

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of the City of Durham

PHA Number: NC013

PHA Fiscal Year Beginning: (mm/yyyy) 01/2001

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

_____ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

To provide quality affordable housing and economic opportunity in a supportive living environment without discrimination.

B. Goals

_____ PHA Goal: Expand the supply of assisted housing
Objectives:
 Apply for additional rental vouchers:
 Reduce public housing vacancies:
 Leverage private or other public funds to create additional housing opportunities:
 Acquire or build units or developments
 Other (list below)
Apply for HOPE VI funds
Develop system to monitor purchase opportunities of HUD or other foreclosure properties
Obtain control of vacant rental property

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

_____ PHA Goal: Improve the quality of assisted housing
Objectives:

- Improve public housing management: (PHAS score) 2 points per year to maximum
- Improve voucher management: (SEMAP score) 2 points per year to maximum

- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:
public housing finance; voucher unit inspections
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices
- Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords (increase by 5 per year)
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients= employability:

- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)
 - Provide resource person for each program participant
 - Partner with advocacy group for resident benefits
 - Expand educational opportunities for all households

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - _____ Other: (list below)

Other PHA Goals and Objectives: (list below)

1.0 Goal

Improve the living environment for affordable housing communities

- A. Objective: Identify areas that have no concentrations of poverty and encourage program participants to move there.
- B. Objective: Develop a preventive maintenance program to visit each unit at least once a year.
- C. Objective: Present a housekeeping seminar for residents, annually.
- D. Objective: Provide training for residents on basic home repairs.

2.0 Goal

Maintain high occupancy rates for affordable housing programs

- A. Objective: Provide programs to encourage self-sufficiency to retain current residents.
- B. Objective: Advertise in various media to market properties.
- C. Objective: Respond to at least 90% resident concerns within time period provided.
- D. Objective: Modernize building structures and site systems.
- E. Objective: Increase waiting list.

3.0 Goal

Provide safe environments for all program communities

- A. Objective: Apply for Drug Elimination Program funds to assist in community programs.
- B. Objective: Work with other organizations to encourage youth programs availability to all program participants.
- C. Objective: With assistance from City of Durham, maintain police presence in public housing communities.
- D. Objective: Organize ~~A~~Community Watch programs in communities where requested.
- E. Objective: Utilize lighting, fencing, and environmental design to enhance feeling of safety.

**Annual PHA Plan
PHA Fiscal Year 2001**

[24 CFR Part 903.7]

Annual Plan Type:

Indicate which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

Executive Summary of the Annual PHA Plan

[24 CFR Part 903.79 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Durham Housing Authority has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

We have adopted the following mission statement to guide the activities of Durham Housing Authority.

To provide quality affordable housing and economic opportunity in a supportive living environment without discrimination.

We have also adopted the following goals and objectives for the next five years:

Goal 1: Expand the supply of assisted housing

- Objectives: Apply for additional rental vouchers;
- Reduce public housing vacancies;
- Leverage private or other public funds to create additional housing opportunities;
- Apply for HOPE VI funds.

Goal 2: Improve the quality of assisted housing

- Objectives: Improve public housing management by increasing PHAS scores by 2 points per year to maximum;
- Improve voucher management by increasing SEMAP score by 2 points per year to maximum;
- Increase customer satisfaction;
- Concentrate on efforts to improve specific management

functions: public housing finance, voucher unit inspections;
Renovate or modernize public housing units;
Demolish or dispose of obsolete public housing;
Provide replacement public housing;
Provide replacement vouchers.

Goal 3: Increase assisted housing choices

Objectives: Provide voucher mobility counseling;
Conduct outreach efforts to potential voucher landlords
(increase by 5 per year);
Increase voucher payment standards;
Implement public housing or other homeownership
programs.

Goal 4: Provide an improved living environment

Objectives: Implement measures to deconcentrate poverty by bringing
higher income public housing households to lower income
developments;
Implement public housing security improvements.

Goal 5: Promote self-sufficiency and assist development of assisted households

Objectives: Increase the number and percentage of employed persons in
assisted families;
Provide or attract supportive services to improve assistance
recipients > employability;
Provide or attract supportive services to increase
independence for the elderly or families with disabilities;
Provide resource person for each program participant;
Partner with advocacy group for resident benefits;
Expand educational opportunities for all households.

Goal 6: Ensure equal opportunity and affirmatively further fair housing

Objectives: Undertake affirmative measures to ensure access to assisted
housing regardless of race, color, religion, national origin,
sex, familial status, and disability;
Undertake affirmative measures to provide a suitable living
environment for families living in assisted housing, regardless
of race, color, religion, national origin, sex, familial status,
and disability;

Undertake affirmative measures to ensure accessible housing
to persons with all varieties of disabilities regardless of unit
size required.

Goal 7: Improve the living environment for affordable housing communities

Objectives: Identify areas that have no concentrations of poverty and

encourage program participants to move there;
 Develop a preventive maintenance program to visit each unit at least once a year;
 Present a housekeeping seminar for residents, annually;
 Provide training for residents on basic home repairs.

Goal 8: Maintain high occupancy rates for affordable housing programs

Objectives: Provide programs to encourage self-sufficiency to retain current residents;
 Advertise in various media to market properties;
 Respond to at least 90% of resident concerns within time period provided;
 Modernize building structures and site systems;
 Increase waiting list.

Goal 9: Provide safe environments for all program communities

Objectives: Apply for Drug Elimination Program funds to assist in community programming;
 Work with other organizations to encourage youth programs availability to all program participants;
 With assistance from City of Durham, maintain police presence in public housing communities;
 Organize ACommunity Watch@programs in communities, where requested;
 Utilize lighting, fencing, and environmental design to enhance feeling of safety.

Our Annual Plan is based on the premise that if we accomplish our goals and objectives, we will be working towards the achievement of our mission.

