

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

PHA Plan Agency Identification

PHA Name: Georgetown Housing Authority

PHA Number: KY061

PHA Fiscal Year Beginning: (mm/yyyy) 10/2001

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The mission statement of the Georgetown Housing Authority is to assist low-income families with safe, decent, and affordable housing opportunities as they strive to achieve self-sufficiency and improve the quality of their lives. The Housing Authority is committed to operating in an efficient, ethical, and professional manner. The Housing Authority will create and maintain partnerships with its clients and appropriate community agencies in order to accomplish this mission.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:

- Improve public housing management: (PHAS score)
- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

FIVE-YEAR GOALS

The goals and objectives adopted by the Georgetown Housing Authority are:

Goal One: Continue to manage the Georgetown Housing Authority's existing public housing program in an efficient and effective manner thereby maintaining high performer status.

Objectives:

1. The Georgetown Housing Authority shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.

Goal Two: Provide a safe and secure environment in the Georgetown Housing Authority's public housing developments.

Objectives:

1. The Georgetown Housing Authority shall reduce crime in its developments so that the crime rate is less than their surrounding neighborhood by December 31, 2005.

2. The Georgetown Housing Authority shall refine the memorandum of understanding between the jurisdiction's police force and this agency. The purpose of this is to better define the "edge problem" of crime that occurs near our developments and develop strategies for identifying and reducing this problem.

Goal Three: Enhance the marketability of the Georgetown Housing Authority's public housing units and make public housing the affordable housing of choice for the very low-income residents of our community.

Objectives:

1. The Georgetown Housing Authority shall achieve a level of customer satisfaction that gives the agency the highest score possible in this element of the Public Housing Assessment System.
2. The Georgetown Housing Authority shall achieve proper curb appeal for its public housing developments by improving its landscaping, keeping its grass cut, making the properties litter-free and other actions by December 31, 2001.

Goal Four: Maintain the Georgetown Housing Authority's real estate in a decent condition and deliver timely and high quality maintenance service to the residents of the Georgetown Housing Authority.

Objectives:

1. The Georgetown Housing Authority shall create and implement a preventative maintenance plan by December 31, 2000.
2. The Georgetown Housing Authority shall create an appealing, up-to-date environment in its developments by December 31, 2004.
3. The Georgetown Housing Authority shall achieve and maintain an average response time of 24 hours in responding to emergency work orders by December 31, 2002.
4. The Georgetown Housing Authority shall achieve and maintain an average response time of 3 days in responding to routine work orders by December 31, 2002.

Goal Five: The Georgetown Housing Authority shall ensure equal treatment of all applicants, residents, tenant-based participants, employees, and vendors.

Objectives:

1. The Georgetown Housing Authority shall mix its public housing development populations as much as possible ethnically, racially, and with regard to income.

Goal Six: Ensure full compliance with all applicable standards and regulations including generally accepted accounting practices.

Objectives:

1. The Georgetown Housing Authority shall implement an effective anti-fraud program by December 31, 2000.

Annual PHA Plan
PHA Fiscal Year 2001

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The following outlines progress in meeting our goals and objectives:

Goal One: Continue to manage the Georgetown Housing Authority's existing public housing program in an efficient and effective manner thereby maintaining high performer status.

Objectives:

1. The Georgetown Housing Authority shall promote a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.

Achievement:

We have stabilized our work force by increasing the starting salary for entry-level employees, by 16%. This has allowed us to improve the overall efficiency of the staff, which increases the customer-friendly atmosphere at the authority.

Goal Two: Provide a safe and secure environment in the Georgetown Housing Authority's public housing developments.

Objectives:

1. The Georgetown Housing Authority shall reduce crime in its developments so that the crime rate is less than their surrounding neighborhood by December 31, 2005.

2. The Georgetown Housing Authority shall refine the memorandum of understanding between the jurisdiction's police force and this agency. The purpose of this is to better define the "edge problem" of crime that occurs near our developments and develop strategies for identifying and reducing this problem.

Achievement:

With our continuing PHDEP grant program, we have reduced our crime levels, across the board, as they pertain to surrounding neighborhoods. By 2005, we anticipate the continuation of this trend. To assure this, we have an ongoing relationship with the Scott County Sheriffs Department, through our "Foot Patrol" Program, to communicate with our residents, offer suggestions as to possible strategies to fight drug-related problems, contiguous to the authority's developments, as well as help in the eviction process of those who violate leases with drug violations.

Goal Three: Enhance the marketability of the Georgetown Housing Authority's public housing units and make public housing the affordable housing of choice for the very low-income residents of our community.

Objectives:

1. The Georgetown Housing Authority shall achieve a level of customer satisfaction that gives the agency the highest score possible in this element of the Public Housing Assessment System.
2. The Georgetown Housing Authority shall achieve proper curb appeal for its public housing developments by improving its landscaping, keeping its grass cut, making the properties litter-free and other actions by December 31, 2001.

Achievement:

Our level of customer satisfaction was rated at 8.9 (out of 10) which indicated a strong level of satisfaction from our residents. The GHA is committed to delivering the highest level of service, in the industry, to each of its customers. To that end, we have recently purchased an industrial strength street/grounds vacuum system, designed to dramatically increase the curb appeal of the authority by completely eliminating trash/litter from our streets and grounds. In addition, we are preparing to begin a large landscaping program, which will improve the overall appearance of each development in the OHA.

Goal Four: Maintain the Georgetown Housing Authority's real estate in a decent condition and deliver timely and high quality maintenance service to the residents of the Georgetown Housing Authority.

