

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2001- 2005
Annual Plan for Fiscal Year 2001

LaFollette Housing Authority
FY2001 Agency Plan – tn012v02
Version 2 Submitted to HUD – September 25, 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: The LaFollette Housing Authority

PHA Number: TN012 - Version 2 Submitted September 25, 2001

PHA Fiscal Year Beginning: (mm/yyyy) 10/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2001 - 2005
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The LaFollette Housing Authority is committed to providing quality, affordable housing that is decent and safe, to eligible families in this community.

We strive to make the best use of all available resources so that our residents may live in an environment that is clean well maintained and attractive.

Our goal is to manage our public housing units in a manner that is consistent with good, financially sound property management practices.

By taking advantage of available community and government resources, we intend to provide our residents with as many opportunities for economic self-sufficiency as we can identify.

We endeavor to instill pride and a desire for an enhanced quality of life for our residents and their families.

We are committed to serving our residents and this entire community in a manner that demonstrates professional courtesy, respect and caring.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)
 1. **Continue to implement Public Housing sub-jurisdictional waiting lists**
 2. **Conduct outreach efforts to potential realtors**

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration **(tn012a02)**
- FY 2001 Capital Fund Program Annual Statement **(tn012b02)**
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- Implementation Of Public Housing Resident Community Service Requirements **(tn012c02)**
- Pet Policy **(tn012d02)**
- Statement of Progress in Meeting 5-Year Mission and Goals **(tn012e02)**
- Resident Member on the PHA Governing Board **(Included in plan)**
- Membership of the Resident Advisory Board **(Included in plan)**
- Deconcentration **(Included in plan)**

Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan **(tn012f02)**
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
 - TN37-PO12-501-00 P & E Report, Dated 3/31/2001 **(tn012g02)**
 - TN37-RO12-501-01 **(tn012h02)**
 - TN37-PO12-708-99 P & E Report, Dated 3/31/2001 **(tn012i02)**

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy	Annual Plan: Operations

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type – Anderson County							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	1372	5	3	2	1	3	1
Income >30% but <=50% of AMI	757	5	3	2	1	3	1
Income >50% but <80% of AMI	435	5	3	2	1	3	1
Elderly	550	5	3	2	1	3	1
Families with Disabilities	4	5	4	1	5	1	1
Race/Ethnicity White	1864	5	3	2	1	3	1
Race/Ethnicity Black	395	5	3	2	1	3	1
Race/Ethnicity Hispanic	305	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Campbell County							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion

Housing Needs of Families in the Jurisdiction by Family Type – Campbell County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	751	5	3	2	1	3	1
Income >30% but <=50% of AMI	310	5	3	2	1	3	1
Income >50% but <80% of AMI	206	5	3	2	1	3	1
Elderly	212	5	3	2	1	3	1
Families with Disabilities	11	5	4	1	5	1	1
Race/Ethnicity White	685	5	3	2	1	3	1
Race/Ethnicity Black	379	5	3	2	1	3	1
Race/Ethnicity Hispanic	233	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Claiborne County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	466	5	3	2	1	3	1
Income >30% but <=50% of AMI	145	5	3	2	1	3	1
Income >50% but <80% of AMI	107	5	3	2	1	3	1
Elderly	164	5	3	2	1	3	1
Families with Disabilities	3	5	4	1	5	1	1
Race/Ethnicity White	512	5	3	2	1	3	1
Race/Ethnicity Black	370	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Fentress County							
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Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	180	5	3	2	1	3	1
Income >30% but <=50% of AMI	105	5	3	2	1	3	1
Income >50% but <80% of AMI	26	5	3	2	1	3	1
Elderly	65	5	3	2	1	3	1
Families with Disabilities	4	5	4	1	5	1	1
Race/Ethnicity White	311	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Morgan County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	221	5	3	2	1	3	1
Income >30% but <=50% of AMI	81	5	3	2	1	3	1
Income >50% but <80% of AMI	91	5	3	2	1	3	1
Elderly	96	5	3	2	1	3	1
Families with Disabilities	7	5	4	1	5	1	1
Race/Ethnicity White	295	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	98	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Scott County							
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Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	412	5	3	2	1	3	1
Income >30% but <=50% of AMI	150	5	3	2	1	3	1
Income >50% but <80% of AMI	76	5	3	2	1	3	1
Elderly	120	5	3	2	1	3	1
Families with Disabilities	13	5	4	1	5	1	1
Race/Ethnicity White	758	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

Housing Needs of Families in the Jurisdiction by Family Type – Union County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	221	5	3	2	1	3	1
Income >30% but <=50% of AMI	114	5	3	2	1	3	1
Income >50% but <80% of AMI	30	5	3	2	1	3	1
Elderly	57	5	3	2	1	3	1
Families with Disabilities	6						
Race/Ethnicity White	422	5	3	2	1	3	1
Race/Ethnicity Black	0	5	3	2	1	3	1
Race/Ethnicity Hispanic	0	5	3	2	1	3	1
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2000
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Caryville			
	# of families	% of total families	Annual Turnover
Waiting list total	11	73	36
Extremely low income <=30% AMI	8	27	
Very low income (>30% but <=50% AMI)	3	0	
Low income (>50% but <80% AMI)	0	36	
Families with children	4	18	
Elderly families	2		

Housing Needs of Families on the Waiting List			
Families with Disabilities	3	27	
Race/ethnicity (W)	11	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	7	64	13
2 BR	3	27	15
3 BR	1	9	8
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Helenwood			
	# of families	% of total families	Annual Turnover
Waiting list total	7		31
Extremely low income <=30% AMI	5	71	
Very low income (>30% but <=50% AMI)	2	29	
Low income (>50% but <80%	0	0	

Housing Needs of Families on the Waiting List			
AMI)			
Families with children	7	100	
Elderly families	0	0	
Families with Disabilities	1	20	
Race/ethnicity (W)	7	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	0	0	0
2 BR	6	86	24
3 BR	1	14	7
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List	
Waiting list type: (select one)	
<input type="checkbox"/>	Section 8 tenant-based assistance
<input type="checkbox"/>	Public Housing
<input type="checkbox"/>	Combined Section 8 and Public Housing
<input checked="" type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)
If used, identify which development/subjurisdiction: Huntsville	

Housing Needs of Families on the Waiting List			
	# of families	% of total families	Annual Turnover
Waiting list total	12		42
Extremely low income <=30% AMI	8	67	
Very low income (>30% but <=50% AMI)	4	33	
Low income (>50% but <80% AMI)	0	0	
Families with children	8	67	
Elderly families	1	8	
Families with Disabilities	4	33	
Race/ethnicity (W)	12	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	4	33	15
2 BR	7	58	18
3 BR	1	9	8
4 BR	0	0	1
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Jacksboro**

