

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

Jefferson County, Kentucky
KY105

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of Jefferson County

PHA Number: KY 105

PHA Fiscal Year Beginning: (mm/yyyy) 7/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- x The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- x PHA Goal: Expand the supply of assisted housing
Objectives:
- x Apply for additional rental vouchers: 500 over 5 year period
 - x Reduce public housing vacancies: average 96% occupancy
 - x Leverage private or other public funds to create additional housing opportunities:20 units over 5 years
 - x Acquire or build units or developments
 - Other (list below)

2001 Update: Received 194 vouchers in Fair Share. Applied for more Fair Share. Will apply for Maistream.

- x PHA Goal: Improve the quality of assisted housing
Objectives:
- x Improve public housing management: (PHAS score) 90%
- 2001 Update: Received High Performer status under PHAS

- x Improve voucher management: (SEMAP score) 90%
 - x Increase customer satisfaction: 80% customer satisfaction survey
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - x Renovate or modernize public housing units: 100 over next 5 years
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- x PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - x Conduct outreach efforts to potential voucher landlords
 - x Increase voucher payment standards
 - x Implement voucher homeownership program: Innovate until HUD regulations- already implemented
- 2001 Update: Secured \$800,000 as second mortgage pool to implement a Section 8 mortgage assistance program
- x Implement public housing or other homeownership programs: continue sale of single family public housing in Newburg under approved plan
 - x Implement public housing site-based waiting lists: keep merged with Section 8 list, but identify those who want public housing specifically.
 - Convert public housing to vouchers:
 - x Other: (list below): Allow households in good standing to choose Section 8 or public housing or mod rehab interchangeably and not be locked into one program. Effective immediately.

HUD Strategic Goal: Improve community quality of life and economic vitality

- x PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- x Implement public housing security improvements: lighting
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- x Other: (list below) Allow households to choose assistance type, so that a public housing resident could choose to use the Section 8 program to move or a person with a

voucher could choose a public housing site. Make this choice seamless to the client while properly billing the correct program for the housing.

Continue to eliminate portability barriers to clients using Section 8 vouchers by administering by contract the city of Louisville's Section 8 program .

Target low poverty areas with higher rents for higher Payment Standards

Expedite time for households who wish to do and early lease cancellation in Section 8

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

x PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- x Increase the number and percentage of employed persons in assisted families: For non-elderly or non-disabled headed households have 66% with income from employment
- x Provide or attract supportive services to improve assistance recipients' employability: Partner with agencies providing services leading to stabilization and employment skills building..
- x Provide or attract supportive services to increase independence for the elderly or families with disabilities. Work with agencies that provide skills building and employment assistance for persons with disabilities or handicaps, effectively utilizing the Mainstream program. Bring service providers to the high rise site to provide activities and services. Make services available to scattered site residents.
Finish the use of funds in the HOPE for Frail Elderly program
Develop plan for assisted living needs with partners.
- x Other: (list below) Continue work on the Individual Development Account program to bring economic literacy and savings and investment skills to households. Encourage long term asset building.
Continue Family Self Sufficiency Program unless an improved substitute is created.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

x PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- x Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: Continue work with the Kentucky Commission on Human Rights. Use census tract mapping to measure results in Section 8. Do regular mailings to Section 8 owners about fair housing.

Continue involvement with the Fair Housing Council so long as the council's rules permit serving on a board.

Use discretion to give higher payment standards in census tracts with low poverty and few Section 8 residents.

- x Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability: Continue aggressive Section 8 inspection standards.
Require supportive programs for housing authority sponsorship for LIHTC in bonus areas and affirmative marketing to Section 8 households in non-bonus areas.
- x Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required: Renovate High rise to make more accessible.
Continue partnership with the Center for Accessible Living and form new partnerships with service providers that combine housing and services.
Use of Mainstream program.
Continue to allow higher Payment Standard for accessible units
- x Other: (list below)
Identify barriers and adapt economic independence programs (e.g. FSS) for easy accessibility to persons with disabilities.
Work with advocacy groups to create housing situations that aid stability.

