

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of Hickman

PHA Number: KY 037

PHA Fiscal Year Beginning: (mm/yyyy) 04/2001

PHA Plan Contact Information:

Name: William E. Powers

Phone: 270-236-2888

TDD: 1-800-247-2510

Email (if available): hhahick@apex.net

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

**Annual PHA Plan
Fiscal Year 20**
[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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<input type="checkbox"/> Other (List below, providing each attachment name)	

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

See Next Section Below

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

In the first year's plan, the Housing Authority of Hickman (HAH) had a 5-year Capital Needs Assessment performed to serve as the foundation of its 5-year Capital Improvements Program. Additionally, it made several changes to its Admission & Continued Occupancy Policies/Procedures (ACOPP) to bring the HAH in compliance with the changes mandated by the Housing Act of 1998 and subsequent HUD rules on admissions and occupancy requirements. Further, HAH adopted several "local options" allowed by the 1998 Act. Specifically, the HAH adopted identical definitions for Ceiling Rents and Flat Rents while THEN retaining the formerly approved Ceiling Rent amounts for use during its first year. (This has changed going into the subject [second] year Plans, as the HAH has now increased the amount of the Ceiling/Flat rents to an amount consistent with the Section 8 Existing Fair Market Rent (FMR) amounts applicable for Fulton County.) The HAH lowered its Minimum Rent amount to zero (-0-) and adopted local selection preferences. The local selection preferences gave first priority to those

who live or work in or within a five mile radius of Hickman. The next preference went to those who live in Fulton County but not in Hickman or within 5 miles of it. The third preference went to “other working applicants”. And the last listed preference (before just the date and time of application) was to “applicants who are victims of domestic violence.” Another change in this [the subject] year’s Plan is to raise the amount of security deposits to \$200 to help offset the rising costs of renovating vacated units. Also as a part of the first year’s Plans, the HAH adopted deductions from Income-based Rents for FICA taxes withheld from wages/salary and excess travel expenses (for travel exceeding 5 a distance of miles from the City of Hickman. It was HAH’s objective then, as it is now, to provide an improved living environment while establishing and maintaining rents at affordable levels.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

- A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA’s estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$240,409.
- C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment B.

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment C.

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

- 1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/>

Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA’s estimated or actual (if known) PHDEP grant for the upcoming year? \$27,048.

C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

D. Yes No: The PHDEP Plan is attached at Attachment D.

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are Attached at Attachment (File name) G.
3. In what manner did the PHA address those comments? (select all that apply)
 - The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 - Yes No: below or
 - Yes No: at the end of the RAB Comments in Attachment
 - Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment G.
 - Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) Commonwealth of Kentucky (KY Housing Corporation) State Plan.
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below) This year's plan "caps" rental charges to residents at the lesser of Ceiling/Flat rents (matching the Section 8 FMRs for appropriate size apartments) and Income-based rents. Income based rents are also reduced, through the use of local ACOPP options, for FICA taxes incurred and for excess (over 5 miles from Hickman's city limits) travel expenses both for working families only. The HAH's Capital Improvements program for FFY2001 will provide central air condition to all apartments in KY 37-01. These two items will improve living conditions while keeping rents affordable for all residents.
 - Other: (list below)
3. PHA Requests for support from the Consolidated Plan Agency
 Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The State Consolidated Plan sets forth the following, with which the HAH’s activities are consistent: “Expand the supply of safe, decent, sanitary and affordable housing for very-low and low-income families through ... rehabilitation...”

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

1. Redirection of more than 20% of its operating budget funds from any budgeted/scheduled activity to another activity; or
2. A major change in program direction (e. g., new or different housing selection preference criterion, new or changes to deductions from income-based rents, additional efforts to enhance deconcentration opportunities, changes in the basis of determining Ceiling/Flat rent amounts, etc.) that requires action on the part of the Board of Commissioners; or
3. Increasing or decreasing the total number of HAH employees by more than 25% from that authorized on the April 1st each fiscal year.

However, NONE of these changes will be consider a Substantial Deviation if those changes result from Government (i. e., Federal, State or Local) actions over which the HAH exercises no control.

B. Significant Amendment or Modification to the Annual Plan:

1. Changes to rent or admission policies or organization of HAH’s waiting list.
2. Additions of non-emergency work items (not included in the current Annual Statement or 5-year Action Plan) or change in use of he replacement reserve funds under the Capital Grant Funds Program.
3. Additions of new activities not included in the current PHDEP; and
4. Any change with regard to demolition or disposition, designation of projects/buildings (for the elderly/disabled or families with disabilities), homeownership programs or conversion programs.

However, NONE of these changes will be considered Substantial Amendments/Modifications if those changes result from Government (i. e., Federal, State and Local) action over which the HAH exercises no control.

