

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2001 - 2005
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Sunbury Housing Authority

PHA Number: PA053

PHA Fiscal Year Beginning: 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2001 - 2005
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The mission of the Sunbury Housing Authority is to serve the citizens of Sunbury by providing quality, safe, affordable housing, without discrimination, in an efficient, ethical, and professional manner.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHAGoal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

1. Improve the image of public housing in our community
 - A. At least two times a year between now and June 30, 2004, Sunbury Housing Authority staff will speak to local government, civic or religious groups to explain our importance in the community.
 - B. The Sunbury Housing Authority will have the local newspaper publish at least five stories a year to stress the positive aspects of public housing.
 - C. Contract with a firm by June 30, 2001 to develop a marketing and advertising plan to bring the benefits of living in public housing to the residents of Sunbury.

2. Enhance marketability of Sunbury Housing Authority public housing units.
 - A. Continue upgrading units at three developments yearly with the Capital Funds Program.
 - B. Provide Sunbury Housing Authority staff with sensitivity training to improve their people skills.

- C. Educate applicants and existing tenants on available programs (i.e. children's programs and accomplishments, successes within FSS program.)
 - D. By June 30, 2001, implement policies that will be useful in increasing the number of applicants on the waiting list to at least a 3 month waiting period.
3. Manage the public housing program in a manner that results in full compliance with statutes and regulations.
- A. Train staff yearly on health and safety issues, building codes and regulation changes.
 - B. Adopt policies in a timely manner to reflect new laws and regulations
4. Improve the quality of housing choices available to participants in the Sunbury Housing Authority tenant-based assistance programs.
- A. The Sunbury Housing Authority will provide a yearly landlord workshop to educate and attract new landlords who are interested in participating in the program beginning with the June 30, 2001 fiscal year..
 - B. By June 30, 2002, the Sunbury Housing Authority will investigate, and if available, apply for funds to provide rental rehabilitation for landlords willing to participate in the tenant-based program.
5. Promote self-sufficiency and asset management for families.
- A. The Sunbury Housing Authority will provide budgeting classes to families in need or upon request.
 - B. The Sunbury Housing Authority will promote utilization of flat rents for families who would benefit by this option.
 - C. The Sunbury Housing Authority will provide families with information about educational and job training programs through bulletin board postings and newsletters.
 - D. The Resident Initiatives Coordinator will provide yearly programming on money, nutrition, health issues, computer training.

- E. The Sunbury Housing Authority will continue to provide on-site GED classes and computer training to interested residents.
6. Operate the Sunbury Housing Authority in full compliance with all EEO regulations.
- A. Ensure that all postings are current and clearly visible.
 - B. Assist those with complaints to bring discrimination issues to our attention.

**Annual PHA Plan
PHA Fiscal Year 2001**

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The mission of the Sunbury Housing Authority is to serve the citizens of Sunbury by providing quality, safe, affordable housing, without discrimination, in an efficient, ethical, and professional manner.

This Agency Plan summarizes the specific actions that the Sunbury Housing Authority will take to ensure that we fulfill our mission. We urge the reader to pay particular attention to the goals and objectives in our Five-Year plan in the preceding pages.

In addition, the Sunbury Housing Authority is taking action to encourage the residents of its public housing to obtain or maintain employment. Specifically, for the first time residents will have an annual choice to have their rent determined by either the traditional “percent of income” method or the new “flat rent” method. Flat rents are market based rents and will not go up even if the family’s income rises. This effectively eliminates the “work penalty” that has discouraged some families from pursuing employment.

This Agency Plan meets the requirements of the Quality Housing and Work Responsibility Act of 1998 and HUD’s implementing regulations. Readers are encouraged to review this document and any of the supporting documents listed in it. We welcome your comments and assure you that we will consider them prior to finalizing this plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

| | <u>Page #</u> |
|---|---------------|
| Annual Plan | |
| i. Executive Summary | 1 |
| ii. Table of Contents | 2 |
| 1. Housing Needs | 6 |
| 2. Financial Resources | 13 |
| 3. Policies on Eligibility, Selection and Admissions | 14 |
| 4. Rent Determination Policies | 24 |
| 5. Operations and Management Policies | 28 |
| 6. Grievance Procedures | 30 |
| 7. Capital Improvement Needs | 31 |
| 8. Demolition and Disposition | 33 |
| 9. Designation of Housing | 34 |
| 10. Conversions of Public Housing | 35 |
| 11. Homeownership | 36 |
| 12. Community Service Programs | 38 |
| 13. Crime and Safety | 41 |
| 14. Pets | 43 |
| 15. Civil Rights Certifications (included with PHA Plan Certifications) | 43 |
| 16. Audit | 44 |
| 17. Asset Management | 44 |
| 18. Other Information | 45 |

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (**Not Applicable for 7/1/01 PHAs**)
- pa053a01** Brief Statement of Progress in Meeting the 5-Year Mission and Goals
- Assessment of Site-Based Waiting List Development Demographic Changes (**Not Applicable**)

- pa053b01** FY 2001 Capital Fund Program Annual Statement and 5-Year Action Plan
- pa053c01** FY 2000 Capital Fund Program Performance and Evaluation Report
- pa053d01** FY 1999 Comprehensive Grant Program Performance and Evaluation Reports
- pa053e01** FY 1998 Comprehensive Grant Program Performance and Evaluation Reports
- pa053f01** Section 8 Homeownership Capacity Statement
- pa053g01** Implementation of Public Housing Resident Community Service Requirements
- Public Housing Drug Elimination Program (PHDEP) Plan (**Not Applicable**)
- pa053h01** Pet Policy
- pa053i01** Resident Membership of the PHA Governing Board
- pa053j01** Membership of the Resident Advisory Board
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY) (**Not Applicable**)

