

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Lexington-Fayette Urban County Housing Authority

PHA Number: KY 36 P004

PHA Fiscal Year Beginning: (mm/yyyy) 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

To develop and manage safe, sanitary and desirable housing for low and moderate income individuals and families in Lexington and Fayette County. To promote housing and social opportunities which serve as the impetus for increased self sufficiency and community involvement. To create an atmosphere of caring and commitment for those we serve and employ.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

Other PHA Goals and Objectives: (list below)

PHA GOAL: Expand the supply of good, desirable assisted housing

Objectives:

- each year, respond to appropriate NOFA's issued by HUD for Section 8 housing choice vouchers
No action taken this year
- leverage private or public funds to create 150 housing opportunities over 5 years
Fund leveraging for 110 housing opportunities being negotiated with a local developer.
- annually review and respond, if appropriate, to HUD NOFA's for acquisition or development of new units
No NOFA's for acquisition or development made available this year.
- acquire/develop 150 new units over 5 years
Currently acquiring lots and property on which to develop housing.

- PHA GOAL: Adapt Lexington Housing Authority's Housing stock and program resources to more closely meet local housing needs and markets
Objectives:
 - seek approval to demolish 279 units in Bluegrass Aspendale
Demolition application approved 7/26/00. All families have been relocated.
 - Seek approval for a demolition HOPE VI grant for Bluegrass Aspendale
Application submitted 5/18/2000. Not approved. Another application is being discussed for this year.
 - upgrade through renovation activities 150 public housing units
Exterior renovation has begun on 4-9. This will be followed by renovation of the interiors. This is a scattered site development of 97 units.
 - evaluate Section 8 homeownership program for viability in Lexington market and implement if applicable
LHA and HUD staff met on 3/9/01 to explore the viability of implementing a Section 8 Homeownership program. Evaluation is continuing.

- PHA GOAL: Improve management of programs operated by Lexington Housing Authority
Objectives
 - Provide accounting training sessions on a yearly basis
On-line training being provided for all accounting staff. Training to be completed by 5/31/01.
 - Insure all housing managers have PHM certification 2 years after hire date
All Housing Managers are PHM certified.
 - Upgrade operations management staff through annual training on HUD regulations and innovative techniques for management
All Occupancy and Admission staff and Housing Managers received training in Occupancy, Admission, Community Service, and Pets in public housing, other public housing updates and professional development at the KHA-HUD Mid-Year Workshop.
 - Update maintenance trades staff through attending training at least once a year
All Trades Maintenance staff and supervisors have received training this fiscal year.

- Annual training for Section 8 inspectors
All Section 8 inspectors received training at 2001 KHA-HUD Mid-Year Workshop.
- HUD will recognize LHA as a PHAS high performer by 6/30/04
Baseline score established at 79
- Increase customer satisfaction as indicated by annual surveys
Baseline established at 3.902
- Reduce public housing vacancies by 5% in 2005
Baseline established at 77.
- PHA GOAL: Increase assisted housing choices
Objectives:
 - Maintain Section 8 payment standard at 110%
Payment standard has been maintained at 110%.
 - Implement site based waiting lists for public housing
LHA maintains 18 waiting lists.
 - Manage homeownership program to sell at least 3 units per year
Eighteen (18) houses have been sold this fiscal year.
 - Increase housing opportunities for families who are working part-time or in school using KY 004-007 and KY 004-009
KY00-007 and KY004-009 approved by Board of Commissioners to be entry-level self-sufficiency housing.
- PHA GOAL: Improve community quality of life and economic vitality
Objectives:
 - Review and assess Section 8 and public housing units in view of creating partnerships with local agencies
A Public housing partnership has been created with Repairers of the Breach, a faith-based group.
 - Advertise, review and implement, if required, measures to deconcentrate poverty by bringing higher income public housing households into lower income developments
On Hold

- Advertise, review and implement, if required, measures to promote income mixing in public housing by assuring access for lower income families into higher income developments
On Hold
- Annually monitor and evaluate public housing security measures
Security measures are evaluated weekly during our meetings between LHA managers and police officers. Formally reviewed 4/11/01.
- Review and refine, if necessary, MOU between LHA/Police Department to assure that program needs are current and reflected in the document
Reviewed 11/29/00
- PHA GOAL: Promote self sufficiency and assist development of families and individuals
Objectives:
 - Implement self sufficiency activities for 250 families under HOPE VI
245 families have been enrolled in self-sufficiency activities under HOPE VI.
 - Partner with homebuilders, Community Action Council, Urban League for training activities under Youth Build
Classroom training activities have been completed.
 - Develop Section 3 Plan for the agency
Hired a consultant to prepare a plan. It has been completed.
 - Implement assessment activities under Section 3 for 500 families
Some Section 3 assessment activities have been implemented through the Charlotte Court HOPE VI.
 - Enhance self-sufficiency initiatives for Section 8 and public housing participants with local agencies
No action taken at this time.
 - Promote activities to enhance independence of elderly
Current activities continue to be promoted. No new activities added.
 - Attract supportive services to increase independence of the elderly residing in designated communities
One new, medical service added this year.
 - Hire a RIC through PHDEP program
No action taken at this time.

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Operate Lexington Housing Authority in full compliance with all equal opportunity laws and regulations
LHA complies with the equal opportunity laws and regulations regarding employment and housing.
 - Implement annual briefing and update session to attract and retain Section 8 landlords
Landlord briefing held 12/6/00.
 - At least every six months, outreach to disability coalitions through advertisement/agency contacts/news media
Three (3) agencies contacted in December 2000.

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2001 Capital Fund Program Annual Statement **KY004a01**
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan **KY004a01**
- Public Housing Drug Elimination Program (PHDEP) Plan **KY004b01**
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) **KY004c01**
- Other (List below, providing each attachment name)
- Community Service **KY004d01**
- Pet Policy **KY004e01**
- Resident Advisory Board Membership **KY004f01**

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
X	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Resident Survey Action Plan	Other

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	6,751	5	5	4	3	3	3
Income >30% but <=50% of AMI	5,036	4	3	3	2	2	2
Income >50% but <80% of AMI	3,171	3	3	3	2	2	2
Elderly	1,949	2	2	2	2	2	2
Families with Disabilities	N/A						
Race/African American	7,882	4	3	3	2	2	2
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: **2000**
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1884		
Extremely low income <=30% AMI	1290	68.47%	
Very low income (>30% but <=50% AMI)	572	30.36%	
Low income (>50% but <80% AMI)	22	1.17%	
Families with children	1484	78.77%	
Elderly families	33	1.75%	
Families with Disabilities	367	19.48%	
Race/White	641	34.02%	
Race/Black	1213	64.38%	
Race/Hispanic	9	0.48%	
Race/Other	21	1.11%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			

Housing Needs of Families on the Waiting List			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	131		
Extremely low income <=30% AMI	102	77.86%	
Very low income (>30% but <=50% AMI)	26	19.85%	
Low income (>50% but <80% AMI)	3	2.29%	
Families with children	43	32.82%	
Elderly families	1	0.76%	
Families with Disabilities	17	12.98%	
Race/White	81	61.83%	
Race/Black	48	36.64%	
Race/Hispanic	1	0.76%	
Race/Other	1	0.76%	

Housing Needs of Families on the Waiting List			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	85	64.89%	
2 BR	29	22.14%	
3 BR	15	11.45%	
4 BR	2	1.53%	
5 BR	0	0%	
5+ BR	NA	NA	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency’s reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required

- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	2,432,500	
b) Public Housing Capital Fund	2,492,628	
c) CF Replacement Housing	347,106	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	9,389,166	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	358,955	
g) Resident Opportunity and Self-Sufficiency Grants	-0-	
h) Community Development Block Grant	-0-	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
i) HOME	-0-	
Other Federal Grants (list below)		
3. Prior Year Federal Grants (unobligated funds only) (list below)	-0-	
HOPE VI Revitalization	17,088,087	
HOPE VI Demolition	1,973,000	
MOD	2,379,404	
3. Public Housing Dwelling Rental Income	1,857,760	Public Housing Operations
4. Other income (list below)	164,409	Public Housing Operations
Misc. charges to tenants		
Commissions		
Collection Loss Recovery		
4. Non-federal sources (list below)		
Section 8-FSS	12,000	
Shelter Plus Care	128,160	Section 8 Supportive Services
Section 8-Housing Choice	725,609	
Total resources	39,348,784	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) **At time applicants return all documentation.**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) **Age, citizenship.**

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below) **Ballard/Griffith Towers**

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? **18**

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists? **All for which they qualify.**
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below) **Ballard/Griffith Towers**

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
- In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Overhoused
 - Underhoused
 - Medical justification

- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below) **Voluntary transfers for leaseholders desiring to move to a specific unit or site.**
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) **Order of preference for Singles: 1) Elderly, handicapped, disabled, displaced; 2) Working singles; 3) Full-time students; 4) Other singles.**

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- 1 Households that contribute to meeting income goals (broad range of incomes)
- 1 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 2 Other preference(s) (list below) **Order of preference for singles: 1) Elderly, disabled, handicapped, displaced; 2) Working singles who have been employed at least six (6) months prior to application; 3) Full-time students engaged in post high school education activity; 4) Other singles.**

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing ON HOLD

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

B. Section 8

Exemptions: PHA's that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
 Criminal and drug-related activity, more extensively than required by law or regulation
 More general screening than criminal and drug-related activity (list factors below)
 Other (list below) **Balances owed to LHA, income limits, citizenship, age requirement.**

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below) **Share names of other landlords, if requested.**

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: **Additional 30 days by request.**

(4) Admissions Preferences

- a. Income targeting
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?
- b. Preferences
1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below) **LHA/HOPE VI/DEMO/DISPO activity; elderly, disabled, handicapped, displaced over other singles. Special partners – administering self-sufficiency programs and programs for persons with disabilities.**

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- 2 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 2 Other preference(s) (list below) **Elderly, disabled, handicapped, displaced over other singles. Special partners operating self sufficiency programs and programs for persons with disabilities.**

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices

- Other (list below) **Partners announce availability; PHA eligibility staff/management staff make referrals; announcements at coordinating agency meetings/forums.**

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHA's that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below: **15% exclusion to earned income.**

For household heads

For other family members **(18 years of age or older)**

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below) **(1) Report all changes in family composition within 10 days. (2) Report income increases only if decrease requested between annual recertifications.**

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below) **Rents of current PHA leaseholders.**

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)
Certain census districts where rent comps warrant.

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	1233	22%
Section 8 Vouchers	1452	2%

Section 8 Certificates	317	100%-Convert to Vouchers
Section 8 Mod Rehab	NA	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	Virginia Place 52 Canaan House 8 CAC FSS 40 Shelter Plus 32	2% 0% 0% 0%
Public Housing Drug Elimination Program (PHDEP)	1329*	0
Other Federal Programs(list individually)		
Ballard Towers	134	9%

*KY004-019 (97 units) may DOFA prior to 07/01/2001

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **KY004a01**

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)
- b. If yes to question a, select one:
- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment
- or-
- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: **Charlotte Court**

2. Development (project) number: **KY 004-002 and KY 004-005**
 3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Bluegrass Aspendale, Fowler Gardens, Haverly Caulder

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Charlotte Court KY 004-002, KY 004-005

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Bluegrass Aspendale
1b. Development (project) number:	KY 004-004
2. Activity type: Demolition	<input checked="" type="checkbox"/>
Disposition	<input type="checkbox"/>
3. Application status (select one)	
Approved	<input checked="" type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	<u>(07/26/00)</u>
5. Number of units affected:	279
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input checked="" type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity:	ON HOLD
b. Projected end date of activity:	ON HOLD

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	Griffth Towers (Connie Griffth Manor)
1b. Development (project) number:	KY004-023
2. Designation type:	Occupancy by only the elderly <input checked="" type="checkbox"/> (Near elderly & elderly 55 & over) Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	<u>13/02/97</u>
5. If approved, will this designation constitute a (select one)	<input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)	

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number: KY004-014	
2. Federal Program authority:	
<input type="checkbox"/>	HOPE I
<input checked="" type="checkbox"/>	5(h)
<input type="checkbox"/>	Turnkey III
<input type="checkbox"/>	Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	
<input checked="" type="checkbox"/>	Approved; included in the PHA’s Homeownership Plan/Program
<input type="checkbox"/>	Submitted, pending approval
<input type="checkbox"/>	Planned application

4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) 16/12/1992
5. Number of units affected: 25
6. Coverage of action: (select one)
<input type="checkbox"/> Part of the development
<input checked="" type="checkbox"/> Total development

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number: KY004-015
2. Federal Program authority:
<input type="checkbox"/> HOPE I
<input checked="" type="checkbox"/> 5(h)
<input type="checkbox"/> Turnkey III
<input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)
<input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program
<input type="checkbox"/> Submitted, pending approval
<input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) 16/12/1992
5. Number of units affected: 71
6. Coverage of action: (select one)
<input type="checkbox"/> Part of the development
<input checked="" type="checkbox"/> Total development

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number: KY004-019
2. Federal Program authority:
<input type="checkbox"/> HOPE I
<input checked="" type="checkbox"/> 5(h)
<input type="checkbox"/> Turnkey III
<input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)
<input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program
<input type="checkbox"/> Submitted, pending approval
<input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) 05/08/1997

5. Number of units affected: 97
6. Coverage of action: (select one)
- Part of the development
- Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Program has not been developed yet.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 01/07/00

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following

table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
LexLinc	80	Specific Criteria	Cabinet for Families & Children/Subcontractors	Both
Virginia Place	57	Waiting List	Virginia Place	Section 8
Community Action Council (CAC)	99	Waiting List	CAC	Section 8
HOPE VI-Charlotte Court	240	Specific Criteria	CAC	Both

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents

- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)
KY004-001, 003, 007-009, 012

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)
KY004-001, 003, 007-009, 012

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)
KY004-001, 003, 007-009, 012

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
 Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
 Yes No: This PHDEP Plan is an Attachment. (Attachment **KY004b01**)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 - Attached at Attachment **KY004c01**
 - Provided below:

3. In what manner did the PHA address those comments? (select all that apply)
 - Considered comments, but determined that no changes to the PHA Plan were necessary.
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - **In addition to the RAB meetings held, the PHA Plan was also presented to the Bluegrass-Aspendale Resident Council. The comments received were very similar to those from the RAB.**

However, in response to one comment, the pet fee was changed to exclude fish from being assessed a fee.

- Other: (list below)
- **In response to a request for a Section 8 newsletter at the January 25th RAB meeting, the Section 8 Manager announced that a newsletter would be forthcoming in 2001.**
 - **In response to 4 questions raised regarding pets, 3 resolutions to those questions were incorporated into the Pet Policy. Included Mr. Clay's suggestion that pets should not be left unattended in an apartment for more than 24 hours.**
 - **Incorporated Mrs. Clay's request to utilize schools, hospitals and churches for Community Service.**
 - **Incorporated pet free zones at most properties.**

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **Lexington-Fayette Urban County Government**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

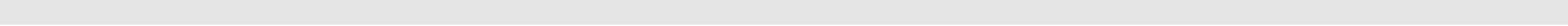
 - Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) **Charlotte Court HOPE VI donation of land for development.**

D. Other Information Required by HUD

Attachments

Use this section to provide any additional attachments referenced in the Plans.



LEXINGTON HOUSING AUTHORITY'S (LHA) COMMUNITY SERVICE POLICY

The Lexington Housing Authority's (LHA) Community Service Policy was developed in consultation with the Resident Advisory Board (RAB) and the Bluegrass- Aspendale Resident Council. Two (2) meetings were held with the RAB and one (1) meeting with the Bluegrass - Aspendale Resident Council regarding the Community Service (CS) requirement. Two (2) suggestions were made regarding service opportunities and they are both included in the CS policy.

Each year, at least 60 days prior to the effective date of a Leaseholder's Annual Recertification, the Housing Authority will review information regarding LHA households to determine the CS status of each family member. Families will receive notification of LHA's determination identifying the family members who are subject to the CS requirement and the family members who are exempt. LHA will provide each household with a written description of the service requirement and how to claim an exempt status.

To insure Resident compliance, LHA will maintain documentation on the exemption status of each adult family member. Residents must report any changes that may affect the family member's CS requirement. LHA will monitor the performance of family members who are required to fulfill CS through verification by the agency, program or other entity providing the community service of self-sufficiency activity.

If it is determined that a family member is in non-compliance, LHA will notify the Leaseholder and the family member(s) of the non-compliance in writing stating that the lease will not be renewed at the end of the twelve (12) month term unless:

- 1) The Leaseholder and any other non-compliant family member(s) enter into a written agreement with LHA to cure such non-compliance; or
- 2) The Leaseholder provides written documentation satisfactory to LHA that the non-compliant family member(s) no longer resides in the unit.

If, at the next annual reexamination, the family member(s) still is not compliant, the lease will not be renewed and the entire family will have to vacate, unless the noncompliant member(s) agrees to move out of the unit.

Effective compliance of the regulation by LHA will be ensured by:

- 1) the cooperative agreement made with our state TANF agency to exchange information relating to clients that we share in common. That agreement will provide LHA with the information needed to verify residents' status;
- 2) incorporating the CS requirement into the Dwelling Lease; and
- 3) partnering with agencies, organizations, institutions, etc. to provide residents with opportunities to perform community service or engage in self-sufficiency activities.

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 358,955
- B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R X
- C. FFY in which funding is requested FY2001
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

In addressing the problem of drug-related crime, the Lexington Housing Authority will continue the efforts begun in 1989 when we implemented a very successful eviction program relating to drugs. With the support of it's residents, the LHA has developed a comprehensive security and preventivebased approach to reduce/eliminate drug-related crime. Increasing police presence, through Reimbursement of Law Enforcement, will create a major deterrent and routine fact-of-life for persons engaging in drug transactions. A strong combination of drug-prevention activities, most notably the Police Activities League (PAL), and the hiring of a Community Services Coordinator (CSC), will provide even more positive opportunities for our residents to learn more about avoiding the pitfalls of criminal activity.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
BG-ASP (KY004-001, 003, 004)	668	910
Pimlico (KY004-008)	206	417
Scattered Sites (KY004-007, 009, 012-015, 021)	455*	1286*

*KY004-019 (97 units) may DOFA prior to beginning of fiscal year.

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months X Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995 X	432,250	KY36DEP0010195	0	GE	02/07/98
FY 1996 X	433,037	KY36DEP0040196	0	GE	05/21/99
FY 1997 X	451,360	KY36DEP0040197	0	--	12/01/99
FY1998 X	438,500	KY36DEP0040198	0	--	04/04/01
FY 1999 X	415,413	KY36DEP0040199	41,368	--	12/15/01
FY 2000 X	455,461	KY36DEP0040100	191,987	--	08/23/02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The Lexington Housing Authority’s proposed 2001 PHDEP strategy mirrors the Department of Justice’s Operation Weed and Seed. Using the same principles as the Weed and Seed Strategy, this proposal implements a comprehensive drug elimination strategy that involves management practices, enforcement techniques, and a strong combination of drug prevention programs. Joined with other resident programs, this new funding will continue to significantly strengthen the Housing Authority’s existing overall drug elimination efforts and address immediate problems present in Bluegrass-Aspendale, Pimlico park, and scattered-site complexes. The LHA anticipates reducing Part I and Part II Crimes, and Calls for Service by 0.5% each year. We also hope to increase adult and youth participation by 10%.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>2001</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$208,474
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	\$8,104
9160 - Drug Prevention	\$65,000
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	\$77,851
TOTAL PHDEP FUNDING	\$358,955

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$208,474		
Goal(s)	Reduce Part I and II crimes and Calls for Service 0.5% each year						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.Reimbursement of Overtime Patrols			12/01	12/03	\$208,474	****	# of Part I Crimes
2.Police Equipment							# Calls for Service
3.							

****Police officers meeting regularly with Housing Management staff to discuss problems and adjust their enforcement strategy according to identified needs. Officers also perform numerous community-service hours at the target sites such as volunteer coaching for PAL and the Safety Watch Program. These initiatives, which are invaluable to the drugelimination effort, are difficult to track. The value of these services is estimated to be \$125,000. Many officers have also undergone extensive community policing training as well as other training paid for by the Division of Police.

9150 - Physical Improvements					Total PHDEP Funding: \$8,104		
Goal(s)	Install additional fencing and lighting to reduce opportunities for crime						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.Install fencing and lighting			12/01	12/03	\$8,104	\$0	# of fencing and lighting units
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$65,000		
Goal(s)	Increase adult and youth resident participation by 10%						
Objectives	PHDEP Evaluation						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Police Activities League	800	Adult & Youth	12/01	12/03	\$25,000	\$0*	# of participants & hours
2.Basketball Camp	50	Youth	06/03	06/03	\$10,000	\$7,500^	# of participants & hours
3. Basketball & Cheer Tourney	35	Youth	04/02	04/02	\$10,000	\$5,000+	# of participants & hours
4.Community Pride	100	Adult & Youth	12/01	12/03	\$10,000	\$0=	# of participants & hours
5.Cheer Program	15	Youth	01/02	12/03	\$10,000	\$0>	# of participants & hours

*The salaries of offices assigned to PAL units are funded b the Division of Police. PAL, is however, requesting overtime patrol.

^Local High School gym and facilities.

+The Director of Housing Management, as well as other LHA staff and community volunteers, donate their time to coordinating team practices and trips.

=Bluegrass-Aspendale residents donate their time to this initiative.

>The Director of Housing Management donates her time tothis initiative.

9190 - Other Program Costs					Total PHDEP Funds: \$77,841		
Goal(s)	To hire a Community Services Coordinator & provide training, mileage, and program budget						
Objectives	PHDEP Evaluation						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.CSC			12/01	12/01	\$63,000	\$0	# of participants & hours
2.PHDEP Resident Survey and Evaluation			12/01	12/03	\$14,851	\$23,330	
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activity 1	\$104,000	Activity 1	\$208,000
9120				
9130				
9140				
9150	Activity 1	\$4,052	Activity 1	\$4,052
9160	Activities 1-5	\$40,950	Activities 1-5	\$24,050
9170				
9180				
9190	Activities 1-2	\$11,911	Activities 1-2	\$65,940
TOTAL		\$160,913		\$302,042

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Resident Advisory Board Comments

Resident Advisory Board Meeting January 25, 2001

All Goals and Objectives were reviewed and the following is a list of the comments:

1. **Section 8**

- What is the possibility of a newsletter that would talk about home ownership and LHA job openings? A newsletter would provide opportunity to find out about employment possibilities before the general public.

Answer: Good idea ñ use to send out a newsletter. Will consider the possibility. Maintenance staff also responded that a mass resident mailing was recently done for an opening in Maintenance with good response.

2. **HOPE VI/MOD**

- What is the status on the construction of Charlotte Court?

Answer: LHA is currently waiting on HUD approval of HOPE VI construction plan and budget.

- Will the houses under Youthbuild go for Homeownership?

Answer: Yes

- Who is the client base for Youthbuild?

Answer: The LHA provides the client base using Youthbuild regulations, which says that participants must be very low-income high school dropouts between the ages of 16 and 24.

- Will the demolition at Bluegrass cover all of Bluegrass or just the 2 circles?

Answer: Just the 2 circles.

3. **Site-based Waiting List & Home Ownership**

- How do the new incentives for Home Ownership affect those people currently living in houses?

Answer: The LHA will continue to work with them to increase their motivation to purchase the house.

- What is the minimum base salary requirement?

Answer: Currently, it is \$20,100.

4. **Pet Policy**

- Pet Policy

- Should only be for Home Ownership
- What if dog gains weight? Maximum adult dog/cat weight is less than or equal to 20 lbs.
- Do agree with proposed regulations because many people do not take care of their units when they have dogs.
- People who will have dogs/cats must be responsible.
- Could animal be put in the 2nd bedroom if someone has been waived into a 2-bedroom? Don't care who/what you put in the second bedroom.
- What about people who now have snakes & lizards?
- Who is deposit fee for ñ dog/cat? There are different regulations that apply to elderly housing vs family sites.
- Does this new Pet Policy apply to Section 8? NO ñ Current landlord rules still apply.

5. **Community Service** No Comments

6. **Other**

- Abandoned vehicles

- How often do we tow? Have just secured a new contract with a towing company and will begin flagging and towing.

Resident Advisory Board Comments

Resident Advisory Board Meeting Thursday, March 16, 2001

Austin Simms opened the meeting and thanked leaseholders in attendance for their support of the committee. Shirley Cook introduced staff and reviewed agenda for the evening.

Debbi Hoskins discussed LHA's plans to reapply for HOPE VI Revitalization for BGA and incorporated the need for volunteers to serve as stakeholders for this application. Carol Mitchell questioned when we would be tearing down Breckenridge and Debbi responded that nothing was official as we have not applied for nor received any funding to date but stated that the HOPE VI application deadline is in June and that LHA does plan to apply. Jeannett Terry explained that water was standing and presented a problem for residents at Breckenridge. She suggested that if demolition did not take place would they consider putting in downstairs bathrooms. Carol Mitchell also suggested a/c and carpet if demolition didn't happen.

Following this discussion, Debbi introduced Wade Turner with Law Engineering and explained the Physical Needs Assessment that his organization would be conducting. Mr. Turner explained that he would be meeting with management staff as well as residents to get the best representation of needs at all the sites. Carol Mitchell brought up the fact that grass will not grow to the side of her yard and that newly planted trees have died. Janie Middleton from the highrise mentioned that trees had died at her site as well and expressed her desire to have an onsite gardener. Emma Bell from Pimlico stated that she was very satisfied with her unit and Mr. & Mrs. Clay also stated that they were very satisfied and also praised the maintenance staff for doing such a good job with upkeep of the sites. Mr. Turner stated that Law Engineering would begin inspections in the BGA area within the next couple of weeks so that their findings could be incorporated in the HOPE VI application.

Shirley Livisay discussed preliminary information about a Section 8 Homeownership program and a newsletter that she will work with Andrea Wilson on in the near future. Jeannett Terry asked if the same income guidelines would apply to Section 8 that we use for the public housing homeownership program and Shirley thought that they would apply. Willa Evans, a Section 8 client, asked if a one-person household would qualify and Shirley said that a one person household could qualify.

Shirley Cook reviewed the changes to be made to the ACOP and there were no comments or questions about the policy.

Shirley distributed draft copies of the proposed pet policy for family sites. After defining what pets were acceptable Carol Mitchell asked if snakes would be allowed and Shirley stated that snakes would not be permitted. Specific security deposit amounts and fees for families with a pet were discussed at length. Shirley suggested that a \$100 pet fee for cats and dogs and an additional \$50 fee per bedroom size be charged. Jeanett Terry thought that was sufficient. Carolyn Smith and Dot LeSage questioned if these amounts would be sufficient to cover damages to units with carpet. Shirley explained that any damages from pets would be treated like all other damages and that the leaseholder is always responsible for the full amount of damage done to a unit. The managers discussed the additional work that will be required to

Resident Advisory Board Comments

clean the units. Emma Bell inquired how frequently inspections will be done for leaseholders with pets. Carolyn Smith asked how maintenance would get into the units with pets. Mr. Clay

wanted to know who was responsible if a pet bit another leaseholder. Greg suggested that those issues need to be addressed in the lease. Dot LeSage and Greg LaRue both suggested that stronger language concerning pets being kept outside would be necessary.

Next, Ms. Cook reviewed the Community Service policy and asked for comments or questions. Ms. Clay asked if volunteer work at schools, hospitals and churches would be suitable. Dot noted that there is a screen in Wintergrate that shows community service.

In reviewing the changes proposed to the Lease, Ms. Cook asked if the fifteen day requirement to move was acceptable or more time was necessary. This was from page 5 of the Lease. Michele Lee and Greg LaRue both mentioned the fact that leaseholders who were employed would not have much time if the requirement was 15 days and they suggested a twenty-one day notice. Jeannett Terry concurred. Some discussion was held concerning the new requirement that everyone in the unit must be dressed and all were in agreement. Ms. Cook noted that we needed to include wording that stated maintenance or other staff would enter the unit with minors in case of an emergency.

Ms. Cook discussed whether there was a need for a pet free zone and asked for suggestions as to where these zones should be located. She discussed whether entire buildings should be pet free or only certain floors, etc. It was also discussed how difficult it would be to incorporate pet free zones at the smaller sites. There was overwhelming approval regarding the desire for pet free zones. Many of the residents wanted their street to be designated pet free. Much discussion was held concerning pet issues. Mr. Clay brought up the fact that there are animals who run free of an evening and Ms. Cook noted that all pets would have to be kept on a leash. There was also concern from the residents that pets might be left alone for extended periods of time and Mr. Clay suggested that pets shouldn't be left for more than 24 hours.

With no further comments, suggestions, or questions the meeting ended. Ms. Cook advised everyone to contact her in the next few days with any other suggestions they may think of.

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant: 07/01/2001
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	498,525.60			
3	1408 Management Improvements Soft Costs	199,115.36			
	Management Improvements Hard Costs	100,000.00			
4	1410 Administration	249,262.80			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	75,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	761,465.00			
10	1460 Dwelling Structures	409,849.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency	199,410.24			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Lexington-Fayette Urban County Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	Federal FY of Grant: 07/01/2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	2,492,628.00			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Lexington-Fayette Urban County Housing Authority		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 07/01/2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
LHA Wide Operations	Operating Subsidy		1406		498,525.60				
Mgmt. Improvements Soft Costs	Resident Employment Program Upgrade Computer Systems Telecommunications Upgrade		1408		199,115.36				
Hard Costs	Vehicles Equipment				70,000.00 30,000.00				
Admin	Salaries		1410		249,262.80				
Fees & Costs	A / E Services all sites		1430		75,000.00				
Site Improvements	4-9 Exterior-Roofs, lighting, fencing, & patios, exterior doors, reseal parking lot 4-9 Entry Lighting All sites sidewalk repair, tree trimming 4-3 Erosion		1450		761,465.00				
Dwelling Structure	KY 4-9 (50) HVAC KY 4-9 Interior, bath cabinets, tile, carpet KY 4-9 Drywall repair/repaint KY 4-1, 4-3, KY 4-7 Handrails KY 4-1, 4-3 replace interior doors lead paint removal		1460		409,849.00				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Lexington-Fayette Urban County Housing Authority	Grant Type and Number Capital Fund Program No: Replacement Housing Factor No:	Federal FY of Grant: 07/01/2001
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
1406	03/31/03			09/30/04			
1408	03/31/03			09/30/04			
1410	03/31/03			09/30/04			
1430	03/31/03			09/30/04			
1450	03/31/03			09/30/04			
1460	03/31/03			09/30/04			
1502	03/31/03			09/30/04			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name Lexington-Fayette Urban County Housing Authority		<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005
LHA Wide	Annual Statement	804,492.00	804,492.00	804,492.00	804,492.00
All Sites		391,465.00	100,000.00	75,000.00	225,000.00
KY 4-1 (Fowler Gardens)			120,000.00		
KY 4-1, 4-3 (Fowler Gardens & Bluegrass/Aspendale)			1,362,524.00	1,493,559.00	670,759.00
KY 4-4, 4-7, 4-8, 4-12 (Bluegrass/Aspendale Appian, etc, Pimlico, Trent, etc)				13,965.00	
KY4-7(Appian etc.) KY4-9 (895,000.00			204,000.00
KY 4-1, 4-3, 4-7 (Fowler Gardens, Bluegrass/Aspendale, Trent, etc.)		296,059.00			362,765.00
KY4-13(Scattered Sites)					60,000.00
KY 4-14, 4-15, 4-19 (Scattered Sites)					60,000.00
Total CFP Funds (Est.)		2,387,016	2,387,016	2,387,016	2,387,016.00
	347,106	347,106	347,106	347,106	347,106

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: 2002 PHA FY: 2002			Activities for Year: <u> 3 </u> FFY Grant: 2003 PHA FY: 2003		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	LHA Wide	Operating Subsidy, Mgmt Improvements, Admin	804,492.00	LHA Wide	Operating Subsidy, Mgmt Improvements, Admin	804,492.00
	All Sites	A & E Fees	75,000.00	All Sites	A & E Fees	75,000.00
	All Sites			All Sites	Tree Trimmings	25,000.00
	KY4-9	HVAC, Interior-kitchen, bath cabinets, tile, carpet. Exterior-roofs, sidewalks, lighting, fencing & patios, exterior doors, reseal parking lot. Drywall repair/repaint. Entry lighting	895,000.00	KY 4-1, 4-3 (Fowler Gardens & Bluegrass/Aspendale)	Installation of exterior electrical service lines	1,271,059.00
				KY 4-1 (Fowler Gardens)	HVAC	120,000.00
	All Sites	Sidewalk repair, hot water heaters, tree trimming	316,465.00	KY4-1, 4-3 (Fowler Gardens & Bluegrass-Aspendale)	Drainage repairs	91,465.00
	KY4-1, 4-3, 4-7	Handrails	10,000.00			
	KY4-1, 4-3	Replace interior doors, lead paint removal	136,059.00			
	KY4-3	Erosion	150,000.00			
		Total Estimated Cost	2,387,016.00		Total Estimated Cost	2,387,016.00

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year : <u> 4 </u> FFY Grant: 2004 PHA FY: 2004			Activities for Year: <u> 5 </u> FFY Grant: 2005 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
LHA Wide	Operating Subsidy, Mgmt Improvements, Admin	804,492.00	LHA Wide	Operating Subsidy, Mgmt Improvements, Admin	804,492.00
All Sites	A & E Fees	75,000.00	All Sites	A & E Fees	75,000.00
			All Sites	Landscaping	150,000.00
KY4-1, 4-3 (Fowler Gardens & Bluegrass-Aspendale)	Upgrade Electrical, HVAC (200 units), A/C (50 units)	1,493,559.00	KY 4-1, 4-3 (Fowler Gardens & Bluegrass/Aspendale)	A/C Installation (189 units) Exterior Awnings	509,765.00 160,994.00
KY4-4, 4-7, 4-8, 4-12 (BG-ASP, Appian, etc., Pimlico, Trent, etc.)	Repair Sidewalks	13,965.00	KY 4-7 (Rogers Rd., etc.)	A/C Installation	204,000.00
			KY 4-13 (Scattered Sites)	A/C Installation	60,000.00
			KY 4-14, 4-15, 4-19 (Scattered Sites)	A/C Installation (20 units)	60,000.00
			KY 4-1, 4-3, 4-7 (Fowler Gardens, Bluegrass/Aspendale, and Rogers Rd., etc.)	Carpet Bedrooms	362,765.00
	Total Estimated Cost	2,387,016.00		Total Estimated Cost	2,387,016

LEXINGTON HOUSING AUTHORITY PET OWNERSHIP POLICY

The Lexington Housing Authority Pet Policy was developed in consultation with the Resident Advisory Board and the Bluegrass-Aspendale Resident Council. The Pet Policy may be summarized as follows:

1. Common household pets authorized by this policy are domesticated animals, such as cats, dogs, fish, birds, gerbils, hamsters, guinea pigs and turtles that are traditionally kept in the home.
2. Each Resident household will be allowed to house only one (1) pet at any time (excluding fish).
3. Residents must register their pet with LHA **BEFORE** it is brought onto LHA's premises in accordance with the Pet Ownership Policy. Registration shall be updated annually.
4. Cats and dogs shall be limited to small breeds, adult weight not to exceed twenty (20) pounds.
5. No vicious, intimidating or destructive animals will be allowed on the premises.
6. Cats and dogs shall be neutered or spayed, and verified by veterinarian, cost to be paid by owner.
7. **A non-refundable pet fee shall be made to LHA.**
8. Pets shall be kept in the Resident's unit. No dog houses will be allowed on the premises.
9. Dogs and cats shall be kept on a leash and controlled by a responsible individual when taken outside.
10. Pets not owned and registered by a Resident, will not be allowed on the premises, excluding service animals that assist persons with disabilities.
11. The pet owner is responsible for complying with all local and state anticruelty laws and regulations.
12. Pets shall be removed from the premises when their conduct or condition is duly determined to constitute a nuisance or a threat to the health and safety of the pet owner, other occupants of the Authority, LHA staff, its authorized representatives, visitors or the pet itself.
13. Birds must be kept in regular birdcages and not allowed to fly throughout the unit.
14. Dishes or containers for food and water will be located within the Resident's unit.
15. Residents will not feed or water stray animals or wild animals.
16. Pets will not be allowed on specified common areas.
17. Residents are required to promptly clean up and properly dispose of pet droppings on LHA property.
18. Residents must provide a litter box for cat waste.
19. Residents shall not permit any disturbances by their pet which would interfere with the other Residents' peaceful enjoyment of their apartments
20. All local and state ordinances concerning pets shall be complied with.
21. Under no circumstances shall pets be left unattended for twentyfour hours or more, excluding fish.
22. Residents are responsible for all damages, including cost of fumigation caused by their pets.
23. Pets must be housebroken and/or litter trained.
24. No animal of any kind shall be raised, bred or kept in any unit for any commercial purpose.
25. The Resident shall be responsible for damages or losses occasioned to any person or property by the pet.
26. Pets must be restrained by an adult or caged whenever maintenance personnel, other Housing Staff and LHA authorized representatives are in the unit.
27. Dogs and cats are required to wear identification at all times.

When the LHA determines that a pet owner has violated one or more of the rules governing the owning or keeping of pets, LHA will serve written notice to the Leaseholder describing the violation. The Leaseholder/pet owner will be afforded an opportunity to correct the violation and /or meet with LHA to discuss the violation.

If the pet owner and LHA are unable to resolve the violation at the pet rule violation meeting, or if the LHA determines that the pet owner has failed to correct the pet rule violation within the time period provided for this

purpose, a notice will be sent requiring the pet owner to remove the pet. Failure to remove the pet may result in initiation of procedures to terminate the pet owners' residency.

RESIDENT ADVISORY BOARD MEMBERS

Victoria Parker	357 Wilson Street Lexington, KY 40508
Joan Taylor	463 Withrow Way Lexington, KY 40508
Betty Miller	400 Arbor Grove Place Lexington, KY 40508
Joyce Wright	438 Arbor Grove Place Lexington, KY 40508
Angela Hollis	1608 Strader Drive Lexington, KY 40505
Felicia Evans	414 Arbor Grove Place Lexington, KY 40508
Yvonne Denny	412 Arbor Grove Place Lexington, KY 40508
Jeannett Terry	716 Breckenridge Street Lexington, KY 40508
Carol Mitchell	340 Race Street Lexington, KY 40508
Valerie Clay	392 Withrow Way Lexington, KY 40508
Brenda Joyner	1333 Centre Parkway #5 Lexington, KY 40517
Michelle Bell	1333 Centre Parkway #7 Lexington, KY 40517
Sinie Francis	471 Sandalwood Drive Lexington, KY 40505
Kathleen Hampton	650 Tower Plaza #A10 Lexington, KY 40508
Janie Middleton	650 Tower Plaza #611 Lexington, KY 40508
Mabel Green	650 Tower Plaza #C11 Lexington, KY 40508
Freda Harris	324 Bainbridge Court #605 Lexington, KY 40517
Sarah Dunson	3724 Hacker Court #3 Lexington, KY 40517
Willa Evans	1301 River Park Circle A Lexington, KY 40517
Geraldine Givens	522 Lima Drive #3 Lexington, KY 40508
Dorothy Jones	1333 Centre Parkway #2 Lexington, KY 40517
Marie Davis	650 Tower Plaza #511 Lexington, KY 40508
Alice Buckhannon	562 Lima Drive #7 Lexington, KY 40511