

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075)  
IS TO BE COMPLETED IN ACCORDANCE  
WITH INSTRUCTIONS LOCATED IN  
APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name: The Housing Authority of the  
City of Allentown, Pennsylvania**

**PHA Number:PA004**

**PHA Fiscal Year Beginning: 07/2001**

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)



**5-YEAR PLAN**  
**PHA FISCAL YEARS 2000 - 2004**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

The Allentown Housing Authorities mission is to assist the community by providing equal opportunity for housing in decent, safe, and sanitary conditions for the elderly, families, and persons with disabilities within the prescribed federal economic guidelines. In conjunction with this mission will be an effort to promote economic self-sufficiency and an improved community quality of life.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those phisised in recent legislation. PHAs may select any of these goals and objectives as their own or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include the number of assisted housing PHAS scores achieved.) PHAs should

Objectives:

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

X PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score)
- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:

\_\_\_ Provide replacement vouchers:

\_\_\_ Other: (list below)

X PHA Goal: Increase assisted housing choices

Objectives:

\_\_\_ Provide voucher mobility counseling:

\_\_\_ Conduct outreach efforts to potential voucher landlords

\_\_\_ Increase voucher payment standards

X Implement voucher homeownership program:

\_\_\_ Implement public housing or other homeownership programs:

\_\_\_ Implement public housing site-based waiting lists:

\_\_\_ Convert public housing to vouchers:

\_\_\_ Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

X PHA Goal: Provide an improved living environment

Objectives:

\_\_\_ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

\_\_\_ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:

\_\_\_ Implement public housing security improvements:

\_\_\_ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)

X Other: (list below)

- 1) Continue to reduce illegal drug activities through the Public Housing Drug Elimination Program by providing funding for the Boys and
- 3) Provide social service referrals as needed.

Girls Club act

**Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

X PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

\_\_\_ Increase the number and percentage of employed persons in assisted families:

- X  Provide or attract supportive services to improve assistance recipients=employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
  - X  Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)  
 Provide training for the Staff and Comissioners as newly implemented components of the Quality Housing and Work Responsibility Act of 1998 become effective.

**Other PHA Goals and Objectives: (list below)**

Provide training to the staff anf commissioners as new components of the Quality Housing and Work Responsibility Act of 1998 are implemented.

**Annual PHA Plan**  
**PHA Fiscal Year 2001**  
[24 CFR Part 903.7]

**Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

**High Performing PHA**

**Small Agency (<250 Public Housing Units)**

**Administering Section 8 Only**

**Troubled Agency Plan**

**Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

The fiscal year 2001 Annual Public Housing Agency Plan completed by the Allentown Housing Authority is in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998. (QHWRA)

This Annual Plan gives participants in housing programs, and the general public ready access to the policies, rules, and operational procedures of the Allentown Housing Authorities programs and services, and thereby provides a framework for providing more efficient customer service.

In conjunction with the basic Mission Statement, the Allentown housing Authority will pursue the following goals during fiscal year 2001.

- \*Continued participation in the Public Housing Drug Elimination Program;
- \*Continued improvement of the public housing properties through the Capital Fund Program;
- \*Continued involvement and support of resident tenant councils and the Resident Advisory Board comprised of Conventional Housing and Section 8 participants;
- \*Train staff and commissioners regarding new opportunities the

QHWRA Act of 1998, allows to provide better service to residents and the community.

\*In conclusion, the Allentown Housing Authority looks to the past with pride and satisfaction in accomplishments made, and to a future of hope and opportunity as this organization continues in the effort of meeting the communities housing needs.

**Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan including attachments, and a list of supporting documents available for public inspection

**Table of Contents**

	<u>Page #</u>
<b>Annual Plan</b>	
Executive Summary	1
i. Table of Contents	2
Housing Needs	7
1. Financial Resources	14
2. Policies on Eligibility, Selection and Admissions	15
3. Rent Determination Policies	25
4. Operations and Management Policies	30
5. Grievance Procedures	30
6. Capital Improvement Needs	31
7. Demolition and Disposition	33
8. Designation of Housing	34
9. Conversions of Public Housing	35
10. Homeownership	37
11. Community Service Programs	39
12. Crime and Safety	41
13. Pets	43
14. Civil Rights Certifications (included with PHA Plan Certifications)	43
15. Audit	44
16. Asset Management	44
17. Other Information	44

**Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Admissions Policy for Deconcentration, Attachment A page 49.  
 FY 2001 Capital Fund Program Annual Statement. Parts 1-3, located in Table Library, pages 54 TO 59.  
 most recent board-approved operating budget (Required Attachment for PHAs)

that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan. Component 7 located in Table Library, pages 60 TO 89.
- Public Housing Drug Elimination Program (PHDEP) Plan.( PA004a02)
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
  - Resident Advisory Board Members, Attachment B, page 50.
  - Section 8 Capacity Statement, Attachment C, page 51.
  - Resident Membership of the PHA Governing Board, Attachment D, page 52.
  - Five Year Plan Progress Statement, Attachment E, page 53.
  - Annual Statement/Performance and Evaluation Report, Comp Grant PA26P004707. (PA004b02)
  - Annual Statement/Performance and Evaluation Report, Comp Grant PA2600470899. (PA004c02)
  - Annual Statement/Performance and Evaluation Report, Comp Grant PA2600450100. (PA004d02)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the Applicable & On Display column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

**List of Supporting Documents Available for Review**

Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions initiatives to affirmatively further fair housing that require the PHA involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs

Applicable & On Display	Supporting Document	Applicable Plan Component
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/1899 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 18. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital	Annual Plan: Capital Needs

Applicable & On Display	Supporting Document	Applicable Plan Component
	Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

## 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

### A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the Overall Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being no impact and 5 being severe impact. Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	size	Location
Income <= 30% of AMI	6169	4	3	3	3	4	3
Income >30% but <=50% of AMI	5679	3	3	3	3	4	3
Income >50% but <80% of AMI	9310	3	3	3	3	4	3
Elderly	4474	2	2	2	2	2	2
Families with Disabilities							
Caucasian	34901	3	3	3	3	3	3
African American	2128	3	3	3	3	3	3
Ammerican	5107	3	3	3	3	3	3
American Indian Asian & Other	426	3	3	3	3	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 7/1/2000 - 6/30/05
- U.S. Census data: the Comprehensive Housing Affordability Strategy (ACHAS@) dataset
- American Housing Survey data  
Indicate year: \_\_\_\_\_
- Other housing market study  
Indicate year: \_\_\_\_\_
- Other sources: (list and indicate year of information)

**A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1032		
Extremely low income <=30% AMI	769	74.5	
Very low income (>30% but <=50% AMI)	260	25.2	
Low income (>50% but <80% AMI)	3	.3	
Families with children	672	65.1	
Elderly families	73	7.1	
Families with Disabilities	287	27.8	
Caucasin	241	23.3	
African American	120	11.6	
Hispanic	665	64.5	
Asian	6	0.6	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	330	32.0	61
2 BR	352	34.1	58

3 BR	279	27.0	42
4 BR	52	5.0	8
5 BR	19	1.9	1
5+ BR			

Is the waiting list closed (select one)? No  Yes

If yes:

**B.** How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

<b>Housing Needs of Families on the Waiting List</b>			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> <input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1282		
Extremely low income <=30% AMI	971	75.7	
Very low income (>30% but <=50% AMI)	254	19.8	
Low income (>50% but <80% AMI)	57	4.5	
Families with children	695	54.2	
Elderly families	231	18.0	
Families with Disabilities	356	27.8	

Caucasian	351	27.4	
African American	139	10.8	
Hispanic	780	60.8	
Asian	12	1.0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	631	49.2	86
2BR	394	30.7	98
3BR	219	17.1	80
4BR	31	2.4	14
5BR	7	0.6	3
5+BR	-		
Is the waiting list closed (select one)? No <input checked="" type="checkbox"/> Yes If yes: <b>B.</b> How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? No Yes Does the PHA permit specific categories			

**C. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line**
- Reduce turnover time for vacated public housing units**
- Reduce time to renovate public housing units**
- Seek replacement of public housing units lost to the inventory through mixed finance development**

- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work

\_\_\_\_\_ **Other: (list below)**

**B. Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- \_\_\_\_\_ **Seek designation of public housing for the elderly**
- \_\_\_\_\_ **Apply for special-purpose vouchers targeted to the elderly, should they become available**
- \_\_\_\_\_ **Other: (list below)**

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- \_\_\_\_\_ **Seek designation of public housing for families with disabilities**
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing**
- \_\_\_\_\_ **Apply for special-purpose vouchers targeted to families with disabilities, should they become available**
- \_\_\_\_\_ **Affirmatively market to local non-profit agencies that assist families with disabilities**
- \_\_\_\_\_ **Other: (list below)**

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs**
- \_\_\_\_\_ **Other: (list below)**

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units**
- Market the section 8 program to owners outside of areas of poverty /minority concentrations**
- \_\_\_\_\_ **Other: (list below)**

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

**Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other

Source	Planned \$	Planned Uses
<b>1. Federal Grants (FY 2001 grants)</b>		
a) Public Housing Operating Fund	2,760,627	
b) Public Housing Capital Fund	3,164,376	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	4,791,508	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	355,024	

Sources	Planned \$	Planned Uses
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Moderate Rehab Program	178,160	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	3,797,145	Housing Operations
4. Other income (list below)		Housing Operations
General Fund Interest	405,224	
Legal fees, Maintenance charges to tenants, Late fees, etc.	186,339	
4. Non-federal sources (list below)		
<b>Total resources</b>	<b>15,638,403</b>	

### 3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

#### A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent

#### <sup>3A</sup>(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select

all that apply)

When families are within a certain number of being offered a unit: (state number)

When families are within a certain time of being offered a unit: (state time)

Other: Upon Initial Application

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

Criminal or Drug-related activity

Rental history

Housekeeping

Other: Credit Check

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

## (2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

Community-wide list

Sub-jurisdictional lists

Site-based waiting lists

Other (describe)

b. Where may interested persons apply for admission to public housing?

PHA main administrative office

PHA development site management office

Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year?

2.  Yes  No: Are any or all of the PHA-s site-based waiting lists new for

the upcoming year (that is, they are not part of a previously-  
HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to  
be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall  
to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public  
housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal  
targeting requirements by targeting more than 40% of all new  
admissions to public housing to families at or below 30% of  
median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list  
below)

- Emergencies
- Overhoused
- Underhoused

- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)
  - Need for Accessibility Features

a. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If No is selected, skip to subsection (5) Occupancy)

1. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans= families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Spouse or Child Abuse, and Referral from Social Service Agency  
Adult Graduates/Participants in Job Training Program

3. If the PHA will employ admissions preferences, please prioritize by placing a A1@ in the space that represents your first priority, a A2@ in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use A1@ more than once, A2@ more than once, etc.