Objectives:

1. The Georgetown Housing Authority shall create and implement a preventative maintenance plan by December 31, 2000.

2. The Georgetown Housing Authority shall create an appealing, up-to-date environment in its developments by December 31, 2004.
3. The Georgetown Housing Authority shall achieve and maintain an average response time of 24 hours in responding to emergency work orders by December 31, 2002.
4. The Georgetown Housing Authority shall achieve and maintain an average response time of 3 days in responding to routine work orders by December 31, 2002.

Achievement:

We have already implemented a preventive maintenance plan, and have taken steps to improve our overall living environment. They include the aforementioned equipment designed to keep the authority clean, landscaping to enhance the “looks” of our neighborhoods, as well as the planned installation of new windows, and central air conditioning, by **2003**. Our average response time for emergency work orders is **24** hours, and has been at that level for over 7 years. Currently we have an average response time, for routine work orders, of 4 days, and frilly expect to have this number at, or below, 3 days, by December **31, 2002**.

Goal Five: The Georgetown Housing Authority shall ensure equal treatment of all applicants, residents, tenant-based participants, employees, and vendors.

Objectives:

1. The Georgetown Housing Authority shall mix its public housing development populations as much as possible ethnically, racially, and with regard to income.

Achievement:

The Georgetown Housing Authority currently mixes its development with regards to it income, as much as possible, and has a good cross-section of income levels, throughout it’s neighborhoods. In addition, every applicant, resident, and visitor, is treated with the utmost respect and dignity whenever they are in contact with members of the GHA staff.

Goal Six: Ensure full compliance with all applicable standards and regulations including generally accepted accounting practices.

Objectives: 1. The Georgetown Housing Authority shall implement an effective anti-fraud program by December 31, 2000.

Achievement:

We are currently in full compliance with all applicable standards and regulations, including GAAP, as we have no findings in our most recent audit. We have also developed a strong anti-fraud program, which will identify those who would defraud the authority by provided false information.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2000 Capital Fund Program Annual Statement **Attachment A**
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- Attachment J:** Resident Membership of the PHA Governing Board
- Attachment K:** Implementation of PH Community Service Requirements
- Attachment L:** Pet Policy

Optional Attachments:

- PHA Management Organizational Chart **Attachment D**
- FY 2000 Capital Fund Program 5 Year Action Plan **Attachment A**
- Public Housing Drug Elimination Program (PHDEP) Plan
File Name: **Attachment E**
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) **Attachment G**
- Other (List below, providing each attachment name)
Attachment B – 1999 Capital Fund Program – P & E Report & Revised Annual Statement
Attachment C – 2000 Capital Fund Program – P & E Report & Revised Annual Statement
Attachment F – Resident Advisory Board Membership
Attachment I – Deconcentration and Income Mixing

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable	Annual Plan: Conversion of

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Community Service Policy	Community Service Policy
X	Pet Policy	Pet Policy

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	210	5	1	1	1	3	1
Income >30% but <=50% of AMI	44	5	1	1	1	3	1
Income >50% but <80% of AMI	2	5	1	1	1	3	1
Elderly	12	5	5	1	5	5	5
Families with Disabilities	256	5	5	1	5	5	5
White	202	5	1	1	1	3	1
Black	53	5	1	1	1	3	1
Hispanic	1	5	1	1	1	3	1

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: Interim Kentucky Consolidated Plan 2000-2002
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List
--

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	422		
Extremely low income <=30% AMI	236	56%	
Very low income (>30% but <=50% AMI)	167	40%	
Low income (>50% but <80% AMI)	19	4%	
Families with children	290	69%	
Elderly families	21	5%	
Families with Disabilities	88	21%	
White	352	84%	
Black	65	16%	
Hispanic	5	2%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	33	33%	6%
2 BR	39	39%	53%
3 BR	24	24%	37%
4 BR	4	4%	4%
5 BR	0	0%	0%
5+ BR	0	0%	0%

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available

Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints

- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	\$508,485	
b) Public Housing Capital Fund	\$664,072	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$1,360,392	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$80,587	
g) Resident Opportunity and Self-Sufficiency Grants	\$11,940	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
2. Prior Year Federal Grants (unobligated funds only) (list below)		
ROSS Grant	75,000	Resident Opportunities
PHDEP 2000	\$67,450	Drug Prevention
3. Public Housing Dwelling Rental Income	\$544,000	PH Operations
4. Other income (list below)		
Investment – PHA	\$12,000	PH Operations
Investment – Section 8	\$5,000	Section 8 Operations
4. Non-federal sources (list below)		
Total resources	\$3,328,926	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

Verification of eligibility commences at completion of Phase Two of the application process due to limited number of applications on file.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

Date and time of application

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
 All PHA development management offices
 Management offices at developments with site-based waiting lists
 At the development to which they would like to apply
 Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
 Three or More

- b. Yes No: Is this policy consistent across all waiting list types?

- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

- b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Overhoused
 Underhoused
 Medical justification

- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 4 Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 3 Residents who live and/or work in the jurisdiction
- 4 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision

Other (list)

(6) Deconcentration and Income Mixing (See Attachment H)

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
 Criminal and drug-related activity, more extensively than required by law or regulation
 More general screening than criminal and drug-related activity (list factors below)
 Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
 Other (describe below)

Any factual or third party written information relevant to the applicant's history or ability to comply with material standard lease terms.

