

Oakland Housing Authority

PHA Plans

5 Year Plan for Fiscal Years 2000-2004 Progress Statement
Annual Plan for Fiscal Year 2001

**PHA Plan
Agency Identification**

PHA Name: Oakland Housing Authority

PHA Number: CA003

PHA Fiscal Year Beginning: 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is:

The Mission of the Oakland Housing Authority is to assure the availability of quality housing for low-income persons, to promote the civic involvement and economic self-sufficiency of residents, and to further the expansion of affordable housing within Oakland.

Progress:

During the past fiscal year, the Oakland Housing Authority has continued to pursue our mission as evidenced by the progress stated below toward achieving the seven goals stated in the 5-year plan. During the first year of the 5-year plan, the Oakland Housing Authority has focused on maintaining quality housing and assisting residents improve their quality of life. Additionally, the Authority has begun the strategic planning process and accomplished specific milestones in order to achieve the seven goals by the end of the 5-year term.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:

- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
- Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

1. QUALITY HOUSING

The Oakland Housing Authority will strive to achieve a high level of quality in the physical environment of its properties through:

- Effective and efficient maintenance and repair programs and services.
- Application of effective security strategies.
- Involvement of residents in maintenance, management and security strategies.
- The application of sound design solutions to modernization/rehabilitation and new development efforts.

Progress:

- *Created a new Facilities Management Department combining two previous departments (Maintenance and Modernization).*

- *Started community building training and site teams to take an inter-departmental, inter-disciplinary approach to problems at public housing sites. Using the community building approach, staff teams will work directly with residents to develop resident-driven strategies for problem solving.*
- *On January 1, 2001, the Police Department implemented its area-based officer deployment plan. The new deployment plan vests police officers and supervisors with specific responsibility for Authority sites in their assigned areas. In the past, officers responded to incidents occurring during their respective shift and valuable problem-solving information was often not passed on to the officers working other shifts. The new configuration gives the officers and supervisors “ownership” of their areas and better equips them to solve problems, rather than simply handle incidents.*
- *The resident patrol at the senior sites is being revived/renewed. At the Oak Grove sites, approval has been given to purchase an enhanced video security system, enabling the senior volunteers to better monitor the activities on and around their sites. The Harrison Street building is under review to redesign the lobby/entrance configuration to provide an environment conducive to resident patrol/monitoring functions.*
- *Energy improvements such as new lighting is being installed in resident’s kitchens and bathrooms.*
- *The Authority has involved resident’s ideas and selected an experienced developer that procured expert architectural firms and contractors to redesign and rebuild Chestnut Court and 1114 14th Street, a HOPE VI project.*
- *Approximately 500 residents were given the opportunity to provide their written comments or suggestions through the Work Order Review Process. Their input was extremely valuable and used to enhance the work order process.*
- *All occupied units were inspected through the Housing Quality Standards Program at 520 buildings. 14,000 work orders were completed and 29 building exteriors were painted.*
- *Three properties, comprising 254 public housing units, were completely modernized and reoccupied.*

2. RESIDENTS

The Oakland Housing Authority will direct its efforts to assist residents to improve their quality of life through support of:

- Civic involvement of residents in their community (developments, neighborhoods, city, state, nation, and world).
- Attainment of economic self-sufficiency and sustainability.
- Access to and utilization of, resources of technology, training and education.

- Access to resources for, and the development of skills in, conflict resolution.

Progress:

- *The Resident Advisory Board was created to assist the Authority with developing 5-year goals and provide input into management practices.*
- *Residents participated in conflict resolution training.*
- *The Lockwood /Coliseum Computer Center was implemented in collaboration with Power Up and the Bay Area Urban League with technical assistance provided by OCCUR.*
- *Seven Authority residents attended the Resident Leader Conference provided by the U.S. Department of Housing and Urban Development.*
- *16 residents have graduated from the Section 8 Family Self-Sufficiency Program and 66% of current participants have escrow accounts.,*

3. AFFORDABLE HOUSING

The Oakland Housing Authority will add to the supply of quality, affordable housing through efforts including:

- Utilizing the HOPE VI program to replace public housing units and to increase the supply of affordable housing units.
- Undertaking an active role in affordable housing development both directly and through its affiliated non-profit.
- Working cooperatively with other organizations supporting the development of affordable housing in Oakland.

Progress:

- *The Chestnut Court HOPE VI, when realized, will increase the number of affordable units from 83 to 155. The Authority has selected talented developers including Bridge Housing Corporation and Em Johnson Interest, a local minority contractor.*
- *The Oakland Housing Authority was awarded a 2000 HOPE VI grant for Coliseum Gardens which will provide 148 units of off-site scattered site public housing, 30 units on-site and 105 homeownership opportunities for low-income qualified families.*
- *The Oakland Housing Authority received 550 new Section 8 vouchers in response to our Fair Share application.*
- *49 new Moderate Rehabilitation units and 35 new project-based units were placed at the Oaks Hotel in the City of Oakland.*

4. IMPACT OF THE OAKLAND HOUSING AUTHORITY ON THE ECONOMY OF OAKLAND

The Oakland Housing Authority will positively impact the economy of Oakland through:

- Achieving maximum utilization of the Section 8 Program including adopting strategies for the utilization of the program to increase the supply of affordable housing units.
- Adopting procurement policies and practices that support, to the fullest extent permitted by law, local, minority-owned and women-owned businesses.
- Undertaking strategies to assist residents to obtain employment in order to increase their buying power.

Progress:

- *The Authority is in the process of selecting a consultant who will assist in the evaluation of our procurement process and procedures and the development of new outreach methods.*
- *Approximately \$16.8 million was awarded in contracts to local contractors, architects and vendors for modernization projects at public housing sites.*
- *The Oakland Housing Authority has achieved 100% Section 8 utilization.*

5. INCREASE OAKLAND HOUSING AUTHORITY'S FISCAL INDEPENDENCE

The Oakland Housing Authority will increase the percent of its revenues that are derived from sources other than the federal government.

Progress:

- *The Oakland Housing Authority is pursuing Section 8 Housing Assistance Payments (HAP) Contract Administration for Northern California.*
- *The Authority seeks to more effectively control insurance costs by actively participating in a Liability Pool and its Risk Management Program.*
- *The Oakland Housing Authority manages a Workers Compensation Pool that has resulted in efficiently controlling workers compensation costs.*

6. HEALTH, DIVERSE , ADVANCING WORKFORCE

The Oakland Housing Authority will utilize employment policies and practices to achieve a diverse workforce reflective of the community. The Authority will provide a work environment that supports the achievement of each employee's highest potential.

Progress:

- *The Authority continues to employ staff fluent in other languages such as Spanish, Vietnamese, Cambodian and Mandarin Chinese.*
- *The Authority maintains a diverse workforce with 133 female and 190 male employees. Of the 323 employees, 74 are Caucasian, 182 are African-American, 25 are Hispanic, 41 are Asian and 1 is an American Indian.*
- *A new recruitment was conducted for the Resident Internship Program which provides a one-year workplace experience for residents of public housing and Section 8 participants to obtain the skills necessary to obtain permanent employment.*
- *Staff has received new computer training including intranet and internet access.*
- *Training has been provided for Housing Quality Standards, rent calculations, defensive driving and lead-based paint. Additionally, specialized technical training has been provided to appropriate personnel.*
- *Career and employee assistance programs are available to all Authority staff.*

7. EFFICIENT AND EFFECTIVE OPERATIONS AND ORGANIZATION

The Oakland Housing Authority will adopt operating policies, procedures, practices and organizational structures to achieve maximum efficiency and effectiveness to accomplish its mission and goals.

