

04/09/02

U.S.DepartmentofHousingandUrbanDevelopment
OfficeofPublicandIndianHousing

PHAPlans

5YearPlanforFiscalYears2000 -2004
AnnualPlanforFiscalYear200 2

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)IS TOBECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

PHA Plan Agency Identification

PHAName: Housing Authority of the City of Hartford

PHANumber: CT003

PHAFiscalYearBeginning: 01/2002

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA –475 Flatbush Avenue, Hartford, CT
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA –475 Flatbush Avenue, Hartford, CT
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website (Plan only)
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA –475 Flatbush Avenue, Hartford, CT
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHAF ISCAL YEARS 2000 -2004
 [24CFRP art903.5]

A.Mission

State the PHA's mission for serving the needs of low -income, very low income, and extremely low -income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The Hartford Housing Authority's Mission is to continue changing public housing in Hartford, Connecticut as we know it today by providing high quality affordable rental and homeownership opportunities while being a positive catalyst for family independence and diverse communities.

For more information regarding mission and activities visit
<http://www.hartnet.org/hha>

| Housing Authority Low Income Public Housing/Developments/Programs | | | |
|---|-------|-----------------------|----------|
| CT001 | 152 | Nelton Court | (Family) |
| CT002 | 186 | Dutch Point | (Family) |
| CT003 | 127 | Mary Shepard Place | (Family) |
| CT004(24) | 110 | New Community (COT) | (Family) |
| CT005(25) | 100 | New Community (Stowe) | (Family) |
| CT010,15,1617 | 266 | Scattered Sites | (Family) |
| CT006 | 50 | Mary Mahoney Village | (Senior) |
| CT007 | 39 | Kent Apartments | (Senior) |
| CT008 | 200 | Smith Towers | (Senior) |
| CT011 | 193 | Knox Apartments | (Senior) |
| Section 8 Vouchers | 1,934 | | |

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD -suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the space to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply, when available, for additional rental vouchers:
 - Reduce public housing vacancies:
 - Seek projects that can leverage private or other public funds to create additional housing opportunities:
 - See opportunities to acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Maintain "High Performing" status on PHAS scores
 - Improve voucher management: (SEMAP score)
 - Maintain high level of customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing as funds can be secured
 - Provide replacement vouchers as vouchers can be secured
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
Objectives:
- Continue to provide voucher mobility counseling:
 - Continue to conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Continue implementing public housing homeownership programs:
 - Implement public housing site -based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Per QH/WRA, implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Continue to implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities) - 5 year goal
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
- Continue affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability:
 - Continue affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability:
 - Continue affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

GOAL I

Build families in their quest for economic self-sufficiency, self-respect and homeownership.

OBJECTIVES:

1. HHA will mandate, as a condition of providing housing, that all public housing residents access TEC [Twenty/20 Education Community] services that support economic opportunity and quality of life, by December 31, 2003
2. The HHA's Family Reunification program shall be expanded to reunite 250 fathers with their families by December 31, 2004.
3. The HHA's A/BC Club will expand to a maximum membership of 250 youth by December 31, 2003
4. HHA will collaborate with the State Department of Social Services and other partners to expand its Family Investment Center to include space for additional DSS offices accessible to all of its housing developments, in order to enhance welfare to work related services to our residents, by July 1, 2004
5. HHA will apply to at least two appropriate foundations for grant funds within the next two years. These funds will allow us to expand our Resident Initiatives programs.
6. The HHA's community centers shall be more effectively utilized to provide resident services as measured by increasing their utilization to 75% of the time by March 31, 2004
7. HHA shall assist 100 families voluntarily move from assisted to unassisted housing by December 31, 2003.
8. Through the TEC Community Program, HHA shall ensure that all of its school age children are encouraged and rewarded for doing well in school.

GOAL II

Rebuild each community to achieve high quality of life expectations through lower densities and modern housing quality standards.

Objectives:

1. HHA will apply for demolition funds through HOPEVI to deconstruct 263 units at Stowe Village by December 31, 2002
2. HHA shall assist 200 families move from renting to homeownership by 12/31/04.
3. Depending on funding, HHA shall build or acquire 171 units (including but not limited to 20 units on Norwich Street and 2 units on Earle Street) to be used either as homeownership, lease with the option to own, or rental by December 31, 2004. These units shall be low maintenance and utilize the most recent technology for energy conservation and cost effectiveness.
4. HHA shall locate at least two partners, non-profit or for-profit, locally or nationally based. These partners will work with us on the acquisition, improvement and/or development of additional housing opportunities for public housing income eligible families in a mixed financing mode.
5. HHA will work cooperatively with the City of Hartford and the U.S. Department of Labor to locate a Job Corps Center in the ABC Section of Charter Oak Terrace.

6. HHA, in year 2 or later of this plan, will explore the possibility of converting all or part of one of its Senior Developments to accommodate 1 BR demand as evidenced by the Wait List.

AnnualPHAPlan
PHAFiscalYear2002
[24CFRPart903.7]

i. AnnualPlanType:

SelectwhichtypeofAnnualPlanthePHAwillsubmit.

StandardPlan

StreamlinedPlan:

- HighPerformingPHA**
- SmallAgency(<250PublicHousingUnits)**
- AdministeringSection8Only**

TroubledAgencyPlan

ii. ExecutiveSummaryoftheAnnualPHAPlan

[24CFRPart903.79(r)]

ProvideabriefoverviewoftheinformationintheAnnualPlan,includinghighlightsofmajorinitiativesanddiscretionarypoliciessthePHAhasincludedintheAnnualPlan.

TheHartfordHousingAuthorityhasawell -deserved reputationasaninnovativePublicHousingAuthority.Inaccordancewithearlierplans,theAuthorityhasreinventedover85%ofitsfamilyunitsinthelastfouryearsandhaschallengedtenantsthroughprogramsthatadvancethemandpublichousingcommunitiesintothe21st.Century.

Manyofthechangesreflectedinthe2002editionofQHWRAPlansandAttachmentsare responses to congressional mandates. Other changes being proposed are local options designed to encourage families in their own search for self -sufficiency. The plans also indicate the informal linkage between the State's Welfare Department (DSS) and the benefits that accrue to families while living in public housing.

TheHartfordHousingAuthorityconsiders the annual and five year planning process under QHWRAs a continuation of an on -going and successful effort to identify needs in the community and to respond effectively to those needs. The Authority has worked closely with the City of Hartford and its several departments in designing previous plans and actually garnering the resources to implement those plans. The Authority will continue to work closely with the City and well over 100 partners to refine these plans and to implement the plans as they are approved.

iii. Annual Plan Table of Contents

[24CFR Part 903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

Annual Plan

Executive Summary

Table of Contents

1. Housing Needs
 2. Financial Resources
 3. Policies on Eligibility, Selection and Admissions
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 9. Designation of Housing
 10. Conversions of Public Housing
 11. Homeownership
 12. Community Service Programs
 13. Crime and Safety
 14. Pet Policy
 15. Civil Rights Certifications (included with PHA Plan Certifications)
 16. Audit
 17. Asset Management
 18. Other Information
- Community Service Summary
Pet Policy Summary
Statement of progress in meeting mission and goals outlined in its current 5 Year Plan

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration - *Attachment CT003a01.doc*
- FY2002 Capital Fund Program Annual Statement - *Attachment CT003b01.doc*
- Most recent board-approved operating budget (*Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY*)

Optional Attachments:

- PHA Management Organizational Chart
- FY2002 Capital Fund Program 5 Year Action Plan - *Attachment CT003c01.doc*

- Public Housing Drug Elimination Program (PHDEP) Plan – Attachment
CT003f01.doc
- Comments of Resident Advisory Board or Boards (must be attached if not
included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|---|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | PHA Plan Certification of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| X | Fair Housing Documentation: Records reflecting that the PHA together with the City of Hartford has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdiction to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board -approved operating budget for the public housing program -see: Statement of Financial Resources –Item #2) | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] CT003e01.doc | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Section 8 Administrative Plan CT003d01.doc | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public Housing Deconcentration and Income Mixing Documentation: CT003a01.doc 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis CT003a01.doc | Annual Plan: Eligibility, Selection, and Admissions Policies Attached |
| X | Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy | Annual Plan: Rent Determination |
| X | Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy | Annual Plan: Rent Determination |
| X | Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Sec 8 Administrative plan | Annual Plan: Rent Determination |
| X | Public housing management and maintenance policy documents, | Annual Plan: Operations and |

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Maintenance |
| X | Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy | Annual Plan: Grievance Procedures |
| X | Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Sec 8 Administrative Plan | Annual Plan: Informal reviews, formal hearings |
| X | The HUD -approved Capital Fund/Comprehensive Grant Program Annual Statement for the active grant year CT003b01.doc | Annual Plan: Capital Needs |
| N/A | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | |
| X | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program CT003c01.doc | Annual Plan: Capital Needs |
| X | Approved HOPE VI applications, if more recent, approved or submitted HOPE VI revitalization plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| X | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| X | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| X | Approved or submitted public housing home ownership programs/plans | Annual Plan: Homeownership |
| N/A | Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| Under discussion | Any cooperative agreement between the PHA and the TANF agency + description of cooperative programs | |
| N/A | FSS Action Plan/s for public housing and/or Section 8 | |
| X | Most recent self -sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self -Sufficiency |
| X | The most recent Public Housing Drug Elimination Program (PHDEP) semi -annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| X | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| N/A | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| X | Pet Policy | ACOP |
| X | Community Service | ACOP |
| X | Stowe Village 5(h) Plan | Attachment CT003101.doc |
| | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |

1. Statement of Housing Needs

[24CFR Part 903.79(a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|----------------|---------------|--------|---------|---------------|------|----------|
| Family Type | Overall | Affordability | Supply | Quality | Accessibility | Size | Location |
| Income <= 30% of AMI | 16,101 | NA | NA | NA | NA | 4 | City |
| Income > 30% but <= 50% of AMI | 8,264 | NA | NA | NA | NA | 4 | City |
| Income > 50% but < 80% of AMI | 6,824 | NA | NA | NA | NA | 4 | City |
| Elderly | 6,151 | NA | NA | NA | NA | NA | NA |
| Families/Individuals with Disabilities** | 8,460** | NA | NA | NA | NA | NA | NA |
| White/Not Hispanic | 21,677 | NA | NA | NA | NA | NA | NA |
| Black/Not Hispanic | 49,412 | NA | NA | NA | NA | NA | NA |
| Hispanic | 49,260 | NA | NA | NA | NA | NA | NA |
| Other, Not Hispanic | ? | NA | NA | NA | NA | NA | NA |

