selectallthatapply
- Employadmissionspreferencesaimedatfamilieswhoareworking
  - Adoptrentpoliciestosupportandencouragework
  - Other:(listbelow)

**Need:SpecificFamilyTypes:TheElderly**

**Strategy1:Targetavailableassistancetotheelderly:**

- Selectallthatapply
- Seekdesignationofpublichousingfortheelderly
  - Applyforspecial -purposevoucherstargetedtothee lderly,shouldtheybecomeavailable
  - Other:(listbelow)

**Need:SpecificFamilyTypes:FamilieswithDisabilities**

**Strategy1:TargetavailableassistancetoFamilieswithDisabilit ies:**

- Select allthatapply
- Seekdesignationofpublichousingforfamilieswithdisabilities
  - Carryoutthomodificationsneededinpublichousingbasedonthesection504NeedsAssessmentfor PublicHousing
  - Applyforspecial -purposevoucherstargetedtofami lieswithdisabilities,shouldtheybecomeavailable
  - Affirmativelymarkettolocalnon -profitagenciesthatassistfamilieswithdisabilities
  - Other:(listbelow)

**Need:SpecificFamilyTypes:Racesorethnicitieswithdisproportionatehousing needs**

**Strategy1:IncreaseawarenessofPHAresourcesamongfamiliesofracesandethnicitieswith disproportionateneeds:**

- Selectifapplicable
- Affirmativelymarkettoraces/ethnicitiesshowntohavedisproportionatehousingneeds
  - Other:(listbelow)

**Strategy2: Conductactivitiestoaffirmativelyfurtherfairhousing**

- Selectallthatapply
- Counsellsection8tenantsastolocationofunitsoutsideofareasofpovertyorminorityconcentration andassistthemtolocatethoseunits
  - Marketthesection8programtoownersou tsideofareasofpoverty/minorityconcentrations
  - Other:(listbelow)

**OtherHousingNeeds&Strategies:(listneedsandstrategiesbelow)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant-based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>	-0-	
a) Public Housing Operating Fund	6,326,757	
b) Public Housing Capital Fund	4,595,822	
c) HOPEVI Revitalization	-0-	
d) HOPEVI Demolition	-0-	
e) Annual Contributions for Section 8 Tenant-Based Assistance	2,753,690	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	512,813 Drug 11 529,711 Drug 12	
g) Resident Opportunity and Self-Sufficiency Grants	500,000 Family 225,000 SCP	
h) Community Development Block Grant	-0-	
i) HOME		
Other Federal Grants (list below)		
Turnkey III	191,418	Operations
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
<b>3. Public Housing Dwelling Rental Income</b>	2,707,240	Operations
Turnkey Dwelling Rental		
<b>4. Other income (list below)</b>	320,910	Operations
Public Housing		

FY 2002 Annual Plan

HUD 50075  
OMB Approval No. 2577-0226  
Expires: 03/31/2002

Sources	Planned\$	PlannedUses
<b>5.Non -federalsources</b> (listbelow)		
OtherIncome(Operating)	320,910	
<b>Totalresources</b>	18,663,361	

### **3.PHAPoliciesGoverningEligibility,Selection,andAdmissions**

[24CFRPart903.79(c)]

#### **A.PublicHousing**

Exemptions:PHAsthatdonotadministerpublichousingarenotrequiredto completesubcomponent3A.

##### **(1)Eligibility**

a. WhendoesthePHAverifyeligibilityforadmissiontopublichousing?(selectallthatapply)

Whenfamiliesarewithinacertainnumberofbeingofferedaunit:(statenumber)

Whenfamiliesarewithinacertaintimeofbeingofferedaunit:(statetime)

Other:(describe)

b. Whichnon-income(screening)factorsdoesthePHAusetoestablisheligibilityforadmissiontopublic housing(selectallthatapply)?

CriminalorDrug-relatedactivity

Rentalhistory

Housekeeping

Other(describe)CreditChecks,References,andUtilities

c.  Yes  No:DoesthePHArequestcriminalrecordsfromlocallawenforcementagenciesfor screeningpurposes?

d.  Yes  No:DoesthePHArequestcriminalrecordsfromStatelawenforcementagenciesfor screeningpurposes?

e.  Yes  No:DoesthePHAaccessFBIcriminalrecordsfromtheFBIforscreeningpurposes?(either directlyorthroughanNCIC-authorizedsource)

##### **(2)WaitingListOrganization**

a. WhichmethodsdoesthePHAplantousetoorganizeitstopublichousingwaitinglist(selectallthatapply)

Community-widelist

Sub-jurisdictionallists

Site-basedwaitinglists

Other(describe)

b. Wheremayinterestedpersonsapplyforadmissiontopublichousing?

PHAmainadministrativeoffice

PHAdevelopmentssitemanagementoffice

Other(listbelow)

c. If the PHA plan to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) **Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 8

2. \_\_\_ Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously HUD-approved site-based waiting list plan)?  
If yes, how many lists?

3. \_\_\_ Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

PHA main administrative office

All PHA development management offices

\_\_\_ Management offices at developments with site-based waiting lists

\_\_\_ At the development to which they would like to apply

\_\_\_ Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

\_\_\_ One

Two

\_\_\_ Three or More

b.  Yes \_\_\_ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Incometargeting:

Yes  No: DoesthePHAplantoexceedthefederaltargetingrequirementsby targetingmorethan 40%ofallnewadmissionstopublichousingtofamiliesatorbelow30%ofmedianareaincome?

b. Transferpolicies:

Inwhatcircumstanceswilltransferstakeprecedenceovernewadmissions?(listbelow)

- Emergencies
- Overhoused
- Underhoused
- Medicaljustification
- Administrativereasons determinedbythePHA(e.g., topermitmodernizationwork)
- Residentchoice:(statecircumstancesbelow)
- Other:(listbelow)

c. Preferences

1.  Yes  No: Has thePHAestablishedpreferencesforadmissiontopublichousing(otherthandate andtimeofapplication)?(If“no”isselected,skiptosubsection **(5)Occupancy**)

2. WhichofthefollowingadmissionpreferencesdoesthePHAplantoemployinthecomingyear?(selectall thatapplyfromeitherformerFederalpreferencesorotherpreferences)

FormerFederalpreferences:

- InvoluntaryDisplacement(Disaster,GovernmentAction,ActionofHousing
- Owner,Inaccessibility,PropertyDisposition)
- Victimsofdomesticviolence
- Substandardhousing
- Homelessness
- Highrentburden(rentis>50percentofincome)

Otherpreferences:(selectbelow)

- Workingfamiliesandthoseunabletoworkbecauseofageordisability
- Veteransandveterans’ families
- Residentswholiveand/orworkinthejurisdiction
- Thoseenrolledcurrentlyineducational,training,orupwardmobilityprograms
- Householdsthatcontributetomeetingincomegoals(broadrangeofincomes)
- Householdsthatcontributetomeetingincomerequirements(targeting)
- Thosepreviouslyenrolledineducational,training,orupwardmobilityprograms
- Victimsofreprisalsorhatecrimes
- Otherpreference(s)(listbelow)

3.IfthePHAwillemployadmissionspreferences, pleaseprioritizebyplacinga“1”inthespacethatrepresents yourfirstpriority,a“2”intheboxrepresentingyoursecondpriority,andsoon.Ifyougiveequalweightto oneormoreofthesechoices(eitherthroughanabsolutehierarchyorthrough apointsystem),placethesame

number next to each. That means you can use "1" more than once, "2" more than once, etc.

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing  
Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensure that the PHA will meet income targeting requirements

**(5)Occupancy**

a. What referencematerialscanapplicantsandresidentsusetooobtaininformationabouttherulesofoccupancy ofpublichousing(selectallthatapply)

- ThePHA -residentlease
- ThePHA’sAdmissionsand(Continue d)Occupancypolicy
- PHAbriefingseminarsorwrittenmaterials
- Othersource(list) ManagementStaff

b. HowoftenmustresidentsnotifythePHAofchangesinfamilycomposition? (selectallthatapply)

- Atanannualreexaminationandle aserenewal
- Anytimefamilycompositionchanges
- Atfamilyrequestforrevision
- Other(list)

**(6)DeconcentrationandIncomeMixing**

a.  Yes \_\_\_ No: DoesthePHAhaveanygeneraloccupancy(family)publichousing developmentscoveredbythedeconcentrationrule?Ifno,this sectioniscomplete.Ifyes,continuetotheneftquestion.

b.  Yes \_\_\_ No: Doanyofthesecovereddevelopmentshaveaverageincomes aboveorbelow85%to115%oftheaverageincomesinallsuch developments?Ifno,thissectioniscomplete.

<b>DeconcentrationPolicyforCoveredDevelopments</b>			
<b>DevelopmentName:</b>	<b>Number ofUnits:</b>	<b>Explanation(ifany)[seestep4at §903.2(c)(1)(iv)]</b>	<b>Deconcentrationpolicy(ifno Explanation)[seestep5at §903.2(c)(1)(v)]</b>
NormanE.Owens	122	SeeAttachmentT	

## B. Section 8

Exemptions: PHA that do not administer section 8 are not required to complete sub-component 3B.  
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

### (1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

Criminal or drug-related activity only to the extent required by law or regulation

Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below)

Other (list below)

Family Composition, Income Eligibility, Need for Assistance, Disclosure of Social Security Numbers, Citizenship, etc.