The information set forth in the Annual Plan leads to the accomplishment of our goals and objectives. Taken as a whole, the data outline a comprehensive approach towards our goals and objectives and are consistent with our City's Consolidated Plan. Here are a few highlights of our Annual Plan:

- ! Establishment of preferences for admissions to public housing for involuntary displacement (disaster, government action, action of housing owner, inaccessibility, and property disposition).
- ! Adoption of changes to admission policies to promote deconcentration of poverty and to assure income mixing by employing waiting list A skipping@ for all developments.
- ! Establishment of ceiling rents for all developments utilizing fair market rents.
- ! Annual Statement for Capital Fund Program in the amount of \$3,021,805.
- ! Implementation of a HOPE VI Revitalization grant for our Few Gardens community.
- ! Identification of needs for measures to ensure resident safety and plans for

- ! crime and drug prevention activities.
- ! Attachment of Resident Advisory Board participation document.

In summary, the Durham Housing Authority is on course to improve the condition of affordable housing in our area.

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page #</u>
Annual Plan	
i.Executive Summary	1
i. Table of Contents	4
1. Housing Needs	8
2. Financial Resources	15
3. Policies on Eligibility, Selection and Admissions	16
4. Rent Determination Policies	26
5. Operations and Management Policies	30
6. Grievance Procedures	31
7. Capital Improvement Needs	32
8. Demolition and Disposition	48
9. Designation of Housing	49
10. Conversions of Public Housing	50
11. Homeownership	51
12. Community Service Programs	53
13. Crime and Safety	56
14. Pets	58
15. Civil Rights Certifications (included with PHA Plan Certifications)	58
16. Audit	58
17. Asset Management	58
18. Other Information	59

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, C, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a Required Attachment: ARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

- Deconcentration (nc013a02)
- _____ FY 2001 Capital Fund Program Annual Statement
- _____ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

- Optional Attachments:
- _____ PHA Management Organizational Chart
- _____ FY 2001 Capital Fund Program 5 Year Action Plan

- D ___ Public Housing Drug Elimination Program (PHDEP) Plan (nc013d02)
C ___ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (nc013c02)
B ___ Other (List below, providing each attachment name)
Statement of Consistency with Consolidated Plan (nc013b02)
E ___ Resident Member on the PHA Governing Board (nc013e02)
F ___ Membership of the Resident Advisory Board (nc013f02)
G ___ Statement of Progress (nc013g02)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the Applicable & On Display column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Applicable Plan Component
	HUD guidance) and 18. Documentation of the required deconcentration and income mixing analysis	
X	Public housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures x check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures x check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the **Overall** Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on housing needs for each family type, from 1 to 5, with 1 being **no impact** and 5 being **severe impact**. Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Over all	Afford- ability	Supply	Quality	Access- ibility	2. Size	Loca-tion
Income <= 30% of AMI	1033	5	4	N/A	N/A	5	N/A
Income >30% but <=50% of AMI	7474	5	N/A	N/A	N/A	5	N/A
Income >50% but <80% of AMI	6335	4	N/A	N/A	N/A	4	N/A
Elderly	2635	5	N/A	N/A	N/A	N/A	N/A
Families with Disabilities	N/A	N/A	N/A	N/A	3	N/A	N/A
Black/Non-Hisp.	45.2%	4	N/A	N/A	N/A	N/A	N/A
Hispanic	39.8%	4	N/A	N/A	N/A	N/A	N/A
White	31.8%	4	N/A	N/A	N/A	N/A	N/A
Other	41.6%	4	N/A	N/A	N/A	N/A	N/A

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

Consolidated Plan of the Jurisdiction/s

Indicate year: 2000

U.S. Census data: the Comprehensive Housing Affordability Strategy (CHAS®) dataset

American Housing Survey data

Indicate year:

Other housing market study

Indicate year:

Other sources: (list and indicate year of information)

A. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

Complete the housing needs of the families on the PHA's waiting list/s. Complete one table for each type of A-wide waiting list administered by the PHA. PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	671		498
Extremely low income <=30% AMI	650	96.87%	
Very low income (>30% but <=50% AMI)	21	3.13%	
Low income (>50% but <80% AMI)	0	0.00%	
Families with children	423	63.04%	
Elderly families	13	1.94%	
Families with Disabilities	74	11.03%	
White	31	4.62%	
Black	615	91.65%	
Other	25	3.73%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only) 0 BR	14	2.09%	Not available
1BR	233	34.72%	Not available
2 BR	182	27.12%	Not available
3 BR	117	17.44%	Not available
4 BR	81	12.07%	Not available
5 BR	30	4.47%	Not available
5+ BR	14	2.09%	Not available
Is the waiting list closed (select one)? <u>No</u> Yes			
If yes:			

Housing Needs of Families on the Waiting List

B. How long has it been closed (# of months)?
 Does the PHA expect to reopen the list in the PHA Plan year? No Yes
 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)
 Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)
 If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	1370		
Extremely low income <=30% AMI	1355	98.90%	
Very low income (>30% but <=50% AMI)	13	0.95%	
Low income (>50% but <80% AMI)	2	0.15%	
Families with children	774	56.50%	
Elderly families	233	17.00%	
Families with Disabilities	614	44.82%	
White	85	6.20%	
Black	1101	80.37%	
Other	184	13.43%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only) OBR	125	9.12%	
1BR	471	34.38%	N/A
2 BR	382	27.88%	N/A
3 BR	189	13.80%	N/A
4 BR	123	8.98%	N/A
5 BR	80	5.84%	N/A
5+ BR	0	0.00%	N/A

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? No <u>Yes</u>
If yes:
B. How long has it been closed (# of months)? 50 (5/1/96)
Does the PHA expect to reopen the list in the PHA Plan year? <u>No</u> Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No <u>Yes</u>

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing strategy.