Progress:

- *The Authority, through an Energy Performance Contract, is making improvements to the HVAC system, lighting and installing low-flow toilets that will result in lower consumption of energy and provide savings.*
- *Communications between departments have improved through the deployment of a new e-mail system.*
- *Efficient sharing of information has improved through the deployment of public folders for report dissemination.*
- *A computerized calendaring system has been installed for departmental appointments, scheduling of shared space, etc.*
- *An enhanced payroll system has been implemented.*
- *Operating policies, procedures, practices and organizational structures are continually revised in response to HUD regulations and other requirements.*

Annual PHA Plan
PHA Fiscal Year 2001

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Oakland Housing Authority's Annual Plan is based on the premise that to accomplish the goals and objectives stated previously, the mission will be achieved. The statements and policies included in the Annual Plan will assist the Authority with accomplishing the stated goals and objectives as they are comprehensive and consistent with the City of Oakland's Consolidated Plan. Some of the highlights in the Annual Plan are:

- *Statement of Financial Resources* providing information on all funds available to the Oakland Housing Authority and their anticipated use.
- *Eligibility, Selection and Admission Policies* which focus on situations unique to the City of Oakland.
- *Capital Fund Program Annual Statement* which identifies capital activities to ensure the long-term physical and social viability of public housing in Oakland.

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (Included in the Public Housing Admissions & Continued Occupancy Policy)
- FY 2001 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2001 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan

- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
- ? PHA Certifications of Compliance with the PHA Plans and Related Regulations/Board Resolution
- ? Certification of Consistency with the Consolidated Plan
- ? Public Housing Admission and Continued Occupancy Policy
- ? Section 8 Administrative Plan
- ? Comments from the Public Hearing and Written Comments

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	26,325 (32%)	5	5	5	5	5	5

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income >30% but <=50% of AMI	15,114 (18%)	5	5	5	5	5	5
Income >50% but <80% of AMI	13,378 (16%)	5	5	5	5	5	5
Elderly	NA	5	5	5	5	5	5
Families with Disabilities	NA	5	5	5	5	5	5
Race/Ethnicity – Black	64%	5	5	5	5	5	5
Race/Ethnicity - White	26%	5	5	5	5	5	5
Race/Ethnicity - Asian	1%	5	5	5	5	5	5
Race/Ethnicity - Hispanic	6%	5	5	5	5	5	5
Race/Ethnicity - Native American	1%	5	5	5	5	5	5
Race/Ethnicity - Other	1%	5	5	5	5	5	5

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the City of Oakland: July 1, 2000 – June 30, 2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List
--

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2626		
Extremely low income <=30% AMI	1724	66%	
Very low income (>30% but <=50% AMI)	833	32%	
Low income (>50% but <80% AMI)	54	2%	
Families with children	1946	36%	
Elderly families	138	5%	
Families with Disabilities	312	12%	
Race/ethnicity -Asian	468	18%	
Race/ethnicity - Black	2006	76%	
Race/ethnicity - White	95	4%	
Race/ethnicity – American Indian	18	<1%	
Race/ethnicity - Other	35	1%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 3			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	630		

Housing Needs of Families on the Waiting List			
Extremely low income <=30% AMI	456	72%	
Very low income (>30% but <=50% AMI)	138	22%	
Low income (>50% but <80% AMI)	34	5%	
Families with children	199	32%	
Elderly families	153	24%	
Families with Disabilities	323	51%	
Race/ethnicity - Asian	69	11%	
Race/ethnicity - Black	508	81%	
Race/ethnicity - White	40	6%	
Race/ethnicity – American Indian	3	<1%	
Race/ethnicity – Other	9	1%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	219	35%	
2 BR	184	29%	
3 BR	187	30%	
4 BR	26	4%	
5 BR	14	2%	
5+ BR	0	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes If yes: How long has it been closed (# of months)? 6 Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional Section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units

- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	\$10,000,000	
b) Public Housing Capital Fund	\$9,800,000	
c) HOPE VI Revitalization	\$15,500,000	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$86,000,000	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$758,000	
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Comprehensive Grant Program	\$6,000,000	Public Housing
Resident Services Grants	\$400,000	Public Housing, Section 8
3. Public Housing Dwelling Rental Income		
Rental income	\$8,000,000	Public Housing
4. Other income (list below)		
Earnings on Investments	\$1,475,000	Public Housing, Section 8
Interest income	\$325,000	Affordable housing development
4. Non-federal sources (list below)		
Total resources	\$138,258,000	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)

Other: (describe)

When their date and time on the waiting list has been reached.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

As described in the public notice.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 5
(2139 Seminary, 1430 Seminary, 5825 Canning, 2509 77th Ave and Lockwood Gardens)

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists? 4

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? all

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)
As described in the public notice

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection (5) Occupancy)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veteran’s families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
- Elderly/disabled over other singles
 - Self Sufficient: at least 50% of household income is from gainful employment or a minimum of 20 hours of work per week or engaged, and in good standing, in an approved CalWORKS activity and those who are elderly and disabled

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

- 1 Veterans
- 2 Residents of Oakland (living or working)
- 3 Elderly/disabled over other singles
- 4 Self Sufficient

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)

Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veteran's families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - Elderly/disabled over other singles
 - Self Sufficient: at least 50% of household income is from gainful employment or a minimum of 20 hours of work per week or engaged, and in good standing, in an approved CalWORKS activity and those who are elderly and disabled

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
 - Resident newsletter
 - Insert with the rent statement

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next questions.?
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name	Number of Units	Explanation (if any) (see step 4 at 903.2(c)(1)(iv))	Deconcentration policy (if no explanation) (see step 5 at 903.2(c)(1)(v))

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors below)
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
 Other (describe below)

- Tenant history
- Previous address
- Outstanding debt

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
 Federal public housing
 Federal moderate rehabilitation
 Federal project-based certificate program
 Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other (list below)

As described in the public notice

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Any reasonable request upon evidence of a housing search or as a reasonable accommodation.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) Special purpose section 8 assistance programs)

3. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - Elderly/disabled over other singles

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

- 1 Lottery
- 2 Veterans
- 3 Residents of Oakland (living or working)
- 4 Elderly/disabled over other singles

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veteran's families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - Elderly/disabled over other singles

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application (as a tie breaker when all else is equal)
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

Outreach through service providers

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

Market comparability study

Fair market rents (FMR)

95th percentile rents

75 percent of operating costs

100 percent of operating costs for general occupancy (family) developments

- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

When there is a change in family composition.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

Analysis of operating expenses.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

As needed, but at least annually.

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
- (2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

- | | |
|----|---|
| 1. | Development name: 1. Chestnut Court and 1114 14 th Street; |
| | 2. Westwood Gardens |
| | 3. Coliseum Gardens |

2. Development (project) number: 1. CA39P003008C, CA39P003009 & CA39P003060 2. CA39P003010 3. CA39P003011

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development 2. Westwood
- Revitalization Plan submitted, pending approval

- Revitalization Plan approved 1. Chestnut Court and 1114 14th Street
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below: Tassafaronga

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Chestnut Court HOPE VI
Westwood Gardens HOPE VI
Coliseum Gardens HOPE VI

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description
--

1a. Development name: Chestnut Court 1b. Development (project) number: CA39P003008C and CA39P003009
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input checked="" type="checkbox"/> Demolition Submitted, pending approval <input checked="" type="checkbox"/> Disposition Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (10/19/99)
5. Number of units affected: 77
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 05/01/00 b. Projected end date of activity:

Demolition/Disposition Activity Description
1a. Development name: 1114 - 14 th Street 1b. Development (project) number: CA39P003060
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input checked="" type="checkbox"/> Demolition Submitted, pending approval <input checked="" type="checkbox"/> Disposition Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (10/19/99)
5. Number of units affected: 6
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 05/01/00 b. Projected end date of activity:

Demolition/Disposition Activity Description
1a. Development name: Westwood Gardens 1b. Development (project) number: CA39P003010
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>

3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
3. Date application approved, submitted, or planned for submission: <i>(7/1/2001)</i>
5. Number of units affected: 46 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 07/01/01 b. Projected end date of activity: 12/01/01

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: Oak Grove North, Oak Grove South, Adel Court, Palo Vista Gardens, 1621 Harrison Street 1b. Development (project) number: CA39P003080, CA39P003008A, CA39P003071

2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/> in accordance with new HUD requirements
4. Date this designation approved, submitted, or planned for submission: <u>(7/31/01)</u>
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 383 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	

<p>2. What is the status of the required assessment?</p> <p><input type="checkbox"/> Assessment underway</p> <p><input type="checkbox"/> Assessment results submitted to HUD</p> <p><input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)</p> <p><input type="checkbox"/> Other (explain below)</p>
<p>3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)</p>
<p>4. Status of Conversion Plan (select the statement that best describes the current status)</p> <p><input type="checkbox"/> Conversion Plan in development</p> <p><input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)</p> <p><input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)</p> <p><input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway</p>
<p>5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)</p> <p><input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:)</p> <p><input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)</p> <p><input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)</p> <p><input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent</p> <p><input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units</p> <p><input type="checkbox"/> Other: (describe below)</p>

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any

homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description: Program to be developed in accordance with HUD regulations. OHA is currently working with Fannie Mae and IDA programs in the City of Oakland in the development of the Section 8 Homeownership Program.