Optional Attachments:

- pa053k01** PHA Management Organizational Chart
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|----------------------------------|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| X | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' | 5 Year and Annual Plans |

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | initiatives to affirmatively further fair housing that require the PHA's involvement. | |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| N/A | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| X | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| X | Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| X | Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 | Annual Plan: Grievance Procedures |

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | Administrative Plan | |
| X | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| NA | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| X | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| N/A | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| N/A | Approved or submitted public housing homeownership programs/plans | Annual Plan: Homeownership |
| X | Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| N/A | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| X | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| N/A | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| N/A | The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| X | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| N/A | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| X | Other supporting documents (optional) Public Housing Lease | (specify as needed) |
| | | |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|-----------|----------------|--------|---------|----------------|------|-----------|
| Family Type | Overall | Afford-ability | Supply | Quality | Access-ibility | Size | Loca-tion |
| Income <= 30% of AMI | 1697 | 5 | 3 | 3 | 1 | 4 | 4 |
| Income >30% but <=50% of AMI | 1217 | 4 | 4 | 3 | 1 | 4 | 4 |
| Income >50% but <80% of AMI | 495 | 3 | 4 | 4 | 1 | 4 | 4 |
| Elderly | 1,401 | 1 | 1 | 1 | 1 | 1 | 1 |
| Families with Disabilities | Not Avail | | | | | | |
| White N Hisp | 3,389 | 3 | 3 | 3 | 1 | 4 | 4 |
| Race/Ethnicity | <10% | | | | | | |
| Race/Ethnicity | | | | | | | |
| Race/Ethnicity | | | | | | | |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List | | | |
|---|---|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> | Section 8 tenant-based assistance | | |
| <input checked="" type="checkbox"/> | Public Housing | | |
| <input type="checkbox"/> | Combined Section 8 and Public Housing | | |
| <input type="checkbox"/> | Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 31 | | 56 |
| Extremely low income <=30% AMI | 12 | 39 | |
| Very low income (>30% but <=50% AMI) | 12 | 39 | |
| Low income (>50% but <80% AMI) | 7 | 22 | |
| Families with children | 16 | 52 | |
| Elderly families | 11 | 35 | |
| Families with Disabilities | 3 | 10 | |
| White | 25 | 81 | |
| Hispanic | 2 | 6 | |
| Black | 4 | 13 | |
| Race/ethnicity | | | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | 15 | 48 | 24 |
| 2 BR | 9 | 29 | 16 |
| 3 BR | 4 | 13 | 14 |
| 4 BR | 3 | 10 | 1 |
| 5 BR | 0 | 0 | 1 |

| Housing Needs of Families on the Waiting List | | | |
|--|----|----|--|
| 5+ BR | NA | NA | |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |

| Housing Needs of Families on the Waiting List | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input checked="" type="checkbox"/> Section 8 tenant-based assistance | | | |
| <input type="checkbox"/> Public Housing | | | |
| <input type="checkbox"/> Combined Section 8 and Public Housing | | | |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 42 | | 46 |
| Extremely low income <=30% AMI | 28 | 67 | |
| Very low income (>30% but <=50% AMI) | 13 | 31 | |
| Low income (>50% but <80% AMI) | 1 | 2 | |
| Families with children | 25 | 60 | |
| Elderly families | 9 | 22 | |
| Families with Disabilities | 9 | 22 | |
| White | 41 | 98 | |
| Hispanic | 0 | 0 | |
| Black | 1 | 2 | |
| Race/ethnicity | | | |
| Characteristics by Bedroom Size (Public Housing Only) | NA | | |

| Housing Needs of Families on the Waiting List | | | |
|--|----|--|--|
| 1BR | NA | | |
| 2 BR | NA | | |
| 3 BR | NA | | |
| 4 BR | NA | | |
| 5 BR | NA | | |
| 5+ BR | NA | | |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required

- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|-------------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2001 grants) | | |
| a) Public Housing Operating Fund | 635,847 | |
| b) Public Housing Capital Fund | 606,625 | |
| c) HOPE VI Revitalization | | |
| d) HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | 796,750 | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | | |
| g) Resident Opportunity and Self-Sufficiency Grants | | |
| h) Community Development Block Grant | | |
| i) HOME | | |
| Other Federal Grants (list below) | | |
| FSS Coordinator | 29,000 | Family Self Sufficiency |
| | | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| 2000 Capital Fund Program | 606,625 | Modernization |
| 1999 Comprehensive Grant Program | 210,000 | Modernization |
| | | |
| 3. Public Housing Dwelling Rental Income | 813,000 | Operations |
| | | |

| Financial Resources: Planned Sources and Uses | | |
|--|--------------------|--------------|
| Sources | Planned \$ | Planned Uses |
| 4. Other income (list below) | | |
| Investments, interest | 76,000 | Operations |
| Laundry and other | 14,000 | Operations |
| 4. Non-federal sources (list below) | | |
| Rooftop antenna | 5,100 | Operations |
| | | |
| | | |
| Total resources | \$3,792,947 | |
| | | |
| | | |

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)
When families near the top of the waiting list

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
credit check

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists

- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

- 1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability (1)

- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs (1)
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing (N/A FOR 7/2001 PHAs)

