

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: St. Cloud HRA

PHA Number: MN 038

PHA Fiscal Year Beginning: (mm/yyyy) 07/01/01

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
Strengthening St. Cloud through housing, economic and community development.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers: Applied for and received 100 additional vouchers.
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements: Working with law enforcement to establish crime free housing meeting.
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below): Improve energy efficiency of public housing units.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: All staff received fair housing training.
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Housing Authority Strategic Goal: Manage the St. Cloud HRA in an efficient and effective manner.

- HUD shall recognize the St. Cloud HRA as a high performer within the five year period.
- The St. Cloud HRA shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.
- Manage the St. Cloud HRA in a manner that results in full compliance with applicable statues and regulations.

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The St. Cloud Housing and Redevelopment Authority has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

We have adopted the following mission statement to guide the activities of the St. Cloud Housing and Redevelopment Authority.

Strengthening St. Cloud through housing, economic, and community development.

We have also adopted the following goals and objectives for the next five years.

Goal One: Manage the St. Cloud Housing and Redevelopment Authority's Public Housing Program in an efficient and effective manner.

- Objectives:**
1. HUD shall recognize the St. Cloud Housing and Redevelopment Authority as a high performer within the five-year period.
 2. The St. Cloud Housing and Redevelopment Authority shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.
 3. Manage the St. Cloud Housing and Redevelopment Authority in a manner that results in full compliance with applicable statutes and regulations.

Goal Two: The St. Cloud Housing and Redevelopment Authority will increase the availability of decent, safe, and affordable housing.

- Objectives:**
1. The St. Cloud Housing and Redevelopment Authority will apply for additional rent vouchers as available.
 2. The St. Cloud Housing and Redevelopment Authority will try to leverage private or other public funds to create additional housing opportunities.
 3. Through the use of Community Development Block Grants the St. Cloud Housing and Redevelopment Authority will support funding for other housing.
 4. Through the use of Community Development Block Grants the St. Cloud Housing and Redevelopment Authority will support funding for other housing.

Goal Three: The St. Cloud Housing and Redevelopment Authority will strive to improve or maintain community quality of life and economic vitality.

- Objectives:**
1. The St. Cloud Housing and Redevelopment Authority will work to implement measures to de-concentrate poverty by bringing higher income public housing households into lower income developments.
 2. In cooperation with the St. Cloud Police Department's Crime Free Multi-Housing and Community Crime Impact Team, the St. Cloud Housing and Redevelopment Authority will set up and maintain a working relationship to implement public housing security improvements.
 3. The St. Cloud Housing and Redevelopment Authority will apply for PHDEP grants.
 4. The St. Cloud Housing and Redevelopment Authority will look into measures to improve the energy efficiency of public housing units.

Goal Four: The St. Cloud Housing and Redevelopment Authority will strive to improve or maintain community quality of life and economic vitality.

- Objectives:**
1. The St. Cloud Housing and Redevelopment Authority shall ensure that at least two (2) positive stories a year will be in the local media regarding the Housing Authority or one of its residents.
 2. The St. Cloud Housing and Redevelopment Authority shall provide information about the agency to at least two (2) civic, religious or fraternal groups a year: 2001-provided to St Cloud School District Adult Education and Family Stabilization program.
 3. The St. Cloud Housing and Redevelopment Authority shall achieve

proper curb appeal for its Public Housing Developments by improving its landscaping, keeping the grass cut, making the properties litter-free and other actions by December 31, 2002.

Goal Five: The St. Cloud Housing and Redevelopment Authority will ensure equal opportunity in it's public housing for all Americans.

- Objectives:**
1. Affirmative measures will be undertaken to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability.
 2. Affirmative measures will be undertaken to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability.

The St Cloud Housing and Redevelopment Authority will work to increase the amount and variety of housing options in St. Cloud.

Our Annual Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission.

The plans, statements, budget summary, policies, etc. set forth in the Annual Plan all lead towards the accomplishment of our goals and objectives. Taken as a whole, they outline a comprehensive approach towards our goals and objectives and are consistent with the consolidated plan.