NA=Not available Data: Planning Department, City of Hartford 8/99

CHAS Table 1C All Households - Jurisdiction, Hartford, City, CT

** (Non-institutionalized persons 16-64 years old with a mobility or other limitation)

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction
Indicate year: 1995+ Updates
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study: *HHA Waitlist/CHAS Table 1C*
1990 Census Total Population 121,578
Indicate year: 2000

Othersources:(listandindicateyearofinformation) *Incomeestimatesare basedupon1998updateddemographicdatacomparedagainstthe1999HOME ProgramIncomeLimitsforahouseholdsizeof4*

B. HousingNeedsofFamiliesonthePublicHousingandSection8 Tenant-BasedAssistanceWaitingLists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| HousingNeedsofFamiliesontheWaitingList –PUBLICHOUSING | | | |
|---|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> Section 8 tenant-based assistance [Sec 8 WL closed. All Certs and Vouchers currently available are being held for relocation at Stowe Village] | | | |
| <input checked="" type="checkbox"/> Public Housing | | | |
| <input type="checkbox"/> Combined Section 8 and Public Housing | | | |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 925 | | 35% |
| Extremely low income <= 30% AMI | 866 | 93.63 | |
| Very low income (> 30% but <= 50% AMI) | 54 | 5.84 | |
| Low income (> 50% but < 80% AMI) | 5 | 0.54 | |
| Families with children | 428 | 46.27 | |
| Elderly families | 24 | 2.60 | |
| Families with Disabilities | 94 | 10.67 | |
| Race/ethnicity W | 15 | 1.63 | |
| Race/ethnicity B | 402 | 43.46 | |
| Race/ethnicity His | 504 | 54.49 | |
| Race/ethnicity Other | 4 | 0.44 | |

| | | | |
|---|------------|--------------|--|
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | 499 | 53.95 | |
| 2BR | 170 | 18.38 | |
| 3BR | 167 | 18.06 | |
| 4BR | 89 | 9.63 | |
| 5BR | 0 | 0 | |
| 5+BR | 0 | 0 | |
| Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes (except 2BR & ELDERLY) If yes: How long has it been closed (# of months)? 30 Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes (e.g. Senior, 2BR see above) | | | |

| Housing Needs of Families on the Waiting List -SECTION 8 -2001 | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input checked="" type="checkbox"/> Section 8 tenant -based assistance | | | |
| <input type="checkbox"/> Public Housing | | | |
| <input type="checkbox"/> Combined Section 8 and Public Housing | | | |
| <input type="checkbox"/> Public Housing Site -Based or sub -jurisdictional waiting list (optional) | | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 1,407 | | |
| Extremely low income <=30% AMI | 1060 | 75.34 | |
| Very low income (>30% but <=50% AMI) | 333 | 23.67 | |
| Low income (>50% but <80% AMI) | 14 | 1.00 | |
| Families with children | 1090 | 77.47 | |
| Elderly families | 64 | 4.55 | |
| Families with Disabilities | 275 | 19.55 | |
| 1BR Non Elder Dis | 188 | 13.36 | |
| Race/ethnicity W | 52 | 3.70 | |
| Race/ethnicity B | 537 | 38.17 | |
| Race/ethnicity His | 695 | 48.70 | |
| Race/ethnicity Other | 123 | 8.72 | |
| Characteristics by Bedroom Size () | | | |
| 1BR | 400 | 28.43 | |
| 2BR | 506 | 35.96 | |
| 3BR | 422 | 29.99 | |
| 4BR | 68 | 4.83 | |
| 5BR | 10 | .71 | |
| 5+BR | 1 | .07 | |
| Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? 17 | | | |
| Does the PHA expect to re-open the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |

C.StrategyforAddressingNeeds

ProvideabriefdescriptionofthePHA'sstrategyforaddressingthehousingneedsoffamiliesinthe jurisdictionandonthewaitinglist **INTHEUPCOMINGYEAR** ,andtheAgency'reasonsforchoosing thisstrategy.

(1)Strategies

Need:Shortageofsafe,decent&affordablehousingforalleligiblepopulations

Strategy1.MaximizethenumberofaffordableunitsavailabletothePHAwithin itscurrentresourcesby:

Selectallthatapply

- Employeffectivemaintenanceandmanagementpoliciestominimizethenumber ofpublichousingunitsoff -line
- Reduceturnovertimeforvacatedpublichousingunits - *ascomparedto1998 PHMAPperformancemeasures*
- Reducetimetorenovatepublichousingunits
- Seekreplacementofpublichousingunitslosttotheinventorythroughmixed financedevelopment
- Seekreplacementofpublichousingunitslosttotheinventorythroughsection 8 replacementhousingresources
- Maintainorincreasesection8lease -upratesbyestablishingpaymentstandards thatwillenablefamielstorentthroughoutthejurisdiction
- Undertakemeasurestoensureaccesstoaffordablehousingamongfamilies assistedbythePHA,regardlessofunitsizerequired
- Maintainorincreasesection8lease -upratesbymarketingtheprogramtoowners, particularlythoseoutsideofareasofminorityandpovertyconcentration
- Maintainorincreasesection8lease -upratesbyeffectivelyscreeningSection8 applicantstoincreaseowneracceptanceofprogram
- ParticipateintheConsolidatedPlandevelopmentprocesstoensurecoordination withbroadercommunitystrategies
- Other(listbelow)

Strategy2:Increasethenumberofaffordablehousingunitsby:

Selectallthatapply

- Applyforadditionalsection8unitsshouldtheybecomeavailable
- Leverageaffordablehousingresourcesinthecommunitythroughthecreation ofmixed -financehousing *wherepossible*
- PursuehousingresourcesotherthanpublichousingorSection8tenant -based assistance *wherepossible*
- Other:(listbelow)

AsprovidedbydirectiveNumberFR 4633-N-01,project -baseupto25%ofthe Authority'sSection8Vouchersfornewlyconstructedunitsorrehabilitatedunitsor existingunits.

Need:SpecificFamilyTypes:Familiesatorbelow30% ofmedian

Strategy1:Targetavailableassistancetofamiliesatorbelow30%ofAMI

Selectallthatapply

- Exceed** HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed** HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special -purpose voucher targeted to the elderly, should they become available
- Other: (list below) *Elderly developments under management by HHA are experiencing vacancies. Cooperative programs utilizing existing resources from the City of Hartford and partnering agencies will be developed to enhance marketability.*

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Continue to carry out and complete* the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special -purpose voucher targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Continue to counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units – (cf. **Regional Opportunity Counseling Program ROC**)
- Continue to market the section 8 program to owners outside of areas of poverty / minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant-based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing support services, Section 8 tenant-based assistance, Section 8 support services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|--------------------------|--|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2001 grants) | | |
| a) Public Housing Operating Fund | \$7,015,402 | Revised |
| b) Public Housing Capital Fund | \$3,853,275 | |
| c) HOPE VI Revitalization | \$15,000,000 est | This is an ESTIMATE of what might be received if HHA applies for and is successful in its 2002 HOPE VI application |
| d) HOPE VI Demolition | Included in above | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | \$9,250,000 | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | \$518,503 | |
| g) Resident Opportunity and Self-Sufficiency Grants | \$250,000 | |
| h) Community Development Block Grant | \$15,000 | PH Supportive Serv. |
| i) HOME | | |
| Other Federal Grants (list below) | | |
| Hope I | \$0 | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| Comp Grant '99 | \$0 | |
| Development Grant - | \$0 | |
| FY 2000 Capital Fund | 3,720,000 | LIPH Capital improv |
| 3. Public Housing Dwelling Rental Inc. | | |
| Rental Income | \$2,750,000 (est) | LIPH Operations |
| 4. Other income (list below) | | |
| 5(h) Homeownership proceeds | \$100,000 | LIPH Supportive Serv. |
| 4. Non-federal sources (list below) | | |
| Interest on Investments | \$125,000 | LIPH Operations |
| Total resources | \$40,581,778 | |

3.PHAPoliciesGoverningEligibility,Selection,andAdmissions

[24CFRPart903.79(c)]

A.PublicHousing

Exemptions:PHAsthatdonotadministerpublichousingarenotrequiredto completesubcomponent3A.

(1)Eligibility

a. WhendoesthePHAverifyeligibilityforadmissiontopublichousing?(selectallthat apply)

- Whenfamiliesarewithinacertainnumberofbeingofferedaunit:(varies approx.25) –
- Whenfamiliesarewithinacertaintimeofbeingofferedaunit:(statetime)
- Other:(describe)

b. Whichnon -income(screening)factorsdoesthePHAusetoestablisheligibilityfor admissiontopublichousing(selectallthatapply)?

- CriminalorDrug -relatedactivity
- Rentalhistory
- Housekeeping
- Other(describe)(Creditchecksandpast -duebalancestoPHAs)

c. Yes No:DoesthePHArequestcriminalrecordsfromlocallawenforcement agenciesforscreeningpurposes?

d. Yes No:DoesthePHArequestcriminalrecordsfromStatelawenforcement agenciesforscreeningpurposes?

e. Yes No:DoesthePHAaccessFBIcriminalrecordsfromtheFBIfor screeningpurposes?(eitherdirectlyorthroughanNCIC - authorizedsource)

(2)WaitingListOrganization

a. WhichmethodsdoesthePHAplantousetoorganizeitpublichousingwaitinglist (selectallthatapply)

- Community-widelist
- Sub-jurisdictionallists
- Site-basedwaitinglists
- Other(describe)

b. Wheremayinterestedpersonsapplyforadmissiontopublichousing?

