

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of the City of Athens, Georgia

PHA Number: GA003

PHA Fiscal Year Beginning: (mm/yyyy) 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

**Boys and Girls Club of Athens - Jack R. Wells Club
Outreach Office, East Athens Development Corporation**

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

Our mission is to provide secure, affordable, quality housing and resources which encourage and sustain independence for wage earners, elderly and families.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

AHA Goal: The Athens Housing Authority will use its physical, financial and personnel assets to improve the lives of its residents and the entire Athens-Clarke County Community.

Objectives:

- **We will provide ownership opportunities through innovative financing and construction of affordable housing for first-time homebuyers.**
- **We will assist in the revitalization of neighborhoods surrounding AHA properties.**
- **We will diversify our housing services to provide a broad mix of affordable housing programs to benefit both low and moderate-income citizens.**

Progress Statement

The Athens Housing Authority is utilizing its physical, financial and personnel assets to improve the lives of its residents and the entire Athens-Clarke County Community through an emphasis on homeownership and revitalization of neighborhoods.

Specifically, the Authority has developed the following two comprehensive homeownership and revitalization programs.

AHA First Home

Through a \$9.8 million Single-Family Mortgage Revenue Bond, The Athens Housing Authority, in partnership with local lenders, provided homeownership opportunities and down-payment assistance for 121 moderate-income families from August 1998 to August 2000. Because neighborhoods with a higher percentage of owner-occupied homes are typically more stable, Athens-Clarke County officials have been supportive of the program as an effective means for the development of sustainable communities. Because of the overwhelming success of this program, the Authority will continue to explore innovative homeownership programs to benefit both public housing residents and other eligible families in the Athens community. An application for \$15 million in tax-exempt bonding authority is already on file with the State of Georgia for a second round of AHA First Home programming.

ACT I Homes

A second opportunity for homeownership began the summer of 2000 with the completion of the first ACT I Homes. Working with the Athens-Clarke County Department of Human and Economic Development (HED), the Athens Housing Authority was designated as one of the community partners to work with the HOME Investment program and Community Block Grant Development (CBGD) funds. Through this program, new homes will be built in targeted revitalization areas of the community. Two-and three-bedroom homes will have a number of amenities such as front porches, dishwashers, cathedral ceilings, central heat and air conditioning, and insulated windows. Phase I of the program was the construction of three homes. Currently, two are under contract. Two will be built in the second round of construction.

ACT I Homes have the potential to significantly revitalize aging neighborhoods in the community. The creation of ACT I Homes puts affordable housing within reach for many families.

*(Further details of both of these programs can be found under the *Homeownership narrative of the Annual Plan)*

Finally, AHA staff in conjunction with local banks and mortgage companies sponsor periodic mortgage seminars to inform potential, first-time homebuyers as to credit requirements and the general mortgage loan process.

Through these ongoing initiatives, the AHA is clearly meeting this goal.

AHA Goal: The Athens Housing Authority will strengthen its operations to maintain a leadership position in the affordable housing industry.

Objectives:

- We will identify and implement entrepreneurial activities to diversify our organization, improve our revenues and reduce our dependence on federal support.
- We will hire and train staff as a part of serving as a “Cultural Diversity Leader” in our community.
- We will construct a new Central Office to reconsolidate our operations and return dwelling units to residents.

Progress Statement

*The Athens Housing Authority is achieving this goal through the diversification of its activities in a number of areas. As explained above, two of the most significant examples have been in the areas of homeownership and revitalization of neighborhoods. Again, these efforts are fully detailed under the *Homeownership narrative of this Agency Plan and will not be repeated here.*

In addition, the AHA and 10 other public housing authorities have come together to form the Georgia HAP Administrators, Inc. Under a contract with the Georgia HAP Administrators, Inc. the Athens Housing Authority now monitors and conducts compliance reviews for 15 Project-based Section 8 properties totaling 987 units in Northeast Georgia. An additional 7 Section 8 properties adding another 586 units have recently been added to the AHA portfolio.

The Authority consistently monitors the AHA’s resident demographics in order to determine changing trends that might require additional, diverse and alternative programming and strategies. Hispanic language training will be offered at no cost to any interested employee.

It is anticipated that the Authority will break ground on its new Central Office during this fiscal year. Within a year of the groundbreaking, Authority operations should be reconsolidated and those units currently being utilized for overflow office space returned to dwelling use.

Finally, while diversifying its affordable housing programs and strategies, the Athens Housing Authority remains a recognized leader within the public housing industry. AHA staff members have been elected to serve on numerous local, state and national committees and boards regarding public housing issues. The Authority has maintained its “High Performer Status” under the Public Housing Assessment System

(PHAS). The AHA has continuously enjoyed this designation since the inception of the Public Housing Management Assessment Program (PHMAP).

AHA Goal: The Athens Housing Authority will improve the products we offer our customers including both facilities and services.

Objectives:

- We will improve our recreational and community space facilities to better meet the needs of our residents.
- We will pursue strategies to accelerate the modernization of Jack R. Wells, Nellie B and Bonnie Lane communities.
- We will improve our local anti-crime strategies to enhance quality of life in our neighborhoods.
- We will implement an asset management approach to managing our properties.

Progress Statement

The Athens Housing Authority has taken an aggressive approach to improving the products we offer our customers. First, the Authority's architect recently performed a comprehensive needs assessment of all AHA developments. This assessment has resulted in a significant change in the Authority's modernization approach. Identifying the exteriors of several developments with the greatest need for renovation, the new approach will effectively accelerate the modernization of Jack R. Wells and Nellie B. Included in this revised strategy is the building of a gymnasium for the Boys and Girls Club of Athens to be located in Jack R. Wells.

*Second, utilizing funds from the Public Housing Drug Elimination Program, the AHA is utilizing community space in Nellie B for a computer lab. In addition, through a partnership with the local health department, space will be provided for Teen Matters II a program which provides youth development services in the areas of asset and career building, promotion of school success, health lifestyles, drug education and counseling. These are only two of the many on-going resident programs and services housed in the various community buildings throughout AHA developments. Some of these services include daycare, recreational programs, tutorial programs, and a police substation. A comprehensive list can be found both in the *Operations & Management narrative and Safety and Crime components of this Plan.*

To further the Authority's anti-crime efforts, AHA staff continues to work closely with the Athens-Clarke County Police Department to identify concerns

and develop workable strategies to eliminate drugs and crime in Authority neighborhoods and surrounding areas. Once a month, Authority staff members meet with representatives of the Athens-Clarke County Police Department to review crime patterns and identify crime issues within AHA neighborhoods. We are also beginning to explore the use of surveillance cameras for certain specific problem areas within our developments.

In addition to providing a salary subsidy to those officers assigned to the Housing Authority, the AHA provides the local police department with a sub-station, fax machine and computer hook-up to the AHA's mainframe to assist in providing the most updated information regarding crime information.

Regular safety and security meetings are held in each neighborhood. Residents are encouraged to actively participate in maintaining safe neighborhoods sharing their specific safety concerns through individual contacts to the police department or the AHA; through their Resident Associations and/or Inter-Community Council; and finally, by recommending policy changes to the AHA Board of Commissioners.

As previously mentioned, the Authority's Public Housing Drug Elimination Program is designed to respond to the crime and safety needs of our communities. Please refer to the Public Housing Drug Elimination Template (PHDEP) for details.

The AHA has implemented an asset management approach to managing the Authority's properties. As noted above, the AHA's architectural firm Warren Epstein & Associates, Architects, Inc. recently performed a detailed physical needs assessment / evaluation of all remaining un-modernized properties. The results of this study were reported near the end of the 2000 calendar year and informed the significant strategy change in capital improvements proposed in the Capital Funding Program. (See Capital Improvements narrative and/or Capital Funding templates) With this assessment completed, the AHA now plans to hire a first-class consultant to aid in the further development of a formal Asset Management Plan.

