

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

Small PHA Plan Update  
Annual Plan for Fiscal Year 2001

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**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**



**PHA Plan  
Agency Identification**

**PHA Name:** Housing Authority of the City of Upland, CA

**PHA Number:** CA998

**PHA Fiscal Year Beginning: (04/2001)**

**PHA Plan Contact Information:**

Name: Xenia (Sammie) Szabo - Executive Director

Phone: 909.982.7767

TDD:

Email (if available): Sammie@uplandhousing.com

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting:  
(select all that apply)**

Main administrative office of the PHA

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

Main administrative office of the PHA

PHA development management offices

Main administrative office of the local, county or State government

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA

PHA development management offices

Main administrative office of the local government

Local library

**PHA Programs Administered:**

Public Housing and Section 8



**Annual PHA Plan  
Fiscal Year 2001**  
[24 CFR Part 903.7]

**i. Table of Contents**

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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**Annual Plan Table of Contents**

Annual Plan Information

Table of Contents

Description of Policy and Program Changes for the Upcoming Fiscal Year

Capital Improvement Needs

Crime and Safety: PHDEP Plan

Other Information:

Resident Advisory Board Consultation Process

Statement of Consistency with Consolidated Plan

Criteria for Substantial Deviations and Significant Amendments

**Attachments**

Attachment A : Supporting Documents Available for Review

Attachment B: Capital Fund Program Annual Statement

Attachment C: Capital Fund Program 5 Year Action Plan

Housing Factor Annual Statement

Attachment D Public Housing Drug Elimination Program (PHDEP) Plan

Attachment E: Resident Membership on PHA Board or Governing Body

Attachment F: Membership of Resident Advisory Board or Boards

Attachment G: Comments of Resident Advisory Board or Boards & Explanation of PHA Response (must be attached if not included in PHA Plan text)

**ii. Executive Summary**

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

**1. Summary of Policy or Program Changes for the Upcoming Year**

N/A

## 2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. X Yes Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 210,523.00

C. X Yes Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

### D. Capital Fund Program Grant Submissions

#### (1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment - No change form plan submitted with 2000 Agency Plan & Annual Plan

#### (2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment

## 3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. X No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component ; if "yes", complete one activity description for each development.)

### 2. Activity Description

<b>Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)</b>
1a. Development name: Not Applicable 1b. Development (project) number:
2. Activity type: Demolition Disposition
3. Application status (select one) Approved Submitted, pending approval Planned application

4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) Part of the development Total development
7. Relocation resources (select all that apply) Section 8 for 0 units Public housing for 0 units Preference for admission to other public housing or section 8 Other housing for 0 units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

**4. Voucher Homeownership Program**

[24 CFR Part 903.7 9 (k)]

A. X- No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

**B. Capacity of the PHA to Administer a Section 8 Homeownership Program**

The PHA has demonstrated its capacity to administer the program by (select all that apply):

Establishing a minimum homeowner down payment requirement of at least 3 percent and requiring that at least 1 percent of the down payment comes from the family’s resources

Requiring that financing for purchase of a home under its section 8 Homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards

Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

**5. Safety and Crime Prevention: PHDEP Plan**

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

X Yes : Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA PlanB. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$25,000.00

C. X Yes Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

D. X Yes The PHDEP Plan is attached at Attachment D

**6. Other Information**

[24 CFR Part 903.7 9 (r)]

**Resident Advisory Board (RAB) Recommendations and PHA Response**

1. X No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are Attached at Attachment (File name)

3. In what manner did the PHA address those comments? (select all that apply)

The PHA changed portions of the PHA Plan in response to comments

A list of these changes is included

Yes No: below or

Yes No: at the end of the RAB Comments in Attachment \_\_\_\_.

Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment \_\_\_\_.

Other: (list below)

**B. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Upland, California

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

X The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

X The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

X The PHA has consulted with the Consolidated Plan agency during the

development of this PHA Plan.