Other PHA Goals and Objectives: (list below)

x **Continue collaborating with area PHAs to improve choices for consumers**

Update 2001- There is now a signed portability agreement with surrounding jurisdictions for ease of consumer use

Annual PHA Plan
PHA Fiscal Year 2001
 [24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

SEE: Five Year Plan for updates

Admissions Policy for Deconcentration

B FY 2001 Capital Fund Program Annual Statement

Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

E Resident Advisory Board

F Section 8 Home Ownership Capacity Statement

G Resident Member of the PHA Governing Board

H Implementation of Community Service Requirements

I Definitions of Significant Amendment to the Annual Plan and Substantial Deviation From the Five Year Plan

Optional Attachments:

C PHA Management Organizational Chart

R FY 2001 Capital Fund Program 5 Year Action Plan

D Public Housing Drug Elimination Program (PHDEP) Plan

Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)

Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
E	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
F	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
O	Fair Housing Documentation: Records reflecting that the PHA has examined its programs	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
G	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
H	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
I	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
H	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
H	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
I	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
T	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
J	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
I	Section 8 informal review and hearing procedures x check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
U	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
P	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
K	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
L	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
S	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
M	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
Q	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
R	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N	Other supporting documents (optional) MHC Reports on Segregation and Affordability Impediments to Fair Housing	(specify as needed)
W	Public Housing Pet Policy	
Z	Community Service Requirements for Public Housing	

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component

γ. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

γ. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	24234	5	5	4	na	4	5
Income >30% but <=50% of AMI	14976	3	4	3	na	3	4
Income >50% but <80% of AMI	18287	1	2	2	na	2	2
Elderly	9496	4	3	2	na	3	4
Families with Disabilities	41153	na	na	na	na	na	na
African American	38037	5	4	3	na	na	5
Race/Ethnicity							
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 1995/Jefferson Co. and 2000/City of Louisville
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year: _____
- Other housing market study

Indicate year:

- x Other sources: (list and indicate year of information)
MHC Studies on Segregation and Affordability
Impediments to Fair Housing

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
x Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	8050		1356
Extremely low income <=30% AMI	6821	89%	
Very low income (>30% but <=50% AMI)	1150	15%	
Low income (>50% but <80% AMI)	79	1	
Families with children	5456	72%	
Elderly families	414	6%	
Families with Disabilities	2347	31%	
African American	4760	62%	

Housing Needs of Families on the Waiting List			
Native American	144	2%	
Asian/Pacific	44	<1	
Hispanic	672	9%	
Caucasian	3066	40%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	3067	40%	
2 BR	3179	41%	
3 BR	1227	15%	
4 BR	179	2%	
5 BR	18	<1	
5+ BR	1	<1	
Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

γ. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

γ. Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources

- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed – finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- x Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- x Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- x Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- x Affirmatively market to local non-profit agencies that assist families with disabilities
- x Other: (list below)
Work with advocacy groups to create housing situations that aid stability.
Identify barriers and adapt economic independence programs for easy accessibility for persons with disabilities.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- x Other: (list below)
Affirmatively educate the positive aspects of choosing to live areas that are not typically in the Section 8 program. This is in conjunction with appropriate Payment Standards for these area and active owner recruitment.

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- x Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

γ. Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- x Funding constraints
- x Staffing constraints
- x Limited availability of sites for assisted housing
- x Extent to which particular housing needs are met by other organizations in the community
- x Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- x Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- x Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	\$219,486	Run Public Housing
b) Public Housing Capital Fund	\$365,641	Attached CFP Plan
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$17,315,901	Section 8 program
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$51,841	Attached PHDEP Plan

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
g) ROSS grant	\$54,250	Public housing for elderly- services and activities and case management, two sites.
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)		
FSS Coordinator	\$39,953	Over see FSS case managers with Dept for Human Services
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	\$397,041	Run public housing
4. Other income (list below)		
Interest Income	\$25,000	IDA program
Miscellaneous Income	\$2,850	FSS program
4. Non-federal sources (list below)		
Total resources	\$18,471.963	

γ. 3.PHA Policies Governing Eligibility, Selection, and Admissions
[24 CFR Part 903.7 9 I]

γ. A.Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

γ. **Eligibility**

- γ. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
- x When families are within a certain time of being offered a unit: 60 days
- Other: (describe)
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- X Criminal or Drug-related activity
- x Rental history
- Housekeeping
- Other (describe)
- c. x Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- γ. Yes x No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes x No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- γ. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- x Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)
- γ. Where may interested persons apply for admission to public housing?
- PHA main administrative office
- x PHA development site management office
- x Other (list below) Accept applications by mail
- γ. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**
- γ. How many site-based waiting lists will the PHA operate in the coming year? 1
- γ. Yes xx No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists?