- PHAmainadministrativeoffice
- PHAdevelopmentsitemanagementoffice
- Other(listbelow)DisabledandElderlyfamiliesmaybesentapplicationsby mail.

c. If the PHA plan to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, **skipto subsection (3) Assignment Not Applicable**

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously HUD-approved site based waiting list plan)? If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously? If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfer take precedence over new admissions? (list below)

- Emergencies
- * Overhoused* (Vacancy rate > 3%: Can transfer but at ratio 1 trans: 3 new admit)

- (Vacancy < 3% : Can transfer at ratio 1 : 1)
- * Underhoused* (Vacancy rate > 3% : Can transfer but at ratio 1 trans: 3 new admit)
(Vacancy < 3% : Can transfer at ratio 1 : 1)
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below) **To achieve DECONCENTRATION and INCOME MIX**

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisal or hate crimes
- Other preference(s) (list below)
Elderly/Disabled (Singles)
Families desiring TECC Community (Twenty/20 Education Communities)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contribute to meeting income goals (broad range of incomes)
- 1 Household that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
- 1 Elderly/Disabled (Singles)
- 1 Families desiring TECC Communities (Twenty/20 Education Communities)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA - resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Anytime family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

OLD

a. Yes No : Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

OLD

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

(6) Deconcentration and Income Mixing

NEW

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

NEW

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below: **ALLLIPH**

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and development targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

- Actionstoimprovethe marketabilityofcertaindevelopments
- Adoptionoradjustmentofceilingrentsforcertaindevelopments
- Adoptionofrentincentivestoencourage deconcentratio nofpovertyandincome - mixing
- Other(listbelow)(workingpreference)

f. Basedontheresultsoftherequiredanalysis,inwhichdevelopmentswillthePHA makespecial effortsto attractorretainhigher -incomefamilies?(selectallthatapply)

- Notapplicable: resultsofanalysisdidnotindicateaneedforsuchefforts
- List(anyapplicable)developmentsbelow :**ALLLIPH FAMILY DEVELOPMENTS –seepage1ofFiveyearplan(above)forlisting**

g. Basedontheresultsoftherequiredanalysis,inwhichdevelopmentswillthePHA makespecial effortsto assureaccessforlower -incomefamilies?(selectallthatapply)

- Notapplicable: resultsofanalysisdidnotindicateaneedforsuchefforts
- List(anyapplicable)developmentsbelow:

B. Section 8

Exemptions: PHAthatdonotadministersection8arenotrequiredto completesub -component3B. **Unless otherwise specified, all questions in this section apply only to the tenant -based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. WhatistheextentofscreeningconductedbythePHA?(selectallthatapply)

- Criminalordrug -relatedactivityonlytotheextentrequiredbylaworregulation
- Criminalanddrug -relatedactivity,moreextensivelythanrequiredbylawor regulation
- Moregeneralscreeningthancriminalanddrug -relatedactiv ity(listfactorsbelow)
- Other(listbelow)

b. Yes No: DoesthePHArequestcriminalrecordsfromlocal lawenforcement agenciesforscreeningpurposes?

c. Yes No: DoesthePHArequestcriminalrecordsfromStatelawenforcement agenciesforscreeningpurposes?

d. Yes No: DoesthePHAaccessFBIcriminalrecordsfromtheFBIfor screeningpurposes?(eitherdirectlyor throughanNCIC - authorizedsource)

e. Indicatewhatkindsofinformationyousharewithprospective landlords?(selectall thatapply)

- Criminalordrug -relatedactivity
- Other(describewhatbelow)
 - CurrentAddress

- Name & Address of family's current/prior landlord(s) if available

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant assistance waiting list merged? (select all that apply) -based

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project -based certificate program
- Other federal or local program (list below)
Relocation of families from Obsolete Public Housing Units

b. Where may interested persons apply for admission to section 8 tenant assistance? (select all that apply) -based

- PHA main administrative office
- Other (list below)
A third party under contract to HHA will perform advertising, mailing and lottery functions

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Upto 180 Days for reasonable accommodations, household emergency, natural disasters, hospitalization or sickness of a household member, extraordinary family size, or need for barrier free housing..

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admission to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose section 8 assistance programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contribute to meeting income goals (broad range of incomes)
- Household that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Household that contribute to meeting income goals (broad range of incomes)
- Household that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs

- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with the equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special -purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)
 - Applications for Vouchers
 - Rental Assistance for Non -elderly persons with disabilities
 - Information packet given to relocation candidates

b. How does the PHA announce the availability of any special -purpose section 8 program to the public?

- Through published notices
- Other (list below)
 - Public Service Announcements
 - PHA Web Site

4. PHA Rent Determination Policies

[24 CFR Part 903.79(d)]

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete sub -component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent setting policies for income based rent in public housing. Income based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25 (\$0 if hardship can be proven)
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

2. If yes to question 2, list these policies below :
 Long term/short term hardship determination can include temporary or permanent loss of income, death of a family member with wages, etc

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

- A. **Flat Rent or "30% option" - family's choice**
- B. Minimum rent (\$0.00 - \$25) will be assessed based on proven need or circumstances

FLAT RENTS:

| | |
|---|----------------|
| A. Developments Newly Constructed (TEC) + New Stowe | 90% FMR |
| B. Developments Recently Reconstructed (Mary Shepard | 70% FMR |
| C. All other LIPH Developments (Family & Senior) | 60% FMR |

| TYPE | A | B | C |
|-------------------|---------------|---------------|---------------|
| BRs/% FMR | 90% | 70% | 60% |
| Efficiency | 394.00 | 307.00 | 263.00 |
| 1 | 491.00 | 382.00 | 327.00 |
| 2 | 627.00 | 488.00 | 418.00 |
| 3 | 788.00 | 613.00 | 525.00 |

c. Which of the discretionary (optional) deductions and/or exclusion policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member (until next annual recertification)
- For increases in earned income (until next annual recertification)
- Fixed amount (other than general rent -setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent -setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families (*In excess of 3% of the gross annual income*)
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Anytime the family experiences an income increase
- Anytime a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)
 However, must recertify when change of family composition occurs due to marriage, death or at time of transfer.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)
 City of Hartford Assessor's Office
 U.S. Department of HUD - Published FMRs

B. Section 8 Tenant -Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant -based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies .

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25 (\$0.00 - \$25)
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)
 Loss of income, loss of life

5. Operations and Management

[24CFR Part 903.79(e)]

Exemptions from Component 5 :
 High performing and small PHAs are not required to complete this section . Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached – **CT003k01.doc**
- A brief description of the management structure and organization of the PHA follows:
 Under a Board of Commissioners which includes 2 Tenants, the Executive Director manages principal divisions including: Operations, Housing Fiscal, Human Services and Modernization.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---|--|-------------------|
| Public Housing | 1,427 | 30% |
| Section 8 Vouchers | 1,943 | 30% |
| Section 8 Certificates | | |
| Section 8 Mod Rehab | | |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | | |
| Public Housing Drug Elimination Program (PHDEP) | 1,427 | 30% |
| | | |
| | | |
| Other Federal | | |

| | | |
|--------------------------------------|-----|-----|
| Programs(list individually) | | |
| Regional Opportunity Counseling(ROC) | 150 | N/A |
| ROSS | 600 | 30% |
| Elderly Services Coordinator(ROSS) | 400 | 20% |

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management:
 - Admissions and Continued Occupancy Plan
 - Rules and Regulations
 - Maintenance Manual
 - Policy & Procedures Manual
- (2) Section 8 Management: (list below)
 - Section 8 Administrative Plan

6. PHA Grievance Procedures

[24CFR Part 903.79(f)]

Exemptions from component 6:

High performing PHAs are not required to complete component 6 sub-component 6A. • Section 8 - Only PHAs are exempt from

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA offices should residents or applicant stop public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant -Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicant to the Section 8 tenant -based assistance program and informal hearing

procedures for families assisted by the Section 8 tenant -based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list addition to federal requirements below:

2. Which PHA offices should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.79(g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub -component 7A: PHA that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long -term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, **by completing and attaching a properly updated HUD -52837.**

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **(Capital Fund Program Annual Statement CT003b01.doc)**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert there)

(2) Optional 5 -Year Action Plan

Agencies are encouraged to include a 5 -Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD -52834.

- a. Yes No: Is the PHA providing an optional 5 -Year Action Plan for the Capital Fund? (if no, skip to sub -component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5 -Year Action Plan is provided as an attachment to the PHA Plan at Attachment **(Optional Table for 5 -Year Action Plan for Capital Fund (Component 7)) CT003c01.doc**

-or-

- The Capital Fund Program 5 -Year Action Plan is provided below: (if selected, copy the CFP Optional 5 Year Action Plan from the Table Library and insert there)

B. HOPE VI and Public Housing Development and Replacement Activities (Non -Capital Fund)

Applicability of sub -component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Stowe Village
2. Development (project) number: CT00305
3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:
Dutch Point/Nelton Court

- Yes No: d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?
If yes, list development or activities below: Possibly Stowe Village, Dutch Point, Nelton Court and/or Economic Development initiatives at Charter Oak or Stowe Village

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:
Charter Oak Terrace Economic Development Park
Scattered Site Replacement Housing, including but not limited to 20 Norwich Street and 2 Earle Street units.