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences – **WEIGHTED** – 3 being high and 0 being low

- 3 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 3 Victims of domestic violence
- 3 Substandard housing
- 3 Homelessness
- 3 High rent burden

Other preferences (select all that apply)

- 3 Working families and those unable to work because of age or disability

- Veterans and veterans' families
- 3 Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 3 Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - 2 – Families who live in Georgetown or Scott County
 - 1 – Families who live outside Georgetown or Scott County
 - 0 – Applicants once housed Public Housing and current residents of GHA

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

2. If yes to question 2, list these policies below:

ACOP – Section 13.3 Minimum Rents A.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

A. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

Any changes in income or family composition

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families

Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

Annually

Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

Success rates of assisted families

Rent burdens of assisted families

Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

\$0

\$1-\$25

\$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

An organization chart showing the PHA's management structure and organization is attached. File Name: **Attachment D** - Georgetown Organizational Chart

A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

?? List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	328 units	31%
Section 8 Vouchers	171 units	9%
Section 8 Certificates	130 units	1%
Section 8 Mod Rehab	NA	NA
Special Purpose Section 8 Certificates/Vouchers (list individually)	NA	NA
Public Housing Drug Elimination Program (PHDEP)	629	NA
ROSS	629	NA
FSS	32	5%
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- A. Admissions & Continued Occupancy Policy
- B. Blood Borne Disease Policy
- C. Capitalization Policy
- D. Check Signing Policy
- E. Criminal Records management Policy
- F. Disposition Policy
- G. Drug Free Workplace Policy
- H. Equal Housing Opportunity Policy

- I. Ethics Policy
- J. Facilities Management Policy
- K. Funds Transfer Policy
- L. Hazardous Materials Policy
- M. Investment Policy
- N. Maintenance Policy
- O. Natural Disaster Policy
- P. Personnel Policy
- Q. Pest Control Policy
- R. Procurement Policy

(2) Section 8 Management: (list below)

- A. Section 8 Administrative Plan
- B. FSS Action Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based

assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at **Attachment A**

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at **Attachment A**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one)

<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)

Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.79 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/>	Assessment underway
<input type="checkbox"/>	Assessment results submitted to HUD

<input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission:	(DD/MM/YYYY)
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.79 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY
July 1, 1999

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.79 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)
Domestic violence

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority

- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

Programs are distributed equally throughout developments.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

Programs distributed equally throughout developments.

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)
Programs distributed equally throughout developments.

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
 Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
 Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: **Attachment E - Georgetown PHDEP Template**)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.
High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
- Attachment F – Resident Advisory Board Membership**
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at **Attachment (File name) G**
 - Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary. **See Attachment G**
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe) Appointed by Mayor

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list) Appointed by Mayor

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list) Appointed by Mayor

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) Commonwealth of Kentucky

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Maintain quality affordable housing in Georgetown.

- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Maintenance of affordable housing of good quality.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

- Attachment A – 2001 Capital Fund Program Annual Statement & 5-Year Plan
- Attachment B – 1999 Capital Fund Program P& E Report & Revised Annual Statement
- Attachment C – 2000 Capital Fund Program P & E Report & Revised Annual Statement
- Attachment D – Organizational Chart
- Attachment E – 2001 PHDEP Template
- Attachment F – Resident Advisory Board Membership
- Attachment G – Response to Resident Advisory Board Comments
- Attachment H – Admissions Policy for Deconcentration
- Attachment I – Deconcentration and Income Mixing
- Attachment J – Resident Membership of the PHA Governing Board
- Attachment K – Implementation of PH Community Service Requirements
- Attachment L – Pet Policy

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Georgetown Housing Authority	Grant Type and Number Capital Fund Program Grant No: KY36P06150101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	40,000			
3	1408 Management Improvements Soft Costs	75,000			
	Management Improvements Hard Costs				
4	1410 Administration	64,407			
5	1411 Audit	2,500			
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	58,165			
10	1460 Dwelling Structures	386,000			
11	1465.1 Dwelling Equipment—Nonexpendable	3,000			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	15,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	\$644,072			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Georgetown Housing Authority	Grant Type and Number Capital Fund Program Grant No: KY36P06150101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
<u>KY061-01</u>	Landscape (A) (B) & (C)	1450	Lump	10,000					
Scroggin Park (A)	Trim Trees (A) (B) & (C)	1450	Lump	3,000					
Main, Teddy & Lynn (B)	Boundary Fence (A)	1450	Lump	15,000					
Washington St. (C)	New Windows (C)	1460	10	20,000					
	Air Conditioning (B) & (C)	1460	40	60,000					
	New Front Doors (A) (B) & (C)	1460	153	25,000					
	New Rear Screen Doors	1460	153	15,000					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 20011			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
<u>KY061-02</u>	Landscape (A) & (B)		1450	Lump	3,165				
Washington Heights (A)	Trim Trees (A) & (B)		1450	Lump	2,000				
Northern Heights (B)	New Windows (A)		1460	32	60,000				
	Air Conditioner (A) & (B)		1460	84	100,000				
	New Kitchen Cabinets & Countertops (A)		1460	32	35,000				
	New Front Doors (A) & (B)		1460	84	18,000				
	New Rear Screen Doors (A) & (B)		1460	84	15,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 20011			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
<u>KY061-03</u>	Landscape		1450	Lump	10,000				
Prather Place	Boundary Fence		1450	Lump	15,000				
	New Front Doors		1460	91	20,000				
	New Rear Screen Doors		1460	91	18,000				
	New Kitchen Stoves		1465.1	Lump	3,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 20011			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
<u>PHA WIDE</u>	Operations		1406	Lump	40,000				
	Police "Foot Patrols"		1408	Lump	33,000				
	"IMPACT" Training Center Salaries		1408	Lump	37,000				
	Resident Initiatives Activities		1408	Lump	5,000				
	CFP Cost-Allocated Salaries		1410	Lump	62,407				
	Training & Travel		1410	Lump	1,500				
	Printing & Advertising		1410	Lump	500				
	Audit		1411	Lump	2,500				
	Office Equipment		1475.1	Lump	5,000				
	"Force Account" Pickup Truck		1475.7	Lump	10,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program No: KY36P06150101 Replacement Housing Factor No:					Federal FY of Grant: 2001	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
<u>KY061-01</u>	03/30/03			09/30/04				
Scroggin Park								
Main, Teddy & Lynn								
Washington Street								
<u>KY061-02</u>	03/30/03			09/30/04				
Washington Heights								
Northern Heights								
<u>KY061-03</u>	03/30/03			09/30/04				
Prather Place								
<u>PHA-WIDE</u>	03/30/03			09/30/04				

