

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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Small PHA Plan Update  
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name: Housing Authority of Cumberland**

**PHA Number: KY-029**

**PHA Fiscal Year Beginning: (mm/yyyy) 04/2001**

**PHA Plan Contact Information:**

Name: Mary Ann Bailey, Executive Director

Phone: 606-589-4600

TDD: 1-800-247-2510

Email (if available): cumberland1@kih.net

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting:  
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**PHA Programs Administered:**

- Public Housing and Section 8       Section 8 Only       Public Housing Only

# Annual PHA Plan

## Fiscal Year 2001

[24 CFR Part 903.7]

### i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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### ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

## 1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

As a part of its first year Agency Plans, the Housing Authority of Cumberland (HAC) updated its Admission & Continued Occupancy Policies/Procedures (ACOPP) to include the changes made by the Housing Act of 1998 (including the March 29, 2000 final rule on "Occupancy..."). In addition, HAC made optional changes to its ACOPP, which included (1) adopting the same definition for Ceiling and Flat Rents; (2) setting these rents at amounts below the Section 8 Fair Market Rent amounts for Harlan County; and (3) establishing local selection preferences by giving preference to families who live or work in (a) in the City of Cumberland, (b) outside the city but in Harlan County, and then to (3) other working families. A final local selection preference was to those who are victims of domestic violence. In its calculation of income-based rents, the HAC adopted a deduction for FICA taxes withheld from (working families) wages. HAC also had a five-year Capital Needs Assessment performed. HAC started the implementation of its five-year Capital Improvements Program with the major items being the replacement of existing water and sewer lines and replacement/enhancement of the existing smoke detectors in KY 29-01 (Harvester Heights) to meet current HUD standards. This program wasn't funded till October 2000. It was a year ago, and remains today, HAC's belief that such actions/policies will offer a better living environment and affordable housing for its residents and applicants. Therefore, there are NO other major changes planned, other than implementation of the Pet Policy and Community Services Requirements (for applicable adult residents), during this Agency Plans year.

## **2. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

- A.  Yes  No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 265,805.
- C.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.
- D. Capital Fund Program Grant Submissions

### **(1) Capital Fund Program 5-Year Action Plan**

The Capital Fund Program 5-Year Action Plan is provided as Attachment B.

### **(2) Capital Fund Program Annual Statement**

The Capital Fund Program Annual Statement is provided as Attachment C.

## **3. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

<b>Demolition/Disposition Activity Description</b> <b>(Not including Activities Associated with HOPE VI or Conversion Activities)</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for     units <input type="checkbox"/> Public housing for     units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for     units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

**4. Voucher Homeownership Program**

[24 CFR Part 903.7 9 (k)]

- A.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

**B. Capacity of the PHA to Administer a Section 8 Homeownership Program**

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

**5. Safety and Crime Prevention: PHDEP Plan**

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A.  Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA’s estimated or actual (if known) PHDEP grant for the upcoming year? \$32,091.

C.  Yes  No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

D.  Yes  No: The PHDEP Plan is attached at Attachment D.

**6. Other Information**

[24 CFR Part 903.7 9 (r)]

**A. Resident Advisory Board (RAB) Recommendations and PHA Response**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s? Board reviewed the HAC’s proposed PHDEP, FFY2001 CFP, Pet Policy and its ratings on the first HUD REAC Customer Survey and was supportive of the HAC and its efforts to improve the living environment in both projects. General comments included a belief that living conditions and safety had improved during the past 12 months and “good feelings” from the actions planned during the next 12 months.

2. If yes, the comments are Attached at Attachment (File name)

3. In what manner did the PHA address those comments? (select all that apply) NOT APPLICABLE

The PHA changed portions of the PHA Plan in response to comments

A list of these changes is included

Yes  No: below or

- Yes  No: at the end of the RAB Comments in Attachment \_\_\_\_.
- Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment \_\_\_\_.
- Other: (list below)

## B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) Commonwealth of Kentucky (KY Housing Corporation) State Plan

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below) HAC's will use its Capital Funds Program (CFP) improvements, its PHDEP funded programs and its rental policy of charging its residents the lesser of Ceiling/Flat Rents or Income-Based Rents to improve the living environment and provide affordable housing for its residents. HAC's Ceiling/Flat Rents are set at an amount below the Section 8 Fair Market Rents for Harlan County and its Income-Based Rents allow for a FICA deduction for working families.
- Other: (list below)

3. PHA Requests for support from the Consolidated Plan Agency

- Yes  No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Expand the supply of safe, decent, sanitary and affordable housing for very-low and low-income families through ..., rehabilitation ....”