- γ. Yes No: May families be on more than one list simultaneously
If yes, how many lists? There are two lists, one is the main list which is for all forms of assistance; the other is at the public housing site office for those very specifically interested in a public housing unit. All applications go into the main list, even if solely interested in public housing.
- γ. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- γ. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- γ. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA: limit to number of sites with the bedroom size appropriate to the household

(4) Admissions Preferences

- γ. Income targeting:
Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Overhoused
 - Underhoused

- x Medical justification
- x Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: Anyone already in one of the public housing units can sign up to transfer site
- Other: (list below)

c. Preferences

- γ. x Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- x Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- x Victims of domestic violence
- x Substandard housing
- x Homelessness
- x High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- x Victims of reprisals or hate crimes
- x Other preference(s) (list below)
 - Paying over 40% of income for rent and utilities
 - Special population allocations from HUD or as properly promulgated by the HA

- γ. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

γ. 2.Date and Time

Former Federal preferences:

- 1 and 2 Involuntary Displacement (Disaster, Government Action, Action of Housing

Owner, Inaccessibility, Property Disposition) Orders to Vacate and government displacement are (1), but the remaining are (2)

- γ. .Victims of domestic violence
- 2. Substandard housing
- 1 and 2 Homelessness There is a special allocation for referrals from shelters, everyone else gets a regular preference
- 2. .High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 2. Victims of reprisals or hate crimes
- x Other preference(s) (list below)
- 2 Rent burden of 40%
- 2. Special population allocations from HUD or as properly promulgated by the HA

- γ. Relationship of preferences to income targeting requirements:
 - The PHA applies preferences within income tiers
 - x Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

- γ. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)
 - x The PHA-resident lease
 - x The PHA's Admissions and (Continued) Occupancy policy
 - PHA briefing seminars or written materials
 - x Other source (list)
 - Rules for Bishop Lane Plaza site
 - Grievance Procedure
 - Late payment policy

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- x At an annual reexamination and lease renewal
- x Any time family composition changes

- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA’s analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

γ. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

γ. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

γ. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

γ. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

γ. Eligibility

γ. What is the extent of screening conducted by the PHA? (select all that apply)

- x Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- x More general screening than criminal and drug-related activity (list factors below) Income and family composition
- Other (list below)

γ. Yes x No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

γ. x Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes x No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

γ. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- x Other (describe below)
Prior owner leasing to participant on Section 8
Information on Voucher

(2) Waiting List Organization

With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
 - x Federal public housing
 - x Federal moderate rehabilitation
 - Federal project-based certificate program
 - x Other federal or local program (list below)
Merged with the waiting list for Section 8 for the city of Louisville vouchers. Consumer gets the choice without penalty
- γ. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
 - x Other (list below)
Accept by mail

(3) Search Time

- a. x Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Give every household the full 120 days. Give extensions of that if disability interferes with search.

(4) Admissions Preferences

γ. Income targeting

- Yes x No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

γ. Preferences

- η. x Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- x Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- x Victims of domestic violence
- x Substandard housing
- x Homelessness
- x High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- x Victims of reprisals or hate crimes
- x Other preference(s) (list below)
 - Paying over 40% for rent and utilities
 - Special population allocations from HUD or as properly promulgated by the HA

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time Modified in that the offer to verify preference is by date and time and assertion of a preference. After that opportunity, service is by date of verification of preference

Former Federal preferences

- 1 and 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) Orders to vacate and government displacement are (1) but the remaining are in (2)
- 2 Victims of domestic violence
 - 2 Substandard housing
 - 2 and 1 Homelessness Special referral program with shelters give (1) status. Any regular application gets (2) status
 - 2 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)

- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 2 Victims of reprisals or hate crimes
- x Other preference(s) (list below)
 - γ. Paying over 40% for rent and utilities
 - η. 1 Special allocations for specific populations, both as HUD has awarded and as the housing authority has enumerated by public hearing

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- x Date and time of application Modified in that the offer to verify preference is by date and time and assertion of preference, after that service is by date that the preference is verified
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

γ. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- x Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

γ. **Special Purpose Section 8 Assistance Programs**

γ. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- x The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- x Other (list below) Write persons on the waiting list who qualify or, if it involves referral from a partner agency, the partner does the recruitment.