- a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the

need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists

If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

Employing new admission preferences at targeted developments

If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
 Criminal and drug-related activity, more extensively than required by law or regulation
 More general screening than criminal and drug-related activity (list factors below)
 Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
 Other (describe below)
Rental history

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
 Federal public housing
 Federal moderate rehabilitation

- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative
- Other (list below)

Section 8 office Memorial Acres

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If unable to find a unit

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing

- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

- 1 Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability (1)
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs (1)
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)
Anytime family composition changes

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?
Except Escrow Accounts for FSS families

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families

Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
 A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---|---|--------------------------|
| Public Housing | 350 | 50 |
| Section 8 Vouchers | 242 | 50 |
| Section 8 Certificates | | |
| Section 8 Mod Rehab | | |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | | |
| Public Housing Drug Elimination Program | | |

| | | |
|---|--|--|
| (PHDEP) | | |
| | | |
| | | |
| Other Federal Programs(list individually) | | |
| | | |
| | | |

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions & Continued Occupancy Policy**
- Grievance Procedure**
- Blood Borne Disease Policy**
- Capitalization Policy**
- Check Signing Policy**
- Disposition Policy**
- Alcohol and Drug-Free Workplace**
- Equal Housing Opportunity**
- Ethics Policy**
- Facilities Use Policy**
- Hazardous Materials Policy**
- Investment Policy**
- Natural Disaster Policy**
- Pest Control Policy**
- Funds Transfer Policy**
- Weapons Policy**

(2) Section 8 Management: (list below)

- Section 8 Administrative Plan**

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 Other (list below)
Section 8 Office at Memorial Acres

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) **pa053b01**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) **pa053b01**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description | |
|---|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/> | |
| 3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> | |
| 4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u> | |
| 5. Number of units affected: | |
| 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development | |
| 7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: | |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| Designation of Public Housing Activity Description |
|--|
| 1a. Development name: 1b. Development (project) number: |
| 2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: |
| 5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan? |
| 6. Number of units affected: |

| |
|---|
| <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p> |
| |

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

| Conversion of Public Housing Activity Description |
|---|
| 1a. Development name: |
| 1b. Development (project) number: |
| <p>2. What is the status of the required assessment?</p> <p><input type="checkbox"/> Assessment underway</p> <p><input type="checkbox"/> Assessment results submitted to HUD</p> <p><input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)</p> <p><input type="checkbox"/> Other (explain below)</p> |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) |
| 4. Status of Conversion Plan (select the statement that best describes the current status) |

| |
|---|
| <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway |
| <p>5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)</p> <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) |
| <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) |
| <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) |
| <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent |
| <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units |
| <input type="checkbox"/> Other: (describe below) |

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing**

PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| Public Housing Homeownership Activity Description (Complete one for each development affected) | |
|---|---|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Federal Program authority: | <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) |
| 3. Application status: (select one) | <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission: | <u>(DD/MM/YYYY)</u> |
| 5. Number of units affected: | |
| 6. Coverage of action: (select one) | <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

- 1. Must be FSS participant**
- 2. Must be first-time homebuyer**
- 3. Total Annual Income must be at least minimum wage times 2,000 hours, except elderly or disabled families.**
- 4. One or more adult members must be employed full-time for at least two years.**

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

| Services and Programs | | | | |
|--|-------------------|--|--|--|
| Program Name & Description (including location, if appropriate) | Estimated Size | Allocation Method (waiting list/random selection/specific criteria/other) | Access (development office / PHA main office / other provider name) | Eligibility (public housing or section 8 participants or both) |
| GED | 6 | Open | Unit 119 Family Site | Open to Public |
| Computer Classes | 2-3 | Open | Unit 119 Family Site | PH & S8 |
| Money Management | 5-10 | Open | Community Building | PH & S8 |
| Health Fair | 45 | Open | Community Building | PH & S8 |
| After-School Program | 30 | School-Age | Community Building | PH & S8 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

(2) Family Self Sufficiency program/s

a. Participation Description

| Family Self Sufficiency (FSS) Participation | | |
|--|--|--|
| Program | Required Number of Participants (start of FY 2001 Estimate) | Actual Number of Participants (As of: 02/28/01) |
| Public Housing | N/A | 20 |
| Section 8 | 25 | 11 |

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

| |
|--|
| D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937 |
|--|

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime

Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

SHA experiences minimal crime of any level at its developments.

3. Which developments are most affected? (list below)

Memorial Acres family development (although very minimal)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)
Crime Watch Program

2. Which developments are most affected? (list below)

Memorial Acres family development

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)
Police attend monthly Crime Watch meetings. Police also patrol family development parking lot and ticket unauthorized vehicles.

2. Which developments are most affected? (list below)
Memorial Acres family development

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
- Provided below:
- No comments were received.**

3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)
- No comments were received.**

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **Commonwealth of Pennsylvania**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

1. **The maintenance of the housing stock is consistent with the Consolidated Plan's priority of maintaining quality, affordable housing.**
2. **The institution of flat rents is consistent with the CP's goal of housing working families and providing more sufficient rental income to the housing authority.**

3. **The housing authority will rehabilitate existing public housing stock in a manner that is sensitive to the need for accessibility to and visitability by persons with disabilities.**
4. **The housing authority will continue to promote resident initiatives that are aimed at promoting the economic self sufficiency of public housing residents**

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The Consolidated Planning Agency (Commonwealth of Pa.) is seeking to promote diversity and comprehensive community development strategies. In order to do this they are promoting fair housing through diversity of race, ethnicity, income levels, gender, and disability. They will also seek to support and assist projects that are integral to the community. The Consolidated Plan supports our efforts in housing extremely low-income families, while giving preference to working families and those in job training or educational programs. The plan also supports our efforts in providing homeownership in our community.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

A. Substantial Deviation from the 5-year Plan:

A substantial deviation from the 5-year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-year plan.