	# of families	% of total families	Annual Turnover
Waiting list total	10		46
Extremely low income <=30% AMI	6	60	
Very low income (>30% but <=50% AMI)	4	40	
Low income (>50% but <80% AMI)	0	0	
Families with children	1	10	
Elderly families	4	40	
Families with Disabilities	2	20	
Race/ethnicity (W)	10	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	9	90	15
2 BR	0	0	23
3 BR	1	10	8
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Jamestown			
	# of families	% of total families	Annual Turnover
Waiting list total	13	92	34
Extremely low income <=30% AMI	12	92	
Very low income (>30% but <=50% AMI)	1	8	
Low income (>50% but <80% AMI)	0	0	
Families with children	5	38	
Elderly families	2	15	
Families with Disabilities	4	31	
Race/ethnicity (W)	13	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	7	54	17
2 BR	4	31	14

Housing Needs of Families on the Waiting List			
3 BR	2	15	3
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: LaFollette			
	# of families	% of total families	Annual Turnover
Waiting list total	30		179
Extremely low income <=30% AMI	21	70	
Very low income (>30% but <=50% AMI)	7	23	
Low income (>50% but <80% AMI)	2	7	
Families with children	6	20	
Elderly families	2	7	
Families with Disabilities	6	20	
Race/ethnicity (W)	30	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			

Housing Needs of Families on the Waiting List			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	24	80	103
2 BR	4	13	58
3 BR	2	7	16
4 BR	0	0	2
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Lake City			
	# of families	% of total families	Annual Turnover
Waiting list total	18		60
Extremely low income <=30% AMI	18	100	

Housing Needs of Families on the Waiting List			
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0	0	
Families with children	5	28	
Elderly families	1	5	
Families with Disabilities	4	22	
Race/ethnicity (W)	18	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	12	67	27
2 BR	5	28	27
3 BR	1	5	6
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List	
Waiting list type: (select one)	
<input type="checkbox"/>	Section 8 tenant-based assistance
<input type="checkbox"/>	Public Housing
<input type="checkbox"/>	Combined Section 8 and Public Housing
<input checked="" type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)
If used, identify which development/subjurisdiction: Luttrell	

Housing Needs of Families on the Waiting List			
	# of families	% of total families	Annual Turnover
Waiting list total	23		45
Extremely low income <=30% AMI	17	74	
Very low income (>30% but <=50% AMI)	4	17	
Low income (>50% but <80% AMI)	2	9	
Families with children	19	83	
Elderly families	0	0	
Families with Disabilities	6	26	
Race/ethnicity (W)	23	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	0	0	0
2 BR	17	74	29
3 BR	6	26	14
4 BR	0	0	2
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List
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Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction: **Oneida**

	# of families	% of total families	Annual Turnover
Waiting list total	27		104
Extremely low income <=30% AMI	18	67	
Very low income (>30% but <=50% AMI)	7	26	
Low income (>50% but <80% AMI)	2	7	
Families with children	15	56	
Elderly families	2	7	
Families with Disabilities	8	30	
Race/ethnicity (W)	27	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	8	30	45
2 BR	18	67	43
3 BR	1	3	16
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Tazewell/New Tazewell			
	# of families	% of total families	Annual Turnover
Waiting list total	18	89	96
Extremely low income <=30% AMI	16	89	
Very low income (>30% but <=50% AMI)	2	11	
Low income (>50% but <80% AMI)	0	0	
Families with children	8	44	
Elderly families	0	0	
Families with Disabilities	3	17	
Race/ethnicity (W)	16	89	
Race/ethnicity (B)	2	11	
Race/ethnicity (H)	0	0	
Race/ethnicity			

Housing Needs of Families on the Waiting List			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	8	44	44
2 BR	7	39	39
3 BR	2	11	12
4 BR	1	6	1
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Wartburg			
	# of families	% of total families	Annual Turnover
Waiting list total	13		39
Extremely low income <=30% AMI	10	77	
Very low income (>30% but <=50%)	3	23	

Housing Needs of Families on the Waiting List			
AMI)			
Low income (>50% but <80% AMI)	0	0	
Families with children	4	31	
Elderly families	1	8	
Families with Disabilities	7	54	
Race/ethnicity (W)	13	100	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	9	69	16
2 BR	3	23	18
3 BR	1	8	5
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List
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Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 - Public Housing
 - Combined Section 8 and Public Housing
 - Public Housing Site-Based or sub-jurisdictional waiting list (optional)
- If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	47		256
Extremely low income <=30% AMI	40	85	
Very low income (>30% but <=50% AMI)	7	15	
Low income (>50% but <80% AMI)	0	0	
Families with children	35	74	
Elderly families	4	9	
Families with Disabilities	11	23	
Race/ethnicity (W)	46	99	
Race/ethnicity (B)	0	0	
Race/ethnicity (H)	0	0	
Race/ethnicity(Asian)	1	1	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Completed modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing

- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.79 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	1,869,355	
b) Public Housing Capital Fund	1,922,962	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	1,455,615	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)	0	
2. Prior Year Federal Grants (unobligated funds only) (list below)		

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
3. Public Housing Dwelling Rental Income	2,177,950	Operations
4. Other income (list below)	167,220	Operations
Interest, sales & services, util., laundry fees, misc.		
4. Non-federal sources (list below)		
Total resources	7,593,102	Capital Improvements, Operations

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)

Other: (describe) **At time of application**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

Criminal or Drug-related activity

Rental history

Housekeeping

Other (describe) **Credit History**

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

Community-wide list

Sub-jurisdictional lists

Site-based waiting lists

Other (describe)

b. Where may interested persons apply for admission to public housing?

PHA main administrative office

PHA development site management office

Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously

If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?