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC authorized source)

e. Indicate what kind of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

Previous Landlord Name and Number, etc.

**(2)WaitingListOrganization**

a. With which of the following program waiting lists is the section 8 tenant -based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project -based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant -based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

**(3)SearchTime**

a.  Yes  No: Does the PHA give extensions on standard 60 -day period to search for a unit?

**If yes, state circumstances below:**

Market availability, unforeseen circumstances (case -by-case judgement)

**(4)AdmissionsPreferences**

a. Income targeting  Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant -based assistance? (other than date and time of application) (if no, skip to subcomponent **(5)Special purpose section 8 assistance programs** )

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families

- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these

choices (either through a absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

8 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with the equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through its PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

Not applicable: the pool of applicant families ensure that the PHA will meet income target requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special purpose section 8 program to the public?

- Through published notices
- Other (list below)

**4.PHARentDeterminationPolicies**

[24CFRPart903.79(d)]

**A. PublicHousing**

Exemptions:PHAsthatdonotadministerpublichousingarenotrequiredto completesub -component4A.

**(1)IncomeBasedRentPolicies**

a.Useofdiscretionarypolicies:(selectone)

\_\_\_ ThePHAwillnotemp loyanydiscretionaryrent -settingpoliciesforincome basedrentinpublichousing.Income -basedrentsaresetatthehigherof30% ofadjustedmonthlyincome,10%ofunadjustedmonthlyincome,thewelfare rent,or minimumrent(lessHUDmandatorydeductionsandexclusions).(If selected,skiptosub -component(2))

---or---

DescribethePH A'sincomebasedrentsettingpolicy/iesforpublichousingusing,includingdiscretionary (thatis,notrequiredbystatuteorregulation)incomedisregardsandexclusions,intheappropriatespaces below.

X ThePHAemploysdiscretionarypoliciesfordeterminingincomebasedrent toquestionb.)

(Ifselected,continue

b.Min imumRent

1.WhatamountbestreflectsthePHA'sminimumrent?(selectone)

- \_\_\_ \$0
- \_\_\_ \$1-\$25
- X \$26- \$50

2.X Yes \_\_\_ No: **Has thePHAadoptedanydiscretionaryminimumrenthardship exemptionpolicies?** Willbestate dinpolicies.

3.If yestoquestion2,listthesepoliciesbelow:

Exceptionstotheapplicationoftheminimummonthlyrentalamountapplyto anyfamilyunabletopaybecauseoffinancialhardship.Requestmustbeinwr iting.

c. **Rentssetatlessthan30%thanadjustedincome**

1. \_\_\_ Yes X No: **DoesthePHApplantochargerentsatafixedamountor percentagelessthan30%ofadjustedincome?**

2.If yestoabove,listtheamountsorpercentageschargedan dthecircumstances underwhichthesewillbeusedbelow:

d. **Whichofthediscretionary(optional)deductionsand/orexclusionspoliciesdoesthePHApplant**

**employ(selectallthatapply)**

- Fortheearnedincomeofapreviouslyunemployedhouseholdmember**
- Forincreasesinearnedincome**
- Fixedamount(otherthangeneralrent -settingpolicy)**  
**Ifyes,stateamount/sandcircumstancesbelow:**
- Fixedpercentage(otherthangeneralrent -settingpolicy)**  
**Ifyes,statepercentage/sandcircumstancesbelow:**
- Forhouseholdheads**
- Forotherfamilymembers**
- Fortransportationexpenses**
- Forthenon-reimbursedmedicalexpensesofnon-disabledornon-elderly families**
- Other(describellow)**

e.Ceilingrents

1. Do you have ceiling rents?(rents set at a level lower than 30% of adjusted income)  
(select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place?(select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent determination:

1. Between income reexaminations, how often must tenants report changes in income

of family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Anytime the family experiences an income increase
- Anytime a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \_\_\_\_\_
- Other (list below)

Within 30 days of occurrence

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market -based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- This section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

Survey of Rents listed by Realtors.

**B. Section 8 Tenant -Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant -based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies .

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area

- ThePHAhaschosentoservecadditionalfamiliesbyloweringthepaymentstandard
- Reflectsmarketorsubmarket
- Other(listbelow)

c.IfthepaymentstandardishigherthanFMR,whyhasthePHAchosenthislevel?(select allthatapply)

- FMRsarenotadequatetoensuresuccessamongassistedfamiliesinthePHA'ssegmentoftheFMR area
- Reflectsmarketorsubmarket
- Toincreasehousingoptionsforfamilies
- Other(listbelow)

d.Howoftenarepayments tandardsreevaluatedforadequacy?(selectone)

- Annually
- Other(listbelow)

e.WhatfactorswillthePHAconsiderinitsassessmentoftheadquacyofits paymentstandard?(selectallthatapply)

- Successratesofassistedfamilie s
- Rentburdensofassistedfamilies
- Other(listbelow)

**(2)MinimumRent**

a.WhatamountbestreflectsthePHA'sminimumrent?(selectone)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes  No:Has thePHA adopted any discretionary minimum renthardship exemption policies?(ifyes,listbelow)

ExemptionduetoFinancialHardship.

**5.OperationsandManagement**

[24CFRPart903.79(e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A.PHAManagementStructure**

(selectone)

- AnorganizationchartshowingthePHA'smanagementstructureandorganizationisattached.
- AbriefdescriptionofthemanagementstructureandorganizationofthePHAfollows:

FY2002AnnualPlan

HUD50075  
OMBApprovalNo.2577 -0226  
Expires:03/31/2002

DescribethePHA'smanag ementstructureandorganization.

**B. HUD Programs Under PHA Management**

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing	2100	425
Section 8 Vouchers	467	30
Section 8 Certificates	N/A	N/A
Section 8 Mod Rehab	N/A	N/A
Public Housing Drug Elimination Program (PHDEP)	2100	N/A
Other Federal Programs (list individually)		
Turnkey III - Homeownership	6	N/A

- Expected Turnover - Combination between all programs

**C. Management and Maintenance Policies**

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

**(1) Public Housing Maintenance and Management: (list below)**

Maintenance Plan, Management Plan, Admissions & Continued Occupancy Policy  
 Campus of Learners Admissions & Continued Occupancy Policy, Family Self - Sufficiency/Action Plan.

**(2) Section 8 Management: (list below)**

Section 8 Administrative Plan

**(3) Turnkey III - Homeownership**

HUD Handbook 7495.3

**(4) Other**

Mary A. Class Action Settlement

**6. PHA Grievance Procedures**

[24 CFR Part 903.79(f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

**A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24CFR Part 966, Subpart B, for residents of public housing?

If yes, list addition to federal requirements below:

2. Which PHA offices should residents or applicant to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

**B. Section 8 Tenant -Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant -based assistance program and informal hearing procedures for families assisted by the Section 8 tenant -based assistance program in addition to federal requirements found at 24CFR 982?

If yes, list addition to federal requirements below:

2. Which PHA offices should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

**7. Capital Improvement Needs**

[24CFR Part 903.79(g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

**A. Capital Fund Activities**

**(1) Capital Fund Program Annual Statement**

Exemptions from sub -component 7A: PHA that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long -term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD -52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan Attachment F (52837 attached) at

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert there)

**(2) Optional 5 -Year Action Plan**

Agencies are encouraged to include a 5 -Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD -52834.

a.  Yes  No: Is the PHA providing an optional 5 -Year Action Plan for the Capital Fund? (if no, skip to sub -component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5 -Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) (52834 attached)

-or-

The Capital Fund Program 5 -Year Action Plan is provided below: (if selected, copy the CFP Optional 5 Year Action Plan from the Table Library and insert there)

**B.HOPE V I and Public Housing Development and Replacement Activities (Non -Capital Fund)**

Applicability of sub -component 7B: All PHAs administering public housing. Identify any approved HOPEVI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No : a) Has the PHA received a HOPEVI revitalization grant? (if no, skip to question c; if yes, provide response to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPEVI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plans submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes  No : c) **Does the PHA plan to apply for a HOPEVI Revitalization grant in the Plan year?**

**If yes, list development name/s below:**

Yes  No: **d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?**

**If yes, list developments or activities below:**

Mixed-financed development is waived for public housing project IL06P001048.

ESLHA is currently in planning stages.

Yes  No: **e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?**

**If yes, list developments or activities below:**

As part of Project identified in (D) above, some units will be developed as conventional public housing units. ESLHA is currently in planning stages.

## **8. Demolition and Disposition**

[24CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes \_\_\_ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

### 2. Activity Description

Yes \_\_\_ No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	John Robinson Homes
1b. Development (project) number:	II1 -2
2. Activity type:	<input checked="" type="checkbox"/> Demolition ___ Disposition
3. Application status (select one)	___ Approved ___ Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission:	(07/13/01)
5. Number of units affected: Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development ___ Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 04/01/00 b. Projected end date of activity: 09/30/02

<b>Demolition/Disposition Activity Description</b>	
1a. Development name:	Emerson Apartments
1b. Development (project) number:	II1 -12
2. Activity type:	<input checked="" type="checkbox"/> Demolition ___ Disposition
3. Application status (select one)	___ Approved ___ Submitted, pending approval

FY2002 Annual Plan

HUD50075  
OMB Approval No. 2577 -0226  
Expires: 03/31/2002

<input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: <u>(07/13/01)</u>
5. Number of units affected: Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 04/01/01 b. Projected end date of activity: 09/30/02

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24CFR Part 903.79(i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes \_\_\_ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

\_\_\_ Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description/table below.

