

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE
WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of Williamsburg

PHA Number: KY031

PHA Fiscal Year Beginning: (mm/yyyy) 10-2001

PHA Plan Contact Information:

Name: Boots Hamblin

Phone: 606-549-0282

TDD:

Email (if available):

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

**Annual PHA Plan
Fiscal Year 2001**

[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Contents	<u>Page #</u>
Annual Plan	
i. Executive Summary (optional)	
ii. Annual Plan Information	
iii. Table of Contents	
1. Description of Policy and Program Changes for the Upcoming Fiscal Year	
2. Capital Improvement Needs	
3. Demolition and Disposition	
4. Homeownership: Voucher Homeownership Program	
5. Crime and Safety: PHDEP Plan	
6. Other Information:	
A. Resident Advisory Board Consultation Process	
B. Statement of Consistency with Consolidated Plan	
C. Criteria for Substantial Deviations and Significant Amendments	
Attachments	
<input checked="" type="checkbox"/> Attachment A : Supporting Documents Available for Review	
<input checked="" type="checkbox"/> Attachment B: Capital Fund Program Annual Statement	
<input checked="" type="checkbox"/> Attachment C: Capital Fund Program 5 Year Action Plan	
<input type="checkbox"/> Attachment __: Capital Fund Program Replacement Housing Factor Annual Statement	
<input checked="" type="checkbox"/> Attachment D: Public Housing Drug Elimination Program (PHDEP) Plan	
<input checked="" type="checkbox"/> Attachment E: Resident Membership on PHA Board or Governing Body	
<input checked="" type="checkbox"/> Attachment F: Membership of Resident Advisory Board or Boards	
<input checked="" type="checkbox"/> Attachment G: Comments of Resident Advisory Board or Boards & Explanation of PHA Response (must be attached if not included in PHA Plan text)	
<input checked="" type="checkbox"/> Other (List below, providing each attachment name)	
Attachment H: CIAP KY36P03190799 - P&E Report	
Attachment I: CFP KY36P03150100 – P&E Report	
Attachment J: Deconcentration and Income Mixing	

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

MANAGEMENT ISSUES

Goal: Manage the Housing Authority of Williamsburg's existing public housing program in an efficient and effective manner thereby qualifying as at least a standard performer.

Objectives: HUD shall recognize the Housing Authority of Williamsburg as a high performer. by December 31, 2004.

ACHIEVEMENT: The Housing Authority received high performer designation for the FYE 9-30-00.

MARKETABILITY ISSUES

Goal: Enhance the marketability of the Housing Authority of Williamsburg's public housing units.

Objective: The Housing Authority of Williamsburg shall achieve proper curb appeal for its public housing developments by improving its landscaping, keeping its grass cut, making the properties litter-free and other actions by December 31, 2001.

ACHIEVEMENT: The Housing Authority attempts to maintain proper curb appeal and plans to provide landscaping improvement in its Capital Fund program.

SECURITY ISSUES

Goal: Improve resident and community perception of safety and security in the Housing Authority of Williamsburg's public housing developments.

Objective: Continue to implement and support the Public Housing Drug Elimination Program.

ACHIEVEMENT: The Housing Authority continues to enhance safety and security through the Public Housing Drug Elimination program.

MAINTENANCE ISSUES

Goals: Maintain the Housing Authority of Williamsburg's real estate in a decent condition. Deliver timely and high quality maintenance service to the residents of the Housing Authority of Williamsburg,

Objectives: The Housing Authority of Williamsburg will continue with the existing preventative maintenance plan.

The Housing Authority of Williamsburg shall achieve and maintain an average response time of 24 hours in responding to emergency work orders by December 31, 2002.

The Housing Authority of Williamsburg shall achieve and maintain an average response time of 7 days in responding to routine work orders by December 31, 2002.

ACHIEVEMENT: The Housing Authority continues to enhance maintenance operations in order to meet the

above goals and objectives.

EQUAL OPPORTUNITY ISSUES

Goal: Operate the Housing Authority of Williamsburg in full compliance with all Equal Opportunity laws and regulations.

Objective: The Housing Authority of Williamsburg shall mix its public housing development populations as much as possible ethnically, racially, and income wise as much as possible.