  1   Date and Time

Former Federal preferences:

  1   Involuntary Displacement (Disaster, Government Action, Action of

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- 1 \_\_\_\_\_ Owner, Inaccessibility, Property Disposition)
- 1   Victims of domestic violence
- 1   Substandard housing
- 1   Homelessness
- 1   High rent burden

Other preferences (select all that apply)

- 1   Working families and those unable to work because of age or disability
- \_\_\_\_\_ Veterans and veterans= families
- 1   Residents who live and/or work in the jurisdiction
- 1   Those enrolled currently in educational, training, or upward mobility programs
- 1   Households that contribute to meeting income goals (broad range of incomes)
- \_\_\_\_\_ Households that contribute to meeting income requirements (targeting)
- \_\_\_\_\_ Those previously enrolled in educational, training, or upward mobility programs
- 1   Victims of reprisals or hate crimes
- X   Other preference(s) (list below)
- 1   Spouse or child abuse and referral from Social Service Agency
- 1   Adult graduates/participants in job training program

4. Relationship of preferences to income targeting requirements:

- \_\_\_\_\_ The PHA applies preferences within income tiers
- X   Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

**Deconcentration implementation is delayed for 07/01/01 fiscal year plans.**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
- If selected, list targeted developments below:  
  
 Employing waiting list skipping to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments  
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)

\_\_\_\_ Other (list below)

b.  Yes \_\_\_\_ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes \_\_\_\_ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. \_\_\_\_ Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords?  
(select all that apply)

Criminal or drug-related activity

Other (describe below)

Previous Landlords name and address

Current Landlords name and address (2)

**Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

\_\_\_\_ Federal public housing

\_\_\_\_ Federal moderate rehabilitation

\_\_\_\_ Federal project-based certificate program

\_\_\_\_ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

\_\_\_\_ Other (list below)

**(3) Search Time**

a.  Yes \_\_\_\_ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Up to 120 days if necessary

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

**b. Preferences**

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose section 8 assistance programs)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

**Former Federal preferences**

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

**Other preferences (select all that apply)**

- Working families and those unable to work because of age or disability
- Veterans and veterans= families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Spouse or child abuse and referral from Social Service Agency

3. If the PHA will employ admissions preferences, please prioritize by placing a A1@ in the space that represents your first priority, a A2@ in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use A1@ more than once, A2@ more than once, etc.

1 Date and Time

**Former Federal preferences**

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

**Other preferences (select all that apply)**

- 1 Working families and those unable to work because of age or disability
- Veterans and veterans= families
- 1 Residents who live and/or work in your jurisdiction
- 1 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- 1 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 1 Victims of reprisals or hate crimes
- 1 Other preference(s) (list below)  
Spouse or child abuse and referral from Social Service Agency

**4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)**

- X Date and time of application
- Drawing (lottery) or other random choice technique

**5. If the PHA plans to employ preferences for residents who live and/or work in the jurisdiction@ (select one)**

- X This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

**6. Relationship of preferences to income targeting requirements: (select one)**

- The PHA applies preferences within income tiers
- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan  
 Briefing sessions and written materials  
 Other (list below)

a. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices  
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component

~~(A)~~ Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25

\_\_\_ \$26-\$50

2. \_\_\_ Yes \_\_\_X\_ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

a. Rents set at less than 30% than adjusted income

1. \_\_\_ Yes \_\_\_X\_ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

\_\_\_ For the earned income of a previously unemployed household member

\_\_\_ For increases in earned income

\_\_\_ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

\_\_\_ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

\_\_\_ For household heads

\_\_\_ For other family members

\_\_\_ For transportation expenses

\_\_\_ For the non-reimbursed medical expenses of non-disabled or non-elderly families

\_\_\_ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

\_\_\_ Yes for all developments

\_\_\_ Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family)

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- Operating costs plus debt service
- The Arental value<sup>o</sup> of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) \_\_\_\_\_
- Other (list below)

- g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing  
 Survey of rents listed in local newspaper  
 Survey of similar unassisted units in the neighborhood  
 Other (list/describe below)

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program).

Describe the voucher payment standards and policies

- (1) Payment Standards**  
a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR  
 100% of FMR  
 Above 100% but at or below 110% of FMR  
 Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area  
 The PHA has chosen to serve additional families by lowering the payment standard  
 Reflects market or submarket  
 Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the

- PHA-s segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA-s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

**5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A. PHA Management Structure**

(select one) Describe the PHA-s management structure and organization.

- An organization chart showing the PHA-s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

\_. List Federal programs administered by the PHA, number of families served at the beginning of the reporting year, and expected turnover in each. (Use ANA@ to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
<b>Public Housing</b>		
<b>Section 8 Vouchers</b>		
<b>Section 8 Certificates</b>		
<b>Section 8 Mod Rehab</b>		
<b>Special Purpose Section 8 Certificates/Vouchers (list individually)</b>		
<b>Public Housing Drug Elimination Program (PHDEP)</b>		
<b>Other Federal Programs(list individually)</b>		

**C. Management and Maintenance Policies**

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management

- (1) **Public Housing Maintenance and Management: (list below)**
- (2) **Section 8 Management: (list below)**

**6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

**A. Public Housing**

1.  Yes  No: **Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?**

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

**B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

**7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

**A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

**(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template OR, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment

**-or-**

**The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and**

insert here)  
LOCATED IN TABLE LIBRARY, PAGE 54 to 59.

**(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5-Year Action Plan table provided in the table library at the end of the PHA Plan template OR by completing and attaching a properly updated HUD-52834.

**a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)**

**b. If yes to question a, select one:**

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

LOCATED IN TABLE LIBRARY, PAGE 60 to 89.

**B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: **a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)**

**b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)**

**1. Development name:**

**2. Development (project) number:**

**3. Status of grant: (select the statement that best describes the current status)**

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes  No: **c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:**

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

## 8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If ANo@, skip to component 9; if Ayes@, complete one activity description for each development.)

### 2. Activity Description

Yes  No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If Ayes@, skip to component 9. If ANo@, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Conventional Housing, Substantial Rehabilitation, Scattered Site
1b. Development (project) number: PA26-004-011, 178 Oak Street, Allentown, Pa
2. Activity type: <input type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition This property is under review by The City of Allentown PA and may be included as a part of their future redevelopment plans.
3. Application status (select one) <input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application, following further direction and information from the the governmental body of The City of Allentown
4. Date application approved, submitted, or planned for submission:

<b>Unknown as of the filing of the FFY 2001 Annual Plan</b>
Number of units affected: One (1) two bedroom house Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity:TBD, reference #3 above. b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

24 CFR Part 903.7(a)(6) Section 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: **Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If ANo@, skip to component 10. If Ayes@, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)**

**2. Activity Description**

Yes  No: **Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If Ayes@, skip to component 10. If ANo@, complete the Activity Description table below.**

<b>Designation of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Designation type: <input type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities

<b>3. Application status (select one)</b> <input type="checkbox"/> Approved; included in the PHA-s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
<b>4. Date this designation approved, submitted, or planned for submission:</b> (DD/MM/YY)
<b>5. If approved, will this designation constitute a (select one)</b> <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
<b>1. Number of units affected:</b> <b>7. Coverage of action (select one)</b> <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

### 10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

#### A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1.  Yes  No: Have any of the PHA-s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If ANo®, skip to component 11; if Ayes®, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If Ayes®, skip to component 11. If ANo®, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway

<input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
<b>3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)</b>
<b>4. Status of Conversion Plan (select the statement that best describes the current status)</b> <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
<b>5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)</b> <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

<b>B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937</b>
<b>C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937</b>
<b>11. Homeownership Programs Administered by the PHA</b> [24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

**1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved**

section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If ANo®, skip to component 11B; if Ayes®, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)

**2. Activity Description**

Yes  No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? (If Ayes®, skip to component 12. If ANo®, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
<b>1a. Development name:</b> <b>1b. Development (project) number:</b>
<b>2. Federal Program authority:</b> <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
<b>3. Application status: (select one)</b> <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
<b>4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)</b>
<b>5. Number of units affected:</b> <b>6. Coverage of action: (select one)</b> <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If ANo®, skip to component 12; if Ayes®, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. High performing PHAs may skip to component 12.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA-s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
If yes, list criteria below:

**12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. PHA Coordination With the Welfare (TANF) Agency

1. Cooperative agreements:

Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed?

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

**a. Self-Sufficiency Policies**

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Eligibility for section 8 homeownership option participation
- Other policies (list below)

**b. Economic and Social self-sufficiency programs**

Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If Ayes@, complete the following table; if Ano@ skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )



- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

**13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 PHAs that are not participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

**1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)**

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

**2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).**

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed in and around public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports

- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs**
- Other (describe below)**

**3. Which developments are most affected? (list below)**

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

**1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)**

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities**
- Crime Prevention Through Environmental Design**
- Activities targeted to at-risk youth, adults, or seniors**
- Volunteer Resident Patrol/Block Watchers Program**
- Other (describe below)**

**2. Which developments are most affected? (list below)**

**C. Coordination between PHA and the police**

**1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)**

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan**
- Police provide crime data to housing authority staff for analysis and action**
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)**
- Police regularly testify in and otherwise support eviction cases**
- Police regularly meet with the PHA management and residents**
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services**
- Other activities (list below)**

**2. Which developments are most affected? (list below)**

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes  No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?

Yes  No: This PHDEP Plan is an Attachment. Attachment Filename: (PA004a02)

**14. PET POLICY [24 CFR part 903.7 9 (n)]**

Yes  No: policies on file at administrative offices

**15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

**16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)

2.  Yes  No: Was the most recent fiscal audit submitted to HUD?

3.  Yes  No: Were there any findings as the result of that audit?

4.  Yes  No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? \_\_\_\_\_

5.  Yes  No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

**17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.

High performing and small PHAs are not required to complete this component.  
1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3.  Yes  No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

### **18. Other Information**

[24 CFR Part 903.7 9 (r)]

#### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA MUST select one)

Attached at Attachment (File name)

Provided below:

a) Three attendees spoke on the subject of pets in the public housing setting, and their strong opposition to the new policies that must be instituted according to Federal Regulation.

b) Pedestrian safety concerns were raised with regard to the Gross Towers location and how to approach the City of Allentown to address this matter.

c) Also, regarding the Gross Towers site, the matter of vandalism to the park area was discussed.

d) Deterioration of driveway surfaces at the 700 Building were raised.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

\_\_\_ Other: (list below)

Item b; Suggested that Tenant petitions will be completed and forwarded to the City. Discussions with the City by the Housing Authority and tenants will be implemented.

Item c; Measures will be instituted to increase security and monitoring of the park area.

Item c; Temporary repairs to winter damages will be completed, and all driveway areas will be upgraded within the year through a scheduled Capital Fund 2000 program already in progress.