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below: The Homeownership Program is currently being developed in consultation with community groups, Fannie Mae, local lenders and the Resident Advisory Board.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
 Information sharing regarding mutual clients (for rent determinations and otherwise)
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
 Jointly administer programs
 Partner to administer a HUD Welfare-to-Work voucher program
 Joint administration of other demonstration program
 Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2001 Estimate)	Actual Number of Participants (As of: DD/MM/YY)

Public Housing		
Section 8		

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size? If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
 - High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
 - Residents fearful for their safety and/or the safety of their children

- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases

- Police regularly meet with the PHA management and residents
 - Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
 - Other activities (list below)
2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment.

14. PET POLICY

[24 CFR Part 903.7 9 (n)]

The Oakland Housing Authority will implement the Pet Policy in accordance with HUD regulations 24 CFR Part 960 which stipulates specific conditions for ownership of pets in public housing. As such:

“A resident of a dwelling unit in public housing may own one or more common household pets or have one or more common household pets present in the dwelling unit of such resident, subject to the reasonable requirements of the Oakland Housing Authority, if the resident maintains each pet:

1. Responsibly;
2. In accordance with applicable State and local public health, animal control, and animal anti-cruelty laws and regulations; and
3. In accordance with the policies established in the public housing agency plan for the agency.”

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA MUST select one)
 - Attached as an attachment
 - Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:

See RAB comments.

- Other: (list below)

B. Description of Election process for Residents on the PHA Board

- 1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
- 2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

The Board includes two resident Commissioners who are appointed by the Mayor of Oakland and confirmed by the Oakland City Council.

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: Oakland, California

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Approval of Agency Plan and "Consistency with the Consolidated Plan" form.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

- A PHA Certifications of Compliance with the PHA Plans and Related Regulations / Board Resolution and Board Resolution Approving the Comprehensive Agency Plan for Submission to HUD
- B Certification of Consistency with the Consolidated Plan
- C Public Housing Admissions and Continued Occupancy Policy
- D Section 8 Administrative Plan
- E Comprehensive Grant Program Performance and Evaluation Report
- F Capital Fund Program Performance and Evaluation Report
- G FY2001 Capital Improvements 5-Year Action Plan
- H Public Housing Drug Elimination Program (PHDEP) Plan
- I Resident Advisory Board (RAB) Meeting Schedule, List of RAB Members and RAB Comments
- J Comments from the Public Hearing and Written Comments

Annual Statement/Performance and Evaluation Report
Part III: Implementation Schedule
 Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
Authority-Wide							
Mgmt. Improvemnts	September 2000			September 2001			
CalNum 303 Lockwood Gardens	March 2001		December 2000	March 2002			
CalNum 316 & 336 554 37th Street and 1323 MacArthur	September 2000		Postponed to later budget	September 2002	Postponed to later budget		Other projects given higher priority
CalNum 301 Peralta Villa	September 2000	September 2001		September 2001			Claims to be settled
CalNum 301 Peralta Villa Multi- Purpose Building	September 2000			September 2001			
CalNum 113 1621 Harrison St. Elevators	September 2000		September 2000	September 2001			
Contingency	March 2001	September 2001		September 2001			Moved to Peralta claims
CalNum 350 1430 Seminary	December 2000		December 2000	September 2001			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

X

X

Annual Statement Performance and Evaluation Report
Part I: Summary

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0181 (Exp. 10/16/00)

Comprehensive Grant Program

HA Name Housing Authority of the City of Oakland, California	Comprehensive Grant Number CA39P003705	FFY of Grant Approval 1996
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number 4 Final Performance & Evaluation Report for Period Year Ending 12/31/01

Line No.	Summary By Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1408 Management Improvements	538,400	538,400	538,400	469,696
3	1410 Administration	100,000	100,000	100,000	95,982
4	1411 Audit	0	0	0	0
5	1415 Liquidated Damages	0	0	0	0
6	1430 Fees and Costs	553,677	523,807	523,807	523,807
7	1440 Site Acquisition	0	0	0	0
8	1450 Site Improvement	18,244	10,297	10,297	10,297
9	1460 Dwelling Structures	7,182,129	7,217,105	7,217,105	7,217,105
10	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	0
11	1470 Nondwelling Structures	58,476	58,476	58,476	58,476
12	1475 Nondwelling Equipment	0	0	0	0
13	1495.1 Relocation Costs	30,080	32,921	32,921	32,921
14	1490 Replacement Reserve	0	0	0	0
15	1502 Contingency (may not exceed 8% of line 16)	0	0	0	0
16	Amount of Annual Grant (sum of lines 2-15)	8,481,006	8,481,006	8,481,006	8,481,006
17	Amount of line 15 Related to LBP Activities	50,000	50,000	50,000	50,000
18	Amount of line 16 Related to Section 504 Compliance	0	0	0	0
19	Amount of line 16 Related to Security	0	0	0	0
20	Amount of line 16 Related to Energy Conservation Measures	0	0	0	0

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and Date

Annual Statement/Performance and Evaluation Report
Part II: Supporting Pages
 Comprehensive Grant Program (CGP)

**U.S. Department of Housing
 and Urban Development**
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Authority-Wide	Management Improvements							
Executive Office M97-1.1	Grants Manager Position	1408	N/A	71,000	71,000	71,000	71,000	Complete
Finance Dept. M97-1.2	Workers' Comp./Risk Manager	1408	N/A	62,000	62,000	62,000	62,000	Complete
Human Resources M97-1.3	Resident Internship Program	1408	N/A	80,000	80,000	80,000	80,000	Complete
Resident Services M97-1.4	Resident & Community Initiatives	1408	N/A	<u>325,400</u> 538,400	<u>325,400</u> 538,400	<u>325,400</u> 538,400	<u>325,400</u> 538,400	Complete
Authority-Wide	Administrative							
M97-2	Non-Technical Salaries	1410.01	N/A	10,000	10,000	10,000	10,000	Complete
M97-3	Technical Salaries	1410.02	N/A	60,000	60,000	60,000	60,000	Complete
M97-4	Employee Benefits	1410.09	N/A	<u>30,000</u> 100,000	<u>30,000</u> 100,000	<u>30,000</u> 100,000	<u>30,000</u> 100,000	Complete
Authority-Wide	Fees & Costs							
M97-5	Architectural/Engineering Fees	1430.01	N/A	274,350	244,480	244,480	244,480	Complete
M97-6	Permit Fees	1430.06	N/A	28,423	28,473	28,473	28,473	Complete
M97-7	Inspection Costs	1430.07	N/A	200,000	200,000	200,000	200,000	Complete
M97-8	Sundry Planning Costs	1430.19	N/A	<u>50,854</u> 553,677	<u>50,854</u> 523,807	<u>50,854</u> 523,807	<u>50,854</u> 523,807	Complete