## C. Criteria for Substantial Deviation and Significant Amendments

### 1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

#### A. Substantial Deviation from the 5-year Plan:

1. Redirection of more than 20% of its Operating Budget funds from any budgeted/scheduled activity to another activity; or
2. A major change in program direction (e.g., new or different housing selection preference criterion, new or changes to deductions from income-based rents, additional efforts to enhance deconcentration opportunities, changes in the basis of determining Ceiling/Flat rent amounts, etc.) that requires action on the part of the Board of Commissioners; or
3. Increasing or decreasing the total number of HAC employees by more than 15% from that authorized on April 1<sup>st</sup> of each fiscal year.

However, NONE of these changes will be considered a Substantial Deviation IF that/those change(s) results from Government (i.e., Federal, State, or Local) actions over which the HAC exercises no control.

#### B. Significant Amendment or Modification to the Annual Plan:

1. Changes to rent or admissions policies or organization of HAC's waiting list.
2. Additions of non-emergency work items (not included in the current Annual Statement or 5-Year Action Plan) or change in the use of the replacement reserve funds under the Capital Grant Funds Program.
3. Additions of new activities not included in the current PHDEP ; and
4. Any change with regard to demolition or disposition, designation of projects/buildings (for the elderly/disabled or families with disabilities), homeownership programs or conversion activities.

However, NONE of these changes will be considered Significant Amendments/Modifications IF that/those change(s) result from Government (i.e., Federal, State or Local) actions over which the HAC exercises no control.

## Attachment A

### Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

**List of Supporting Documents Available for Review**

<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations

### List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Related Plan Component
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency

**List of Supporting Documents Available for Review**

<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X	PHDEP-related documentation: <ul style="list-style-type: none"> <li>· Baseline law enforcement services for public housing developments assisted under the PHDEP plan;</li> <li>· Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15);</li> <li>· Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities;</li> <li>· Coordination with other law enforcement efforts;</li> <li>· Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and</li> <li>· All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan.</li> </ul>	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) Community Service Requirement Implementation Plan and the Report on the First Year's Plans.	Annual Plan: Other

**Annual Statement/Performance and Evaluation Report ATTACHMENT B.  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/**

<b>PHA Name:</b> Housing Authority of Cumberland	<b>Grant Type and Number</b> Capital Fund Program: 502 Capital Fund Program Replacement Housing Factor Grant No:
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**Original Annual Statement**
 Reserve for Disasters/ Emergencies
 Revised A  
 **Performance and Evaluation Report for Period Ending:**
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised
1	Total non-CFP Funds		
2	1406 Operations		
3	1408 Management Improvements		
4	1410 Administration	\$ 5,000.	
5	1411 Audit		
6	1415 liquidated Damages		
7	1430 Fees and Costs	\$ 29,400.	
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures	\$ 229,405.	
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Nondwelling Structures		
13	1475 Nondwelling Equipment	\$ 2,000.	
14	1485 Demolition		
15	1490 Replacement Reserve		
16	1492 Moving to Work Demonstration		
17	1495.1 Relocation Costs		
18	1498 Mod Used for Development		
19	1502 Contingency		
20	Amount of Annual Grant: (sum of lines 2-19)	\$ 265,805.	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Compliance		
23	Amount of line 20 Related to Security		
24	Amount of line 20 Related to Energy Conservation Measures		

**Annual Statement/Performance and Evaluation Report ATTACHMENT B.  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/  
Part II: Supporting Pages**