**B. Description of Election process for Residents on the PHA Board**

1. \_\_\_ Yes \_\_\_X\_ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. \_\_\_ Yes \_\_\_X\_ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

**3. Description of Resident Election Process**

**a. Nomination of candidates for place on the ballot: (select all that apply)**

\_\_\_ Candidates were nominated by resident and assisted family organizations

\_\_\_ Candidates could be nominated by any adult recipient of PHA assistance

\_\_\_ Self-nomination: Candidates registered with the PHA and requested a place on ballot

\_\_\_ Other: (describe)

**b. Eligible candidates: (select one)**

\_\_\_ Any recipient of PHA assistance

\_\_\_ Any head of household receiving PHA assistance

\_\_\_ Any adult recipient of PHA assistance

\_\_\_ Any adult member of a resident or assisted family organization

\_\_\_ Other (list)

**c. Eligible voters: (select all that apply)**

\_\_\_ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)

\_\_\_ Representatives of all PHA resident and assisted family organizations

\_\_\_ Other (list)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary)

**1. Consolidated Plan jurisdiction: The City of Allentown, Pennsylvania** **2.**  
**The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)**

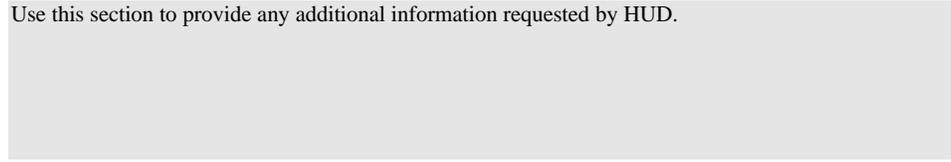
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

**4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)**

**D. Other Information Required by HUD**

**19. Definition of " Substantial Deviation" and "Significant Amendment and Modification" (903.7<r>)**  
**SUBSTANTIAL DEVIATION OR SIGNIFICANT AMENDMENT OR MODIFICATION SHALL BE CONSIDERED A DISCRETIONARY CHANGE TO THE ANNUAL PLAN AND POLICIES OF THE ALLENTOWN HOUSING AUTHORITY THAT HAVE MET THE FULL PUBLIC PROCESS AND RESIDENT ADVISORY BOARD REQUIREMENTS. THIS DISCRETIONARY CHANGE WOULD ALTER THE FUNDAMENTAL NATURE OF THE AGENCY MISSION, GOALS, OBJECTIVES, OR PLANS AND THEREBY REQUIRE APPROVAL BY THE BOARD OF COMMISSIONERS. THE IMPLEMENTATION OF A HUD REGULATORY REQUIREMENT SHALL NOT BE CONSIDERED TO BE A SIGNIFICANT AMENDMENT.**

Use this section to provide any additional information requested by HUD.



**Attachment A**  
**Admissions Policy for Deconcentration**

**It is Allentown Housing Authority's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end we will skip families on the waiting list to reach other families with a lower or higher income. we will accomplish this in a uniform and non-discriminating manner.**

**The Allentown Housing Authority will affirmatively market our housing to all eligible income groups. Lower income families will not be steered toward lower income developments and higher income families will not be steered toward higher income developments.**

**Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.**

**DECONCENTRATION INCENTIVES**

**The Allentown Housing Authority may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.**

**Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.**

**This attachment is extracted from page 18, Section E of the Allentown Housing Authority "Admissions and Occupancy" policy.**

**Attachment B**  
**Resident Advisory Board Members**

**1. Hanover Acres/**

**6. Central Park**

**Riverview Terrace**  
**Ms. Elizabeth Maldonado**

**Ms. Catherine Marushak**

**2. Cumberland Gardens**  
**Ms. Migdalia Rodriguez**

**7. Section 8**  
**Ms. Suzanne Floyd**

**3. Little Lehigh**  
**Ms. Ruth Arocho**

**8. Gross Towers/Towers East**  
**Ms. Ruth Thomas**

**4. 700 Building**  
**Chiorra**

**9. Scattered Sites**  
**Ms. Maria Santana**

**Ms. Beatrice**

**5. Walnut Manor**  
**Ms. Jeanne Haney**

**10. NSA Sites**  
**Mr. David Smith**

Attachment C  
Section 8 Home Owner Capacity Statement

**In compliance with the Section 8 Homeownership Program, as provided at 982.625, the Allentown Housing Authority will meet capacity by using the following regulation.**

**Establish a minimum homeowner downpayment requirement of at least 3 percent and require that at least 1percent of the downpayment will come from the applicant family's resources.**

**Attachment D**

**Resident Membership of the PHA Governing Board**

**Ms. Jeanne Haney  
Walnut Manor, 1519 Walnut St.  
Allentown, Pa**

**Attachment E**  
**Five Year Plan Progress Statement**

**The Allentown Housing Authority has met goals established in the 5-Year Plan in the following ways.**

- 1. Continued High Performer Status**
- 2. Meeting federal economic guidelines for new tenants.**
- 3. Maintain low vacancy rates by efficient operations.**
- 4. Set in place initial phases of a voucher home ownership program.**
- 5. Continued successful programs funded by the Public Housing Drug Elimination Program.**

Use this section to provide any additional attachments referenced in the Plans.

**PHA Plan  
Component 7  
Table Library  
Capital Fund Program Annual Statement  
Parts I, II, and III**

**Annual Statement  
Capital Fund Program (CFP) Part I: Summary**

Line No.	Summary by Development Account	Total Estimated
<b>1</b>	<b>Total Non-CGP Funds</b>	
<b>2</b>	<b>1406 Operations</b>	<b>0</b>
<b>3</b>	<b>1408 Management Improvements</b>	
<b>4</b>	<b>1410 Administration</b>	<b>200,000</b>
<b>5</b>	<b>1411 Audit</b>	
<b>6</b>	<b>1415 Liquidated Damages</b>	
<b>7</b>	<b>1430 Fees and Costs</b>	<b>125,000</b>
<b>8</b>	<b>1440 Site Acquisition</b>	
<b>9</b>	<b>1450 Site Improvement</b>	<b>230,500</b>
<b>10</b>	<b>1460 Dwelling Structures</b>	<b>2,373,576</b>
<b>11</b>	<b>1465.1 Dwelling Equipment-Nonexpendable</b>	
<b>12</b>	<b>1470 Nondwelling Structures</b>	<b>160,300</b>
<b>13</b>	<b>1475 Nondwelling Equipment</b>	<b>75,000</b>
<b>14</b>	<b>1485 Demolition</b>	
<b>15</b>	<b>1490 Replacement Reserve</b>	
<b>16</b>	<b>1492 Moving to Work Demonstration</b>	
<b>17</b>	<b>1495.1 Relocation Costs</b>	
<b>18</b>	<b>1498 Mod Used for Development</b>	
<b>19</b>	<b>1502 Contingency</b>	
<b>20</b>	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>3,164,376</b>
<b>21</b>	<b>Amount of line 20 Related to LBP Activities</b>	
<b>22</b>	<b>Amount of line 20 Related to Section 504 Compliance</b>	
<b>23</b>	<b>Amount of line 20 Related to Security</b>	
<b>24</b>	<b>Amount of line 20 Related to Energy Conservation</b>	

**Annual Statement  
Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account	Total Estimated Cost
PA4-1 Hanover Acres	Dwelling Structures 1. Replace entrance doors/frames/locks	1460	\$ 449,500

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account	Total Estimated Cost	
PA4-2 Riverview Terrace		TOTAL	\$ 449,500	
	Site Improvements			
	1. Repair concrete sidewalks, steps	1450	\$ 98,000	
	2. Paint retaining walls (anti-graffiti)	1450	25,000	
	Dwelling Structures			
	1. Replace interior doors/frames/locks solid panel pine, painted	1460	118,560	
	2. Replace 4 boiler room doors/frames locks (original boiler rooms)	1460	4,800	
	TOTAL	TOTAL	\$ 246,360	
	PA4-3 Gross Towers	1. Trash compactor	1460	\$ 19,000
		2. Air curtain (heated)	1460	8,000
Non Dwelling Structure				
1. Replace tw a/c heat pumps w/controls				
2. Autodoor refrigeration room (cafeteria)		1470	48,000	
3. Hallway carpet-common area, (offices) stair treads		1470	1,800	
4. Investigate, correct water seepage (front office)		1470	35,500	
5. Bar code inventory control system office computer network		1470	75,000	
TOTAL		1475 TOTAL	75,000 \$ 262,300	
PA4-4 Cumberland Gardens		Site Improvements		
	1. Erosion Control	1450	\$ 50,000	
	2. Stripe parking lots	1450	4,500	
	3. Clean fence line vegetation	1450	3,000	
	Dwelling Structures			
	1. Interior doors/frames/locksets solid panel pine/painted	1460	325,000	
TOTAL	TOTAL	\$ 382,500		
PA4-5E 700 Building	Dwelling Structures 1. Replace roof w/.060 EPDM + 3' isocyanurate	1460	\$ 110,000	

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account	Total Estimated Cost
PA4-5F Little Lehigh	2. Re-design entry portico	1460	33,616
	3. Replace 1st floor dropped ceiling	1460	12,500
	4. Entry door air curtain (heated)	1460	16,000
	5. Replace dumpster removal door	1460	4,000
	6. Replace boiler powerflame guns	1460	30,000
	7. Replace kitchen/bath VCT	1460	45,000
	<b>TOTAL</b>		<b>\$ 251,116</b>
	Site Improvements		
	1. Repair/replace concrete walks/steps	1450	\$ 26,000
	2. Replace 5 bdrm unit sewer lines	1450	24,000
Dwelling Structures			
1. Replace bathroom sinks	1460	30,000	
2. Replace toilets (loflow)	1460	40,000	
<b>TOTAL</b>		<b>\$ 120,000</b>	
PA4-7 Towers East	Dwelling Structures		
	1. Entry door air curtain (heated)	1460	\$ 8,000
	2. Add bathroom heat	1460	32,250
	3. Replace trash compactor	1460	19,000
	4. Replace corridor ceilings, common areas	1460	20,000
<b>TOTAL</b>		<b>\$ 79,250</b>	
PA4-8 Walnut Manor	Dwelling Structures		
	1. Replace trash compactor	1460	\$ 19,000
	2. Replace kitchen cabinets/countertops/sinks/faucets	1460	420,000
	3. Replace carpet in DU	1460	240,000
	4. Entry door air curtains (heated)	1460	16,000
	5. Replace hallway carpet w/Flotex	1460	130,000
<b>TOTAL</b>		<b>\$ 825,000</b>	
PA4-9 Central Park	Dwelling Structures		
	1. Replace trash compactor	1460	\$ 19,000
	2. Entry door air curtain (heated)	1460	8,000
	3. Replace Community room cabinets	1460	3,750\
	4. Add emergency pull cords (laundry, trash rooms)	1460	4,000
	5. Install emergency outlets in hallways	1460	2,000
6. Replace dropped ceiling (common			

<b>Development Number/Name HA-Wide Activities</b>	<b>General Description of Major Work Categories</b>	<b>Development Account</b>	<b>Total Estimated Cost</b>
	areas)	<b>1460</b> <b>TOTAL</b>	<b>22,000</b> <b>\$ 58,750</b>
<b>PA4-11 Scattered Sites</b>	<b>Dwelling Structures</b> <b>1. Replace closet doors</b>	<b>1460</b> <b>TOTAL</b>	<b>\$ 7,500</b> <b>\$ 7,500</b>
<b>PA4-12 Scattered Sites</b>	<b>Dwelling Structures</b> <b>1. Replace entry doors/frames/locks at Godfrey &amp; Page Sts</b>	<b>1460</b> <b>TOTAL</b>	<b>\$ 4,000</b> <b>\$ 4,000</b>
<b>PA4-14 Scattered Sites</b>	<b>Dwelling Structures</b> <b>1. Replace closet doors</b> <b>2. Paint, repair exterior 228 Ridge St.</b> <b>3. Paint/wall covering (hallway) 101 N. 3rd St.</b> <b>4. Paint/wall covering (hallway) 9th &amp; Gordon Sts.</b> <b>5. Install cast iron radiation 145-9 Linden St.</b> <b>6. Paint/stucco 145-9 Linden St.</b>	<b>1460</b> <b>1460</b> <b>1460</b> <b>1460</b> <b>1460</b> <b>1460</b> <b>TOTAL</b>	<b>\$ 25,000</b> <b>8,000</b> <b>7,900</b> <b>6,200</b> <b>21,000</b> <b>76,500</b> <b>\$ 144,600</b>
<b>PA4-16 Scattered Sites</b>	<b>Dwelling Structures</b> <b>1. Replace closet doors</b>	<b>1460</b> <b>TOTAL</b>	<b>\$ 8,500</b> <b>\$ 8,500</b>
<b>PHA Wide</b>	<b>Operations</b> <b>Administration</b> <b>A&amp;E Fees</b>	<b>1406</b> <b>1410</b> <b>1430</b> <b>TOTAL</b>	<b>\$ 0</b> <b>200,000</b> <b>125,000</b> <b>\$ 325,000</b>
	<b>TOTAL FOR ANNUAL STATEMENT</b>		<b>\$ 3,164,376</b>