PHA Requests for support from the Consolidated Plan Agency

**No:** Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

### **C. Criteria for Substantial Deviation and Significant Amendments**

Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

#### **A. Substantial Deviation from the 5-year Plan:**

**None**

#### **B. Significant Amendment or Modification to the Annual Plan:**

None



**Attachment A**  
**Supporting Documents Available for Review**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

**List of Supporting Documents Available for Review**

Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Any policy governing occupancy of Police Officers in Public Housing check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan:

Applicable & On Display	Supporting Document	Related Plan Component
		Eligibility, Selection, and Admissions Policies
	Public housing rent determination policies, including the method for setting public housing flat rents check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required	Annual Plan: Capital

Applicable & On Display	Supporting Document	Related Plan Component
	by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing Homeownership programs/plans	Annual Plan: Homeownership
N/Z	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
	PHDEP-related documentation: <ul style="list-style-type: none"> <li>• Baseline law enforcement services for public housing developments assisted under the PHDEP plan;</li> <li>• Coordination with other law enforcement efforts;</li> <li>• Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and</li> <li>• All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan.</li> </ul>	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) X check here if included in the public housing A & O Policy	Pet Policy

Applicable & On Display	Supporting Document	Related Plan Component
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

Small PHA Plan Update Page

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Table Library

<b>Annual Statement/Performance and Evaluation Report</b>					
PHA Name: Housing Authority of the City of Upland			Grant Type and Number CIAP – CA16P00991099		Federal FY of Grant: 1999
Original Annual Statement Performance and Evaluation Report for Period Ending:			Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: ) Final Performance and Evaluation Report		
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00		
2	1406 Operations	18,703.00	N/A	18,703.00	10,668.03
3	1408 Management Improvements	14,137.00	N/A	14,137.00	0
4	1410 Administration	6,297.00	N/A	6,297.00	6,297.00
5	1411 Audit	0.00	0.00	0	0
6	1415 liquidated Damages	0.00	0.00	0	0
7	1430 Fees and Costs	0.00	0.00	0	0
8	1440 Site Acquisition	0.00	0.00	0	0
9	1450 Site Improvement	0.00	0.00	0	0
10	1460 Dwelling Structures	148,100.00	N/A	22,159.90	159.90
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0	0
12	1470 Nondwelling Structures	0.00	0.00	0	0
13	1475 Nondwelling Equipment	0.00	0.00	0	0
14	1485 Demolition	0.00	0.00	0	0
15	1490 Replacement Reserve	0.00	0.00	0	0
16	1492 Moving to Work Demonstration	0.00	0.00	0	0
17	1495.1 Relocation Costs	0.00	0.00	0	0
18	1498 Mod Used for Development	0.00	0.00	0	0
19	1502 Contingency	0.00	0.00	0	0

20	Amount of Annual Grant: (sum of lines 2-19)	187,237.00	N/A	61,296.90	17,124.99
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0	0
22	Amount of line 20 Related to Section 504 Compliance	0.00	0.00	0	0
23	Amount of line 20 Related to Security	0.00	0.00	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the city of Upland	<b>Grant Type and Number - CFP</b> Capital Fund Program #: CA16P0091099 Capital Fund Program Replacement Housing Factor #: N/A	<b>Federal FY of Grant:</b> 1999
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status Propos Work
				Original	Revised	Funds Obligated	Funds Expended	
CA 9-2	Replacement of 97 Kitchen Counters	1460	100	48,500.00	N/A	0	0	Will Star 1-01
CA 9-2	Replacement of Kitchen Sinks & Faucets	1460	100	19,400.00	N/A	0	0	Will star 1-1-0
CA 9-2	Replace/Refinish Kitchen cabinets, Hardware, Etc.	1460	100	58,2000.00	N/A	0	0	Will star 1-01
CA 9-2	Replacement of VCT Floor Tiles	1460	12	22,000.00	N/A	22,000.00	0	Contra execute work sta 1-01
CA 9-2	Administrative Costs related to CIAP	1410		6,297.00	N/A	6,297.00	6,297.00	
CA 9-2	Operattions	1406		18,703.00	N/A	18,703.00	10,668.03	
CA 9-2	Management Improvements Resident Services	1408		14,137.00	N/A	14,137.00	3,500.00	Will star 1-01
								Will star 1-01

Name/HA-Wide Activities				Original	Revised	Funds Obligated	Funds Expended	Work
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Table Library