<b><u>Designation of Public Housing Activity Description</u></b>
1a. Development name : Unknown 1b. Development (project) number: IL06 -P001-049
2. Designation type: <input checked="" type="checkbox"/> Occupancy by only the elderly ___ Occupancy by families with disabilities ___ Occupancy by only elderly families and families with disabilities
3. Application status (select one) ___ Approved; included in the PHA's Designation Plan ___ Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date this designation approved, submitted, or planned for submission: 01/01/01
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan ___ Revision of a previously approved Designation Plan?
1. Number of units affected: 84 7. Coverage of action (select one) ___ Part of the development <input checked="" type="checkbox"/> Total development

**10. Conversion of Public Housing to Tenant -Based Assistance**

[24CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to Section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

**2. Activity Description**

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD - approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved): <input type="checkbox"/> Units addressed in a pending or approved HOPEVI demolition application (date submitted or approved): <input type="checkbox"/> Unit addressed in a pending or approved HOPEVI Revitalization Plan (date submitted or approved): <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Voluntary Conversion of Developments from Public Housing Stock; Required Initial Assessments:**

1. 27 Number of PHA developments subject to the Required Initial Assessment.

2. 0 Number of PHA developments not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects).

3. 27 How many Assessments were conducted for the PHA's covered Developments?

4. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessment.

Development Name	Number of Units
See Attachment U for Certification	

5. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments.

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937.**

**11. Homeownership Programs Administered by the PHA**

[24CFR Part 903.79(k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any Homeownership programs administered by the PHA under an approved section 5(h) Homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any Homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z -4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name:
1b. Development (project) number:

2.FederalProgramauthority: <input type="checkbox"/> HOPEI <input type="checkbox"/> 5(h) <input type="checkbox"/> TurnkeyIII <input type="checkbox"/> Section32oftheUSHAof1937(effective10/1/99)
3.Applicationstatus:(selectone) <input type="checkbox"/> Appr oved;includedinthePHA’sHomeownershipPlan/Program <input type="checkbox"/> Submitted,pendingapproval <input type="checkbox"/> Plannedapplication
4.DateHomeownershipPlan/Programapproved,submitted,orplannedforsubmission: (DD/MM/YYYY)
5. Numberofunitsaffected: 6.Coverageofaction:(selectone) <input type="checkbox"/> Partofthedevelopment <input type="checkbox"/> Totaldevelopment

## B.Section8TenantBasedAssistance

1.  Yes  No: DoesthePHAplantoadministeraSection8Homeownershipprogrampursuantto Section8(y)ofthe U.S.H.A.of1937,asimplementedby24CFRpart982?(If“No”,skiptocomponent12;if“yes”,describeeachprogramusingthetablebelow(copyand completequestionsforeachprogramidentified),unlessthePHAiseligibletocompletea streamlinesubmissionduetohighperformerstatus. **HighperformingPHAs** may skiptocomponent12.)

### 2.ProgramDescription:

#### a.SizeofProgram

Yes  No: WillthePHAlimitthenumberoffamiliesparticipatinginthesection8Homeownership option?

Iftheanswer tothequestionabove wasyes,whichstatementbestdescribesthenumberofparticipants? (selectone)

- 25orfewerparticipants
- 26 -50participants
- 51to100participants
- morethan100participants

#### b.PHA establishedeligibilitycriteria

Yes  No: WillthePHA’sprogramhaveeligibilitycriteriaforparticipationinitsSection8 HomeownershipOptionprograminadditiontoHUDcriteria? Ifyes,listcriteriabelow:

## 12.PHACommunityServiceandSelf -sufficiencyPrograms

[24CFRPart903.79(l)]

FY2002AnnualPlan

HUD50075  
OMBApprovalNo.2577 -0226  
Expires:03/31/2002

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8 - Only PHAs are not required to complete sub-component C.

**A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target support services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 05/18/99

2. Other coordination efforts between the PHA and TANF Agency (select all that apply)

Client referrals

Information sharing regarding mutual clients (for rent determinations and otherwise)

Coordinate the provision of specific social and self-sufficiency services and programs to eligible families

Jointly administer programs

Partner to administer a HUD Welfare-to-Work voucher program

Joint administration of other demonstration program

Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

Public housing rent determination policies

Public housing admissions policies

Section 8 admissions policies

Preference in admission to section 8 for certain public housing families

Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA

Preference/eligibility for public housing Homeownership option participation

Preference/eligibility for section 8 homeownership option participation

Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-

sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office/ PHA main office/ other provider name)	Eligibility (public housing or section 8 participants or both)
Campus of Learners Program	68	specific criteria	The ESLH A main office & Phoenix Courts Development	public housing

**(2) Family Self Sufficiency program/s**

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 08/31/01)
Public Housing	30	162
Section 8	50	38

b.  Yes \_\_\_ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply):

- Adopting appropriate changes to the PHA's public housing rent determination policies and training staff to carry out those policies
- Informing residents of new policy on admission and reexamination

- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**13. PHA Safety and Crime Prevention Measures**

[24CFR Part 903.79(m)]

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

**A. Need for measures to ensure the safety of public housing residents**

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
- High incidence of violent and/or drug -related crime in some or all of the PHA's developments
  - High incidence of violent and/or drug -related crime in the area surrounding or adjacent to the PHA's developments
  - Residents fearful for their safety and/or the safety of their children
  - Observed lower -level crime, vandalism and/or graffiti
  - People on waiting list unwilling to move into one or more developments due to perceived and/or actual level of violent and/or drug -related crime
  - Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trend over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/antidrug programs
- Other (describe below)

3. Which developments are most affected? (list below)

All Developments

**B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plan to undertake: (select all that apply)
- Contracting with outside and/or resident organizations for the provision of crime prevention activities -and/or drug -
  - Crime Prevention Through Environmental Design
  - Activities targeted to at-risk youth, adults, or seniors
  - Volunteer Resident Patrol/Block Watchers Program
  - Other (describe below)

The East St. Louis Housing Authority has developed its own in-house security force. This force will provide additional security coverage for public housing developments with the express purpose of reducing crime and drug trafficking.

2. Which developments are most affected? (list below)
- All Developments

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baselinelaw enforcement services
- Other activities (list below)

Anti-Drug Rally Activity  
 The East St. Louis Police Department has agreed to work with our security forces by responding to our calls for assistance.

2. Which developments are most affected? (list below)
- All Developments

**D. Additional information as required by PHDEP/PHDEP Plan**

PHA eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes  No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

Yes  No: This PHDEP Plan is at Attachment N.

[24CFR Part 903.79(n)]

**14. RESERVED FOR PET POLICY**

PetpolicysummaryisunderAttachmentO.

**15.CivilRightsCertifications**

[24CFRPart903.79(o)]

Civilrightscertificationsare includedinthePHAPlanCertificationsofCompliancewiththePHAPlansand RelatedRegulations.

**16.FiscalAudit**

[24CFRPart903.79(p)]

- 1.  Yes \_\_\_ No: IsthePHArequiredtohaveanauditconductedundersection5(h)(2)oftheU.S. HousingActof1937(42US.C.1437c(h))?(Ifno,skiptocomponent17.)
- 2.  Yes \_\_\_ No: WasthemostrecentfiscalauditsubmittedtoHUD?
- 3. \_\_\_ Yes  No: Werethereanyfindingsastheresultofthataudit?
- 4. \_\_\_ Yes \_\_\_ No: Iftherewereanyfindings,doanyremainunresolved?  
Ifyes,howmanyunresolvedfindingsremain? \_\_\_
- 5. \_\_\_ Yes \_\_\_ No: HaveresponsestoanyunresolvedfindingsbeensubmittedtoHUD?  
Ifnot,whenaretheydue(statebelow)?

**17.PHAAssetManagement**

[24CFRPart903.79(q)]

Exemptionsfromcomponent17:Section8OnlyPHAsarenorequiredtocompletethiscomponent.High performingag andsmallPHAsarenorequiredtocompletethiscomponent.

1.  Yes \_\_\_ No: IsthePHAengaginginanyactivitiesthatwillcontribute tothe long -termassetmanagementofitspublichousingstock, includinghowth eAgencywillplanforlong -termoperating, capitalinvestment,rehabilitation,modernization,disposition, andotherneedsthathave **not**beenaddressedelsewhereinthis PHAP lan?

- 2. WhattypesofassetmanagementactivitieswillthePHAundertake?(selectallthatapply)
- \_\_\_ Notapplicable
- \_\_\_ Privatemanagement
- \_\_\_ Development-basedaccounting
- Comprehensivestockassessment
- \_\_\_ Other:(listbelow)

3. \_\_\_ Yes  No: HasthePHAincludeddescriptions ofassetmanagement activitiesinthe **optionalPublicHousingAssetManagement**

Table?