B. Significant Amendment or Modification to the Annual Plan:

Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

All attachments are listed in the Table of Contents

Required Attachment pa053h01: Brief Description of Pet Policy

The Sunbury Housing Authority has developed a written policy for pet ownership in public housing family developments. The following is a summary of requirements outlined in the Pet Policy.

The Authority's policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with proper verification and the same restrictions that are imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors.

The Authority's Pet Policy has the following basic requirements:

1. Only one common household pet per unit, not in excess of 25 pounds or 14 inches in height at the shoulders.
2. Pet owners must have proof of current inoculations, licenses. This information must be updated at every annual reexamination.
3. All pets shall be spayed or neutered.
4. A Pet Deposit in the amount of \$300 must be paid prior to the PHA granting permission to the resident for having a dog or cat. Pet deposit shall be refundable at the removal of pet from the premises or termination of the lease, less charges (if any) for damages to the premises.
5. Residents must identify one emergency caregiver to care for the pet in the event of resident illness or absence from the unit.

The Authority has reviewed the Pet Policy with the Resident Advisory Board and completed the public comment period.

CAPITAL FUND PROGRAM TABLES START HERE

pa053b01

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|---|---------|--|----------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: PA26PO5350201 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2001 | |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | 90,000 | | | |
| 4 | 1410 Administration | 40,000 | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 15,000 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 60,000 | | | |
| 12 | 1470 Nondwelling Structures | 401,625 | | | |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collaterization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|---|---|--|
| PHA Name: SUNBURY HOUSING AUTHORITY | Grant Type and Number Capital Fund Program Grant No: PA26PO5350201 Replacement Housing Factor Grant No: | Federal FY of Grant: 2001 |
|---|---|--|

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|---------|-------------------|----------|
| | | Original | Revised | Obligated | Expended |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 606,625 | | | |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security– Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: PA26PO5350201 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2001 | | | |
|---|--|---|----------|----------------------|-------------------------------------|-------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA WIDE | MANAGEMENT IMPROVEMENTS | 1408 | | | | | | |
| | RESIDENT INITIATIVES | | | 5,000 | | | | |
| | RESIDENT INIT COORDINATOR | | | 20,000 | | | | |
| | AUTOMATED SYSTEMS | | | 70,000 | | | | |
| | AUTOMATED SYSTEMS TRAINING | | | 3,000 | | | | |
| | GENERAL STAFF TRAINING | | | 2,000 | | | | |
| PHA WIDE | ADMINISTRATION | 1410 | | 40,000 | | | | |
| PHA WIDE | DESIGN FEES | 1430 | | 15,000 | | | | |
| PA53-1A CHESTNUT TOWER | REPLACE REFRIGERATORS | 1465.1 | 95 | 60,000 | | | | |
| | RENOVATE ADMIN. OFFICES | 1470 | | 125,000 | | | | |
| | REPLACE ELEVATOR CONTROLS | 1470 | 2 | 100,000 | | | | |
| PA53-1B MEMORIAL ACRES | REPLACE FURNACES | 1470 | 128 | 76,625 | | | | |
| PA53-2 SCOTT TOWER | REPLACE ELEVATOR CONTROLS | 1470 | 2 | 100,000 | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: PA26PO5350201 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2001 | | | |
|--|---|---|----------|----------------------|-------------------------------------|--------------------|-------------------|-------------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

| | | |
|---|--|-------------------------------------|
| PHA Name: SUNBURY HOUSING AUTHORITY | Grant Type and Number Capital Fund Program No: PA26PO5350201 Replacement Housing Factor No: | Federal FY of Grant: 2001 |
|---|--|-------------------------------------|

| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|--|---|---------|--------|---|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| PHA WIDE/MGT IMP | 4/03 | | | 9/04 | | | |
| PHA WIDE/ADMIN | 4/03 | | | 9/04 | | | |
| PHA WIDE/DESIGN FEES | 4/03 | | | 9/04 | | | |
| PA53-1A | 4/03 | | | 9/04 | | | |
| PA53-1B | 4/03 | | | 9/04 | | | |
| PA53-2 | 4/03 | | | 9/04 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Capital Fund Program Five-Year Action Plan

Part I: Summary

| PHA Name SUNBURY HOUSING AUTHORITY | | <input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: | | | |
|---|------------------|---|--|--|--|
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2003 | Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2004 | Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2005 | Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2006 |
| | Annual Statement | | | | |
| PHA WIDE/MGT IMP | | 32,000 | 32,000 | 32,000 | 32,000 |
| PHA WIDE/ADMIN | | 40,000 | 40,000 | 40,000 | 40,000 |
| PHA WIDE/DESIGN FEES | | 15,000 | 15,000 | 15,000 | 15,000 |
| PA53-1A | | 75,000 | 105,000 | 300,000 | 150,000 |
| PA53-1B | | 130,000 | 105,000 | 40,000 | 100,000 |
| PA53-2 | | 105,000 | 124,200 | | 80,000 |
| CFP Funds Listed for 5-year planning | | 397,000 | 421,200 | 427,000 | 417,000 |
| Replacement Housing Factor Funds | | | | | |