In summary, we are on course to improve the condition of affordable housing in St. Cloud, Minnesota.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments

- Admissions Policy for Deconcentration
- FY 2001 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
Project Based Assistance

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
Yes	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
Yes	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
Yes	Fair Housing Documentation:	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
Yes	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
Yes	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
Yes	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
Yes	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
Yes	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
Yes	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
Yes	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
Yes	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
Yes	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
Yes	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	A & O Policy	
Yes	Section 8 informal review and hearing procedures check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
NA	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
Yes	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
Yes	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
NA	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
NA	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
Yes	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
NA	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	8496	5	3	1	1	2	1
Income >30% but <=50% of AMI	1775	4	2	1	1	2	1
Income >50% but <80% of AMI	2096	2	2	1	1	2	1
Elderly	910	3	3	1	2	1	1
Families with Disabilities	Not Available	3	4	1	4	1	1
Race/Ethnicity	*13210						
Race/Ethnicity	*57						
Race/Ethnicity							
Race/Ethnicity							

1.) White 2.) Black 3.) Asian/Pacific Islander 4.) American Indian
 Minorities represent only 3.9% of population for a total of 516. Of this number 57 were black. There was no information on the breakdown of the remainder of this population. (3 & 4)

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2001
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	449		
Extremely low income <=30% AMI	323	72%	
Very low income (>30% but <=50% AMI)	103	23%	
Low income (>50% but <80% AMI)	23	5%	
Families with children	87	19%	
Elderly families	29	6%	
Families with Disabilities	54	12%	
Race/ethnicity (1)*	323	72%	
Race/ethnicity (2)	111	25%	
Race/ethnicity (3)	4	1%	
Race/ethnicity (4)	11	2%	

Housing Needs of Families on the Waiting List			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	358	80%	
2 BR	66	15%	
3 BR	21	4.5%	
4 BR	4	0.5%	
5 BR	0	0%	
5+ BR	0	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

* 1.) White 2.) Black 3.) Asian/Pacific Islander 4.) American Indian

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1033		
Extremely low income <=30% AMI	744	72%	
Very low income (>30% but <=50% AMI)	289	28%	
Low income (>50% but <80% AMI)	0	0	
Families with children	653	63%	
Elderly families	144	14%	
Families with Disabilities			

Housing Needs of Families on the Waiting List			
Race/ethnicity (1)*	913	87.4%	
Race/ethnicity (2)	77	7.5%	
Race/ethnicity (3)	29	2.8%	
Race/ethnicity (4)	24	2.3%	

* 1.) White 2.) Black 3.) Asian/Pacific Islander 4.) American Indian

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available

- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs

- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	\$108,882	
b) Public Housing Capital Fund	\$444,000	
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$2,302,442	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	N/A	
h) Community Development Block Grant	\$675,000	
i) HOME	N/A	
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
1998 CGP	\$95,695.98	PH Capital Improvements
1999 CGP	\$439,301.00	PH Capital Improvements

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
3. Public Housing Dwelling Rental Income	\$605,558.00	
4. Other income (list below)		
Laundry/Misc.	\$7,580.00	
Interest	\$5,000.00	
Revenue Recapture	\$13,000.00	
4. Non-federal sources (list below)		
Operating Transfer- Section 8	\$125,455.00	
Total resources	\$4,820,913.98	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: 60 Days
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

Credit Report

- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices

- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs

- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Resident Handbook

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

a-1 Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
Empire	90	One bedroom with incomes below 85%	Will give preference for higher income persons
Wilson	126	One bedroom with incomes below 85%	Will give preference for higher income persons

- b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

- d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments

- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)
Landlord Information

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Inability to leave housing, illness

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families

- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one) N/A

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
Contact with other agencies affected

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

If family requests minimum rent hardship exemption, HRA will determine whether hardship exists. If so, minimum rent suspended (up to 90 days) in temporary situation. In hardships of long term duration, HRA will exempt payment of minimum rent until the hardship no longer exists.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
- Yes for all developments
- Yes but only for some developments
- No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)

- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper

- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)
Flat rent not to exceed 90% of fair market rent.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)
Annually, or if it appears to be affecting lease-up.

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

1. When a family has lost eligibility for or is waiting an eligibility determination for a Federal, State or local assistance program.
2. When the family would be evicted as a result of the imposition of the minimum rent requirement.
3. When the income of the family has decreased because of changed circumstances, including loss of employment.
4. When a family has an increase in expenses because of changed circumstances for medical costs, childcare, transportation, education or similar items.
5. When a death has occurred in the family.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Public Housing Admissions & Occupancy Policy
Pet Policy
Safety & Crime Prevention Policy
Community Service Policy
Community/Facilities Use Policy
Criminal Record Management Policy
Hazardous Waste Policy
Maintenance Policy
Pest Control Policy
Deconcentration Policy

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.

- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	291	25%
Section 8 Vouchers	737	10%
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)	19 Homeless; 4 Domestic Abuse	2%
Public Housing Drug Elimination Program (PHDEP)	0	0
Other Federal Programs(list individually)	0	0
Section 8 New Construction	162	20%

C. Management and Maintenance Policies

- (1) Public Housing Maintenance and Management: (list below)
- Public Housing Admissions & Occupancy Policy
 - Pet Policy
 - Safety & Crime Prevention Policy
 - Community Service Policy
 - Community/Facilities Use Policy
 - Criminal Record Management Policy
 - Grievance Procedures
 - Hazardous Waste Policy
 - Maintenance Policy
 - Pest Control Policy

Deconcentration Policy

- (2) Section 8 Management: (list below)
See Section 8 Administration Plan.