(1) Strategies
Need: Shortage of affordable housing

for all eligible populations

Durham Housing Authority considers ourselves part of a larger effort in Durham to address affordable housing needs. In accordance with our goals included in this plan, Durham Housing Authority will try to minimize some identified needs by utilizing appropriate resources to maintain and preserve our existing stock. When appropriate and feasible, we will apply for additional grants and loans from governmental and private sources to help add to the affordable housing availability in our community. To that avail, we intend to work with our local partners, e.g. city/county government to try to meet the identified needs.

This year we expect to receive approximately \$3.2 million for modernization of our existing units through the Capital Fund Program and \$467,593 for our Public Housing Drug Elimination Program. We will implement a HOPE VI grant for which we have been approved for \$35 million. Priorities and guidelines for programs sometimes change from time to time. Our decisions to pursue certain opportunities and resources may also change during the year, if programs occur which are beyond our control.

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted

- by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

ct all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

ct all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

ct all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

B. Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

ct all that apply

- Seek designation of public housing for the elderly

- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

ct all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

ct if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

ct all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other

information available to the PHA

- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.79 (b)]

the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	\$4,890,500	
b) Public Housing Capital Fund	\$3,021,805	
c) HOPE VI Revitalization	\$31,275,000	
d) HOPE VI Demolition	\$3,725,000	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$10,537,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$467,593	
g) Resident Opportunity and Self- Sufficiency Grants	\$34,578	
h) Community Development Block Grant	\$0	
i) HOME	\$0	
Other Federal Grants (list below)	\$0	
Sub-total (Fed Grants - Year 2001)	\$53,951,476	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
a) CGP Grants	\$472,011	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
3. Public Housing Dwelling Rental Income	\$4,048,704	
4. Other income (list below)		
Excess utilities	\$15,000	PH operations
Investment income	\$97,700	PH operations
Other	\$18,000	PH operations
Sub-total (Other Income)	\$130,700	
4. Non-federal sources (list below)	\$0	
Total resources	\$58,602,891	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Options: PHAs that do not administer public housing are not required to complete subcomponent

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) Preliminary applications are accepted. PHA verifies arrest records, previous assisted housing records and status of previous financial obligations to PHA at the preliminary application stage.
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
- c. Yes No: Does the PHA request criminal records from local law enforcement

- agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
 Sub-jurisdictional lists
 Site-based waiting lists
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
 If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
 If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
 All PHA development management offices
 Management offices at developments with site-based waiting lists
 At the development to which they would like to apply
 Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

a. Preferences

x1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If **No** is selected, skip to subsection **(5) Occupancy**)

1. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing (As defined by City Code Enforcement)

- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans= families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a **A1@** in the space that represents your first priority, a **A2@** in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use **A1@** more than once, **A2@** more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- 1 Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans= families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
- If selected, list targeted developments below:
- Employing waiting list skipping to achieve deconcentration of poverty or income mixing goals at targeted developments
- If selected, list targeted developments below:
ALL
- Employing new admission preferences at targeted developments
- If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
ALL

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Options: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8(1) Eligibility assistance program (vouchers, and until completely merged into the voucher program, certificates).

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

The Durham Housing Authority will provide information to prospective landlords for all participants, upon request, when the housing authority possesses the following: the tenant history of family members, criminal or drug related activity, drug trafficking by family members, family's current address, and name and address of current landlord.

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: Extenuating circumstances: family emergency, hospitalization, disabled persons, request for lease approval has been turned in but the unit is not ready, family shows evidence of consistent efforts to locate housing.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8

tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) **Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans= families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Elderly, disabled, handicapped

3. If the PHA will employ admissions preferences, please prioritize by placing a **A1@** in the space that represents your first priority, a **A2@** in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use **A1@** more than once, **A2@** more than once, etc.

2 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans= families

- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

A1" Elderly, A1" Disabled, A1" Handicapped, A1" Displaced, A1" Homeless

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for residents who live and/or work in the jurisdiction (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

a. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.79 (d)]

A. Public Housing

Options: PHAs that do not administer public housing are not required to complete sub-component

(1) Income Based

Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

a. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

Market comparability study

Fair market rents (FMR)

95th percentile rents

75 percent of operating costs

100 percent of operating costs for general occupancy (family) developments

- Operating costs plus debt service
- The Rental value of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

Resident must report changes to income if it is a discontinuation of welfare or someone with income is added to lease.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

Utilization of Section 8 Fair Market Rents for Ceiling/Flat rents.

B. Section 8 Tenant-Based Assistance

Options: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR

- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.79 (e)]

Options from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA

Management Structure

Describe the PHA's management structure and organization.

(select one)

_____ An organization chart showing the PHA's management structure and organization is attached.

_____ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

_. List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use N/A to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

the PHA's public housing management and maintenance policy documents, manuals and books that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention and eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and

Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.79 (f)]

Options from component 6: High performing PHAs are not required to complete component 6.
Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.79 (g)]

Options from Component 7: Section 8 only PHAs are not required to complete this component and skip to Component 8.