PHA Name: Housing Authority of Cumberland		Grant Type and Number Capital Fund Program #: 502 Capital Fund Program Replacement Housing Factor #:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
PHA - Wide	Administration-Agency Plans & misc. costs for reproducing A & Plans/Specs.	1410	Various	\$ 5,000.	
PHA - Wide	Fees & Costs- hire A & E and Mod Mgr.	1430	2	\$ 29,400.	
KY 29-01	Dwelling Structures Upgrade bathrooms	1460	60	\$ 124,000.	
KY 29-01	Dwelling Structure replace approx. 111 bifold interior doors	1460	111	\$ 33,405.	
KY 29-01	Dwelling Structures-Construct Storage Buildings	1460	32	\$ 72,000.	
KY 29-02	Non-Dwelling Equipment-Lockable Metal Storage Cabinets	1475	2	\$ 2,000.	

**Annual Statement/Performance and Evaluation Report ATTACHMENT B.  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/  
Part III: Implementation Schedule**

PHA Name: Housing Authority of Cumberland		Grant Type and Number Capital Fund Program #: 502 Capital Fund Program Replacement Housing Factor #:					Federal
Development Number Name/HA-Wide Activities	All Fund Obligated (Quart Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
PHA - Wide	3-2003			9-2004			



## Capital Fund Program 5-Year Action Plan ATTACHMENT C.

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
KY 29-01	Harvester Heights	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start D (HA Fiscal Year)
Replace 120 storm doors with HD stainless steel screen doors.	\$ 37,500	4-2002
Retrofit 452 windows with stainless steel security half screens.	\$ 38,750	4-2002
Exterior fire resistant storage buildings for tenant mowers, gasoline, oil, etc.	\$ 81,400	4-2003
Prepare and paint walls, ceilings, doors & wood trim in all 60 units	\$ 64,500	4-2004
Purchase & install 60 new ranges and refrigerators	\$ 60,100.	4-2005
<b>Total estimated cost over next 5 years</b>	<b>\$478,500.</b>	

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
KY 29-2	Cloverlick Heights	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start D (HA Fiscal Year)

<b>Replace 40 front only storm doors with HD stainless steel screen doors.</b>	<b>\$ 12,600.</b>	<b>4-2002</b>
<b>Retrofit 632 windows with stainless steel security half screens.</b>	<b>\$ 52,100.</b>	<b>4-2002</b>
<b>Exterior fire resistant storage buildings for tenant mowers, gasoline, oil, etc.</b>	<b>\$108,500.</b>	<b>4-2003</b>
<b>Purchase new ½ ton 4 wheel drive pickup truck</b>	<b>\$ 26,000.</b>	<b>4-2004</b>
<b>Purchase &amp; Install 80 new ranges and refrigerators</b>	<b>\$ 80,100.</b>	<b>4-2005</b>
<b>Total estimated cost over next 5 years</b>	<b>\$315,000.</b>	

# PHA Public Housing Drug Elimination Program Plan ATTACHMENT D.

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

**Section 1: General Information/History**

**A. Amount of PHDEP Grant \$\_32,091.**

**B. Eligibility type (Indicate with an “x”)**                    N1\_\_\_\_\_ N2\_\_\_\_\_    R\_\_X

**C. FFY in which funding is requested 2001**

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The PHDEP of the Housing Authority of Cumberland will use a comprehensive security and prevention-based approach to reduce/eliminate crime. To combat the problem of drug trafficking and use in the housing developments, the Authority will contact with a security guard to perform foot patrol and surveillance. Educational, training and recreational programs will be carried out to offer both youth and adult residents’ alternatives to drug-related activities. Adult residents will also be offered part-time employment opportunities through the program funding.

**E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Harvester Heights, KY 29-01	60	120
Cloverlick Heights, KY 29-02	80	130

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

**12 Months\_x\_\_\_\_\_ 18 Months\_\_\_\_\_ 24 Months\_\_\_\_\_**

**G. PHDEP Program History**

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 2000	\$33,091	KY36DEP0290100	\$32,091		12-16-00	12-15-01
FY 1996	\$70,000.	KY36DEP0290196	-0-			N/A
FY 1997						
FY1998						
FY 1999	\$30,800.	KY36DEP0290199	\$13,480.		12-15-99	12-15-00