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Part II: Supporting Pages
 Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Signature of Executive Director and Date			Signature of Public Housing Director/Office of Native American Programs Administrator and Date					
Physical Improvement								
Cal 301/Site 301 M97-9	Peralta Villa Comp. Redevelopment	1460	390 units	2,397,103	2,483,109	2,483,109	2,483,109	Complete
Cal 302/Site 302 M97-10	Campbell Village Comp. Redevelopment (Completion)	1460	154 units	1,810,737	1,823,609	1,823,609	1,823,609	Complete
Cal 380/171 & 172 M97-11	Oak Grove Major Defect Repair (Completion)	1460	152 units	1,232	1,232	1,232	1,232	Remainder moved to other funding
Cal 350/Site 215 M97-12	1430 Seminary Avenue Drainage/Site Work	1450	8 units	18,244	10,297	10,297	10,297	Reprogrammed to later budget
M97-13	1430 Seminary Avenue Asbestos and lead abatement, partial demo	1460	N/A	89,750	89,750	89,750	89,750	Reprogrammed to later budget
M97-14	Relocation Expenses	1495.1	N/A	5,908	8,749	8,749	8,749	Complete
Cal 362/Site 153 M97-15	357-49th Street Roofing and substructure replacement, lead and asbestos abatement, dryrot repair, flooring	1460	24 units	2,883,308	2,819,405	2,819,405	2,819,405	Work complete
M97-16	Relocation Expenses	1495.1	N/A	24,172	24,172	24,172	24,172	Complete
Authority-Wide								
M97-17	On-Demand Work Items: Campbell Community Center Yard	1460 1470	N/A N/A	0 58,476	0 58,476	0 58,476	0 58,476	Complete from On-Demand

Annual Statement/Performance and Evaluation Report
Part II: Supporting Pages
 Comprehensive Grant Program (CGP)

**U.S. Department of Housing
 and Urban Development**
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Annual Statement/Performance and Evaluation Report
Part III: Implementation Schedule
 Comprehensive Grant Program (CGP)

**U.S. Department of Housing
 and Urban Development**
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
Authority-Wide Management Improvements	September 1998		September 1998	September 1999		September 1999 except some salaries	
Cal Num 301 Peralta Villa	September 1998		September 1998	September 1999		Final phase will be complete soon with 707 funding	
Cal Num 302 Campbell Village	September 1998		September 1998	September 1999		November 1999	
Cal Num 350/215 1430 Seminary	September 1998		Moved to 706	September 1999	Moved to 706/707		
Cal Num 362/153 357-49th Street	September 1998		September 1998	September 1999		June 2000	
Cal Num 380/171 & 172 Oak Grove N & S	September 1998		Moved to other funding	September 1999	Moved to other funding		
Authority-Wide On-Demand Work Items: Campbell Community Yard	September 1998		September 1998	September 1999		June 1999	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Part III: Implementation Schedule
 Comprehensive Grant Program (CGP)

**U.S. Department of Housing
 and Urban Development**
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program **Part I: Summary**

**U.S. Department of Housing
 and Urban Development**
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/98)

HA Name: Housing Authority of the City of Oakland, California	Comprehensive Grant Number: CA39P003706	FFY of Grant Approval: 1997
Original Annual Statement	Reserve for Disasters/Emergencies	Revision Number <u>4</u>
Performance & Evaluation Report for Program Year Ending <u>12/31/01</u>		Final Performance and Evaluation Report

Line No.	Summary By Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 19)	0	0	0	0
3	1408 Management Improvements	626,950	561,292	561,292	561,292
4	1410 Administration	590,134	590,134	590,134	590,134
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	540,064	529,841	529,841	529,841
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	703,904	674,854	674,854	674,854
10	1460 Dwelling Structures	5,904,354	6,012,600	6,012,600	6,012,600
11	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	15,086	14,661	14,661	14,661
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1495.1 Relocation Costs	50,000	47,110	47,110	47,110
17	1498 Mod Used for Development	0	0	0	0
18	1502 Contingency (may not exceed 8% of line 19)	0	0	0	0
19	Amount of Annual Grant (Sum of lines 2-18)	8,430,492	8,430,492	8,430,492	8,430,492
20	Amount of line 19 Related to LBP Activities	359,500	359,500	359,500	359,500
21	Amount of line 19 Related to Section 504 Compliance	901,128	901,128	901,128	901,128
22	Amount of line 19 Related to Security	60,000	60,000	60,000	60,000
23	Amount of line 19 Related to Energy Conservation Measures				

Signature of Executive Director and Date:	Signature of Public Housing Director/Office of Native American Programs Administrator and Date:
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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program(CGP) Part I: Summary

U.S. Department of Housing and Urban
 Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0037 (Exp.
 7/31/95)

HA Name HOUSING AUTHORITY OF THE CITY OF OAKLAND	Comprehensive Grant Number CA39P003707	FFY of Grant Approval 1998
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number 3
 Performance & Evaluation Report for Program Year Ending Dec.31.2000 Final Performance and Evaluation Report

Line No.	Summary By Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0		
2	1406 Operations (May not exceed 10% of line 19)	0	0		
3	1408 Management Improvements	\$491,772	\$451,349	\$374,257	\$313,378
4	1410 Administration	\$763,320	\$896,034	\$896,034	\$766,660
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	\$777,939	\$970,704	\$720,704	\$483,937
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	\$760,077	\$250,000	\$250,000	\$164,785
10	1460 Dwelling Structures	\$5,482,171	\$5,041,419	\$5,416,511	\$1,592,370
11	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	0	\$3,533	\$3,533	\$3,533
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	\$1,317,240	\$1,317,240	\$483,210
15	1490 Replacement Reserve	0	0	0	0
16	1495.1 Relocation Costs	\$75,000	\$50,000	0	0
17	1498 Mod Used for Development	0	0	0	0
18	1502 Contingency (may not exceed 8% of line 19)	\$630,000	0	0	0
19	Amount of Annual Grant (sum of lines 2-18)	\$8,980,279	\$8,980,279	\$8,980,279	\$3,807,873
20	Amount of line 19 Related to LBP Activities	\$28,545	\$28,545	\$28,545	\$28,454
21	Amount of line 19 Related to Section 504 Compliance	\$181,100	\$181,100	\$181,100	\$94,320
22	Amount of line 19 Related to Security	\$62,862	\$62,862	\$62,862	\$21,445
23	Amount of line 19 Related to Energy Conservation Measures				

1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Comprehensive Grant Program(CGP) **Part I: Summary**

U.S. Department of Housing and Urban
Development
Office of Public and Indian Housing

OMB Approval No. 2577-0037 (Exp.
7/31/95)

HA Name HOUSING AUTHORITY OF THE CITY OF OAKLAND	Comprehensive Grant Number CA39P003707	FFY of Grant Approval 1998
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Signature of Executive Director and Date

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Signature of Public Housing Director/Office of Native American Programs Administrator and Date

X

Annual Statement/Performance and Evaluation Report
Part III: Implementation Schedule
 Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
<u>Authority-Wide</u>							
Management Improvements	March 1999	September 1999	December 1999	September 2000		September 2000	Complete
Cal Num 301 Peralta Villa	March 1999	September 1999	December 1999	September 2000	December 2000	December 2000	Complete
Cal Num 302 Campbell Village	March 1999	September 1999	Moved up to CGP 705	September 2000	Moved up to CGP 705	June 2000	Complete
Cal Num 303 Lockwood Gardens	March 1999	September 1999	September 1999	September 2000			
Cal Num 335 9711 Sunnyside	March 1999	September 1999	Moved up to CGP 704	September 2000	Moved up to CGP704	December 1999	Complete
Cal Num 350 1430 Seminary	March 1999	December 1999	December 1989	December 2000		December 2000	This portion Complete
Cal Num 347 5825 Canning St.	March 1999	March 2000	Moved to CGP708	March 2001		Moved to CGP 708	
Cal Num 375 3291 Lynde Street	March 1999	September 1999	Deleted	September 2000	Deleted		
Cal Num 340 2402 E. 27th Street	March 1999	September 1999	Deleted	September 2000	Deleted		
Cal Num 374 4821 Webster	March 1999	September 1999	Deleted	September 2000	Deleted		
Contingency	September 2000		March 2000	September 2001		June 2000	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date:

Signature of Public Housing Director/Office of Native American Programs Administrator and Date:

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program(CGP) **Part I: Summary**

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2517-0137 (Exp. 11/31/99)

HA Name HOUSING AUTHORITY OF THE CITY OF OAKLAND	Comprehensive Grant Number CA39P003708	FFY of Grant Approval 1999
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number 3
 Performance & Evaluation Report for Program Year Ending 12/31/00 Final Performance and Evaluation Report