A. Capital Fund

Activities

Options from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund

Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:
 The Capital Fund Program Annual Statement

Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

Annual Statement Capital Fund Program (CFP) Part I: Summary

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	\$0
2	1406 Operations	\$0
3	1408 Management Improvements	\$50,000
4	1410 Administration	\$95,187
5	1411 Audit	\$0
6	1415 Liquidated Damages	\$0
7	1430 Fees and Costs	\$128,308
8	1440 Site Acquisition	\$0
9	1450 Site Improvement	\$301,713
10	1460 Dwelling Structures	\$1,679,504
11	1465.1 Dwelling Equipment-Nonexpendable	\$0
12	1470 Nondwelling Structures	\$0
13	1475 Nondwelling Equipment	\$0
14	1485 Demolition	\$562,093
15	1490 Replacement Reserve	\$0
16	1492 Moving to Work Demonstration	\$0
17	1495.1 Relocation Costs	\$205,000
18	1498 Mod Used for Development	\$0
19	1502 Contingency	\$0
20	Amount of Annual Grant (Sum of lines 2-19)	\$3,021,805
21	Amount of line 20 Related to LBP Activities	\$0

22	Amount of line 20 Related to Section 504 Compliance	\$0
23	Amount of line 20 Related to Security	\$0
24	Amount of line 20 Related to Energy Conservation Measures	\$0

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
NC13-1 Few Gardens	Demolition	1485	\$562,093
NC13-2 & 3 McDougald Terrace	Dumpsters/pads/fences	1450	\$194,858
NC13-4 Scattered Sites			\$0
NC13-5 Fayetteville Street	Interior painting	1460	\$156,312
NC13-6 Oldham Towers			\$0
NC13-7 Cornwallis Road			\$0

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
NC13-8 Liberty Street			\$0
NC13-9 Club Boulevard			\$0
NC13-10 Hoover Road			\$0

NC13-11 J. J. Henderson			\$0
NC13-12 Morreene Road	Bathroom renovations Peepholes	1460 1460	\$579,180 \$7,500

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
NC13-13 Damar Court	Foundation wall repairs Closet door repairs	1460 1460	\$116,472 \$34,579
NC13-15 Oxford Manor	Renovate interiors (2)/exterior door peepholes Relocation	1460 1495.1	\$892,316 \$205,000
NC13-16 Kerrwood Estates			\$0
NC13-18 Birchwood Heights			\$0
NC13-20 Forest Hill Heights			\$0
PHA-wide	Administrative Services Training Maintenance Training Planning & Development Training	1408 1408 1408	\$5,000 \$10,000 \$5,000

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
PHA-wide	Housing Managers Training Resident Education Youth Leadership Training (NC13-2, 3, 5) Occupancy Training Administration Fees and Costs	1408 1408 1408 1408 1410 1430	\$8,000 \$10,000 \$10,000 \$2,000 \$95,187 \$128,308

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**Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
NC13-1 Few Gardens	9/30/2003	9/30/2004
NC13-2 & 3 McDougald Terrace	9/30/2003	9/30/2004
NC13-4 Scattered Sites	N/A	N/A
NC13-5 Fayetteville Street	9/30/2003	9/30/2004
NC13-6 Oldham Towers	N/A	N/A
NC13-7 Cornwallis Road	N/A	N/A

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
NC13-8 Liberty Street	N/A	N/A
NC13-9 Club Boulevard	N/A	N/A

NC13-10 Hoover Road	N/A	N/A
NC13-11 J. J. Henderson	N/A	N/A
NC13-12 Morreene Road	9/30/2003	9/30/2004
NC13-13 Damar Court	9/30/2003	9/30/2004

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
NC13-15 Oxford Manor	9/30/2003	9/30/2004
NC13-16 Kerrwood Estates	N/A	N/A
NC13-18 Birchwood Heights	N/A	N/A
NC13-20 Forest Hill Heights	N/A	N/A
PHA-wide Admin. Services Trng.	9/30/2003	9/30/2004
Maint. Training	9/30/2003	9/30/2004
P & D Training	9/30/2003	9/30/2004
Hsg. Mgr. Training	9/30/2003	9/30/2004
Resident Education	9/30/2003	9/30/2004
Youth Ldrshp. Trng.	9/30/2003	9/30/2004

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
PHA-wide		

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-2	McDougald Terrace	12	4.85%
NC13-3	McDougald Terrace	3	2.65%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Heating systems renovations		\$650,000	2002
Kitchen base cabinets/countertops		\$381,140	2003
Repave parking areas/streets		\$200,000	2003
Interior painting		\$435,435	2004
Roof replacement		\$585,000	2004
Structural problems		\$96,709	2004
Picnic areas		\$15,000	2005
Ranges		\$150,000	2005
Total estimated cost over next 5 years		\$2,513,284	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-4	Scattered Sites	1	2.00%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Doorbells		\$7,000	2002
Ranges		\$15,200	2002
Closet doors		\$21,000	2002
Range hoods		\$14,500	2003
Roof replacement		\$100,000	2003
Replace exterior entry doors		\$32,500	2004
Exterior painting		\$90,000	2005
Total estimated cost over next 5 years		\$280,200	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-5	Fayetteville Street	5	2.50%

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Baseboard heaters/repair light fixtures	\$300,000	2002
Closet doors	\$121,275	2002
Exterior doors/peepholes/replace transom windows	\$200,000	2003
Repair/replace floors	\$368,550	2004
Playground equipment	\$65,000	2004
Community Center renovations /renovate kitchen	\$150,000	2005
Total estimated cost over next 5 years	\$1,761,137	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-6	Oldham Towers	9	8.49%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Ranges		\$42,000	2002
Furniture for floor lobby areas		\$8,000	2002
Fire alarm/intercom system		\$300,000	2002
Repair/repave parking lots		\$100,000	2003
Front parking area awning		\$50,000	2004
Total estimated cost over next 5 years		\$450,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-7	Cornwallis Road	7	3.55%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)

Total estimated cost over next 5 years	\$0	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-8	Liberty Street	2	1.85%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Windows/screens replacement		\$343,699	2002
Bathroom renovations		\$324,000	2003
Drainage problems/landscaping		\$220,000	2003
Dumpsters/pads/fences		\$120,000	2003
Resurface parking areas		\$134,225	2003
Private outdoor space		\$317,363	2004
Playground equipment		\$25,000	2004
Total estimated cost over next 5 years		\$1,484,287	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-9	Club Boulevard	1	1.29%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Repair/replace floors		\$375,375	2003
Tot lot/playground equipment		\$29,7780	2003
Fence around playground		\$75,0000	2003
Community center building renovation		\$80,000	2004