ACHIEVEMENT: The Housing Authority continues to operate in full compliance with all Equal Opportunity laws and regulations.

PUBLIC IMAGE ISSUES

Goal: Enhance the image of public housing in our community.

Objective: The Housing Authority of Williamsburg shall ensure that there are at least 2 positive stories a year in the local media about the Housing Authority or one of its residents.

SUPPORTIVE SERVICE ISSUES

Goal: Improve access of public housing residents to services that support economic opportunity and quality of life.

Objective: The Housing Authority of Williamsburg shall ensure that at least 3 supportive service opportunities are present for every public housing resident by December 31, 2004.

ACHIEVEMENT: The Housing Authority has established and maintains partnerships with the follow support services agencies:

Baptist Regional medical Center
Bell-Whitley Services Agency, Inc.
Cabinet for Human Resources
Cumberland College: Swim Team and Counseling & Career Development
Whitley County Communities for Children: Adult Education Coordinator
Whitley County Communities for Children: Coordinator of School for Parents
Whitley County Health Department
Williamsburg Elementary School Family Resource Center
Williamsburg National Bank
AmeriCorp VISTA
Save the Children

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

The Housing Authority of Williamsburg has implemented no significant amendments or substantial deviations to the 2000 Agency Plan. New policies required by HUD regulation adopted as a part of the 2001 Small PHA Plan Update will be the Community Service Policy. A Pet Policy is also included in the Plan.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 448,723

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as **Attachment C**

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as **Attachment B**

Attachment H: CIAP KY36P03190799 - P&E Report

Attachment I: CFP KY36P03150100 – P&E Report

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component ; if "yes", complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name:
1b. Development (project) number:

2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ 59,703
- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. Yes No: The PHDEP Plan is attached at **Attachment D**

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are Attached at **Attachment** (File name) **G**
3. In what manner did the PHA address those comments? (select all that apply)
- The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment ____.
- Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment ____.
- Other: (list below)

See Attachment G

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) Commonwealth of Kentucky Consolidated Plan.
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
- Other: (list below)

3. PHA Requests for support from the Consolidated Plan Agency

- Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Commitment to provide affordable housing for low income families in the jurisdiction.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

B. Significant Amendment or Modification to the Annual Plan:

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.

Attachment A
Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
N/A	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Community Service Policy & Procedures	Community Service Policy

ATTACHMENT B

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Housing Authority of Williamsburg	Grant Type and Number Capital Fund Program Grant No:KY36P03150101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
---	---	-------------------------------------

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs Management Improvements Hard Costs				
4	1410 Administration	\$1,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$52,723			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$40,000			
10	1460 Dwelling Structures	\$355,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Housing Authority of Williamsburg	Grant Type and Number Capital Fund Program Grant No:KY36P03150101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
---	---	-------------------------------------

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:) Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	\$448,723			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Williamsburg		Grant Type and Number Capital Fund Program Grant No: KY36P03150101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
PHA Wide	Administration		1410		1000			
	Fees & Costs – A/E		1430		24000			
	Fees & Costs – MC		1430		24000			
	Fees & Costs - TA – Agency Plan		1430		4723			
	Landscaping		1450	Lump	20000			
	Tree Trimming		1450	Lump	20000			
KY031-6	Family Units – Replace Interior Doors		1460	60 units	150000			
	Elderly Units – Replace Furnace and Add Air Conditioning		1460	44 units	154000			
	Family Units – Paint Interiors		1460	60 units	51000			
TOTAL					448723			