γ. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

γ. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

γ. Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

γ. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- x The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

γ. Minimum Rent

γ. What amount best reflects the PHA's minimum rent? (select one)

- x \$0
- \$1-\$25
- \$26-\$50

2 Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below: Allow resident to carry balance without late charge until a reported source of income.

c. Rents set at less than 30% than adjusted income

γ. x Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

Plan to implement earned income deduction beyond HUD requirements

- γ. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- x Other (describe below)
Earned income deduction

γ. Ceiling rents

γ. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- x Yes for all developments
- Yes but only for some developments
- No

γ. For which kinds of developments are ceiling rents in place? (select all that apply)

- x For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

γ. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

γ. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage:

(if selected, specify threshold) _____

- Other (list below)
- Any time there is a new source of income (not an increase in already reported source)
- All family composition changes
- Optional to report decrease

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

γ. **Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

γ. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

γ. Payment Standards

Describe the voucher payment standards and policies.

- γ. What is the PHA's payment standard? (select the category that best describes your standard)
- At or above 90% but below 100% of FMR
100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)
- γ. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)
- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)
- γ. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)
- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)
- γ. How often are payment standards reevaluated for adequacy? (select one)
- Annually
- x Other (list below) As HUD raises FMR
- γ. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)
- x Success rates of assisted families
- Rent burdens of assisted families
- Other (list below) Living in deconcentrated areas

(2) Minimum Rent

- γ. What amount best reflects the PHA’s minimum rent? (select one)
- x \$0
- \$1-\$25
- \$26-\$50

- b. Yes x No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

γ. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

γ. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		

Other Federal Programs(list individually)		

γ. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

γ. Public Housing Maintenance and Management: (list below)

γ. Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

γ. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

γ. Section 8 Tenant-Based Assistance

- η. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

- γ. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
- Other (list below)

γ. **Capital Improvement Needs**

[24 CFR Part 903.79 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

γ. **Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

γ. **Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) R

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

γ. **Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

γ. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

γ. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

γ. **HOPE VI and Public Housing Development and Replacement Activities (Not Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

γ. Development name:

η. Development (project) number:

ι. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

- Yes x No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. x Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

γ. Activity Description

- Yes x No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Newburg 1b. Development (project) number: KY36-105-002 KY36-105-004 KY36-105-006
γ. Activity type: Demolition <input type="checkbox"/> Disposition x
γ. Application status (select one) Approved x Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: 22/04/93)
5. Number of units affected: 41 in KY36-105-002 17 in KY36-105-004 10 in KY36-105-006 γ. Coverage of action (select one) <input type="checkbox"/> Part of the development x Total development
γ. Timeline for activity: η. Actual or projected start date of activity: 5/93 b. Projected end date of activity: 6/03

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes x No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

γ. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
γ. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
γ. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
γ. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: γ. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

γ. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes x No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

γ. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
<p>γ. What is the status of the required assessment?</p> <p><input type="checkbox"/> Assessment underway</p> <p><input type="checkbox"/> Assessment results submitted to HUD</p> <p><input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)</p> <p><input type="checkbox"/> Other (explain below)</p>	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
<p>γ. Status of Conversion Plan (select the statement that best describes the current status)</p> <p><input type="checkbox"/> Conversion Plan in development</p> <p><input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)</p> <p><input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)</p> <p><input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway</p>	
<p>γ. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)</p> <p><input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:</p> <p><input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application</p>	

(date submitted or approved:)

Units addressed in a pending or approved HOPE VI Revitalization Plan
(date submitted or approved:)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

γ. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

γ. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

γ. Homeownership Programs Administered by the PHA
[24 CFR Part 903.7 9 (k)]

γ. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. x Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

γ. Activity Description
 Yes x No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: Newburg Straight Rental

1b. Development (project) number: KY36P105006, KY36P105004 and KY36105002
γ. Federal Program authority: <input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
γ. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: 4/22/93
5. Number of units affected: 68 γ. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

γ. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

γ. Program Description: This is a mortgage assistance program which is a demonstration. The Kentucky Housing Corporation (the state housing finance agency) is the loan originator and holder. The loans are FHA insured by agreement. The debt ratio is reduced to reflect only the part of the PITI that the buyer pays after the housing authority pays mortgage assistance. The housing authority commits to a bedroom size assistance that stays the same through the time the family is on Section 8,