Clearly, the Authority is achieving the objectives set forth in Goal Three of this Five-Year Plan.

AHA Goal: The Athens Housing Authority will promote stable and successful customers as the ultimate measure of our success.

Objectives:

- We will coordinate youth programs designed to reduce risk factors, provide mentors, and increase the probability of healthy, productive citizens.

- We will cooperate with the Clarke County School District and other youth organizations to reduce the high dropout rate of our youth
- We will provide initiatives to encourage homeownership including counseling/training programs and escrow savings accounts.

Progress Statement

The Athens Housing Authority maintains comprehensive programs and services to enable residents to become self-sufficient and successful. As previously discussed, the AHA provides an enormous range of programming specifically targeted at youth. Most of the programs offered through the Public Housing Drug Elimination Program (PHDEP), as well as through the Authority's routine operating budget, focus on youth. Specific examples include, but are not limited to the Boys & Girls Club, Soccer in the Streets, Boy Scouts, Athens Tutorial Program, Computer Learning Center, Hilton Young Youth Leadership Program, Youth Mentoring Program, etc.

Further evidence of the AHA's commitment in this area can be shown by the Authority's employment of a full-time youth coordinator. One of the significant responsibilities of the youth coordinator is to facilitate the Hilton Young Youth Leadership Program. Beginning with elementary children, this multi-phase youth leadership program provides structured leadership training and skills building opportunities, and mentoring for youth from elementary school through college. Each successive program phase builds upon the previous one. In addition to providing the training and programming necessary for them to become successful adults, the concept is to mentor the children and monitor their progress in order to encourage and enable them to meet that goal.

Finally, the Authority is constantly reassessing its approaches and refining its programming to meet identified risk factors and emerging needs.

With a high drop-out rate in our school system, it is evident that the youth of our communities are not attaining the educational level nor developing the skills necessary to become productive, self-sufficient, successful individuals. Although the Athens Housing Authority sponsors a variety of programs—from mentoring, tutoring, computer classes, and leadership development—the resolution of this problem requires a much wider community effort. The AHA has recently joined the Clarke County School district in a major community effort that is being led by the University of Georgia Department of Education.

The Clarke County School District has recently hired a new Superintendent who is committed to working with the Athens Housing Authority to develop strategies targeted to address these issues. It is anticipated that this revitalized

partnership will result in the implementation of cooperative, concrete programs designed to reverse this dropout trend among AHA youth.

During the past year part-time employment opportunities have been provided to over 18 residents in a variety of positions including program monitoring, custodial contracts, secretarial and bookkeeping positions.

*As further detailed in the *Community Service and Self-Sufficiency narrative and Public Housing Drug Elimination component of this Plan, educational and training opportunities include tutorial programs, GED preparation, youth mentoring programs, computer training, and youth leadership building.*

*The AHA's successful homeownership initiatives have been discussed earlier in this progress report and are fully described under the *Homeownership Component narrative of this Plan. In addition, the Authority has established an escrow program which is discussed more fully in the *Rent Determination narrative of this Plan.*

Through all of the above diverse efforts, the Athens Housing Authority is making steady progress in meeting its fourth goal of promoting stable and successful customers.

In closing, the Athens Housing Authority continues to make significant strides toward the achievement of all of the goals and objectives set forth in the Five-Year Plan. Further, the Athens Housing Authority intends to continue these efforts to ensure the ultimate success of our families and the stability of our neighborhoods.

**Detailed narratives of all above referenced initiatives have been made available to the public and are maintained on file in the central offices of the Athens Housing Authority.*

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

N/A

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Attachment A** - Admissions Policy for Deconcentration
- FY 2001 Capital Fund Program Annual Statement – **GA003b02**
- PER GA05P003501, REV. #1 – GA003c02**
- PER GA05p003707, Rev. #3 – GA003d02**
- PER GA05P003708, Rev. #2 – GA003e02**
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY) – N/A
- Attachment G** – Resident Advisory Board Members
- Attachment I**– Resident Member AHA Board of Commissioners
- Pet Policy – N/A **HIGH PERFORMER**
- Community Service – N/A **HIGH PERFORMER**

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5 Year Action Plan – **GA003b02**
- Attachment F** - Public Housing Drug Elimination Program (PHDEP) Plan
- Attachment H** - Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair	5 Year and Annual Plans

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Applicable Plan Component
X	housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Applicable Plan Component
N/A	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
Attached	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X Planned Submission	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plas	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	5,835	5	5	4	4	3	4
Income >30% but <=50% of AMI	3,274	5	5	4	3	3	4
Income >50% but <80% of AMI	3,427	5	5	4	3	3	4
Elderly	1177	5	3	3	5	3	3
Families with Disabilities	14,519	4	4	3	5	3	3
African American	4636	5	5	3	3	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2000
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)
Georgia County Guide 1998
University of Georgia Fact Sheet on Demographics

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	164		229
Extremely low income <=30% AMI	87	53.1	
Very low income (>30% but <=50% AMI)	50	30.4	
Low income (>50% but <80% AMI)	27	16.5	
Families with children	56	34.1	
Elderly families	9	5.5	
Families with Disabilities	31	18.9	
White	20	12.2	
African-American	143	87.2	
Asian/Pacific Island	1	.6	
Hispanic	0	0	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	95	57.9	64
2 BR	53	32.3	64
3 BR	11	6.7	80

Housing Needs of Families on the Waiting List

4 BR	5	3.1	20
5 BR	0	0	1
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

The Athens Housing Authority's current strategies, policies and actions appear to be adequately addressing the housing needs of the community at this time. A complete analysis and discussion is available in the narrative provided for public review and comment. This document is on file in the Authority's central office.

The check-marked items below do not necessarily imply a shortcoming or need for improvement. In most cases, these are strategies already being successfully employed in meeting the community's needs.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)
 - **Cooperate with other organizations to pursue affordable housing.**
 - **Apply for second round of Single Family Mortgage Revenue Bond Funding (AHA First Home program)**
 - **HOME (Infill Housing)**

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)
 - **Housing families based on date and time of application**

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)
 - **Housing families based on date and time of application.**

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
 - **House families with disabilities based on date and time and availability of units.**

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)
 - **Make units available to all interested races and ethnicities.**

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints

- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)
 - **Community poverty level**

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)	\$5,578,028	
a) Public Housing Operating Fund	2,833,265	
b) Public Housing Capital Fund	2,185,753	
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	N/A	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	295,010	
g) Resident Opportunity and Self-Sufficiency Grants	N/A	
h) Community Development Block Grant	136,000	In-fill Housing
i) HOME	128,000	In-fill Housing
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)	\$262,973	
UNOBLIGATED AS OF 12/31/00:		

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1999 PHDEP	33,323	Drug prevention, Security, Physical Imp.
2000 PHDEP	229,650	Drug prevention, PHDEC, Security, Physical Imp.
3. Public Housing Dwelling Rental Income	\$2,857,270	Public Housing Operations
4. Other income (list below)	349,200	
INVESTMENT INCOME	210,000	Public Housing Operations
EXCESS UTILITIES	139,200	Public Housing Operations
5. Non-federal sources (list below)	-0-	
Total resources	\$9,047,471	

NOTE: WE SPECIFICALLY RESERVE THE RIGHT TO CHANGE THIS FINANCIAL STATEMENT BASED ON LATER, BETTER INFORMATION.