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

| Activities for Year 1 | Activities for Year: 2 FFY Grant: 2002 PHA FY: 2003 | | | Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004 | | |
|-----------------------|---|---|-----------------------|---|------------------------------|-----------------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | PA53-1A CHESTNUT TOWER | SEAL EXTERIOR OF BUILDING AND CAULK WINDOWS | 70,000 | PA53-1A CHESTNUT TOWER | REPAVE PARKINGLOTS | 25,000 |
| Annual | | LANDSCAPING | 5,000 | | INSTALL AIR CONDITONERS | 80,000 |
| Statement | | | | | | |
| | PA53-1B MEMORIAL ACRES | ADD REAR SIDEWALKS | 100,000 | PA53-1B MEMORIAL ACRES | LANDSCAPING | 5,000 |
| | | PLAYGROUND UPGRADE | 30,000 | | RENOVATE UNITS | 100,000 |
| | | | | | | |
| | PA53-2 SCOTT TOWER | SEAL EXTERIOR OF BUILDING AND CAULK WINDOWS | 70,000 | PA53-2 SCOTT TOWER | REPAVE PARKING LOT | 25,000 |
| | | REPLACE BOILER CONTROLS | 30,000 | | INSTALL AIR CONDITIONERS | 99,200 |
| | | LANDSCAPING | 5,000 | | | |
| | PHA WIDE/MGT IMP | RESIDENT INIT. | 5,000 | PHA WIDE/MGT IMP | RESIDENT INIT. | 5,000 |
| | | RESIDENT INIT COORDINATOR | 20,000 | | RESIDENT INIT COORDINATOR | 20,000 |
| | | AUTOMATED SYSTEMS | 4,000 | | AUTOMATED SYSTEMS | 4,000 |
| | | AUTOMATED SYS TRAINING | 1,000 | | AUTOMATED SYS TRAINING | 1,000 |

| | | | | | | |
|--|----------------------|---------------------------------|------------|----------------------|------------------------|------------|
| | | GENERAL STAFF TRAINING | 2,000 | | GENERAL STAFF TRAINING | 2,000 |
| | PHA WIDE ADMIN | | 40,000 | PHA WIDE/ADMIN | | 40,000 |
| | PHA WIDE/DESIGN FEES | | 15,000 | PHA WIDE/DESIGN FEES | | 15,000 |
| | | | | | | |
| | | | | | | |
| | | Total CFP Estimated Cost | \$ 397,000 | | | \$ 421,200 |

CAPITAL FUND PROGRAM TABLES START HERE

pa053c01

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|--|---------|-------------------------------------|----------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: PA26PO5350100 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2000 | |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | 31,000 | | -0- | -0- |
| 4 | 1410 Administration | 42,464 | | -0- | -0- |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 15,000 | | -0- | -0- |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 487,161 | | -0- | -0- |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | 31,000 | | -0- | -0- |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

| | | |
|---|--|-------------------------------------|
| PHA Name: SUNBURY HOUSING AUTHORITY | Grant Type and Number Capital Fund Program Grant No: PA26PO5350100 Replacement Housing Factor Grant No: | Federal FY of Grant: 2000 |
|---|--|-------------------------------------|

Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:12/31/00
 Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|---------|-------------------|----------|
| | | Original | Revised | Obligated | Expended |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 606,625 | | -0- | -0- |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

| PHA Name: | | Grant Type and Number | | | | Federal FY of Grant: | | |
|--|---|--|----------|----------------------|---------|----------------------|----------------|-------------------|
| Sunbury Housing Authority | | Capital Fund Program Grant No: PA26PO5350100 Replacement Housing Factor Grant No: | | | | 2000 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA WIDE | MANAGEMENT IMPROVEMENTS | 1408 | | | | | | |
| | RESIDENT INITIATIVES | | | 5,000 | | -0- | -0- | |
| | RESIDENT INIT. COORDINATOR | | | 20,000 | | -0- | -0- | |
| | AUTOMATED SYSTEMS | | | 2,000 | | -0- | -0- | |
| | AUTOMATED SYSTEMS TRAINING | | | 2,000 | | -0- | -0- | |
| | GENERAL STAFF TRAINING | | | 2,000 | | -0- | -0- | |
| PHA WIDE | ADMINISTRATION | 1410 | | 42,464 | | -0- | -0- | |
| PHA WIDE | DESIGN FEES | 1430 | | 15,000 | | -0- | -0- | |
| PA53-1A CHESTNUT TOWER | | | | | | | | |
| | REPLACE KITCHEN CABINETS | 1460 | 91 | 160,000 | | -0- | -0- | |
| PA53-1B MEMORIAL ACRES | | | | | | | | |
| | REPLACE CABINETS IN COMMUNITY BUILDING | 1470 | | 6,000 | | -0- | -0- | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Sunbury Housing Authority | | Grant Type and Number Capital Fund Program Grant No: PA26PO5350100 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2000 | | |
|---|--|---|----------|----------------------|---------|-------------------------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | REPLACE WINDOWS IN COMMUNITY BUILDING | 1470 | | 25,000 | | -0- | -0- | |
| | RENOVATE UNITS | 1460 | | 107,161 | | -0- | -0- | |
| PA53-2 SCOTT TOWER | | | | | | | | |
| | REPLACE KITCHEN CABINETS | 1460 | 125 | 220,000 | | -0- | -0- | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program No: PA26PO5350100 Replacement Housing Factor No: | | | | | Federal FY of Grant: 2000 |
|--|---|---|--------|---|---------|--------|-------------------------------------|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| PHA WIDE/MGT IMP | 4/02 | | | 9/03 | | | |
| PHA WIDE/ADMIN | 4/02 | | | 9/03 | | | |
| PHA WIDE/DESIGN FEES | 4/02 | | | 9/03 | | | |
| PA53-1A | 4/02 | | | 9/03 | | | |
| PA53-1B | 4/02 | | | 9/03 | | | |
| PA53-2 | 4/02 | | | 9/03 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