Line No.	Summary By Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 19)	0	0	0	0
3	1408 Management Improvements	\$609,500	\$649,985	\$39,565	\$39,565
4	1410 Administration	\$883,874	\$923,874	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	\$680,000	\$939,816	\$562,458	\$231,323
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	\$750,770	\$767,986	\$537,651	\$37,651
10	1460 Dwelling Structures	\$5,504,508	\$6,169,353	\$3,986,193	\$6,236
11	1465 Dwelling Equipment	\$175,000	\$175,000	0	0
12	1470 Nondwelling Structures	\$587,362	\$15,000	0	0
13	1475 Nondwelling Equipment	\$107,500	\$107,500	\$39,280	\$26,224
14	1485 Demolition	\$550,000	\$550,000	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1495.1 Relocation Costs	\$150,000	\$100,000	0	0
17	1498 Mod Used for Development	0	0	0	0
18	1502 Contingency (may not exceed 8% of line 19)	\$400,000	0	0	0
19	Amount of Annual Grant (sum of lines 2-18)	\$10,398,514	\$10,398,514	\$5,165,147	\$340,998
20	Amount of line 19 Related to LBP Activities	305,000	305,000	19,802	19,802
21	Amount of line 19 Related to Section 504 Compliance	80,100	80,100	40,000	0
22	Amount of line 19 Related to Security	105,000	105,000	0	0
23	Amount of line 19 Related to Energy Conservation Measures				

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Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X
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Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Authority-Wide	Management Improvements							
ALL								
M00 – 1.01	Authority-wide training	1408	N/A	\$50,000	\$50,000	\$11,580	\$11,580	In progress
M00 – 1.02	QHWRA compliance, software and other	1408	N/A	\$50,000	\$50,000	\$0	\$0	
Finance								
M00 – 1.11	Fixed Asset GAAP Accounting	1408	N/A	\$121,500	\$121,500	\$0	\$0	
M00 – 1.12	Lead hazard testing	1408	N/A	\$30,000	\$19,802	\$19,802	\$19,802	Complete
M00 – 1.13	Accounting procedures	1408	N/A	\$20,000	\$0	\$0	\$0	Deleted
M00 – 1.14	Imaging documents	1408	N/A	\$10,500	\$8,183	\$8,183	\$8,183	Complete
M00 – 1.19	2 Public Safety Aids / Sr. Sites	1408	N/A	\$0	\$73,000	\$0	\$0	1 Hired
Finance/Mod MOO – 1.21	On-line bid/procurement system	1408	N/A	\$5,000	\$5,000	\$0	\$0	
Housing Management M00 – 1.31	Additional Responsible Persons for modernized sites	1408	N/A	\$50,000	\$50,000	\$0	\$0	
MIS								
M00 – 1.41	Training Coordinator/computer training	1408	N/A	\$60,000	\$60,000	\$0	\$0	
M00 – 1.42	Internet access (hardware, cabling)	1475.4	N/A	\$45,000	\$45,000	\$0	\$0	
M00 – 1.43	Internet access (e-mail, software)	1408	N/A	\$30,000	\$30,000	\$0	\$0	
M00 – 1.44	Upgrade computers for Y2K	1475.4	N/A	\$62,500	\$62,500	\$39,280	\$26,224	In progress
M00 – 1.45	Web page development/consulting	1408	N/A	\$40,000	\$40,000	\$0	\$0	
M00 – 1.46	5-year computer plan	1408	N/A	\$5,000	\$5,000	\$0	\$0	
RCSD								
M00 – 1.51	Resident gardening education/training/materials	1408	N/A	\$5,000	\$5,000	\$0	\$0	
M00 – 1.52	Community space enhancements	1408	N/A	\$5,000	\$5,000	\$0	\$0	
M00 – 1.53	Resident Initiatives Team	1408	N/A	\$120,000	\$120,000	\$0	\$0	
M00 – 1.54	Resident Communication	1408	N/A	\$7,500	\$7,500	\$0	\$0	
				\$717,000	\$757,485	\$78,845	\$65,809	

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Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X
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Part II: Supporting Pages

Office of Public and Indian Housing

Comprehensive Grant Program (CGP)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Authority-Wide	Administrative							
M00-2	Non-Technical Salaries	1410.01	N/A	\$265,162	\$265,162		\$0	
M00-3	Technical Salaries	1410.02	N/A	\$309,360	\$349,360	\$0	\$0	
M00-4	Employee Benefits	1410.03	N/A	<u>\$309,352</u> \$883,874	<u>\$309,352</u> \$923,874	\$0	<u>\$0</u> \$0	In progress
Authority-Wide	Fees & Costs							
M00-5	Architectural/Engineering Fees	1430.01	N/A	\$400,000	\$466,490	<u>\$0</u> \$0	\$126,434	In progress
M00-6	Inspection Costs	1430.07	N/A	\$220,000	\$150,000		\$0	
M00-7	Sundry Planning Costs	1430.19	N/A	<u>\$60,000</u> \$680,000	<u>\$123,325</u> \$739,816	\$457,570	<u>\$104,888</u> \$231,322	In progress Postponed to CF501
	Physical Improvements							
CAL303/Site303	Lockwood Gardens Comprehensive Redevelopment (Multiyear phasing)	1450	372 units	\$317,645	\$500,000	<u>\$104,888</u>	\$0	
M00-8	Site Improvements	1460		\$2,348,370	\$3,917,339	\$562,458	\$0	
M00-9	Dwelling Structures						\$0	
CAL301/Site507	Peralta Villa Multi-Purpose Building	1485	N/A	\$550,000	\$550,000		\$0	Postponed to later budget
M00-10	Demolition	1470		\$572,362	\$0		\$0	
M00-11	Non Dwelling Structures					\$500,000	\$0	
						\$3,917,339		
						\$0		
						\$0		

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Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				
X				X				
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
CAL 347/Site 428 M00-17 M00-19	2509 77 th Ave Compre. Redevel. Site improvements Dwelling structures	1450 1460	22 units	\$215,139 \$1,279,124	\$0 \$0	\$0 \$0	\$0 \$0	Postponed
CAL380/S171/172 M00-22	Oak Grove Elevators Renovation	1460	N/A	\$100,000	\$175,000	\$0	\$0	Moved from CGP 707
CAL 380/Site 171 M00-23	Oak Grove Resident Council Room	1470	N/A	\$15,000	\$15,000	\$0	\$0	Moved from CGP 707
CAL 347/Site 105 M00-28 M00-29	5825 Canning St. Site improvements Dwelling structures	1450 1460	14 units	\$167,986 \$957,925	\$167,986 \$951,925			In progress In progress
CAL 352/Site 219 M00-30 M00-31	2139 Seminary Avenue Site improvements Dwelling structures	1450 1460	12 units	\$50,000 \$295,089	\$100,000 \$595,089	\$7,193 \$0	\$7,193 \$0	Added back in Moved to 2139 Seminary
CAL 316/Site 138 & 336 M00-32 Authority-Wide	554 37 th St. & 1323 MacArthur Blvd. Structural improvements	1460	9 units 7 units	\$200,000	\$200,000			
M00-26	Site Analysis	1430		\$0	\$200,000	\$30,458	\$30,458	
M00-27	Sr. Wireless Emergency Call System	1465	N/A		\$175,000	\$0	\$0	
M00-18	Relocation Costs	1495.1	N/A	\$175,000	\$100,000			
M00-20	Contingency	1502	N/A	\$150,000	\$0	\$6,281	\$6,281	
M00-21	On-Demand	1460	N/A	\$400,000	\$330,000			
				\$330,000		\$62,573	\$0	

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.	(2) To be completed for the Performance and Evaluation Report.
Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X
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Annual Statement/Performance and Evaluation Report
Part III: Implementation Schedule
 Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
Authority -wide Mgmt. Improvement	September 2001			September 2002			
CalNum303 Lockwood Gardens	September 2001		December 2000	March 2003			
CalNum 301 Peralta Multi-use Building demo	September 2001			September 2002			
CalNum 347 2509 77 th Avenue	September 2001			September 2002			Postponed
CalNum 380 Oak Grove N. & S.	September 2001				September 2002		Added from five-year plan
CalNum 347 5825 Canning St.	September 2001				September 2002		Added from five-year plan
CalNum 352 2139 Seminary Ave.	September 2001				September 2002		Moved from CGP 707
CalNum 316 37 th St. & MacArthur	September 2001				September 2002		Moved from CGP 707