ATTACHMENT A

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name Georgetown Housing Authority						<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 10/01/02	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 10/01/03	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 10/01/04	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 10/01/05	
	Annual Statement					
<u>KY061-01</u>		288,000	147,165	148,000	148,000	
<u>KY061-02</u>		100,165	100,000	99,165	99,165	
<u>KY061-03</u>		59,000	200,000	200,000	200,000	
<u>PHA-WIDE</u>		196,907	196,907	196,907	196,907	
Total CFP Funds (Est.)		644,072	644,072	644,072	644,072	
Total Replacement Housing Factor Funds						

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: 2002 PHA FY:2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
See Annual Statement	Development	Description of Work Item	Estimated Cost	Development	Description of Work Item	Estimated Cost
	<u>KY061-01</u>	Landscape	18,000	<u>KY061-01</u>	New Roofing & Guttering for Administration Building	20,000
		New Windows	150,000		Upgrade Electrical Service	50,000
		Air Conditioning	120,000		New Play ground Equipment	47,165
					New Leaf-Guard Guttering	30,000
	<u>KY061-02</u>	Replace Safety Railing	25,000	<u>KY061-02</u>	Upgrade Electrical Service	15,000
		New Refrigerator	20,000		New Fire Escapes	45,000
		New Baseboard	20,000		New Tub Surrounds	20,000
		Concrete Replacement	10,000		Flooring	20,000
		New Leaf Guards	15,000			
		Energy-Efficient Fixtures	10,165			
	<u>KY061-03</u>	Concrete Replacement	10,000	<u>KY061-03</u>	Upgrade Electrical Service	30,000
		Energy-Efficient Fixtures	10,000		Playground Equipment	20,000
		New Baseboard	29,000		Landscape	30,000
		Flooring	10,000		Picnic Shelter	10,000
					Furnaces	80,000
					Fire Escapes	30,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 4 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2005 PHA FY: 2006		
See Annual Statement	Development	Description of Work Item	Estimated Cost	Development	Description of Work Item	Estimated Cost
	<u>KY061-01</u>	Safety Railing @ Front Doors	30,000	<u>KY061-01</u>	New Refrigerators	30,000
		Energy-Efficient Fixtures	30,000		New Lighted Address Numbers for each Unit	10,000
		Fire Extinguishers	30,000		Concrete Replacement	10,000
		Message Board for Admin. Building	3,000		Upgrade Electrical Service	48,000
		Playground Equipment	35,000		New Window Blinds	20,000
		New Closet Doors	20,000		Bathroom Vanities	10,000
					Flooring	20,000
	<u>KY061-02</u>	New Security Fence	20,000	<u>KY061-02</u>	Trim Trees	10,000
		Install Safety Railing	30,000		Repave Parking Lots	20,000
		Fire Extinguishers	20,000		New Window Blinds	20,000
		Landscape	19,165		Remodel Community Room	20,000
		New Closet Doors	10,000		Playground Equipment	19,165
					Bathroom Vanities	10,000
	<u>KY061-03</u>	New Kitchen Stoves	20,000	<u>KY061-03</u>	Trim Trees	10,000
		Install Safety Railing	30,000		Replace Flooring	70,000
		Fire Extinguishers	30,000		New Window Blinds	30,000
		Landscape & Fence Drainage Ditches	30,000		Security Lighting	30,000
		Build Basketball Courts	25,000		Picnic Shelter	50,000
		Replace Refrigerators	25,000		Bathroom Vanities	10,000
		Playground Equipment	30,000			

		New Closet Doors	10,000		
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Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: 2002 PHA FY:2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
See Annual Statement	Development	Description of Work Item	Estimated Cost	Development	Description of Work Item	Estimated Cost
	PHA Wide	Operations	40,000	PHA Wide	Operations	40,000
		Police “Foot Patrols”	33,000		Police “Foot Patrols”	33,000
		“IMPACT” Training Center Salaries	37,000		“IMPACT” Training Center Salaries	37,000
		Resident Initiatives Activities	5,000		Resident Initiatives Activities	5,000
		CFP Cost-Allocated Salaries	62,407		CFP Cost-Allocated Salaries	62,407
		Training & Travel	1,500		Training & Travel	1,500
		Printing & Advertising	500		Printing & Advertising	500
		Audit	2,500		Audit	2,500
		Office Equipment	5,000		Office Equipment	5,000
		Maintenance Equipment	10,000		Maintenance Equipment	10,000