γ. Size of Program
 Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 – 50 participants
 51 to 100 participants

more than 100 participants

γ. PHA-established eligibility criteria

x Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below: Must have worked full time for over one year unless disabled/elderly. Must be in FSS even if disabled/elderly for the case management. Be in good standing with the housing authority, e.g. not owe money and not have been in termination of assistance more than 2x in the preceding two years. Family must commit to full time employment of all adults who are not elderly/disabled or in school. For elderly/disabled must have monthly income of at least two times the monthly Payment Standard. The demonstration was confined to three bedroom homes. The family size had to be at least two bedroom to buy a home with three bedrooms and no basement; a three bedroom family had the option of purchasing a home with a basement. These were newly constructed homes.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

γ. PHA Coordination with the Welfare (TANF) Agency

γ. Cooperative agreements:

x Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 6/27/97

γ. Other coordination efforts between the PHA and TANF agency (select all that apply)

- x Client referrals
- x Information sharing regarding mutual clients (for rent determinations and otherwise)
- x Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

γ. Services and programs offered to residents and participants

γ. **General**

γ. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- x Public housing rent determination policies
- Public housing admissions policies
- x Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- x Other policies (list below)
 - Engaging in a n Individual Development Account Program under the Assets for Independence Act Grant
 - Continue the Family Self Sufficiency Program unless a new program is developed

γ. Economic and Social self-sufficiency programs

- x Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Family Self Sufficiency</i>	<i>210</i>	<i>client choice</i>	<i>PHA main office</i>	<i>Section 8</i>
Common wealth Individual Development Account Program	17 pub hsg and 60Section 8	Client choice and, if Section 8 a _articipating LIHTC owner	PHA Main Office	Public housing and Section 8
Mainstream	300	Waiting list	PHA main office	Section 8 for disabled non-elderly head of household

(2) Family Self Sufficiency program/s

γ. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8	250	222 as of 4/01

- b. x Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

γ. Welfare Benefit Reductions

- γ. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- x Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
 - x Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - x Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

γ. Reserved for Community Service Requirement pursuant to section 12I of the U.S. Housing Act of 1937

γ. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

γ. Need for measures to ensure the safety of public housing residents

γ. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

γ. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

γ. Which developments are most affected? (list below)

γ. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

γ. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors

- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)
 - Domestic violence education programs
 - Contract with law enforcement

- γ. Which developments are most affected? (list below)
 - Newburg
 - Whipps Mill
 - Fegenbush
 - East Pages Lane

γ. Coordination between PHA and the police

- γ. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)
- x Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- x Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- x Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

- γ. Which developments are most affected? (list below)
 - Newburg
 - Whipps Mill

γ. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- X Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- X Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- X Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

γ. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

γ. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

γ. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- γ. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- η. Yes No: Was the most recent fiscal audit submitted to HUD?
- ι. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

γ. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

- 1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
- 2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
- 3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

γ. Other Information

[24 CFR Part 903.7 9 I]

γ. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

γ. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below: To expedite time for Section 8 families who wish to do an early lease cancellation

γ. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below: Included in goal of "improve quality of life"

Other: (list below)

γ. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

γ. Description of Resident Election Process

γ. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot

Other: (describe)

No opening since requirement

γ. Eligible candidates: (select one)

Any recipient of PHA assistance

Any head of household receiving PHA assistance

Any adult recipient of PHA assistance

- Any adult member of a resident or assisted family organization
- Other (list)

γ. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

γ. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

γ. Consolidated Plan jurisdiction: Jefferson County, Kentucky

γ. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- x The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- x The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- X The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- X Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

γ. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Aggressively seeking additional housing assistance.