(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing N/A – See Attachment

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the

need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors below)
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
 - Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
 - Federal public housing
 - Federal moderate rehabilitation
 - Federal project-based certificate program
 - Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Maximum 120 days, if necessary, to locate unit.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability

- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

1. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

When adjusted incomes are higher than ceiling rents

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)
(select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
 At family option
 Any time the family experiences an income increase
 Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
 Other (list below)

1. **Anytime there is a change in family composition that affects family income**
2. **Anytime there is a new source of income by any household member**

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
 Survey of rents listed in local newspaper
 Survey of similar unassisted units in the neighborhood
 Other (list/describe below)

Ceiling rents already in place

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
 A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal		

Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-

based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) tn012b02

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) 012f02

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity:

b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan

<input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:
7. Coverage of action (select one)
<input type="checkbox"/> Part of the development
<input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status)

- Conversion Plan in development
- Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- Conversion Plan approved by HUD on: (DD/MM/YYYY)
- Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- Units addressed in a pending or approved demolition application (date submitted or approved:)
- Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)
- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for

each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program

- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename:

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting

- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 - Attached at Attachment (File name)
 - Provided below:

Below is a list of comments and how they have been addressed (**in bold**):

Tazewell/ New Tazewell

- Existing playstructure needs attention - New Tazewell(**maintenance will be notified**).
- Request for grills and picnic tables next to playground (**grills not feasible – safety risk, but picnic tables will be looked into**).
- The following suggestions were made and **will be passed on to the VISTA worker**– Dare Program, Youth activities, Meals-On-Wheels, visit the elderly, disabled, afterschool mentoring, more community functions, Bingo, sports to keep children occupied.

Lake City

- Existing playstructure needs attention - Golda Lane (**maintenance will be notified**).
- Chair caddy requested for the community room (**LHA will purchase and deliver to Lake City**).

3. In what manner did the PHA address those comments? (select all that apply)
 - Considered comments, but determined that no changes to the PHA Plan were necessary.
 - The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

Considered comments and will implement as funding allows.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **State of Tennessee**
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Refer to the Executive Summary of the Consolidated Plan for the State of Tennessee

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definition of “Substantial Deviation” and “Significant Amendment or Modification”

The following are considered to be significant amendments or modifications:

- 1) **Changes to rent or admissions policies or organization of the waiting list**
- 2) **Additions of non-emergency work items (items not included in the current Annual Statement or 5-year Action plan) or change in use of replacement reserve funds under the Capital Fund**
- 3) **Additions of new activities not included in the current PHDEP Plan (if applicable)**
- 4) **Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities**

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

Resident Service and Satisfaction Survey Results

There were no areas below 75% requiring follow-up. The LaFollette Housing Authority will continue to do everything possible to keep residents informed and involved.



Required Attachment : Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Robert B. Bowers**

B. How was the resident board member selected: (select one)?

- Elected
 Appointed

C. The term of appointment is (include the date term expires): Five years - 5/9/2005

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

B. Date of next term expiration of a governing board member: Tazewell (appointed by Mayor Mark A. Beeler - 1/9/2002); Helenwood (appointed by County Executive Dwight Murphy - 1/9/2002)

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

**Required Attachment: Membership of the Resident Advisory Board
or Boards**

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Rose M. Bailey
Hazel M. Welch
Vanessa Jones
Homer Ellis
Charlotte Hilton
Betty Grandstaff
Jessie Hall

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
TN012-001	62	82% - oldest development in inventory – extensive renovations pending	See attachment tn012a01
TN012-004	50	139% - very stable neighborhood, many long-time residents	See attachment tn012a01
TN012-012	50	124% - very stable neighborhood, many long-time residents	See attachment tn012a01
TN012-016	30	79%	See attachment tn012a01
TN012-024	8	70%	See attachment tn012a01
TN012-025	72	64% - extensive renovations pending, currently installing central heat & air to make more desirable	See attachment tn012a01

Table Library

THE LAFOLLETTE HOUSING AUTHORITY DECONCENTRATION POLICY

The LaFollette Housing Authority's policy to provide for deconcentration of poverty will consist of the following:

- A. Targeting: The income levels of families on the waiting list will be analyzed so that not less than 40% of admissions in any fiscal year will be families whose income does not exceed 30% of median income for the area.
- B. Income Mixing: Prior to the beginning of each fiscal year the LHA will analyze the income levels of families residing in each development to bring higher income families into lower income developments and lower income families into higher income developments.

The LHA will strive to insure that no individual development has a concentration of higher or lower income families. The LHA may skip families on the waiting list to reach other families with a lower or higher income. This will be accomplished in a uniform and non-discriminatory manner.

The LHA will affirmatively market public housing to all eligible income groups. If necessary, the LHA will determine the level of additional marketing strategies and deconcentration incentives to implement the objective of this policy.