## **18. Other Information**

[24CFR Part 90.3.79(r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)  
 Attached at Attachment (Filename)  
 Provided below:

3. In what manner did the PHA address those comments? (select all that apply)  
 Considered comments, but determined that no changes to the PHA Plan were necessary.  
 The PHA changed portions of the PHA Plan in response to comments  
 List changes below:  
 Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations  
 Candidates could be nominated by any adult recipient of PHA assistance  
 Self-nomination: Candidates registered with the PHA and requested a place on ballot  
 Other: (describe)  
Candidates are nominated by members of the Resident Council at their development. Nominations are made from the floor during a scheduled election meeting. Mayoral appointment then took place. See Attachment V.

b. Eligible candidates: (select one)

Any recipient of PHA assistance  
 Any head of household receiving PHA assistance

- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list) Any Resident Council Presidents

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list) Any Resident Council Presidents

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

**1. Consolidated Plan jurisdiction: (provide name here)** East St. Louis, Illinois

**2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)**

The PHA has based its statement of needs of families in the jurisdiction on the need expressed in the Consolidated Plan/s.

The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

1. Continuation of remaining replacement units.
  - Submission of Development Proposal for 105 Family Units with various unit configurations.
  - Submission of proposal to design an "Elderly Only" Housing Development.
  - Solicit proposal for a Developer/Partner, to develop a Mix - Use/Mixed Finance Development using Low Income Housing Tax Credits.
  - Submit Development Proposal for Elderly Development.

Other: (list below)

**4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)**

Projects located in the proximity of: 12th St. & Bond, Gay Ave., South 8th St. at Piggott Ave Pump Station Piggott Ave 11 - 1200 Blocks

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

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## **FY2002 ANNUAL PLAN**

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<b>ATTACHMENT A</b> <b>NOTICE REQUIREMENTS</b>
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In compliance with the federal statute regarding the development of the Agency Annual Plan, the ESLHA conducted the following:

November 28, 2001	Resident Advisory Board Meeting
January 4, 2002	Public Hearing
January 17, 2002	HUD Representative/ Advisory Board Approval
January 2002	Submission to HUD

<b>ATTACHMENT B FAIR HOUSING POLICY</b>
---

**It is the policy of the ESLHA to comply fully with all Federal, State and local nondiscrimination laws and in accordance with the rules and regulations governing Fair Housing and Equal Opportunity in housing and employment and with the Americans with Disabilities Act.**

**Specifically, the ESLHA shall not on account of race, color, sex, religion, creed, national or ethnic origin, family status, disability or handicap, deny any family or individual the opportunity to apply for or receive assistance under HUD's Public Housing Programs, within the requirements and regulations of HUD and other regulatory authorities.**

**To further its commitment to full compliance with applicable Civil Rights laws, the ESLHA will provide access to information to public housing residents regarding "discrimination". Also, this subject will be discussed during the briefing session and any complaints will be documented and made part of the applicant's tenant file.**

**FY2002ANNUALPLAN**

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**ATTACHMENTC  
CAPITALFUNDPROGRAM**

SeeAttachmentsMthroughS,pages104 -133

**FY2002ANNUALPLAN**

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**ATTACHMENTD  
TANFCERTIFICATION**

# THE HOUSING AUTHORITY OF THE CITY OF EAST ST. LOUIS

700 NORTH 20TH STREET  
EAST ST. LOUIS, ILLINOIS 62205  
1618 271-0488

## CERTIFICATION OF COORDINATION

between

THE HOUSING AUTHORITY OF THE CITY OF EAST ST. LOUIS (ESLHA)

and

ST. CLAIR COUNTY/EAST ST. LOUIS, ILLINOIS DEPARTMENT OF HUMAN SERVICES (IDHS)

St. Clair County/East St. Louis, IDHS is a locally community based organization providing supportive services to East St. Louis and surrounding metropolitan communities.

Some of the services provided include; (but may not be limited to):

- Grant
- Medical
- Food stamps based on eligibility
- Welfare to Work Initiatives

The Illinois Dept. of Human Services (IDHS) in collaboration with the Housing Authority of the City of East St. Louis (ESLHA) and other service providers support the ESLHA 5 Year Plan/Annual Plan and the State TANF Plan which includes policies set forth continuing Welfare to Work Initiatives such as the Family Self-Sufficiency (FSS)/Campus of Learners (COL)/Family Unification Program (FUP) for public housing and section 8 residents to reach their goal of productive independence. Be it therefore resolved, the Illinois Department of Human services (IDHS) agrees to:

- Continue to provide supportive services to ESLHA residents
- Provide and accept appropriate referrals
- Assist in monitoring/evaluating FUP/FSS/COL participant services; and,
- Assist the Self-Sufficiency Program Coordinating Committee (PC) in non-duplication of services

Illinois Department of Human services (IDHS) resolves us well, to be an active member of the Self-Sufficiency Program Coordinating Committee on a volunteer basis.

This agreement is valid from May 2, 2000 through May 2, 2005 not to exceed a five year period. This contract may be terminated by either party with a thirty (30) day written notice.

William E. Wilkins  
Authorized Staff

Executive Director  
Title

[Signature]  
Signature

8/14/00  
Date

Darlene Roy

Authorized Staff

Local Office Administrator

Title

[Signature]  
Signature

8/14/00  
Date

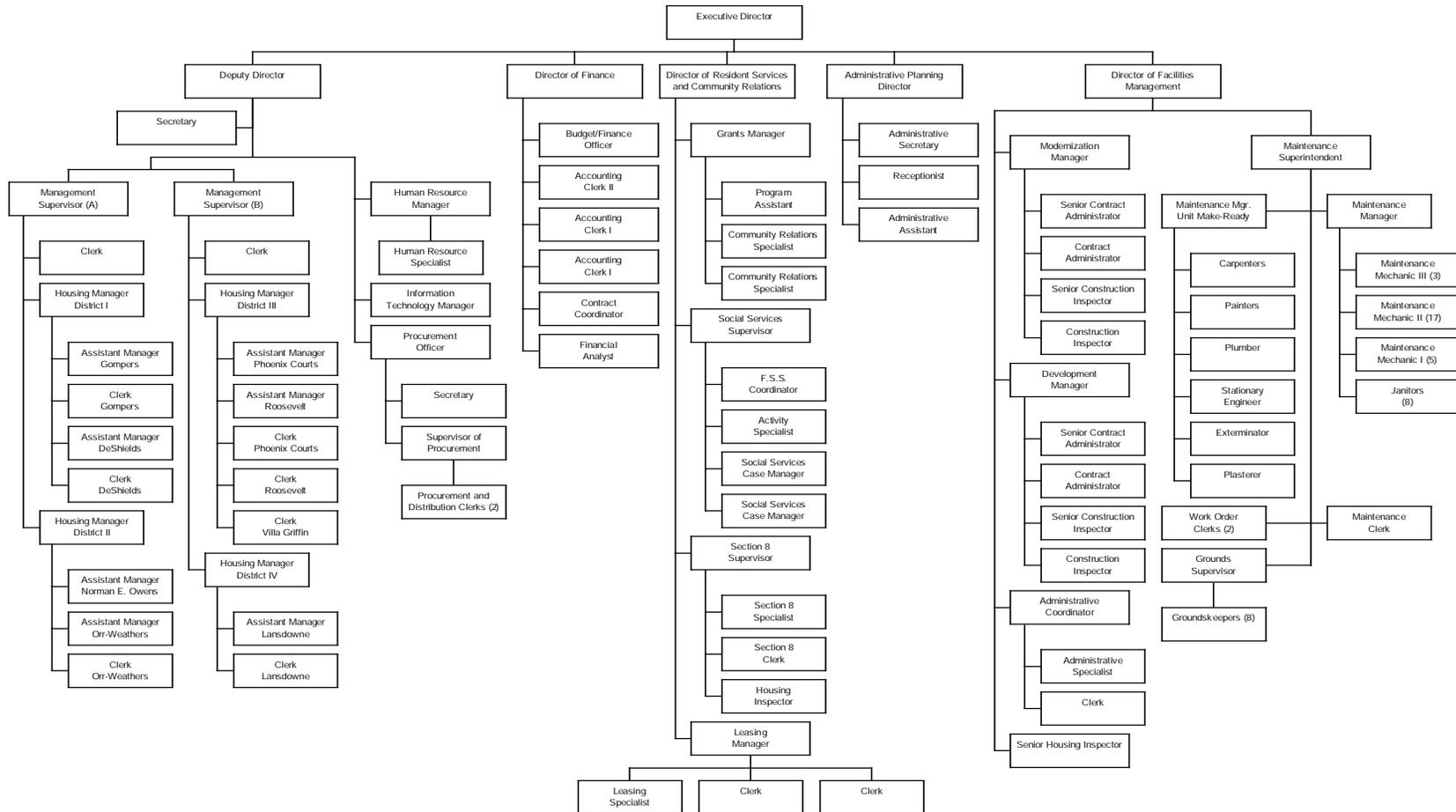
**FY2002ANNUALPLAN**

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**ATTACHMENTE  
ORGANIZATIONALCHART**

# Housing Authority of the City of East St. Louis

## Organizational Chart



**FY2002ANNUALPLAN**

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**ATTACHMENTF  
BOARDRESOLUTION**