Total estimated cost over next 5 years	\$560,153	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-10	Hoover Road	2	3.70%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years		\$0	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-11	J. J. Henderson Housing Center	4	2.27%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Ranges		\$49,000	2002
Floor tile installation		\$300,237	2002
Fire alarm/intercom system replacement		\$300,000	2002
Trash compactor replacement		\$100,000	2002
Refrigerators		\$90,000	2003
Plumbing cutoff valves (kit./baths)		\$35,600	2003

Total estimated cost over next 5 years	\$874,927	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-12	Morreene Road	3	1.34%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Tot lots/playground equipment		\$50,000	2002
Total estimated cost over next 5 years		\$50,000	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-13	Damar Court	10	9.90%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Heating system repairs		\$357,170	2002
Dumpsters/pads/fences		\$120,000	2002
Playground fence		\$30,000	2002
Washer/dryer hookups		\$220,000	2003
Exterior door peepholes		\$4,500	2004
Total estimated cost over next 5 years		\$731,670	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
NC13-15	Oxford Manor	34	20.00%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Community center building renovations			\$225,000	2002
Total estimated cost over next 5 years			\$225,000	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
NC13-16	Kerrwood Estates	0	0.00%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years			\$0	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-18	Birchwood Heights	2	29.00%

Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years		\$0	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
NC13-20	Forest Hill Heights	0	0.00%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Ranges		\$15,500	2002
Repair/pave parking lots, streets		\$100,000	2003
Drapes/blinds community center building		\$5,000	2003
Bathroom plumbing repairs		\$50,000	2004
Sidewalks installation		\$25,000	2004
Replace exterior entry doors/peepholes		\$40,000	2004
Total estimated cost over next 5 years		\$235,500	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PHA-wide	PHA-wide	N/A	N/A
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)

HVAC system replacement/ Central Office Gas pipeline inspection equipment	\$150,000 \$9,750	2004 2005
Total estimated cost over next 5 years	\$159,750	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PHA-wide	PHA-wide	N/A	N/A
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Administrative Services Training		\$5,000*	2002-2005
Planning & Development Training		\$5,000*	2002-2005
Maintenance Training		\$10,000*	2002-2005
Housing Managers Training		\$8,000*	2002-2005
Resident Education		\$10,000*	2002-2005
Youth Leadership Training (NC13-1,2,3,5,7,8,9,10,12,13,15)		\$10,000*	2002-2005
Occupancy Training		\$2,000*	2002-2005
*estimated cost to be expended each year			
Total estimated cost over next 5 years		\$200,000	

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Eligibility of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Budget Program Annual Statement.

x Yes ___ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

- 1. Development name: Few Gardens Public Housing Development
- 2. Development (project) number: NC013-01
- 3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

NC013-02 McDougald Terrace, NC013-03 McDougald Terrace

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.79 (h)]

Eligibility of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If ANo@, skip to component 9; if Ayes@, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If Ayes@, skip to component 9. If ANo@, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Few Gardens Public Housing Development
1b. Development (project) number: NC13-01
2. Activity type: <input checked="" type="checkbox"/> Demolition <input type="checkbox"/> Disposition
3. Application status (select one)

<input type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: <u>01/31/2001</u>
5. Number of units affected: 240 Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 04/01/2001 b. Projected end date of activity: 12/31/2001

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Options from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: **Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If ANo@, skip to component 10. If Ayes@, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)**

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If Ayes@, skip to component 10. If ANo@, complete the Activity Description table below.

<u>Designation of Public Housing Activity Description</u>
1a. <u>Development name:</u>
1b. <u>Development (project) number:</u>
2. <u>Designation type:</u> <input type="checkbox"/> Occupancy by <u>only the elderly</u> <input type="checkbox"/> Occupancy by <u>families with disabilities</u> <input type="checkbox"/> Occupancy by <u>only elderly families and families with disabilities</u>
3. <u>Application status (select one)</u> <input type="checkbox"/> Approved; included in the PHA-s <u>Designation Plan</u> <input type="checkbox"/> Submitted, pending approval

<u>Planned application</u>
4. <u>Date this designation approved, submitted, or planned for submission: (DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
1. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.79 (j)]

Options from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If **ANo@**, skip to component 11; if **Ayes@**, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If **Ayes@**, skip to component 11. If **ANo@**, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)

<input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership

Programs Administered by the PHA

[24 CFR Part 903.79 (k)]

A. Public Housing

Options from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If **ANo@**, skip to component 11B; if **Ayes@**, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If **Ayes@**, skip to component 12. If **ANo@**, complete the Activity Description table below.)

Public Housing Homeownership Activity Description

(Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	
_____ HOPE I	
_____ 5(h)	
_____ Turnkey III	
_____ Section 32 of the USHA of 1937 (effective 10/1/99)	
3. Application status: (select one)	
_____ Approved; included in the PHA's Homeownership Plan/Program	
_____ Submitted, pending approval	
_____ Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	
_____ Part of the development	
_____ Total development	

B. Section 8 Tenant Based Assistance

1. _____ Yes x No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If **ANo**, skip to component 12; if **Ayes**, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

_____ Yes _____ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- _____ 25 or fewer participants
 _____ 26 - 50 participants
 _____ 51 to 100 participants
 _____ more than 100 participants

b. PHA-established eligibility criteria

_____ Yes _____ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Options from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

PHA Coordination with the

Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed?