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: LaFollette Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-01 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	1,000			
3	1408 Management Improvements	157,241			
4	1410 Administration	66,800			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	86,800			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	10,000			
10	1460 Dwelling Structures	1,493,400			
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Nondwelling Structures	6,000			
13	1475 Nondwelling Equipment	25,000			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	0			
18	1499 Development Activities	0			
19	1501 Collateralization or Debt Service	0			
20	1502 Contingency	76,721			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,922,962			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs	92,000			
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001 Alexander Hgts.	Playground equipment (FA)	1450	1	10,000				
	SUB-TOTAL			10,000				
2. TN12-002 Alexander Hgts. Addition	A/E Fees HVAC	1430 1460	 6 units	1,800 30,000				
	SUB-TOTAL			31,800				
3. TN12-003 Wortham Park	A/E Fees HVAC	1430 1460	 30 units	9,000 150,000				
	SUB-TOTAL			159,000				
4. TN12-005 South Village	Re-Roofing (FA)	1460	34 bldgs	175,000				
	SUB-TOTAL			175,000				
5. TN12-006 North Village	MM&C Bldg. (FA) Windows Roof/gutters	 1470 1470	 1 bldg 1 bldg.	 3,000 3,000				
	SUB-TOTAL			6,000				
6. TN12-012	A/E Fees	1430		15,000				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-01 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
LaFollette HA-Oneida	HVAC	1460	50 units	250,000				
	SUB-TOTAL			265,000				
7. TN12-013 Huntsville Hsg. Project	A/E Fees HVAC	1430 1460		6,000 100,000				
	SUB-TOTAL			106,000				
8. TN12-015 Alexander Hgts. Addition	A/E Fees HVAC	1430 1460		15,000 250,000				
	SUB-TOTAL			265,000				
9. TN12-033 New Tazewell	A/E Fees HVAC Re-Roofing (FA)	1430 1460 1460		18,000 300,000 238,400				
	SUB-TOTAL			556,400				
10. PHA-Wide Management Improvements	a. Operations	1406		1,000				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	SUB-TOTAL			1,000				
	b. Provide Extra Security	1408	12 locations	92,000				
	TN12-001			21,650				
	TN12-003			15,000				
	TN12-005			6,800				
	TN12-006			13,500				
	TN12-008			10,500				
	TN12-009			5,200				
	TN12-013			4,200				
	TN12-019			2,550				
	TN12-020			1,800				
	TN12-023			4,200				
	TN12-037			2,500				
	TN12-038			4,100				
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000				
	d. PHA Staff/Commissioner training	1408		15,241				
	SUB-TOTAL			157,241				
11. PHA-Wide Administrative Costs	a. Advertising	1410		3,000				
	b. Admin/CFP Clerk	1410		63,800				
	SUB-TOTAL			66,800				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-01 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
12. PHA-Wide Fees and Costs	a. Agency Plan	1430		2,000				
	b. Environmental Review	1430		5,000				
	c. 504 Needs Analysis	1430		15,000				
	SUB-TOTAL			22,000				
13. PHA-Wide Non-Dwelling Equipment	Office Furniture/Equipment/Computers	1475		25,000				
	SUB-TOTAL			25,000				
14. PHA-Wide	Contingency	1502		76,721				
	SUB-TOTAL			76,721				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program No: TN37-PO12-501-01 Replacement Housing Factor No:			Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN12-001 Alexander Hgts.	03/31/2003			09/30/2004			
TN12-002 Alexander Hgts. Add.	03/31/2003			09/30/2004			
TN12-003 Wortham Park	03/31/2003			09/30/2004			
TN12-005 South Village	03/31/2003			09/30/2004			
TN12-006 North Village	03/31/2003			09/30/2004			
TN12-012 LaFollette HA-Oneida	03/31/2003			09/30/2004			
TN12-013 Huntsville Hsg. Project	03/31/2003			09/30/2004			
TN12-015 Alexander Hgts. Add	03/31/2003			09/30/2004			
TN12-033 New Tazewell	03/31/2003			09/30/2004			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority	Grant Type and Number Capital Fund Program No: TN37-PO12-501-01 Replacement Housing Factor No:	Federal FY of Grant: 2001
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide Management Improvements							
a.	03/31/2003			09/30/2004			
b.	03/31/2003			09/30/2004			
c.	03/31/2003			09/30/2004			
d.	03/31/2003			09/30/2004			
PHA-Wide Administrative Costs							
a.	03/31/2003			09/30/2004			
b.	03/31/2003			09/30/2004			
PHA-Wide Fees and Costs							
a.	03/31/2003			09/30/2004			
b.	03/31/2003			09/30/2004			
c.	03/31/2003			09/30/2004			
PHA-Wide Non- Dwelling Equipment	03/31/2003			09/30/2004			
PHA-Wide Contingency	03/31/2003			09/30/2004			

IMPLEMENTATION OF PUBLIC HOUSING RESIDENT COMMUNITY SERVICE REQUIREMENTS

LAFOLLETTE HOUSING AUTHORITY

The LaFollette Housing Authority (LHA) has established guidelines for implementing the Community Service Requirement. Below is an abbreviated list. A complete Community Service Policy is available upon request, at the Main Office of the LaFollette Housing Authority.

1. Notification

Resident's file is checked at Re-Certification to see if they are exempt from completing this requirement. If the resident is not exempt, they are given a list of Community Service options.

2. Community Service Options

The list of Community Service options is given as a suggestion. The residents are allowed to do anything that meets the requirement.

3. Community Service Reporting

The LHA gives resident a list of Community Service Options that meet the requirement. Resident is responsible for selecting an activity and fulfilling their eight (8) hour per month requirement. Resident is given a form to be filled out monthly and turned back in to the LHA.

4. Failure to Comply

If Resident fails to comply, they are given an opportunity to enter into an agreement prior to the anniversary date of the lease. If no attempt has been made to fulfill this requirement, the Resident is in violation of their lease and the lease will not be eligible for renewal.

PET POLICY

LAFOLLETTE HOUSING AUTHORITY

The LaFollette Housing Authority (LHA) has established guidelines for implementing a Pet Policy. Below is an abbreviated list. A complete Pet Policy is available upon request, at the Main Office of the LaFollette Housing Authority.

1. Written request for pet by Head of Household required.
2. Written approval by LHA prior to housing pet.
3. Resident required to sign Pet Lease
4. Definition of household/companion animal established
5. Pets must be controlled by leash, pet carrier or cage at all times. They are not permitted to be outside unattended.
6. One dog or one cat or other allowable pet.
7. Size of dogs –20 lbs. (max.) when fully mature. Animals that assist, support or provide service to person with disabilities are exempt from this requirement.
8. No animals of aggressive disposition permitted.
9. Animals must be spayed or neutered.
10. Residents responsible for animal's proper care.
11. Residents to clean up after pet.
12. No alterations to be made to dwelling unit – inside or outside
13. Registration of pets required.
14. Responsible for supplying names of two adult caretakers, in case of emergency
15. Pet deposit of \$50.00.

**STATEMENT OF PROGRESS IN MEETING
5-YEAR PLAN MISSION AND GOALS**

LAFOLLETTE HOUSING AUTHORITY

The LaFollette Housing Authority's goal is to provide drug free, decent, safe and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.

The LaFollette Housing Authority mission statement is as follows:

- The LaFollette Housing Authority is committed to providing quality, affordable housing that is decent and safe, to eligible families in this community.
 - We strive to make the best use of all available resources so that our residents may live in an environment that is clean, well-maintained and attractive.
 - Our goal is to manage our public housing units in a manner that is consistent with good, financially sound property management practices.
 - By taking advantage of available community and government resources, we intend to provide our residents with as many opportunities for economic self-sufficiency as we can identify.
 - We endeavor to instill pride and a desire for an enhanced quality of life for our residents and their families.
 - We are committed to serving our residents and this entire community in a manner that demonstrates professional courtesy, respect and caring.
1. The LHA continues to improve the quality of assisted housing in our jurisdiction by renovating our public housing units. The main emphasis for FY2001 funding is the installation of central heat and air conditioning in as many developments as funding allows.
 2. The LHA continues to implement public housing security improvements. The LHA also has a good working relationship with local law enforcement.
 3. The LHA continues to undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability. The LHA staff has continuing education and training in civil rights, drug elimination activities and equal housing opportunities.
 4. The LHA continues to be a high-performer. It is the intention of the LHA to maintain high quality management standards thus providing a better quality of living for all residents.