8. Demolition and Disposition

[24CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

| Demolition/Disposition Activity Description | |
|---|--|
| 1a. Development name: | Stowe Village/Charter Oak Terrace ABC/Nelton/Dutch |
| 1b. Development (project) number: | CT00305/CT00304/CT00301/CT00302 |
| 2. Activity type: | Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/> |
| 3. Application status (select one) | Approved <input checked="" type="checkbox"/> / Stowe Village Demolition Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/> / Charter Oak Terrace ABC/Stowe Village/Econ Dev./Dutch Point/Nelton Court |
| 4. Date application approved, submitted, or planned for submission: | <u>(6/02/02)</u> |
| 5. Number of units affected: | |
| 6. Coverage of action (select one) | <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development |
| 7. Timeline for activity: | a. Actual or projected start date of activity: 30 days after application approval b. Projected end date of activity: 24 Months from start date |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24CFR Part 903.79(i)]

Exemptions from Component 9: Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with

disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

| Designation of Public Housing Activity Description | |
|---|--------------------------|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Designation type: | |
| Occupancy by only the elderly | <input type="checkbox"/> |
| Occupancy by families with disabilities | <input type="checkbox"/> |
| Occupancy by only elderly families and families with disabilities | <input type="checkbox"/> |
| 3. Application status (select one) | |
| Approved; included in the PHA's Designation Plan | <input type="checkbox"/> |
| Submitted, pending approval | <input type="checkbox"/> |
| Planned application | <input type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY) | |
| 5. If approved, will this designation constitute a (select one) | |
| <input type="checkbox"/> New Designation Plan | |
| <input type="checkbox"/> Revision of a previously -approved Designation Plan? | |
| 6. Number of units affected: | |
| 7. Coverage of action (select one) | |
| <input type="checkbox"/> Part of the development | |
| <input type="checkbox"/> Total development | |

10. Conversion of Public Housing to Tenant -Based Assistance

[24 CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessment of Reasonable Revitalization Pursuant to section 202 of the HUD FY1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to

component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

| Conversion of Public Housing Activity Description | |
|---|--|
| 1a. Development name: COTABC Section | |
| 1b. Development (project) number: CT00304 | |
| 2. What is the status of the required assessment? | |
| <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input checked="" type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below) | |
| 3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) | |
| 4. Status of Conversion Plan (select the statement that best describes the current status) | |
| <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD - approved Conversion Plan underway | |
| 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) | |
| <input checked="" type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: 09/30/95) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below) | |

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

11.HomeownershipProgramsAdministeredbythePHA

[24CFRPart903.79(k)]

A.PublicHousing

ExemptionsfromComponent11A:Section8onlyPHAsarenotrequiredtocomplete11A.

1. Yes No: DoesthePHAadministeranyhomeownershipprograms administeredbythePHAunderanapprovedsection5(h) homeownershipprogram(42U.S.C.1437c(h)),oranapproved HOPE Iprogram(42U.S.C.1437aaa)orhasthePHAappliedor plantoapplytoadministeranyhomeownershipprogramsunder **section5(h)** ,theHOPEIprogram,orsection32oftheU.S. HousingActof1937(42U.S.C.1437z -4).(If“No”,skipto component11B;if“yes”,completeoneactivitydescriptionfo r eachapplicableprogram/plan,unlesseligibletocompletea streamlinedsubmissionduetosmallPHAorhighperformingPHA status.PHAscompletingstreamlinedsubmissionsmayskipto component11B.)

2.ActivityDescription

Yes No: HasthePHAprovidedallrequiredactivitydescriptioninformation forthiscomponentinthe **optional**PublicHousingAsset ManagementTable?(If“yes”,skiptocomponent12.If“No”, completetheActivityDescriptiontablebelow.)

| PublicHousingHomeownershipActivityDescription (Completeoneforeachdevelopmentaffected) |
|--|
| 1a.Developmentname:NewCommunity(formerlyCharterOakTerrace) 1b.Development(project)number:CT003004(024) |
| 2.FederalProgramauthority: <input type="checkbox"/> HOPEI <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> TurnkeyIII <input type="checkbox"/> Section32oftheUSHAof1937(effective10/1/99) |
| 3.Applicationstatus:(selectone) <input checked="" type="checkbox"/> Approved;includedinthePHA’sHomeownershipPlan/Program <input type="checkbox"/> Submitted,pendingapproval <input type="checkbox"/> Plannedapplication |
| 4.DateHomeownershipPlan/Programapproved,submitted,orplannedforsubmission: May,1998 |

5. Numberofunitsaffected:130
 6.Coverageofaction:(selectone)
Partofthedevelopment
Totaldevelopment

| PublicHousingHomeownershipActivityDescription (Completeoneforeachdevelopmentaffected) | |
|--|---|
| 1a.Developmentname:STOWEVILLAGE | |
| 1b.Development(project)number:CT0 03025 | |
| 2.FederalProgramauthority: | |
| <input type="checkbox"/> HOPEI | |
| <input checked="" type="checkbox"/> 5(h) | |
| <input type="checkbox"/> TurnkeyIII | |
| <input type="checkbox"/> Section32oftheUSHAof1937(effective10/1/99) | |
| 3.Applicationstatus:(selectone) | |
| <input type="checkbox"/> Approved;includedinthePHA'sHomeownershipPlan/Program | |
| <input checked="" type="checkbox"/> Submitted,pendingapproval (submittedwiththisAgencyPlan) | |
| <input type="checkbox"/> Plannedapplication | |
| 4.DateHomeownershipPlan/Programapproved,submitted,orplannedforsubmission | : |
| October15,2001 | |
| 5. Numberofunitsaffected:100 | |
| 6.Coverageofaction:(selectone) | |
| <input type="checkbox"/> Partofthedevelopment | |
| <input checked="" type="checkbox"/> Totaldevelopment | |

B.Section8TenantBasedAssistance

1. Yes No: DoesthePHApplantoadministeraSection8Homeownership programpursuanttoSection8(y)oftheU.S.H.A.of1937,as implementedby24CFRpart982?(If'No',skiptocomponent 12;if'yes',describetheprogramusingthetablebelow(copy and completequestionsforeachprogramidentified),unless the PHAiseligibletocompleteastreamlinedsubmissionduetohigh performerstatus.HighperformingPHAsmayskiptocomponent 12.)

2.ProgramDescription:

a.SizeofProgram

Yes No: WillthePHAlimitthenumberoffamiliesparticipatinginthe section8homeownershipoption?

Iftheanswertothequestionabovewasyes,whichstatementbestdescribethe numberofparticipants?(selectone)

25orfewerparticipants

- 26- 50participants
- 51to100participants
- morethan100participants

b.PHA establishedeligibilitycriteria

Yes No: WillthePHA'sprogramhaveeligibilitycriteriaforparticipationinits Section8HomeownershipOptionprograminadditiontoHUD criteria?

Ifyes,listcriteriabelow:

12. PHA Community Service and Self -sufficiency Programs

[24CFRPart903.79(l)]

ExemptionsfromComponent12 :

HighperformingandsmallPHAsarenotrequiredtocompletethiscomponent .Section8 -
OnlyPHAsarenotrequiredtocompletesub -componentC.

SeesummarydescriptionattheendofthisdocumentandintheAdmissionsandContinuedOccupancy Policywhichisalsoanattachment -CT003e01.doc

A. PHA Coordination with the Welfare (TANF) Agency

1.Cooperativeagreements:

Yes No: HasthePHAhasenteredintoacooperativeagreementwiththeTANF Agency, to shareinformationand/ortargetsupportiveservices(as contemplatedbysection12(d)(7)oftheHousingActof1937)?

Ifyes,whatwasthedatethatagreementwassigned? DD/MM/YY

ThismatterisunderdiscussionwithCTDepartmentofSocial Servicesatthistime

2.OthercoordinationeffortsbetweenthePHAandTANFagency(selectallthatapply)

- Clientreferrals
- Informationsharingregardingmutualclients(forrentdeterminationsand otherwise)
- Coordinatetheprovisionofspecificsocialandself -sufficiencyservicesand programstoeligiblefamilies
- Jointlyadministerprograms
- PartnertoadministeraHUDWelfare -to-Workvoucherprogram
- Jointadministrationofotherdemonstrationprogram
- Other(describe)
SpecialDSSunitestablishedinStoweVillage1996 -1999
FamilyReunificationProgram

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social Self-Sufficiency Programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

| Services and Programs | | | | |
|---|----------------|---|---|--|
| Program Name & Description (including location, if appropriate) | Estimated Size | Allocation Method (waiting list/random selection/specific criteria/other) | Access (development office/ PHA main office/ other provider name) | Eligibility (public housing or section 8 participants or both) |
| ROSS | 600 | TANF for recent TANF families | PHA Main Office | Public Housing |
| Drug Elimination Grant | 1427 | All | PHA Main Office | Public Housing |
| Elderly Services Coordinator | 400 | Senior Communities | Senior Community Offices | Senior Communities |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

(2) Family Self Sufficiency program/s

a. Participation Description

| Family Self Sufficiency (FSS) Participation |
|---|
|---|

| Program | Required Number of Participants (start of FY200 1 Estimate) | Actual Number of Participants (As of: 09/01/1999) |
|----------------|--|--|
| Public Housing | | |
| Section 8 | | |

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plan to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

See ACOP Section 13.12 and summary following

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.79(m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug -related crime in some or all of the PHA's developments

- High incidence of violent and/or drug -related crime in the area surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower -level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug -related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anti-crime/anti-drug programs
- Other (describe below)
Weed & Seed program reports/Meetings

3. Which developments are most affected? All Family Developments/Stowe Village, Mary Shepard Place/Dutch Point/Nelton Court/New Community

B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plan to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime and/or drug -prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at -risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below) ALL

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug -elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)
A Community Service Officer dedicated to the Housing Authority and funded by the PHDEP Program.

2. Which developments are most affected? (list below) ALL

D. Additional information as required by PHDEP/PHDEP Plan

PHA eligible for FY2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY2001 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. **CT003f01.doc**

14. RESERVED FOR PET POLICY

[24CFR Part 903.79(n)]

See ACOP Section 22.0 – And summary that follows

15. Civil Rights Certifications

[24CFR Part 903.79(o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24CFR Part 903.79(p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?

3. Yes No: Werethereanyfindingsastheresultofthataudit?
4. Yes No: Iftherewereanyfindings,doanyremainunresolved?
Ifyes,howmanyunresolvedfindingsremain?_____
5. Yes No: Haveresponsestoanyunresolvedfindingsbeensubmittedto HUD?
Ifnot,whenaretheydue(statebelow)?

17.PHAAssetManagement

[24CFRPart903.79(q)]

Exemptionsfromcomponent17:Section8OnlyPHAsarenotrequiredtocompletethiscomponent.
HighperformingandsmallPHAsarenotrequiredtocompletethiscomponent .