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>PHA Name: Housing Authority of the City of Upland</b>			<b>Grant Type and Number</b> Capital Fund Program:		<b>Federal FY of Grant:</b> 2000
<b>Original Annual Statement</b>			<b>Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: )</b>		
<b>Performance and Evaluation Report for Period Ending:</b>			<b>Final Performance and Evaluation Report</b>		
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00		
2	1406 Operations	16,000.00	16,000.00	0	0
3	1408 Management Improvements	25,128.00	25,128.00	0	0
4	1410 Administration	10,000.00	10,000.00	10,000.00	2,710.17
5	1411 Audit	0.00	0.00	0	0
6	1415 liquidated Damages	0.00	0.00	0	0
7	1430 Fees and Costs	0.00	0.00	0	0
8	1440 Site Acquisition	0.00	0.00	0	0
9	1450 Site Improvement	0.00	0.00	0	0
10	1460 Dwelling Structures	153,350.00	153,350.00	30,000.00	0
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0	0
12	1470 Nondwelling Structures	0.00	0.00	0	0
13	1475 Nondwelling Equipment	0.00	0.00	0	0
14	1485 Demolition	0.00	0.00	0	0
15	1490 Replacement Reserve	0.00	0.00	0	0
16	1492 Moving to Work Demonstration	0.00	0.00	0	0
17	1495.1 Relocation Costs	0.00	0.00	0	0
18	1498 Mod Used for Development	0.00	0.00	0	0
19	1502 Contingency	0.00	0.00	0	0
20	Amount of Annual Grant: (sum of lines 2-19)	206,478.00	206,478.00	40,000.00	2,710.17
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0	0

22	Amount of line 20 Related to Section 504 Compliance	0.00	0.00	0	0
23	Amount of line 20 Related to Security	0.00	0.00	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the city of Upland	<b>Grant Type and Number - CFP</b> Capital Fund Program #: CA16P00950100 Capital Fund Program Replacement Housing Factor #: N/A	<b>Federal FY of Grant:</b> 2000
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Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status Propos Work
				Original	Revised	Funds Obligated	Funds Expended	
CA 9-2	Replacement of Water Heater Closet Doors	1460	125	25,000.00	25,000.00	0	0	Will star 1-01
CA 9-2	Repaint & Refurbish Security Bars	1460	100	14,550.00	14,550.00	0	0	Will star 1-1-0
CA 9-2	Repair Water Coolers	1460	98	7,350.00	7,350.00	0	0	Will star 1-01
CA 9-2	Replacement of VCT Floor Tiles	1460	20	30,000.00	30,000.00	30,000.00	0	Contra execute work sta 1-01
CA 9-2	Replace Marlite Walls with Tile	1460	15	15,000.00	15,000.00	0	0	Will star 1-01
CA 9-2	Replace Bathroom Counters	1460	98	19,600.00	19,600.00	0	0	Will star 1-01
CA 9-2	Replace Bathroom Sinks & Faucets	1460	98	19,600.00	19,600.00	0	0	Will star 1-01
CA 9-2	Replace Tub Faucets/hardware	1460	98	24,250.00	24,250.00	0	0	Will star 1-01
CA 9-2	Operating Costs	1460	1	16,000.00	16,000.00	0	0	

Name/HA-Wide Activities				Original	Revised	Funds Obligated	Funds Expended	Work
CA 9-2	Management Improvements/Resident Services	1408	1	25,128.00	25,128.00	0	0	PHA us balance 99 CIA funds fi
CA 9-2	Administration	1410	1	10,000.00	10,000.00	10,000.00	2,710.17	



Table Library

<b>Annual Statement/Performance and Evaluation Report</b>					
PHA Name: Housing Authority of the City of Upland			Grant Type and Number Capital Fund Program: CA16P00950101		Federal FY of Grant: 2001
Original Annual Statement Performance and Evaluation Report for Period Ending:			Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: ) Final Performance and Evaluation Report		
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00		
2	1406 Operations (Resident Initiatives)	20,000.00	0,00	0	0
3	1408 Management Improvements	10,000.00	0.00	0	0
4	1410 Administration	10,000.00	0.00	0	0
5	1411 Audit	0.00	0.00	0	0
6	1415 liquidated Damages	0.00	0.00	0	0
7	1430 Fees and Costs	0.00	0.00	0	0
8	1440 Site Acquisition	0.00	0.00	0	0
9	1450 Site Improvement	0.00	0.00	0	0
10	1460 Dwelling Structures	173,523.00	0.00	0	0
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0	0
12	1470 Nondwelling Structures	0.00	0.00	0	0
13	1475 Nondwelling Equipment	0.00	0.00	0	0
14	1485 Demolition	0.00	0.00	0	0
15	1490 Replacement Reserve	0.00	0.00	0	0
16	1492 Moving to Work Demonstration	0.00	0.00	0	0
17	1495.1 Relocation Costs	0.00	0.00	0	0
18	1498 Mod Used for Development	0.00	0.00	0	0
19	1502 Contingency	0.00	0.00	0	0
20	Amount of Annual Grant: (sum of lines 2-19)	210,523.00	210,523.00	0	0
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0	0

22	Amount of line 20 Related to Section 504 Compliance	0.00	0.00	0	0
23	Amount of line 20 Related to Security	0.00	0.00	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	0	0