Maintaining housing assistance

Creating new opportunity to live outside of high impact areas

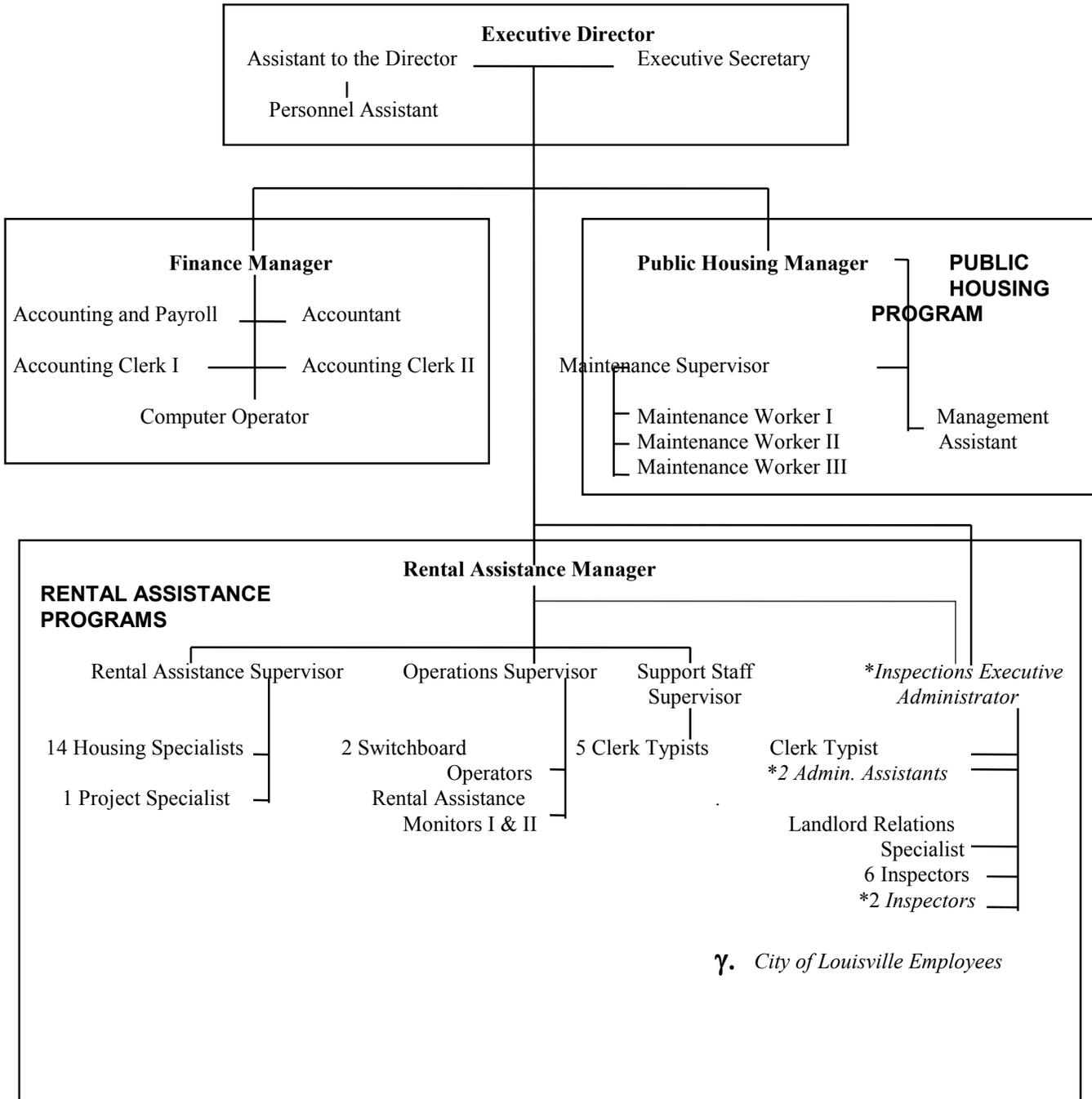
Seeking assistance and creating admissions criteria that assist very low income families, disabled persons, elderly persons.

Having programs that facilitate self sufficiency

γ. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachment C



γ. City of Louisville Employees

PHA Plan Table Library

Attachment B

Component 7
Capital Fund Program Annual Statement
Parts I, II, and III

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing Authority of Jefferson County	Grant Type and Number Capital Fund Program Grant No: KY36P10550100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs Management Improvements Hard Costs				
4	1410 Administration	41,280	28,800	28,800	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	0	2,146	2,146	2,146
8	1440 Site Acquisition				
9	1450 Site Improvement	55,000	0	0	
10	1460 Dwelling Structures	137,188	327,522	287,318	180,318
11	1465.1 Dwelling Equipment—Nonexpendable				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Housing Authority of Jefferson County	Grant Type and Number Capital Fund Program Grant No: KY36P10550100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
12	1470 Nondwelling Structures	125,000	0		
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	358,468	358,468	315,264	182,464
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance	33,468	202,522		
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security—Hard Costs				
	Amount of line XX Related to Energy Conservation Measures	125,000	125,000		
	Collateralization Expenses or Debt Service				