1. Yes No: IsthePHAengaginginanyactivitiesthatwillcontribute tothelong termassetmanagementofitspublichousingstock,includinghow theAgencywillplanforlong termoperating,capitalinvestment, rehabilitation,modernization,disposition,andotherneedsthathave notbeenaddressedelsewhereinthisPHAPlan?
2. WhattypesofassetmanagementactivitieswillthePHAundertake?(selectallthat apply)
- Notapplicable
- Privatemanagement
- Development-basedaccounting
- Comprehensivestockassessment
- Other:(listbelow)
3. Yes No: HasthePHAincludeddescriptions ofassetmanagementactivitiesin theoptionalPublicHousingAssetManagementTable?

18.OtherInformation

[24CFRPart903.79(r)]

A.ResidentAdvisoryBoardRecommendations

1. Yes No: DidthePHAreceiveanycommentsonthePHAPlanfromthe ResidentAdvisoryBoard/s
- 2.Ifyes,thecommentsare:(ifcommentswereceived,thePHA **MUST**selectone)
- AttachedatAttachment(Filename)
- Providedbelow:
- ResidentandAdvisoryBoardandGreaterHartfordLegalAssistancecommentswere receivedat5publicmeetingsandinwriting.Specialeffortsweremadetoinclude Section8residents.InvitationstotheSection8meetingsweresentdirectlytoselected voucherholders.ItshouldbenotedthatthePublicHearingandtheSection8meeting werescheduledforSeptember11,2001.Becauseofthetragiccoincidenceofdate,the***

Authority sponsored information sessions at the convenience of the residents and with the participation of resident advisory board members in selected developments. Most discussion centered around practical management issues including the request for simplifying the rent invoice. Other topics commented on include: fee changes, 5(h) Homeownership Plan for Stowe Village, privacy in Scattered Sites, provision of bags for leaves, up-dating data regarding the waitlists, up-dating housing needs data, providing current information regarding financial resources, Agency Plan footnote corrections, provision of the LIPH lease, and proposed changes to selected Section 8 payment standards.

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.) **[HHA has two Tenant Commissioners – they are appointed and confirmed by the City Manager and Court of Common Council]**

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe) Nominated by City Manager, Confirmed by Court of Common Council – Currently, there are two resident commissioners.

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list) See process above

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations

Other(list) See process above

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (CITY OF HARTFORD)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be continued by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Homeownership
 - Revitalization of distressed public housing developments including density reduction
 - Public Safety in and around public housing developments

Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

See "Public Housing" Pages 28 - 40 "Hartford at Work" Year Five Annual Action Plan - City of Hartford Consolidated Community Development Plan" May 12, 1999

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

SUMMARY - PET POLICY

The Hartford Housing Authority will allow for pet ownership in its developments with written pre-approval of the Housing Authority. Residents are responsible for any damage caused by their pets, including the cost of fumigating, or cleaning their units. In exchange for this right, resident assumes full responsibility and liability for the pet and agrees to the Hartford Housing Authority harmless from any claims caused by action or inaction of the pet.

This policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors.

SUMMARY – COMMUNITY SERVICE POLICY

The **regulation** states that any adult family member, who is a resident of public housing and is not exempt, must:

- 1) Contribute 8 hours per month of community or
- 2) Participate in an economic self-sufficiency program for 8 hours per month or
- 3) Perform 8 hours per month of combined community service and self-sufficiency activities.

Exemptions are as follows: An adult who

- 1) Is 62 years or older
- 2) Is blind or disabled individual and who certifies that because of this disability she or he is unable to comply with the service provisions.
- 3) Is a primary caretaker of such individual
- 4) Is engaged in work activities
- 5) Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act., or under any other welfare program of the State in which the PHA is located, including a State administered welfare-to-work program or
- 6) Is a member of a family receiving assistance, benefits or services under a State program funded under part A of title IV of the Social Security Act or under any other welfare program of the State in which the PHA is located, including a State administered welfare-to-work program, and has not been found by the State or other administering entity to be in non-compliance with such a program.

How HHA will Administer this requirement

PROCESS

At the first annual recertification on or after January 1, 2001 and each annual recertification thereafter, the Hartford Housing Authority's Housing Department will do the following:

- A. Identify all adult family members who are not exempt from the community service and self-sufficiency requirement.
- B. A "Notification Letter" will be sent to the Head of Household as well as all other adult members in the family informing them that they must fulfill the community service/self-sufficiency requirement effective upon the date of their first annual recertification on or after January 1, 2001. This letter will inform them that they will hear from a HHA Human Services Employee and will provide them with the direct number for the Human

Services Division, in the event that they wish to contact someone in advance of being contacted.

Attachments

- Admissions Policy for Deconcentration/Analysis **CT003a01.doc**
- HUD Form -Capital Improvements -Annual Plan **CT003b01.doc**
- HUD Form -Capital Improvements -Five Year Action Plan CT003c01.doc
- Section 8 Administrative Plan CT003d01.doc
- Public Housing Admissions and (Continued) Occupancy Policy CT003e01.doc
- Public Housing Drug Elimination Plan -FY2002 CT003f01.doc
- Hartford Housing Authority Low Income Public Housing Lease CT003g01.doc
- Resident Advisory Board Letter CT003h01.doc
- Consolidated Plan Consistency Certification CT003i01.doc
- Progress report re: 5 year Plan Goals CT003j01.doc
- Organization Chart CT003k01.doc
- 5(h) Plan for Stowe Village CT003l01.doc

Certifications sent with printed copy to HUD field Office.

- I. HUD50075 Certification of Compliance with the PHA Plans and Related Regulations/Board Resolution to Accompany the PHA Plan
- II. HUD50070 Certification for a Drug -Free Workplace
- III. SF -LLL Disclosure of Lobbying Activities
- IV. SF -LLL -A Disclosure of Lobbying Activities
- V. HUD2880 Applicant/Recipient Disclosure/Update Report
- VI. HUD50071 -Certification of Payments to Influence Federal Transactions

Supporting Documents on display and available for Review

See Page 3 Above

Ann - Cap ital

| | | |
|-----|---|-------------------------------------|
| PHA | Grant Type and Number Capital Fund Program Grant No: EV2002 Replacement Housing Factor Grant No: | Federal FY of Grant: 2002 |
|-----|---|-------------------------------------|

X
Original

| Performer | | | | | |
|-----------|---|-----------------------|---------|-------------------|----------|
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | \$40,000.00 | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 105104.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 125000.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 50000.00 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | 3072844.00 | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2–20) | \$3,853,275.00 | | | |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security—Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

**Annual
Statement/Performance
and
Evaluation
Report
Capital Fund
Program and
Part II:**

| PHA Name: | | Grant | | | Federal FY of Grant: | | | |
|--|---|--|---------------|----------|-----------------------|---------|-------------------|----------------|
| Development Number Name/HA-Wide Activities | | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | Status of Work |
| | | | | | Original | Revised | Funds Obligated | Funds Expended |
| CT3-1 Nelton Court | Develop new units in conjunction with HOPEVI funds | 1499 | | | \$1,536,422.00 | | | |
| CT3-2 Dutch Point | Develop new units in conjunction with HOPEVI funds | 1499 | | | 1,536,422.00 | | | |
| CT3-7 Kent | Carpeting for Common Areas | 1460 | | | 25,000.00 | | | |
| CT3-11 Betty Knox | Carpeting for Common Areas | 1460 | | | 100,000.00 | | | |
| PHA Wide | Operations Needs | 1406 | | | 40,000.00 | | | |
| PHA Wide | Management Improvements | 1408 | | | | | | |
| PHA Wide | Technical Assistance for HUD NOFAs and Grants | 1408 | | | 40,000.00 | | | |
| PHA Wide | Computer System/Software & Training | 1408 | | | 23,000.00 | | | |
| " | Modernization Construction Software and Computers and Printers | 1408 | | | 12,000.00 | | | |
| PHA Wide | Funds for Modernization and Admin. Salaries and Benefits | 1410 | | | 385,327.00 | | | |
| PHA Wide | Purchase new stoves and refrigerators | 1475 | | | 50,000.00 | | | |
| PHA Wide | Architect & Engineering Fees | 1430 | | | 105,104.00 | | | |
| Totals | | | | | \$3,853,275.00 | | | |

**CapitalFundProgramFive-
PartI:Summary**

| DevelopmentNumber/Name/HA-Wide | | Original5-YearPlan RevisionNo: | | WorkStatementfor Year2 | WorkStatementfor Year3 | WorkStatementfor Year4 | WorkStatementfor Year5 |
|---------------------------------|---|-----------------------------------|--|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| PHANumber Hartford | | | | FFYGrant:2003 PHAFY:12/31/03 | FFYGrant:2004 PHAFY:12/31/04 | FFYGrant:2005 PHAFY:12/31/05 | FFYGrant:2006 PHAFY:12/31/06 |
| Year1 | Annual Statement | | | | | | |
| CT3-1 | DevelopnewunitsinconjunctionwithHOPEVI | | | \$1,536,422.00 | \$1,536,422.00 | \$1,536,422.00 | \$1,536,422.00 |
| CT3-2 | DevelopnewunitsinconjunctionwithHOPEVI | | | 1,536,422.00 | 1,536,422.00 | 1,536,422.00 | 1,536,422.00 |
| CT3-7 | Installcarpetingincommonareas | | | 25,000.00 | 25,000.00 | 25,000.00 | 25,000.00 |
| CT3-11 | Installcarpetingincommonareas | | | 100,000.00 | 100,000.00 | 100,000.00 | 100,000.00 |
| PHA Wide | OperationsNeeds | | | 40,000.00 | 40,000.00 | 40,000.00 | 40,000.00 |
| PHA Wide | TechnicalAssistanceforHUDNOFAs/Grants | | | 40,000.00 | 40,000.00 | 40,000.00 | 40,000.00 |
| PHA Wide | ComputerSystemSoftware&Training | | | 23,000.00 | 23,000.00 | 23,000.00 | 23,000.00 |
| PHA Wide | Mod.ConstructionSoftware/Computer/Printer | | | 12,000.00 | 12,000.00 | 12,000.00 | 12,000.00 |
| PHA Wide | Mod./Admin.Salaries&Benefits | | | 385,327.00 | 385,327.00 | 385,327.00 | 385,327.00 |
| PHA Wide | PurchasenewStovesandRefrigerators | | | 50,000.00 | 50,000.00 | 50,000.00 | 50,000.00 |
| PHA Wide | ArchitectandEngineeringFees&Costs | | | 105,104.00 | 105,104.00 | 105,104.00 | 105,104.00 |
| CFPFundsListedfor5-yearplanning | | | | \$3,853,275.00 | \$3,853,275.00 | \$3,853,275.00 | \$3,853,275.00 |
| ReplacementHousingFactorFunds | | | | | | | |