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority of the city of Upland		<b>Grant Type and Number - CFP</b> Capital Fund Program #: CA16P00950101 Capital Fund Program Replacement Housing Factor #: N/A				<b>Federal FY of Grant:</b> 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status Propos Work
				Original	Revised	Funds Obligated	Funds Expended	
CA 9-2	Continue Replacement of VCT tile	1460	20	30,000.00	N/A	0	0	Contra awarde contin work
CA 9-2	Repair exterior stucco – all dwelling units, and repaint exterior of all buildings – 54 bldgs @ \$2,500 ea.	1460	56	140,523.00	N/A	0	0	
CA 9-2	Resident Initiatives Program	1408		20,000.00	N/A	0	0	
CA 9-2	Management Improvements/Admin	1408		10,000.00	N/A	N/A	0	
CA 9-2	Administrative Costs	1410		10,000.00	N/A	N/A	0	

Name/HA-Wide Activities				Original	Revised	Funds Obligated	Funds Expended	Work







## Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
Original statement	Revised statement	
Development Number	Development Name (or indicate PHA wide)	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
YEAR 3 - FFY 2001		
1 -Continue the replacement of existing 30 year old vct with new VCT - 20 units @ \$1,500.00 per unit	\$ 30,000.00	2002
2 - Repair deteriorating stucco & repaint all building exteriors - 1 office, 1 community center, 1 maintenance shop and 54 dwelling buildings	\$132,000.00	2002
3. Resident Initiatives	\$ 20,000.00	2002
3 - Management Cost	\$ 20,000.00	
Total Year 3	\$202,000.00	
YEAR 4 - FFY 2002		
1 - Replace 33 stove hoods - \$100.00 ea.		2003
2 - Replace 33 stoves @ 500.00 ea.		
3 - Replace 33 Refrigerators @ \$600.00 ea.	\$ 3,300.00	
4 - Replace 33 water heaters @ \$250.00 ea.	\$16,500.00	
5 - Replace 33 wall heaters @ \$500.00 ea.	\$19,800.00	
6 - Replace 33 toilets @ \$125.00 ea.	\$ 8,250.00	
7 - Replace vct tile in 27 units @ \$1,500 ea.	\$16,500.00	
8 - Seal coat project streets -40%	\$ 4,125.00	

9 - Resident Initiatives		\$40,500.00	
9 - Management Costs		\$51,025.00	
		\$20,000.00	
Total Year 3	\$200,000.00	\$20,000.00	

YEAR 4 - FFY 2004			2004
1 - Replace 33 stove hoods - \$100.00 ea.		\$ 3,300.00	
2 - Replace 33 stoves @ 500.00 ea.		\$16,500.00	
3 - Replace 33 Refrigerators @ \$600.00 ea.		\$19,800.00	
4 - Replace 33 water heaters @ \$250.00 ea.		\$ 8,250.00	
5 - Replace 33 wall heaters @ \$500.00 ea.		\$16,500.00	
6 - Replace 33 toilets @ \$125.00 ea.		\$ 4,125.00	
7 - Seal coat project streets - 40% of complex		\$51,025.00	
8 - Site Improvements - Trees, Lawns - Shrub Replacement		\$30,500.00	
8 - Resident Initiatives		\$25,000.00	
9 - Management Costs		\$25,000.00	
Total Year	\$200,000.00		

## PHA Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

**Section 1: General Information/History**

- A. Amount of PHDEP Grant \$ 25,000.00
- B. Eligibility type (Indicate with an "x") N1  N2 \_\_\_\_\_ R \_\_\_\_\_
- C. FFY in which funding is requested \_ - 2001
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The UHA's goals outlined in the 2000 Agency Plan have been started. The UHA in 2001 will continue as follows: The UHA has entered into a MOU with the City of Upland Police Department to increase the services provided to the public housing residents and administration, including: Criminal History provided by PD to UHA on all adult perspective residents.

We have started to increase resident training on drug and gang prevention programs for residents and youth residents of the complex. Community Oriented police programs are being implemented in the public housing program. Representatives from the Upland Police Dept. coordinate these services though the UHA's public housing manager and the UHA's residents initiatives coordinator.