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account	Total Estimated Cost

**Annual Statement**

**Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
PA4-1	03/03	09/04

PA4-2	03/03	09/04
PA4-3	03/03	09/04
PA4-4	03/03	09/04
PA4-5E	03/03	09/04
PA4-5F	03/03	09/04
PA4-6	03/03	09/04
PA4-7	03/03	09/04
PA4-8	03/03	09/04
PA4-9	03/03	09/04
PA4-11	03/03	09/04
PA4-12	03/03	09/04
PA4-14	03/03	09/04
PA4-16	03/03	09/04

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-1	Hanover Acres	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-1 Hanover Acres	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Install all new kitchen base cabinets, corian, tops, sinks, faucets	\$ 515,025	2002
2. Laundry pans with walls	175,000	2002
3. Replace fences 2600 row, 2800-3200 rows, 1600-1800 rows, 10' high, SS40, 20' sections	52,500	2002
4. Install sewer line cleanouts on outside of building for units with crawl spaces	28,500	2002
5. Clean out crawl spaces	4,000	2002
6. Install lighting in crawl spaces	22,800	2002
7. Replace water line from 200-800 row	9,500	2002
8. Water valve locks and security bolts	60,000	2002
9. Drug elimination programs	90,000	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 957,325</b>	
1. Refurbish ball field/fence in area	\$ 27,500	2003
2. Add parking lot (2400-2500 rows)	30,000	2003
3. Replace interior unit stairways	65,500	2003
4. Create storage area in coal bins	45,000	2003
5. Change interior window trim	169,000	2003
6. Add porch roofs (front & rear)	217,875	2003
7. Structo-glass ceiling over tubs	30,000	2003

Description of Needed Physical Improvements or Management Improvements - PA4-1 Hanover Acres	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>5 YR SUB TOTAL</b>	<b>\$ 584,875</b>	
1. New playground	\$ 50,000	2004
2. Parking lot behind 800 row	21,000	2004
3. Install new step flashing, roof vents	64,400	2004
4. Storage buildings by Boys' Club	75,000	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 210,400</b>	
1. Replace radiation/convectors	\$ 550,000	2005
2. Replace windows with thermopane	750,000	2005
<b>5 YR SUB TOTAL</b>	<b>\$1,300,000</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$3,052,600</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-2	Riverview Terrace	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-2 Riverview Terrace	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Replace unit radiation with cast iron	\$ 175,000	2002
2. Replace entrance doors/frames/locks	145,700	2002
3. Replace kitchen ceilings	52,000	2002
4. Water valve boxes with security bolt locks	20,000	2002
5. Replace storm doors	58,000	2002

Description of Needed Physical Improvements or Management Improvements - PA4-2 Riverview Terrace	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>6. Drug elimination programs</b>	28,000	2002
5 YR SUB TOTAL	\$ 478,700	
1. Replace boilers 200, 400, 600, 800 rows	\$ 75,000	2003
2. Parking lot 200 row	15,000	2003
3. Parking lot/retaining wall 800 row	48,000	2003
4. Replace bath ceiling with structo glass	40,000	2003
5 YR SUB TOTAL	\$ 178,000	
1. Replace tub overflows	\$ 10,000	2004
5 YR SUB TOTAL	\$ 10,000	
1. Playground/ basketball court	\$ 50,000	2005
5 YR SUB TOTAL	\$ 50,000	
<b>Total estimated cost over next 5 years</b>	<b>\$ 716,700</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-3	Gross Towers	0	.00668

Description of Needed Physical Improvements or Management Improvements - PA4-3 Gross Towers	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. New Xerox printer/plotter, & 2 blueprint storage drawers	\$ 18,000	2002
2. Emergency outlets in hallways	2,400	2002
3. Replace hallway carpet (2-8) Flotex	99,000	2002
4. Document management system-Admin office computer network	125,000	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 244,400</b>	
1. Add dampers to office AC (central)	\$ 2,400	2003
2. Replace boiler isolation valves	28,000	2003
3. Install exhaust fan in trash room	2,500	2003
4. Install floor drain in trash room	2,000	2003
5. IT hardware modernization	25,000	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 59,900</b>	
1. Upgrade heat controls (147 DU)	\$ 73,500	2004
2. New phone system, satellite offices	60,000	2004
3. IT hardware modernization	25,000	2004

Description of Needed Physical Improvements or Management Improvements - PA4-3 Gross Towers	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>5 YR SUB TOTAL</b>	<b>\$ 158,500</b>	
1. Upgrade hallway lights	\$ 33,600	2005
2. Upgrade stairtower lights	14,400	2005
<b>5 YR SUB TOTAL</b>	<b>\$ 48,000</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$ 510,800</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-4	Cumberland Gardens	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-4 Cumberland Gardens	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Replace fence 400 Block	\$ 22,000	2002
2. Install water valve boxes w/security bolts	88,400	2002
3. Replace electrical meter bases	105,000	2002
4. Roof flange replacement	15,000	2002
5. Drug elimination programs	56,000	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 286,400</b>	
1. Add storm drains	\$ 150,000	2003
2. Enlarge dumpster pads	15,000	2003
3. Quarter round molding (1st floor)	40,000	2003
4. Replace wood retaining wall w/concrete	125,000	2003
5. Replace kitchen countertops/cabinets, sink faucets, et al w/Corian	337,750	2003
6. Trim, caulk windows	150,000	2003
7. Replace refrigerators	80,000	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 897,750</b>	
1. Additional landscaping	\$ 25,000	2004

Description of Needed Physical Improvements or Management Improvements - PA4-4 Cumberland Gardens	Estimated Cost	Planned Start Date (HA Fiscal Year)
2. Central playground pad	40,000	2004
3. Tot lot (2)	40,000	2004
4. Dropped staircase ceilings	60,000	2004
5. Replace water heaters	80,000	2004
6. Replace stoves	70,000	2004
7. Re-design 504 units (accessibility)	350,000	2004
8. Comm. Bldg. new curtain divider	10,000	2004
9. Comm. Bldg. new storage bins	5,000	2004
10. Comm. Bldg. additional storage space	15,000	2004
11. New entrance doors/frames/locks	465,000	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 1,216,000</b>	

Description of Needed Physical Improvements or Management Improvements - PA4-4 Cumberland Gardens	Estimated Cost	Planned Start Date(HA Fiscal Year)
1. Re-wire units, new house panels	\$ 700,000	2005
2. Replace roof flanges	15,000	2005
3. Replace water lines to outside valve	500,000	2005
<b>5 YR SUB TOTAL</b>	<b>\$ 1,215,000</b>	

Description of Needed Physical Improvements or Management Improvements - PA4-4 Cumberland Gardens	Estimated Cost	Planned Start Date(HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>	<b>\$ 3,559,150</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-5E	700 Building	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-5E 700 Building	Estimated Cost	Planned Start Date (HA Fiscal Year)

Description of Needed Physical Improvements or Management Improvements - PA4-5E 700 Building	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Replace kitchen cabinets, countertops, sinks, faucets, shut-off valves	\$ 365,000	2002
2. Add heat to bathrooms	32,250	2002
3. New building transformers/distribution	50,000	2002
<b>5YR SUB TOTAL</b>	<b>\$ 447,250</b>	
1. Add game room storage	\$ 2,000	2003
2. Restroom near laundry	25,000	2003
3. Add emergency pull cords (2nd bedroom)	1,000	2003
4. Install emergency outlets in hall	3,250	2003
5. Install emergency pull cords (trash, laundry)	2,500	2003
<b>5YR SUB TOTAL</b>	<b>\$ 33,750</b>	
1. Add motorized louvers in boiler room	\$ 10,000	2004
2. Replace cold water booster pumps	20,000	2004
3. Replace hot water heat circulator pumps	10,000	2004
4. Upgrade stairtower lights	4,800	2004
5. Upgrade kitchen & bath vents	77,400	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 122,200</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$ 603,200</b>	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management

improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-5F	Little Lehigh	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-5F Little Lehigh	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Stripe parking lots	\$ 4,000	2002
2. Replace original parking lots	55,000	2002
3. Install parking lot speed bumps	2,000	2002
4. Replace entrance doors/frames/locks	95,000	2002
5. Replace distribution panels and house panels	120,000	2002
6. New curb stop valves and boxes with security bolts	40,000	2002
7. Drug elimination programs	21,000	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 337,000</b>	
1. Separate kitchen & bath stack vents between adjoining 2 bdrm units	\$ 36,000	2003
2. Add landscaping	40,000	2003
3. Add tot lot	18,500	2003
4. Add parking lot	25,000	2003
5. Refinish stairs	50,000	2003
6. Unit doorbells	11,400	2003
7. Install playground pads	18,500	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 199,400</b>	
1. Drop staircase ceiling area	\$ 22,800	2004
2. Replace damaged drywall in selected dwelling units	25,000	2004

Description of Needed Physical Improvements or Management Improvements - PA4-5F Little Lehigh	Estimated Cost	Planned Start Date (HA Fiscal Year)
3. Replace/repair laundry room drywall	38,000	2004
5 YR SUB TOTAL	\$ 85,800	
<b>Total estimated cost over next 5 years</b>	<b>\$ 622,200</b>	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-6	Hanover Acres Community Building	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-6 H.A. Comm. Building	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Room dividers	\$ 14,400	2004

Description of Needed Physical Improvements or Management Improvements - PA4-6 H.A. Comm. Building	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>5 YR SUB TOTAL</b>	<b>\$ 14,400</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$ 14,400</b>	

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-7	Towers East	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-7 Towers East	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Replace kitchen & bath VCT floors	\$ 85,000	2002
2. Replace store front windows (Comm. sitting room)	6,000	2002
3. Upgrade kitchen/bathroom ventilation	25,000	2002
4. Replace domestic water booster pumps	35,000	2002
5. Replace heat distribution pumps	33,000	2002
6. Install emergency outlets in hallways	4,350	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 188,350</b>	
1. Replace closet doors	\$ 75,000	2004
2. Replace hallway carpet (Flotex)	63,000	2004
3. Replace elevator alcove VCT	10,000	2004
4. Replace stairwell lighting	10,200	2004
5. Paint exterior Omegaply panels	19,500	2004
6. Replace heat supply/return shut off chase valves	7,500	2004
<b>5YR SUB TOTAL</b>	<b>\$ 185,200</b>	