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

X

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

X

2001 Capital Improvements Plan - using last year's \$ amount							
CAL #	Site #	Development	Oct-01	Oct-02	Oct-03	Oct-04	Oct-05
			502 year 1	503 year 2	504 year 3	505 year 4	505 year5
		Management Improvements	989,000	969,000	939,000	889,000	889,000
		Administration	690,000	690,000	690,000	690,000	690,000
		Fees & Costs	375,000	375,000	375,000	375,000	375,000
		Relocation Costs	45,000	45,000	45,000	45,000	45,000
		On-Demand	250,000	250,000	250,000	250,000	250,000
		Contingency	400,000	400,000	400,000	400,000	400,000
303	303	Lockwood (372 units)	6,440,000	6,477,000	4,083,000		
362	110	3025 MLK (7 units)	69,000				
351	386	2005 E 21st St (8 units)	140,000				
358	339	7510 Ney Ave (10 units)	170,622				
327	420	2056 35th Ave (9 units)	150,000				
371	113	1621/1619 Harrison St (101 units)	90,000				
350	326	2126 High St (9 units)		126,000			
347	344	421 Oakland Ave (8 units)		104,000			
367	109	2933 MLK (12 units)		168,000			
327	359	9510 Sunnyside (16 units)		204,622			
323	129	675 30th St (5 units)			250,000		
367	128	678 29th St (3 units)			150,000		
363	133	873 32nd St (12 units)			620,000		
314	349	8021 Plymouth Ave (4 units)			200,000		
313	139	727 37th St (8 units)			352,000		
362	122	3017 West (8 units)			360,000		
316	419	1248 E34th St (10 units)			373,000		
370	433	2349 83rd Ave (6 units)			200,000		
350	240	2309 98th Ave (8 units)			200,000		
347	468	7950 Ney Ave (10 units)			321,622		
358	463	6656 Laird Ave (8 units)				600,000	
327	227	1815 28th Ave (11 units)				671,622	
356	357	9224 Sunnyside (10 units)				650,000	
347	127	565 29th St (7 units)				250,000	
322	461	1900 Commerce (5 units)				190,000	
366	136	944 34th St (4 units)				100,000	
350	427	3350 72nd Ave (6 units)				200,000	
354	412	2435 26th Ave (6 units)				200,000	
327	411	2032 E. 26th St (9 units)				750,000	
364	413	2474 26th Ave (7 units)				530,000	
355	440	1711 88th Ave (3 units)				140,000	
347	141	725 39th St (5 units)				300,000	
375	152	582 48th St (10 units)				850,000	
363	364	2011 7th Ave (6 units)				435,000	
370	418	1324 E32nd St (5 units)				300,000	
350	230	1853 38th Ave (15 units)				993,000	
375	333	3291 Lynde (8 units)					473,000
362	319	4616 Fairfax Ave (4 units)					200,000
370	327	9233 Hillside St (4 units)					229,622
363	137	454 36th St (4 units)					200,000
362	104	5805 Canning St (4 units)					280,000
314	350	9427 Plymouth Ave (4 units)					240,000
363	103	6309 Baker St (6 units)					343,000
359	369	2607 12th Ave (4 units)					200,000
361	204	5945 Bromley Ave (4 units)					200,000
343	380	2246 E. 19th St (7 units)					447,000
369	325	320 Haddon Road (4 units)					180,000
370	140	866 37th St (5 units)					250,000
370	444	2238 90th Ave (6 units)					250,000
370	149	557 46th St (6 units)					220,000
359	229	1726 38th Ave (6 units)					300,000
351	217	1465 Seminary (8 units)					450,000
327	233	1445 50th Ave (6 units)					300,000
368	115	3855 Shafter (4 units)					200,000
353	381	2247 E. 19th St (5 units)					322,000
369	232	1424 50th Ave (4 units)					200,000
317	457	9008 Cherry St (4 units)					250,000
359	450	1928 96th Ave (4 units)					200,000
347	121	2922 West (3 units)					150,000
363	147	565 45th St (6 units)					250,000
327	467	7908 Ney Ave (6 units)					250,000
318	347	3532 Pierson St (5 units)					225,000
367	338	2202 Mitchell (7 units)					350,000
		Total	\$9,808,622	\$9,808,622	\$9,808,622	\$9,808,622	\$9,808,622

**PHA Plan
Table Library**

Component 7
Capital Fund Program Annual Statement
Parts I, II, and II

Annual Statement
Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: 2001
CA00350201

Revised Original Annual Statement (based on actual dollars allocated by HUD)
April 20, 2001

Line No.	Summary by Development Account	Total Estimate Cost
1	Total Non-CGP Funds	0
2	1406 Operations	0
3	1408 Management Improvements	989,000
4	1410 Administration	690,000
5	1411 Audit	0
6	1415 Liquidated Damages	0
7	1430 Fees and Costs	425,000
8	1440 Site Acquisition	0
9	1450 Site Improvement	352,559
10	1460 Dwelling Structures	6,130,700
11	1465.1 Dwelling Equipment - Nonexpendable	0
12	1470 Nondwelling Structures	0
13	1475 Nondwelling Equipment	0
14	1485 Demolition/Abatement	1,000,000
15	1490 Replacement Reserve	0
16	1492 Moving to Work Demonstration	0
17	1495.1 Relocation Costs	45,000
18	1498 Mod Used for Development	0
19	1502 Contingency	400,000
20	Amount of Annual Grant (Sum of lines 2-19)	10,032,259
21	Amount of line 20 Related to LBP Activities	315,000
22	Amount of line 20 Related to Section 504 Compliance	82,000
23	Amount of line 20 Related to Security	114,000
24	Amount of line 20 Related to Energy Conservation Measures	23,000

Table Library

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
	<u>Management Improvements</u>		
HA – Wide	Authority-wide training	1408	50,000
HA – Wide	Resident internship program	1408	50,000
HA – Wide	Partial salary for Training Officer	1408	39,000
HA – Wide	Resident Initiatives Team	1408	250,000
HA – Wide	Technology Improvements	1408	300,000
HA – Wide	Maintenance Enhancements	1408	200,000
HA - Wide	Security Gate Maintenance	1408	<u>100,000</u>
			989,000

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
HA – Wide	Non-Technical Salaries	1410.01	115,500
HA – Wide	Technical Salaries	1410.02	346,500
HA – Wide	Employee Benefits	1410.03	198,000
HA – Wide	Sunday Advertising Costs	1410.19	<u>30,000</u>
			690,000
HA – Wide	Architectural / Engineering Fees	1430.01	150,000
HA – Wide	Permit Fees	1430.06	65,000
HA – Wide	Inspection Costs	1430.07	130,000
HA - Wide	Sunday Planning Costs	1430.19	<u>90,000</u>
			425,000

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
CAL 303 / Site 303 Lockwood Gardens Phase III (Multi Year Funding)	Site Improvements	1450	200,000
	Rehabilitate Dwelling Structure	1460	5,300,000
	Demo/abatement	1485	1,000,000
CAL 362 / Site 110 3025 Martin Luther King Jr. Way	Site Improvements	1450	50,000
CAL 351 / Site 386 2005 E. 21 st St.	Site Improvements	1450	53,000
	Dwelling Structures	1460	297,000
CAL 358 / Site 339 7510 Ney Ave.	Site Improvements	1450	27,559
	Dwelling Structures	1460	155,700
CAL 327 / Site 420 2056 35 th Ave.	Site Improvements	1450	22,000
	Dwelling Structures	1460	128,000
HA – Wide	On-Demand	1460	250,000
HA – Wide	Relocation Costs	1495.1	45,000
HA – Wide	Contingency	1502	400,000

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
HA-Wide Management Improvements	9/30/03	9/30/05
CAL 303 / Site 303 Lockwood Gardens	9/30/03	9/30/05
CAL 362 / Site 110 3025 Martin Luther King Jr. Way	9/30/03	9/30/05
CAL 351 / Site 386 2005 E. 21 st St.	9/30/03	9/30/05
CAL 358 / Site 339 750 Ney Ave.	9/30/03	9/30/05
CAL 327 / Site 420 2056 35 th Ave.	9/30/03	9/30/05