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

When family first applies.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)
Occupancy Office

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

No

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification – **Transfers to single-level apartment**
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - **Employment Status – Where the head of household has been successfully employed for a minimum of three months.**
 - **Two Parent Families – Awarded to a family when headed by two or more persons sharing residency whose income and resources are available to meet the family's needs and who are either related by blood, marriage or operation of law; or who have evidenced a stable family relationship over a period of time.**
 - **Natural Disaster**
 - **Veterans – If head of household or spouse is currently a member of the Armed Forces, or the head of household or spouse has been honorably discharged from the Armed Forces.**
 - **Excellent Credit**
 - **Course Work – Awarded for the completion of course work or training by head of household or spouse in a program approved by the AHA**
 - **Registered Voters**

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time --Takes first priority when totals of preferences are equal

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

2 Victims of domestic violence

Substandard housing

Homelessness

High rent burden

Other preferences (select all that apply)

Working families and those unable to work because of age or disability

Veterans and veterans' families

Residents who live and/or work in the jurisdiction

3 Those enrolled currently in educational, training, or upward mobility programs

Households that contribute to meeting income goals (broad range of incomes)

Households that contribute to meeting income requirements (targeting)

Those previously enrolled in educational, training, or upward mobility programs

Victims of reprisals or hate crimes

Other preference(s) (list below)

1 Employment Status

2 Two Parent Families

3 Natural Disaster

4 Veterans

5 Excellent Credit

6 Course Work (completed)

6 Registered Voters

4. Relationship of preferences to income targeting requirements:

The PHA applies preferences within income tiers

Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

N/A PER HUD DIRECTIVE – FINAL RULE AMENDMENT DATED 2/5/01

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing

- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8 – N/A

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
- Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)
- This preference has previously been reviewed and approved by HUD
 - The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)
- The PHA applies preferences within income tiers
 - Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
- The Section 8 Administrative Plan
 - Briefing sessions and written materials
 - Other (list below)
- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?
- Through published notices
 - Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly

income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Minimum Rent Policy

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply) **NONE**

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
 - Fair market rents (FMR)
 - 95th percentile rents
 - 75 percent of operating costs
 - 100 percent of operating costs for general occupancy (family) developments
 - Operating costs plus debt service
 - The "rental value" of the unit
 - Other (list below)
- 100% of Total Operating Costs for Modernized Developments, and defined Elderly/Disabled units**
90% of Total Operating Costs for Un-modernized Developments

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
 Survey of rents listed in local newspaper
 Survey of similar unassisted units in the neighborhood
 Other (list/describe below)

B. Section 8 Tenant-Based Assistance– N/A

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
 100% of FMR
 Above 100% but at or below 110% of FMR
 Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
 The PHA has chosen to serve additional families by lowering the payment standard
 Reflects market or submarket
 Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
 Reflects market or submarket
 To increase housing options for families

Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

Annually

Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

Success rates of assisted families

Rent burdens of assisted families

Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

\$0

\$1-\$25

\$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management – N/A – HIGH PERFORMER

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

An organization chart showing the PHA's management structure and organization is attached.

A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover

Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures – N/A HIGH PERFORMER

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **GA003b02**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment **GA003b02**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description – **N/A HIGH PERFORMER**

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)

- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description – **N/A HIGH PERFORMER**

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name:
1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I

<input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance N/A NO SECTION 8

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants?
(select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs – N/A HUD HIGH PERFORMER

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

ATTACHMENT – N/A HUD HIGH PERFORMER

13. PHA Safety and Crime Prevention Measures - HIGH PERFORMER / PHDEP PARTICIPANT

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti

- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-riskyouth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
 Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan? **SEE**
“ATTACHMENT F” AT END OF TEMPLATE
 Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

ATTACHMENT N/A – HUD HIGH PERFORMER

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

The PHA Plan Certifications of Compliance with the PHA Plans and Regulations which includes the Civil Rights Certifications has been executed by the Athens Housing Authority Board of Commissioners and mailed to the appropriate HUD office.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved? **N/A**
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD? **N/A**
If not, when are they due (state below)?

17. PHA Asset Management – N/A HIGH PERFORMER

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
– See Attachment H

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name)
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **Athens-Clarke County (Certification by Mayor of Athens-Clarke County has been mailed along with other required certifications to HUD)**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- **Public Housing Modernization**
- **Rental Housing Assistance**
- **Application for second round of Single Family Mortgage Revenue Bond Funding through DCA (AHA First Home program)**
- **Infill Housing through HOME/CDBG**

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

- **\$128,000 in HOME Funding for Infill Housing Program**
- **\$150,000 in HOME PI**
- **\$136,000 in CDBG Funding for Infill Housing Program**

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

SUBSTANTIAL DEVIATION, SIGNIFICANT AMENDMENT OR MODIFICATION DEFINITION

Any substantial deviation, significant amendment or modification to this Agency Plan is subject to 903.13, 903.15 and 903.17 of 24CFR part 903 Public Housing Agency Plans; Final Rule.

“Substantial deviations, significant amendments, or modifications” are defined as any changes to the Agency Plan (Five-Year Plan and/or Annual Plan) that would eliminate one or more of the stated Goals of the Five Year Plan.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

ATTACHMENT LIST:

Attachment A	Deconcentration Policy
GA003b02	Capital Fund Program Annual Statement
GA003b02	Capital Fund Program Five-Year Plan
GA003c02	PER for CFP GA06P003501, Rev. #1
GA003d02	PER for CGP GA06P003707, Rev. #3
GA003e02	PER for CGP GA06P003708, Rev. #2
Attachment F	Public Housing Drug Elimination Program Grant Template
Attachment G	List of Resident Advisory Board Members & Method of Appointment
Attachment H	Statement Referring to Comments of Resident Advisory Board
Attachment I	Name, Method of Selection, and Term of Office of the Resident Commissioner

ATTACHMENT A

ATHENS HOUSING AUTHORITY DECONCENTRATION POLICY

It is the Athens Housing Authority's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. The Athens Housing Authority (AHA) will accomplish this in a uniform and non-discriminating manner.

The Athens Housing Authority will affirmatively market its housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, the AHA will analyze the income levels of families residing in each of its developments, the income levels of census tracts in which AHA developments are located, and the income levels of the families on the waiting list. Based on this analysis, the Authority will determine the level of marketing strategies and deconcentration incentives to implement.

ATTACHMENT F

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

- 1. General Information/History**
- 2. PHDEP Plan Goals/Budget**
- 3. Milestones**
- 4. Certifications**

Section 1: General Information/History

A. Amount of PHDEP Grant \$ 295,010.00

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R X

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Athens Housing Authority (AHA) plans to continue its focus on drug and crime prevention through a comprehensive strategic approach. The primary objective of this strategy is to reduce or eliminate drugs and crime through a variety of components that emphasize education, prevention, security enhancements and collaborations. Those components are: law enforcement, physical improvements, lease enforcement, drug reduction program, youth services and continued activities, enhanced administration, cooperation and networking, and resident involvement. The Athens Housing Authority's drug elimination strategy is intentionally collaborative. The AHA has partnered with a variety of well-established community organizations to provide services included in its grant application.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
All AHA developments: Parkview Homes, Broad Acres, Parkview Extension, Rocksprings Homes, Scattered Sites East and West, Jack R. Wells, Nellie B. Apartments, Denney Tower, Bonnie Lane, Towne View Place	1287 (total units) 1272 (total online units)	2694 (as of 1/5/01)

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months X Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	\$375,000.00	GA06DEP0030195	\$0	NA	Completed
FY 1996	\$375,000.00	GA06DEP0030196	\$0	NA	Completed
FY 1997	\$329,680.00	GA06DEP0030197	\$0	NA	Completed
FY1998	\$330,200.00	GA01DEP0030198	\$0	NA	Completed
FY 1999	\$283,063.00	GA06DEP0030199	\$164,693.23	NA	12/16/01
FY 2000	\$295,010.00	GA06DEP0030100	\$275,171.64	NA	09/30/02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The plan for the Athens Housing Authority’s PHDEP is an effective, community-based, comprehensive drug elimination strategy developed by the Housing Authority of the City of Athens, Georgia. Programs including the Jack R. Wells Boys & Girls Club, Northeast Georgia Girl Scouts, Soccer-In-The Streets, and the East Athens Educational Dance Center are targeted to offer youth positive alternatives to drug use. The Athens Tutorial Program, Youth Leadership, GED Program, and the Computer Learning Center provide scholastic assistance and skills training vital to employability. The Teen Matters II Program is a unique collaboration with the Northeast Georgia Health District to promote healthy living and provide onsite health services. Finally, enhancement of current law enforcement services will provide additional surveillance equipment to help ensure the evidence necessary for conviction.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$14,110.00
9120 - Security Personnel	0
9130 - Employment of Investigators	0
9140 - Voluntary Tenant Patrol	0
9150 - Physical Improvements	\$15,000.00

9160 - Drug Prevention	\$208,600.00
9170 - Drug Intervention	0
9180 - Drug Treatment	0
9190 - Other Program Costs	\$57,300.00
TOTAL PHDEP FUNDING	\$295,010.00

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$14,110		
Goal(s)	To not exceed 5% of total crime for Athens-Clarke County						
Objectives	Enhance surveillance capabilities in our neighborhoods and increase resident security						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Surveillance Needs			11-01	9-03	\$14,110		Crime Stats, Resident Council & Safety Meetings
2.							
3.							