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

see PHA Plan Table Library – Component 7

A. Capital Fund Activities

See table above

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment D

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
- If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
- If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly

families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA’s Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units	

Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name:
1b. Development (project) number:

<p>2. Federal Program authority:</p> <p><input type="checkbox"/> HOPE I</p> <p><input type="checkbox"/> 5(h)</p> <p><input type="checkbox"/> Turnkey III</p> <p><input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)</p>
<p>3. Application status: (select one)</p> <p><input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program</p> <p><input type="checkbox"/> Submitted, pending approval</p> <p><input type="checkbox"/> Planned application</p>
<p>4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)</p>
<p>5. Number of units affected:</p> <p>6. Coverage of action: (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p>

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

see community service plan – under attachments

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families (RAFS Program)
 Jointly administer programs
 Partner to administer a HUD Welfare-to-Work voucher program
 Joint administration of other demonstration program
 Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
 Public housing admissions policies

- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
FSS	14	Waiting List	TriCap	Both

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation
--

Program	Required Number of Participants (start of FY 2001 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	0	1
Section 8	10	14

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:
N/A

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents
(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

Wilson Apartments, Empire Apartments, Family Multi-Housing Units

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake:
(select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

Work with Gang and Drug Force Task and Community Impact Team of St. Cloud Police Department.

2. Which developments are most affected? (list below)
Wilson Apartments, Empire Apartments, Family Multi-Housing Units

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)
Wilson Apartments, Empire Apartments, Multi-Family Housing Units

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating , capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)
Capital Funds 5 Year Plan
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
- Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. X Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)
- b. Eligible candidates: (select one)
- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)
- c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of St. Cloud
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Initiate activities to reduce Lead Based Paint Hazards
 - HRA will work with other Housing Developments to provide additional affordable housing units.
 - Create more affordable housing through housing vouchers.
 - Fair Housing training in collaboration with the City of St. Cloud.
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)
 - Need for affordable housing
 - Fair Housing education and training

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment: A

Pet Policy

The St. Cloud Housing and Redevelopment Authority has a pet policy that allows common household pets in all public housing units.

Residents requesting a pet must comply with the following requirements:

1. Only one four-legged warm-blooded animal per unit.
2. Registration of pet with HRA Office.
3. Certificate from certified state authority empowered to inoculate that inoculations are up-to-date and pet has been spayed or neutered.
4. Pet deposit required on cat or dog.

Attachment: B

Resident Membership of PHA Governing Board

The St. Cloud Housing and Redevelopment Authority does not have a resident member on the HRA Governing Board. Please see the attached letter from Mayor Larry Meyer, City of St. Cloud.

Attachment: C

Membership of Resident Advisory Board

<u>Name</u>	<u>Address</u>
Maya Bailey	54 North Fourth Avenue #1002, St. Cloud, MN 56303
Donna Olson	54 North Fourth Avenue # 304, St. Cloud, MN 56303
Steve Walcheski	41 Northeast Third Avenue #701, St. Cloud, MN 56304
Keith Anderson	41 Northeast Third Avenue #210, St. Cloud, MN 56304
Louis Yzermans	905 West St. Germain Street #403, St. Cloud, MN 56301
Frances Lent	905 West St. Germain Street #413, St. Cloud, MN 56301
Marcella Steffenhagen	1525 Northway Drive #109, St. Cloud, MN 56303
Lynn Janey	1525 Northway Drive #123, St. Cloud, MN 56303
Allen Chandler	41 Northeast Third Avenue #503, St. Cloud, MN 56304

Attachment: C

Required Attachment

Resident Membership of PHA Governing Board

Attachment: D
Agency Plan Project Based Assistance

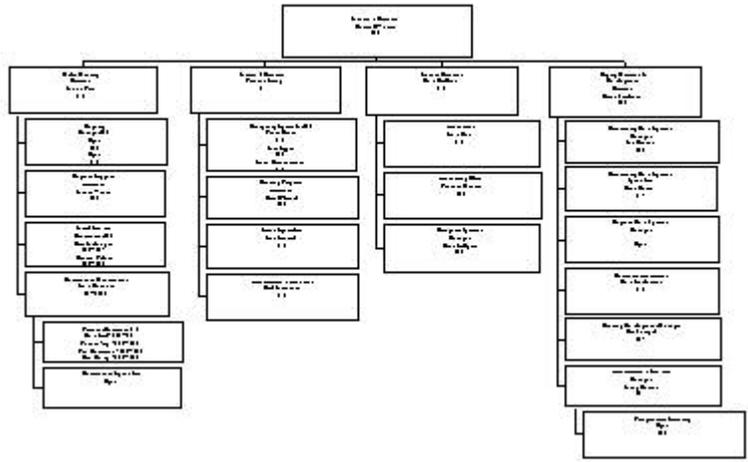
The St. Cloud Housing and Redevelopment Authority has chosen to Project-Base up to 50 Section 8 Vouchers.