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 4 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2005 PHA FY: 2006		
See Annual Statement	Development	Description of Work Item	Estimated Cost	Development	Description of Work Item	Estimated Cost
	PHA Wide	Operations	40,000	PHA Wide	Operations	40,000
		Police "Foot Patrols"	33,000		Police "Foot Patrols"	33,000
		"IMPACT" Training Center Salaries	37,000		"IMPACT" Training Center Salaries	37,000
		Resident Initiatives Activities	5,000		Resident Initiatives Activities	5,000
		CFP Cost-Allocated Salaries	62,407		CFP Cost-Allocated Salaries	62,407
		Training & Travel	1,500		Training & Travel	1,500
		Printing & Advertising	500		Printing & Advertising	500
		Audit	2,500		Audit	2,500
		Office Equipment	5,000		Office Equipment	5,000
		Maintenance Equipment	10,000		Maintenance Equipment	10,000

ATTACHMENT B

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P061707-99 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03-31-01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs	110,000	110,000	110,000	73689.40
	Management Improvements Hard Costs				
4	1410 Administration	46,589	46,589	46,589	46,589.00
5	1411 Audit	1,700	1,700	1,700	0.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	74,603	59,603	59,603	28,850.35
10	1460 Dwelling Structures	199,500	214,500	214,500	62,404.54
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	5,000	6,820	6,820	6,810.71
13	1475 Nondwelling Equipment	28,500	26,680	26,680	26,120.16
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Georgetown Housing Authority	Grant Type and Number Capital Fund Program Grant No: KY36P061707-99 Replacement Housing Factor Grant No:	Federal FY of Grant: 1999
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 03-31-01 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	465,892	465,892	465,892	244,464.16
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs	68,500			
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06170799 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
KY061-2	Concrete Replacement (A & B)		1450		3000	3000	3000	2881.38	
Washington Hts. (A)	Trim Trees		1450		0	2200	2200	2200	
Northern Hts. (B)	Replace Safety Railing @ Front Porches (A)		1450		14103	14103	14103		
	Replace Kitchen Cabinets & Countertops (B)		1460	52	40000	20100	20100		
	Replace Front Screen Doors (A & B)		1460		20000	20000	20000		
	Install GFI Electrical Plugs (A & B)		1460	84	1000	0			
	Install Door Bells (A)		1460	32	10000	0			
	Replace Awnings @ Front & Rear Doors (B)		1460		17000	6300	6300		
	Re-roof Community Room & Install New Garage Door (A)		1470	1	5000	6820	6820	6810.71	
	Smoke Alarms/Carbon Mono. (A & B)		1460	84	0	20700	20700	20626.24	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06170799 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06170799 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
KY061-3	Concrete Replacement		1450		2000	6000	6000		
Prather Place	Build Boundary Fence		1450		8000	0			
	Re-build Sewer Line From 608 to 672 @ George Martin Blvd.		1450		15000	0			
	Replace Front Screen Doors		1460	91	21000	21000	21000		
	Install GFI Electrical Plugs		1460	91	1000	0			
	Repair Upstairs Flooring		1460	10	0	1000	1000	259.50	
	Complete Rehab of #707 GM		1460	1	0	0			
	Smoke Alarms/Carbon Mon.		1460	91	0	12100	12100	12027.83	
	Roofing & Venting		1460	91	0	13000	13000		

ATTACHMENT C

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Georgetown Housing Authority	Grant Type and Number Capital Fund Program Grant No: KY36P06150100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 03-31-01
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	75,000	87,000	10,000	10,000.00
3	1408 Management Improvements Soft Costs	75,000	63,500	11,250	11,167.23
	Management Improvements Hard Costs				
4	1410 Administration	63,131	63,131	33,000	32,636.14
5	1411 Audit	2,500	2,500		
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	63,000	87,500		
10	1460 Dwelling Structures	302,188	250,188	8,789	8,788.50
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	0.00	20,000		
13	1475 Nondwelling Equipment	50,500	57,500	3720	3,717.33
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Georgetown Housing Authority	Grant Type and Number Capital Fund Program Grant No: KY36P06150100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 03-31-01
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	631,319	631,319	66,759	66,309.20
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures	195,188	147,188		
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
KY061-1	Landscaping		1450		30000	30000			
Scroggin Park (A)	Security Lighting		1450		0	5000			
Main, Teddy & Lynn (B)	Sewer Line Repairs		1450		0	5000			
Washington St. (C)	Wall Panels for Bus Stop Shelters		1450		0	2000			
	Replace Windows (B)		1460	32	70188	47188			
	Termite Treatment		1460		20000	20000			
	Digital Electric Meters		1460		0	2000	3000	3000.00	
	Fire Extinguishers for Kitchens		1460		0	2000			
	Lead Based Paint Risk Testing		1460		0	1000			
	Enlarge Storage Area (B) Laundry		1470	1	0	20000			
KY061-2	Landscaping		1450		15000	15000			
Washington Hts. (A)	Security Lighting		1450		0	5000			
Northern Hts. (B)	Wall Panels for Bus Stop Shelters		1450		0	2500			
	Replace Windows (B)		1460	52	125000	90000			
	Replace Vinyl Siding (B)		1460		60000	48000			
	Termite Treatment		1460		17000	17000			
	Digital Electric Meters		1460		0	2000	3000	3000.00	
	Fire Extinguishers for Kitchens		1460		0	2000			
	Lead Based Paint Risk Testing		1460		0	1000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