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and

Crime Prevention Measures

[24 CFR Part 903.79 (m)]

Options from Component 13: High performing and small PHAs not participating in PHDEP and Component 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

Need for measures to ensure safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed in and around public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)
all multifamily

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
 Crime Prevention Through Environmental Design
 Activities targeted to at-risk youth, adults, or seniors
 Volunteer Resident Patrol/Block Watchers Program
 Other (describe below)

Public housing unit, lease enforcement, enforcement of one strike rule, coordination with organized crime unit and service of drug warrants

2. Which developments are most affected? (list below) all multifamily

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
 Police provide crime data to housing authority staff for analysis and action
 Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
 Police regularly testify in and otherwise support eviction cases
 Police regularly meet with the PHA management and residents
 Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
Other activities (list below)

2. Which developments are most affected? (list below) all multifamily

D. Additional information as required by PHDEP/PHDEP Plan

As eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA

Plan?

Yes No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
 Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: nc013d02)

RESERVED FOR PET POLICY

CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Options from component 17: Section 8 Only PHAs are not required to complete this component.
1 performing and small PHAs are not required to complete this component.

Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the optional Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.79 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment C (nc013c02)

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

Many recommended changes to policies and procedures occurred prior to the draft Plan completion. Our Resident Advisory Board (RAB) will complete their input before the document is made available for the public hearing review. Comments made at the public hearing will also be included with the Resident Advisory Board comments Attachment C (nc013c02).

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot

Other: (describe)

b. Eligible candidates: (select one)

Any recipient of PHA assistance

Any head of household receiving PHA assistance

- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. The Consolidated Plan jurisdiction: (Durham, North Carolina)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)
See Attachment B Statement of Consistency with Consolidated Plan (nc013b02)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

this section to provide any additional attachments referenced in the Plans.

ATTACHMENT A

Admissions Policy for Deconcentration

Public Housing

1. Objective: The objective of the Deconcentration Rule for public housing units is to ensure that families are housed in a manner that will prevent a concentration of poverty families and/or a concentration of higher income families in any one development. The specific objective of the housing authority is to house no less than 40 percent of its public housing inventory with families that have income at or below 30% of the area median income by public housing development. Also the housing authority will take actions to insure that no individual development has a concentration of higher income families in one or more of the developments. To insure that the housing authority does not concentrate families with higher income levels, it is the goal of the housing authority not to house more than 60% of its units in any one development with families whose income exceeds 30% of the area median income. The housing authority will track the status of family income, by development, on a monthly basis by utilizing income reports generated by the housing authority's computer system.
2. Actions: To accomplish the deconcentration goals, the housing authority will take the following actions:
 - A. At the beginning of each housing authority fiscal year, the housing authority will establish a goal for housing 40% of its new admissions with families whose incomes are at or below the area median income. The annual goal will be calculated by taking 40% of the total number of move-ins from the previous housing authority fiscal year.
 - B. To accomplish the goals of:
 - (1) Housing not less than 40% of its public housing inventory on an annual basis with families that have incomes at or below 30% of area median income, and
 - (2) Not housing families with incomes that exceed 30% of the area median income in developments that have 60% or more of the total household living in the development with incomes that exceed 30% of the area median income, the housing authority's Resident Selection and Assignment Plan, which is a part of this policy, provides for skipping families on the waiting list to accomplish these goals.

Section 8 Tenant-Based Assistance

The Deconcentration Policy for Section 8 tenant-based assistance is to ensure that no less than 75% of its new admissions are families that have incomes at or below 30% of the area median income. Durham Housing Authority (DHA) will track the status of all new admissions monthly by utilizing income reports generated by its Management Information System (MIS). If DHA is not reaching its goal, families will be skipped on the waiting list to admit a family that has income at or below 30% of area median income. DHA's Section 8 applicant selection process, which is contained in the Section 8 Administrative Plan provides for the skipping of families on the waiting list to accomplish this goal.

ATTACHMENT B

Statement of Consistency with Consolidated Plan

The Housing Authority of the City of Durham (DHA) had an active role in the preparation of the 2000 – 2005 Consolidated Plan - serving on several committees. Research and needs analysis of the housing conditions in Durham reflected shortages in affordable housing without problems. At least 30% of any ethnic group in the area, in the rental market, is living in housing with problems. DHA's plan has goals to add to the affordable housing stock through additional public housing, requesting of more Section 8 vouchers, applying for HOPE VI funding, and building affordable housing through various programs. In addition, the agency will be utilizing HUD programs such as the Capital Fund and PHDEP to improve the existing housing stock. The plan also reflects programs that will continue to be used to provide assistance to DHA residents to make them self-sufficient so the existing housing stock can be utilized by others that have a need.

ATTACHMENT C

Resident Advisory Board Meeting Minutes

July 12, 2000

Meeting was called to order by Ms. Nottingham at 2:10 p.m.

Mr. William Brannon (Commissioner DHA) was introduced to the resident advisory board. Mr. Brannon put out a questionnaire and made a presentation on hiring someone to help resident councils be more aware and to be more informed on housing authority business.

Ms. Gunsalus wanted to know where the money would be coming from to hire someone.

Ms. Smith had a question for Mr. Brannon also.

Mr. Brannon also spoke for thirty-five minutes

The chairperson said that the resident advisory board would get back to Mr. Brannon with a response in writing.

Input on the Five Year Plan 2000 was requested.

Mr. Polk and Ms. Nottingham, along with Ms. Simpson and board members were all involved in discussing the following aspects of the five year plan:

- ! Eligibility activities
- ! Kids involved in gang and/or criminal activity to be known to the housing authority when applying for housing. Why do we not check state criminal records?
- ! Give preference to substandard housing occupants
- ! Public housing should ask schools to give them information on kids that have committed crimes.

The Pet Policy was discussed and left as an open agenda item until the next meeting in August. The resident advisory board felt that the pet policy was too complicated. Ms. Cooke will get a copy of the county, city and state policies on pets. Due to the seriousness of the implementation of the pet policy, the resident advisory board will survey the communities to solicit input from residents. Resident advisory board decided to present comments on the pet policy at the Public Hearing.