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of Jefferson County		Grant Type and Number Capital Fund Program Grant No: KY36P10550101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration	43,641			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	0			
8	1440 Site Acquisition				
9	1450 Site Improvement	43,000			
10	1460 Dwelling Structures	246,000			
11	1465.1 Dwelling Equipment—Nonexpendable	3,000			
12	1470 Nondwelling Structures	30,000			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing Authority of Jefferson County	Grant Type and Number Capital Fund Program Grant No: KY36P10550101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	365,641			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance	13,000			
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security—Hard Costs	3,000			
	Amount of line XX Related to Energy Conservation Measures	25,000			
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Jefferson County		Grant Type and Number Capital Fund Program Grant No: KY36 P105 501 01 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
KY105-005	Pipe in Boiler Room		1460	1	5,500			
“	Vent Fan- Dumpster Room		1460	1	1,500			
“	Fire Alarm System Upgrade		1475	1	30,000			
“	Carpet- Common Halls		1460	6	4,000			
KY105-010	Cabinets and Counter		1460	26	97,000			
“	Faucet Replacement		1460	195	30,000			
“	Dumpster Shields		1450	2	3,000			
“	Fencing		1450	1	3,000			
“	Handrails		1450	5	3,000			
“	Seal and Stripe Parking Lots		1450	3	20,000			
“	Concrete Work- ADA		1450		10,000			
“	Tile Floors		1460		87,000			
“	Weatherization		1460	84	25,000			
“	Appliance Replacement		1465.1	9	3,000			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name					<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant:2001 PHA FY:2002	Work Statement for Year 3 FFY Grant: 2002 PHA FY:2003	Work Statement for Year 4 FFY Grant: 2003 PHA FY:2004	V FI PI
KY105-010 Scattered Sites	Annual Statement	281,000	310,000	200,000	
KY 105 005		41,000	10,000	120,000	
Total CFP Funds (Est.)		365,641	365,000	365,000	
Total Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :2 _____ FFY Grant2002: PHA FY:2003			Activities FFY C PHA	
	KY105-010	Furnace & AC	90,000	KY105-010	Seal A
		Tile Floors	90,000		Kitcher
		Window Replacements	60,000		
		Water Heaters	70,000		
	KY105-005	Paint Hallways	10,000	KY 105-005	Panel R (Exteri

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year4	Activities for Year : 4 _____ FFY Grant:2004 PHA FY:2005			Activities for Year : 4 _____ FFY Grant:2004 PHA FY:2005	
	KY105-010	Vinyl Siding	140,000	KY105-010	Window
	“	Washer/Dryer Enclosures	100,000	“	Interior
	“	Kitchen Cabinet	75,000	“	Exterior
				“	Landsc
	KY 105-005	Concrete Work	5,000	“	Bifol
				KY105-005	Seal P

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management

Development Classification	Activity Description					
	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home- ownership <i>Component 11a</i>

6 Months _____ **12 Months** _____ **18 Months** _____ **24**
Months_x _____ **Other** _____

A. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995					
FY 1996					
FY 1997					
FY1998	57,760	KY36DEP1050198	0		11/19/00
FY 1999	53,446	KY36DEP1050199	35,004		12/05/01
FY 2000	55,701	KY36 DEP105120	55,701		12/01/02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

Increased policing above baseline will be targeted for the areas with greatest problems. Youth activities are necessary as a crime prevention measure. Education on drug treatment and prevention and prevention is necessary. Domestic violence is the most frequent disturbance and education will assist in prevention.

A. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 01__ PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	17,800
9120 – Security Personnel	
9130 – Employment of Investigators	
9140 – Voluntary Tenant Patrol	
9150 – Physical Improvements	
9160 – Drug Prevention	5,000
9170 – Drug Intervention	24,000
9180 – Drug Treatment	
9190 – Other Program Costs	5,041
TOTAL PHDEP FUNDING	51,841

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement						Total PHDEP Funding: \$ 17,800	
Goal(s)	Reduce drug trafficking						
Objectives	5% increase in arrests						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicator
1. Policing above baseline			7/01	11/3	17,800		Reduction in crime/increase in arrests for drug crimes
							Reduction in crime/increase in arrests for drug crimes
3.							