Description of Needed Physical Improvements or Management Improvements - PA4-7 Towers East	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>	<b>\$ 373,550</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-8	Walnut Manor	0	.00666

Description of Needed Physical Improvements or Management Improvements - PA4-8 Walnut Manor	Estimated Cost	Planned Start Date (HA Fiscal Year)

Description of Needed Physical Improvements or Management Improvements - PA4-8 Walnut Manor	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Upgrade entrance door to building (new type)	\$ 15,000	2002
2. Replace A/C units in lobby & Com. Rm.	18,000	2002
3. Replace wallpaper on all floors in elevator alcoves	11,200	2002
4. Replace exterior vent covers (apt. vent)	30,000	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 74,200</b>	
1.,Upgrade thermostatic controls	\$ 45,000	2003
2. Install emergency outlets in hallways	3,000	2003
3. Install emergency pull cords in trash rooms & laundry room	4,000	2003
4. Upgrade transformer/distribution	70,000	2003
5 Replace boilers (2)	90,000	2003
6. Upgrade hallway ventilation	88,000	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 298,000</b>	
1. Install new power louvers in boilerroom	\$ 10,000	2004
2. Replace porch railings	90,000	2004
3. Replace apt doors/frames/peepholes	82,500	2004
4. Replace comm. room cabinets	5,250	2004
5. Replace craft room cabinets	2,520	2004
6. Replace elevator alcove VCT	3,000	2004
7. Replace stairtower lights	6,000	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 199,270</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$ 573,470</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-9	Central Park	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-9 Central Park	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Replace dwelling unit VCT	\$ 125,000	2002
2. Replace common area VCT	15,000	2002
3. Replace oil fired water heaters	12,000	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 152,000</b>	
1. Paint/stain apartment entrance doors	\$ 4,750	2003
2. Remove vegetation from fence line	3,000	2003
3. Replace stair tower/maintenance doors	15,000	2003
4. Replace kitchens/countertops	113,600	2003
5. Replace cold domestic booster pump station	17,300	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 153,650</b>	
1. Replace hallway carpet (Flotex)	\$ 36,000	2004
2. Replace hot water circulating line	15,000	2004
3. Emergency generator enclosure	50,000	2004

Description of Needed Physical Improvements or Management Improvements - PA4-9 Central Park	Estimated Cost	Planned Start Date (HA Fiscal Year)
4. Replace stairtower lights	4,000	2004
5. Replace dining room lights	14,200	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 119,200</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$ 424,850</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-11	Scattered Sites	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-11 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Paint exterior of all buildings/siding	\$ 27,500	2002
5 YR SUB TOTAL	27,500	
1. 726 Walnut St. - windows	\$ 16,000	2004
2. 516 Gordon - kitchens	5,000	2004
3. 810 Walnut St. - hallways (paint/ structo glass)	1,600	2004
4. 729-31 Walnut St. - separate sewer lines	13,000	2004
move house electrical panel	2,000	2004
5. Replace furnaces at:		
403 N. 6th St.	4,000	2004
404 N. Fair St.	4,000	2004
406 N. Fair St.	4,000	2004
412 N. 7th St. (2)	8,000	2004
810 Walnut St. (2)	8,000	2004
516 Gordon St.	4,000	2004

Description of Needed Physical Improvements or Management Improvements - PA4-11 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
6. Replace exterior doors (all DUs)	19,500	2004
7. Replace dropped ceilings (all Dus)	39,600	2004
8. Replace parking lots at:		
516 Gordon St.	4,500	2004
810 Walnut St.	4,000	2004
726 Walnut St.	3,500	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 140,700</b>	
<b>Total estimated cost over next 5 years</b>	<b>\$ 168,200</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-12	Scattered Sites	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-12 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Waterproof basement walls Godfrey & Page Sts - 636 Godfrey St.	\$ 10,500	2002
2. Waterproof basement wall Albert & Wyoming - 124 S. Albert St.	10,500	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 21,000</b>	
1. Replace boilers at all 3 sites	\$ 42,000	2003
2. Replace roofs at all 3 sites	36,900	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 78,900</b>	2003
1. Replace entrance doors/locks Albert & Wyoming Sts.	\$ 4,000	2004
2. Replace kitchen cabinets, countertops, sinks et al (38 dwelling units)	36,100	2004
3. Replace fence 4th & Market Sts.	6,500	2004
4. Restrooms near laundry room all 3 sites	60,000	2004
<b>5 YR SUB TOTAL</b>	<b>\$ 106,600</b>	

Description of Needed Physical Improvements or Management Improvements - PA4-12 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>	<b>\$ 206,500</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PA4-14	Scattered Sites	0	0

Description of Needed Physical Improvements or Management Improvements - PA4-14 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
1. Replace fences at 101 N. 3rd St.	\$ 10,500	2002
2. Paint/repair exterior at 129 S. 5th St.	8,500	2002
<b>5 YR SUB TOTAL</b>	<b>\$ 19,000</b>	
1. Replace exterior meter bases all units	\$ 52,000	2003
2. Replace heat cabinet w/cast iron radiation all units - except 145-9 Linden St.	36,360	2003
3. Replace house sewer line to street - 228 Ridge Ave.	6,000	2003
4. Replace dropped ceilings all units	115,000	2003
<b>5 YR SUB TOTAL</b>	<b>\$ 209,360</b>	
1. New parking lot at 101 N. 3rd St.	\$ 24,000	2004
2. Hallway paint/structo glass at 237-9 N. 7th St.	4,800	2004
3. Replace entrance doors - 837-9 Gordon/401-3 N. 9th Sts.	6,000	2004
4. Replace boilers at:	89,500	2004

Description of Needed Physical Improvements or Management Improvements - PA4-14 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>101 N. 3rd St. (1)</b> <b>129 S. 5th St. (3)</b> <b>237-9 N. 7th St. (1)</b> <b>423 Allen St. (2)</b> <b>615 Penn St. (2)</b> <b>734 1/2 Cedar St. (1)</b> <b>1950 Chew St. (2)</b> <b>183 Gordon St. (1)</b> <b>145-9 Linden St. (1)</b> <b>135 S. Lumber St. (1)</b> <b>527 N. Penn St. (1)</b> <b>228 Ridge Ave. (1)</b> <b>1204 1/2 Union St. (1)</b>		

Description of Needed Physical Improvements or Management Improvements - PA4-14 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>458 Jordan St. (3)</b>		
<b>6. Rebuild porches (2) 615 N. Penn St.</b>	<b>6,000</b>	<b>2004</b>
<b>7. Replace tub surrounds - all units</b>	<b>100,000</b>	<b>2004</b>
<b>8. Replace entrance doors - all units</b>	<b>41,500</b>	<b>2004</b>
<b>9. Replace porches:</b>	<b>11,000</b>	<b>2004</b>
<b>228 Ridge Ave</b>		
<b>1950 Chew St.</b>		
<b>10. Replace parking lots at:</b>	<b>19,500</b>	<b>2004</b>
<b>423 Allen St.</b>		
<b>145-9 Linden St.</b>		
<b>228 Ridge Ave.</b>		
<b>1950 Chew St.</b>		
<b>237 N. 7th St.</b>		
<b>11. Install parking lot at:</b>	<b>9,000</b>	<b>2004</b>
<b>527 N. Penn St.</b>		

Description of Needed Physical Improvements or Management Improvements - PA4-14 Scattered Sites	Estimated Cost	Planned Start Date (HA Fiscal Year)
615 N. Penn St.  <p style="text-align: right;">5 YR SUB TOTAL</p>	\$ 311,300	
<b>Total estimated cost over next 5 years</b>	<b>\$ 539,660</b>	

**Optional Table for 5-Year Action Plan for Capital Fund (Component 7)**

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<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
PA4-16	Scattered Sites	0	0





# Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

**Annual PHDEP Plan Table of Contents:**

- 1. General Information/History**
- 2. PHDEP Plan Goals/Budget**
- 3. Milestones**
- 4. Certifications**

**Section 1: General Information/History**

**A. Amount of PHDEP Grant \$355,024**

**B. Eligibility type (Indicate with an Ax@) N1 \_\_\_\_\_ N2 \_\_\_\_\_ R \_\_\_\_\_**

**C. FFY in which funding is requested 2001.**

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must be no more than five (5) sentences long.   
 On-site visits, a description of community outreach programs that provide for Neighborhood Police Officers, and contracting programs and services with the Allentown Boys and Girls Club at various sites.

**E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Area (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
PA26-004-001 HANOVER ACRES	322	802
PA26-004-002 RIVERVIEW TERRACE	99	282
PA26-004-004 CUMBERLAND GARDENS	200	862
PA26-004-051 LITTLE LEHIGH	76	298

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an Ax@ to indicate the length of program by # of months. For Other@, identify the # of months)   
**6 Months \_\_\_\_\_ 12 Months X 18 Months \_\_\_\_\_ 24 Months \_\_\_\_\_ Other \_\_\_\_\_**

**G. PHDEP Program History**

Indicate each FY that funding has been received under the PHDEP Program (place an Ax@ by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place GE@ in column or AW@ for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1994	\$343,432	PA26DEP0040194	0		06/21/97
FY 1996	\$361,475	PA26DEP0040196	0		12/04/98
FY 1997					
FY 1998	\$374,214	PA26DEP0040198	\$51,605		06/10/01
FY 1999					

**Section 2: PHDEP Plan Goals and Budget**

**A. PHDEP Plan Summary**

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP funded activities. This summary should not exceed 5-10 sentences.

**B. PHDEP Budget Summary**

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$164,734
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$90,145
9170 - Drug Intervention	\$90,145
9180 - Drug Treatment	
9190 - Other Program Costs	\$10,000

<b>TOTAL PHDEP FUNDING</b>	<b>\$ 355,024</b>

**A. PHDEP Plan Goals and Activities**

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise (not to exceed two sentences in any column). Tables for line items in which the PHA has no planned goals or activities

<b>9110 - Reimbursement of Law Enforcement</b>					<b>Total PHDEP Funding: \$165,724</b>		
<b>Goal(s) deleted.</b>							
<b>Objectives</b>							
<b>Community Policing to maintain safe neighborhoods</b>							
<b>Proposed Activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount/Source)</b>	<b>Performance Indicators</b>
Employ two neighborhood police officers. Utilize DARE programs and materials.			09/01	09/02	\$164,734		
1.							
2.							
3.							

<b>9120 - Security Personnel</b>					<b>Total PHDEP Funding: \$</b>		
<b>Goal(s)</b>							
<b>Objectives</b>							
<b>Proposed Activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount/Source)</b>	<b>Performance Indicators</b>
1.							
2.							
3.							

<b>9130 - Employment of Investigators</b>					<b>Total PHDEP Funding: \$</b>		
<b>Goal(s)</b>							
<b>Objectives</b>							
<b>Proposed Activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount/Source)</b>	<b>Performance Indicators</b>
1.							
2.							

3.							
----	--	--	--	--	--	--	--

<b>9140 - Voluntary Tenant Patrol</b>						<b>Total PHDEP Funding: \$</b>	
<b>Goal(s)</b>							
<b>Objectives</b>							
<b>Proposed Activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount /Source)</b>	<b>Performance Indicators</b>
1.							
2.							
3.							