CAPITAL FUND PROGRAM TABLES START HERE

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| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|---|------------|-------------------|--|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: PA26PO5370799 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1999 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | 20,000.00 | 20,000.00 | 13,326.33 | 12,951.33 |
| 4 | 1410 Administration | 28,843.00 | 28,843.00 | 28,843.00 | 17,484.42 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000.00 | 6,500.00 | 6,500.00 | 3,125.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 55,000.00 | 245,000.00 | 92,159.29 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 65,000.00 | 65,000.00 | | |
| 12 | 1470 Nondwelling Structures | 43,206.00 | 46,706.00 | | |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | 190,000.00 | 0 | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

| | | |
|--|---|--|
| PHA Name: SUNBURY HOUSING AUTHORITY | Grant Type and Number Capital Fund Program Grant No: PA26PO5370799 Replacement Housing Factor Grant No: | Federal FY of Grant: 1999 |
|--|---|--|

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/00 Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|------------|-------------------|------------|
| | | Original | Revised | Obligated | Expended |
| 21 | Amount of Annual Grant: (sum of lines 2– 20) | 412,049.00 | 412,049.00 | 140,828.62 | 125,720.04 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security– Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security– Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number | | | Federal FY of Grant: | | | |
|--|---|--|----------|----------------------|----------------------|--------------------|-------------------|-------------------|
| SUNBURY HOUSING AUTHORITY | | Capital Fund Program Grant No: CGP PA26PO5370799 Replacement Housing Factor Grant No: | | | 1999 | | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA WIDE | MANAGEMENT IMPROVEMENTS | 1408 | | | | | | |
| | RESIDENT INITIATIVES | | | 5,000 | 5,000 | 1,336.33 | 1,336.33 | |
| | RESIDENT INIT. COORDINATOR | | | 10,000 | 10,000 | 10,000.00 | 9,625.00 | |
| | AUTOMATED SOFTWARE | | | 2,000 | 2,000 | 1,990.00 | 1,990.00 | |
| | AUTOMATED SYSTEMS TRAINING | | | 1,000 | 1,000 | 0 | 0 | |
| | GENERAL STAFF TRAINING | | | 2,000 | 2,000 | 0 | 0 | |
| PHA WIDE | ADMINISTRATION | 1410 | | 28,843 | 28,843 | 28,843.00 | 17,484.42 | |
| PHA WIDE | DESIGN FEES | 1430 | | 10,000.00 | 6,500.00 | 6,500.00 | 3,125.00 | |
| PA-53-1A CHESTNUT TOWER | | | | | | | | |
| | REPLACE CEILING LIGHTS IN APTS | 1460 | 123 | 25,000 | 27,000 | | | |
| | PAINT APT. CEILINGS | 1460 | 99 | 30,000 | 26,000 | | | |
| PA53-1B MEMORIAL ACRES | | | | | | | | |
| | RENOVATE UNITS | 1460 | | 190,000 | 206,000 | 92,159.29 | | |
| | REPLACE RANGES | 1465.1 | 121 | 65,000 | 55,000 | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: CGP PA26PO5370799 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 1999 | | |
|--|--|---|----------|----------------------|---------|-------------------------------------|-------------------|-------------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PA53-2 SCOTT TOWER | | | | | | | | |
| | ADD LIGHT SWITCHES IN MAINT | 1470 | 3 | 2,000 | 2,000 | | | |
| | REPLACE COMM ROOM AND OFFICE AIR CONDITIONERS | 1470 | 2 | 33,206 | 36,706 | | | |
| | INSTALL ANSOL RANGE HOOD | 1470 | 1 | 8,000 | 4,000 | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program No: PA26PO5370799 Replacement Housing Factor No: | | | | | Federal FY of Grant: 1999 |
|--|---|--|--------|---|---------|--------|---|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| PHA WIDE/MGT IMP | 4/01 | | | 9/02 | | | |
| PHA WIDE/ADMIN | 4/01 | | | 9/02 | | | |
| PHA WIDE/DESIGN FEES | 4/01 | | | 9/02 | | | |
| PA53-1A | 4/01 | | | 9/02 | | | |
| PA53-1B | 4/01 | 6/01 | | 9/02 | | | COMPLETE OBLIGATION OF EXCESS FUNDS |
| PA53-2 | 4/01 | 6/01 | | 9/02 | | | SPECIFICATIONS AND DRAWINGS NOT COMPLETED BY ARCHITECT |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

CAPITAL FUND PROGRAM TABLES START HERE

pa053e01

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|---|---------|-------------------|-------------------------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program Grant No: CGP PA26PO5370698 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 1998 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | | | | |
| 3 | 1408 Management Improvements | 20,000 | 16,820 | 16,820.47 | 16,820.47 |
| 4 | 1410 Administration | 25,360 | 25,360 | 25,360.00 | 25,360.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000 | 7,500 | 7,500.00 | 7,500.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 18,000 | 149,957 | 149,956.41 | 24,863.90 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | 288,929 | 162,652 | 162,652.12 | 162,652.12 |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|-------------------------------------|---|------------------------------|
| PHA Name: SUNBURY HOUSING AUTHORITY | Grant Type and Number Capital Fund Program Grant No: CGP PA26PO5370698 Replacement Housing Factor Grant No: | Federal FY of Grant: 1998 |
|-------------------------------------|---|------------------------------|