**Capital Fund Program Five-Year Action Plan
Part I: Summary**

PHA Name LaFollette Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: TN37-PO12-501-02 PHA FY: 2002	Work Statement for Year 3 FFY Grant: TN37-PO12-501-03 PHA FY: 2003	Work Statement for Year 4 FFY Grant: TN37-PO12-501-04 PHA FY: 2004	Work Statement for Year 5 FFY Grant: TN37-PO12-501-05 PHA FY: 2005
	Annual Statement				
TN12-001		729,174	418,500	183,185	180,750
TN12-002				21,000	35,990
TN12-003		40,000		126,000	233,310
TN12-004				238,000	174,500
TN12-005					147,590
TN12-006				224,000	93,370
TN12-007					215,650
TN12-008		168,000			55,420
TN12-009		168,000			50,780
TN12-010			206,400		79,600
TN12-012			198,400		35,250
TN12-013			118,400		62,350
TN12-014			206,400		76,860

TN12-015				91,000	44,500
TN12-016		108,500			49,000
TN12-017		264,800			194,000
TN12-018				84,000	63,180
TN12-019					69,000
TN12-020		56,000			52,600
TN12-021				91,000	91,560
TN12-022			314,580		172,150
TN12-023				168,000	134,250
TN12-024				56,000	16,800
TN12-025		42,400		91,000	263,250
TN12-033					158,000
TN12-037				70,000	137,000
TN12-038				140,000	81,500
TN12-041					
PHA-Wide Management Costs		153,000	153,000	153,000	153,000
PHA-Wide Administrative Costs		66,800	66,800	66,800	66,800
PHA-Wide Fees and Costs		8,000	8,000	8,000	8,000

PHA-Wide Non-Dwelling Equipment		19,767	41,081	19,767	19,767
PHA-Wide Signage			30,000		
CFP Funds Listed for 5-year planning		1,922,962	1,962,962	1,922,962	1,922,962
Replacement Housing Factor Funds					

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-001	A/E Fees	41,274	TN12-001	Windows (62 units) FA	108,500
Annual	Alexander Hgts.	HVAC (62 Units)	310,000	Alexander Hgts.	Kitchens (62 units) FA	186,000
Statement		Re-Roofing (13 bldgs.) FA	377,900		Bathrooms (62 units) FA	124,000
		SUB-TOTAL	729,174		SUB-TOTAL	418,500
	TN12-002	No work this year		TN12-002	No work this year	
	Alexander Hgts.			Alexander Hgts.		
	Addition			Addition		
	TN12-003	Sewer line replacement – new laterals FA	40,000	TN12-003	No work this year	
	Wortham Park			Wortham Park		
		SUB-TOTAL	40,000			
	TN12-004	No work this year		TN12-004	No work this year	
	Alexander Circle			Alexander Circle		
		Total CFP Estimated Cost	\$			\$

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u> 3 </u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-005	No work this year		TN12-005	No work this year	
Annual	South Village			South Village		
Statement						
	TN12-006	No work this year		TN12-006	No work this year	
	North Village			North Village		
	TN12-007	No work this year		TN12-007	No work this year	
	Wortham Park			Wortham Park		
	TN12-008	Re-Roofing (24 bldgs.) FA	168,000	TN12-008	No work this year	
	New Tazewell			New Tazewell		
		SUB-TOTAL	168,000			
		Total CFP Estimated Cost	\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-013	No work this year		TN12-013	Re-Roofing (11 bldgs.) FA	118,400
Annual	Huntsville Housing			Huntsville Housing		
Statement					SUB-TOTAL	118,400
	TN12-014	No work this year		TN12-014	Re-Roofing (21 bldgs.) FA	206,400
	Cumberland Hgts.			Cumberland Hgts.		
					SUB-TOTAL	206,400
	TN12-015	No work this year		TN12-015	No work this year	
	Alexander Hgts. Add.			Alexander Hgts. Add.		
	TN12-016	Re-Roofing (12 bldgs.) FA	108,500	TN12-016	No work this year	
	New Tazewell			New Tazewell		
		SUB-TOTAL	108,500			
		Total CFP Estimated Cost	\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-017	A/E Fees	2,400	TN12-017	No work this year	
Annual	Wortham Park II	Parking	40,000	Wortham Park II		
Statement		Re-Roofing (23 bldgs.) FA	222,400			
		SUB-TOTAL	264,800			
	TN12-018	No work this year		TN12-018	No work this year	
	Jamestown Hsg.			Jamestown Hsg.		
	TN12-019	No work this year		TN12-019	No work this year	
	Caryville Hsg.			Caryville Hsg.		
	TN12-020	Re-Roofing (8 bldgs.) FA	56,000	TN12-020	No work this year	
	Jacksboro Housing			Jacksboro Housing		
		SUB-TOTAL	56,000			
	Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	TN12-025	A/E Fees	2,400	TN12-025	No work this year	
Annual	Pleasant Ridge	Landscaping, fencing	40,000	Pleasant Ridge		
Statement						
		SUB-TOTAL	42,400			
	TN12-033	No work this year		TN12-033	No work this year	
	New Tazewell			New Tazewell		
	TN12-037	No work this year		TN12-037	No work this year	
	Shady Groves Estates			Shady Groves Estates		
	TN12-038	No work this year		TN12-038	No work this year	
	Luttrell			Luttrell		
	TN12-041	No work this year		TN12-041	No work this year	
	Russell Towers			Russell Towers		
		Total CFP Estimated Cost	\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual						
	PHA-Wide Management Improvements	a. Operations	1,000	PHA-Wide Management Improvements	a. Operations	1,000
		b. Provide Extra Security	92,000		b. Provide Extra Security	92,000
		TN12-001 21,650			TN12-001 21,650	
		TN12-003 15,000			TN12-003 15,000	
		TN12-005 6,800			TN12-005 6,800	
		TN12-006 13,500			TN12-006 13,500	
		TN12-008 10,500			TN12-008 10,500	
		TN12-009 5,200			TN12-009 5,200	
		TN12-013 4,200			TN12-013 4,200	
		TN12-019 2,550			TN12-019 2,550	
		TN12-020 1,800			TN12-020 1,800	
		TN12-023 4,200			TN12-023 4,200	
		TN12-037 2,500			TN12-037 2,500	
		TN12-038 4,100			TN12-038 4,100	
	Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-02 PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-03 PHA FY: 2003		
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	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	PHA-Wide Management Improvements	c. Provide VISTA workers for resident programs	50,000	PHA-Wide Management Improvements	c. Provide VISTA workers for resident programs	50,000
Annual		d. PHA Staff-Commissioner training	10,000		d. PHA Staff-Commissioner training	10,000
		SUB-TOTAL	153,000		SUB-TOTAL	153,000
	PHA-Wide Administrative Costs	a. Advertising	3,000	PHA-Wide Administrative Costs	a. Advertising	3,000
		b. Admin/CFP Clerk	63,800		b. Admin/CFP Clerk	63,800
		SUB-TOTAL	66,800		SUB-TOTAL	66,800
	PHA-Wide Fees /Costs	a. Agency Plan	3,000	PHA-Wide Fees /Costs	a. Agency Plan	3,000
		b. Environmental Review	5,000		b. Environmental Review	5,000
		SUB-TOTAL	8,000		SUB-TOTAL	8,000
	PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	19,767	PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	48,645
					SUB-TOTAL	48,645
				PHA-Wide	Signage – 28 Developments	30,000
		SUB-TOTAL	19,767		SUB-TOTAL	30,000
	Contingency		98,521	Contingency		153,837
	Total CFP Estimated Cost		\$1,922,962			\$1,922,962