These units may be located anywhere within the city limits of St. Cloud.

This will increase the availability of units in future years, which is now threatened due to the lack of eligible units in the city of St. Cloud and the low vacancy rate.

Requirements for this program are included in the Section 8 Administrative Plan.

Attachment: E
Organizational Plan



PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number 2001 FFY of Grant Approval: (February, 2001)

X Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	\$105,429
3	1408 Management Improvements	\$15,000
4	1410 Administration	\$52,714
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	\$19,900
8	1440 Site Acquisition	
9	1450 Site Improvement	\$87,600
10	1460 Dwelling Structures	\$229,467
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	\$9,800
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	\$7,236
20	Amount of Annual Grant (Sum of lines 2-19)	\$527,146
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement Capital Fund 2001

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
	Training	1408	\$15,000
	Administration	1410	\$52,714
Fee's and Costs	Architects & Engineers	1430	\$19,900
	Operations	1406	\$105,429
38-1	New Boiler Burners	1460	18,000
38-1	Weather-Srtip Windows	1460	\$15,000
38-1	Carbon Monoxide Detectors	1460	\$250
38-3	Carbon Monoxide Detectors	1460	\$250
38-7	Interior Improvements	1460	\$186,467
38-7	Exterior Improvements	1460	\$87,600
HA Wide	Lead Based Paint	1460	\$9,500
HA Wide	Digital Camera	1475	\$800
HA Wide	Office Copier	1475	\$3,000
HA Wide	Color Copier (Project Administrator)	1475	\$500
HA Wide	Office Furniture	1475	\$3,000
HA Wide	Computer (Public Housing Director)	1475	\$2,500
Contingency	Contingency	1502	\$7,236
TOTAL			\$527,146

Annual Statement Capital Fund 2001
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
Management	6/30/03	6/30/04
Administration	6/30/03	6/30/04
Fees/Costs	6/30/03	6/30/04
Operations	6/30/03	6/30/04
38-6	6/30/03	6/30/04
38-4	6/30/03	6/30/04

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years.
 Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Vacant Units	% Vacancies in Development
38-1	Empire Apartments		
Description of Needed Physical Improvements or Management Improvements			Planned Start Date (HA Fiscal Year)
			Estimated Cost
Asbestos Removal			\$2,000
New Boiler Burners			\$18,000
Weather-Strip Windows			\$15,000
Carbon Monoxide Detectors			\$250
Refrigerators			\$31,125
Stoves			\$3,000
Asbestos Removal			8,000
Motion Detectors			\$500
Common Area Smoke Detectors			\$7,000
Clean Drain Pipes			\$10,000
Replace Bath/Kitchen T Pipe with Y			\$22,250
Bath Fan Roof Replacements			\$4,000
Retrofit Common Area Lighting			\$12,000
Upgrade Security Camera System			\$40,000
Toilets			\$25,700
Hallway Carpet			\$22,500
Boiler Sink			\$500
Ceiling Fans			\$22,250
Total estimated cost over next 5 years			\$244,075

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
38-3	Wilson Apartments		
Description of Needed Physical Improvements or Management Improvements			Planned Start Date (HA Fiscal Year)
			Estimated Cost
Asbestos Removal			2,000
Carbon Monoxide Detectors			\$250
Interior Common Area Improvements			\$5,000
Refrigerators			\$34,850
Stoves			\$3,000
Stair Rail Upgrades			\$37,500
Asbestos Removal			\$8,000
Clean Drain Pipes			\$10,000
Upgrade Federal Breakers			\$20,000
Hand Dryers in Public Bathrooms			\$1,500
Replace Bath Roof Fans			\$500
Boiler Mud Leg			\$10,000
Ceiling Fans or Air Conditioner Sleeves			\$34,000
Toilets			\$40,000