**Capital Fund Program Five-Year Action Plan
Part I: Summary**

PHA Name Williamsburg		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2003	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2004	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2005	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2006
	Annual Statement				
KY031-1		0	32750	79200	79200
KY036-2		0	32750	79200	79200
KY036-3		0	32750	79200	79200
KY036-4		0	32750	79200	79200
KY036-6		351750		79200	79200
PHA Wide		96973	317723	52723	52723
Total CFP Funds (Est.)		448723	448723	448723	448723
Total Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: 2002 PHA FY: 2003			Activities for Year: 3 FFY Grant: 2003 PHA FY: 2004		
	Development	Description of Work Item	Estimated Cost	Development	Description of Work Item	Estimated Cost
See	KY031-6	Replace Tile & Cove Base	124500	KY031-1	Site Improvement	7750
Annual		Replace Roofs	134400		Dwelling Structures	25000
Statement		Bathroom Renovations	66850	KY031-2	Site Improvement	7750
		Replace Storage Unit Doors	26000		Dwelling Structures	25000
				KY031-3	Site Improvement	7750
	PHA Wide	Fees & Costs – A/E	24000		Dwelling Structures	25000
		Fees & Costs – MC	24000	KY031-4	Site Improvement	7750
		Fees & Costs – TA	4723		Dwelling Structures	25000
		Dumpster Pads	21000			
				PHA Wide	Fees & Costs – A/E	24000
		Stove Replacement	10500		Fees & Costs – MC	24000
		Refrigerator Replacement	12750		Fees & Costs – TA	4723
					Office Renovation	80000
					Construct Maintenance Shop	150000
					Add Parking	35000
TOTALS			448723			448723

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 3 FFY Grant: 2004 PHA FY: 2005			Activities for Year: 5 FFY Grant: 2005 PHA FY: 2006		
	Development	Description of Work Item	Estimated Cost	Development	Description of Work Item	Estimated Cost
See	KY031-1	Site Improvement	19800	KY031-1	Site Improvement	19800
Annual		Dwelling Structures	59400		Dwelling Structures	59400
Statement	KY031-2	Site Improvement	19800	KY031-2	Site Improvement	19800
		Dwelling Structures	59400		Dwelling Structures	59400
	KY031-3	Site Improvement	19800	KY031-3	Site Improvement	19800
		Dwelling Structures	59400		Dwelling Structures	59400
	KY031-4	Site Improvement	19800	KY031-4	Site Improvement	19800
		Dwelling Structures	59400		Dwelling Structures	59400
	KY031-6	Site Improvement	19800	KY031-6	Site Improvement	19800
		Dwelling Structures	59400		Dwelling Structures	59400
	PHA Wide	Fees & Costs – A/E	24000	PHA Wide	Fees & Costs – A/E	24000
		Fees & Costs – MC	24000		Fees & Costs – MC	24000
		Fees & Costs – TA	4723		Fees & Costs – TA	4723
TOTALS			448723			448723

ATTACHMENT D

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$ \$59,703.00

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____
R X

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority of Williamsburg will use a comprehensive drug elimination strategy to reduce drug related crime from its development. Activities will include enhancing security, increasing employment, and training opportunities, substance abuse prevention, intervention, and treatment. All sites of the Housing authority will be targeted and 500 residents will be served with drug elimination programs.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
31-1 Elbert t. Mackey	35	94
31-2 Elbert t. Mackey	34	78
31-3 Moss Manor	44	79
31-4 Moss Manor	20	24
31-6 Brush Arbor	104	250

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of

months).

6 Months _____ 12 Months X 18 Months _____ 24
 Months _____ Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	X	KY36DEP0310195	0		
FY 1996	X	KY36DEP0310196	0		
FY 1997			0		
FY 1998	X	KY36DEP0310198	0	GE	07-2000
FY 1999	X	KY36DEP0310199	0		
FY 2000	X	KY36DEP0310100	\$34,069.00		08-2001
FY 2001	X	KY36DEP0310101	\$59,703.00		09-30-02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The Housing Authority of Williamsburg believes in the benefits of a strong prevention program and proposes as part of our comprehensive drug elimination plan to provide quality programs designed to lower the risk of drug usage. Components include drug education opportunities, family services, youth services, economic and educational opportunities. The HAW will continue resident initiative programs and the Resident Initiatives Coordinator will coordinate PHDEP with existing parenting skills classes, leadership classes and youth enrichment programs. The Housing Authority of Williamsburg will continue to partnership with the Williamsburg Independent School system, Whitley County Communities for Children, Regional Drug Prevention Center, Cumberland College, and the University of Kentucky County Extension Dept. for programs that provide both young people and adults the knowledge and skills they need to make informed decisions to confront the potential and immediate dangers of illegal drugs.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$11,000.00
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	

9160 - Drug Prevention	\$43,454.00
9170 - Drug Intervention	
9180 - Drug Treatment	\$ 5,249.00
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	\$59,703.00