9120 - Security Personnel					Total PHDEP Funding: \$0		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9130 - Employment of Investigators					Total PHDEP Funding: \$0		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							

2.							
3.							

9140 - Voluntary Tenant Patrol						Total PHDEP Funding: \$0	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements						Total PHDEP Funding: \$15,000.00	
Goal(s)							
Enhance/repair physical equipment to increase resident safety							
Objectives							
Install necessary fixtures and equipment to increase security							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Enhancement/Repair physical equipment			11-01	9-03	\$15,000		Crime Stats, Resident Council & Safety Meetings
2.							
3.							

9160 - Drug Prevention						Total PHDEP Funding: \$208,600	
Goal(s)							
To provide quality programming to reduce drug involvement, with an emphasis on youth							
Objectives							
To provide alternative activities that promote a drug free lifestyle							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Boys & Girls Club	350	Youth	01-02	9-03	\$70,000	\$27,500 B&G Club	Monthly Reports
2. Girl Scouts	50	Youth	01-02	9-03	\$ 7,000	\$0	Monthly Reports
3. Together Building The Community	20	Youth	01-02	9-03	\$ 2,500	\$0	Monthly Reports
4. Teen Matters II	300	Youth	01-02	9-03	\$50,000	\$25,000 CC Health Dept.	Monthly Reports
5. Youth Mentoring	15	Youth	10-01	9-03	\$ 7,000	\$0	Monthly Reports

Program							
6. Computer Learning Center	300	All Residents	01-02	9-03	\$10,000	\$0	Monthly Reports
7. Athens Tutorial Program	150	Youth	01-02	9-03	\$17,000	\$15,000 ATP	Monthly Reports
8. GED Program	20	Adults	01-02	9-03	\$ 7,000	\$0	Monthly Reports
9. Soccer In The Streets	65	Youth	02-02	09-03	\$13,000	\$0	Monthly Reports
10. East Athens Educational Dance Program	10	Youth	01-02	09-03	\$ 2,000	\$10,000 ACC	Monthly Reports
11. Let's Go Fishing	45	Youth	05-02	09-03	\$ 4,500	\$0	Monthly Reports
12. Youth Leadership	45	Youth	01-02	09-03	\$15,000	\$35,000	Monthly Reports
13. Clarke Middle After School Program	30	Youth	09-01	09-03	\$ 3,600	\$0	Monthly Reports

9170 - Drug Intervention					Total PHDEP Funding: \$0		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$0		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$57,300		
Goal(s)							
To provide quality grant administration and evaluation							
Objectives							
To pay personnel, minimally provide equipment, and to conduct grant evaluation (survey)							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators

						/Source)	
1. PHDEP Coordinator Salary			01/01	9-03	\$37,500	\$0	Grant continuity
2. PHDEP Coordinator Benefits			01-01	09-03	\$10,000	\$0	Grant continuity
3. PHDEP Monitor			01-02	09-03	\$ 3,000	\$0	Grant continuity
4. PHDEP. Evaluation			08/02	09-03	\$ 6,000	\$0	Survey completion
5. Equipment			01/02	09-03	\$ 800	\$0	Grant continuity

AHA Monitoring Statement

The Athens Housing Authority closely monitors the goals and activities of the Athens Housing Authority Public Housing Drug Elimination Program. As a result, it may be determined during the year that certain activities may not be meeting the standards set by the Authority, are not impacting the overall program in the manner defined by the Authority, or due to circumstances beyond the control of the Authority may need to be discontinued and/or replaced by alternative, like programs. Any such change or modification to activities or goals of the PHDEP program shall be approved by the Board of Commissioners of the Athens Housing Authority, reflected in the public minutes of the Authority, and provided to the Inter-Community Council of residents.

However, modification of the activities and/or goals of the Public Housing Drug Elimination does not qualify as a “substantial deviation, significant amendment, or modification” to the Agency Plan under the Authority’s definition and therefore, will not require a formal amendment to the Authority’s Agency Plan.

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110			Activity 1	\$ 14,110
9120				
9130				
9140				
9150				
9160			Activity 1-13	\$104,300
9170				
9180				
9190			Activity 1-3	\$ 25,250
TOTAL		\$0		\$143,660

Setion 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Yes – All the following executed forms have been mailed to appropriate HUD office:

HUD-50070 Certification for a Drug-free Workplace

HUD Standard Form-LLL Disclosure of Lobbying Activities

HUD 50071 Certification of Payments to Influence Federal Transactions

ATTACHMENT G

LIST AND METHOD OF APPOINTMENT OF RESIDENT ADVISORY BOARD

The Housing Authority of the City of Athens, Georgia has met--and exceeded--the requirements for resident participation in the Agency Plan.

The Inter-Community Council of the Athens Housing Authority, comprised of officers of the Resident Associations of each of the AHA's eleven developments, served as the Resident Advisory Board for the Agency Plan. As a result, the Advisory Board was a true representation of all customers served by the Authority.

Members of the Resident Advisory Board included the following:

Ms. Patricia Stephens
Ms. Peggy Chavis
Ms. Mary Ellison
Ms. Alverta Power
Ms. Malisa Freeman
Ms. Hazel Dickson
Ms. Mary Stephens
Ms. Jennifer Ade
Ms. June Barrow
Ms. Mamie Carpenter
Ms. Bernice Burnett
Ms. Cheryl Brown-Foster
Ms. Louise Cameron
Ms. Rosemary Willis
Ms. Dorothy Faust
Ms. Linda Stephens
Ms. Gwen Littleton

ATTACHMENT H – RESIDENT ADVISORY BOARD / PUBLIC COMMENTS

The Resident Advisory Board was actively involved in the actual formation and development of the entire Agency Plan. As a result, the Agency Plan presented for the 45-day public comment period reflected their input. Minutes of their meetings are on file in the offices of the Athens Housing Authority and available for review.

No written comments regarding the Agency Plan were received during the required comment period.