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : 4
 FFY Grant: TN37-PO12-501-04
 PHA FY: 2004

Activities for Year: 5
 FFY Grant: TN37-PO12-501-05
 PHA FY: 2005

Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-001	Water heaters – 62 units FA	18,600	TN12-001	A/E Fees	2,000
Alexander Hgts.	Flooring – 62 units FA	93,000	Alexander Hgts.	Pedestal Mailbox FA	3,750
	Appliances – 62 units FA	43,400		Floor Tile FA	62,000
	Relocation Assistance FA	28,185		Closet doors FA	46,500
				Pressure reducing valves FA	9,300
				Porches/sidewalks FA	20,000
				Guttering	31,000
				Smoke detectors FA	6,200
	SUB-TOTAL	183,185		SUB-TOTAL	180,750
TN12-002	Re-roofing (3 bldgs.) FA	21,000	TN12-002	A/E Fees	840
Alexander Hgts.			Alexander Hgts.	Pedestal Mailbox	3,750
Addition			Addition	Garbage Bin Replacement	300
				Replace exterior doors/screen doors	4,500
				Window replacement	12,000
				Guttering	3,000
				Smoke detectors	600
				504 Access	11,000
	SUB-TOTAL	21,000		SUB-TOTAL	35,990
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-003	Re-Roofing (18 bldgs) FA	126,000	TN12-003	A/E Fees	1,560
Wortham Park			Wortham Park	Range hood w/cabinet	6,000

		SUB-TOTAL			SUB-TOTAL
					174,500
TN12-005	No work this year		TN12-005	A/E Fees	2,040
South Village			South Village	504 Access	11,000
				Cool guards	5,750
				Floor tile	46,000
				Exterior doors/screen doors	46,000
				Guttering	23,000
				Smoke detectors	4,600
				Water heaters	9,200
				SUB-TOTAL	147,590
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-006	Re-Roofing (32 bldgs.) FA	224,000	TN12-006	A/E Fees	1,920
North Village			North Village	504 Access	11,000
				Guttering	21,000
				Smoke detectors	4,200
				Porches/sidewalks	20,000
				Tub surrounds	31,500
				Pedestal mailbox	3,750
	SUB-TOTAL	224,000		SUB-TOTAL	93,370
TN12-007	No work this year		TN12-007	A/E Fees	2,150
Wortham Park			Wortham Park	504 Access	11,000

				Range hood w/cabinet	10,000
				Floor tile	50,000
				Replace exterior doors & screen doors	50,000
				Guttering	25,000
				Smoke detectors	5,000
				Closet doors	37,500
				Porches/sidewalks	20,000
				Drainage/landscaping	5,000
				SUB-TOTAL	215,650
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-008	No work this year		TN12-008	A/E Fees	1,020
New Tazewell			New Tazewell	Floor tile	34,000
				Guttering	17,000
				Smoke detectors	3,400
				SUB-TOTAL	55,420
TN12-009	No work this year		TN12-009	A/E Fees	1,380
Cumberland Heights			Cumberland Heights	504 Access	11,000
				Floor tile	24,000
				Guttering	12,000
				Smoke detectors	2,400
				SUB-TOTAL	50,780
TN12-010	No work this year		TN12-010	A/E Fees	4,000
Sharp Circle			Sharp Circle	HVAC @ Main Office	30,000

				Garbage bin replacement	3,600
				Guttering	35,000
				Smoke detectors	7,000
				SUB-TOTAL	79,600
Total CFP Estimated Cost			\$		\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-012 Oneida Housing	No work this year		TN12-012 Oneida Housing	A/E Fees	1,500
				Guttering	25,000
				Smoke Detectors	5,000
				Pedestal mailbox	3,750
				SUB-TOTAL	35,250
TN12-013 Huntsville Housing	No work this year		TN12-013 Huntsville Housing	A/E Fees	600
				Guttering	10,000
				Smoke detectors	2,000
				Sewer line replacement	16,000
				Pedestal mailbox	3,750
				Bus stop	1,000
				Drainage/landscaping	5,000
				Water heaters	4,000
				Porches/sidewalks	20,000

				SUB-TOTAL	62,350
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-014	No work this year		TN12-014	A/E Fees	1,860
Cumberland Heights			Cumberland Heights	504 Access	11,000
				Floor tile	40,000
				Guttering	20,000
				Smoke Detectors	4,000
				SUB-TOTAL	76,860
TN12-015	Re-Roofing (13 bldgs) FA	91,000	TN12-015	A/E Fees	1,500
Alexander Hgts.			Alexander Hgts.	Garbage Bin Replacement	3,000
Addition			Addition	Range hood w/cabinet	10,000
				Guttering	25,000
				Smoke Detectors	5,000
				SUB-TOTAL	44,500
TN12-016	No work this year		TN12-016	A/E Fees	1,000
New Tazewell			New Tazewell	Floor tile	30,000
				Guttering	15,000
				Smoke Detectors	3,000
				SUB-TOTAL	49,000

				SUB-TOTAL	69,000
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-020	No work this year		TN12-020	A/E Fees	600
Jacksboro Housing			Jacksboro Housing	Floor tile	20,000
				Guttering	10,000
				Smoke Detectors	2,000
				Porches/sidewalks	20,000
				SUB-TOTAL	52,600
TN12-021	Re-Roofing (13 bldgs) FA	91,000	TN12-021	A/E Fees	1,560
Huntsville Housing			Huntsville Housing	504 Access	11,000
				Replace exterior doors & screen doors	30,000
				Guttering	15,000
				Smoke Detectors	3,000
				Drainage/landscaping	5,000
				Water heaters	6,000
				Porches/sidewalks	20,000
	SUB-TOTAL	91,000		SUB-TOTAL	91,560
Total CFP Estimated Cost		\$			\$