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|------------|-------------------|------------|
| | | Original | Revised | Obligated | Expended |
| 21 | Amount of Annual Grant: (sum of lines 2– 20) | 362,289.00 | 362,289.00 | 362,289.00 | 237,196.49 |
| 22 | Amount of line 21 Related to LBP Activities | | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security– Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security– Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number | | | | Federal FY of Grant: | | |
|--|--|---|--------------|----------------------|---------|----------------------|-------------------|-------------------|
| SUNBURY HOUSING AUTHORITY | | Capital Fund Program Grant No:CGP PA26PO5370698 Replacement Housing Factor Grant No: | | | | 1998 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PHA WIDE | MANAGEMENT IMPROVEMENTS | 1408 | | | | | | |
| | AUTOMATED SYSTEMS | | | 2,000 | 1,820 | 1,820.47 | 1,820.47 | COMPLETE |
| | AUTOMATED SYSTEM TRAIN | | | 1,000 | 0 | 0 | 0 | |
| | GENERAL STAFF TRAINING | | | 2,000 | 0 | 0 | 0 | |
| | RESIDENT INITIATIVES | | | 5,000 | 0 | 0 | 0 | |
| | RESIDENT INIT COORDINATOR | | | 10,000 | 15,000 | 15,000.00 | 15,000.00 | COMPLETE |
| PHA WIDE | ADMINISTRATION | 1410 | | 25,360 | 25,360 | 25,360.00 | 25,360.00 | COMPLETE |
| PHA WIDE | DESIGN FEES | 1430 | | 10,000 | 7,500 | 7,500.00 | 7,500.00 | COMPLETE |
| PA53-1A CHESTNUT TOWER | FIRE EXTINGUISHERS | 1460 | 91 | 4,000 | 2,273 | 2,273.00 | 2,273.00 | COMPLETE |
| | REPLACE DOOR ENTRY LOCKS AND KEYS, DOOR CLOSERS AND EXIT DEVICES ON STAIRWELL DOORS | 1470 | 3 6 25 | 23,000 | 16,975 | 16,975.00 | 16,975.00 | COMPLETE |
| | SECURITY SYSTEM CARRYOVER FROM 1997 CGP | 1470 | | 0 | 8,967 | 8,967.12 | 8,967.12 | COMPLETE |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number | | | | Federal FY of Grant: | | |
|--|--|---|----------|----------------------|---------|----------------------|-------------------|--------------------|
| SUNBURY HOUSING AUTHORITY | | Capital Fund Program Grant No:CGP PA26PO5370698 Replacement Housing Factor Grant No: | | | | 1998 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| PA53-1B MEMORIAL ACRES | CARBON MONOXIDE DETECTORS | 1460 | 128 | 8,000 | 3,899 | 3,898.70 | 3,898.70 | COMPLETE |
| | DOWNSPOUTS, GUTTERS, PAINT TRIM ON COMM. BLDG | 1470 | | 135,000 | 53,607 | 53,607.00 | 53,607.00 | COMPLETE |
| | REPOINT BRICK, CAULK WINDOWS | 1470 | | 39,429 | 48,146 | 48,146.00 | 48,146.00 | COMPLETE |
| | RENOVATE UNITS (USING EXCESS FUNDS) | 1460 | 8 | 0 | 140,688 | 140,687.71 | 15,595.20 | IN PROGRESS |
| PA53-2 SCOTT TOWER | ASBESTOS SURVEY | 1470 | | 2,000 | 2,713 | 2,713.00 | 2,713.00 | COMPLETE |
| | ENGINEERING SURVEY | 1470 | | 5,000 | 4,200 | 4,200.00 | 4,200.00 | COMPLETE |
| | FIRE EXTINGUISHERS | 1460 | 124 | 6,000 | 3,097 | 3,097.00 | 3,097.00 | COMPLETE |
| | ADD HEAT TO STAIRWELLS | 1470 | | 3,500 | 0 | 0 | 0 | MOVE TO 1999CGP |
| | REPLACE COMPACTOR, | 1470 | | 81,000 | 21,775 | 21,775.00 | 21,775.00 | COMPLETE |
| | SECURITY SYSTEM CARRYOVER FROM 1997 CGP | 1470 | | 0 | 6,269 | 6,269.00 | 6,269.00 | COMPLETE |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| PHA Name: SUNBURY HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program No: CGP PA26PO5370698 Replacement Housing Factor No: | | | | Federal FY of Grant: 1998 | |
|--|---|---|--------|---|---------|---------------------------|--|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| PHA WIDE/MGT IMP | 3/00- | | 12/99 | 9/01 | | 6/00 | |
| PHA WIDE/ADMIN | 3/00 | | 12/99 | 9/01 | | 9/00 | |
| PHA WIDE/DESIGN FEES | 3/00 | 5/00 | 5/00 | 9/01 | | 9/00 | |
| PA53-1A | 3/00 | | 3/00 | 9/01 | | 6/00 | |
| PA53-1B | 3/00 | 9/00 | 9/00 | 9/01 | | | EXTENDED OBLIGATION PERIOD TO USE EXCESS FUNDS FOR RENOVATION OF UNITS AT FAMILY SITE AS INDICATED IN 5-YEAR PLAN. |
| PA53-2 | 3/00 | 6/00 | 6/00 | 9/01 | | 6/00 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Required Attachment pa053f01: Section 8 Homeownership Capacity Statement