Attachment A

Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

Annual Statement/Performance and Evaluation Report ATTACHMENT B.			
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/			
PHA Name: Housing Authority of Hickman		Grant Type and Number Capital Fund Program: 502 Capital Fund Program Replacement Housing Factor Grant No:	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised A	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised

**Annual Statement/Performance and Evaluation Report ATTACHMENT B.
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/**

PHA Name: Housing Authority of Hickman	Grant Type and Number Capital Fund Program: 502 Capital Fund Program Replacement Housing Factor Grant No:
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<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	<input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised A <input type="checkbox"/> Final Performance and Evaluation Report
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Line No.	Summary by Development Account	Total Estimated Cost		
1	Total non-CFP Funds			
2	1406 Operations	\$ 24,800.		
3	1408 Management Improvements			
4	1410 Administration			
5	1411 Audit			
6	1415 liquidated Damages			
7	1430 Fees and Costs	\$ 9,600.		
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures	\$ 206,409.		
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Nondwelling Structures			
13	1475 Nondwelling Equipment			
14	1485 Demolition			
15	1490 Replacement Reserve			
16	1492 Moving to Work Demonstration			
17	1495.1 Relocation Costs			
18	1498 Mod Used for Development			
19	1502 Contingency			
20	Amount of Annual Grant: (sum of lines 2-19)	\$ 240,409.		
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Compliance			
23	Amount of line 20 Related to Security			
24	Amount of line 20 Related to Energy Conservation Measures	\$ 75,000.		

Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Install Security Screens on 444 windows		\$ 53,700.	4-2002
Purchase and install new ranges and frost free refrigerators for all (48) units		\$ 46,900.	4-2003
Prepare and paint interior walls, ceilings, doors and trim on all units		\$ 53,200.	4-2005
Total estimated cost over next 5 years		\$250,000.	
CFP 5-Year Action Plan			
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement			
Development Number	Development Name (or indicate PHA wide)		
KY 037-02	Holly Court and Davis Park		
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Install hollow core prefinished closet doors, tracks and hardware (96 openings)		\$ 49,600.	4-2002
Expand OMC Building, including upgrade to heating & air conditioning.		\$ 47,500.	4-2002
Construct new Maintenance & Storage Building on Holly Court, includes heat only.		\$ 94,000.	4-2003
Install new kitchen base & wall cabinets and sinks/faucets in all units		\$204,800.	4-2004
Prepare and paint all interior walls, ceilings, doors and trim (all units)		\$ 68,500.	4-2005
Total estimated cost over next 5 years		\$700,000.	

PHA Public Housing Drug Elimination Program Plan

ATTACHMENT D.

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Section 1: General Information/History

A. Amount of PHDEP Grant \$27,048.

B. Eligibility type (Indicate with an “x”) N1 _____ N2 _____ R X _____

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Drug Elimination Program of the Housing Authority of Hickman will continue to utilize a comprehensive security and prevention/intervention approach to reduce and eliminate drug-related crimes. Through a Police Substation on one site, a resident detective on one site and a resident police officer housed on another site, we will continue to combat drug activity in and around our housing developments. A certified drug counselor, with the aid of a consultant, will continue to offer one-on-one counseling, family counseling and group session counseling. Through the aid of other community agencies, we will continue to offer a variety of drug prevention programs to all age groups. These will include videos, educational programs, camps, health clinics, an “Outstanding Student Program”, self-esteem classes, food & nutrition, parenting , and job skills.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Cedar Hill Homes	26	46
Chickasaw Heights	10	11
Atwood Village	12	35
Holly Court	30	31
Davis Park	40	86

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

12 Months _____ 18 Months _____ 24 Months X _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 1995						
FY 1996	\$59,000	KY36DEP0370196	\$0			
FY 1997	\$50,000	KY36DEP0370197	\$0			
FY1998	\$50,000	KY36DEP0370198	\$ 574.16			11/19/00
FY 1999	\$27,048	KY36DEP0370199	\$ 18,136.46			01/21/01
FY 2000	\$27,048	KY36DEP0370200	\$ 27,048.00			08/23/02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

Our goals to address the drug & crime problems at our sites is to continue to contract with our Police Department for above baseline service to patrol our housing sites. Our goals for drug prevention programs include increasing our attendance by at least 1% each year to reach more of our targeted population. We feel we can accomplish this by improving the quality and interest of programs, by offering more variety of programs, and including more participation of partners. We will continue to work with Family Ties to send kids to NYSP Camp in the summer, offer Self-Esteem classes, and GED classes. Our RIC and Counselor will continue to offer many drug-free activities for all age group of residents throughout each month. We will work with UK Extension Office to offer a variety of programs for nutrition, health, social skills, and job related skills. We keep records of all activities and programs that we do with residents, which includes name of program, time & date, participants, and results. The RIC and Counselor meet and decide what is available, the response, age groups, time of day, and all aspects of the programs to decide what changes need to be made to improve PHDEP activities. Through referrals from the Housing Authority and other community agencies, our Counselor will be available to help residents as need arises.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FFY2001 PHDEP Budget Summary	
Original statement	
Revised statement dated:	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	\$10,124.00
9115 - Special Initiative	
9116 - Gun Buyback TA Match	
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	\$ 940.00
9160 - Drug Prevention	\$ 4,924.00
9170 - Drug Intervention	\$11,060.00
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	\$27,048.00

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement		Total PHDEP Funding: \$ 10/124.
Goal(s)	(1) To deter drug activity in and around our housing sites. (2) To help our residents f	
Objectives	Contract for one part-time on site police officer to patrol all 5 sites on a staggered sc 52 weeks @ an average of \$14.00 per hour.	