Required Attachment _I __: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Ms. Sarah Barnett**

B. How was the resident board member selected: (select one)?

Elected

Appointed (by the Mayor)

C. The term of appointment is (include the date term expires): **5/31/01 (Appointed for one-year terms)**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis

the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (explain):

B. Date of next term expiration of a governing board member: **5/31/01**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Mr. Doc Eldridge, Mayor, Unified Government of Athens-Clarke County

**PHA Plan
Table Library**

SEPARATE ATTACHMENT – SEE: GA003b02

Component 7

**Capital Fund Program Annual Statement
Parts I, II, and II**

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

**Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

HA Name: HOUSING AUTHORITY OF THE CITY OF ATHENS, GEORGIA	GA06P003501	FFY of Grant Approval: 2000
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number _1___ Performance and Evaluation Report for Program Year Ending 12-31-2001
 Final Performance Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$0	\$0	\$0	\$0
3	1408 Management Improvements Soft Costs	\$0	\$0	\$0	\$0
	Management Improvements Hard Costs	\$0	\$0	\$0	\$0
4	1410 Administration	\$170,000	\$190,000	\$0	\$0
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$100,000	\$100,000	\$0	\$0
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$233,225	\$233,225	\$0	\$0
10	1460 Dwelling Structures	\$854,819	\$896,159	\$0	\$0
11	1465.1 Dwelling Equipment--Nonexpendable	\$18,050	\$18,050	\$0	\$0
12	1470 Nondwelling Structures	\$240,000	\$720,000	\$0	\$0
13	1475 Nondwelling Equipment	\$5,000	\$5,000	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving To Work Demonstration	\$9,500	\$9,500	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Development Activities	\$0	\$0	\$0	\$0
19	1502 Contingency	\$11,100	\$13,819	\$0	\$0
20	Amount of Annual Grant (Sum of lines 2-19)	\$1,641,694	\$2,185,753	\$0	\$0
21	Amount of line 20 Related to LBP Activities	\$23,408	\$24,608	\$0	\$0
22	Amount of line 20 Related to Section 504 Compliance	\$0	\$3,200	\$0	\$0
23	Amount of line 20 Related to Security - Soft Costs	\$0	\$0	\$0	\$0
24	Amount of line 20 Related to Security - Hard Costs	\$44,688	\$46,788	\$0	\$0
25	Amount of line 20 Related to Energy Conservation Measures	\$89,291	\$97,682	\$0	\$0
26	Collateralization Expenses or Debt Service	\$240,000	\$240,000	\$0	\$0

Signature of Executive Director & Date: X	Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X
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(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

CFP GA06P003501 Rev#1

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
1. GA 3-1 PARKVIEW HOMES	REPLACE WATER DISTRIBUTION SYSTEM	1450	LS	\$100,000	\$100,000	\$0	\$0	DESIGN IN PROGRESS
	SIDEWALK REPAIRS	1450	LS	\$20,000	\$20,000	\$0	\$0	
	GRADING/ PARKING IMPROVEMENTS	1450	LS	\$30,000	\$30,000	\$0	\$0	
				\$150,000	\$150,000	\$0	\$0	
2. GA 3-3 PARKVIEW EXTENSION	REPLACE SANITARY AND STORM SEWER	1450	14 Units	\$29,963	\$29,963	\$0	\$0	AWARD OF PHASE V PENDING COMPLETION OF PHASE IV
	SIDEWALK REPAIRS	1450	14 Units	\$3,329	\$3,329	\$0	\$0	
	GRADING/ PARKING IMPROVEMENTS	1450	14 Units	\$33,292	\$33,292	\$0	\$0	
	REPLACE WATER DISTRIBUTION SYSTEM	1450	14 Units	\$11,652	\$11,652	\$0	\$0	
	U/G ELECTRIC SERVICE	1450	14 Units	\$4,994	\$4,994	\$0	\$0	
	PLAYGROUND	1450	LS	\$0	\$0	\$0	\$0	
SUBTOTAL GA 3-3				\$83,230	\$83,230	\$0	\$0	
<u>SUBTOTAL 1450</u>				<u>\$233,230</u>	<u>\$233,230</u>	<u>\$0</u>	<u>\$0</u>	
DWELLING STRUCTURES								
1. GA 3-2 BROADACRES HOMES	INSTALL MINIBLINDS	1460	12 Units	\$6,000	\$6,000	\$0	\$0	WORK ITEM TO MOVE BACK TO FY 2002
	INSTALL A/C	1460	12 Units	\$9,600	\$9,600	\$0	\$0	
SUBTOTAL GA 3-2				\$15,600	\$15,600	\$0	\$0	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

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**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CFP GA06P003501 Rev#1

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
2. GA3-3 PARKVIEW EXTENSION	UPGRADE TO SECTION 504 STANDARDS	1460	0 Units	\$0	\$0	\$0	\$0	AWARD OF PHASE V PENDING COMPLETION OF PHASE IV
	REPLACE SPACE HEATERS WITH NEW HVAC	1460	21 Units	\$91,930	\$91,930	\$0	\$0	
	REPLACE INT / EXT DOORS AND HARDWARE	1460	21 Units	\$85,120	\$85,120	\$0	\$0	
	INSTALL S/R, INSUL.(EXT) AND PAINT WALLS	1460	21 Units	\$142,406	\$142,406	\$0	\$0	
	ATTICS - INSTALL SMOKEWALLS AND INSULATE	1460	21 Units	\$36,176	\$36,176	\$0	\$0	
	REPLACE SOFFITS & FASCIA	1460	21 Units	\$38,304	\$38,304	\$0	\$0	
	NEW WINDOWS / SECURITY SCREENS	1460	21 Units	\$44,688	\$44,688	\$0	\$0	
	REPLACE WATER PIPING AND HEATERS	1460	21 Units	\$53,200	\$53,200	\$0	\$0	
	REROOF AND VENT	1460	21 Units	\$31,920	\$31,920	\$0	\$0	
	NEW FRONT PORCHES	1460	21 Units	\$10,640	\$10,640	\$0	\$0	
	REPLACE FLOOR TILE WITH VCT AND CARPET	1460	21 Units	\$43,454	\$43,454	\$0	\$0	
	REWORK INT & EXT ELECTRICAL	1460	21 Units	\$78,736	\$78,736	\$0	\$0	
	REPLACE KITCHEN CABINETS, ADD D/W	1460	21 Units	\$46,816	\$46,816	\$0	\$0	
	BATHS	1460	21 Units	\$49,072	\$49,072	\$0	\$0	
	ABATE LBP AND ASBESTOS	1460	21 Units	\$46,816	\$46,816	\$0	\$0	
	MINIBLINDS	1460	21 Units	\$8,086	\$8,086	\$0	\$0	
	CLOTHES WASHER / DRYER HOOK-UPS	1460	21 Units	\$4,256	\$4,256	\$0	\$0	
SUBTOTAL GA 3-3				\$811,619	\$811,619	\$0	\$0	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

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**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CFP GA06P003501 Rev#1

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
3. GA3-7 JACK R WELLS	UPGRADE TO SECTION 504 STANDARDS	1460	1 Units	\$0	\$3,200	\$0	\$0	DESIGN IN PROGRESS
	REPLACE SPACE HEATERS WITH NEW HVAC	1460	1 Units	\$0	\$4,320	\$0	\$0	
	REPLACE INT / EXT DOORS AND HARDWARE	1460	1 Units	\$0	\$4,000	\$0	\$0	
	INSTALL S/R, INSUL.(EXT) AND PAINT WALLS	1460	1 Units	\$0	\$6,692	\$0	\$0	
	ATTICS - INSTALL SMOKEWALLS AND INSULATE	1460	1 Units	\$0	\$1,700	\$0	\$0	
	REPLACE SOFFITS & FASCIA	1460	1 Units	\$0	\$1,800	\$0	\$0	
	NEW WINDOWS / SECURITY SCREENS	1460	1 Units	\$0	\$2,100	\$0	\$0	
	REPLACE WATER PIPING AND HEATERS	1460	1 Units	\$0	\$2,500	\$0	\$0	
	REROOF AND VENT	1460	1 Units	\$0	\$1,500	\$0	\$0	
	NEW FRONT PORCHES	1460	1 Units	\$0	\$500	\$0	\$0	
	REPLACE FLOOR TILE WITH VCT AND CARPET	1460	1 Units	\$0	\$2,042	\$0	\$0	
	REWORK INT & EXT ELECTRICAL	1460	1 Units	\$0	\$3,700	\$0	\$0	
	REPLACE KITCHEN CABINETS, ADD D/W	1460	1 Units	\$0	\$2,200	\$0	\$0	
	BATHS	1460	1 Units	\$0	\$2,306	\$0	\$0	
	ABATE LBP AND ASBESTOS	1460	1 Units	\$0	\$2,200	\$0	\$0	
	MINIBLINDS	1460	1 Units	\$0	\$380	\$0	\$0	
	CLOTHES WASHER / DRYER HOOK-UPS	1460	1 Units	\$0	\$200	\$0	\$0	
SUBTOTAL GA 3-7				\$0	\$41,340	\$0	\$0	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