Infra-Red Scan for Moisture	\$2,100	2003
Upgrade Security Camera System	\$40,000	2004
Retrofit Common Area Lighting	\$30,000	2004
Energy Improvements	\$16,700	2004
Exterior Door to Courtyard	\$3,000	2005
Common Area Smoke Detectors	\$7,000	2005
Lead Based Paint Testing (Wilson, Empire, 38-5)	\$5,500	2001
Total estimated cost over next 5 years	\$300,900	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
38-4	Flintwood Townhouses			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Interior Improvements			\$157,900	2002
Exterior Improvements			\$16,000	2002
Carbon Monoxide Detectors			\$1,200	2002
Flooring			\$60,000	2003
Handrails/Doorbells			\$6,600	2003
Total estimated cost over next 5 years			\$241,700	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
38-5	Scattered Sites			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Garage (1)			\$23,000	2003
Roofing			\$5,000	2004
Flooring			\$20,000	2004
Garage (4)			\$92,000	2005
Landscaping			\$6,000	2005
Total estimated cost over next 5 years			\$146,000	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
38-6	Scattered Sites			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Windows (7)			\$48,067	2004
Roofing			\$5,000	2004
Flooring			\$20,000	2004
Garages (2)			\$69,000	2005
Landscaping			\$6,000	2005
Total estimated cost over next 5 years			\$148,067	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
38-7	Scattered Site			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Interior Improvements			\$186,467	2001
Exterior Improvements			\$87,600	2001
Roofing			\$5,000	2004
Flooring			\$20,000	2004
Landscaping			\$6,000	2005
Total estimated cost over next 5 years			\$305,067	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
38-10	Quarry Road Townhouses Cedar Ridge Townhouses			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Carbon Monoxide Detectors			\$2,400	2002
Install Water Meters			\$10,000	2002
Exterior Lighting			\$20,000	2003
Landscaping			\$10,000	2003
Storm Sewer Drain			\$15,000	2003
Install Garage Service Doors			\$15,000	2004
Replace Damaged Siding			\$15,000	2004
Security Camera			\$8,000	2004
Total estimated cost over next 5 years			\$95,400	

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
PHA Wide Non-Dwelling	PHA Wide Non-Dwelling			
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Digital Camera			\$800	2001
Office Copier			\$3,000	2001
Color Copier (Project Administrator)			\$500	2001
Office Furniture			\$3,000	2001
Computer (Public Housing Director)			\$2,500	2001
Addition to Maintenance Shop			\$30,000	2005
Total estimated cost over next 5 years			\$39,800	

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
PHA Wide	PHA Wide		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Management Improvements		\$15,000	2001
Management Improvements		\$15,000	2002
Management Improvements		\$15,000	2003
Management Improvements		\$20,000	2004
Management Improvements		\$20,000	2005
Total estimated cost over next 5 years		\$85,000	

COMMUNITY SERVICE

The Quality Housing and Work Responsibility Act of 1998 requires that housing authorities set forth in their Annual Plan a description of the Community Service and Self-Sufficiency Programs. This portion of the Plan is divided into three (3) sections:

- St. Cloud Housing and Redevelopment Authority's current resident programming;
- How the Housing Authority intends to comply with income changes for welfare recipients;
- Compliance with the community service requirements.

First, the St. Cloud Housing and Redevelopment Authority has the following resident programming.

- The St. Cloud Housing and Redevelopment Authority offers the Family Self-Sufficiency Program to persons who have a Section 8 Certificate or who reside in public housing. The program is designed to assist families obtain and maintain economic independence and self-sufficiency by combining Public Housing or Section 8 Housing Assistance with other public and private resources. All participants of the Family Self-Sufficiency Program with work with a counselor set up through Tri-County Action Program to identify their future goals, locate opportunities for education and jobs. Along with developing other skills necessary to become economically self-sufficient.
- The St. Cloud Housing and Redevelopment Authority currently has resident programming that is set up to offer social and education opportunities for residents located in the high-rise buildings. Some examples of the resident programming would be Monthly Dinners, Game Night, and education/information provided by First Call for Help, St. Cloud Fire Department, etc.
- Another part of the resident programming is the Resident Councils. There are four Resident Councils, one being located in each of the high-rise apartment buildings. Attached is a copy of the By-Laws. The St. Cloud Housing and Redevelopment Authority staff are working to encourage residents of the multi-family multiple sites to start a Resident Council.

Second, we will be in full compliance with the income changes for welfare recipients requirement of the Quality Housing and Work Responsibility Act of 1998. We took care of the issue by modifying the income definitions in both our Admissions and Continued Occupancy Policy and our Section 8 Administrative Plan. The relevant section reads as follows:

- "2. If the amount of welfare is reduced due to an act of fraud by a family member or because of any family member's failure to comply with requirements to participate in an economic self-sufficiency program or work activity, the amount of rent required to be paid by the family will not be decreased. In such cases, the amount of income. complied with the welfare requirements and/or had not committed an act of fraud.
3. If the amount of welfare assistance is reduced as a result of a lifetime time limit, the reduced amount is the amount that shall be counted as income."