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement						Total PHDEP Funding: \$11,000.00	
Goal(s)	To reduce the impact of drug related crime in the Housing Authority of Williamsburg and improve resident safety.						
Objectives	<ol style="list-style-type: none"> 1. Increase visibility of police within community 100% 2. Make police accessible to residents 3. Increase police and resident communication 						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Enhanced Police presence above base-line services			10/01/01	09/30/02	11,000.00		Objective #1, 2 and 3
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$43,454.00		
Goal(s)	Inform residents of the dangers of illegal drug use, enable Housing Authority of Williamsburg youth to reject illegal drugs, educate residents on healthy lifestyle choices.						
Objectives	<ol style="list-style-type: none"> 1. Establish effective prevention programs 2. Prevention programs will include drug education, family support, and youth services. 3. Work with community recourses to plan programs 						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1. Monthly Parenting workshop			10/01/01	9/30/02	1,000.00	Whitley County Schools, 4,000.00	Objective #1&2
2. Activities Coordinator			10/01/01	10/01/02	11,310.00	Champions for Drug Free Kentucky 1,280.00	Objective #1,2, &3
3. Library			10/01/01	9/30/02	500.00	N/A	Objective #2
4. Teen Leadership/Framing Gallery			10/01/01	9/30/02	Equip, trav, instructor: 4,751.00	Save the Children 12,000.00	Objective #1,2, &3
5. Sports and recreation			10/01/01	09/30/02	Equipment, Supplies, Coaches, Travel: 5,650.00	National Guard Armory 6,750.00	Objective #1,2, &3
6. Cultural Activities			10/01/01	09/30/02	Travel, supplies, instructor 5,357.00		Objective #1,2, &3
8. Educational Classes			10/01/01	09/30/02	Supplies, instructor, 3,740.00		Objective #1,2, &3
9. Administration /personnel			10/01/01	09/30/02	6,760.00		Objective #1,2, &3
10. Fringe			10/01/01	09/30/02	4,386.00		Objective #1,2, &3

9180 - Drug Treatment					Total PHDEP Funding: \$5,249.00		
Goal(s)	Provide professional counseling for residents of the Housing Authority of Williamsburg						
Objectives	1. Increase accessibility of treatment services to residents. 2. Decrease drug-related crime within the developments						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Substance abuse and family counseling. Referrals for treatment. Sobriety Maintenance and Support Group.			10/01/01	9/30/02	5,249.00		Objective#1 and 2

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g. Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	1	\$11,000.00	1	\$11,000.00
9120				
9130				
9140				
9150				
9160	2	\$43,454.00	2	\$43,454.00
9170				
9180	3	\$5,249.00	3	\$5,249.00
9190				
TOTAL		\$59,703.00		\$59,703.00

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Required Attachment E : Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: Robert Parks

B. How was the resident board member selected: (select one)?

Elected

Appointed

C. The term of appointment is (include the date term expires): April 2001 to April 2005

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis

the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (explain):

B. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Required Attachment F: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Patricia Turner
652 Brush Arbor Apartments
Williamsburg, KY 40769
606-539-9355

Marc Turner
652 Brush Arbor Apartments
Williamsburg, KY 40769
606-539-9355

Joyce Meadows
621 Brush Arbor Apartments
Williamsburg, KY 40769
606-549-9842

Virginia McFarland
686 Brush Arbor Apartments
Williamsburg, KY 40769
606-539-9921

Trendy Hurst
660 Brush Arbor Apartments
Williamsburg, KY 40769
606-549-2599

Response to Resident Advisory Board Consultation

- Concerns were expressed about trash in and around the dumpster area and if dumpsters or wheeled cans would work out best.

Response: The Housing Authority will investigate replacement of dumpster pads vs. use of wheeled cans and proceed with the most cost effective method of trash collection.

- Residents suggested that a multi-purpose building was needed to serve as a Recreation Center.

Response: The Housing Authority advised that plans were underway to expand the office area which would free up space to be used for recreational purposes for residents.

- Suggestion was made that the Housing Authority laundry facilities could be operated by the Resident council as a fundraiser.