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDE P Funding	Other Funding (Amount/ Source)	
1. Contract with Police Department			1/02	01/03	\$ 9236		M
2. Detective to live on one site and patrolmen to live on another site and patrol sites.			1/02	01/03	\$0	\$3840	Cr
3. Provide Substation at one site			1/02	01/03	\$888 Phone & Copier	\$ 6,260 Housing Authority	

9115 - Special Initiative							Total PHDEP Funding: \$
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/ Source)	
1.							
2.							
3.							

9116 - Gun Buyback TA Match							Total PHDEP Funding: \$
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

9120 - Security Personnel							Total PHDEP Funding: \$
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

9130 – Employment of Investigators							Total PHDEP Funding: \$
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

9140 – Voluntary Tenant Patrol						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

9150 - Physical Improvements						Total PHDEP Funding: \$ 940.00	
Goal(s)		To install security lights					
Objectives		To increase safety of residents and deter drug & crime activity					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1. Install Security lights			01/01	1/02	\$940.00		
2.							
3.							

9160 - Drug Prevention						Total PHDEP Funding: \$ 4,924.00	
Goal(s)		To provide drug-free activities to educate all age residents on the danger & consequences of drug use					
Objectives		To deter and stop drug use and crime; to encourage students to have a higher self-esteem and pride in their school					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1. Educational Classes	10	127	2/02	¼	\$600		
2. NYSP Camp	30	50	6/02	8/02	\$500	Travel-\$2,140	
3. Outstanding Student Program	67	67	2/02	¼	\$1000	Donations \$400	
4. Travel, Drug-Free Parties/Picnics	50	182	2/02	¼	\$2,074	\$2,000	
5. RIC Office Supplies/Accounting Fees			2802	¼	\$750	\$21,220	

9170 - Drug Intervention						Total PHDEP Funding: \$ 11,060.00	
Goal(s)		To contract a Case Manger for our Counseling Center 8 hours per week for 52 weeks @ \$280.00 per hour					
Objectives		To offer individual, family, and group counseling services to our residents and those surrounding the community					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	
1. Case Manger	5	123	2/02	1/04	\$6,240.00		
2. Consultant	5	123	2/02	1/04	\$3,900.00		
3. Office					\$ 920.00		

9180 - Drug Treatment						Total PHDEP Funding: \$	
Goal(s)							
Objectives							

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.						
2.						
3.						

9190 - Other Program Costs						Total PHEDEP Funds: \$
Goal(s)						
Objectives						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.						
2.						
3.						

Required Attachment E. : Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: Ms. Lesa Workman

B. How was the resident board member selected: (select one)?

- Elected
- Appointed

C. The term of appointment is (include the date term expires): 4-06-2004

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not? NOT APPLICABLE

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

B. Date of next term expiration of a governing board member: 4-06-2001

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): The Mayor of each city is the appointing authority for all "city" housing authorities. The current Mayor of Hickman is Richard H. White.

Required Attachment F. : Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

The Resident Advisory Board, called the Resident Council here, is made up of three members: Imogene Graves (president), Patricia Yates (vice president/recorder) and Pearl Westbrook (secretary/treasurer). Each of these three residents live on different sites of the HAH's properties.

Attachment G. : Resident Advisory Board (i. e., Resident Council) comments on this year's Agency Plans

Two members of the Resident Council met with the Agency Plans Consultant Brooks Hatcher, Executive Director William Powers, and Resident Coordinator Rita Wann on July 28, 2000 to discuss the subject fiscal year Agency Plans. As is usual, the Council members had some difficulty in understanding items that would not likely be funded (like those in the FFY2001 Capital Funds Program and Public Housing Drug Elimination Program), much less started, until the late summer or early fall of calendar year 2001.

Beyond the above, most of the Council members' concerns were related to operational items/expenses and those that were personal to them individually, such as when handrails would be installed on one member's unit, some maintenance and pest control items. Other than those issues, they seemed to be pleased to learn that within the next two to three years ALL units of the HAH will have central air conditioning and that new ranges and refrigerators were in future plans. The residents were also pleased with the programs funded by the PHDEP grants.

There was some concern expressed about the presence of a "crack house" on Cedar Street (which is a location where there are several private owned houses, including the one suspected to be a crack house.) Executive Director Powers advised the Council members that neither he nor the police would be hesitant to get involved IF someone would come forward with a written complaint or some other form of evidence. The Housing Authority could use its "One Strike and You're Out" eviction policy IF it had ample evidence of drug trafficking or use by one or more of its residents or their guests. At that point in time no public housing resident had come forward to file a complaint or provide evidence to either the HAH or city police. The police were aware of these allegations but with a staff of only 6 sworn officers, including the Chief, they were inadequately equipped to provide round the clock surveillance to that area. They are, however, attentive of this situation when making their unscheduled vehicular tour of the area.