Finally, per the instructions given in the Final Rule dated March 14, 2000, the St. Cloud Housing and Redevelopment Authority has developed the following for the community service activities.

- **Service Requirement:** Each adult resident of public housing must contribute 8 hours of community service per month. This can not include political activities. The community service requirement can be completed by 8 hours of community service or participation in an economic self-sufficiency program for 8 hours each month. Or a combination of community service and economic self-sufficiency.

- **Current Residents/New Residents:** The St. Cloud Housing and Redevelopment Authority will provide a listing of Community Service Opportunities to all current residents. New residents will be given the listing and community service requirements at the time they sign their lease agreement. Per the lease agreement, current residents will be notified of the lease modification that requires community service hours by August 1, 2000. The notice will indicate the community service opportunities, that verification by a third party of community service hours must be provided to the Housing Authority 30 days before the annual recertification of income. Residents will also be given a listing of individuals that are exempt from this requirement, and what happens if the resident fails to complete the required community service hours.

- **Exempt individuals:**
 1. 62 years or older
 2. Blind or disabled individual as defined under 216(i) (1) or 1614 of the Social Security Act (42 U.S.C. 416(i) (1); 1382c), and who certifies that because of this disability she or he is unable to comply with the service provisions of this subpart, **or** (ii) is a primary caretaker of such individual
 3. Engaged in work activities
 4. Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.) or under any other welfare program of the State in which the PHA is located, including a State-administered welfare-to-work program
 5. Member of a family receiving assistance, benefits or services under a State program funded under Part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.) or under any other welfare program of the State in which the PHA is located

- **Noncompliance of community service:** The St. Cloud Housing and Redevelopment Authority will conduct a determination 30 days before the end of the lease period to determine whether a resident has fully complied with the total number of hours. The Housing Authority may not review a resident's lease unless the agency enters into an agreement with the resident before the expiration of the lease period. The resident is then permitted to come into compliance with the requirement by participating in an economic self-sufficiency program or by contributing community service for as many additional hours needed to comply over the 12-month term of the lease. The St. Cloud Housing and Redevelopment Authority is required to notify residents of their noncompliance and the termination is subject to the administrative grievance procedure.

- **Monitoring of Compliance:** The St. Cloud Housing and Redevelopment Authority staff will monitor compliance of the community service requirement by requesting written verification of completed hours of community service or participation in self-sufficiency program. The Housing Authority will work with the various non-profit organizations to ensure adequate documentation is received.



OFFICE OF THE MAYOR

November 1, 2000

Marshall Weems
Executive Director
St. Cloud Housing & Redevelopment Authority
619 West St. Germain St., Suite 212
St. Cloud, MN 56301

Dear Marshall:

I'm writing you today in response to the request that HUD has concerning representation of a resident or Section 8 participant on the Board of the St. Cloud Housing and Redevelopment Authority. Appointments to the HRA Board are made in the same manner that all City boards and commissions. The City Council affirms my appointments to City boards and commissions. In order to get qualified candidates we reach out to the community in a variety of ways. Interested candidates can also contact my office to make me aware of their interest in serving. I, then, attempt with staff input to present the most qualified candidate to the City Council for confirmation. The candidate's background, skills and interests are matched with the board or commission that can best utilize them. Upon confirmation by the City Council the appointee becomes a member of the board or commission.

This process ensures the City that the boards and commissions will have interested people with the expertise needed at the time a vacancy occurs on a board of commission. This is critically important to the HRA board as its activities include economic development, community development, neighborhood revitalization, financing, bond issues and other undertakings that are beyond the normal housing activities of HRA's. As you know, the board can only consist of five commissioners, two of whom must be city councilors. This means that unless a city councilor were a public housing resident or Section 8 participant that only three board of commissioners vacancies could be filled by a resident or Section 8 participant.

HRA commissioners serve staggered terms so that one position becomes available each year. The next scheduled term is set to expire in November of 2001. A person who develops affordable housing for a living currently fills that position. He is known statewide for his expertise in this area and is a significant contributor to the HRA board in the area of housing development. He is also a former HRA executive director. I am certain that with the number of housing projects that the HRA is involved in, that housing development expertise will be invaluable to the Board in the next few years. If this

400 2nd Street South • St. Cloud, MN 56301-3699
(320) 255-7201 • FAX: (320) 255-7293 • TDD (320) 650-3383
<http://ci.stcloud.mn.us>

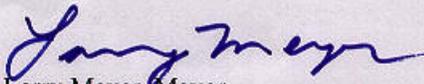
The City of St. Cloud, Minnesota will not discriminate on the basis of race, color, creed, religion, national origin, sex, disability, age, marital status, status with regard to public assistance, familial status or sexual orientation. Upon request, accommodation will be provided to allow individuals with disabilities to participate in all city services, programs and activities.

commissioner chose not to seek reappointment, I would look at other candidates who have housing development for this position on the board.