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| | | |
|------------|---|--|
| PHA | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: | Federal FY of Grant: 2001 |
|------------|---|--|

Original Annual Performance and

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|-----------------------|-----------------------|-------------------|---------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations | \$40,000.00 | \$40,000.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | 75,000.00 | 75,000.00 | 0.00 | 0.00 |
| 4 | 1410 Administration | 385,327.00 | 385,327.00 | 0.00 | 0.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 105,104.00 | 105,104.00 | 0.00 | 0.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 1,942,932.00 | 1,942,932.00 | 0.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 50,000.00 | 50,000.00 | 0.00 | 0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | 1,254,912.00 | 1,254,912.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2–20) | \$3,853,275.00 | \$3,853,275.00 | \$0.00 | \$0.00 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security—Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

| Annual Statement of Work Capital Fund Program and Part II: | | | | | | | | |
|--|--|-------------|-------------------------|--------------------|---------------------------|-----------------|---------------|--------------|
| PHAName: PH 10 1 | | | Grant Capit Repla | | FederalFYofGrant: 2001 | | | |
| DevelopmentNumber Name/HA-Wide Activities | GeneralDescriptionofMajorWorkCategories | Dev.AcctNo. | Quantity | TotalEstimatedCost | | TotalActualCost | | StatusofWork |
| | | | | Original | Revised | FundsObligated | FundsExpended | |
| CT3-1 NeltonCourt | Developnewunitsinconjunction withHOPEVIfunds | 1460 | | \$1,817,932.00 | \$1,817,932.00 | \$0.00 | \$0.00 | |
| CT3-2 DutchPoint | Developnewunitsinconjunction withHOPEVIfunds | 1498 | | 1,254,912.00 | 1,254,912.00 | 0.00 | 0.00 | |
| CT3-7 Kent | CarpetingforCommonAreas | 1460 | | 25,000.00 | 25,000.00 | 0.00 | 0.00 | |
| CT3-11 BettyKnox | CarpetingforCommonAreas | 1460 | | 100,000.00 | 100,000.00 | 0.00 | 0.00 | |
| PHAWide | OperationsNeeds | 1406 | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| PHAWide | ManagementImprovements | 1408 | | | | | | |
| PHAWide | TechnicalAssistanceforHUD NOFAsAndGrants | 1408 | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| PHAWide | ComputerSystem/Software&Training | 1408 | | 23,000.00 | 23,000.00 | 0.00 | 0.00 | |
| " | ModernizationConstructionSoftware andComputersandPrinters | 1408 | | 12,000.00 | 12,000.00 | 0.00 | 0.00 | |
| PHAWide | FundsforModernizationandAdmin. SalariesandBenefits | 1410 | | 385,327.00 | 385,327.00 | 385,327.00 | 0.00 | |
| PHAWide | Purchasenewstovesandrefrigerators | 1475 | | 50,000.00 | 50,000.00 | 0.00 | 0.00 | |
| PHAWide | Architect&EngineeringFees | 1430 | | 105,104.00 | 105,104.00 | 0.00 | 0.00 | |
| Totals | | | | \$3,853,275.00 | \$3,853,275.00 | \$385,327.00 | \$0.00 | |

**Annual
Statement/Performanceand
CapitalFundProgramand
CapitalFundProgram
PartIII:Implementation
Schedule**

| PHAName: HartfordHousing | | Grant Capital | | | | FederalFYofGrant: 2001 | |
|---|---|--------------------------|--------|---|---------|-------------------------------|------------------------------|
| DevelopmentNumber Name/HA-WideActivities | AllFundObligated (QuarterEndingDate) | | | AllFundsExpended (QuarterEndingDate) | | | ReasonsforRevisedTargetDates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| CT3-1NeltonCourt | 3/31/2003 | | | 9/30/2004 | | | |
| CT3-2DutchPointColony | 3/31/2003 | | | 9/30/2004 | | | |
| CT3-10AdamsStreet | 3/31/2003 | | | 9/30/2004 | | | |
| CT3-15ScatteredSitesI | 3/31/2003 | | | 9/30/2004 | | | |
| CT3-16ScatteredSitesII | 3/31/2003 | | | 9/30/2004 | | | |
| CT3-17ScatteredSitesIII | 3/31/2003 | | | 9/30/2004 | | | |
| PHAWIDE | 3/31/2003 | | | 9/30/2004 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

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PHA

GrantTypeandNumber

FederalFYofGrant:

2000

CapitalFundProgramGrantNo:

ReplacementHousingFactor

GrantNo:

Original
Annual
Performan
ceand

| LineNo. | SummarybyDevelopmentAccount | TotalEstimatedCost | | TotalActualCost | |
|---------|---|--------------------|----------------|-----------------|--------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Totalnon-CFPFunds | | | | |
| 2 | 1406Operations | \$269,090.00 | \$269,090.00 | \$234,986.60 | \$234,986.60 |
| 3 | 1408ManagementImprovements | 370,000.00 | 370,000.00 | 35,671.00 | 35,671.00 |
| 4 | 1410Administration | 469,000.00 | 469,000.00 | 469,000.00 | 146,792.37 |
| 5 | 1411Audit | 10,000.00 | 10,000.00 | 10,000.00 | 0.00 |
| 6 | 1415LiquidatedDamages | | | | |
| 7 | 1430FeesandCosts | 205,104.00 | 305,104.00 | 206,180.47 | 10,360.47 |
| 8 | 1440SiteAcquisition | | | | |
| 9 | 1450SiteImprovement | | | | |
| 10 | 1460DwellingStructures | 927,542.00 | 1,927,542.00 | 880,473.32 | 72,575.53 |
| 11 | 1465.1DwellingEquipment—Nonexpendable | | | | |
| 12 | 1470NondwellingStructures | | | | |
| 13 | 1475NondwellingEquipment | | | | |
| 14 | 1485Demolition | | | | |
| 15 | 1490ReplacementReserve | | | | |
| 16 | 1492MovingtoWorkDemonstration | | | | |
| 17 | 1495.1RelocationCosts | | | | |
| 18 | 1499DevelopmentActivities | 2,440,170.00 | 1,340,170.00 | 0.00 | 0.00 |
| 19 | 1501CollateralizationorDebtService | | | | |
| 20 | 1502Contingency | | | | |
| 21 | AmountofAnnualGrant:(sumoflines2–20) | \$4,690,906.00 | \$4,690,906.00 | \$1,836,311.39 | \$500,385.97 |
| 22 | Amountofline21RelatedtoLBPActivities | | | | |
| 23 | Amountofline21RelatedtoSection504compliance | | | | |
| 24 | Amountofline21RelatedtoSecurity–SoftCosts | | | | |
| 25 | AmountofLine21RelatedtoSecurity – HardCosts | | | | |
| 26 | Amountofline21RelatedtoEnergyConservationMeasures | | | | |

Annual
Statement/Performance
and
Evaluation
Report(For
thePeriod
EndingJune
30,2001)
(Drawdowns
1,2&3)

CapitalFund
Programand
PartII:

| PHAName: | | Grant | | | FederalFYofGrant: | | | |
|---|--|-------------|----------|-----------------------|-----------------------|---------------------|---------------------|--------------|
| | | Capit | | | 0000 | | | |
| | | Repla | | | | | | |
| DevelopmentNumber Name/HA-Wide Activities | GeneralDescriptionofMajorWorkCategories | Dev.AcctNo. | Quantity | TotalEstimatedCost | | TotalActualCost | | StatusofWork |
| | | | | Original | Revised | FundsObligated | FundsExpended | |
| CT3-5 | ModernizationFundstodevelopnewunits | 1499 | | \$2,440,170.00 | \$1,440,170.00 | \$0.00 | \$0.00 | |
| StoweVill. | | | | | | | | |
| CT3-10 | ReplaceKitchenCabinets | 1460 | | 10,510.00 | 10,510.00 | 0.00 | 0.00 | |
| AdamsStreet | | | | | | | | |
| CT3-15 | ReplaceKitchenCabinets | 1460 | | 464,780.00 | 464,780.00 | 0.00 | 0.00 | |
| ScatteredSiteI | | | | | | | | |
| CT3-16 | ReplaceKitchenCabinets | 1460 | | 302,470.00 | 302,470.00 | 0.00 | 0.00 | |
| ScatteredSiteII | | | | | | | | |
| CT3-17 | ReplaceKitchenCabinets | 1460 | | 149,782.00 | 149,782.00 | 0.00 | 0.00 | |
| ScatteredSiteIII | | | | | | | | |
| PHAWide | MasonryRepairs | 1460 | | 0.00 | 1,000,000.00 | 0.00 | 0.00 | |
| PHAWide | OperationsNeeds | 1406 | | 269,090.00 | 269,090.00 | 168,000.00 | 168,000.00 | |
| PHAWide | ManagementImprovements | | | | | | | |
| " | TechnicalassistanceforHUDNOFAs | | | | | | | |
| " | andGrants | 1408 | | 90,000.00 | 90,000.00 | 0.00 | 0.00 | |
| " | ComputerSystem/Software&Trng. | 1408 | | 170,000.00 | 170,000.00 | 1,350.00 | 1,350.00 | |
| " | Mod.ConstructionSoftware,Computers | | | | | | | |
| " | andPrinters | 1408 | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| " | AuthorityStaffTraining | 1408 | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| " | Lead-basedPaintInsurance | 1408 | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| PHAWide | AdministrativeSalariesandF.Benefits | 1410 | | 469,000.00 | 469,000.00 | 469,000.00 | 0.00 | |
| PHAWide | AuditServices | 1411 | | 10,000.00 | 10,000.00 | 10,000.00 | 0.00 | |
| PHAWide | ArchitectandEngineeringFees&Costs | 1430 | | 205,104.00 | 205,104.00 | 1,405.13 | 1,405.13 | |
| Totals | | | | \$4,690,906.00 | \$4,690,906.00 | \$649,755.13 | \$170,755.13 | |