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-022	No work this year		TN12-022	A/E Fees	2,500
Oneida Housing			Oneida Housing	504 Access	11,000
				Floor tile	59,000
				Guttering	29,500
				Smoke Detectors	5,900
				Closet doors	44,250
				Porches/sidewalks	20,000
				SUB-TOTAL	172,150
TN12-023	Re-Roofing (24 bldg) FA	168,000	TN12-023	A/E Fees	4,500
Wartburg Housing			Wartburg Housing	Sewer line replacement	40,000
				Pedestal Mailbox	3,750
				Metal maintenance bldg.	50,000
				Guttering	25,000
				Smoke Detectors	5,000
				Drainage/landscaping	5,000
				Bus stop	1,000
				SUB-TOTAL	134,250
TN12-024	Re-Roofing (8 bldg) FA	56,000	TN12-024	A/E Fees	1,000
Oneida Housing			Oneida Housing	504 Access	11,000
				Guttering	4,000
				Smoke Detectors	800
				SUB-TOTAL	16,800
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
TN12-037	Re-Roofing (10 bldgs) FA	70,000	TN12-037	A/E Fees	4,000
Shady Groves Estates			Shady Grove Estates	Guttering	15,000
				Smoke Detectors	3,000
				Replace windows – 30 units	60,000
				Metal maintenance bldg.	50,000
				Drainage/landscaping	5,000
	SUB-TOTAL	70,000		SUB-TOTAL	137,000
TN12-038	Re-Roofing (20 bldgs) FA	140,000	TN12-038	A/E Fees	1,500
Luttrell Housing			Luttrell Housing	Guttering	25,000
				Smoke Detectors	5,000
				Replace exterior doors & screen doors	50,000
	SUB-TOTAL	140,000		SUB-TOTAL	81,500
TN12-041	No work this year		TN12-041	No work this year	
Russell Towers			Russell Towers		
Total CFP Estimated Cost		\$			\$

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-Wide Management Improvements	a. Operations	1,000	PHA-Wide Management Improvements	a. Operations	1,000
	b. Provide Extra Security	92,000		b. Provide Extra Security	92,000
	TN12-001 21,650			TN12-001 21,650	
	TN12-003 15,000			TN12-003 15,000	
	TN12-005 6,800			TN12-005 6,800	
	TN12-006 13,500			TN12-006 13,500	
	TN12-008 10,500			TN12-008 10,500	
	TN12-009 5,200			TN12-009 5,200	
	TN12-013 4,200			TN12-013 4,200	
	TN12-019 2,550			TN12-019 2,550	
	TN12-020 1,800			TN12-020 1,800	
	TN12-023 4,200			TN12-023 4,200	
	TN12-037 2,500			TN12-037 2,500	
	TN12-038 4,100			TN12-038 4,100	
	c. Provide VISTA workers for resident programs	50,000		c. Provide VISTA workers for resident programs	50,000
	d. PHA Staff-Commissioner training	10,000		d. PHA Staff-Commissioner training	10,000
	SUB-TOTAL	153,000		SUB-TOTAL	153,000
Total CFP Estimated Cost		\$		\$	

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year : <u>4</u> FFY Grant: TN37-PO12-501-04 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: TN37-PO12-501-05 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-Wide Administrative Costs	a. Advertising	3,000	PHA-Wide Administrative Costs	a. Advertising	3,000
	b. Admin/CFP Clerk	63,800		b. Admin/CFP Clerk	63,800
	SUB-TOTAL	66,800		SUB-TOTAL	66,800
PHA-Wide Fees /Costs	a. Agency Plan	3,000	PHA-Wide Fees /Costs	a. Agency Plan	3,000
	b. Environmental Review	5,000		b. Environmental Review	5,000
	SUB-TOTAL	8,000		SUB-TOTAL	8,000
PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	19,767	PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	19,767
		SUB-TOTAL			19,767
Contingency		92,210	Contingency		96,387
Total CFP Estimated Cost		\$1,922,962			\$