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**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CFP GA06P003501 Rev#1

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
3. GA 3-4 ROCKSPRINGS HOMES	INSTALL MINIBLINDS	1460	12 Units	\$6,000	\$6,000	\$0	\$0	WORK ITEM TO MOVE BACK TO FY 2001
	INSTALL A/C	1460	12 Units	\$9,600	\$9,600	\$0	\$0	
	INSTALL CARPET							
SUBTOTAL GA 3-4				\$15,600	\$15,600	\$0	\$0	
4. GA 3-5 SCATTERED SITES	INSTALL FANS	1460	24 Units	\$12,000	\$12,000	\$0	\$0	PLANNING IN PROGRESS
SUBTOTAL GA 3-5				\$12,000	\$12,000	\$0	\$0	
SUBTOTAL 1460				\$854,819	\$896,159	\$0	\$0	
DWELLING EQUIP 1. GA 3-3 PARKVIEW EXTENSION	FURNISH REFRIGERATORS / RANGES	1465	19 Units	\$18,050	\$18,050	\$0	\$0	AWARD OF PHASE V PENDING COMPLETION OF PHASE IV
SUBTOTAL GA3-3				\$18,050	\$18,050	\$0	\$0	
SUBTOTAL 1465				\$18,050	\$18,050	\$0	\$0	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

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**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CFP GA06P003501 Rev#1

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
	ADMINISTRATIVE OFFICES							
STRUCTURES 1. HA-WIDE	FOUNDATIONS	1470	1 Bldg	\$5,031	\$15,093	\$0	\$0	DESIGN IN PROGRESS
	CONCRETE	1470	1 Bldg	\$16,050	\$48,151	\$0	\$0	
	MASONRY	1470	1 Bldg	\$28,301	\$84,903	\$0	\$0	
	STEEL STRUCTURE	1470	1 Bldg	\$50,313	\$150,938	\$0	\$0	
	CARPENTRY	1470	1 Bldg	\$24,905	\$74,715	\$0	\$0	
	DOORS AND HARDWARE	1470	1 Bldg	\$13,559	\$40,678	\$0	\$0	
	WINDOWS	1470	1 Bldg	\$5,031	\$15,093	\$0	\$0	
	ROOFING	1470	1 Bldg	\$9,056	\$27,169	\$0	\$0	
	SOFFIT AND FASCIA	1470	1 Bldg	\$3,321	\$9,962	\$0	\$0	
	FLOOR COVERING	1470	1 Bldg	\$7,379	\$22,137	\$0	\$0	
	PAINT	1470	1 Bldg	\$3,874	\$11,622	\$0	\$0	
	ACOUSTIC CEILINGS	1470	1 Bldg	\$7,547	\$22,640	\$0	\$0	
	DRYWALL	1470	1 Bldg	\$6,641	\$19,924	\$0	\$0	
	PLUMBING	1470	1 Bldg	\$8,855	\$26,565	\$0	\$0	
	HVAC	1470	1 Bldg	\$20,754	\$62,261	\$0	\$0	
	ELECTRICAL	1470	1 Bldg	\$24,352	\$73,055	\$0	\$0	
SUBTOTAL HA-WIDE	ELEVATOR	1470	1 Bldg	\$5,031	\$15,093	\$0	\$0	
SUBTOTAL		1470		\$240,000	\$720,000	\$0	\$0	
SUBTOTAL 1470				\$240,000	\$720,000	\$0	\$0	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report.

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CFP GA06P003501 Rev#1

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NON-DWELL EQUIP 1. HA-WIDE	FURNISHINGS FOR ADMINISTRATION OFFICES	1475	LS	\$0	\$0	\$0	\$0	AWARD OF PHASE V PENDING COMPLETION OF PHASE IV
	OFFICE EQUIPMENT FOR MOD PROGRAM	1475	LS	\$5,000	\$5,000	\$0	\$0	
		1475		\$0	\$0	\$0	\$0	
<u>SUBTOTAL 1475</u>				<u>\$5,000</u>	<u>\$5,000</u>	<u>\$0</u>	<u>\$0</u>	
RELOCATION 1. GA 3-3	RELOCATE RESIDENTS	1495.1	LS	\$9,500	\$9,500	\$0	\$0	
<u>SUBTOTAL 1495.1</u>				<u>\$9,500</u>	<u>\$9,500</u>	<u>\$0</u>	<u>\$0</u>	
ADMINISTRATION 1. HA-WIDE	SALARIES AND BENEFITS	1410	LS	\$160,000	\$180,000	\$0	\$0	
	SUNDRY			\$10,000	\$10,000	\$0	\$0	
<u>SUBTOTAL 1410</u>				<u>\$170,000</u>	<u>\$190,000</u>	<u>\$0</u>	<u>\$0</u>	
FEES AND COSTS 1. HA.WIDE	ARCHITECTS FEES	1430	LS	\$95,000	\$95,000	\$0	\$0	
	CLEARANCE TESTING FOR LBP ABATEMENT	1430	LS	\$5,000	\$5,000	\$0	\$0	
<u>SUBTOTAL 1430</u>				<u>\$100,000</u>	<u>\$100,000</u>	<u>\$0</u>	<u>\$0</u>	
CONTINGENCY 1. AHA WIDE				\$11,100	\$13,819	\$0	\$0	
<u>SUBTOTAL 1502</u>				<u>\$11,100</u>	<u>\$13,819</u>	<u>\$0</u>	<u>\$0</u>	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
x				x				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report.

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

HA Name: HOUSING AUTHORITY OF THE CITY OF ATHENS, GEORGIA			Grant Type and Number: CFP GA06P003501 Rev. #1			FFY of Grant Approval: 2000	
Development Number / Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
1. HA WIDE ADMIN OFFICE	12-31-01			6-30-03			DESIGN IN PROGRESS
2. GA 3-2 BROADACRES	12-31-01			6-30-03			WORK ITEM TO MOVE BACK TO FY 2002
3. GA 3-3 PARKVIEW EXTEN	12-31-01			6-30-03			DESIGN IN PROGRESS
3. GA 3-4 ROCKSPRINGS	12-31-01			6-30-03			WORK ITEM TO MOVE BACK TO FY 2001
4. GA 3-5 SCATTERED SITES	12-31-01			6-30-03			PLANNING IN PROGRESS
5. GA 3-7 JACK R WELLS	12-31-01			6-30-03			DESIGN IN PROGRESS
Signature of Executive Director & Date: X				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X			

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Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