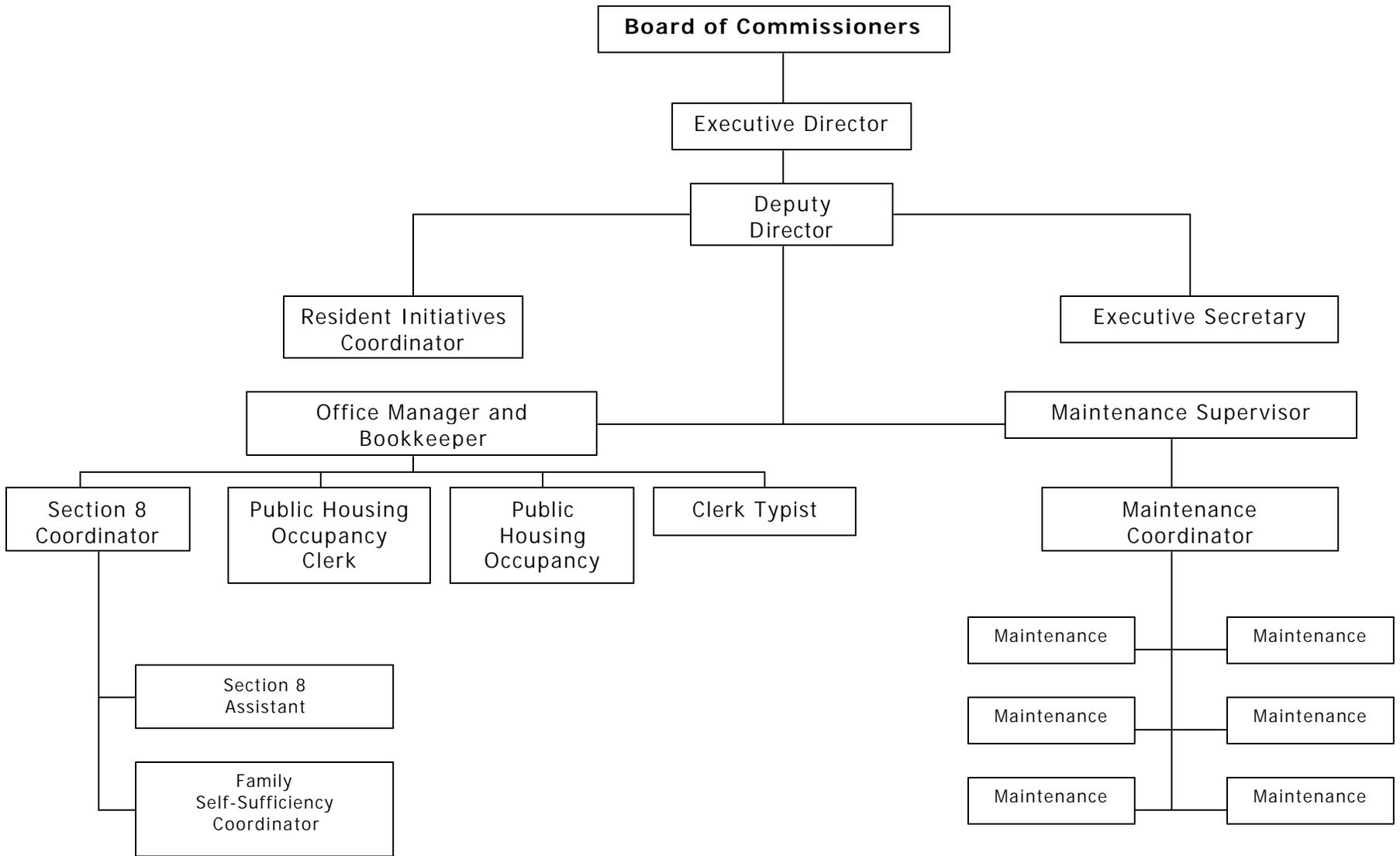
PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
KY061-3	Landscaping		1450		18000	18000			
Prather Place	Wall Panels for Bus Stop Shelters		1450		0	2000			
	Security Lighting		1450		0	3000			
	Termite Treatments		1460	91	10000	10000			
	Digital Electric Meters		1460		0	3000	2789	2788.50	
	Fire Repairs to 612 Geo. Martin		1460	1	0	3000			
	Fire Extinguishers for Kitchens		1460		0	2000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Georgetown Housing Authority		Grant Type and Number Capital Fund Program Grant No: KY36P06150100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
PHA Wide	Operations (Contract Mowing)		1406		40000	25000			
	Operations (Staff Salaries)		1406		25000	0			
	Operations (General Operating Budget)		1406		10000	62000	10000	10000.00	
	Resident Jobs Training Salaries		1408		20000	12000	750	741.76	
	Police Foot Patrols		1408		25000	25000	10500	10425.47	
	IMPACT Training Center Staff Salaries		1408		15000	19000			
	Resident Initiatives Activities		1408		5000	7500			
	Staff Training & Travel		1408		10000	0			
	CGP Cost-Allocated Salaries		1410		60881	60881	32000	31968.42	
	Training & Travel		1410		2000	2000	1000	667.72	
	Printing & Advertising		1410		250	250			
	Audit		1411		2500	2500			
	Office Equipment		1475.1		3000	3000	3720	3717.33	
	Maintenance Equipment		1475.2		47500	33000			
	Vehicle for CFP Manager		1475.7		0	21500			
TOTALS					631319	631319	119789	66309.20	

**Georgetown Housing Authority
Organizational Chart**

Attachment D



Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. **General Information/History**
2. **PHDEP Plan Goals/Budget**
3. **Milestones**
4. **Certifications**