Meeting adjourned at 4:45 p.m.

**FIVE YEAR (2000 - 2004) AND 2001 ANNUAL PLANS
PUBLIC HEARING MINUTES
SEPTEMBER 12, 2000
6:00 pm**

The Vice Chairperson, Joyce Nichols, called the meeting to order.

Gwendolyn Simpson, Director of Planning and Development, explained that the plans had been available to review over the last 45 days. She then reviewed the updated annual plan.

Comments from persons attending the Public Hearing:

Charlie Smith commented on the agency receiving a HOPE VI grant for the revitalization of the Few Gardens community. The program includes Section 8 vouchers, a study to incorporate additional housing needs, housing choices with emphasis on affordability and market rate mixtures, various types of choices with large and small subsidies. Mr. Smith also mentioned needs that could be added, in the future, to the annual plan, i.e., new development on DHA owned property, demolition of McDougald Terrace, addressing of needs for Forest Hill Heights and other elderly housing.

Pat Wearing provided the changes to the Admissions and Continuing Occupancy Plan and the Section 8 Administrative Plan: definitions of welfare families were added; income limits were changed; a definition of very very low income was added.

Gloria Nottingham (Chairperson of Resident Advisory Board) presented input from their meetings, e.g., pet policy (types of animals and maintenance of litter boxes).

Frank Meachem provided information on the agency's considerations for the pet policy. He also discussed the community services component of the plan: it will be part of the lease, it requires all residents to participate in work, at least 8 hours a month; exemptions are elderly and handicapped; satisfaction and verification of work is required at each lease signing; the work requirement applies to Section 8 participants too.

Jim Polk (Resident Advisory Board facilitator) mentioned that this was an opportunity to allow participants' involvement. The residents should take advantage of the opportunities.

Marie Faison stated that there should be strengthening of transition areas into private opportunities; flyers should be mailed instead of being delivered door to door; there should be an open door policy to not shut out people; in her area there is no grass; she would like peep holes in her community;

Marie McLean added that the guidelines for the Family Self Sufficiency should be reviewed.

Betty Campbell stated that the meeting time for should be changed so that she could come to the meetings to get information; concerns in her community are – better lighting, in the bottom of her community a branch runs through that causes the area to be wet and have insects, dumpsters need cleaning, erosion control is needed, basements need cleaning, there are big bug, not roaches, in the apartments, peep holes are wanted, and there is no community center in the community. Ms Campbell also asked how are changes put in the plan, when requested and how does one know when changes are made.

Darlene Smith wanted to know the time lines for putting in place the pet policy and work requirements. She also mentioned that dogs were in her community now and there were a lot of fleas in the grass.

Tarshena Taylor stated that her Section 8 unit was inspected twice and passed though there were some problems. She mentioned that children's care starts at home. She also volunteered to serve on the Resident Advisory Board.

Febbie Patterson mentioned that more dumpsters were needed in her community.

There were no additional comments and the public hearing was closed.

ATTACHMENT D

FY 2001 Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$487328

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R X

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Durham Housing Authority's PHDEP Plan's major initiatives include management practices that promptly evict families involved in drug activity, police patrol teams in each community on a full time daily basis, as well as additional recreational activities for the youth and expanded job training opportunities for family members. There will be increased resident involvement throughout the program planning and decision making.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Oldham Towers	106	108
McDougald Terrace	360	996
Few Gardens	240	592
Oxford Manor	172	510
Hoover Road	54	190
Morreene Road	223	269
Damar Court	102	214

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months X Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs **have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.**

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	541000	NC19DEP0130195	0	N/A	12/5/97
FY 1996	555750	NC19DEP0130196	0	N/A	12/5/98
FY 1997	553280	NC19DEP0130197	0	N/A	12/5/99
FY 1998	553020	NC19DEP0130198	97600	N/A	12/10/00
FY 1999	467593	NC19DEP0130199	451437	N/A	1/3/02
FY 2000	487328	NC19DEP0130100	487328	N/A	1/3/03

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	215868
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	4000
9160 - Drug Prevention	259460
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	8000
TOTAL PHDEP FUNDING	487328

A. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$215868		
Goal(s)	(1) Reduce the level of crime; (2) increase the feeling of security among residents; (3) increase the level of resident participation						
Objectives	(1) Maintain the assignment of 14 Uniformed Officer to DHA (2) Increase the number of ‘non-crisis’ interaction between police & residents; (3) Monitor & evaluate the Public Housing Unit; (4) Collect & Analyze statistical data on crime						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Contract with DPD for Supplemental Police Service			06/01	12/31/02	209868	1071580 DHA & City of Durham	Signed Contract
2. Number of non-crisis interactions			06/01	12/02			Number of Community meetings attended
3. Collect & Analyze Part I Crime Statistics			01/01	12/02			Reduce Part I Crimes by 5%
4. Provide training for residents on crime & drug prevention			05/01	05/02	2000		Coordinate 2 workshops for residents on crime & drug prevention
5. Surveillance equipment			06/01	09/01	4000		Conduct CPTED Evaluation for the placement of surveillance equipment used in crime reduction

9120 - Security Personnel					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							