HA Name: HOUSING AUTHORITY OF THE CITY OF ATHENS, GEORGIA	GA06P003707	FFY of Grant Approval: 1998
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number __3__ Performance and Evaluation Report for Program Year Ending 12-31-00
 Final Performance Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$0	\$0	\$0	\$0
3	1408 Management Improvements Soft Costs	\$0	\$0	\$0	\$0
	Management Improvements Hard Costs	\$0	\$0	\$0	\$0
4	1410 Administration	\$142,000	\$142,000	\$142,000	\$142,000
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$52,647	\$83,376	\$83,376	\$83,362
8	1440 Site Acquisition	\$40,000	\$147	\$147	\$147
9	1450 Site Improvement	\$116,711	\$110,960	\$110,960	\$108,735
10	1460 Dwelling Structures	\$895,047	\$1,141,586	\$1,141,586	\$1,091,910
11	1465.1 Dwelling Equipment--Nonexpendable	\$36,000	\$31,271	\$31,271	\$15,115
12	1470 Nondwelling Structures	\$195,169	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$10,000	\$33	\$33	\$33
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving To Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$12,610	\$12,610	\$12,610	\$11,682
18	1499 Development Activities	\$0	\$0	\$0	\$0
19	1502 Contingency	\$21,799	\$0	\$0	\$0
20	Amount of Annual Grant (Sum of lines 2-19)	\$1,521,983	\$1,521,983	\$1,521,983	\$1,452,984
21	Amount of line 20 Related to LBP Activities	\$40,200	\$52,200	\$52,200	\$52,200
22	Amount of line 20 Related to Section 504 Compliance	\$6,400	\$6,400	\$6,400	\$6,400
23	Amount of line 20 Related to Security - Soft Costs	\$0	\$0	\$0	\$0
24	Amount of line 20 Related to Security - Hard Costs	\$29,400	\$38,200	\$38,200	\$38,200
25	Amount of line 20 Related to Energy Conservation Measures	\$23,800	\$31,000	\$31,000	\$31,000
26	Collateralization Expenses or Debt Service	\$0	\$0	\$0	\$0

Signature of Executive Director & Date: X	Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X
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**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CGP707 Rev.#3

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
SITWORK								
1. HA WIDE								
ADMIN OFFICES	EXCAVATION AND FINE GRADING	1450	LS	\$5,000	\$0	\$0	\$0	Revised to CGP 708
	PAVE PARKING LOTS	1450	2000 SY	\$17,711	\$0	\$0	\$0	
	CONCRETE WALKS	1450	400 LF	\$2,000	\$0	\$0	\$0	
	FENCING	1450	400 LF	\$2,000	\$0	\$0	\$0	
SUBTOTAL HA WIDE				\$26,711	\$0	\$0	\$0	
2. GA 3-3								
PARKVIEW EXTENSION	REPLACE SANITARY AND STORM SEWER	1450	22 Units	\$28,800	\$40,320	\$40,320	\$40,320	Work in progress
	SIDEWALK REPAIRS	1450	22 Units	\$3,200	\$4,480	\$4,480	\$4,480	
	GRADING/ PARKING IMPROVEMENTS	1450	22 Units	\$16,000	\$22,400	\$22,400	\$22,400	
	REPLACE WATER DISTRIBUTION SYSTEM	1450	22 Units	\$11,200	\$15,680	\$15,680	\$15,680	
	U/G ELECTRIC SERVICE	1450	22 Units	\$4,800	\$6,720	\$6,720	\$6,720	
SUBTOTAL GA 3-3				\$64,000	\$89,600	\$89,600	\$89,600	
3. GA 3-4								
ROCKSPRINGS	SIDEWALK REPAIRS	1450	LS	\$5,000	\$5,000	\$5,000	\$4,479	Close-out in progress
	DUMPSTER PADS	1450	LS	\$1,000	\$1,000	\$1,000	\$896	
	REPLACE WATER DISTRIBUTION SYSTEM	1450	LS	\$12,000	\$12,000	\$12,000	\$10,750	
	LIGHTING	1450		\$8,000	\$3,360	\$3,360	\$3,010	
SUBTOTAL GA 3-4				\$26,000	\$21,360	\$21,360	\$19,135	
SUBTOTAL 1450				<u>\$116,711</u>	<u>\$110,960</u>	<u>\$110,960</u>	<u>\$108,735</u>	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

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Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

CGP707 Rev.#3

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
1. GA3-3 PARKVIEW EXTENSION	UPGRADE TO SECTION 504 STANDARDS	1460	2 Units	\$15,400	\$15,419	\$15,419	\$15,017	Work in progress
	REPLACE SPACE HEATERS WITH NEW HVAC	1460	24 Units	\$77,760	\$105,408	\$105,408	\$102,657	
	REPLACE INT / EXT DOORS AND HARDWARE	1460	24 Units	\$72,000	\$97,600	\$97,600	\$95,053	
	INSTALL S/R, INSUL.(EXT) AND PAINT WALLS	1460	24 Units	\$120,456	\$163,285	\$163,285	\$159,023	
	ATTICS - INSTALL SMOKEWALLS AND INSULATE	1460	24 Units	\$30,600	\$41,480	\$41,480	\$40,397	
	REPLACE SOFFITS & FASCIA	1460	24 Units	\$32,400	\$43,920	\$43,920	\$42,774	
	NEW WINDOWS / SECURITY SCREENS	1460	24 Units	\$37,800	\$51,240	\$51,240	\$49,903	
	REPLACE WATER PIPING AND HEATERS	1460	24 Units	\$45,000	\$61,000	\$61,000	\$59,408	
	REROOF AND VENT	1460	24 Units	\$27,000	\$36,600	\$36,600	\$35,645	
	NEW FRONT PORCHES	1460	24 Units	\$9,000	\$12,200	\$12,200	\$11,882	
	REPLACE FLOOR TILE WITH VCT AND CARPET	1460	24 Units	\$36,883	\$49,952	\$49,952	\$48,648	
	REWORK INT & EXT ELECTRICAL	1460	24 Units	\$66,600	\$90,280	\$90,280	\$87,924	
	REPLACE KITCHEN CABINETS, ADD D/W	1460	24 Units	\$39,600	\$53,680	\$53,680	\$52,279	
	BATHS	1460	24 Units	\$59,508	\$80,666	\$80,666	\$78,561	
	ABATE LBP AND ASBESTOS	1460	24 Units	\$39,600	\$53,680	\$53,680	\$52,279	
	MINIBLINDS	1460	24 Units	\$6,840	\$9,272	\$9,272	\$9,030	
	CLOTHES WASHER / DRYER HOOK-UPS	1460	24 Units	\$3,600	\$4,880	\$4,880	\$4,776	
SUBTOTAL GA 3-3				\$720,047	\$970,562	\$970,562	\$945,253	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

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**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

CGP707 Rev.#3

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
2. GA 3-2 BROADACRES HOMES	INSTALL MINIBLINDS	1460	12 Units	\$0	\$0	\$0	\$0	
	INSTALL A/C	1460	12 Units	\$0	\$0	\$0	\$0	
	INSTALL CARPET	1460	12 Units	\$0	\$0	\$0	\$0	
SUBTOTAL GA 3-2				\$0	\$0	\$0	\$0	
3. GA3-4 ROCKSPRINGS HOMES	REPLACE HVAC	1460	4 Units	\$16,147	\$15,780	\$15,780	\$13,531	Close-out in progress
	REPLACE INT. DOORS AND HARDWARE	1460	4 Units	\$17,941	\$17,533	\$17,533	\$15,035	
	INSTALL S/R, INSUL.(EXT), PAINT WALLS	1460	4 Units	\$30,015	\$29,333	\$29,333	\$25,153	
	ATTICS - SMOKEWALLS / INSULATION	1460	4 Units	\$7,625	\$7,452	\$7,452	\$6,390	
	REPAIR / PAINT SOFFITS & FASCIA	1460	4 Units	\$21,606	\$21,115	\$21,115	\$18,106	
	REPLACE WINDOWS	1460	4 Units	\$9,419	\$9,205	\$9,205	\$7,893	
	REPLACE WATER HEATERS	1460	4 Units	\$11,213	\$10,958	\$10,958	\$9,397	
	REROOF	1460	4 Units	\$8,970	\$8,767	\$8,767	\$7,517	
	REPLACE FLOOR TILE	1460	4 Units	\$6,737	\$6,584	\$6,584	\$5,646	
	REWORK INT & EXT. ELECTRICAL	1460	4 Units	\$14,801	\$14,465	\$14,465	\$12,404	
	REPLACE KITCHEN CABINETS	1460	4 Units	\$7,625	\$7,452	\$7,452	\$6,390	
	BATHS	1460	4 Units	\$13,034	\$12,738	\$12,738	\$10,926	
	ABATE LBP AND ASBESTOS	1460	4 Units	\$9,869	\$9,644	\$9,644	\$8,271	
	INSTALL MINIBLINDS	1460	12 Units	\$0	\$0	\$0	\$0	
	INSTALL A/C	1460	12 Units	\$0	\$0	\$0	\$0	
	INSTALL CARPET	1460	12 Units	\$0	\$0	\$0	\$0	
SUBTOTAL GA 3-4				\$175,000	\$171,024	\$171,024	\$146,657	
SUBTOTAL 1460				\$895,047	\$1,141,586	\$1,141,586	\$1,091,910	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