<b>9150 - Physical Improvements</b>						<b>Total PHDEP Funding: \$</b>	
<b>Goal(s)</b>							
<b>Objectives</b>							
<b>Proposed Activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount /Source)</b>	<b>Performance Indicators</b>
1.							
2.							
3.							

<b>9160 - Drug Prevention</b>						<b>Total PHDEP Funding: \$90,145</b>	
<b>Goal(s)</b>	Participants will remain drug and alcohol free. Participate in various prevention alternatives, participate in projects, special events, and group discussions, designed to prevent violence, child abuse, abduction, drug and alcohol abuse, and teen pregnancy. Club members will improve their academic standing by completing homework assignments and other educational activities designed to enhance academic performance.						
<b>Objectives</b>	150 new youth will participate in and complete activities intended to prevent drug and alcohol abuse. 50% of those entering the activities will complete the curriculum. 100 youth will participate in at least one other prevention program offered at the club. 100 youth will participate in Homework Club or Power Hour. 800 youth will actively participate in program activities.						

<b>Proposed Activities</b>	<b>Boys &amp; Girls Club programs and activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount /Source)</b>	<b>Performance Indicators</b>
		601	children and families	09/01	09/02	\$90,145		
1.								

2.							
3.							

<b>9170 - Drug Intervention</b>						<b>Total PHDEP Funding: \$90,145</b>		
<b>Goal(s)</b>		See goals outlined in Category 9160						
<b>Objectives</b>		See objectives outlined in Category 9170						
<b>Proposed Activities</b>	<b>Boy and Girls Club programs and activities</b>	<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount /Source)</b>	<b>Performance Indicators</b>
		601	Children & families	09/01	09/02	\$90,145		
1.								
2.								
3.								

<b>9180 - Drug Treatment</b>						<b>Total PHDEP Funding: \$</b>		
<b>Goal(s)</b>								
<b>Objectives</b>								
<b>Proposed Activities</b>		<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount /Source)</b>	<b>Performance Indicators</b>
1.								
2.								
3.								

<b>9190 - Other Program Costs</b>						<b>Total PHDEP Funds: \$</b>		
<b>Goal(s)</b>		Provide DARE programs and materials for Neighborhood Police interaction with the youth.						
<b>Objectives</b>								
<b>Proposed Activities</b>		<b># of Persons Served</b>	<b>Target Population</b>	<b>Start Date</b>	<b>Expected Complete Date</b>	<b>PHEDEP Funding</b>	<b>Other Funding (Amount /Source)</b>	<b>Performance Indicators</b>
1.	DARE Programs			09/01	09/02	10,000		
2.								
3.								

**Section 3: Expenditure/Obligation Milestones**

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110		\$164,734		\$164,734
9120				
9130				
9140				
9150				
9160		\$90,145		\$90,145
9170		\$90,145		\$90,145
9180				
9190		\$10,000		\$10,000
<b>TOTAL</b>		<b>\$355,024</b>		<b>\$355,024</b>

**Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the APHA Certifications of Compliance with the PHA Plan and Related Regulations.®

**CAPITAL FUND PROGRAM TABLES START HERE**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
<b>PHA Name: ALLENTWON HOUSING AUTHORITY</b>		<b>Grant Type and Number : COMP GRANT PA26P004707</b>			<b>Federal FY of Grant: 1998</b>
Original Annual Statement Reserve for Disasters/ Emergencies <b>X - Performance and Evaluation Report for Period Ending: 12/31/00</b>			Revised Annual Statement (revision no: ) Final Performance and Evaluation Report		
<b>Line No.</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost</b>	
		<b>Original</b>	<b>Revised</b>	<b>Obligated</b>	<b>Expended</b>
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration	175,000	180,793	180,793	180,793
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	100,000	54,615	54,615	54,615
8	1440 Site Acquisition				
9	1450 Site Improvement	158,302	95,535	95,535	92,965
10	1460 Dwelling Structures	2,271,690	2,407,049	2,407,049	2,102,127
11	1465.1 Dwelling EquipmentCNonexpendable				
12	1470 Nondwelling Structures	33,000	0	0	0
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				

17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of linesý..)	<b>2,737,992</b>	<b>2,737,992</b>	<b>2,737,992</b>	<b>2,430,501</b>
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to SecurityBSoft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-70798 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1998			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-1	Building Improvements								
Hanover Acres	1. Floors		1460	322 DU	715,000	690,624			
	2. Replace ttw kitchen exhaust hoods		1460	322 DU	0	13,900			
	3. Duct work		1460	36 Bldgs	0	76,048			
	<b>TOTAL</b>				<b>715,000</b>	<b>780,572</b>	<b>780,572</b>	<b>504,504.12</b>	
PA4-2	Site Improvements								
Riverview	1. Landscaping		1450	LS	35,000	0			
Terrace	Building Improvements								
	1. Crawl space doors/vents		1460	10 Bldgs	15,600	0			
	2. Replace exterior siding		1460	10 Bldgs	57,460	87,523			
	<b>TOTAL</b>				<b>108,060</b>	<b>87,523</b>	<b>87,523</b>	<b>87,522.65</b>	
PA4-3	Building Improvements								
Gross Towers	1. Emergency Communication 911 lobby		1460	LS	2,000	0			
	<b>TOTAL</b>				<b>2,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	

PA4-4	Building Improvements								
Cumberland	1. Add heat register to furnace room		1460	200 DU	10,000	9,660			
Gardens	2. Rewire security lights w/ 1 photo cell		1460	51 Bldgs	20,500	36,900			
					<b>30,500</b>	<b>46,560</b>	<b>46,560</b>	<b>46,560</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: PA26-P004-70898				1998			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-5E	Site Improvements								
700 Building	1. Replace fence rear, side		1450	LS	16,152	14,536			
	2. Storm collection drain		1450	LS	3,500	2,648			
	Building Improvements								
	1. Replace lavy top/base		1460	129 DU	38,700	24,190			
	2. Replace medicine cabinets		1460	129 DU	38,700	24,190			
	3. Upgrade elevator to micoprocessor		1460	LS	290,000	222,093			
	4. Signage		1460	LS	15,000	15,180			
	5. Replace windows/patio doors		1460	129 DU	198,400	160,230			
	6. A/C sleeves		1460	129 DU	85,150	68,770			
	<b>TOTAL</b>				<b>685,602</b>	<b>531,837</b>	<b>531,837</b>	<b>466,925.98</b>	
PA4-5F	Building Improvements								
Little Lehigh	1. 5 bedroom new furnace rm doors		1460	10 DU	6,500	0			
	2. 5 bedroom new furnaces		1460	10 DU	15,000	0			
	3. Rewire security lights 1 photocell/bldg		1460	10 Bldgs	7,730	7,000			
	4. C.B. ttw		1470	LS	10,000	0			
	5. C.B. new security screens		1470	LS	3,000	0			
	6. C.B. new louvers		1470	LS	4,000	0			

	7. C.B. demo front porch		1470	LS	8,000	0			
	8. C.B. change ext. siding to stucco		1470	LS	8,000	0			
	9. Cast iron baseboard radiation		1460	LS	0	47,292			
	10. Replace furnance room ext doors		1460	LS	0	25,288			
	<b>TOTAL</b>				<b>62,230</b>	<b>79,580</b>	<b>79,580</b>	<b>87,398.12</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: PA26-P004-70798				1998			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-7	Site Improvements								
Tower East	1. Landscaping		1450	LS	25,000	24,680			
	2. Enlarge parking lot		1450	LS	35,000	34,560			
	3. Exterior lights		1450	LS	19,350	19,111			
	Building Improvements								
	1. Signage		1460	LS	25,800	0			
	2. Kitchen lights		1460	129 DU	25,000	0			
	3. Living room lights		1460	129 DU	19,350	0			
	4. Replace corridor lights		1460	LS	0	44,200			
	5. Replace door locks		1460	129 DU	0	16,420			
	<b>TOTAL</b>				<b>149,500</b>	<b>138,971</b>	<b>138,971</b>	<b>150,870</b>	
PA4-8	Building Improvements								
Walnut Manor	1. Replace corridor ceilings		1460	LS	18,000	11,320			
	2. Replace corridor lights		1460	LS	19,200	46,200			
	3. Upgrade elevator		1460	LS	290,000	243,909			
	4. S/S shelf behind stove		1460	150 DU	22,500	17,900			
	5. Replace closet doors		1460	150 DU	97,500	0			
	6. Add plumbing fixture shutoff valves		1460	150 DU	35,000	0			
	<b>TOTAL</b>				<b>482,200</b>	<b>319,329</b>	<b>319,329</b>	<b>319,329</b>	


**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: PA26-P004-70798				1998			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-9	Site Improvements								
Central Park	1. Landscaping tenant use area		1450	LS	24,300	0			
	Building Improvements								
	1. paint exterior concrete								
	2. Signage		1460	LS	21,000	24,000			
	3. Clean balconies		1460	LS	10,000	10,840			
	4. Replace corridor lights		1460	62 DU	5,000	0			
	5. Upgrade elevators		1460	LS	0	192,598			
	6. New entrance canopy		1460	LS	0	37,028			
	<b>TOTAL</b>				<b>60,300</b>	<b>290,066</b>	<b>290,066</b>	<b>288,296.11</b>	
PA4-011									
516 Gordon	1. Roof		1460	1 DU	3,000	0			
726 Walnut	1. Roof		1460	3 DU	7,000	14,195			
810 Walnut	1. Roof		1460	2 DU	4,000	8,110			
403 N. 6th	1. Roof		1460	1 DU	3,000	6,082			
	<b>TOTAL</b>				<b>17,000</b>	<b>28,387</b>	<b>28,387</b>	<b>30,147.37</b>	

PA4-012									
	1. Upgrade fire detection sys all locations		1460	LS	5,000	0			
	<b>TOTAL</b>				<b>5,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: PA26-P004-70798				1998			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-014									
101 N. 3rd	1. Roof		1460	12 DU	10,000	12,170			
	2. Brickote		1460	12 DU	6,000	7,300			
	3. Paint		1460	12 DU	13,000	15,830			
129 S. 5 <sup>th</sup> St.	1. Roof		1460	3 DU	5,000	10,137			
237-9 N. 7 <sup>th</sup>	1. Roof		1460	5 DU	6,000	12,165			
	2. Exterior paint		1460	5 DU	8,000	0			
401-3 N. 9	1. Roof		1460	9 DU	7,000	0			
	2. Exterior paint		1460	LS	10,000	0			
7341/2 Cedar	1. Roof		1460	1 DU	3,000	6,083			
1950 Chew	1. Shingle roof		1460	2 DU	3,000	6,082			
458 Jordan	1. Roof		1460	3 DU	3,500	7,096			
145-9 Linden	1. Roof		1460	7 DU	10,700	21,694			