**RESOLUTION No. 31-01**

**AGENCY ANNUAL PLAN**

**WHEREAS**, the Housing Authority of the City of East St. Louis (ESLHA) has completed its Agency Annual Plan in accordance with the Quality Housing and Work Responsibility Act (QHWRA) of 1998, and

**WHEREAS**, the ESLHA has complied with the QHWRA requirement requesting participation from the local public and private entities, Resident Advisory Board (RAB), and residents from the public housing and Section 8 programs, and

**WHEREAS**, the ESLHA certifies that its Agency Annual Plan is consistent with the City of East St. Louis Consolidated Plan and the Temporary Assistance for Needy Families (TANF) Plan, and

**WHEREAS**, the ESLHA will comply with all policies, procedures and requirements of its Agency Annual Plan in a timely, efficient and economical manner, and

**WHEREAS**, the ESLHA, has taken into consideration the comments and concerns of residents and other entities in preparing the Agency Annual Plan, and

**WHEREAS**, the ESLHA has made available all information relevant to the public hearing and requested public comments, and

**WHEREAS**, the ESLHA will carry out its Agency Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Section 504 of the Rehab. Act of 1993 & Title II of the Americans with Disabilities Act of 1990, and

**WHEREAS**, the ESLHA will comply with the Age Discrimination Act of 1975, the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped, Section 3 requirements and the HUD Act of 1968, and

**WHEREAS**, the ESLHA has submitted with its Agency Annual Plan, a drug free workplace certification required by 24 CFR Part 24, subpart 1, and

**WHEREAS**, the ESLHA has submitted its Disclosure of Lobbying Activities, its Certification of Payments to Influence Federal Transactions, and

**WHEREAS**, the ESLHA Public Housing Drug Elimination Program (PHDEP) is consistent with Agency Annual Plan requirements and Grantee Performance Requirements as specified in 24 CFR 761.21 and 761.23, and

**WHEREAS**, the ESLHA will comply with Affirmative Action Requirements, Acquisition and Relocation Public Act 1970, Davis Bacon determined Wage Rate Requirements under Section 12 and the Contract Workers Hours and Safety Standard Act, Lead Based Poisoning Prevention Act, National Environmental Policy Act, and

**WHEREAS**, the ESLHA will keep its records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine complaints with program requirements and policies, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirement for Grants and Cooperative Agreements), and

**WHEREAS**, the ESLHA will undertake the activities and programs covered in its Agency Annual Plan, and will utilize covered grant funds for activities appropriate under the regulations, and

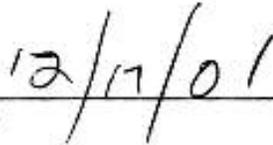
**WHEREAS**, the Agency Annual Plan and all its attachments have been and will continue to be available at the ESLHA Central Office at all times for public inspection.

**NOW, THEREFORE BE IT RESOLVED**, that the Housing Authority Representative for the Housing Authority of the City of East St. Louis has reviewed and accepts the Annual Agency Plan as written.

Attest:

  
\_\_\_\_\_

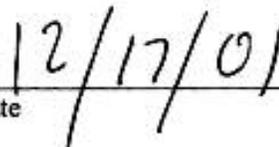
Date

  
\_\_\_\_\_

  
\_\_\_\_\_

Steven Meiss, HUD Representative  
for the Housing Authority of the  
City of East St. Louis

Date

  
\_\_\_\_\_

**ATTACHMENTG  
PHDEPANNUALPLAN**

# Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075 - PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

**Annual PHDEP Plan Table of Contents:**

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

**Section 1: General Information/History**

**A. Amount of PHDEP Grant**           \$512,813          

**B. Eligibility type (Indicate with an "x")**            N1               N2               R           

**C. FFY in which funding is requested**                      2001          

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long. n. It

The Housing Authority of the City of East St. Louis (ESLHA) requests eligible PHDEP funds to continue to support the safety and well-being of residents living in and around ESLHA developments. ESLHA has designed a comprehensive approach that is supported by many state and local organizations. ESLHA has implemented a new Housing Authority Security Detail to work along with the Metropolitan Law Enforcement Group of Southwestern Illinois (MEGSI), East St. Louis Police Officers, Resident Patrols and New Neighborhood Watch Program to combat covert and overt criminal activity and drug activity. In addition, ESLHA proposes to continue funding for six (6) After School Programs, and the Gompers Youth Leadership Program. The 2001 PHDEP plan also includes a new Boys and Girls club as part of a drug prevention component. Other program costs include PHDEP Program staff, which include the Director of Resident Services, a Grants Manager, formerly Resident Services Specialist III, two Community Relations Specialist and a new Security Manager. The former Security Coordinator retired. f

**E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
All sites	2220	5069

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

**6 Months**                
 **12 Months**                
 **18 Months**                
 **24 Months**                
           **x   Other**

## G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs haven't been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant#	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY1995					
FY1996	\$570,250	IL06DEP0010196	0	0	Closed
FY1997	\$581,100	IL06DEP0010197	0	0	Closed
FY1998	\$572,260	IL06DEP0010198	0	0	Closed
FY1999	\$451,098	IL06DEP0010099	373,428	0	01/09/02
FY2000	\$512,813	IL06DEP0010100	\$512,490	0	11/30/02

## Section 2: PHDEP Plan Goals and Budget

### A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

ESLHA's PHDEP plan is holistic in that it addresses the transient nature of criminal offenders in our developments and addresses the risk factors that make an individual more likely to abuse drugs or become involved in drug-related criminal activity. ESLHA uses a Housing Authority Security Detail, MEGSI, Resident Patrols and new Neighborhood Watch organization to combat overt and covert drug trafficking and drug-related crime. Security Detail Officers, MEGSI and two ESLHA Community Relations Specialist will assist in training residents to report and observe crime, conduct safety workshops and maintain a visible presence at resident activities and on patrols. Our law enforcement objectives include reducing part I and part II crimes in our developments, improving enforcement of One Strike You're Out and grievance procedures. Developing new Neighborhood Watch groups and improving ESLHA management staff's ability to track, report on and evict criminals and lease violators in our developments. In addition, ESLHA proposes the continuation of four prevention programs; six (6) After School Programs, the Gompers Youth Leadership Program and new Boys and Girls Club for youth ages 13-19. Approximately 1200 youth are actively involved in these programs on a continuing basis. Our goal is to increase the participant's grades, increase the number of high school seniors attending college, maintain a drug-free status among participants, increase social skills and conflict resolution skills so that they will be prepared to combat the negative factors that lead to drug and criminal activity.

## B.PHDEPBudgetSummary

Enter the total amount of PHDEP funding allocated to each line item.

<b>FY2001PHDEPBudgetSummary</b>	
<b>BudgetLineItem</b>	<b>TotalFunding</b>
9110 -ReimbursementofLawEnforcement	
9120 -SecurityPersonnel	\$37,909
9130 -Employmentof Investigators	
9140 -VoluntaryTenantPatrol	\$6,000
9150 -PhysicalImprovements	
9160 -DrugPrevention	\$200,000
9170 -DrugIntervention	
9180 -DrugTreatment	
9190 -OtherProgramCosts	\$268,904
<b>TOTALPHDEPFUNDING</b>	<b>\$512,813</b>

### C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise — not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9110 - Reimbursement of Law Enforcement</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

<b>9120 - Security Personnel</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Security Detail			12/1/01	11/30/02	\$37,909	\$100,000	Monthly and quarterly narrative reports and statistics
2.							
3.							

<b>9130 - Employment of Investigators</b>						<b>Total PHDEP Funding: \$</b>	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

<b>9140 - Voluntary Tenant Patrol</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Training	375	All Residents	12/1/01	11/30/02	\$4,000	\$0	Monthly and semi-annual reports and annual surveys.
2. Insurance	375	All Residents	12/1/01	11/30/02	\$2,000	\$0	Monthly and semi-annual reports and annual surveys.
3.							

<b>9150 - Physical Improvements</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9160 - Drug Prevention</b>					<b>Total PHDEP Funding: \$130,000</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Boys and Girls Club	825	Children from the Family Developments	12/31/01	12/01/02	\$50,000	\$243,905	Performance indicators
2. After School Programs: Roosevelt Homes Program Orr Weather Program	640	Children from the Family Developments	12/1/02	11/30/03	\$40,000	\$60,500	Site, visits, monthly reports, statistics and surveys
3. After School Programs: John DeSheilds Homes, Villa Griffin Homes, John Robinson Homes, Norman E. Owens Homes	640	Children from the Family Developments	1/4/02	1/3/03	\$80,000	\$88,974	Site, visits, monthly reports, statistics and surveys
4. Gompers Youth Leadership Program	250	Children from the Family Developments	12/1/01	11/30/02	\$30,000	\$11,450	Site, visits, monthly reports, statistics and surveys

<b>9170 -DrugIntervention</b>					<b>TotalPHDEPFunding:\$</b>		
Goal(s)							
Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.							
2.							
3.							

<b>9180 -DrugTreatment</b>					<b>TotalPHDEPFunding:\$</b>		
Goal(s)							
Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1.							
2.							
3.							