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2001 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	323,544		323,544	323,544
3	1408 Management Improvements	152,000		95,700	00
4	1410 Administration	66,800		65,300	1,397
5	1411 Audit	00		00	00
6	1415 Liquidated Damages	00		00	00
7	1430 Fees and Costs	69,188		69,188	48,842
8	1440 Site Acquisition	00		00	00
9	1450 Site Improvement	25,000		25,000	00
10	1460 Dwelling Structures	1,204,187		439,700	117,920
11	1465.1 Dwelling Equipment—Nonexpendable	00		00	00
12	1470 Nondwelling Structures	10,000		10,000	10,000
13	1475 Nondwelling Equipment	10,000		00	00
14	1485 Demolition	00		00	00
15	1490 Replacement Reserve	00		00	00
16	1492 Moving to Work Demonstration	00		00	00
17	1495.1 Relocation Costs	00		00	00
18	1499 Development Activities	00		00	00
19	1502 Contingency	00		00	00
20	Amount of Annual Grant: (sum of lines.....)	1,860,719		1,028,432	501,703
21	Amount of line XX Related to LBP Activities				
22	Amount of line XX Related to Section 504 compliance				
23	Amount of Line XX related to Security-- Hard Costs	92,000		92,000	00
24	Amount of line XX Related to Energy Conservation Measures				
25	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost Funds Obligated	Funds Expended	Status of Work	
1. TN12-006	A/E Fees	1430		9,892	9,892	00	Work in progress	
North Village	HVAC Systems	1460	42	194,387	00	00	Work in progress	
SUB-TOTAL				204,279	9,892	00		
2. TN12-007	A/E Fees	1430		11,638	11,638	9,660	Work In Progress	
Wortham Park	HVAC Systems	1460	50	230,000	00	00	Work In Progress	
SUB-TOTAL				241,638	11,638	9,660		
3. TN12-008	A/E Fees	1430		7,564	7,564	6,567	Work In Progress	
New Tazewell	Water Heaters	1460	34	17,000	17,000	11,120	Work In Progress	
	Furnaces	1460	34	17,000	17,000	2,174	Work In Progress	
	HVAC Systems	1460	34	156,400	156,400	00	Work In Progress	
SUB-TOTAL				197,964	197,964	19,861		
4. TN12-009	A/E Fees	1430		5,237	5,237	4,635	Work In Progress	
Cumberland Hts.	Water Heaters	1460	24	12,000	12,000	5,730	Work In Progress	
	Furnaces	1460	24	12,000	12,000	1,120	Work In Progress	
	HVAC Systems	1460	24	110,400	110,400	00	Work In Progress	
SUB-TOTAL				139,637	139,637	11,485		
5. TN12-017	Playground Equipment	1450	1	5,000	5,000	00	Work In Progress	
Wortham Park								
SUB-TOTAL				5,000	5,000	00		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost Funds Obligated	Funds Expended	Status of Work
6. TN12-018 Jamestown	Playground Equipment	1450	1	5,000	5,000	00	Work In Progress
SUB-TOTAL				5,000	5,000	00	
7. TN12-023 Wartburg	Playground Equipment	1450	1	5,000	5,000	00	Work In Progress
SUB-TOTAL				5,000	5,000	00	
8. TN12-025 Pleasant Ridge	A/E Services	1430		16,293	16,293	13,230	Work In Progress
	Playground Equipment	1450	1	5,000	5,000	00	Work In Progress
	HVAC Systems	1460	72	315,000	00	00	Work In Progress
SUB-TOTAL				336,293	21,293	13,230	
9. TN12-033 New Tazewell	Playground Equipment	1450	1	5,000	5,000	00	Work In Progress
SUB-TOTAL				5,000	5,000	00	
10. TN12-037 Shady Grove Estates	A/E Services	1430		7,564	7,564	6,750	Work In Progress
	HVAC Systems	1460	30	140,000	114,900	97,776	Work In Progress
	HVAC M&M Bldg.	1470	2	10,000	10,000	10,000	Work Complete
SUB-TOTAL				157,564	132,464	114,526	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-00 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost Funds Obligated	Funds Expended	Status of Work
11. PHA-Wide Management Improvements	a. Operations	1406		323,544	323,544	323,544	Work Complete
	SUB-TOTAL			323,544	323,544	323,544	
	b. Provide Extra Security	1408	12 locations	92,000	92,000	00	Work In Progress
	TN12-001			21,650			
	TN12-003			15,000			
	TN12-005			6,800			
	TN12-006			13,500			
	TN12-008			10,500			
	TN12-009			5,200			
	TN12-013			4,200			
	TN12-019			2,550			
	TN12-020			1,800			
	TN12-023			4,200			
	TN12-037			2,500			
	TN12-038			4,100			
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000	3,700	00	Work In Progress
	d. PHA Staff/commissioner training	1408		10,000	00	00	Work in Progress
	SUB-TOTAL			152,000	95,700	00	
12. PHA-Wide Administrative Costs	a. Advertising	1410		3,000	1,500	1,397	Work in Progress

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-00 Replacement Housing Factor No:				Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN12-006 North Village	06/30/2002			12/31/2003			
TN12-007 Wortham Park II	06/30/2002			12/31/2003			
TN12-008 New Tazewell	06/30/2002			12/31/2003			
TN12-009 Cumberland Hgts.	06/30/2002			12/31/2003			
TN12-017 Wortham Park	06/30/2002		03/31/2001	12/31/2003			
TN12-018 Jamestown	06/30/2002		03/31/2001	12/31/2003			
TN12-023 Wartburg	06/30/2002		03/31/2001	12/31/2003			
TN12-025 Pleasant Ridge	06/30/2002			12/31/2003			
TN12-033 New Tazewell	06/30/2002		03/31/2001	12/31/2003			
TN12-037	06/30/2002		03/31/2001	12/31/2003			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-00 Replacement Housing Factor No:					Federal FY of Grant: 2000
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Shady Grove Estates							
Management Improvements							
a.	06/30/2002		03/31/2001	12/31/2003		03/31/2001	
B	06/30/2002		03/31/2001	12/31/2003			
c.	06/30/2002			12/31/2003			
d.	06/30/2002			12/31/2003			
PHA-Wide Fees and Costs							
a.	06/30/2002		12/31/2000	12/31/2003		03/31/2001	
b.	06/30/2002		12/31/2000	12/31/2003			
PHA-Wide Non-Dwelling Equipment	06/30/2002			12/31/2003			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: LaFollette Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-01	Federal FY of Grant: 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	40,031			
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	40,031			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: TN37-RO12-501-01				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-WIDE	Development Activities	1499	LS	40,031				

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: TN37-RO12-501-01					Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
PHA-Wide	06/30/2003			12/31/2004				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-708-99 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2001 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	100,756		100,756	56,416
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	3,500		3,500	3,000
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
	1498 Mod Used for Development	1,319,257		1,319,257	1,319,257
18	1499 Development Activities				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines.....)	1,423,513		1,423,513	1,378,673
21	Amount of line XX Related to LBP Activities				
22	Amount of line XX Related to Section 504 compliance				
23	Amount of Line XX related to Security-- Hard Costs	44,356		44,356	8,892
24	Amount of line XX Related to Energy Conservation Measures				
25	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-708-99 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost Funds Obligated	Funds Expended	Status of Work
1. TN12-041	New Construction	1498	37 units	1,319,257				
Russell Towers								
	SUB-TOTAL			1,319,257		1,319,257	1,319,257	Work Complete
2. PHA-Wide Management Improvements	a. Provide Extra Security	1408	12 locations	44,356				Work in Progress
	Lake City			7,377				
	Oneida			6,353				
	Huntsville			1,818				
	Tazewell			2,341				
	New Tazewell			5,259				
	Jamestown			3,465				
	Wartburg			1,818				
	Helenwood			1,071				
	Jackwboro			847				
	LaFollette			10,692				
	Caryville			1,121				
	Luttrell			2,194				
	b. Provide VISTA workers for resident programs	1408	4 positions	56,400				Work in Progress
	SUB-TOTAL			100,756		100,756	56,416	
3. PHA-Wide Fees & Costs	a. Consultant Fee – Environmental Review	1430	LS	500				Work in Progress
	b. Consultant Fee – Preparation of 1999 CGP Annual Submission	1430	LS	3,000				Work Complete
	SUB-TOTAL			3,500		3,500	3,000	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-708-99 Replacement Housing Factor No:				Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1. TN12-041 Russell Towers	03/31/2001		12/31/1999	09/30/2002		06/30/2000	
2. PHA-Wide Management Improvements							
a.	03/31/2001		12/31/1999	09/30/2002			
b.	03/31/2001		12/31/1999	09/30/2002			
3. PHA-Wide Fees and Costs							
a.	03/31/2001		12/31/1999	09/30/2002			
b.	03/31/2001		12/31/1999	09/30/2002		06/30/2000	