**Annual
Capital
Fund
Program**

| | | |
|------------|---|--|
| PHA | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: | Federal FY of Grant: 2001 |
|------------|---|--|

**Original
Annual
Performance
and**

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|----------------|-------------------|----------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | 0.00 | 0.00 | 0.00 | 0.00 |
| 4 | 1410 Administration | 400,000.00 | 400,000.00 | 400,000.00 | 0.00 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | | |
| 7 | 1430 Fees and Costs | 280,000.00 | 280,000.00 | 0.00 | 0.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | 3,386,305.00 | 3,386,305.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2–20) | \$4,066,305.00 | \$4,066,305.00 | \$400,000.00 | \$0.00 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security—Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

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| | | |
|------------|---|--|
| PHA | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: | Federal FY of Grant: 2000 |
|------------|---|--|

Original Annual Performance and

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|-----------------------|-----------------------|---------------------|--------------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | 0.00 | 0.00 | 0.00 | 0.00 |
| 4 | 1410 Administration | 210,000.00 | 210,000.00 | 210,000.00 | 0.00 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 105,000.00 | 105,000.00 | 41,329.92 | 41,329.92 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | 2,737,630.00 | 2,737,630.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2–20) | \$3,052,630.00 | \$3,052,630.00 | \$251,329.92 | \$41,329.92 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security—Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report (For the Period Ending June 30, 2001)

Capital Fund Program and Part II:

| PHAName: | | Grant | | FederalFYofGrant: | | | | |
|---|---|-------------|----------|--------------------|----------------|-----------------|---------------|--------------|
| DevelopmentNumber Name/HA-Wide Activities | | Dev.AcctNo. | Quantity | TotalEstimatedCost | | TotalActualCost | | StatusofWork |
| | | | | Original | Revised | FundsObligated | FundsExpended | |
| CT3-5 | ModernizationFundstodevelopnewunits StoweVill. | 1499 | | \$2,737,630.00 | \$2,737,630.00 | \$0.00 | \$0.00 | |
| PHAWide | AdministrativeSalariesandF.Benefits | 1410 | | 210,000.00 | 210,000.00 | 210,000.00 | 0.00 | |
| PHAWide | ArchitectandEngineeringFees&Costs CrosskeyArchitects | 1430 | | 105,000.00 | 105,000.00 | 41,329.92 | 41,329.92 | |
| Totals | | | | \$3,052,630.00 | \$3,052,630.00 | \$251,329.92 | \$41,329.92 | |

HOUSING AUTHORITY OF THE CITY OF HARTFORD

Resolution NO. 99 -09

DECONCENTRATION POLICY/DECONCENTRATION ANALYSIS

WHEREAS, An Action Notice (Federal Register/Volume 64, No. 32/Thursday February 18, 1999/Notices) was published on February 18, 1999 by the Office of the Assistant Secretary for Public and Indian Housing, HUD, regarding the Quality Housing and Work Responsibility Act of 1998, and

WHEREAS, That notice instructs that Section 513 amends Section 16 of the USHA to establish, among other things, public housing deconcentration requirements; and

WHEREAS, That same notice provides Action Guidance for the Public Housing Program; and

WHEREAS, The Action Guidance states "through this notice and consistent with the immediate effective date of this section of the USHA the U.S. Department of Housing and Urban Development (HUD), is requiring Public Housing Authorities (PHAs) to begin implementing this public housing deconcentration policy; and

WHEREAS, Public Housing Authorities must immediately develop this policy and have passed by the PHA's Board of Commissioners by June 18, 1999 indicating that the necessary changes will be made in the PHA's admission policy; and

WHEREAS, The Board of Commissioners of the Housing Authority of the City of Hartford desire to be in compliance with said Action Guidance of HUD; NOW, THEREFORE, BE IT

RESOLVED, That the Housing Authority of the City of Hartford affirms the policy of deconcentration i.e., to deconcentration poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner -,

The Housing Authority of the City of Hartford will affirmatively market its housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments;

Prior to the beginning of each fiscal year, we will analyze the income level of families residing in each of our developments, the income level of census tracts in which our developments are located, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement; and

WHEREAS, The above cited Action Notice states: "the admissions policy to promote deconcentration of poverty will also be a part of the PHA (5 Year) plan process from its inception (P8200FR/Vol. 64, No. 32/Thursday February 18, 1999/Notices)"; NOW, BE IT FURTHER

RESOLVED, That the Executive Director be directed to take reasonable and necessary steps to implement this deconcentration policy in concept on a temporary basis; and to insure that a permanent deconcentration policy be included, as appropriate, in the Housing Authority of the City of Hartford Five Year Comprehensive Plan which is due to be submitted to HUD by October 15, 1999.

June 17, 1999

Date

SIGNED

Charles W. Groce Jr. Chairman

HOUSING AUTHORITY OF THE CITY OF HARTFORD

DECONCENTRATION ANALYSIS -2002

The Agency Plan process requires a deconcentration analysis. The purpose of the analysis is to determine whether the average income of a development falls within a band of 85% to 115% of the developments in the analysis. If the average income of a given development is higher than 115%, the Agency is required to put measures into effect to place lower income families in that development and vice versa.

There are three developments that are recurrently subject to inclusion in such an analysis: They are: Nelton Court, Dutch Point, and Mary Shepard Place. Because the New Community has been designated under 5(h) as a home ownership community, it is not part of this analysis.

It should be noted that HUD has published a proposed rule on August 15, 2001 that would, essentially, exempt Nelton, Dutch and Mary Shepard from this analysis going forward. Under the proposed rule, a development with an income level at or below 30 percent of area median income (defined as "extremely low income" in HUD's regulations) cannot be categorized as having average incomes "above" the EIR. In other words, if families in a development have an average income below 30% of the Area Median Income, even if it is higher than it's peers, it makes no sense to force that development to drive its average down by taking even poorer families. The final rule has not been published on this issue, but it has been a strong recommendation of industry groups who have consistently pointed out the unintended consequences of the existing rule.

Family of three in Hartford Area
 Extremely Low = 30% of AMI = up to \$17,500.
 Very Low = 50% of AMI = up to \$29,200
 Low = 80% of AMI = up to \$46,750

| Development Name | Income Avg June 2000 | Income Avg March 2001 | Change | 85% - 115%? |
|------------------|----------------------|-----------------------|--------|-------------|
| Nelton Court | \$9,152 | \$9,752 | +6% | 87% |
| Mary Shepard Pl | \$13,339 | \$13,107 | +2% | 119% |
| Dutch Point | \$10,540 | \$9,368 | +13% | 94% |

The only development of the three that falls outside the 15% band is Mary Shepard Place at 119%. It should also be noted that the average income for all three developments went up in the 10 month period June, 2000 through March 2001. See "change" column. HHA will report this information in the Agency Plan for 2002 and will await HUD's final rule on the matter.

New Community / \$20,288 / \$24,112 / +19% / Twice the avg of / the other three

CapitalFundProgram

PartII:Supporting

ActivitiesforYear: 4

FFYGrant:2005

PHAFY:12/31/2005

ActivitiesforYear: 5

FFYGrant:2006

PHAFY:12/31/2006

| DevelopmentName/Number | MajorWorkCategories | EstimatedCost | DevelopmentName/Number | MajorWorkCategories | EstimatedCost |
|---|--|--------------------|---|---|--------------------|
| CT 3-1 Nelton Court | Develop new units in conjunction with HOPE VI Funds | \$1,536,422 | CT 3-1 Nelton Court | Develop new units in conjunction with HOPE VI Funds | |
| CT 3-2 Dutch Point Colony | Develop new units in conjunction with HOPE VI Funds | \$1,536,422 | CT 3-2 Dutch Point Colony | Develop new units in conjunction with HOPE VI Funds | |
| CT 3-6 Mary Mahoney Vill. | | | CT 3-6 Mary Mahoney Vill. | Install roofs and gutters/rehab.bathrooms/int. doors | \$515,000 |
| CT 3-7 Kent Apartments | | | CT 3-7 Kent Apartments | intercom system/hallway lighting/int.doors | \$195,000 |
| CT 3-8 Smith Tower | | | CT3-8 Smith Tower | Rehab.bathrooms/stairwell lighting/interior doors | \$775,000 |
| CT 3-11 Betty Knox Apts | Install hallway railing | \$125,000 | CT 3-11 Betty Knox Apts | Rehab.bathrooms/hallway lighting/pressure wash ext. bldg | \$800,000 |
| CT 3-10,3-15,3-16,3-17 Adam Street, Scattered Sites I, II, III | | | CT 3-10,3-15,3-16,3-17 Adam Street, Scattered Sites I, II, III | Replace flooring/interior doors | \$512,000 |
| PHAWIDE | Operation Needs | \$40,000 | PHAWIDE | Operation Needs | \$140,000 |
| PHAWIDE | Tech. Assis. On HUD NOFAs/Grants | \$40,000 | PHAWIDE | Tech. Assis. On HUD NOFAs/Grants | \$40,000 |
| PHAWIDE | Computer Sys Software & Trng. | \$23,000 | PHAWIDE | Computer Sys Software & Trng. | \$123,400 |
| PHAWIDE | Mod. Const. Software, Computer and Printer | \$12,000 | PHAWIDE | Mod. Const. Software, Computer and Printer | \$32,500 |
| PHAWIDE | Administrative Salaries & Benefits | \$385,327 | PHAWIDE | Administrative Salaries & Benefits | \$385,327 |
| PHAWIDE | Purchase new vehicles for MOD. | \$50,000 | PHAWIDE | Purchase new Stoves & Refrigs. | \$100,000 |
| PHAWIDE | Arch. & Engineering Fees & Costs | \$105,104 | PHAWIDE | Arch. & Engineering Fees & Costs | \$235,048 |
| | | \$3,853,275 | | | \$3,853,275 |