<b>9190 -OtherProgramCosts</b>					<b>TotalPHDEPFunds:\$246,580</b>		
Goal(s)							
Objectives							
ProposedActivities	#of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	PerformanceIndicators
1. CommunityRelations Specialist			12/1/01	11/30/02	\$42,537	0	MonthlygoalsreportsPHAS indicators
2. CommunityRelations Specialist			12/1/01	11/30/02	\$42,537	0	MonthlygoalsreportsPHAS indicators
3.GrantsManager			8/1/02	7/31/03	\$57,919	0	MonthlygoalsreportsPHAS indicators
4.DirectorofResidentServices			8/1/02	7/31/03	\$125,911	0	MonthlygoalsreportsPHAS indicators

### Section3:Expenditure/ObligationMilestones

IndicatebyBudgetLineItemandtheProposedActivity(basedontheinformationcontainedinSection2PHDEPPlanBudgetand Goals),the%offundsthatwillbeexpended(atleast25%ofthetotalgrantaward)andobligated(atleast50%ofthetotalgrant award)within12monthsofgrantexecution.

<b>BudgetLine Item#</b>	<b>25%Expenditure ofTotalGrant FundsByActivity #</b>	<b>TotalPHDEP Funding Expended(sum of theactivities)</b>	<b>50%Obligation ofTotalGrant FundsbyActivity #</b>	<b>TotalPHDEP Funding Obligated(sumof theactivities)</b>
<i>e.gBudgetLine Item#9120</i>	<i>Activities1,3</i>		<i>Activity2</i>	
9110				
9120	Activity1	\$37,909	Activity1	\$37,909
9130				
9140				\$6,000
9150				
9160	Activity1&4	\$80,000	Activity1,2,&3	\$200,000
9170				
9180				
9190	Activity1,2,3&4	\$85,074	Activity1,2,3&4	\$268,904
<b>TOTAL</b>		\$202,983		\$512,813

### Section4:Certifications

Acomprehensivecertification ofcompliancewithrespecttothePHDEPPlansubmissionisincludedinthe “PHACertificationsofCompliancewiththePHAPlanandRelatedRegulations.”

**ATTACHMENT  
PET POLICY**

**Pet Policy Summary**

Residents living in East St. Louis Housing Authority properties may have common household pets. Pets allowed do not include reptiles other than turtles. Additionally, Rottweilers and Bull Terriers or mixed breeds with these characteristics are not allowed. Size and number of pets are limited except for working animals for the handicapped. Dogs and cats are subject to various requirements, such as registration with the management office, personal liability insurance requirements, certification of inoculation, and proof of spaying/neutering, and payment of a non-refundable registration fee/pet deposit. Residents seeking to have pets must sign an agreement with the housing authority, which outlines all requirements for keeping and maintaining pets.

## **FY2002ANNUALPLAN**

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<b>ATTACHMENT I RESIDENT ADVISORY BOARD MEMBERSHIP</b>
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PRESIDENT: Ms.CenolaMiller

VICE-PRESIDENT: Ms.EdnaMayes

MEMBERS:

BrentonBuildingPresident: Ms.RosieHarper

RuggeriBuildingPresident: Ms.YvonneBeasley

RukavinaBuildingPresident: Ms.ArthelmaGuthrie

StarnesBuildingPresident: Ms.CenolaMiller

RooseveltHomesPresident: Ms.VanessaSmith

VillaGriffinHomesPresident: Ms.JacquelineGaston

NorthendScatteredSitesPresident: Ms.CarolynHughes

PhoenixCourtsPresident: Ms.AndriaMay

E-2BuildingPresident: Ms.EdnaMayes

D-1BuildingPresident: Ms.PatsyHaynes

LowRiseOrr -WeathersPresident: Ms.ThelmaBurrell

NormanE.OwensPresident: Ms.StephanieCann

JohnDeShieldsPresident: Ms.SharonHendricks

JohnRobinsonPresident: Ms.DeborahNixon

SamuelGompersPresident: Ms.BrendaMartin

**ATTACHMENT J  
DECONCENTRATION OF POVERTY IN  
PUBLIC HOUSING**

The East St. Louis Housing Authority performed a deconcentration analysis as required by Notice PIH 2001-4 and 24 CFR § 903.2. The agency performed both an average income analysis and an average income (bedroom adjusted) analysis. The agency chose to adopt the average income analysis which is included as part of this summary.

The average income for all units was \$7,285 and the 85% to 115% income range was \$6,192 to \$8,378. All developments fell within the 85% to 115% income range except Norman E. Owens (project nos. 021/044), which was 11% or \$821 per family over the 115% value.

The Agency also reviewed the most recent census data available. Income and poverty data, which reflect the 2000 census are not as yet available on the HUD SOCDSCensus DataBase. The median family income for the St. Louis, MO-IL MSA in 1989 (1998 dollars) is \$49,740. Thirty percent of this figure is \$14,922.

The Agency will continue to monitor income levels in each of the developments with particular emphasis on Norman E. Owens. We will continue efforts to deconcentrate poverty, however we do not plan to focus specifically on the "higher income" Norman E. Owens development at this time for the following reasons. The Agency is awaiting publication of the final rule concerning amendments to the "Established Income Range" (EIR) definition as related to Deconcentration requirements. (FR 42926-42927, August 15, 2001). Adoption of this final rule would appear to place the Norman E. Owens development within the amended EIR. Additionally, Norman E. Owens is located between the Orr-Weathers developments and the John De Shields/John Robinson developments with John De Shields having a slightly higher income. These other developments are located approximately 1/4<sup>th</sup> of a mile on either side of Norman E. Owens separated mainly by fields, a road, and quasi-abandoned industrial areas. We do not believe the income disparities are so significant as to warrant any extraordinary action at this time. However, in monitoring income levels we will seek where possible to raise the income levels of both the John Robinson and Orr-Weathers developments through our current admissions policies (which now give priority to employed applicants) and through our on-going self-sufficiency programs.

DECONCENTRATION ANALYSIS  
(Average Income Analysis)

A. Developments included in analysis:

<u>Unit</u>	<u>Project No.</u>	<u>Units Occupied</u>	<u>Average Income</u>
Gompers	001	223	\$7,434
Robinson	002	78	\$6,381
DeShields	003	255	\$7,670
Roosevelt	004/026	207	\$6,493
Griffin	005	81	\$6,926
Orr-Weathers	007	261	\$7,122
Lansdowne	008/009	326	\$7,106
Norman			
E.Owens	021/044	122	\$9,199

Note: We are required to consider developments with 100 or more living units. Robinson is included since it is closely located to DeShields. Griffin was included since there are 100 units although only 81 are occupied. Roosevelt, Lansdowne and Norman E.Owens each have two project numbers.

B. Deconcentration Calculation:

Total Income all residents: \$11,314,107.

Total Units: 1553

Average Income all Units: \$7,285

Income Range:

85%	TO	115%
\$6,192	-	\$8,378

1989 Median Family Income in 1998 Dollars:

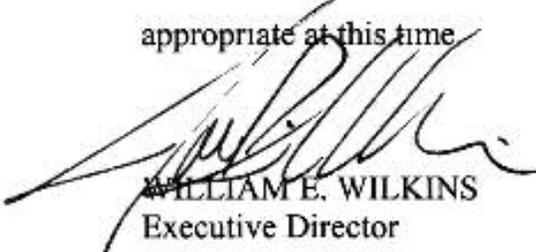
St. Louis, MO - ILSA: \$49,740

30% = \$14,922

**ATTACHMENT K  
CERTIFICATION: CONVERSION OF DEVELOPMENTS  
FROM PUBLIC HOUSING STOCK TO TENANT BASED  
ASSISTANCE: INITIAL ASSESSMENT**

The ESLHA certifies that it has reviewed each development's operation as public housing and considered the implications of converting the public housing to tenant-based assistance.

The ESLHA certifies that, based on this review, conversion is not appropriate at this time.