Section 1: General Information/History

A. Amount of PHDEP Grant \$80,587

B. Eligibility type (Indicate with an “x”) N1 _____ N2 _____ R X _____

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority proposes to continue with its current approach to drug prevention and intervention with support of the Foot Patrol, Youth programs and the IMPACT Center.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Scroggin Park, Washington St., Main, Teddy & Lynn	153	450
Washington Heights	32	55
Northern Heights, Prather Place	143	600

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____

12 Months X

18 Months _____

24 Months _____ Other

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995					
FY 1996	\$121,855	KY36PDEP0610196	-0-	N/A	9-16-98
FY 1997	\$159,000	KY36PDEP0610197	-0-	N/A	08-24-00
FY 1998	\$95,400	KY36PDEP0610198	-0-	N/A	12-04-00
FY 1999	\$72,140	KY36PDEP0610199	-0-	N/A	03-27-01
FY 2000	\$75,185	KY36PDEP0610100	\$67,450.15	N/A	08-23-01
FY 2001	\$80,587	KY36PDEP0610101	\$80,587.00	N/A	12-31-03

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The goal of this PHDEP grant is to reduce drug-related activities through a cross-section of strategies designed to assist the residents of the Georgetown Housing Authority to find alternatives to drugs. These strategies include educational and vocational opportunities through our IMPACT Training Center, youth sports activities, family and seniors activities, as well as additional security, through the use of supplemental Policy “Foot Patrols”. Through independent evaluation, we are insuring that these strategies are accomplishing their designed tasks.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$32,000
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$46,000
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	\$2,587
TOTAL PHDEP FUNDING	\$80,587

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement						Total PHDEP Funding: \$ 32,000	
Goal(s)		Increase security for residents.					
Objectives		Reduce drug-related crimes in Housing Authority communities.					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Foot Patrol			12/01	12/02	\$32,000	\$25,000/	Maintain reduction of criminal activity and relationship between residents and security.
2.						CFP	
3.							

9120 - Security Personnel						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9130 - Employment of Investigators						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$ 46,000		
Goal(s) Better prepare residents for drug-free levels through education.							
Objectives Increase GED participation and vocational opportunities.							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. IMPACT Center	600	Adults	12/01	12/02	\$16,560	\$40,000/CFP	Maintain reduction of criminal activity through educational activity.
2. Youth Sports	500	Youth	12/01	12/02	\$1,380	\$5,000/CFP	Maintain reduction in criminal and drug related activities for Housing Authority youth.
3. Grant Mgmt.	1200	All	12/01	12/02	\$28,060	N/A	Develop and implement on-site data collection for effective tracking of criminal and drug related events.

9170 - Drug Intervention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$ \$2,587.00		
Goal(s)							
Determine effectiveness of program							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Evaluation			12/01	12/02	\$2,587		
2.							
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activity 1	\$32,000	Activity 1	\$32,000
9120				
9130				
9140				
9150				
9160	Activity 1, 2, 3	\$46,000	Activity 1, 2, 3	\$46,000
9170				
9180				
9190	Activity 3	\$2,587	Activity 3	\$2,587
TOTAL		\$80,587		\$80,587

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

***GEORGETOWN HOUSING
AUTHORITY RESIDENT
ADVISORY COUNSEL
2000-2001***

Officers (also serve as Resident Advisory Board elected for one-year term by at-large members using Robert's Rules of Order)

Wanda Courtney (Pres.)	159 Scroggin Park
Glenda Hill (Vice-Pres.)	145 Scroggin Park
Ken Heavener (Sec.)	157 Scroggin Park
Melissa Hammons (Treas.)	173 Scroggin Park
Mary NeCamp (Ways & Means)	606 George Martin Dr.
Kitty Lane (Sect. 8 Rep.)	101 Elm Court
Anna Williams (Seniors Rep.)	175 Scroggin Park

At Large Members

Patsy Swift	171 Scroggin Park
Riquita Mathis	116 Scroggin Park
Beverly Barber	192 Scroggin Park
Melissa Aliff	766 George Martin Dr.
Priscilla Banks	158 Scroggin Park
Kim Speigle	725 Powers Dr.
Ruth Hawkins	338 Washington St.
Anna Burrows	336 Washington St.
Karen McKenney	124 Scroggin Park
Angie Brooks	121 Scroggin Park
Willene Johnson	402 Washington St.

Perspective New Members

Lisa Adams	173 Scroggin Park
Robbie Thornton	169 Scroggin Park
Roberta Hayes	151 Scroggin Park

Response to Resident Advisory Board Comments

MINUTES FROM G.H.A. RESIDENT ADVISORY BOARD MEETING ... SCROGGIN PARK ADMINISTRATION OFFICE ... 5/15/01

Those in attendance were Harry Dickerson (G.H.A. Executive Director), Tom Wilson (G.H.A. Deputy Directory), David Fouts (G.H.A. Resident Initiative Coordinator), Anna Williams (G.H.A. senior resident), Wanda Courtney (Resident Advisory Counsel President), Keri Heavener (Resident Advisory Counsel Secretary), Melissa Hammons (Resident Advisory Counsel Treasurer), and Kitty Lane (Section 8 representative).

The meeting was called to order at 2:00 P.M. with Harry Dickerson serving as moderator.