**E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

(Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Los Olivos Public Housing, Cal 9-2	97	324

**F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months). 12 Months 18 Months 24 Months X

**G. PHDEP Program History**

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of PHDEP Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 1997						
FY 1998						
FY 1999						
FY 2000 X	\$25,000.00	CA16DEP0090100	25,000.00	N/A	10/01/2000	10/01/2002

**Section 2: PHDEP Plan Goals and Budget**

**A. PHDEP Plan Summary**

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and the PHDEP system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences. The PHA plans to continue the PHDEP program to expand the involvement of the Upland Police Department and its officers in services and training targeted to the population of the public housing program. The services included educating parents and children in the drug and gang prevention, and identification of gang/drug activities amongst youth. The UHA does not have a serious problem related to drug activity amongst its residents. The programs carried out by the UHA in cooperation with the police department are targeted at prevention, not eradication. UHA Staff and PD Staff meet on a regular basis to evaluate the success of the program. Statistical crime reports maintained by the UPD are used to evaluate current crime statistics and historical data, to determine whether the efforts of the PHDEP program are successful. The UHA maintains

records of all tenant files screened via fingerprint clearance (criminal history) provided by the UPD and analyzes the data to determine whether the information is helpful in screening out potential criminals who would otherwise have become PHA residents.

**B. PHDEP Budget Summary**

Enter the total amount of PHDEP funding allocated to each line item.

Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	20,000.00
9115 - Special Initiative	0
9116 - Gun Buyback TA Match	0
9120 - Security Personnel	0
9130 - Employment of Investigators	0
9140 - Voluntary Tenant Patrol	0
9150 - Physical Improvements	0
9160 - Drug Prevention	3,000.00
9170 - Drug Intervention	0
9180 - Drug Treatment	0
9190 - Other Program Costs	2,000.00
<b>TOTAL PHDEP FUNDING</b>	<b>25,000.00</b>

**PHDEP Plan Goals and Activities**

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise - not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement		Total PHDEP Funding: \$20,000.00
Goal(s)	Criminal History Background Checks for adult perspective residents of public housing - Education and training programs to increase individual knowledge of the dangers of drug and criminal activity to discourage involvement in these activities by residents of public housing.	

Objectives	Maintain the UHA's public housing complex low rate of criminal and drug related activity by public housing residents. Screen out applicants that have a history or drug related or violent criminal activity to prevent future related problems.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.Criminal History Background Checks	50	Applicants	04-2001	03-2002	8,000.00	N/A	
2.Drug and crime prevention Training & Education	324	P/H Resident	04-2001	03-2002	12,000.00	N/A	
3.							

<b>9115 - Special Initiative</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

<b>9116 - Gun Buyback TA Match</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9120 - Security Personnel</b>	<b>Total PHDEP Funding: \$</b>
Goal(s)	
Objectives	

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9130 – Employment of Investigators</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9140 – Voluntary Tenant Patrol</b>					<b>Total PHDEP Funding: \$</b>		
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Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9150 - Physical Improvements</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9160 - Drug Prevention</b>					<b>Total PHDEP Funding: \$</b>		
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Goal(s) -		Education programs for residents on drug prevention programs					
Objectives		Maintain low-level or drug related activity - assist residents in staying free of drug related activities					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Hire consultants and speakers to provide a minimum of 4 sessions per year aimed at drug prevention	324	Public Housing Residents	4-2001	3-2001	3,000.00	0	

and education							
2.							
3.							

<b>9170 - Drug Intervention</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

<b>9180 - Drug Treatment</b>					<b>Total PHDEP Funding: \$</b>		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators

1.							
2.							
3.							

<b>9190 - Other Program Costs</b>					<b>Total PHDEP Funds: \$</b>		
Goal(s)		Pay for administrative costs related to the administration and coordination of the program					
Objectives		Maintain PHA operating costs at a reasonable level.					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Administrative costs	324	P/H Residents	3-2001	4-2002	2,000.00	N/A	
2.							
3.							



## Required Attachment E: Resident Member on the PHA Governing Board

1. Yes Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

Name of resident member(s) on the governing board:

Anita Branum - Public Housing Resident

Jane Mineo - Section 8 tenant based participant

How was the resident board member selected: (select one)?

Elected

Appointed

C. The term of appointment is (include the date term expires):

Anita Branum - 4 year term to expire 9-30-2002

Jane Mineo - 4 year term to expire 9-30-2003

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

Other (explain):

B. Date of next term expiration of a governing board member:

Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

John Pomierski - Mayor of the City of Upland

**Required Attachment G: Membership of the Resident Advisory Board or Boards**

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Anita L. Branum - Public Housing Resident  
Jane Mineo - Section 8 Participant  
Jeanette Woolums - Public Housing Resident  
Valentina Hall - Section 8 Participant  
Sharon Sparks - Public Housing Resident  
Hao Hoang - Public Housing Resident