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$ 757,811

B. Eligibility type (Indicate with an “x”) N1 _____ N2 _____ R X

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Oakland Housing Authority’s FY2001 Public Housing Drug Elimination Program is a comprehensive approach to solving drug-related crime problems that exist in and around large public housing developments and select scattered sites throughout the City of Oakland. The major components of the program include: community policing, supportive services, and community services through the Corporation for Supportive Housing and Asian Community Mental Health Services and a scholarship program. Approximately 4,016 residents in 1,641 public housing units at 69 sites will receive assistance through the PHDEP program.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
301 – Peralta Villa (845 Union Street)	390	655
302 – Campbell Village (1697 10 th Street)	154	315
310 – Westwood Gardens (1420 7 th Street)	46	157
303 – Lockwood Gardens (1312 65 th Avenue)	372	537
311 – Coliseum Gardens (6722 Olmstead Street)	178	615
101 – 755 Alcatraz Street	10	32
139 – 737 37 th Street	8	31
159 – 533 59 th Street	9	31
152 – 582 48 th Street	10	32
148 – 880 45 th Street	6	34
103 – 6309 Baker Street	6	15
165 – 1037 62 nd Street	10	32
110 – 3025 Martin Luther King Jr. Way	7	22

109 – 2933 Martin Luther King Jr. Way	12	28
122 – 3017 West Street	8	30
123 – 3217 West Street	6	19
133 – 873 32 nd Street	12	41
129 – 675 30 th Street	5	24
346 – 59 Pearl Street	12	40
161 – 837 60 th Street	4	9
119 – 3901 Webster Street	14	26
391 – 2626 E. 21 st Street	4	9
411 – 2032 E. 26 th Street	9	31
224 - 2919 E. 16 th Street	12	33
227 – 1815 28 th Avenue	11	38
380 – 2246 E. 19 th Street	7	23
381 – 2247 E. 19 th Street	5	18
382 – 2272 E. 19 th Street	4	13
412 – 2435 26 th Avenue	6	21
417 – 2170 E. 28 th Street	11	41
407 – 2003 E. 25 th Street	4	15
398 – 1900 E. 24 th Street	4	16
226 – 610 E. 18 th Street	12	50
389 – 2439 E. 21 st Street	3	12
366 – 1608 11 th Avenue	20	78
373 – 1236 E. 17 th Street	10	36
372 – 1227 E. 17 th Street	9	32
326 – 2126 High Street	9	33
422 – 2820 35 th Avenue	14	46
362 – 4737 Ygnacio Avenue	6	20
319 – 4516 Fairfax Street	4	14
230 – 1853 38 th Avenue	15	63
229 – 1726 38 th Avenue	6	17
212 – 6130 Hilton Street	8	24
426 – 3330 72 nd Avenue	8	26
427 – 3350 72 nd Avenue	6	21
328 – 7000 Lacy Street	6	17
217 – 1465 Seminary Avenue	8	33
203 – 5944 Bromley Street	4	16
204 – 5945 Bromley Street	4	17
213 – 7204 Holly Street	3	8
214 – 7209 Holly Street	5	14
425 – 2228 48 th Avenue	5	15
313 – 9703 Cherry Street	4	13
327 – 9233 Hillside Street	4	14
436 - 2261 84 th Street	12	41
443 – 1739 89 th Avenue	6	19
357 – 9224 Sunnyside Street	10	41
359 – 9510 Sunnyside Street	16	63
450 – 1928 96 th Avenue	4	12
457 – 9008 Cherry Street	4	18
458 – 9027 Cherry Street	3	8
240 – 2311 98 th Avenue	8	25
430 – 1486 77 th Avenue	4	16
428 – 2507 77 th Avenue	22	91
308 – 9514 Birch Street	10	35
440 – 1711 88 th Avenue	3	11

444 - 2238 90 th Avenue	6	20
350 - 9427 Plymouth Street	4	14
Total	1,641	4,016

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____ 12 Months X 18 Months _____ 24 Months _____ Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	X \$824,987	CA39DEP003195			
FY 1996	X \$824,961	CA39DEP0030196			
FY 1997	X \$858,000	CA39DEP0030197			
FY 1998	X \$858,000	CA39DEP0030198			
FY 1999	X \$727,123	CA39DEP0030199			
FY 2000	X \$757,811	CA39DEP0030100	\$722,108		10/1/01

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The Oakland Housing Authority has set goals for reducing crime at the targeted public housing sites, as this is the most objective measure of crime. The goals reduce crime per 1,000 people by over 50% by the end of the 5-year program. The residents’ perception of crime will also analyzed through annual focus groups. The development of new working relationships with community organizations, the establishment of new community organizations or jointly sponsored programs and activities will be used to evaluate the effectiveness of the supportive services provided by the Resident Services Specialists. Scholarships in the form of Achievement Awards of up to \$500 each, will be provided to residents to assist them with achieving their educational, vocational and personal goals. The Corporation for Supportive Housing will provide high quality multi-disciplinary integrated services to a minimum of 25 public housing families per year and Asian Community Mental Health Services will serve a minimum of 50 families/youth through their various programs, including ESL, citizenship and after-school programs for youth. The Oakland Housing Authority will continue to hold quarterly meetings with staff and partners to monitor and evaluate PHDEP-funded activities.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$0
9120 - Security Personnel	\$573,783
9130 - Employment of Investigators	\$0
9140 - Voluntary Tenant Patrol	\$0
9150 - Physical Improvements	\$0
9160 - Drug Prevention	\$184,028
9170 - Drug Intervention	\$0
9180 - Drug Treatment	\$0
9190 - Other Program Costs	\$0
TOTAL PHDEP FUNDING	\$757,811

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9120 - Security Personnel						Total PHDEP Funding: \$ 573,783	
Goal(s)	Enhance safety of residents						
Objectives	Reduce crime						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Community-oriented policing			10/01/01	09/30/02	\$573,783	\$423,655 (OHA, CFP)	1. Crime statistics 2. Focus groups: residents perception of community-oriented policing program

9160 - Drug Prevention						Total PHDEP Funding: \$ 184,028	
Goal(s)	Increase resident participation in the community						
Objectives	Prevent anti-social behavior and increase self-sufficiency,						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Supportive services		Public housing residents	10/01/01	09/30/02	\$123,742	\$76,822 (CFP)	Development of working relationships, establishment of new community organizations or jointly sponsored programs and activities

2. Scholarship Program	10 min.	Public housing residents	10/01/01	09/30/02	\$5,286	\$2,000 (OHA)	Awarding of scholarships to residents to assist them with achieving their educational, vocational and personal goals
3. Corporation for Supportive Housing	25 min.	Public housing residents receiving TANF	10/01/01	09/30/02	\$35,000	\$109,074 (CSH)	The provision of high quality multi-disciplinary integrated services to assist public housing residents become self-sufficient
4. Asian Community Mental Health Services	50 min.	Public housing residents including youth	10/01/01	09/30/02	\$20,000	\$24,780 (OHA's C.F.P.)	A variety of programs, including ESL, citizenship and after-school programs for youth.

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds By Activity #	Total PHDEP Funding Obligated (sum of the activities)
9110				
9120	Activity 1	\$573,783	Activity 1	\$573,783
9130				
9140				
9150				
9160	Activity 1,2,3,4	\$184,028	Activity 1,2,3,4	\$184,028
9170				
9180				
9190				
TOTAL		\$ 757,811		\$ 757,811

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the "PHA Certifications of Compliance with the PHA Plan and Related Regulations."