615 Penn	1. Roof		1460	2 DU	3,000	6,082			
228 Ridge	1. Roof		1460	2 DU	4,000	8,110			
12041/2 Union	1. Roof		1460	1 DU	3,000	6,082			
	2. Front porch		1460	1 DU	3,000	6,082			
	<b>TOTAL</b>				<b>98,200</b>	<b>124,913</b>	<b>124,913</b>	<b>138,692.66</b>	
PA4-016									
910 N. 6 <sup>TH</sup>	1. Roof		1460	1 DU	3,000	6,874			
916 N. 6 <sup>TH</sup>	1. Roof		1460	1 DU	3,000	6,979			
413 Allen	1. Roof		1460	1 DU	4,000	11,889			
421 E. court	1. Roof		1460	1 DU	3,000	6,692			
432 N. Front	1. Roof		1460	1 DU	3,500	6,003			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-70798 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1998			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-016									
6181/2 N. Front	1. Roof		1460	1 DU	3,000	3,048			
643 N. Front	1. Roof		1460	2 DU	3,000	0			
	2. Aluminum fascia		1460	2 DU	1,600	0			
	3. Front doors		1460	2 DU	1,800	2,940			
174 Gordon	1. Roof		1460	1 DU	3,000	8,518			
28 S. Howard	1. Roof		1460	1 DU	3,000	4,259			
	2. Front porch		1460	1 DU	2,500	0			
456 Jordan	1. Roof		1460	1 DU	4,000	5,653			
228 Liberty	1. Roof		1460	1 DU	3,000	3,600			
818 Tilghman	1. Roof		1460	1 DU	6,000	8,391			
	<b>TOTAL</b>				<b>47,400</b>	<b>74,846</b>	<b>74,846</b>	<b>74,846.25</b>	
	<b>SUB-GRAND TOTAL</b>				<b>2,462,992</b>	<b>2503,295</b>	<b>2,502,584</b>	<b>2,195,092.26</b>	

<b>PHA-WIDE</b>									
	Operations		1406		0	0	0	0	
	Administrative		1410		175,000	180,793	180,793	180,793.45	
	A & E Fees		1430		100,000	54,615	54,615	54,615.38	
	<b>GRAND TOTAL</b>				2,737,992	2,737,992	2,737,992	2,430,501.09	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program No: PA26-P004-70798 Replacement Housing Factor No:				Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PA4-1	03/00	06/00	06/00	03/01			
PA4-2	03/00	06/00	06/00	03/01			
PA4-3	03/00	06/00	06/00	03/01			
PA4-4	03/00	06/00	06/00	03/01			
PA4-5E	03/00	06/00	06/00	03/01			
PA4-5F	03/00	06/00	06/00	03/01			
PA4-7	03/00	06/00	06/00	03/01			
PA4-8	03/00	06/00	06/00	03/01			
PA4-9	03/00	06/00	06/00	03/01			
PHA-WIDE	03/00	06/00	06/00	03/01			
PA4-11	03/00	06/00	06/00	03/01			
PA4-12	03/00	06/00	06/00	03/01			
PA4-14	03/00	06/00	06/00	03/01			
PA4-16	03/00	06/00	06/00	03/01			

## Capital Fund Program Five-Year Action Plan

### Part I: Summary

[A Name		Original 5-Year Plan Revision No:			
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY:	Work Statement for Year 3 FFY Grant: PHA FY:	Work Statement for Year 4 FFY Grant: PHA FY:	Work Statement for Year 5 FFY Grant: PHA FY:
	Annual Statement				
Total CFP Funds (it.)					
Total Replacement using Factor ands					



**CAPITAL FUND PROGRAM TABLES START HERE**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
PHA Name: ALLENTWON HOUSING AUTHORITY		Grant Type and Number : COMP GRANT PA26P00470899			Federal FY of Grant: 1999
Original Annual Statement Reserve for Disasters/ Emergencies <b>X - Performance and Evaluation Report for Period Ending: 12/31/00</b>			Revised Annual Statement (revision no: ) Final Performance and Evaluation Report		
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0		0	0
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration	150,000		150,000	139,442.93
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	125,000		115,427	81,789.39
8	1440 Site Acquisition				
9	1450 Site Improvement	493,000		25,183	25,183.00
10	1460 Dwelling Structures	2,244,943		186,794	148,852.88
11	1465.1 Dwelling EquipmentCNonexpendable	70,000		38,663	38,662.85
12	1470 Nondwelling Structures	88,900		7,260	7,259.24
13	1475 Nondwelling Equipment	100,000		0	0
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of linesý..)	<b>3,271,843</b>		<b>523,327</b>	<b>441,190.29</b>
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to SecurityBSoft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-70899 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-1	Site Improvements								
Hanover Acres	1. Upgrade transformers		1450	20	200,000				
	Building Improvements								
	1. Replace bath ceilings		1460	322	85,000				
	2. Replace 30 boilers		1460	LS	600,000				
	3. Storm doors, replace		1460	322 DU	75,371				
	NonDwelling Structures								
	1. HART Maint shingle roof		1470	EA	4,500				
	2. HART Maint graffiti paint		1470	EA	7,000				
	3. HART Maint recontour parking lot		1470	EA	7,500				
	<b>TOTAL</b>				<b>979,371</b>		<b>176,602</b>	<b>138,660.88</b>	
PA4-2	Site Improvements								
Riverview Terrace	1. 100,500 rows: regrade, drains, sidewalk		1450	LS	55,000				
	Building Improvements								
	1. Replace countertop w/ Corian		1460	99	40,000				

	<b>TOTAL</b>				<b>95,000</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: PA26-POO4-70899				1999			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-3	Site Improvements								
Gross Towers	1. Concrete sidewalks		1450	LS	60,000				
	Building Improvements								
	1. Waterproof buildings		1460	LS	150,000				
	NonDwelling Equipment								
	1. Computer hardware upgrade		1475	LS	100,000				
	<b>TOTAL</b>				<b>310,000</b>		<b>0</b>	<b>0</b>	
PA4-4	Site Improvements								
Cumberland	1. Transformer upgrade		1450	12	120,000				
Gardens	Building Improvements								
	1. Replace furnance smoke pipe		1460	200	35,000				
	2. Replace water service vavles		1460	200	40,000				
	Gym								
	1. Replace overhead lights		1470	LS	18,000				
	<b>TOTAL</b>				<b>213,000</b>		<b>5,534</b>	<b>5,534.24</b>	
PA4-5E	Building Improvements								
700 Building	1. Improve hallway ventilation		1460	LS	57,372				

	2. Replace fire extinguishers		1460	18	4,000				
	3. Upgrade public restrooms		1460	LS	15,000				
	4. Replace hallway carpet		1460	9	60,000				
	<b>TOTAL</b>				<b>136,372</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: PA26-P004-70899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-5F	Building Improvements								
Little Lehigh	1. Waterproof 5 bedroom units		1460	5	40,000				
	2. Replace laundry tub		1460	76	9,500				
	<b>TOTAL</b>				<b>49,500</b>			<b>0</b>	<b>0</b>
PA4-6	Building Improvements								
HART	1. Weatherize building		1470	LS	44,400				
Comm Building	<b>TOTAL</b>				<b>44,400</b>			<b>1,725</b>	<b>1,725.00</b>
PA4-7	Building Improvements								
Towers East	1. Replace kitchen lights		1460	129 DU	25,000				
	2. Install living room lights		1460	129 DU	19,350				
	3. Replace windows and patio doors		1460	129 DU	210,000				
	4. Add AC sleeves, outlets		1460	129 DU	85,150				
	5. Enhance hallway ventilation circulation		1460	EA	25,000				
	6. Replace domestic shut off valves		1460	129 DU	13,200				
	7. Replace ttw AC Community room		1460	2	8,500				

	Dwelling Equipment								
	1. Replace ranges		1465.1	129 DU	45,000				
	<b>TOTAL</b>				<b>431,200</b>		<b>24,449</b>	<b>24,448.80</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: PA26-P004-70899 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-8	Building Improvements								
Walnut Manor	1. Replace unit lights (K)		1460	150 DU	37,500				
	2. Add dining room lights		1460	150 DU	22,500				
	3. Replace medicine cabinets		1460	150 DU	21,300				
	4. Replace closet doors		1460	150 DU	97,500				
	5. Upgrade public restrooms		1460	150 DU	15,000				
	6. Replace unit door locks/rekey bldg		1460	150 DU	25,000				
	7. Replace hot water recirculating line		1460	LS	9,000				
	8. Reinsulate boiler room vavles, etc.		1460	LS	25,000				
	<b>TOTAL</b>				<b>252,800</b>		<b>0</b>	<b>0</b>	
PA4-9	Site Improvements								
Central Park	1. Landscape rear area; tenant use		1450	LS	24,300				
	Building Improvements								
	1. Add bathroom ceiling light		1460	71 DU	10,700				
	2. Replace fire alarm system		1460	LS	65,000				
	3. Upgrade public restroom		1460	LS	15,000				
	Dwelling Equipment								
	1. Replace ranges		1465.1	71 DU	25,000				

	<b>TOTAL</b>				<b>140,000</b>		<b>24,406</b>	<b>24,406.05</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-70899 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-011	Building Improvements								
Scattered Sites	1. All B Interconnected smoke detection		1460	14 DU	7,500				
	2. All B Paint building exteriors		1460	14 DU	60,000				
412 N. 7 <sup>TH</sup> St	1. Install concrete celler floor/sumpump		1460	EA	6,000				
	2. Stucco/brick point (s/w sides)		1460	EA	30,000				
729-31 Walnut St	1. Paint building		1460	EA	8,000				
	2. Replace brick windowsills		1460	LS	4,000				
	<b>TOTAL</b>				<b>115,500</b>		<b>0</b>	<b>0</b>	
PA 4-012	Building Improvements								
Scattered Sites	1. All B Replace water softeners		1460	42 DU	15,000				
	2. All B Replace bath heater cabinets		1460	41 DU	6,500				
4 <sup>TH</sup> & Market	1. Paint Exterior		1460	10 DU	4,000				
Godfrey & Page	1. Replace accent panels		1460	16 DU	5,000				

Albert & Wyoming	1. Concrete porch repairs		1460	16 DU	6,000				
	<b>TOTAL</b>				<b>36,500</b>		<b>0</b>	<b>0</b>	
PA4-014									
Scattered Sites	Site Improvements								
1204 2 Union	1. Repair retaining wall		1450	EA	8,000				
183 Gordon	1. Overhaul parking area		1450	EA	8,000				
	2. Rebuild steps		1450	EA	4,000				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: PA26-P004-70899				1999			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-014	Building Improvements								
1204 2 Union	1. Cap all windows		1460	LS	4,000				
183 Gordon	1. Cap all windows/fascia		1460	LS	3,000				
	2. Replace kitchen		1460	LS	5,000				
527 N. Penn	1. Install CI radiation		1460	LS	5,000				
	2. Refurbish exterior, stucco/repaint brick, paint/replace 3 windows		1460	LS	10,000				
101 N. 3 <sup>RD</sup>	1. CI radiation w/ large expansion tank		1460	LS	33,000				
	1. All <b>B</b> Interconnected smoke detectors		1460	52 DU	30,000				
	1. Select Units <b>B</b> tubs & surrounds		1460	10	30,000				
	<b>TOTAL</b>				<b>140,000</b>			<b>0</b>	<b>0</b>
PA4-016									
Scattered Sites	Building Improvements								
421 E. Court	1. Replace front/rear porch		1460	LS	10,000				