| CapitalFundProgramFive- | | | | | |
|---|----------------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| PartI:Summary | | | | | |
| PHAName | | | | Original5-YearPlan | |
| DevelopmentNumber/Name/HA-Wide | Year1 | WorkStatementforYear2 | WorkStatementforYear3 | WorkStatementforYear4 | WorkStatementforYear5 |
| | | FFYGrant:2003 | FFYGrant:2004 | FFYGrant:2005 | FFYGrant:2006 |
| | | PHAFY:12/31/03 | PHAFY:12/31/04 | PHAFY:12/31/05 | PHAFY:12/31/06 |
| | See Annual Statement | | | | |
| CT3-1NelsonCourt | | \$1,536,422 | \$1,746,422 | \$1,536,422 | |
| CT3-2DutchPointColony | | \$1,231,422 | \$1,536,422 | \$1,536,422 | |
| CT3-6MarvMahoneyV. | | | | | \$515,000 |
| CT3-7KentApts | | \$125,000 | | | \$195,000 |
| CT3-8SmithTower | | | | | \$775,000 |
| CT3-11BettyKnoxApts | | \$205,000 | | \$125,000 | \$800,000 |
| CT3-10.3-15.3-16.3-17 AdamStreet.Scattered SitesI.II.III | | | | | \$512,000 |
| PHA Wide | | \$140,000 | \$40,000 | \$40,000 | \$140,000 |
| PHA Wide | | \$40,000 | \$40,000 | \$40,000 | \$40,000 |
| PHA Wide | | \$23,000 | | \$23,000 | \$123,400 |
| PHA Wide | | \$12,000 | | \$12,000 | \$32,500 |
| PHA Wide | | \$385,327 | \$385,327 | \$385,327 | \$385,327 |
| PHA Wide | | \$50,000 | | \$50,000 | \$100,000 |
| PHA Wide | | \$105,104 | \$105,104 | \$105,104 | \$235,048 |
| CFPFundsListedfor5-yearplanning | | \$3,853,275 | \$3,853,275 | \$3,853,275 | \$3,853,275 |
| ReplacementHousingFactorFunds | ESTIMATE | \$1,829,324 | \$1,829,324 | \$1,586,009 | \$642,048 |

**Annual
Statement/Performance
and
Evaluation
Report
Capital
Fund
Program
and
Part II:**

| PHA Name: | | Grant | | | Federal FY of Grant: | | | |
|--|---|--|---------------|----------|-----------------------|---------|-------------------|----------------|
| Development Number Name/HA-Wide Activities | | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | Status of Work |
| | | | | | Original | Revised | Funds Obligated | Funds Expended |
| CT3-1 Nelton Court | Develop new units in conjunction with HOPEVI funds | 1499 | | | \$1,536,422.00 | | | |
| CT3-2 Dutch Point | Develop new units in conjunction with HOPEVI funds | 1499 | | | 1,536,422.00 | | | |
| CT3-7 Kent | Carpeting for Common Areas | 1460 | | | 25,000.00 | | | |
| CT3-11 Betty Knox | Carpeting for Common Areas | 1460 | | | 100,000.00 | | | |
| PHA Wide | Operations Needs | 1406 | | | 40,000.00 | | | |
| PHA Wide | Management Improvements | 1408 | | | | | | |
| PHA Wide | Technical Assistance for HUD NOFAs and Grants | 1408 | | | 40,000.00 | | | |
| PHA Wide | Computer System/Software & Training | 1408 | | | 23,000.00 | | | |
| " | Modernization Construction Software and Computers and Printers | 1408 | | | 12,000.00 | | | |
| PHA Wide | Funds for Modernization and Admin. Salaries and Benefits | 1410 | | | 385,327.00 | | | |
| PHA Wide | Purchase new stoves and refrigerators | 1475 | | | 50,000.00 | | | |
| PHA Wide | Architect & Engineering Fees | 1430 | | | 105,104.00 | | | |
| Totals | | | | | \$3,853,275.00 | | | |

Ann - Cap ital

| | | |
|-----|---|-------------------------------------|
| PHA | Grant Type and Number Capital Fund Program Grant No: EV2002 Replacement Housing Factor Grant No: | Federal FY of Grant: 2002 |
|-----|---|-------------------------------------|

X
Original

| Performer | | | | | |
|-----------|---|-----------------------|---------|-------------------|----------|
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | \$40,000.00 | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 105104.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 125000.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 50000.00 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | 3072844.00 | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2–20) | \$3,853,275.00 | | | |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security—Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

LETTERFROMRESIDENTADVISORYBOARD
and
LISTINGOFFICERSANDMEMBERSOFR.A.B.(nextpage)



Hartford Tenants Rights Federation, Inc.
26-26A Nelton Court
Hartford, Connecticut 06120
246-7291

Lucinda S. Thomas
Executive Director

October 01, 2001

Mr. John D. Wardlaw
Executive Director
Hartford Housing Authority
475 Flatbush Avenue
Hartford, CT 06106

Dear Mr. Wardlaw:

RE: AGENCY PLAN 2001

The Hartford Tenants Rights Federation and its Federation members have reviewed the Agency Plan.

These reviews are conveyed at HTRF meetings as well as at open meetings for residents.

At these meetings the changes to the Agency Plan, as well as to the Continued Occupancy Plan and Section 8 Administrative Plan were reviewed and discussed.

We believe that the final draft, which is being presented to the Board of Commissioners for their approval includes all the required changes in a manner consistent with the needs and aspirations of the tenants of Public Housing in Hartford.

Should you have any questions regarding our role in this process, please do not hesitate to call.

Sincerely,



Mrs. Mary Gouch,
President/HTRF

To Improve Living Conditions Of All Residents In Public Housing
"An Equal Opportunity Employer"

SMITH TOWER

KENT APARTMENTS

NELTON COURT

BOWLES PARK

RICE HEIGHTS

WEST BROOK VILLAGE

STOWE VILLAGE

DUTCH POINT

BELLEVUE SQUARE

BETTY KNOX

CHARTER OAK TERRACE

MAHONEY VILLAGE

TENANTCOMMISSIONERS

Ms.MargaritaOrtiz,170NilanStreet,Hartford,CT06106

Ms.MollieShelton,SmithTowers,80CharterOakAvenue,Hartford,CT0

6106

OFFICERSANDREPRESENTATIVESTO HARTFORDTENANTSRIGHTSFEDERATION

| NAME | President | VicePresident | Secretary | Treasurer |
|---------------|-----------------|------------------|----------------|--------------------|
| HTRF | MaryGouch | GracePierce | LillianSmith | CleveBrown |
| DutchPoint | SylviaLedbetter | MaritzaPelletier | IrisLlera | LuzMarrero |
| MaryShepard | MaryGouch | GracePierce | LuisMarrero | SamuelTroxler |
| NeltonCourt | LillianSmith | AnnTimmons | LoriParks | ClementineVargas |
| NewCommunity | CarmenLozada | | | |
| MaryMahoney | WillieMaeGulley | GeneviveJohnson | ArthurHodges | MattieReynolds |
| KentApart | CleveBrown | LawrenceGobourne | MildredTennant | WilfredCorrodus |
| SmithTowers | WesleyStewart | | | JosephineKluzinski |
| BettyKnox | VanLong | SilasWoodward | EdnaLewis | |
| ScatteredSite | MattlynLittle | | RuthLebron | |

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Sandra Kee Borges the City Manager, City of Hartford certify
that the Five Year and Annual PHA Plan of the Housing Auth of the City of Hartford is
consistent with the Consolidated Plan of The City of Hartford prepared
pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

PHAPlans
5YearPlanforFiscalYears2000 -2004
AnnualPlanforFiscalYear2002

PROGRESS ON GOALS AND OBJECTIVES –REQUIRED STATEMENT

TheHartfordHousingAuthorityduringthecourseofthesecondyearofitsfiveyearplanhasmadesteady
progressonitsseveralgoals.

- HUDStrategicGoal **Increaseavailabilityofdecent,safe,andaffordablehousing**
Appliedforandreceived156additionalrentalvouchersin2000and223in2001.
- PHAGoal **Improvethethequalityoffassistedhousing**
DemolishedobsoletepublichousinginStoweVillage
TransferredpublichousingfundstocompleteStoweVillage
- PHAGoal **Increaseassistedhousingchoices**
Continuedtoprovidevoucher mobilitycounselingthroughROC
Continuedtoconductoutreacheffortstopotent ialvoucherlandlords –mailingto
landlordassociations
Continuedtoimplementpublichousinghomeownershipprograms –soldasofthis
dateatotalof19homesunderits5(h)programintheNewcommunity
- PHAGoal **Provideimprovedlivingenvironment**
TheNewCommunitysucceededinraisingincomelevelswithinpublichousing
households.SeedeconcentrationanalysisCT003a01.doc
Continuedtoimplementpublichousingsecurityimprovementsthroughredesign
Attractedsupportiveservices:CityHumanS ervicesDepartmentprovidedelderly
services
Continuedaffirmativemeasuresensureaccessiblehousingtopersonswithall
varietiesofdisabilitiesregardlessunitsizerequiredthroughexpanding
collaborationwithCityDepartmentofHumanServicesandHebrewHomeand
Hospital
- Other
PHAGoalI **Buildfamiliesintheirquestforeconomicself –sufficiency,self –respectand
homeownership**
Progressbeingmadeonall8sub -goals.SeeWebsitearticleschroniclingprogress:
<http://www.hartnet.org/hha>
- PHAGoalII **Rebildeachcommunitytoachievehighqualityoflifeexpectationsthrough
lowerdensitiesandmodernhousingqualitystandards**
Progressmadeincludesobtainingfundstocomplete demolitionofStoweVillage,
transferoffundstoStoweVillagetofinishthisHOPEVIproject –100new
homesnearingcompletion;andtheother5subgoals.

HARTFORD HOUSING AUTHORITY VOLUNTARY CONVERSION INITIAL ASSESSMENTS

Component 10(B) Voluntary Conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessments? **9**
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)" **4**
- c. How many Assessments were conducted for the PHA's covered developments? **9**
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: **NONE**

| Development Name | Number of Units |
|------------------|-----------------|
| | |
| | |
| | |
| | |
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| | |
| | |
| | |
| | |

- d. If the PHA has not completed the required initial Assessments, describe the status of these assessments: