

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

---

# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004  
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH  
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Housing Authority of Joliet

**PHA Number:** IL024

**PHA Fiscal Year Beginning:** 07/2001

### Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2000 - 2004**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is:

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)

- PHA Goal: Improve the quality of assisted housing
- Objectives:
- Improve public housing management: (PHAS score) Increase PHAS score to 80.0 for FY 2001, 85.0 for FY 2002, 87.0 for FY 2003, 89.0 for FY 2004.
  - Improve voucher management: (SEMAP score) The Joliet Housing Authority's SEMAP score has not been made available at this time.
  - Increase customer satisfaction: Provide enhanced resident services. Neighborhood Policing, Resident Councils, Boys and Girls Club (FY2000).
  - Concentrate on efforts to improve specific management functions: General Staff Skill Training, Procedural Assessment, Capital Fund Training, Maintenance Assessment, Financial Assessment.(list; e.g., public housing finance; voucher unit inspections)
  - Renovate or modernize public housing units: Renovate 250 units
  - Demolish or dispose of obsolete public housing:
  - Provide replacement public housing:
  - Provide replacement vouchers:
  - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
  - Conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Implement public housing or other homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers:
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements:
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other:

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability: Onsite homework assistance. Computer tutoring for children and adults (FY2000). ROSS Grant Application. Step-up training and employment. Increase participation.
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities. Senior Health Programs at 2 developments. Security Services at all elderly developments.
  - Other:

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

**Other PHA Goals and Objectives: (list below)**

- To assist the local economy by increasing the occupancy rate and the amount of money flowing into the community.
- To encourage self sufficiency of participant families and assist in the expansion of family opportunities which address educational, socio-economic, recreational and other human services needs.
- To create positive public awareness and expand the level of family, owner, and community support in accomplishing the PHA's mission.
- To attain and maintain a high level of standards and professionalism in our day-today management of all program components.
- To administer an efficient, high-performing agency through continuous improvement of the PHA's support systems and commitment to our employees and their development.

- To provide decent, safe, and sanitary housing for very low income families while maintaining their rent payments at an affordable level.
- To ensure that all units meet Housing Quality Standards and families pay fair and reasonable rents.
- To promote fair housing and the opportunity for very low-income families of all ethnic backgrounds to experience freedom of housing choice.
- To promote a housing program which maintains quality service and integrity while providing an incentive to private property owners to rent to very low income families.
- To promote a market-driven housing program that will help qualified low-income families be successful in obtaining affordable housing and increase the supply of housing choices for such families.

***PROGRESS REPORT ON MEETING  
5 YEAR PLAN MISSION AND GOALS***

PHA Goal: Expand the supply of assisted housing.

Report: The Authority has increased the supply of assisted housing. We applied for 200 Section 8 Housing Choice Vouchers through the FY 2001 Fair Share Allocation of Incremental Vouchers Program; and 32 Section 8 Housing Choice Vouchers under the Family Unification Program. To date we've been approved thirty-two (32) Section 8 Housing Choice Vouchers.

PHA Goal: Improve the quality of assisted housing.

Report: The Authority has completed 146 units of comprehensive modernization at its Fairview Housing Development; purchased new refrigerators/stoves at 691 units; replaced roofs at all Senior High-rise buildings; resurfaced driveways and alleys at the DesPlaines Housing Development; and performed emergency/annual and routine maintenance repairs within standards.

PHA Goal: Increase assisted housing choices.

Report: Through comprehensive counseling and education Section 8 Program participants are locating units in non-impacted areas of the City of Joliet. Statistics are as follows:

	<u>March 31, 1999</u>		<u>January 31, 2000</u>	
	<u>No. Units</u>	<u>%</u>	<u>No. Units</u>	<u>%</u>
East Side	457	55%	365	48%
West Side	143	19%	185	24%
Out of Town	<u>201</u>	26%	<u>211</u>	28%

Totals 801 761

PHA Goal: Improve community quality of life.

Report: The Authority renewed its Neighborhood Oriented Policing Contract with the City of Joliet; aggressively evicted 5 residents for anti-social/drug activities; employed a Security Guard on a full-time basis; planned the Gun Buyback Initiative; and conducted many positive programs and activities for youth at Community Centers.

PHA Goal: Promote self-sufficiency and assist development of families and individuals.

Report: Through the Ross Grant the Authority is intending to assist approximately 50 families in achieving self-sufficiency by providing the families access to support services, case management, transportation, and other empowerment activities. The Housing Authority of Joliet is now currently providing services to 32 Public Housing and Section 8 families.

PHA Goal: Ensure Equal Opportunity in housing for all Americans.

Report: In cooperation with Cornerstone Services, Inc. and the Will County Center for Independent Living, the Housing Authority submitted applications for Section 8 Housing Vouchers targeted to the handicapped/disabled population. Also cooperation with the Will County Community Development Department provided emergency Section 8 assistance to Cornerstone clients when funding had expired.

**Annual PHA Plan**  
**PHA Fiscal Year 2001**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Streamlined Plan:**
- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**
- Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**Not required**

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

**Table of Contents**

**Annual Plan**

- i. Executive Summary
- ii. Table of Contents
  - 1. Housing Needs
  - 2. Financial Resources
  - 3. Policies on Eligibility, Selection and Admissions
  - 4. Rent Determination Policies
  - 5. Operations and Management Policies
  - 6. Grievance Procedures
  - 7. Capital Improvement Needs
  - 8. Demolition and Disposition
  - 9. Designation of Housing
  - 10. Conversions of Public Housing
  - 11. Homeownership
  - 12. Community Service Programs
  - 13. Crime and Safety
  - 14. Pets (Inactive for January 1 PHAs)
  - 15. Civil Rights Certifications (included with PHA Plan Certifications)
  - 16. Audit
  - 17. Asset Management
  - 18. Other Information

## Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

### Required Attachments:

- A. Admissions Policy for Deconcentration
- B. FY 2001 Capital Fund Program Annual Statement
- C. Performance & Evaluation Reports for existing CGP grants as of the period ending 12/31/00
- D. Membership of the Resident Advisory Board
- Most recent board-approved operating budget (Hard Copy Attachment A)

### Optional Attachments:

- A. FY 2001 Capital Fund Program 5 Year Action Plan
- B. Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board (Hard Copy Attachment B)
- Other (List below, providing each attachment name)
  - Attachment C – Certifications: Board Resolution for Annual Plan, Local Entity's Certification of compliance with Consolidated Plan, Drug Free Workplace, Disclosure of Lobbying Activities, Payments to Influence Federal Transactions
  - Attachment D – Additional Capital Fund Requirements: Request for Release of Funds & Certification, Evidence of Compliance with the National Historical Preservation Act
  - Attachment E – Resident Satisfaction Survey Follow-Up
  - Attachment F – Housing Authority of Joliet's Organizational Chart

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

**List of Supporting Documents Available for Review**

<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CGP Budget/Progress Report (HUD 52825) for any active CGP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

## 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

### A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	4882	4	4	3	n/a	4	n/a
Income >30% but <=50% of AMI	3597	3	1	3	n/a	3	
Income >50% but <80% of AMI	4559	2	1	3	n/a	2	
Elderly	n/a	3	n/a	n/a	n/a	n/a	n/a
Families with Disabilities	1,325	4	5	n/a	n/a	n/a	n/a
White	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Black	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Native American	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Hispanic	n/a	n/a	n/a	n/a	n/a	n/a	n/a

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s: City of Joliet  
Indicate year: 2001
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)  
City of Joliet Consolidated Plan, 2000 Update

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance  
 Public Housing  
 Combined Section 8 and Public Housing  
 Public Housing Site Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	107		26
Extremely low income <=30% AMI	103	96.26	
Very low income (>30% but <=50% AMI)	4	3.73	
Low income (>50% but <80% AMI)	0	0	
Families with children	100	93.0	
Elderly families	0	0	
Families with Disabilities	12	11.21	
White	8	7.47	
Black	99	92.52	
Native American	0	0	
Hispanic	3	2.80	

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)? 70

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?

No  Yes

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance  
 Public Housing  
 Combined Section 8 and Public Housing  
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	306		165
Extremely low income <=30% AMI	286	96.29	
Very low income (>30% but <=50% AMI)	11	3.71	
Low income (>50% but <80% AMI)	0	0	
Families with children	198	65.0	
Elderly families	54	18.0	
Families with Disabilities	2	0.67	
White	65	21.88	
Black	228	76.76	
Native American	2	0.67	
Hispanic	11	0.69	

Characteristics by Bedroom Size (Public Housing Only)			
1BR	107	.350	100
2 BR	93	.304	18
3 BR	86	.281	31
4 BR	17	.055	15
5 BR	3	.016	1
5+ BR			

Is the waiting list closed (select one)?  No  Yes

- If yes:
- How long has it been closed (# of months)? 1
- Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes
- Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  
 No  Yes

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### **(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

#### **Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

#### **Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed – finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other:

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other:

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## **Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>		
a) Public Housing Operating Fund	2,214,240.00	
b) Public Housing Capital Fund	2,508,855.00	
c) HOPE VI Revitalization	0.00	
d) HOPE VI Demolition	0.00	
e) Annual Contributions for Section 8 Tenant-Based Assistance	5,516,891.00	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	270,015.00	
g) Resident Opportunity and Self-Sufficiency Grants	75,000.00	
h) Community Development Block Grant	0.00	
i) HOME	0.00	
Other Federal Grants (list below)		
<b>EDSS</b>	62,405.00	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
CGP70899	30,013.20	
CFP50100	1,662,696.00	
<b>3. Public Housing Dwelling Rental Income</b>	1,823,200.00	Maintenance/Operations
<b>4. Other income (list below)</b>		
Excess Utilities	0.00	Maintenance/Operations
Investment Interest	20,100.00	Maintenance/Operations
Non Dwelling Rental	19,770.00	Maintenance/Operations
<b>4. Non-federal sources (list below)</b>		
<b>JJC Stipend</b>	10,000.00	Use w/ ROSS Grant
<b>Total resources</b>	14,156,351.88	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: 5
- When families are within a certain time of being offered a unit:
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other
  - Past participation in any HUD assisted housing program

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) Assignment

**The Housing Authority of Joliet does not administer site-based waiting lists.**

1. How many site-based waiting lists will the PHA operate in the coming year?
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
  - One
  - Two
  - Three or More
- b.  Yes  No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
  - Proximity to employment, child care provided by relative, medical treatment
- Other: (list below)
  - To meet income targeting/deconcentration goals

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
  - (1) Residency in the City of Joliet
  - (2) Non residency in the City of Joliet

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
  - (3) Residency in the City of Joliet
  - (4) Non residency in the City of Joliet

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

- a.  Yes  No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b.  Yes  No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

<b>Deconcentration Policy for Covered Developments</b>			
<b>Development Name:</b>	<b>Number of Units</b>	<b>Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]</b>	<b>Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]</b>
N/A			

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other
  - Past participation in Housing Authority of Joliet and other PHA's Section 8 programs

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other
  - Non payment history

### (2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

- (1) Tenant difficulty in finding available unit
- (2) Events beyond the Tenant's control that hinder/prevent unit search (e.g. medical causes or disability accessibility)
- (3) Landlord withdrawal of intention to rent through no fault of the Tenant
- (4) Reasonable accommodation

**(4) Admissions Preferences**

- a. Income targeting

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
  - i. Residency within Will County

ii. Non residency within Will County

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

iii. Residency within Will County

iv. Non residency within Will County

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

**4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

**(5) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

2. If yes to question 2, list these policies below:

**(6) Suspension of rent pending determination of nature and duration of hardship**

**(7) Temporary hardships: rent is retroactive to time of suspension**

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

Income earned while participating in an approved training program.

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

## **(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)  
Flat Rents are equal to appropriate ceiling rents.

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

### (2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## 5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization to follow (Hard Copy Attachment F).
- A brief description of the management structure and organization of the PHA follows:

### B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	115	165
Section 8 Vouchers	814	60
Section 8 Certificates	N/A	-
Section 8 Mod Rehab	N/A	-
Special Purpose Section 8 Certificates/Vouchers Family Unification Program	32	-
Public Housing Drug Elimination Program (PHDEP)	436	None
Other Federal Programs(list individually)	N/A	N/A
Ross Grant EDSS Grant	N/A	N/A

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management:  
Admissions and Continued Occupancy Policies  
Maintenance Policies

(2) Section 8 Management:  
Section 8 Administrative Plan

### 6. PHA Grievance Procedures

[24 CFR Part 903.79(f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

#### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process?  
(select all that apply)

- PHA main administrative office  
 PHA development management offices  
 Other (list below)

#### B. Section 8 Tenant-Based Assistance

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

**CAPITAL FUND PROGRAM TABLES START HERE**

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
<b>PHA Name:</b>  <b>HOUSING AUTHORITY OF JOLIET</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-PO24-50101 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b>  <b>07/2001</b>	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00			
2	1406 Operations	160,000.00			
3	1408 Management Improvements Soft Costs	380,077.42			
	Management Improvements Hard Costs	0.00			
4	1410 Administration	156,893.90			
5	1411 Audit	0.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	41,971.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	125,784.00			
10	1460 Dwelling Structures	1,624,128.68			
11	1465.1 Dwelling Equipment—Non-expendable	10,000.00			
12	1470 Non-dwelling Structures	0.00			
13	1475 Non-dwelling Equipment	0.00			
14	1485 Demolition	0.00			
15	1490 Replacement Reserve	0.00			
16	1492 Moving to Work Demonstration	0.00			
17	1495.1 Relocation Costs	10,000.00			
18	1499 Development Activities	0.00			
19	1502 Contingency	0.00			
<b>20</b>	<b>Amount of Annual Grant: (sum of lines 1-19)</b>	<b>2,508,855.00</b>			
21	Amount of line 20 Related to LBP Activities	0.00			
22	Amount of line 20 Related to Section 504 compliance	0.00			
23	Amount of line 20 Related to Security –Soft Costs	170,000.00			
24	Amount of Line 20 related to Security-- Hard Costs	0.00			
25	Amount of line 20 Related to Energy Conservation Measures	730,000.00			
26	Collateralization Expenses or Debt Service	0.00			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF JOLIET			Grant Type and Number Capital Fund Program Grant No: IL06-PO24-50101 Replacement Housing Factor Grant No:			Federal FY of Grant: 07/2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
Operations	Operating Budget Contribution		1406	1	160,000.00			
Management Improvements PHA-Wide	Security, Crime Prevention and Neighborhood Oriented Policing		1408	100%	170,000.00			
	Resident Economical Development – Training through Step-Up Program (Maint. Repairer, Construction, Painting)		1408	100%	105,000.00			
	Modernization Staff Training		1408	100%	4,000.00			
	Salaries for Resident Initiative Administrative Staff		1408	2	101,077.42			
Administration	Salaries for Modernization Department Staff & Other Agency Staff		1410	100%	156,893.90			
Fees & Costs	Misc. Fees & Costs – Advertisements		1430	100%	1,971.00			
Dwelling Structures	Salaries for Force Account Construction Coordinator & Assistant		1460	2	88,717.62			
IL24-001 Murray/Downey Homes – Step- Up Training Program	Comprehensive Rehabilitation (Force Account Labor), including all interior & exterior materials – <b>Phase VII of the Step-Up Training Program</b>		1460	10 units	580,461.06			
	Force Account Material Storage Rental		1460	1 Year	9,600.00			
	Misc. Tools & Equipment (FA Crew)		1460	10 units	10,000.00			

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

PHA Name: HOUSING AUTHORITY OF JOLIET		Grant Type and Number Capital Fund Program Grant No: IL06-PO24-50101 Replacement Housing Factor Grant No:				Federal FY of Grant: 07/2001			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Hazardous Substance Abatement – Asbestos in floor tile, mastic & pipe fittings		1460	10 units	25,000.00				
	Site Improvements for Step-Up Units		1450	100%	40,000.00				
	New Appliances for Step-Up Units		1465	10 sets	10,000.00				
	Relocation of families in units for rehab		1495	10 units	10,000.00				
IL24-004 John O. Holmes	Parking Lot Improvements		1450	1	85,784.00				
IL25-005 Riverside Center (Murphy Bldg.)	Highrise Window Replacement		1460	100%	483,925.00				
	Sprinkler System Installation		1460	1 Bldg.	158,575.00				
	A/E for Sprinkler System		1430	100%	10,000.00				
IL24-006 Adlai Stevenson	Complete Boiler Modernization		1460	1 Bldg.	267,850.00				
	A/E for Boiler Modernization		1430	100%	30,000.00				

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

PHA Name: <b>HOUSING AUTHORITY OF JOLIET</b>	<b>Grant Type and Number</b> Capital Fund Program No: IL06-PO24-50101 Replacement Housing Factor No:	<b>Federal FY of Grant:</b> 07/2001
---	--	--

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL24-001 Murray/Downey Homes	9/30/2003			9/30/2004			
IL24-004 John O. Holmes	9/30/2003			9/30/2004			
IL24-005 Riverside Center (Murphy Building)	9/30/2003			9/30/2004			
IL24-006 Adlai Stevenson Bldg.	9/30/2003			9/30/2004			
HA-Wide Activities Operations, Training, Administrative & Technical Salaries	9/30/2003			9/30/2004			
Security through Neighborhood Oriented Policing & Misc. Fees/Costs	9/30/2003			9/30/2004			
Resident Economical Development & Training	9/30/2003			9/30/2004			

**(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

<b>Capital Fund Program Five-Year Action Plan</b>					
<b>Part I: Summary</b>					
PHA Name <b>HOUSING AUTHORITY OF JOLIET</b>		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>			
Development Number/Name /HA-Wide	Year 1 2001	Work Statement for Year 2 FFY Grant: <b>IL06-PO24-50102</b> PHA FY: <b>7/2002</b>	Work Statement for Year 3 FFY Grant: <b>IL06-PO24-50103</b> PHA FY: <b>7/2003</b>	Work Statement for Year 4 FFY Grant: <b>IL06-PO24-50104</b> PHA FY: <b>7/2004</b>	Work Statement for Year 5 FFY Grant: <b>IL06-PO24-50105</b> PHA FY: <b>7/2005</b>
PHA-Wide	Annual Statement	708,071.00	421,620.00	721,620.00	533,169.00
IL24-001, 2 & 3 Desplaines Gardens		200,000.00	0.00	0.00	0.00
IL24-003 Fairview, Spring Bluff & Desplaines		1,080,000.00	1,151,451.00	1,131,451.00	1,169,902.00
IL24-004 John O. Holmes		465,000.00	580,000.00	0.00	0.00
IL24-005 Riverside Center		0.00	0.00	0.00	50,000.00
IL24-006 Kennedy & Stevenson Buildings		0.00	300,000.00	600,000.00	700,000.00
Total CFP Funds (Est.)		<b>\$ 2,453,071.00</b>	<b>\$ 2,453,071.00</b>	<b>\$ 2,453,071.00</b>	<b>\$ 2,453,071.00</b>
Total Replacement Housing Factor Funds		0.00	0.00	0.00	0.00

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: <b>IL06-PO24-50102</b> PHA FY: <b>7/2002</b>			Activities for Year: <u>3</u> FFY Grant: <b>IL06-PO24-50103</b> PHA FY: <b>7/2003</b>		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	PHA - Wide	Resident Economic Development – Training through Step-Up Program	206,800.00	PHA - Wide	Resident Economic Development – Training through Step-Up Program	206,800.00
Annual Statement		Agency Administration	207,820.00		Agency Administration	207,820.00
		Mod Staff Training	5,000.00		Mod Staff Training	5,000.00
		Misc. Fees & Costs	2,000.00		Misc. Fees & Costs	2,000.00
		Plumbing Upgrades	286,451.00			
	IL24-003 Fairview, Spring Bluff Homes & Desplaines Gardens	Neighborhood Oriented Policing (IL24-003)	170,000.00	IL24-003 Fairview, Spring Bluff Homes & Desplaines Gardens	Neighborhood Oriented Policing (IL24-003)	170,000.00
		Force Account/Step-Up Program	750,000.00		Force Account/Step-Up Program	750,000.00
		Site Improvements	100,000.00		Site Improvements	231,451.00
		Replace Spalling Brick @ Retaining Wall (Fairview/Spring Bluff)	60,000.00			
	IL24-001, 2 & 3 Desplaines Gardens	Roof Replacement (25 Buildings)	200,000.00	IL24-004 John O. Holmes	Highrise Window Replacement	580,000.00
	IL24-004 John O. Holmes	Foundation Repair & Complete Rehabilitation of (4) units	275,000.00	IL24-006 Kennedy & Stevenson	Sprinkler Installation (Both Bldgs.)	300,000.00
		Install Sprinkler System	150,000.00			
		Replace Brick Spalling @ Retaining Wall	40,000.00			
Total CFP Estimated Cost			<b>\$ 2,453,071.00</b>			<b>\$ 2,453,071.00</b>

Capital Fund Program Five-Year Action Plan					
Part II: Supporting Pages—Work Activities					
Activities for Year: <u>4</u> FFY Grant: <b>IL06-PO24-50104</b> PHA FY: 7/2004			Activities for Year: <u>5</u> FFY Grant: <b>IL06-PO24-50105</b> PHA FY: 7/2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA - Wide	Resident Economic Development – Training through Step-Up Program	206,800.00	PHA - Wide	Resident Economic Development – Training through Step-Up Program	206,800.00
	Agency Administration	207,820.00		Agency Administration	207,820.00
	Mod Staff Training	5,000.00		Mod Staff Training	5,000.00
	Misc. Fees & Costs	2,000.00		Misc. Fees & Costs	2,000.00
	L.B.P. Testing & Abatement	300,000.00		Plumbing Upgrades	111,549.00
IL24-003 Fairview, Spring Bluff Homes & Desplaines Gardens	Neighborhood Oriented Policing (IL24-003)	170,000.00	IL24-003 Fairview, Spring Bluff Homes & Desplaines Gardens	Neighborhood Oriented Policing (IL24-003)	170,000.00
	Force Account/Step-Up Program	750,000.00		Force Account/Step-Up Program;	750,000.00
	Site Improvements	211,451.00		Site Improvements	249,902.00
IL24-006 John F. Kennedy	Highrise Window Replacement	600,000.00	IL24-005 Riverside Center	Security Upgrade (Lighting, cameras, CCTV, card system, intercom, etc.)	50,000.00
			IL24-006 Kennedy & Stevenson	Both Bldgs. – Security Upgrade (Lighting, cameras, CCTV, card system, intercom)	100,000.00
			Adlai Stevenson Building	Highrise Window Replacement	600,000.00
Total CFP Estimated Cost		\$ 2,453,071.00			\$ 2,453,071.00

**(3) Annual Reporting Requirement**

(Performance & Evaluation Reports inserted below)

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
<b>PHA Name:</b> <b>HOUSING AUTHORITY OF JOLIET</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-PO24-50100 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <b>07/2000</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00	0.00	0.00
2	1406 Operations	0.00	70,000.00	70,000.00	0.00
3	1408 Management Improvements Soft Costs	372,500.00	352,500.00	340,000.00	0.00
	Management Improvements Hard Costs	0.00	120,375.00	0.00	0.00
4	1410 Administration	230,000.00	160,000.00	160,000.00	0.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	1,500.00	1,500.00	0.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	120,000.00	205,965.45	0.00	0.00
10	1460 Dwelling Structures	1,168,250.00	1,092,800.00	80,000.00	0.00
11	1465.1 Dwelling Equipment— Non-expendable	543,821.00	414,125.75	0.00	0.00
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	0.00	20,000.00	20,000.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	17,000.00	15,804.80	0.00	0.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1502 Contingency	0.00	0.00	0.00	0.00
20	<b>Amount of Annual Grant:</b>				

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1:  
Summary**

<b>PHA Name:</b> <b>HOUSING AUTHORITY OF JOLIET</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06-PO24-50100 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>07/2000</b>
--	--	---

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 2)  
 Performance and Evaluation Report for Period Ending: 12/31/00  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	<b>(sum of lines 1 – 19)</b>	<b>2,453,071.00</b>	<b>2,453,071.00</b>	<b>670,000.00</b>	<b>0.00</b>
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of line 20 Related to Section 504 compliance	80,000.00	80,000.00	0.00	0.00
23	Amount of line 20 Related to Security –Soft Costs	170,000.00	145,000.00	145,000.00	0.00
24	Amount of Line 20 Related to Security-- Hard Costs	0.00	196,340.45	0.00	0.00
25	Amount of line 20 Related to Energy Conservation Efforts	340,821.00	337,091.20	199,125.75	0.00
26	Collateralization Expenses or Debt Service	0.00	0.00	0.00	0.00

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant:			
HOUSING AUTHORITY OF JOLIET		Capital Fund Program Grant No: IL06-PO24-50100 Replacement Housing Factor Grant No:				07/2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
Management Improvements PHA-Wide	Security, Crime Prevention and Neighborhood Oriented Policing	1408	100%	170,000.00	145,000.00	145,000.00	0.00	In Progress	
	Resident Economical Development – Training through Step-Up Program (Maint Repair/Construction/Painting)	1408	100%	105,000.00	105,000.00	105,000.00	0.00	Est. Start Date 5/01	
	Modernization Staff Training	1408	100%	7,500.00	7,500.00	0.00	0.00	Not Yet Begun	
	Salaries for Resident Initiative Administrative Staff	1408	2	85,000.00	85,000.00	85,000.00	0.00	In Progress	
	Agency Copier Service Contract	1408	1 year	0.00	5,000.00	5,000.00	0.00	In Progress	
	Security System @ John O. Holmes	1408	3 Bldgs.	0.00	120,375.00	0.00	0.00	In Progress	
	Operating Budget Contribution	1406	1	0.00	70,000.00	70,000.00	0.00	In Progress	
	Mod of Refrigerators & Stoves	1465	223 sets	328,821.00	199,125.75	199,125.75	0.00	In Progress	
Administration	Salaries for Modernization Department Staff & Other Agency Staff	1410	100%	230,000.00	160,000.00	160,000.00	0.00	In Progress	
Fees & Costs	Misc. Fees & Costs – Advertisements	1430	100%	1,500.00	1,500.00	0.00	0.00	Not Yet Begun	
	Computer System Upgrade	1475	100%	0.00	20,000.00	20,000.00	0.00	In Progress	
IL24-003 Spring Bluff	Landscaping, fencing & sidewalks – Force Account/Step-Up Units	1450	100%	120,000.00	100,000.00	0.00	0.00	Not Yet Begun	
IL24-003	Salaries for Force Account								

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:  HOUSING AUTHORITY OF JOLIET		Grant Type and Number Capital Fund Program Grant No: IL06-PO24-50100 Replacement Housing Factor Grant No:				Federal FY of Grant:  07/2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
Spring Bluff	Construction Coordinator & Assistant		1460	2	80,000.00	80,000.00	80,000.00	0.00	In Progress

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant:			
HOUSING AUTHORITY OF JOLIET		Capital Fund Program Grant No: IL06-PO24-50100 Replacement Housing Factor Grant No:				07/2000			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
IL24-003 Spring Bluff Homes	Comprehensive Rehabilitation (Force Account Labor), including all interior & exterior materials – <b>Phase VII of the Step-Up Training Program</b>	1460	12 units	912,000.00	780,000.00 (16 to 12 d.u.)	0.00	0.00	Est. Start Date: 5/01	
	Force Account Material Storage	1460	1 year	4,800.00	9,600.00	0.00	0.00	Not Yet Begun	
	Misc. Tools & Equipment (FA crew)	1460	12 units	12,000.00	12,000.00	0.00	0.00	Not Yet Begun	
	Hazardous Substance Abatement: Asbestos	1460	12 units	31,200.00	31,200.00	0.00	0.00	In Procurement	
	Relocation of Families in Step-Up units	1495	12 units	17,000.00	15,804.80	0.00	0.00	In Procurement	
	New Appliances for Step-Up Units	1465	12 units	12,000.00	12,000.00	0.00	0.00	Not Yet Begun	
IL24-001, 2 & 3 Desplaines Gardens	Furnace Replacement	1460	60 units	0.00	50,000.00	0.00	0.00	Not yet Begun	
IL24-003 Fairview Homes	Mod of Building Exterior (F.A.)	1460	4 Bldgs.	48,250.00	70,000.00	0.00	0.00	Est. Start Date 4/01	
	Retro-fit central air units to aid in crime prevention @ Fairview (FA)	1465	168 units	203,000.00	203,000.00	0.00	0.00	Not Yet Begun	
IL24-004 John O. Holmes	Exterior Security Lighting	1450	100%	0.00	75,965.45	0.00	0.00	Not yet Begun	
	Parking Lot Improvements	1450	100%	0.00	30,000.00	0.00	0.00	Not Yet Begun	
IL25-005 Riverside Center (Murphy Bldg.)	New Hand Rails (Force Account)	1460	1 Bldg.	28,000.00	20,000.00	0.00	0.00	Not Yet Begun	
IL24-006 Kennedy & Stevenson	New Hand Rails (Force Account)	1460	2 Bldgs.	52,000.00	40,000.00	0.00	0.00	Not Yet Begun	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>HOUSING AUTHORITY OF JOLIET</b>		Grant Type and Number Capital Fund Program No: IL06-PO24-50100 Replacement Housing Factor No:				Federal FY of Grant:  07/2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL24-001 & IL24-002 Murray/Downey Homes	9/30/2002			9/30/2003			
IL24-003 Fairview, Desplaines & Spring Bluff	9/30/2002			9/30/2003			
IL24-004 John O. Holmes	9/30/2002			9/30/2003			
IL24-005 Riverside Center (Murphy Building)	9/30/2002			9/30/2003			
IL24-006 Kennedy & Stevenson	9/30/2002			9/30/2003			
PHA-Wide Activities Training, Operations, Administrative & Technical Salaries	9/30/2002			9/30/2003			
Security through Neighborhood Oriented Policing & Misc. Fees/Costs	9/30/2002			9/30/2003			
Resident Economical Development & Training	9/30/2002			9/30/2003			

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary</b>					
<b>PHA Name:</b>  <b>HOUSING AUTHORITY OF JOLIET</b>		<b>Grant Type and Number</b> Comprehensive Grant Program No: IL06-PO24-70899 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b>  <b>07/1999</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/00 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CGP Funds	0.00	0.00	0.00	0.00
2	1406 Operations	0.00	0.00	0.00	0.00
3	1408 Management Improvements Soft Costs	215,340.00	211,540.00	211,540.00	35,489.66
	Management Improvements Hard Costs	0.00	0.00	0.00	0.00
4	1410 Administration	173,095.00	173,095.00	173,095.00	14,375.49
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	5,000.00	5,000.00	5,000.00	4,713.54
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	255,417.00	255,417.00	28,000.00	23,834.75
10	1460 Dwelling Structures	1,729,719.20	1,726,719.20	1,696,706.00	917,696.99
11	1465.1 Dwelling Equipment—Non-expendable	197,200.00	204,000.00	184,000.00	26,143.75
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	15,804.80	15,804.80	15,804.80	15,804.80
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1502 Contingency	0.00	0.00	0.00	0.00
<b>20</b>	<b>Amount of Annual Grant: (sum of lines 1 – 19)</b>	<b>2,591,576.00</b>	<b>2,591,576.00</b>	<b>2,314,145.80</b>	<b>1,038,058.98</b>
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of line 20 Related to Section 504 compliance	0.00	0.00	0.00	0.00
23	Amount of line 20 Related to Security	0.00	0.00	0.00	0.00
24	Amount of line 20 Related to Energy Conservation Efforts	90,000.00	90,000.00	90,000.00	90,000.00

# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

### Part II: Supporting Pages

PHA Name:		Grant Type and Number					Federal FY of Grant:		
HOUSING AUTHORITY OF JOLIET		Comprehensive Grant No: IL06-PO24-70899 Replacement Housing Factor Grant No:					07/1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
Management Improvements	Resident Economical Development – Training through Step-Up Program (Maint Repair/Construction/Painting)	1408	100%	101,670.00	101,670.00	101,670.00	29,743.71	In Progress	
PHA-Wide	Modernization Staff Training	1408	100%	6,800.00	3,000.00	3,000.00	0.00	In Progress	
	Salaries for Resident Initiative Administrative Staff	1408	2	83,000.00	83,000.00	83,000.00	5,745.95	In Progress	
	Agency Training on Computer Upgrade	1408	100%	23,870.00	23,870.00	23,870.00	0.00	In Progress	
Administration	Salaries for Mod Dept Staff & other agency staff	1410	100%	173,095.00	173,095.00	173,095.00	14,375.49	In Progress	
	Salaries for Force Account Construction Coordinator & Construction Assistant	1460	2	75,000.00	67,500.00	67,500.00	0.00	In Progress	
Fees & Costs	Misc. Fees & Costs – Advertisements	1430	100%	5,000.00	5,000.00	5,000.00	4,713.54	In Progress	
IL24-003 Fairview Homes	Landscaping, fencing & sidewalks (FA)	1450	100%	255,417.00	255,417.00	28,000.00	23,834.75	In Progress	
IL24-004 John O. Holmes	Structural Repair at John O. Holmes	1460	4 units	30,013.20	30,013.20	0.00	0.00	Not Yet Begun	
IL24-004, 5 & 6 John O. Holmes, Riverside Center, Kennedy & Stevenson Bldgs.	Highrise Roof Replacement	1460	6 Bldgs.	538,982.00	538,982.00	538,982.00	444,583.80	85% Complete	

## Annual Statement/Performance and Evaluation Report

### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

#### Part II: Supporting Pages

PHA Name:		Grant Type and Number				Federal FY of Grant:			
HOUSING AUTHORITY OF JOLIET		Comprehensive Grant No: IL06-PO24-70899 Replacement Housing Factor Grant No:				07/1999			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
IL24-001, 2 & 3 IL24-004, 5 & 6	Modernization of Refrigerators & Stoves	1465	325 sets	184,000.00	184,000.00	184,000.00	26,143.75	80% Complete	
IL24-003 Fairview Homes	Comprehensive Rehabilitation (Force Account Labor), including all interior & exterior materials – <b>Phase VI of the Step-Up Training Program</b>	1460	22 units	967,024.00	976,509.78	976,509.00	389,805.70	40% Complete	
	Cycle Painting	1460	100%	45,000.00	30,514.22	30,514.22	13,567.30	In Progress	
	Force Account Material Storage	1460	1 year	9,600.00	9,600.00	9,600.00	4,800.00	In Progress	
	Misc. Tools & Equipment (FA crew)	1460	22 units	8,000.00	10,000.00	10,000.00	7,660.20	In Progress	
	Hazardous Substance Abatement: Asbestos	1460	22 units	56,100.00	63,600.00	63,600.00	57,279.99	99% complete	
	Relocation of Families in Step-Up units	1495	22 units	15,804.80	15,804.80	15,804.80	15,804.80	Complete	
	New Appliances for Step-Up Units	1465	22 units	13,200.00	20,000.00	0.00	0.00	In Procurement	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>HOUSING AUTHORITY OF JOLIET</b>		Grant Type and Number Comprehensive Grant Program No: IL06-PO24-70899 Replacement Housing Factor No:					Federal FY of Grant:  07/1999	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
IL24-003 Fairview, Desplaines & Spring Bluff	9/30/2001			9/30/2002				
IL24-004 John O. Holmes	9/30/2001			9/30/2002				
IL24-005 Riverside Center	9/30/2001			9/30/2002				
IL24-006 Stevenson & Kennedy	9/30/2001			9/30/2002				
PHA-Wide Physical & Management Improvements, Training, Administrative & Technical Salaries	9/30/2001			9/30/2002				
Misc. Fees/Costs	9/30/2001			9/30/2002				
Resident Economical Development & Training	9/30/2001			9/30/2002				

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

<b>PHA Name:</b>  <b>HOUSING AUTHORITY OF JOLIET</b>	<b>Grant Type and Number</b> Comprehensive Grant Program No: IL06-PO24-70798 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b>  <b>07/1998</b>
--	---	---

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: 3)  
 Performance and Evaluation Report for Period Ending: 12/31/00  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CGP Funds	0.00	0.00	0.00	0.00
2	1406 Operations	0.00	0.00	0.00	0.00
3	1408 Management Improvements Soft Costs	253,000.14	283,873.46	283,873.46	235,719.13
	Management Improvements Hard Costs	0.00	0.00	0.00	0.00
4	1410 Administration	203,095.00	215,312.10	215,312.10	169,461.39
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	54,665.40	54,665.40	54,665.40	54,665.40
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	213,697.88	202,832.01	202,832.01	202,832.01
10	1460 Dwelling Structures	978,979.22	964,212.46	964,212.46	933,628.74
11	1465.1 Dwelling Equipment—Non-expendable	309,552.86	309,552.86	309,552.86	309,552.86
12	1470 Non-dwelling Structures	4,266.50	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	129,706.00	116,514.71	116,514.71	111,650.96
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	6,238.00	6,238.00	6,238.00	6,238.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1502 Contingency	0.00	0.00	0.00	0.00
20	<b>Amount of Annual Grant: (sum of lines 1 – 19)</b>	<b>2,153,201.00</b>	<b>2,153,201.00</b>	<b>2,153,201.00</b>	<b>2,023,748.49</b>
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of line 20 Related to Section 504 compliance	234,645.63	234,645.63	234,645.63	234,645.63
23	Amount of line 20 Related to Security	8,150.00	44,641.48	44,641.48	8,150.00
24	Amount of line 20 Related to Energy Conservation Efforts	355,858.71	355,858.71	355,858.71	335,858.71

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant:			
HOUSING AUTHORITY OF JOLIET		Comprehensive Grant No: IL06-PO24-70798 Replacement Housing Factor Grant No:				07/1998			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
Management Improvements	Neighborhood Oriented Policing (IL24-003)	1408	100%	0.00	36,491.48	36,491.48	0.00	In Progress	
PHA-Wide	Resident Economical Development – Training through Step-Up Program (Maint Repair/Construction/Painting)	1408	100%	122,608.66	122,608.66	122,608.66	122,608.66	Complete	
	Modernization Staff Training	1408	100%	5,600.00	2,989.71	2,989.71	2,504.62	In Progress	
	Salaries for Resident Initiative Administrative Staff	1408	2	69,167.35	69,167.35	69,167.35	58,306.43	In Progress	
	Purchase of Copier & Service Contract	1408	100%	22,467.00	18,283.75	18,283.75	17,966.89	In Progress	
	Comprehensive Agency Business Plan	1408	1	34,332.53	34,332.53	34,332.53	34,332.53	Complete	
	Mod of Agency Computer Hardware	1475	100%	70,000.00	50,885.07	50,885.07	46,021.32	In Progress	
	Agency Mod & Maint. Vehicles	1475	4	54,751.00	60,674.64	60,674.64	60,674.64	Complete	
	Agency Mod & Maint. Vehicles (error)	1460	1	0.00	3,830.14	3,830.14	3,830.14	Complete	
Administration	Salaries for Mod Dept Staff & other agency staff	1410	100%	203,095.00	215,312.10	215,312.10	169,461.39	In Progress	
	Salaries for Force Account Construction Coordinator & Construction Assistant	1460	2	91,517.00	75,153.97	75,153.97	69,219.63	In Progress	
Fees & Costs	Misc. Fees & Costs – Advertisements	1430	100%	5,606.13	5,606.13	5,606.13	5,606.13	Complete	
	Space Utilization Study	1430	1	5,286.87	5,286.87	5,286.87	5,286.87	Complete	
	A & E Service for the Boiler Mod (24-5)	1430	1	43,772.40	43,772.40	43,772.40	43,772.40	Complete	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number					Federal FY of Grant:			
HOUSING AUTHORITY OF JOLIET		Comprehensive Grant No: IL06-PO24-70798 Replacement Housing Factor Grant No:					07/1998			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
					Original	Revised	Obligated	Expended		
IL24-001 Murray/Downey Homes	Exterior Lighting		1450	1 Site	8,150.00	8,150.00	8,150.00	8,150.00	Complete	
IL24-001, 2 & 3	Emergency – Resurfacing of parking lots & Installation of Storm Sewers		1450	100%	155,421.63	145,421.63	145,421.63	145,421.63	Complete	
	Same as above (in error)		1460	1	0.00	9,464.00	9,464.00	9,464.00	Complete	
	Emergency – Gutter & Downspout Replacement		1460	100%	24,917.03	11,908.41	11,908.41	11,908.41	Complete	
	Mod of Family Sites Refrigerators		1465	50 units	16,875.00	16,875.00	16,875.00	16,875.00	Complete	
IL24-003 Fairview Homes	Comprehensive Rehabilitation (Force Account Labor), including all interior & exterior materials – <b>Phase V of the Step-Up Training Program</b>		1460	13 units	670,387.81	672,045.87	672,045.87	672,045.87	Complete	
	Site Improvements (Force Account)		1450	13 units	17,915.87	17,050.00	17,050.00	17,050.00	Complete	
	Force Account Material Storage		1460	1 year	3,600.00	3,600.00	3,600.00	3,600.00	Complete	
	Misc. Tools & Equipment (FA crew)		1460	13 units	5,082.97	5,082.97	5,082.97	5,082.97	Complete	
	Hazardous Substance Abatement: Asbestos		1460	13 units	24,420.00	24,420.00	24,420.00	24,420.00	Complete	
	Relocation of Families in Step-Up units		1495	13 units	6,238.00	6,238.00	6,238.00	6,238.00	Complete	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant:			
HOUSING AUTHORITY OF JOLIET		Comprehensive Grant No: IL06-PO24-70798 Replacement Housing Factor Grant No:				07/1998			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
IL24-004 John O. Holmes	Siding, Window & Laundry Room Doors Replacement	1460	4 Bldgs.	79,249.41	78,947.10	78,947.10	54,297.72	In Progress	
	Laundry Room Doors Replacement	1470	4 Bldgs.	4,266.50	0.00	0.00	0.00	Complete	
	Modernization of Highrise Storefronts	1460	4 Bldgs.	79,760.00	79,760.00	79,760.00	79,760.00	Complete	
IL24-004, 5 & 6	Emergency Concrete Improvements: Walks, Curbs, Ramps, Drives	1450	100%	32,210.38	32,210.38	32,210.38	32,210.38	Complete	
IL24-005 Riverside Center	Complete Boiler Modernization	1465	1 Bldg.	284,539.30	284,539.30	284,539.30	284,539.30	Complete	
IL24-006 Kennedy & Stevenson	A/C Units in lobbies & offices	1475	10 units	4,955.00	4,955.00	4,955.00	4,955.00	Complete	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>HOUSING AUTHORITY OF JOLIET</b>		Grant Type and Number Comprehensive Grant Program No: IL06-PO24-70798 Replacement Housing Factor No:				Federal FY of Grant:  07/1998	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL24-003 Fairview, Desplaines & Spring Bluff	6/30/00			6/30/01			
IL24-004 John O. Holmes	6/30/00			6/30/01			
IL24-005 Riverside Center	6/30/00			6/30/01			
IL24-006 Stevenson & Kennedy	6/30/00			6/30/01			
PHA-Wide Physical & Management Improvements, Training, Administrative & Technical Salaries	6/30/00			6/30/01			
Misc. Fees/Costs	6/30/00			6/30/01			
Resident Economical Development & Training	6/30/00			6/30/01			

## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

- Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:

## 8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/>

Occupancy by families with disabilities <input type="checkbox"/>
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)

4. Status of Conversion Plan (select the statement that best describes the current status)

- Conversion Plan in development
- Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- Conversion Plan approved by HUD on: (DD/MM/YYYY)
- Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- Units addressed in a pending or approved demolition application (date submitted or approved: )
- Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: )
- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: )
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

**Public Housing Homeownership Activity Description**

<b>(Complete one for each development affected)</b>	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?  
 If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

#### 1. Cooperative agreements:

- Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 04/01/95

#### 2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals  
 Information sharing regarding mutual clients (for rent determinations and otherwise)  
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
 Jointly administer programs  
 Partner to administer a HUD Welfare-to-Work voucher program  
 Joint administration of other demonstration program  
 Other (describe)

### **B. Services and programs offered to residents and participants**

#### **(1) General**

##### a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies  
 Public housing admissions policies  
 Section 8 admissions policies  
 Preference in admission to section 8 for certain public housing families  
 Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA  
 Preference/eligibility for public housing homeownership option participation  
 Preference/eligibility for section 8 homeownership option participation  
 Other policies (list below)

##### b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following

table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Step Up Job Training and Employment Program</i>	<i>16 persons annually</i>	<i>Specific criteria</i>	<i>PHA Main Office</i>	<i>Both</i>
<i>ROSS</i>	<i>50 persons annually</i>	<i>Specific criteria</i>	<i>PHA Main Office</i>	<i>Both</i>

**(2) Family Self Sufficiency program/s N/A**

a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

**C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

**D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

**Housing Authority of Joliet  
Community Service Requirement Statement**

The Quality Housing and Work Responsibility Act of 1998 mandates the Housing Authority of Joliet to require that all adults living in public housing contribute 8 hours of community service (not including political activities) per month within the community in which the adult resides; or participate in an economic self-sufficiency program for 8 hours per month; or perform/participate in 8 hours of combined community service and economic self-sufficiency activities/programs.

Exempt from the community service requirement is any adult who:

- Is 62 years of age or older;
- Is an individual with a disability, as defined under section 216[i][1] or 1614 of the Social Security Act, and who is unable to comply with this section, or is a primary caretaker of an individual;
- Is an individual engaged in a work activity as defined in section 407[d] of the Social Security Act;
- Is an individual that is exempted from having to engage in a work activity under the State program funded under Part A of Title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program;
- Is in a family receiving assistance under a State program funded under Part A of Title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such program.

For purposes of satisfying the community service requirement, participating in an economic self-sufficiency program is also defined as participating in a Family Self-Sufficiency Program and being current in the steps outlined in the Individual Training and Services Plan; participating in the Housing Authority of Joliet's Step-Up Employment and Training Program and being current in the steps outlined within the Training and Services Plan; participating in an educational or vocational training program designed to lead to employment of at least 30 hours per week; improving the physical environment of the resident's development; volunteer work with a local school, hospital, child care center, homeless shelter, or other community service organization; working with area youth organizations; working with local neighborhood groups on special projects; raising young (pre-school) children at home where a spouse is working; participation in programs that develop and strengthen resident self-responsibility such as drug and alcohol abuse counseling and treatment, household finance/budgeting, credit counseling, English language proficiency; or other activities approved by the PHA on a case-by-case basis.

The Housing Authority shall provide all adult residents with a brochure listing of local agencies and organizations that offer community service and volunteer opportunities. It is the personal responsibility of all adult household members who are required to comply with the community service requirement to locate an agency or organization and to comply with the community service requirement. It shall be the responsibility of the Housing Authority to annually verify resident compliance with this community service requirement.

The Housing Authority shall ensure that all community service programs are accessible for persons with disabilities. The Housing Authority shall also ensure that the conditions under which the work is to be performed are not hazardous; the work is not labor that would be performed by the Housing Authority's employees responsible for essential maintenance and property services; or the work is not otherwise unacceptable.

The Housing Authority shall review resident compliance with the community service requirement 30 days before the expiration of each lease term. All activities undertaken by the resident to comply with the requirement shall require a certification letter from the organization/agency where the service/activity was performed.

If the Housing Authority determines that a household is not in compliance with the community service requirement, the Housing Authority may not renew or extend a the household's lease upon expiration of the lease term and shall initiate action to terminate the tenancy of the household, unless the Housing Authority enters into an agreement with the household, prior to the expiration of the lease term. The agreement shall provide an opportunity for a household to cure noncompliance with the community service requirement, by allowing the non-compliant resident to participate in economic self-sufficiency programs or by contributing hours of community services for as many additional hours as the resident needs in order to fully comply in the aggregate with the community service requirement over the 12-month term of the lease.

The Housing Authority shall not renew or extend a lease or provide any new lease for a dwelling unit to any household that includes an adult member subject to the community service requirement and who failed to comply with it.

### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports

- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected?  
All Developments

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected?  
All developments

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected?  
All developments

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment.

## Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

**Annual PHDEP Plan Table of Contents:**

- 1. General Information/History**
- 2. PHDEP Plan Goals/Budget**
- 3. Milestones**
- 4. Certifications**

**ORIGINAL**

**Section 1: General Information/History**

**A. Amount of PHDEP Grant \$ 270,015.00**

**B. Eligibility Type (Indicate with an "X")**      N1 \_\_\_ N2 \_\_\_ R  X

**C. FFY in which funding is requested 2001**

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority will continue to provide on-site community policing at 3 family housing developments to reduce and discourage drug and drug-related criminal activity on PHA property. The Housing Authority shall operate three (3) community centers located within the family housing developments to provide youth with access to tutoring programs, arts/crafts & recreational programs including the PHA's Summer Camps in 2002 and 2003, and a life skills program targeted to a core group of teens enrolled in the IDHS/HAJ Teen REACH Program. Other items to be purchased through PHDEP include arts/craft materials and projects, computer software programs, and positive parenting and youth life-skills brochures.

**E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

<b>PHDEP Target Areas (Name of development(s) or site)</b>	<b>Total # of Units within the PHDEP Target Area(s)</b>	<b>Total Population to be Served within the PHDEP Target Area(s)</b>
Des Plaines Gardens Homes	162	452
Poole Garden Homes	106	381
Fairview Homes	168	563

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months \_\_\_\_\_ 12 Months \_\_\_\_\_ 18 Months \_\_\_\_\_ 24 Months  X  Other \_\_\_\_\_

**G. PHDEP Program History**

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1996	X 328,200	IL06DEP0240196	0	NA	10/30/98
FY 1997	X 328,200	IL06DEP0240197	14,509	NA	05/30/00
FY 1998	X 328,200	IL06DEP0240198	3,240	NA	11/23/00
FY 1999	X 241,715	IL06DEP0240199	24,777	NA	01/06/02
FY 2000	X 251,916	IL06DEP0240100	171,325	NA	07/27/02

**Section 2: PHDEP Plan Goals and Budget**

**A. PHDEP Plan Summary**

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

Reimbursement of Law Enforcement will be accomplished through an on-site policing program at 3 family public housing developments. On a monthly and semi-annual basis, the PHA will monitor crime rates to determine the reduction, increase and/or maintenance impact on the housing developments. The PHA will also review annual resident survey results pertaining to the perception of crime occurring within the developments. The PHA will enter into an Intergovernmental Agreement with the Joliet Police Department to provide the on-site patrols. Prevention Activities will be implemented for youth/adults through the retention of 3 Community Service Aides that will operate and provide access to on/off-site supervised educational, recreational, social and cultural activities including Youth Tutoring Programs and a 2002 and 2003 Summer Youth Camp. CER Consulting will provide a core teen group with life-skills training and education programming during the period September 2001 through April 2002. Funding will also support upgrading and purchasing additional computer software programs for on-site computer labs, the purchase of arts/crafts materials and the distribution of life-skills brochures geared for parents and youth. Over the course of the FY2001 PHDEP grant, 120-160 youth will have the opportunity to access after-school and weekend recreational and educational advancement programs implemented as an alternative to involvement with drugs and designed to promote increased school performance and life-skills development.

## B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

<b>FY 1999 PHDEP Budget Summary</b>	
<b>Budget Line Item</b>	<b>Total Funding</b>
9110 - Reimbursement of Law Enforcement	115,000
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	155,015
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
<b>TOTAL PHDEP FUNDING</b>	<b>270,015</b>

## C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9110 - Reimbursement of Law Enforcement</b>					<b>Total PHDEP Funding: \$115,000</b>		
Goal(s)	To provide an increased law enforcement presence at the Des Plaines, Poole Garden, and Fairview Homes public housing developments to act as a deterrent to crime.						
Objectives	<ol style="list-style-type: none"> <li>To maintain an increased police vehicle and foot patrol within each housing development.</li> <li>To decrease Part I and Part II crimes and drug-related criminal activity occurring on and/or near housing authority property in comparison to activity rates recorded during prior program years.</li> </ol>						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Continued Implementation of the Neighborhood Oriented Policing Program at 3 Family Public Housing Developments			08/01	02/02	115,000	0	Review and verify schedules of Officers providing on-site law enforcement services
2. Crime Rate Monitoring and Analysis			08/01	02/02	0	0	Review of monthly and bi-annual crime statistics and the annual PHDEP Resident Survey results

<b>9120 - Security Personnel</b>		<b>Total PHDEP Funding: \$ 0</b>
Goal(s)	Not An Applicable Line Item	

Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

<b>9130 – Employment of Investigators</b>					<b>Total PHDEP Funding: \$ 0</b>		
Goal(s)	Not An Applicable Line Item						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

<b>9140 - Voluntary Tenant Patrol</b>					<b>Total PHDEP Funding: \$0</b>		
Goal(s)	Not An Applicable Line Item						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

<b>9150 - Physical Improvements</b>					<b>Total PHDEP Funding: \$0</b>		
Goal(s)	Not An Applicable Line Item						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

9160 - Drug Prevention					Total PHDEP Funding: \$155,015		
Goal(s)	<ol style="list-style-type: none"> <li>To provide youth with supervised on and off-site recreational and sporting event activities.</li> <li>To provide youth with on-site access to planned recreational, educational, vocational, social, cultural, and community health programs.</li> <li>To provide youth with academic assistance to either improve Grade Point Averages in the areas of English, Mathematics, and Sciences or maintain an overall Grade Point Average of 2.0.</li> </ol>						
Objectives	<ol style="list-style-type: none"> <li>Provide youth with access to positive after-school and weekend programs and activities at 3 family public housing development community centers.</li> <li>Provide youth with an on-site Summer Youth Camp during the Summer of 2002 and Summer 2003 to act as a deterrent to youth involvement in drug and gang activities.</li> <li>Provide youth with an after-school tutoring program with additional/upgraded computer software during the period September 04, 2001 through June 07, 2002 (phdep 2001 funding to support the period 03/02-06/02).</li> <li>Provide youth with an after-school tutoring program with additional/upgraded computer software during the period September 03, 2002 through June 06, 2003 (phdep 2001 funding to support the period 09/02-03/03).</li> <li>Provide supervised after-school arts/craft activities as a deterrent to youth involvement in drug/gang activities.</li> <li>Provide youth with life-skills brochures on first steps in locating a summer job, handling peer pressure, stress, anger, teen pregnancy, illegal drug use by family and/or friends, domestic violence, etc. and provide life-skills brochures to parents on positive parenting to youth.</li> <li>Provide a limited core teen youth group involved in the HAJ/IDHS Teen REACH Program with access to life-skills training and programming through CER Consulting to promote positive youth development.</li> </ol>						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Operate an After-School Tutoring Program	30-50	Des Plaines and Fairview Homes	09/01	06/02	24,108	892 99phdep  40,000 00phdep	Contracted service provider to provide daily attendance sheets for each facility and bi-annual academic reports indicating individual participant increased and/or decreased grade and GPA statistics
2. Operate an After-School Tutoring Program	30-50	Des Plaines and Fairview Homes	09/02	06/03	47,808	17,192 02phdep	Contracted service provider to provide daily attendance sheets for each facility and bi-annual academic reports indicating individual participant increased and/or decreased grade and GPA statistics
3. Operate Summer Youth Program at 3 Public Housing Developments	100	Des Plaines, Poole Garden, and Fairview Homes	06/02	08/02	25,000	0	Participation rates will be measured through a review of the number of program registration forms submitted by parents/youth, reviews of daily and field trip attendance sheets, and through sporadic site visits by PHDEP supervisory staff

Table Library

4.	Operate a concentrated Life-skills Program with CER Consulting for a Limited Core Group of Teens Enrolled in the HAJ and IDHS Teen REACH Program	15-21	Des Plaines, Poole Garden, and Fairview Homes	09/01	05/02	10,000	0	Contracted service provider to provide daily attendance sheets for each facility and beginning and ending program progress reports on each participant's increased and/or decreased skill assessment level.
5.	Operate Summer Youth Program at 3 Public Housing Developments	100	Des Plaines, Poole Garden, and Fairview Homes	06/03	08/03	8,000	15,000 02phdep	Participation rates will be measured through a review of the number of program registration forms submitted by parents/youth, reviews of daily and field trip attendance sheets, and through sporadic site visits by PHDEP supervisory staff
6.	Purchase Upgrade and/or Additional Computer Software for On-Site Computer Labs	30-50	Des Plaines and Fairview Homes	07/01	07/03	5,000	0	Participation rates at the on-site computer labs will demonstrate increased computer lab use at each facility.
7.	At-Risk Resources Life Skills for Adults and Youth Brochures	90	Des Plaines, Poole Garden, and Fairview Homes	07/01	07/03	5,099	0	Increased questions of on-site social service staff regarding family issues and seeking assistance.
8.	Purchase Arts/Crafts Material for After-School Youth Programs	90-120	Des Plaines, Poole Garden, and Fairview Homes	07/01	07/03	30,000	10,000 IDHS Teen REACH Grant	Participation rate measured at each PHA center based on daily attendance sheets and through sporadic site visits by PHDEP supervisory staff

<b>9170 – Drug Intervention</b>						<b>Total PHDEP Funding: \$ 0</b>	
Goal(s)	Not An Applicable Line Item						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

<b>9180 – Drug Treatment</b>					<b>Total PHDEP Funding: \$ 0</b>		
Goal(s)	Not An Applicable Line Item						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

<b>9190 - Other Program Costs</b>					<b>Total PHDEP Funds: \$ 0</b>		
Goal(s)	Not An Applicable Line Item						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

**Section 3: Expenditure/Obligation Milestones**

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

<b>Budget Line Item #</b>	<b>25% Expenditure of Total Grant Funds By Activity #</b>	<b>Total PHDEP Funding Expended (sum of the activities)</b>	<b>50% Obligation of Total Grant Funds by Activity #</b>	<b>Total PHDEP Funding Obligated (sum of the activities)</b>
<i>e.g. Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activity 1 (100 %)	115,000	Activity 1 (100 %)	115,000
9120				
9130				
9140				
9150				
9160	Activity 1 (100 %)	24,108	Activity 1 (100 %)	24,108
	Activity 3 ( 40 %)	10,000	Activity 3 (100 %)	25,000
	Activity 4 (100 %)	10,000	Activity 4 (100 %)	10,000
	Activity 7 ( 39 %)	2,000	Activity 7 (100 %)	5,099
	Activity 8 ( 25 %)	<u>7,500</u>	Activity 8 ( 50 %)	<u>15,000</u>
		53,608 (34.6 %)		79,207 (51.1%)
9170				
9180				
9190				
<b>TOTAL</b>		\$ 168,608		\$ 194,207

## **Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission was included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations,” originally submitted to HUD on April 14, 2000 and again on April 17, 2001.

## **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

### **Housing Authority of Joliet Pet Policy Statement**

The Housing Authority allows tenants to own one type of a common household pet, defined as a dog, cat, bird, fish, or turtle. Weight limitations, a spay/neuter requirement, State license requirements, and inoculation requirements are placed on the owner of a dog or cat. Households must first register a pet and pay a refundable pet deposit to the Housing Authority prior to bringing the animal on the premises. Number and enclosure requirements are placed on owners of fish and turtles.

The Housing Authority shall refuse to register a pet if the pet is not a common household pet as defined within the Pet Policy; keeping the pet would violate any established House Pet Rules; a tenant fails to obtain the necessary liability insurance as required by this policy; the pet owner fails to provide complete pet registration information, or fails to update their registration annually with the Housing Authority; and/or the Housing Authority reasonably determines that the pet owner is unable to keep the pet in compliance with the Pet Policy or lease obligations.

A temporary visiting pet is considered by the Housing Authority as a common household pet not owned by a tenant that is brought onto the premises. A temporary visiting pet is prohibited on Housing Authority property and subjects the tenant household to termination of tenancy. Housing Authority tenants are prohibited from feeding or harboring stray animals.

Pets are not permitted in common areas including building lobbies, community rooms, community centers, playground areas, common outdoor green/recreation spaces, office spaces and laundry facilities except for those common areas which are designated entrances and exits to and from a building. Pets are not to roam free in highrise buildings or on Housing Authority property nor are pets to be exercised in hallways, stairways or lobby areas. An area of each housing development’s grounds is designated as the area in which to exercise animals and to permit dogs to relieve themselves of bodily wastes. Tenants are responsible for the proper removal and disposal of all bodily waste originating from their pet. Pet owners must agree to control the noise of pets so that such noise does not constitute a nuisance to other tenants or interrupt their peaceful enjoyment of their housing unit or premises.

Pet owners shall not alter the dwelling unit, patio, storage shed or any structure on the PHA premises or common area to create an enclosure for any animal, or create or provide any outside shelter or enclosure for any animal on the premises. This includes the building, construction and purchase of any outside dog “house/shelter” on the premises. The installation of a pet door is prohibited. Any tenant alteration to the dwelling unit is considered a violation of the Lease.

The Pet Policy shall be incorporated by reference into the Lease and must be signed by the tenant. The household must adhere to all requirements contained within the Pet Policy. Violation of the Pet Policy shall be grounds for the removal of the pet and/or tenancy termination. If the pet is removed as a result of any aggressive act on the part of the pet, the pet will not be allowed back on the premises.

Pet Policy rules will not be applied to animals that assist persons with disabilities. Pet owners shall be required to qualify animals that assist persons with disabilities for exclusion from the Pet Policy. To be excluded, the pet owner must certify: (1) a person with disabilities resides within the household; (2) the animal has been trained to assist with the specified disability; and (3) the animal actually assists the person with the disability.

## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)  
 Not applicable  
 Private management  
 Development-based accounting  
 Comprehensive stock assessment  
 Other: (list below)
3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (Hard Copy, Attachment B)

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

In the Capital Fund Program application for FY 2001, we included a budget to improve the parking area at John O. Holmes Complex. Any other concerns voiced have been taken under advisement.

### 4. Membership

#### ***RESIDENT ADVISORY BOARD***

The Resident Advisory Board appointed by the Housing Authority of Joliet Board of Commissioners includes the following persons:

<b><u>Name</u></b>	<b><u>Address</u></b>	<b><u>rogram</u></b>
Cynthia Chandler	2101/2 Third Ave., #2 (Upstairs) Joliet, IL 60433-1823	Section 8
Christine Fields	400 N. Bluff St., Apt. 21 Joliet, IL 60435	Public Housing
Camille Reddix-Bland	749 Burnham Dr. #4J University Park, IL 60466	Section 8
Bob Fracaro	2200 Oneida St., Apt. 915 Joliet, IL 60435	Public Housing
Calesia C. Crudup	322 Kraker Ave., 2 <sup>nd</sup> Floor Joliet, IL 60432	Section 8
Mary Underwood	109 Allen Street Joliet, IL 60436	Section 8
Albert Washington	910 Morningside Dr. University Park, IL 60466	Section 8

Shirley Howard	2G Wildwood Lane Bolingbrook, IL	Section 8
Phyllis Barlow	102 Stryker, Apt. 209 Joliet, IL	Public Housing
Ronald Garbacz	411 N. Bluff, Apt. 14 Joliet, IL	Public Housing
Charles Sanders	311 Ottawa Joliet, IL	Public Housing
Doranda Gilbert	66 Allen Joliet, IL	Public Housing
Rose Auten	2200 Oneida St. Joliet, IL 60435	Public Housing

On November 29, 2000 the Housing Authority of Joliet submitted correspondence to each Public Housing and Section Housing Program Resident inviting them to submit a resume for the Resident Advisory Board.

By the deadline date of December 15, 2000 eight (8) persons had submitted letters of interest and resumes.

All eight (8) candidates were approved for Resident Advisory Board membership.

The Presidents of each Resident Council were also invited to become members of the Resident Advisory Board.

A total of thirteen (13) residents are, therefore, included as Resident Advisory Board members.

**B. Description of Election process for Residents on the PHA Board**

- 1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
  
- 2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other: Candidates submitted letters to the Mayor of Joliet’s Office for review. The Mayor interviewed and appointed one (1) Resident as a Board Member. That appointment was approved by the Joliet City Council

***RESIDENT MEMBERSHIP OF THE  
PHA GOVERNING BOARD PROCESS***

- 1. Mayor Arthur Schultz submitted correspondence to Public Housing Resident Councils inviting them to submit resumes to be considered as a Resident Commissioner.
  
- 2. The Mayor’s Office received four (4) resumes; reviewed resumes; interviewed candidates; and then appointed Ms. Ann Hanus.
  
- 3. That appointment was approved by the Joliet City Council on January 3, 2001 for a term to expire January 3, 2003.
  
- 4. Ms. Hanus is a Senior Citizen at our Stryker High-rise Building seventeen (17) years and has served as President of High Neighbors Social Club and Building Liaison.
  
- 5. Her first Board Meeting was held January 9, 2001.

### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Joliet, Illinois
  
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan.
  
  - Other: (list below)
  
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments:

The City of Joliet proposes to utilize its anticipated HOME funds to sponsor CHPO housing activities, develop a Homebuyers Assistance program for new construction of single-family homes on scattered sites and participation in other HOME-eligible housing initiatives. Joliet's CDBG funds will be used for neighborhood improvements, increasing the supply of affordable housing, elimination of slum and blight, and public service activities.

## **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

### **Hard Copy Attachments (to Follow)**

Attachment A – Most recent Board-Approved Operating Budget

Attachment B – Additional Comments of Resident Advisory Board

Attachment C – Certifications: Board Resolution for Annual Plan, Local Entity’s Certification of compliance with Consolidated Plan, Drug Free Workplace, Disclosure of Lobbying Activities, Payments to Influence Federal Transactions

Attachment D – Additional Capital Fund Certifications: Environmental Review form 7015.15 and Evidence of National Historical Preservation compliance

Attachment E – Resident Satisfaction Survey Follow-Up

Attachment F – Housing Authority of Joliet’s Organizational Chart