3.							
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9130 - Employment of Investigators					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$4000		
Goal(s)							
Improve security by making physical improvements that reduce the opportunity for crime and increase security among residents.							
Objectives							
Maintain existing security equipment and to evaluate the need for additional measures							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Maintain, replace & install iron fencing as needed			06/01	12/02	2000		Install additional fencing in a targeted community
2. Maintain, replace & install security lighting as needed			06/01	12/02	2000		Install new security lights in targeted communities
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$259460		
Goal(s)	To develop & implement programs to reduce the use of drugs in public housing communities through Youth Recreational & Educational Opportunities; Adult Oriented Programs & Support Services						
Objectives	(1) Increase recreational participation; (2) Involve parents in youth programs; (3) Organize Youth Councils; (4) increase resident participation in resident councils; (5) Enroll unemployed/underemployed residents in certified employment programs; (6) Hold job readiness workshops; (7) Use outreach workers to educate, identify and refer residents to drug and crime prevention resources.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Youth Programs (a) Youth Sports Coordinator (b) Youth Leadership Training (c) Police Summer Academy	415	Youth between the ages of 11 to 17 years	01/01	12/02	64000	160087	(a) # of youth participating in programs; (b) # of parents involved in youth programs; (c) # of youth councils
2. Adult & Youth Services (a) Community Service Specialist (b) Identify/Provide leadership workshops (c) VISTA Volunteer	250	Targeted Communities	01/01	12/02	52500	270699 DHA & City of Durham	(a) Increase resident attendance & participation by 20% in resident councils (b) Coordinate workshop for residents in crime/drug prevention (c) Recruit, train and refer residents for community leadership
3. Adult Programs (a) Career Opportunities Coordinator (b) Tuition Assistance (c) Books & Supplies (d) Child Care (e) Clerical Support	30	Targeted Communities	01/01	12/02	61460		(a-c) enroll and maintain 30 residents in Community & Vocational Training Programs (d) provide child care for program participants (e) Provide clerical support for all Adult Programs
4. Family & Other Support Service (a) Education & Training Coordinator (b) Substance Abuse/ Special Needs Case Manager (c) VISTA Volunteer	330		01/01	12/01	81500	10665 DHA & City of Durham	(a) employ one resident as an Education & Training Coordinator (b) Make 75 referrals to local resource agencies reference substance abuse and special need cases (c) Recruit and refer

9170 - Drug Intervention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$8000		
Goal(s)							
Conduct annual survey and evaluation of DEP							
Objectives							
Conduct an annual survey of 25% of public housing and evaluation of DEP							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Survey 25% of public housing			06/01	11/00	2000		Survey at least 500 public housing households
2. Evaluate all DEP funded programs			06/01	12/01	6000		Evaluate and report on all DEP programs
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activities 1-5	215868		
9120				
9130				
9140				
9150	Activities 1-2	2000	Activities 1-2	2000
9160	Activities 1,2,3,4	129730	Activities 1,2,3	129730
9170				
9180				
9190			Activities 1-2	8000
TOTAL		\$347598		\$139730

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

ATTACHMENT E

Resident Member on the PHA Governing Board

Name: RaShanne C. Woods

Address: 3622-12 Danube Lane
Durham, NC 27704

Method of Selection: Appointed by City Council, City of Durham, Durham, NC

Term of Appointment: Five-year term (10/2/2000 to 9/28/2005)

ATTACHMENT F

Membership of the Resident Advisory Board

Ms. Helen Walker
33-B Preston Court
Durham, NC 27703

Ms. Alice Anderson
33-B Lawson Street
Durham, NC 27701

Ms. Eva Mayo
519 E. Main Street #509
Durham, NC 27701

Ms. Odessa Satterfield
519 E. Main Street #706
Durham, NC 27701

Ms. Rosa Stanley
530 #P Liberty Street
Durham, NC 27701

Mr. Howard Boone
1126-36 Hoover Road
Durham, NC 27703

Ms. Anne Gunsalus
807 S. Duke Street #326
Durham, NC 27701

Mr. Lewis Wilson
807 S. Duke Street #428
Durham, NC 27701

Mr. Earl Bradley

1210-H Morreene Road
Durham, NC 27705

Ms. Betty J. Campbell
1011-F Sherwood Drive
Durham, NC 27705

Ms. Darlene Smith
3622-12 Danube Lane
Durham, NC 27704

Ms. Paige Farrington
2503 Glenbrook Drive
Durham, NC 27704

Ms. Alice Hayswood
700 S. Mangum Street #3A
Durham, NC 27701

Ms. Phyllis Moore
700 S. Mangum Street #4F
Durham, NC 27701

Mr. John Merritt
500 Pickwick Trail #227
Durham, NC 27704

Ms. Gaynell Cook
301 South Elm Street #5A
Durham, NC 27701

Ms. Sharon Wilson
1110 Hearthsides Street #A
Durham, NC 27707

Ms. Gloria Nottingham
19-B Beamon Street
Durham, NC 27707

Ms. Linda W. Smith
3515 #R Century Oaks Road
Durham, NC 27713

Ms. Fulinda Bennaham
1403 Chapel Hill Street #B-5
Durham, NC 27707

Ms. Iola Oatney
201 West Geer Street
Durham, NC 27701

Ms. Emma Manuel
500 Pickwick Trail #347
Durham, NC 27704

Ms. Josephine Pridgen
1504 N. Miami Boulevard
Durham, NC 27701

Mr. Jim Polk
Polk's Consulting Services
512 Latta Road
Durham, NC 27712-2732

ATTACHMENT G

Statement of Progress

In striving to reach our mission “To provide quality affordable housing and economic opportunity in a supportive living environment without discrimination,” the Durham Housing Authority (DHA) has this year been awarded a HOPE VI Revitalization Grant for the Few Gardens community.

DHA has moved towards achieving many of our goals:

- In expanding the supply of assisted housing, additional vouchers have been applied for and a HOPE VI grant has been awarded.
- The quality of our assisted housing has been improved through continued renovation and modernization of our public housing units.
- We have begun additional outreach efforts, e.g. newspapers, to assist in increasing housing choices and ensure equal opportunity for all Americans.
- Our agency was approved to have increased rents, for the Section 8 program, in one section of town which will assist in the deconcentration of poverty.
- Supportive services for program participants continue through the Family Self-Sufficiency Program and an Economic Development and Supportive Services program.
- Through our drug elimination program and partnership with the police department, DHA continues to work toward providing safe environments for all program communities.