  
WILLIAM E. WILKINS  
Executive Director

January 10, 2002

## **FY2002ANNUALPLAN**

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<p><b>ATTACHMENTL RESIDENTMEMBERSHIPONTHEHOUSING AUTHORITYADVISORYBOARD OFCOMMISSIONERS</b></p>
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1. HousingAuthorityAdvisoryBoardofCommissioners  
ResidentMember: Ms.EthelSylvester
2. MethodofSelection:  
ResidentAdvisoryBoard RecommendationandMayoralAppointment
3. TermofOffice: FiveyearsthroughJanuary2004

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHAName: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501 -02 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2002</b>
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Original Annual Statement  
  Reserve for Disasters/Emergencies  
  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations	\$0			
3	1408 Management Improvements	\$290,000			
4	1410 Administration	\$310,000			
5	1411 Audit	\$1,500			
6	1415 Liquidated Damages	\$0			
7	1430 Fees and Costs	\$530,000			
8	1440 Site Acquisition	\$0			
9	1450 Site Improvement	\$1,583,000			
10	1460 Dwelling Structures	\$1,200,000			
11	1465.1 Dwelling Equipment — Nonexpendable	\$0			
12	1470 Nondwelling Structures	\$0			
13	1475 Nondwelling Equipment	\$0			
14	1485 Demolition	\$0			
15	1490 Replacement Reserve	\$0			
16	1492 Moving to Work Demonstration	\$0			
17	1495.1 Relocation Costs	\$0			
18	1499 Development Activities	\$0			
19	1501 Collateralization or Debt Service	\$0			
20	1502 Contingency	\$200,000			
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,114,500			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$200,000			
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPR HF)**

**Part II: Supporting Pages**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501 -02 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-7, Orr - Weathers LR	Major renovation of buildings and units in Phase VI	1460	7 bldgs.	\$1,200,000				
IL1-7, Orr - Weathers HR	Engineering assessment of plumbing, electrical & HVAC systems	1430	2 High Rise buildings	\$200,000				
IL1-8, Lansdowne Towers	Engineering assessment of plumbing, electrical & HVAC systems	1430	3 High Rise buildings	\$200,000				
IL1-2, John Robinson	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$268,000				
IL1-3, John Deshields	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000				
IL1-4, -26, Roosevelt Homes	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000				
IL1-5, Villa Griffin	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000				
IL1-7, Orr - Weathers	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000				
IL1-8, Lansdowne Towers	Landscaping, fencing, sidewalks, patio enclosures, dumpsters, parking lots	1450		\$258,000				
IL1-21, -44, Norman E. Owens	Repair/seal asphalt parking lot	1450		\$25,000				
	Contingency	1500		\$200,000				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501 -02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Agency Wide	Provide Security	1408		\$200,000				
Agency Wide	Provide Staff Training	1408		\$35,000				
Agency Wide	Provide Resident Training	1408		\$5,000				
Agency Wide	Provide Res. Services Staff Salaries	1408		\$35,000				
Agency Wide	Provide Res. Services Programs	1408		\$5,000				
Agency Wide	Provide Computer Software Upgrade	1408		\$10,000				
	Administrative Staff Salaries	1410		\$300,000				
	Sundry Costs	1410		\$10,000				
	Audit Fees	1415		\$1,500				
	Salaries for Construction Inspectors	1430		\$80,000				
	A&E Fees	1430		\$50,000				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHAName: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program No: IL06P001501 -02 Replacement Housing Factor No:			Federal FY of Grant: 2002	
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL1 -7 Low Rise Orr-Weathers	9/30/03			9/30/05			
IL1 -7 High Rise Orr-Weathers	9/30/03			9/30/05			
IL1 -8 Lansdowne Towers	9/30/03			9/30/05			
IL1 -21 & IL1 -44 Norman E. Owens	9/30/03			9/30/05			
IL1-2, John Robinson	9/30/03			9/30/05			
IL1-3, John Deshields	9/30/03			9/30/05			
IL1-4, -26, Roosevelt Homes	9/30/03			9/30/05			
IL1-5, Villa Griffin	9/30/03			9/30/05			



**CapitalFundProgramFive -YearActionPlan**  
**PartII:SupportingPages —PhysicalNeedsWorkStatements**

ActivitiesforYear:2 FFYGrant: PHAFY:03			ActivitiesforYear:3 FFYGrant: PHAFY:04		
DevelopmentNumber/Name	MajorWork Categories	Estimated Cost	DevelopmentNumber/Name	MajorWork Categories	Estimated Cost
<b>IL1 -1SamuelGompers</b>	Playgrounds&Fencing	\$50,000	<b>IL1 -7OrrWeathers</b>	InteriorUnitRenovations	\$500,000
<b>IL1 -1SamuelGompers</b>	Gutters&Downspouts	\$200,000			
<b>IL1 -1SamuelGompers</b>	ConcreteWalks	\$20,000			
<b>IL1 -1SamuelGompers</b>	Landscaping	\$10,000	<b>IL1 -8LansdowneTowers</b>	ReplacePlumb/Elec/HVAC	\$750,000
<b>IL1 -7Orr -Weathers</b>	UpgradePlum/Elec/HVAC	\$500,000			
<b>IL1 -8LansdowneTowers</b>	ReplacePlum/Elec/HVAC	\$750,000	<b>IL1 -9LansdowneTowers</b>	ReplaceElectricalSystem	\$500,000
<b>IL1 -25ScatteredSite</b>	Bldg.Envel.&Inter.Reno.	\$200,000			
<b>IL1 -2JohnRobinson</b>	SiteImprovements	\$268,000	<b>IL1 -2JohnRobinson</b>	SiteImprovements	\$260,000
<b>IL1 -3JohnDeShields</b>	SiteImprovements	\$258,000	<b>IL1 -3JohnDeShields</b>	SiteImprovements	\$258,000
<b>IL1 -4,26Roosevelt</b>	SiteImprovements	\$258,000	<b>IL1 -4,26Roosevelt</b>	SiteImprovements	\$258,000
<b>IL1 -5VillaGriffin</b>	SiteImprovements	\$258,000	<b>IL1 -5VillaGriffin</b>	SiteImprovements	\$258,000
<b>IL1 -7Orr -Weathers</b>	SiteImprovements	\$258,000	<b>IL1 -7Orr -Weathers</b>	SiteImprovements	\$258,000
<b>IL1 -8LansdowneTower</b>	SiteImprovements	\$258,000	<b>IL1 -8LansdowneTower</b>	SiteImprovements	\$258,000
<b>EstimatedCost:</b>		\$3,288,000	<b>EstimatedCost:</b>		\$3,300,000



## Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

<b>PHA Name:</b> Housing Authority of the City of East St. Louis	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P001708 -99 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 1999
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Original Annual Statement  
  Reserve for Disasters/Emergencies  
  Revised Annual Statement (revision no:    )

**X** Performance and Evaluation Report for Period Ending: 9/30/01  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations	\$0	\$0	\$0	\$0
3	1408 Management Improvements	\$300,000	\$327,106	\$0	\$0
4	1410 Administration	\$354,727	\$360,002	\$327,106	\$151,723.49
5	1411 Audit	\$1,500	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$304,230	\$356,036	\$353,195.41	\$150,578.46
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$421,641	\$440,865	\$440,865	\$328,322.93
10	1460 Dwelling Structures	\$1,527,238	\$940,031	\$940,029.07	\$406,174.80
11	1465.1 Dwelling Equipment — Nonexpendable	\$9,300	\$10,748	\$8,784	\$8,784
12	1470 Nondwelling Structures	\$1,638,997	\$2,122,305	\$148,725.10	\$99,292.88
13	1475 Nondwelling Equipment	\$0	\$0	\$146,376.60	\$146,376.60
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$18,572	\$19,112	\$19,112	\$19,112
18	1499 Development Activities	\$0	\$0	\$0	\$0
19	1501 Collateralization or Debt Service	\$0	\$0	\$0	\$0
20	1502 Contingency	\$0	\$0	\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,576,205	\$4,576,205	\$2,744,195	\$1,554,590
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$200,000	\$200,000	\$257,891	\$82,489
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHAN Name: Housing Authority of the City of East St. Louis		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-P001-708-99 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-2, John Robinson	Install bollards	1450		\$5,850.00	\$5,600.00	\$5,600	\$6,100	Completed
IL1-2, John Robinson	Replace Gutters/downspouts	1460		\$62,410	\$62,410	\$62,409	\$62,409	Completed
IL1-7Orr - Weathers Lowrise	Major renovation of interior of units and exterior of buildings	1460	20 Units	\$1,178,171	\$810,709	\$810,708.72	\$276,854.65	Completed
IL1-7Orr - Weathers Lowrise	Site Improvements	1450		\$334,441	\$348,493	\$348,493	\$235,390.93	Completed
IL1-7Orr - Weathers Lowrise	Relocation Costs	1495	20 Units	\$5,500	\$11,114	\$11,114	\$11,114	Completed
IL1-7Orr - Weathers Hirise	Replace flat roof at D -1 shop	1470		\$7,933	\$7,933	\$7,933	\$7,933	Completed
IL1-7Orr - Weathers Hirise	Remove & Replace carpet in corridors D-1 Bldg.	1470		\$25,000	\$46,200	\$46,200	\$0	Completed
IL1-7Orr - Weathers Hirise	Upgrade Elevators in D -1 & E -2 bldgs.	1470	4	\$372,901.73	\$372,902.73	\$0	\$0	Not started
IL1-7Orr - Weathers Hirise	Relocation Costs	1495		\$5,200	\$0	\$0	\$0	N/A
IL1-8 Lansdowne Towers	Emergency Call System	1460	3 bldgs.	\$83,450	\$0	\$0	\$0	N/A
IL1-8 Lansdowne Towers	Fire Panel Replacement	1470	3 bldgs.	\$40,000	\$39,888	\$39,887.93	\$39,887.93	Completed