The City is always interested in people who want to serve on a board of commission. The positions on the HRA Board must be filled by the most qualified person available. It makes me uncomfortable to set aside positions on the HRA Board. Perhaps HUD would like to consider the establishment of an advisory committee for housing related items that relate directly to our public housing and Section 8 programs. We could utilize more people's time and energy in their areas of experience without expecting them to have personal expertise in the many areas that the HRA is involved with.

It is easy to understand why HUD would want us to stay in touch with the need of people we serve through housing projects. I must balance that with the need to put the best qualified individuals on the HRA board because of its important and broad mission. Since I've been mayo, I do not recall ever receiving an application to serve on a HRA board from a resident or Section 8 participant. Should I receive one in the future I would compare it to all of those received and attempt to choose the best qualified candidate.

Best regards,



Larry Meyer, Mayor

Statement of Progress in Meeting 5-Year Plan Mission and Goals

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

In the fall of 2000, the St. Cloud Housing and Redevelopment Authority was awarded additional 100 vouchers.

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Two public housing complexes were certified under the Crime Free multi-housing program.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.

All staff at the St. Cloud Housing and Redevelopment Authority has received fair housing training.

Annual Statement/Performance and Evaluation Report				U.S. Department of Housing and Urban Development				
Comprehensive Grant Program (CGP) Part II: Supporting Pages				Office of Public and Indian Housing				
2000 CF						OMB Approval No. 2577-0157 (exp. 7/31/98)		
Development			Total Estimated Cost		Total Actual Cost		06/30/2001	
Number/Name	General Description of Major	Development	Quantity	Original	Revised	Funds	Funds	Status of Proposed Work (2)
HA-Wide	Work Catagories	Account						
Activities		Number			3	Obligated (2)	Expended (2)	
Management	Management Improvements	1408		\$ 15,000.00	\$ 35,940.07	\$ 12,159.46	\$ 12,159.46	Added \$23,940.01 from 99 CGP
		1408						
Admin	Salaries & Benefits:	1410		\$ 51,674.00	\$ 51,674.00	\$ 51,674.00	\$ 3,081.82	
Fees & Costs	Architectural & Engineering fees	1430		\$ 28,467.00	\$ 40,967.00	\$ 1,469.32	\$ 1,469.32	Added \$12,500 from 99 CGP
Operations	Public Housing Operation Fund			\$ 103,000.00	\$ 103,000.00	\$ 103,000.00	\$ 103,000.00	
38-1	Interior Common Area Improvements	1460		\$ -	\$ 7,711.21			Moved from 98 CGP Reduced from \$25,000 6/30/01
	Lead Based Paint Inspection	1460			\$ 1,315.00	\$ 1,315.00	\$ 1,315.00	Moved from 2001 CF
38-3	Boiler Mud Leg	1460			\$ 12,100.00	\$ 12,100.00	\$ 12,100.00	Parially moved to 99 CGP
	Lead Based Paint Inspection	1460			\$ 1,828.00	\$ 1,828.00	\$ 1,828.00	Moved from 2001 CF
	Interior Common Area Improvements	1460		\$ -	\$ 9,877.24			Moved from 98 CGP
	Handi-cap washer and dryer	1475			\$ 5,000.00			Moved from 2003 CF
	Smoke Detectors	1460			\$ 2,300.00			
38-5	Smoke Detectors	1460			\$ 795.00			
	Scattered Sites	1460			\$ 30,134.50	\$ 30,134.50		
	Scattered Sites Flooring	1460			\$ 1,498.90			
	Scattered Sites	1450			\$ 495.00	\$ 495.00	\$ 495.00	
	Interior Door Knobs	1460		\$ -	\$ 810.84	\$ 810.84	\$ 810.84	
	Lead Based Paint Inspection	1460			\$ 3,205.00	\$ 3,205.00	\$ 3,205.00	Moved from 2001 CF
38-6	Scattered Sites	1460		\$ 266,600.00	\$ 104,968.64	\$ 104,968.64	\$ 73,645.24	
		1450			\$ 1,620.00	\$ 1,620.00	\$ 160.00	
	Interior Door Knobs	1460			\$ 1,032.00	\$ 1,032.00	\$ 1,032.00	
	Flooring	1460			\$ 3,000.00	\$ 1,905.40	\$ 1,905.40	
	Kitchen Cabinet Re-Facing	1460			\$ 19,288.08	\$ 19,288.08		
	Smoke Detectors	1460			\$ 1,035.00			
38-7	Smoke Detectors	1460			\$ 1,100.00			
	38-7							
	Vinyl Decking	1460		\$ 9,632.00	\$ 9,632.00	\$ 9,632.00	\$ 9,632.00	