Response: The Housing Authority indicated this was feasible and would be addressed under Resident Council functions but did not require any changes in the 2001 Agency Plan Update.

- Concern was expressed by one resident about lawn maintenance and that yards were not well maintained. Resident suggested Housing Authority use "baggers" when mowing.

Response: The Housing Authority advised that this concern would be addressed by management but did not require any changes in the 2001 Agency Plan Update.

- Residents suggested a need for increased security.

Response: The Executive Director advised that was being planned under PHDEP funding.

ATTACHMENT H

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of Williamsburg		Grant Type and Number Capital Fund Program Grant No: KY36P03190799 Replacement Housing Factor Grant No:		Federal FY of Grant: 1999	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03-31-01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs Management Improvements Hard Costs				
4	1410 Administration	1,000	952	952	951.56
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	52,950	54,652	54,652	30,334.46
8	1440 Site Acquisition				
9	1450 Site Improvement	37,000	10,632	10,632	10,632.00
10	1460 Dwelling Structures	298,581	303,017	303,017	147,560.13
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	31,082	51,360	51,360	51,360.00
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing Authority of Williamsburg	Grant Type and Number Capital Fund Program Grant No: KY36P03190799 Replacement Housing Factor Grant No:	Federal FY of Grant: 1999
---	--	-------------------------------------

Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03-31-01
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)		420,613	420,613	240,586.35
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Williamsburg		Grant Type and Number				Federal FY of Grant:			
		Capital Fund Program Grant No: KY36P03190799				1999			
		Replacement Housing Factor Grant No:							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
PHA Wide	Administration – Printing and Advertising	1410		1000	952	952	951.56		
	Fees & Costs – A/E	1430		22000	24400	24,400	18,544.00		
	Fees & Costs – M/C	1430		22000	23000	23000	9200.00		
	5 Year Plan Preparation	1430		8500	2571	2571	2571.00		
	FFY '99 Budget Preparation	1430		450	450	450	450.00		
	Nyla Small Consulting	1430		0	4232	4232	4231.40		
KY31-3	One Half Basketball Court	1450		10000	0				
&	New Playground Equipment	1450		25000	0				
KY31-6	Development Signs	1450	2	2000	0				
	Concrete Walks	1450		0	8352	8352	8352.00		
	Stair Tread Replacement	1450		0	2280	2280	2280.00		
KY31-3	Screen doors – Elderly	1460	30	7050	6047	6047	6046.15		
	Paint Interior Doors & Railings	1460	30	3750	8788	8788	8788.00		
	HVAC System in Community Space	1470		4200	16660	16660	16660.00		
	Panic Hardware on Doors	1470	2	1280	2000	2000	2000.00		
	Acoustic Ceiling Tile	1470	1572 sq. ft.	3852	3500	3500	3500.00		

ATTACHMENT I

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of Williamsburg		Grant Type and Number Capital Fund Program Grant No: KY36P03150100 Replacement Housing Factor Grant No:		Federal FY of Grant: 2000	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03-31-01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs		439728	439728	439728
	Management Improvements Hard Costs				
4	1410 Administration	1000	0	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	53000	0	0	0
10	1460 Dwelling Structures	385728	0	0	0
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Housing Authority of Williamsburg	Grant Type and Number Capital Fund Program Grant No: KY36P03150100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
---	--	-------------------------------------

Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 03-31-01
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	439728	439728	439728	439728
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Williamsburg		Grant Type and Number Capital Fund Program Grant No: KY36P03150100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Original	Revised		
PHA Wide	Operations	1408		0	439728	439728	439728		
	Administration	1410							
	Printing & Advertising			1000	0				
	Fees & Costs	1430							
	A & E			26500	0				
	MC			26500	0				
KY031-6	Dwelling Structures	1460							
	Install new steel security screen doors		112 units	30800	0				
	Install new steel entry doors		112 units	98000	0				
	Install new windows		112 units	210000	0				
	Patch and repair walls; paint entire		60 units	39000	0				
	Minor gutter and downspout repair		Lump Sum	7928	0				
TOTALS				439728	439728	439728	439728		

Attachment: **J**

Deconcentration and Income Mixing

Component 3, (6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any)[see step 4 at §903.2©(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2©(1)(v)]