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-70899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
IL1-8Lansdowne Towers	Upgrade Elevators	1470	3 bldgs.	\$600,000	\$1,304,975	\$0	\$0	Not started
IL1-8Lansdowne Towers	Install Roof Antennae	1470	3 bldgs.	\$2,500	\$2,500	\$2,500	\$2,500	Completed
IL1-9Lansdowne Towers	Upgrade Elevators	1470	2	\$150,000	\$150,000	\$0	\$0	Not Started
IL1-9Lansdowne Towers	Major renovation of interior of units	1460	23 units	\$73,730	\$30,145	\$30,144.29	\$30,144.29	Completed
IL1-9Lansdowne Towers	Common Area Improvements	1470		\$50,000	\$48,176	\$48,176	\$48,976	Completed
IL1-9Lansdowne Towers	Relocation Costs	1495	23 units	\$7,872	\$7,998	\$7,998	\$7,998	Completed
IL1-9Lansdowne Towers	Purchase stoves & refrigerators	1465	16 units	\$9,300	\$10,748	\$8,784	\$8,784	Completed
IL1-21 Norman E. Owens	Replace/install handrails outside units where there are 3 or more steps	1450		\$80,000	\$85,393	\$85,393	\$85,453	Completed
IL1-25, Scattered Sites	Replacing shingle roofs	1460	10 units	\$21,544	\$28,834	\$28,834	\$28,833.80	Completed
IL1-25, Scattered Sites	Rehab S.F. unit (1816 Russell)	1460	1	\$20,000	\$0	\$0	\$0	N/A
IL1-25, Scattered Sites	Rehab S.F. unit (1810 Lawrence)	1460	1	\$20,000	\$0	\$0	\$0	N/A
IL1-47 Scattered Sites	Rehab S.F. unit (4038 N. Park)	1460	1	\$20,000	\$0	\$0	\$0	N/A



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06 -P001-70899 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Agency Wide	Replace telephone system	1475		\$100,000	\$0	\$0	\$0	N/A
	Upgrade Computer System	1475		\$150,000	\$100,507	\$100,506.04	\$100,506.04	Completed
	Purchase computer for CAD system	1475		\$15,000	\$15,000	\$15,875.56	\$15,875.56	Completed
	Provide Security for residents	1408		\$200,000	\$257,871	\$257,871	\$82,488.49	In progress
	Provide Staff Training	1408		\$35,000	\$19,193	\$19,193	\$19,193	Completed
	Provide Resident Training	1408		\$10,000	\$1,820	\$1,820	\$1,820	Completed
	Resident Services Salaries	1408		\$30,000	\$30,000	\$30,000	\$30,000	Completed
	Resident Services Programs	1408		\$15,000	\$12,192	\$12,192	\$12,192	Completed
	Computer Software Upgrade	1408		\$5,000	\$6,030	\$6,030	\$6,030	Completed
	Upgrade Computers in Self Sufficiency Learning Labs	1408		\$5,000	\$0	\$0	\$0	N/A
	Administrative Staff Salaries	1410		\$351,727	\$351,727	\$351,727	\$235,950.06	In progress
	Advertisement/Sundry	1410		\$3,000	\$8,275	\$8,274.71	\$8,274.71	Completed
	Audit Fees	1415		\$1,500	\$0	\$0	\$0	N/A
	Engineering Study 683 bldg. parking lot	1430		\$10,000	\$0	\$0	N/A	
	A&E Fees	1430		\$43,000	\$79,806	\$79,805.41	\$38,324.70	In progress
	Elevator Consultant	1430		\$96,230	\$96,230	\$96,230	\$72,819.86	In progress
	Physical Needs Consultant	1430		\$75,000	\$100,000	\$97,160	\$0	In progress
	Construction Inspectors Salaries	1430		\$80,000	\$80,000	\$80,000	\$39,433.90	In progress

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHAName:Housing Authority of the City of East St. Louis		<b>Grant Type and Number</b> Capital Fund Program No: IL06 -P001-70899 Replacement Housing Factor No:					<b>Federal FY of Grant:</b> 1999
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL1-2, John Robinson	3/31/01	12/31/01		3/31/02	12/31/02		Program obligation & expenditure deadlines were extended when the elevator bid process resulted
IL1-7, Orr - Weathers LR	3/31/01	12/31/01		3/31/02	12/31/02		In only one bid. Contract had to be submitted
IL1-7, Orr - Weathers HR	3/31/01	12/31/01		3/31/02	12/31/02		And approved by HUD prior to award.
IL1-8, Lansdowne Towers	3/31/01	12/31/01		3/31/02	12/31/02		
IL1-9 Lansdowne Towers	3/31/01	12/31/01		3/31/02	12/31/02		
IL1-21, Norman E. Owens	3/31/01	12/31/01		3/31/02	12/31/02		
IL1-25, Scattered Sites	3/31/01	12/31/01		3/31/02	12/31/02		
IL1-47, Scattered Sites	3/31/01	12/31/01		3/31/02	12/31/02		
IL1-48, Scattered Sites	3/31/01	12/31/01		3/31/02	12/31/02		
Helen Davis Center	3/31/01	12/31/01		3/31/02	12/31/02		
Central Office	3/31/01	12/31/01		3/31/02	12/31/02		
Central Warehouse	3/31/01	12/31/01		3/31/02	12/31/02		
Agency Wide	3/31/01	12/31/01		3/31/02	12/31/02		

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHAName: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501 -00 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement    Reserve for Disasters/Emergencies    Revised Annual Statement (revision no: )  
**X** Performance and Evaluation Report for Period Ending: 9/30/01    Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$187,000		\$72,042	\$12,119
4	1410 Administration	\$356,751		\$356,751	\$65,910
5	1411 Audit	\$1,500		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$180,000		\$100,000	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$360,000		\$14,800	\$14,716
10	1460 Dwelling Structures	\$3,000,000		\$546,759	\$54,319
11	1465.1 Dwelling Equipment — Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$40,000		\$10,000	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,125,251		\$1,100,352	\$147,064
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				





## Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

<b>PHA Name:</b> Housing Authority of the City of East St. Louis	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06 -R001-501-00</b>	<b>Federal FY of Grant:</b> <b>2000</b>
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Original Annual Statement  
  Reserve for Disasters/Emergencies  
  Revised Annual Statement (revision no: )  
**X** Performance and Evaluation Report for Period Ending: **9/30/01**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$371,732		\$0	\$0
11	1465.1 Dwelling Equipment — Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$371,732		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				





## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHAName: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501 -01 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2001</b>
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Original Annual Statement  
  Reserve for Disasters/Emergencies  
  Revised Annual Statement (revision no: )  
**X** Performance and Evaluation Report for Period Ending: **9/30/01**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$240,000		\$0	\$0
4	1410 Administration	\$360,000		\$0	\$0
5	1411 Audit	\$1,500		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$130,000		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$437,438		\$0	\$0
10	1460 Dwelling Structures	\$3,000,000		\$0	\$0
11	1465.1 Dwelling Equipment — Nonexpendable	\$0		\$0	\$0
12	1470 Non Dwelling Structures	\$0		\$0	\$0
13	1475 Non Dwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$40,000		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$4,208,938		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	\$150,000			
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: IL06P001501 -01 Replacement Housing Factor No:					Federal FY of Grant: 2001
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL1-7/Orr-Weathers	9/30/02			9/30/04			No change to target dates

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHAName: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06 -R001-501-01</b>	Federal FY of Grant: <b>2001</b>
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Original Annual Statement  
  Reserve for Disasters/Emergencies  
  Revised Annual Statement (revision no: )  
 X Performance and Evaluation Report for Period Ending: **9/30/01**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$386,884		\$0	\$0
11	1465.1 Dwelling Equipment — Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$386,884		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06 -R001-501			Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Construct three new housing units.	1460	3	\$386,884		\$0	\$0	Not Started
Total Grant Amount				\$386,884		\$0	\$0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHAName: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: IL06 -R001-501					Federal FY of Grant: 2001
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date )			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Replacement Units	TBD			TBD			Not target dates established as yet. In accordance with PIH2001 -4, obligation deadlines are established upon approval of a development proposal.

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06 -R001-501-99</b>	Federal FY of Grant: <b>1999</b>
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Original Annual Statement  
  Reserve for Disasters/Emergencies  
  Revised Annual Statement (revision no:      )  
 X Performance and Evaluation Report for Period Ending: **9/30/01**  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	\$0		\$0	\$0
4	1410 Administration	\$0		\$0	\$0
5	1411 Audit	\$0		\$0	\$0
6	1415 Liquidated Damages	\$0		\$0	\$0
7	1430 Fees and Costs	\$0		\$0	\$0
8	1440 Site Acquisition	\$0		\$0	\$0
9	1450 Site Improvement	\$0		\$0	\$0
10	1460 Dwelling Structures	\$82,179		\$0	\$0
11	1465.1 Dwelling Equipment — Nonexpendable	\$0		\$0	\$0
12	1470 Nondwelling Structures	\$0		\$0	\$0
13	1475 Nondwelling Equipment	\$0		\$0	\$0
14	1485 Demolition	\$0		\$0	\$0
15	1490 Replacement Reserve	\$0		\$0	\$0
16	1492 Moving to Work Demonstration	\$0		\$0	\$0
17	1495.1 Relocation Costs	\$0		\$0	\$0
18	1499 Development Activities	\$0		\$0	\$0
19	1501 Collateralization or Debt Service	\$0		\$0	\$0
20	1502 Contingency	\$0		\$0	\$0
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$82,179		\$0	\$0
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHAName: Housing Authority of the City of East St. Louis		<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06 -R001-501-99				<b>Federal FY of Grant:</b> 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Construct three new housing units in Conjunction with funds from FY00 RHF.	1460	3	\$82,179		\$0	\$0	Not Started
	Total Grant Amount			\$82,179		\$0	\$0	