38-4	Flintwood	1460		\$ 52,000.00	\$ 52,000.00				
	Smoke Detectors	1460			\$ 2,720.00	\$ 2,720.00			
	Carbon Monoxide Detectors	1460			\$ 1,200.00	\$ 1,200.00			
Non-Dwelling	Carpet Cleaner	1475		0	\$ -			Moved from 99 CGP to 2001 \$4,700	
	Office Copier (Partial)	1408/1475			\$ 3,000.00	\$ 3,000.00	\$ 3,000.00		
38-10	Smoke Detectors	1460			\$ 4,320.00	\$ 4,320.00			
	Carbon Monoxide Detectors	1460			\$ 2,400.00	\$ 2,400.00			
Contingency		1502		\$ -	\$ -			Moved from 99 CGP	
	Digital Camera	1475		\$ -	\$ 773.52	\$ 773.52	\$ 773.52	Moved from 2001 CF	
				\$ 516,741.00	\$ 516,741.00	\$ 370,277.24	\$ 229,612.60		
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administer & Date							
X		X							
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.									
(2) To be completed for the Performance and Evaluation Report.									

Annual Statement/Performance and Evaluation Report			U.S. Department of Housing			
Part I: Summary			and Urban Development			
Comprehensive Grant Program (CGP)			Office of Public and Indian Housing			
June 30, 2001			Comprehensive Grant Number		FFY of Grant Approval	
HA Name	St. Cloud Housing and Redevelopment Authority		MN46P03870600		CGP-2000	
Original Annual Statement Reserve for Disaster/Emergencies			Revised Annual Statement/Revision Number 2 Performance and Evaluation Report 7/01/00			
Final Performance and Evaluation Report						
		Total Estimated Costs		Total Actual Cost		
Line No.	Summary by Development Account		Original	Revised (3)	Obligated	Expended
1	Total Non-CGP Funds					
2	1406 Operations		\$ 103,000.00	\$ 103,000.00	\$ 103,000.00	\$ 103,000.00
3	1408 Management Improvements		\$ 15,000.00	\$ 35,940.07	\$ 12,159.46	\$ 12,159.46
4	1410 Administration		\$ 51,674.00	\$ 51,674.00	\$ 51,674.00	\$ 3,081.82
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs		\$ 28,467.00	\$ 40,967.00	\$ 1,469.32	\$ 1,469.32
8	1440 Site Acquisition					
9	1450 Site Improvement			\$ 2,115.00	\$ 2,115.00	\$ 655.00
10	1460 Dwelling Structures		\$ 318,600.00	\$ 274,271.41	\$ 196,859.46	\$ 105,473.48
11	1465.1 Dwelling Equipment-Nonexpendable					
12	1470 Nondwelling Structures					
13	1475 Nondwelling Equipment			\$ 8,773.52	\$ 3,773.52	\$ 3,773.52
14	1495.1 Relocation Costs					
15	1490 Replacement Reserve					
16	1502 Contingency (may not exceed 8% of line 16)			\$ -		
17	Amount of Annual Grant (Sum of lines 2-15)		\$ 516,741.00	\$ 516,741.00	\$ 371,050.76	\$ 229,612.60
18	Amount of line 16 Related to LBP Activities					
19	Amount of line 16 Related to Section 504 Compliance					
20	Amount of line 16 Related to Security					
21	Amount of line 16 Related to Energy Conservation Measures					
(1) To be completed for the Performance and Evaluation Report or a Revised A			(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date			Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

Annual Statement/ Performance and Evaluation Report				U.S. Department of Housing and Urban Development			
Comprehensive Grant Program (CGP) Part III: Implementation Schedule				OMB Approval No. 2577-0157 (exp. 7/31/98)			
Development				Office of Public and Indian Housing			
Number/Name		06/30/2001					
HA-Wide		All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates (2)	
Activities		Original	Revised (1)	Actual	Original	Revised	Actual
Management (1408)		03/31/2002	03/31/2002				
Admin (1410)		9/31/00	3/31/01	03/31/2001			Did Not Receive funds in September
Fees & Costs (1430)		03/31/2001	3/31/02				
Operations		9/31/00	3/31'01	03/31/2001			Did not receive funds in September
38-1			03/31/2002				
38-3			03/31/2002				
38-4		3/31/02	03/31/2002				
38-5			03/31/2002				
38-6 (1460)		03/31/2002	03/31/2002				
38-7			03/31/2002				
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administer & Date			
X				X			
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.							
(2) To be completed for the Performance and Evaluation Report.							