228 Liberty St	1. Replace furnace (gas)		1460	EA	4,000				
	2. Replace windows/sills		1460	LS	6,000				
818 Tilghman St	1. Replace furnace (gas)		1460	EA	4,500				
	2. Paint exterior		1460	LS	3,000				
	3. Replace front porch		1460	LS	4,500				
	<b>TOTAL</b>				<b>32,000</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-70899 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
Warehouse	Site Improvements								
	1. Enlarge parking lot		1450	LS	8,500				
	2. Install fence (adjacent 210 N. 2 <sup>ND</sup> )		1450	LS	5,200				
	Building Improvements								
	1. Graffiti paint exterior		1470	LS	7,500				
	<b>TOTAL</b>				<b>21,200</b>		<b>25,183</b>	<b>25,183</b>	
	<b>GRAND SUBTOTAL</b>				<b>2,996,843</b>		<b>257,900</b>	<b>219,957.97</b>	
PHA-WIDE									
	Administration		1410		150,000		150,000	139,442.93	
	A & E Fees		1430		125,000		115,427	81,789.39	
	<b>GRAND TOTAL</b>				<b>3,271,843</b>		<b>523,327</b>	<b>441,190.29</b>	


**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program No: PA26-P004-70899 Replacement Housing Factor No:				Federal FY of Grant: 1999	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PA4-1	03/01			03/02			
PA4-2	03/01			03/02			
PA4-3	03/01			03/02			
PA4-4	03/01			03/02			
PA4-5E	03/01			03/02			
PA4-5F	03/01			03/02			
PA4-7	03/01			03/02			
PA4-8	03/01			03/02			
PA4-9	03/01			03/02			
PHA-WIDE	03/01			03/02			
PA4-11	03/01			03/02			
PA4-12	03/01			03/02			
PA4-14	03/01			03/02			
PA4-16	03/01			03/02			

## Capital Fund Program Five-Year Action Plan

### Part I: Summary

[A Name		Original 5-Year Plan Revision No:			
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY:	Work Statement for Year 3 FFY Grant: PHA FY:	Work Statement for Year 4 FFY Grant: PHA FY:	Work Statement for Year 5 FFY Grant: PHA FY:
	Annual Statement				
Total CFP Funds (it.)					
Total Replacement using Factor funds					



**CAPITAL FUND PROGRAM TABLES START HERE**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
PHA Name: ALLENTWON HOUSING AUTHORITY		Grant Type and Number : COMP GRANT PA26P00450100			Federal FY of Grant: 2000
Original Annual Statement Reserve for Disasters/ Emergencies <b>X - Performance and Evaluation Report for Period Ending: 12/31/00</b>			Revised Annual Statement (revision no: ) Final Performance and Evaluation Report		
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration	150,000		150,000	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	125,000		125,000	
8	1440 Site Acquisition				
9	1450 Site Improvement	284,143			
10	1460 Dwelling Structures	2,269,664			
11	1465.1 Dwelling EquipmentCNonexpendable				
12	1470 Nondwelling Structures	15,500			
13	1475 Nondwelling Equipment	250,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				

18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of linesý..)	<b>3,094,307</b>		<b>275,000</b>	<b>0</b>
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to SecurityBSoft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-50100 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-1	Site Improvements								
Hanover Acres	1. Repair grading		1450	LS	25,000				
	2. Remove brush/trees at fence line		1450	LS	6,000				
	Building Improvements								
	1. Washer/dryer/vent hook-up		1460	322	80,500				
	2. Replace vanity tops		1460	322	94,800				
	3. Separate washer drain lines		1460	LS	60,000				
	NonDwelling Improvements								
	1. HART Office upgrade AC system		1470	EA	12,000				
	2. HART Office install smoke det/sec sys		1470	EA	3,500				
	<b>TOTAL</b>				<b>281,800</b>			<b>0</b>	<b>0</b>
PA4-2	Site Improvements								
Riverview Terrace	1. Additional security lighting		1450	LS	12,000				
	Building Improvements								

	1. Replace lavatory sinks		1460	99	28,200				
	2. Replace kitchen cabinet drawer/faces		1460	99	49,500				
	<b>TOTAL</b>				<b>89,700</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-POO4-50100 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-3	NonDwelling Equipment								
Gross Towers	1. Change pneumatic heat controls		1475	LS	15,364				
	2. Install power trash room doors (504)		1475	7	35,000				
	<b>TOTAL</b>				<b>50,364</b>			<b>0</b>	<b>0</b>
PA4-4	Building Improvements								
Cumberland	1. Replace lavy tops/faucets		1460	200	65,000				
Gardens	2. Replace toilets, bath floor, flanges		1460	200	85,000				
	<b>TOTAL</b>				<b>150,000</b>			<b>0</b>	<b>0</b>
PA4-5E	Site Improvements								
700 Building	1. Overhaul landscaping		1450	LS	10,000				
	2. Rebuild/repave driveway areas		1450	LS	80,000				
	Building Improvements								
	1. Replace residential unit shutoff valves		1460	129	29,000				
	2. Replace closet doors		1460	129	60,000				

	3. Replace 1 <sup>ST</sup> fl ttw ac/heat		1460	LS	40,000				
	4. Install sprinkler system		1460	LS	350,000				
	5. Replace Comm Rm ceiling		1460	LS	5,000				
	6. Replace tenant use area furniture		1460	LS	13,000				
	NonDwelling Equipment								
	1. Replace emergency generator		1475	LS	35,000				
	<b>TOTAL</b>				<b>622,000</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: PA26-P004-50100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-5F	Site Improvements								
Little Lehigh	1. Replace wash pots		1450	76 DU	50,000				
	Building Improvements								
	1. New attic access doors		1460	66 DU	30,400				
	2. Replace rear doors		1460	42 DU	15,200				
	3. Change locksets on doors		1460	76 DU	14,700				
	4. Replace living rm lights & 5 bedroom laundry room		1460	76 DU	9,500				
	5. New heat register grilles		1460	76 DU	24,700				
	NonDwelling Equipment								
	1. Replace boilers K&G buildings		1475	LS	60,000				
	<b>TOTAL</b>				<b>204,500</b>			<b>0</b>	<b>0</b>
PA4-7	Building Improvements								
Towers East	1. Replace lavatory sinks & lights		1460	129 DU	51,600				
	2. Install power trash room doors (504)		1460	13	40,000				
	3. Install sprinkler system		1460	LS	350,000				
	4. Upgrade fire alarm system		1460	LS	135,000				
	5. Replace tenant use area furniture		1460	LS	9,000				

	NonDwelling Equipment								
	1. Replace emergency generator		1475	LS	35,000				
	<b>TOTAL</b>				<b>620,600</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: PA26-P004-50100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-8	Building Improvements								
Walnut Manor	1. Refurbish roof		1460	LS	35,000				
	2. Replace ttw/ac/heat in craft room		1460	EA	3,000				
	3. Replace ttw/ac/heat in billiard room		1460	EA	3,000				
	4. Upgrade emergency exit/maint exit area		1460	3	15,000				
	5. Install power trash room doors (504)		1460	8	26,000				
	6. Install sprinkler system		1460	LS	400,000				
	7. Replace heat circulation pumps		1460	LS	10,000				
	8. Replace tenant use area furniture		1460	LS	14,000				
	NonDwelling Equipment								
	1. Replace emergency generator		1475	LS	35,000				
	<b>TOTAL</b>				<b>541,000</b>		<b>0</b>	<b>0</b>	
PA4-9	Building Improvements								
Central Park	1. Replace kitchen lights		1460	71 DU	10,650				
	2. Replace bathroom vanities		1460	71 DU	21,300				
	3. Install power trash room doors (504)		1460	5	15,000				
	4. Replace tenant use area furniture		1460	LS	13,000				

	NonDwelling Equipment								
	1. Replace emergency generator		1475	LS	35,000				
	<b>TOTAL</b>				<b>94,950</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		<b>Grant Type and Number</b> Capital Fund Program Grant No: PA26-P004-50100 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-011	Building Improvements								
Scattered Sites	1. All <b>B</b> Replace stoves		1460	14 DU	5,000				
	<b>TOTAL</b>				<b>5,000</b>		<b>0</b>	<b>0</b>	
PA 4-012	Site Improvements								
Scattered Sites	1. Remove brush/tress at fenceline 4/M		1450	LS	2,000				
	Building Improvements								
	1. All <b>B</b> Replace stoves		1460	38 DU	15,000				
	2. All <b>B</b> Replace kitchen & bath floors		1460	38 DU	28,000				
Albert & Wyoming	1. Replace unit carpet		1460	16 DU	20,000				
Godfrey & Page St	1. Replace unit carpet		1460	16 DU	20,000				
4 <sup>TH</sup> & Market St	1. Replace unit carpet		1460	16 DU	10,143				
	<b>TOTAL</b>				<b>95,143</b>		<b>0</b>	<b>0</b>	

PA4-014	Building Improvements								
Scattered Sites	1. All <b>B</b> Replace refrigerators		1460	52 DU	20,000				
	2. All <b>B</b> Replace stoves		1460	52 DU	18,000				
729 Greenleaf St	1. Replace furnace		1460	1 DU	4,000				
	<b>TOTAL</b>				<b>42,000</b>		<b>0</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: PA26-P004-50100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PA4-016									
Scattered Sites	Building Improvements								
	1. Interconnected smoke detectors		1460	2	8,750				
456 Jordan St	1. Replace furnace		1460	1 DU	4,500				
228 Liberty St	1. Replace kitchen cabinets/tops		1460	1 DU	5,000				
	2. Replace floors		1460	1 DU	4,000				
	<b>TOTAL</b>				<b>22,250</b>		<b>0</b>	<b>0</b>	
	<b>GRAND SUBTOTAL</b>				<b>2,819,307</b>		<b>0</b>	<b>0</b>	
PHA-WIDE									
	Operations				0				
	Administrative				150,000		150,000		
	A & E Fees				125,000		125,000		
	<b>TOTAL</b>				<b>275,000</b>		<b>275,000</b>	<b>0</b>	

	<b>GRAND TOTALS</b>				<b>3,094,307</b>		<b>275,000</b>	<b>0</b>	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: ALLENTOWN HOUSING AUTHORITY		Grant Type and Number Capital Fund Program No: PA26-P004-50100 Replacement Housing Factor No:					Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
PA4-1	03/02			03/03				
PA4-2	03/02			03/03				
PA4-3	03/02			03/03				
PA4-4	03/02			03/03				
PA4-5E	03/02			03/03				
PA4-5F	03/02			03/03				
PA4-7	03/02			03/03				
PA4-8	03/02			03/03				
PA4-9	03/02			03/03				
PHA-WIDE	03/02			03/03				
PA4-11	03/02			03/03				
PA4-12	03/02			03/03				
PA4-14	03/02			03/03				
PA4-16	03/02			03/03				

	Original	Revised	Actual	Original	Revised	Actual	

## Capital Fund Program Five-Year Action Plan

### Part I: Summary

[A Name		Original 5-Year Plan Revision No:			
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY:	Work Statement for Year 3 FFY Grant: PHA FY:	Work Statement for Year 4 FFY Grant: PHA FY:	Work Statement for Year 5 FFY Grant: PHA FY:
	Annual Statement				
Total CFP Funds (it.)					
Total Replacement using Factor ands					