CGP707 Rev.#3

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
NONDWELLING STRUCTURES 1. HA-WIDE	ADMINISTRATIVE OFFICES	1470	1 Bldg	\$3,883	\$0	\$0	\$0	Revised to CGP 708
	FOUNDATIONS	1470	1 Bldg	\$12,387	\$0	\$0	\$0	
	CONCRETE	1470	1 Bldg	\$21,842	\$0	\$0	\$0	
	MASONRY	1470	1 Bldg	\$38,830	\$0	\$0	\$0	
	STEEL STRUCTURE	1470	1 Bldg	\$19,221	\$0	\$0	\$0	
	CARPENTRY	1470	1 Bldg	\$10,465	\$0	\$0	\$0	
	DOORS AND HARDWARE	1470	1 Bldg	\$3,883	\$0	\$0	\$0	
	WINDOWS	1470	1 Bldg	\$6,989	\$0	\$0	\$0	
	ROOFING	1470	1 Bldg	\$2,563	\$0	\$0	\$0	
	SOFFIT AND FASCIA	1470	1 Bldg	\$5,695	\$0	\$0	\$0	
	FLOOR COVERING	1470	1 Bldg	\$2,990	\$0	\$0	\$0	
	PAINT	1470	1 Bldg	\$5,824	\$0	\$0	\$0	
	ACOUSTIC CEILINGS	1470	1 Bldg	\$5,125	\$0	\$0	\$0	
	DRYWALL	1470	1 Bldg	\$6,834	\$0	\$0	\$0	
	PLUMBING	1470	1 Bldg	\$16,017	\$0	\$0	\$0	
	HVAC	1470	1 Bldg	\$18,794	\$0	\$0	\$0	
	ELECTRICAL	1470	1 Bldg	\$3,883	\$0	\$0	\$0	
ELEVATOR	1470	1 Bldg						
HA-WIDE SUBTOTAL		1470		\$185,224	\$0	\$0	\$0	
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
X				X				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report.

Page _5_ of _8_

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
2. GA 3-4 ROCKSPRINGS	COMMUNITY BUILDING INSULATION	1470	1 Bldg	\$3,945	\$0	\$0	\$0	
	ELECTRICAL	1470	1 Bldg	\$2,000	\$0	\$0	\$0	
	PAINT, S/R	1470	1 Bldg	\$4,000	\$0	\$0	\$0	
SUBTOTAL GA3-4				\$9,945	\$0	\$0	\$0	
SUBTOTAL 1470				\$195,169	\$0	\$0	\$0	
DWELLING EQUIP 1. GA 3-3 PARKVIEW EXTENSION	FURNISH REFRIGERATORS / RANGES	1465	32 Units	\$30,300	\$30,205	\$30,205	\$14,069	Work in progress
SUBTOTAL GA3-3				\$30,300	\$30,205	\$30,205	\$14,049	
2. GA 3-4 ROCKSPRINGS HOMES	FURNISH REFRIGERATORS / RANGES	1465	6 Units	\$5,700	\$1,066	\$1,066	\$1,066	Close-out in progress
SUBTOTAL GA3-4				\$5,700	\$1,066	\$1,066	\$1,066	
SUBTOTAL 1465				\$36,000	\$31,271	\$31,271	\$15,115	
1. HA-WIDE	FURNISHINGS FOR ADMINISTRATION OFFICES	1475	LS	\$5,000	\$0	\$0	\$0	
	OFFICE EQUIPMENT FOR MOD PROGRAM	1475	LS	\$5,000	\$33	\$33	\$33	
		1475		\$0	\$0	\$0	\$0	
SUBTOTAL 1475				\$10,000	\$33	\$33	\$33	

Signature of Executive Director & Date:
X

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
X

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Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

CGP707 Rev.#3

Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
RELOCATION 1. GA 3-3	RELOCATE RESIDENTS	1495.1	18 Units	\$10,600	\$10,600	\$10,600	\$10,342	Work in progress
2. ADMIN OFFICES	RELOCATE RESIDENTS	1495.1	2 Units	\$2,010	\$2,010	\$2,010	\$1,340	Work in progress
<u>SUBTOTAL 1495.1</u>				<u>\$12,610</u>	<u>\$12,610</u>	<u>\$12,610</u>	<u>\$11,682</u>	
ADMINISTRATION 1. HA-WIDE	SALARIES AND BENEFITS	1410	LS	\$142,000	\$142,000	\$142,000	\$142,000	
<u>SUBTOTAL 1410</u>				<u>\$142,000</u>	<u>\$142,000</u>	<u>\$142,000</u>	<u>\$142,000</u>	
FEES AND COSTS 1. HA.WIDE	ARCHITECTS FEES: ADMIN OFFICE & GA 3-3	1430	LS	\$48,897	\$79,241	\$79,241	\$79,227	Work complete
	CLEARANCE TESTING FOR LBP ABATEMENT	1430	LS	\$3,750	\$4,135	\$4,135	\$4,135	Work complete
<u>SUBTOTAL 1430</u>				<u>\$52,647</u>	<u>\$83,376</u>	<u>\$83,376</u>	<u>\$83,362</u>	
ACQUISITION 1. H.A. WIDE	AQUIRE PROPERTY FOR NEW ADMIN OFFICES	1440	1 LOTS	\$40,000	\$147	\$147	\$147	Work complete
<u>SUBTOTAL HA WIDE</u>				<u>\$40,000</u>	<u>\$147</u>	<u>\$147</u>	<u>\$147</u>	
<u>SUBTOTAL 1440</u>				<u>\$40,000</u>	<u>\$147</u>	<u>\$147</u>	<u>\$147</u>	
Signature of Executive Director & Date: X				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

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(2) To be completed for the Performance and Evaluation Report.

**Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

HA Name: HYovINT AoOHYUIOr YG OHS IIOr YG AOHSNvR TSYUTIA			TAEC,EEP0E0 Ueb6 3P			GGr nt Tyapf Auuybaf: 511.	
#ebeFnumep N2mDey / Name HAWcide AMfibifie:	AFF G2pds YDFigafed (Q2ayfey Spdipg #:			AFF G2pds Sxuepded (Q2ayfey Spdipg #af			Ueasnp tny Uebised Oaygef #afes (-)
	Yyigipal	Uebised (5)	AMf2aF (-)	Yyigipal	Uebised (5)	AMf2aF (-)	
56 HA cl#S A#BIN YGGIIS	N/A		N/A	N/A		N/A	cYUK IOSB USVlvS# YoO YG Xo#TSO
-6 TA PW XUYA#AIUSv	N/A		N/A	N/A		N/A	cYUK IOSB USVlvS# YoO YG Xo#TSO
P6 TA PV ,AUKVISc S4OSN	1WPEV		1WPEV	1WPEV			cYUK IN ,UYTUSv
P6 TA PV UYIKv,UIINTv	1WPEV		1WPEV	1WPEV			cYUK IYB,7SOSR I7YvSWYoO IN ,UYTUSv
vigpaf2ye nt SxeM2fibe #iyeMfny J #afe			vigpaf2ye nt ,2DFiM Hn2sipg #iyeMfny/YttiMe nt Nafibe AmeyiMap ,yngyams Admipisfyafny J #:				
4			4				

(5) On De Mnmufefed tny f&e ,eytnymapMe apd SbaF2afinp Ueunyf ny a Uebised App2aF vfafe

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