## **Section 2: PHDEP Plan Goals and Budget**

### **A. PHDEP Plan Summary**

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The PHDEP strategy is to undertake a balanced and comprehensive program of activities that will achieve the Housing Authority of Cumberland's goal of reducing drugs and drug-related crime in targeted developments. The objectives of the activities are (1) to reduce Part I and Part II crimes through a contract with a security guard to perform foot patrol and surveillance; (2) to offer educational, training and recreational programs to youth and adults; and (3) to offer part-time employment (as program activities coordinator) to adults. The role of partners is to help the Authority in achieving a coordinated, concerted community effort in the fight against drugs and crime. The Authority will utilize a Drug Elimination Advisory Committee, composed of representatives of resident organizations, provider organizations, local government representatives, and community leaders to assist in evaluating the program and formulating recommendations to strengthen performance. The Committee and the Authority will receive advice and technical assistance from a qualified contractor entity that will periodically assess and evaluate the program. Performance indicators and resident surveys will be used to measure progress.

### **B. PHDEP Budget Summary**

Enter the total amount of PHDEP funding allocated to each line item.

<b>FFY2001 PHDEP Budget Summary</b>	
<b>Original statement</b>	
<b>Revised statement dated:</b>	
<b>Budget Line Item</b>	<b>Total Funding</b>
9110 – Reimbursement of Law Enforcement	
9115 - Special Initiative	
9116 - Gun Buyback TA Match	
9120 - Security Personnel	\$ 24,556.
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$ 6,535.
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	\$ 1,000.

### C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9120 - Security Personnel</b>						<b>Total PHDEP Funding: \$ \$ 23,265.</b>	
Goal(s.)		Reduce drugs & drug-related crime in the targeted developments					
Objectives		Reduce Part I and II crimes through patrols and surveillance.					
Proposed Activities		# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.Security guard contract				12-16-01	12-15-02	\$24,556.	None
2.							
3.							

<b>9160 - Drug Prevention</b>						<b>Total PHDEP Funding: \$ \$ 6,535.</b>	
Goal(s)		Reduce drugs and drug-related crimes in the targeted developments					
Objectives		Alternatives to drug-related activities, offer educational, recreational and part-time employment					
Proposed Activities		# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1. Personnel Service		250	Youth & Adults	1-01-02	12-31-02	\$4,310.	None
2.Travel, Equip. & Supplies		250	Ditto	1-01-02	12-31-02	\$ 900.	None
3. Educational Activities		250	Ditto	1-01-02	12-31-02	\$ 825.	None
4. Recreational Activities		250	Ditto	1-01-02	12-31-02	\$ 500.	
None			Activity participants				

<b>9190 - Other Program Costs</b>						<b>Total PHDEP Funds: \$ \$1,000.</b>	
Goal(s)		Reduce drugs & drug-related crime in the targeted developments.					
Objectives		Assess & evaluate the Drug Elimination Programs					
Proposed Activities		# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.Survey/Program Evaluation				7-01-02	1-31-03	\$1,000.	None
2.							
3.							

## Required Attachment \_\_E.: Resident Member on the PHA Governing Board

1.  Yes  No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

B. How was the resident board member selected: (select one)?

- Elected  
 Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain): The HAC had three residents show interest in become a member of its governing body. However, since none of the three residents was of the political affiliation for which the vacancy existed, the Mayor did not appoint anyone to the Board of Commissioners.

B. Date of next term expiration of a governing board member: **March 2001**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): **Mayor Sara Augusta**

Kentucky Revised Statues (KRS) 80 governs traditional PHAs including appointments. For Housing Authorities that are “authorized” by Cities, the Mayor of each such City is automatically a member of the Board of Commissioners (i.e., KY PHAs governing body) by the nature of his/her election to the Office of Mayor.

**Required Attachment \_\_\_\_F. : Membership of the Resident Advisory Board or Boards**

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

The Resident Council of the Housing Authority of Cumberland consists of 6 members. One member, Adora Hall lives in Havester Heights (KY 29-01) and the other five, i. e., Bobby Nolan, Susan Golden, Maggie Smith, Margaret Amburgey and James Smith, live in Cloverlick Heights (KY 29-02).