- ?? Mr. Dickerson began the meeting by giving a brief overview of the 5-Year Plan, the Agency Plan, the new Pet Policy, the Community Service Policy, and the purpose behind having an active Resident Advisory Board.
- ?? Mr. Dickerson then asked that each Resident Advisory Board member complete a comment form with suggestions and return it by Thursday, May 17th, at Noon.
- ?? Mr. Wilson explained the Capital Grant and pointed out that central air conditioning is to be the primary improvement project during the next two years. In addition, Mr. Wilson explained possible budget cuts that could possibly effect the completion schedule.
- ?? Wanda Courtney asked about the diminished presence of the Foot Patrol and questioned why officers had been less visible recently. Mr. Dickerson explained the new Foot Patrol schedule and pointed out that there is now only one primary officer who participates on this patrol.
- ?? Mr. Dickerson then discussed possible effects that cuts in the Drug Grant would have on both the Foot Patrol and the Impact Center.
- ?? Wanda Courtney then asked about the new storm windows that are to be installed. Tom Wilson produced a prototype of the window for illustration and outlined its features. Mr. Wilson further explained the advantages of this new window with regard to security.
- ?? Mr. Dickerson stressed that residents need not be overly concerned about the completion schedule for improvement projects because of the many reasons that these schedules are subject to adjustment.
- ?? Mr. Dickerson then explained the possibility of utility rate adjustments due to the recommendation of an expert consultant that will be retained to evaluate this situation.
- ?? Mr. Wilson explained that the next projects to be undertaken will be the replacement of front screen doors. He listed, in order, the development areas where work will begin. Further, Mr. Wilson pointed out that the replacement of cabinets would follow.
- ?? Anna Williams asked about possible improvements to unit floors and the question of installing carpet was addressed by Mr. Dickerson.
- ?? Mr. Dickerson explained the chain of command in the Maintenance Department and the process for filing a unit work order.

- ?? Wanda Courtney asked about the possibility of installing new baseboards in units and about cutting down several pine trees. Mr. Dickerson responded that there are no existing plans to undertake these projects and suggestions of this type should be submitted as part of the comment form.
- ?? Mr. Dickerson then encouraged each Resident Advisory Board member to also include the opinions of their neighbors in the comment form in order to get a more inclusive resident viewpoint.
- ?? Wanda Courtney asked if it were permissible for residents to paint their own unit, using colors of their choice. Mr. Dickerson explained that there are three approved colors of paint to be used on units and, if residents would like to paint their unit, the G.H.A. would furnish the paint.
- ?? Keri Heavener asked about installing new furnace filters and Harry responded with several comments regarding preventive maintenance.
- ?? Mr. Dickerson explained the various sources of funds that make up our Annual Budget.
- ?? Keri Hevener asked if Section 8 residents were effected by the new Community Service guidelines and Mr. Dickerson responded that they were not. A brief discussion followed to address the issue of why Section 8 residents were not compelled to adhere to the same rules as residents of public housing.
- ?? Mr. Dickerson briefly explained the exemptions to the Community Service Program.
- ?? Mr. Dickerson then explained the process H.U.D. uses to establish policy and pointed out that Congress has often established laws that are difficult for government agencies to enforce. Some laws can be difficult to enforce because no provision is made for additional the cost of the program. Some laws can be difficult to enforce because they are simply impractical and are eventually challenged in the court system.
- ?? Keri Heavener asked if the maintenance staff could mow grass and trim closer to the unit.
- ?? Mr. Dickerson then asked, if there were additional questions or comments and brought the meeting to a close at 2:35 P.M.

Respectfully submitted, 5/15/01
David A. Fouts
Resident Initiative Coordinator
Georgetown Housing Authority

Attachment H: Admissions Policy for Deconcentration

Extract:

Georgetown Housing Authority Admissions and Continued Occupancy Policy Adopted April 2000

Section 10.4 – Deconcentration Policy

It is Georgetown Housing Authority's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The Georgetown Housing Authority will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income development and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and Deconcentration incentives to implement. The worksheet for analysis can be found in **Appendix 1**.

Section 10.5 – Deconcentration Incentives

The Georgetown Housing Authority may offer one or more incentives to encourage applicant families whose income classifications would help to meet the Deconcentration goals of a particular development.

Various incentives may be used a different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

Attachment: I Deconcentration and Income Mixing

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any)[see step 4 at §903.2©(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2©(1)(v)]

Required Attachment J: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)
- A. Name of resident member(s) on the governing board: Anna Burrows
- B. How was the resident board member selected: (select one)?
 Elected
 Appointed
- C. The term of appointment is (include the date term expires): July 2003
2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?
 the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):
- B. Date of next term expiration of a governing board member: March 2002
- C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):
Everett Varney, Mayor

Attachment K : Implementation of Public Housing Community Service Requirements

The Housing Authority adopted and implemented a Community Service Policy as a part of its 2000 Agency Plan. The policy is administered by the Public Housing Manager. Community service requirements for all non-exempt residents are fulfilled through local agencies and verified at the tenant's annual reexam. A revised policy is being adopted as a part of the 2001 Agency Plan which allows self-certification.

Attachment L : Pet Policy

As a part of the 2001 Agency Plan, the Housing Authority is adopted a revised Pet Policy in accordance with the “Pet Ownership in Public Housing; Final Rule”. Rules outlined in the revised Pet Policy are reasonably related to the legitimate interest of providing a decent, safe and sanitary living environment for all residents. Residents must seek approval of the Housing Authority prior to obtaining a pet at which time they are provided with a copy of the Pet Policy which outlines size, type and number of pet restrictions. Pet Deposits are required and vary based on the type of pet but do not exceed \$200 (dog or cat). Pet owners are allowed to make payment of the \$200 pet deposit in four installments.