The Sunbury Housing Authority Section 8 Homeownership Policy (Section 23) of the Administrative Plan requires that:

“Section 23.9 Financing the Purchase of the Home

- A. A purchasing family must invest at least three percent (3%) of the purchase price of the home they are buying. This can take the form of either a down payment, closing costs or a combination of the two. Of this sum, at least one percent (1%) of the purchase price must come from the family’s personal resources.”**

Required Attachment pa053g01: Implementation of Public Housing Resident Community Service Requirements

The administrative steps that we will take to implement the Community Service Requirements include the following:

- 1. Development of Written Description of Community Service Requirement:**
The Sunbury Housing Authority has a written developed Community Service Policy and has completed the required Resident Advisory Board review and public comment period.
- 2. Scheduled Changes in Leases:**
The SHA has made the necessary changes to the lease and has completed the required Resident Advisory Board review and public comment period.
- 3. Written Notification to Residents of Exempt Status to each Adult Family Member:**
The SHA will notify residents at the time of their recertification.
- 4. Cooperative Agreements with TANF Agencies**
The SHA is attempting to establish a Cooperative Agreement with the local TANF Agency.
- 5. Programmatic Aspects**
Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident within the community.

An economic self sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, work fare, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

The SHA will coordinate with social service agencies, local schools, and the Department of Human Services in identifying a list of volunteer community service positions.

Together with the resident advisory councils, the SHA may create volunteer positions such as hall monitoring, litter patrols, and supervising and record keeping for volunteers.

Required Attachment pa053a01: Statement of Progress in Meeting the 5Year Plan Mission and Goals

The following table reflects the progress we have made in achieving our goals and objectives:

| Goal One: Improve the image of public housing in our community. | |
|---|---|
| Objective | Progress |
| SHA staff will meet with local government, civic & religious groups to explain PHA's importance in community. | Meetings have been held with: Northumberland County Human Services Department, Kiwanis, Business and Economic Development Committee of Sunbury Revitalization, the Mayor and City Council |
| Have local newspaper publish at least 5 positive stories a year about the PHA. | Three articles have appeared on the children's' programs. Working with journalism students on future articles. |
| By 6/30/01, have firm develop marketing and advertising plan. | Working with Susquehanna University on developing a Web Site, brochures and posters |
| | |

| Goal Two: Enhance marketability of SHA public housing units. | |
|---|--|
| Objective | Progress |
| Continue upgrading units at all developments with CFP funds | We continue to use majority of CGP and CFP funds on the dwelling units |
| Provide staff with sensitivity training | Researching for facilitators to provide on-site training at a reasonable cost. |
| Educate applicants and tenant on programs through monthly newsletters, flyers, etc. | Newsletters are published monthly at both high-rises and the family development. Calendars are also printed with all activities for the children and adults. |
| Implement policies that will be useful in increasing applicants on waiting list to 3 months | We have updated ACOP to include flat rents, ceiling rents, and disallowance of some income. |

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| Goal Three: Manage the public housing program in a manner that results in full compliance with all statutes and regulations. | |
| Objective | Progress |
| Train staff yearly on health and safety issues, building codes and regulation changes. | Staff trained on LBP regulations, PHAS regulations, new policies, Hepatitis |
| Adopt policies in a timely manner to reflect new laws and regulations. | This is being done as required. |

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| Goal Four: Improve the quality of housing choices available to participants in the SHA tenant-based assistance programs. | |
| Objective | Progress |
| SHA will provide yearly workshop to educate and attract new landlords. | Scheduled for April, 2001 |
| By 6/30/02, SHA will apply for funds to provide rental rehab for landlords willing to participate in tenant-based program. | Investigating Act 137 Funds |
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| Goal Five: Promote self-sufficiency and asset management for families | |
| Objective | Progress |
| SHA will provide budgeting classes to families in need or upon request | Programs about all aspects of money are held in January for adults and children. Budgeting lessons are given as requested to all interested families by the Resident Initiatives Coordinator. |
| SHA will promote utilization of flat rents | Flat rents are being used by several families and individuals in the family development and the high-rises |
| SHA will provide families info about educational and job training programs. | Career Link calendar is posted on bulletin board along with other job and educational opportunities. |
| Resident Init. Coordinator will provide yearly programming on money, nutrition and health issues. | Programs are on-going at the family development including, drug education, health fair, cooking right, savings plans available through the state, etc. |
| SHA will continue to hold on-site GED classes and computer training | GED classes are held Monday-Thursday, computer classes are held upon request and English as a second language is held as requested. |

| Goal Six: Operating the SHA in full compliance with EEO regulations | |
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| Objective | Progress |
| Postings | All required postings are displayed on bulletin boards in lobbies. |
| Assisting those with complaints to bring discrimination issues to our attention. | SHA will assist any individual with a complaint. |
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Required Attachment pa053i01: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Charles Moll**

B. How was the resident board member selected: (select one)?

- Elected
 Appointed

C. The term of appointment is (include the date term expires): **12/31/01**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):

B. Date of next term expiration of a governing board member: **12/31/02**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Mayor David Persing

Required Attachment pa053j01: Membership of the Resident Advisory Board or Boards

- i. List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Sharon Foltz

Pauline Kohl

James McKinney

Heidi Conrad

Carl Rohrbach

Shirley Stellfox

Peggy Smeltz

Beverly Anderson

SUNBURY HOUSING AUTHORITY

Attachment pa053k01