9120 – Security Personnel						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicator
1.							
2.							
3.							

9130 – Employment of Investigators						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicator
1.							
2.							
3.							

9140 – Voluntary Tenant Patrol						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicator
1.							
2.							
3.							

9150 – Physical Improvements						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicator
1.							
2.							
3.							

9160 – Drug Prevention						Total PHDEP Funding: \$ 5,000	
Goal(s) Keep drug crimes low at sites							
Objectives Educate on prevention							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicator
1. Seven Counties Services will work with sites to develop drug elimination strategies	60	Family sites	5/01	11/02	5,000		Decrease in vandalism increase in community involvement, improved relations with police
2.							
3.							

9170 – Drug Intervention						Total PHDEP Funding: \$ 24,000	
Goal(s) Give youth at scattered sites activities to prevent drug culture involvement							
Objectives Get youth activities							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicator
1. Work with youth at family sites in recreational	40	Ages 3-17	7/01	11/02	24,000		

and developmental activities							
2.							
3.							

9180 – Drug Treatment						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicator
1.							
2.							
3.							

9190 – Other Program Costs						Total PHDEP Funds: \$	
						5,041	
Goal(s)		Decrease vandalism and congregating and drug crime					
Objectives		Reduce calls to police, provide activities and education					
Proposed Activities				Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicator
1. Administrative cost				/	5,041		
2.							
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	1	5,000	1	10,000
9120				
9130				
9140				
9150				
9160	1	3,000	1	5,000

9170	1	6,200	1	13,250
9180				
9190	1	2,000	1	4,000
TOTAL		14,200		32,250

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

ATTACHMENT E
Resident Advisory Board

Mary D Mills

Tammy Calhoun

Ibrahim Afrah

Larry Price

Shellarah Griffin

Vickie Reid

Kenneth Tyler

ATTACHMENT F
Section 8 Homeownership

HAJC will have a home ownership program. This agency has done an experimental program which is successful, so it more tahtn demonstrates the capacity of this organization to do a program. In addition HAJC has secured \$800,000 as a second mortgage pool to use for this purpose. Therefore, first mortgages will be provided, insured or guaranteed by the state or federal government, or comply with generally accepted private sector underwriting standards.

ATTACHMENT G
Resident Membership of the PHA Governing Board

Rebecca Harris was chosen from the Resident Advisory Board by the jurisdiction's chief elected official. Her term is three years.

ATTACHMENT H

Implementation of Public Housing Resident Community Service Requirements

Housing Authority of Jefferson County KY105

This PHA is first developing a written description of the service requirement along with suggested methods of an individual meeting those requirements. The implementation date is July 1, 2001 to start with families undergoing recertification or signing new leases. Mandatory group meetings with residents on a proposed plan will take place in early May and will have final approval by the end of May 2001.

HAJC will require quarterly reporting of the service by covered household members so that no one person will fall far behind in meeting the requirements before written notice is given to that person and the head of household. We have contacted several non-profits in the areas of developments. We have attended group meetings to plan for community service. We will include service to faith-based organizations as meeting the requirements. All changes to the lease will be proposed by May 2001. These changes will be posted and public comment will be sought prior to making the change. Changes to lease will be made individually at the time of recertification. At the time of individually signing the change to the lease, the head of household will be informed as to specific family members who appear to have to meet the requirements.

The specific individual will have a meeting with the staff of the public housing development and a plan of action will be developed. After that plan of action is developed, the individual will be required to report quarterly

ATTACHMENT I
DEFINITIONS OF SIGNIFICANT AMENDMENT TO THE ANNUAL
PLAN
AND SUBSTANTIAL DEVIATION FROM THE 5-YEAR PLAN

Section 4. Component 19: Definition of “Substantial Deviation” and
“Significant Amendment or Modification”

- A. Substantial Deviation from the Annual or 5-Year Plan shall be defined as
1. any change with regard to demolition or disposition or designation of public housing
 2. implementation of targeting of households with higher incomes for public housing
- B. Significant Amendment or Modification of the Annual or 5-Year Plan shall be defined as
1. any change with regard to demolition or disposition or designation or public housing ,
 2. change in the priorities of service off the waiting list for Section 8, other than to add or delete specific special referral programs.