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Authority-Wide	Management Improvements							
ALL								
v98-1.01	Personal Computer 5-Year Plan	1408	N/A	93,800	93,800	93,800	93,800	Complete
Executive Office								
v98-1.02	Compliance Audit	1408	N/A	0	0	0	0	Deleted
v98-1.03	Program Compliance Auditor	1408	N/A	0	0	0	0	Deleted
v98-1.04	Mgmt. Imp./Grants Manager Coord.	1408	N/A	0	0	0	0	Deleted
Finance								
v98-1.05	Dev. Op. Proc. on Fin. Intranet	1408	N/A	0	0	0	0	Deleted
v98-1.06	Facilities Databases	1408	N/A	0	0	0	0	Deleted
Housing Mgmt.								
v98-1.07	Mgmt. Enhancements (Comm. Org.)	1408	N/A	2,869	2,869	2,869	2,869	Complete
v98-1.08	Private Management Analysis	1408	N/A	0	0	0	0	Deleted
v98-1.09	Responsible Persons Training/Coord.	1408	N/A	0	0	0	0	Deleted
Human Resources								
v98-1.10	Authority-wide Misc. Training	1408	N/A	74,167	74,167	74,167	74,167	Complete
v98-1.11	Resident Internship Program	1408	N/A	80,000	25	25	25	Complete
Maintenance								
v98-1.12	Maintenance Enhancements	1408	N/A	134,827	219,301	219,301	219,301	Complete
v98-1.13	Graffiti Abatement Program	1408	N/A	0	0	0	0	Deleted
MIS								
v98-1.14	Voice Processing/Computer Tele.	1408	N/A	11,200	0	0	0	Moved to CGP 707
Modernization								
v98-1.15	Electronic Copy board	1408	N/A	4,497	0	0	0	Moved to CGP 707
RCSD								
v98-1.16	Resident Initiatives Team	1408	N/A	210,500	162,595	162,595	162,595	Complete
v98-1.17	Resident Communication	1408	N/A	14,312	7,757	7,757	7,757	Complete
v98-1.18	Senior Sites Monitoring System	1408	N/A	0	0	0	0	Deleted
Security Services								
v98-1.19	2 Public Safety Aides for Senior Sites	1408	N/A	778	778	778	778	Complete
				626,950	561,292	561,292	561,292	

1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	

Signature of Executive Director and Date:

Signature of Public Housing Director/Office of Native American Programs Administrator and Date:

<u>Authority-Wide</u>	<u>Administrative</u>							
v98-2	Non-Technical Salaries	1410.01	N/A	195,817	195,817	195,817	195,817	Complete
v98-3	Technical Salaries	1410.02	N/A	294,183	294,183	294,183	294,183	Complete
v98-4	Employee Benefits	1410.03	N/A	<u>100,134</u> 590,134	<u>100,134</u> 590,134	<u>100,134</u> 590,134	<u>100,134</u> 590,134	Complete

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Authority-Wide	Fees & Costs							
V98-5	Architectural/Engineering Fees	1430.01	N/A	150,506	135,087	135,087	135,087	Complete
V98-6	Inspection Costs	1430.07	N/A	200,000	200,000	200,000	200,000	Complete
V98-7	Sundry Costs	1430.19	N/A	<u>189,558</u> 540,064	<u>194,754</u> 529,841	<u>194,754</u> 529,841	<u>194,754</u> 529,841	Complete

1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.
 Signature of Executive Director and Date: _____ Signature of Public Housing Director/Office of Native American Programs Administrator and Date: _____

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
	Physical Improvement							
Cal 301/Site 301 V98.8 V98.9	Peralta Villa Comp. Redevelopment Site Improvements Dwelling Structures	1450 1460	390 units	703,904 5,099,198	674,854 5,157,542	674,854 5,157,542	674,854 5,157,542	Complete Complete
Cal 302/Site 302 V98-10	Campbell Village Comp. Redevelopment	1460	154 units	0	0	0	0	Completed with CGP 705 funds
Cal 303/Site 303 V98-11 V98-12	Lockwood Gardens Comp. Redevel. Site Improvements Dwelling Structures	1450 1460	372 units	0 50,000	0 51,396	0 51,396	0 51,396	Postponed to CGP 707
Cal 335/Site 361 V98-13	9711 Sunnyside Comprehensive Redevelopment	1460	5 units	0	0	0	0	Moved to CGP 704
Cal350/Site 215 V98-14	1430 Seminary Comprehensive Redevelopment	1460	8 units	608,000	628,117 80,089	628,117	628,117	This portion complete – partially moved to 707
Cal347/Site 105 V98-15	5825 Canning Street Comprehensive Redevelopment	1460	14 units	80,089	0	80,089	80,089	Moved to 708
Cal375/Site 333 V98-16	3291 Lynde Street Comprehensive Redevelopment	1460	8 units	0	0	0	0	Deleted
Cal340/Site415 V98-17	2402 E. 27th Street Comprehensive Redevelopment	1460	8 units	0	0	0	0	Deleted
Cal374/Site120 V98-18	4821 Webster Architectural Upgrade	1460	8 units	0	0	0	0	Deleted
Cal371/Site113 V98-19	1621 Harrison Street Community Room	1470	N/A	965	965	965	965	Complete
Cal371/Site113 V98-20	1619 Harrison Street Refurbish Vacated Spaces	1470	N/A	14,121	13,696	13,696	13,696	Complete
V98-21	Relocation Expenses	1495.1	N/A	50,000	47,110	47,110	47,110	Complete

1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Signature of Executive Director and Date:			Signature of Public Housing Director/Office of Native American Programs Administrator and Date:					
Authority-Wide								
V98-22	On-Demand – used for structural repairs at 2630 E. 25 th Street and 2056 35 th Avenue	1460	N/A	67,067	95,456	95,456	95,456	Complete
V98-23	Contingency	1502	N/A	0	0	0	0	Used for change orders Peralta Villa

Part II: Supporting Pages

Comprehensive Grant Program (CGP)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	

1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date:	Signature of Public Housing Director/Office of Native American Programs Administrator and Date:
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Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
Authority-Wide	Management Improvements							
ALL								
M99-1.25	Personal Computer 5-year plan	1408	N/A	\$144,400				Complete
M99-1.27	E-mail, Group Ware, etc.	1408	N/A	\$25,000	\$144,400	\$144,400	\$144,400	Will complete by 9/30/01
Finance								
M99-1.05	Develop Operating Procedures on Finance Intranet	1408	N/A	\$3,000	\$25,000	\$19,677	\$19,282	Deleted
M99-1.20	Computer output to disk to CD ROM	1408	N/A	\$9,000				In planning stage
M99-1.06	Facilities Data Bases	1408	N/A	\$6,000	\$0	\$0	\$0	Complete
Human Resources								
M99-1.10	Authority-wide/misc. training	1408	N/A	\$51,734	\$9,548	\$0	\$0	Complete
M99-1.11	Resident Internship Program	1408	N/A	\$36,000	\$4,644	\$4,644	\$4,644	
Maintenance								
M99-1.12	Maintenance enhancements	1408	N/A	\$25,000	\$54,443	\$54,443	\$54,443	Complete Will complete by 9/30/01
MIS								
M99-1.21	Training coord. & computer training	1408	N/A	\$0	\$36,000	\$112	\$112	
M99-1.14	Voice processing/computer telephony	1408	N/A	\$0				Will complete by 9/30/01
M99-1.22	Computer output reports & continued decentralization	1408	N/A	\$25,000	\$25,000	\$77	\$77	
Modernization								
M99-1.23	Computer scanner and mod. software	1408	N/A	\$2,138	\$0	\$0	\$0	Postponed to 708
RCSD								
M99-1.16	Resident Initiative Team: 3 Coord. II, Spec, Clerk, Aides	1408	N/A	\$125,000	\$0	\$0	\$0	Postponed
M99-1.17	Resident Communication	1408		\$7,500	\$24,740	\$23,330	\$23,326	Nearing completion
Security								
M99-1.24	Calea Equipmt (generator, tape replay)	1408	N/A	\$32,000 \$491,772	\$2,138	\$2,138	\$2,138	Complete Complete by 9/30/01

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X
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Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended	
Authority-Wide	Administrative							
M99-2	Non-Technical Salaries	1410.01	N/A	\$230,320				Ongoing
M99-3	Technical Salaries	1410.02	N/A	\$263,000	\$197,890	\$197,890	\$165,961	Ongoing
M99-4	Employee Benefits	1410.03	N/A	<u>\$270,000</u> \$763,320	\$421,238	\$421,238	\$372,982	Ongoing
Authority-Wide	Fees & Costs				<u>\$276,906</u>	<u>\$276,906</u>	<u>\$227,717</u>	Ongoing
M99-5	Architectural/Engineering Fees	1430.01	N/A	\$190,000	\$896,034	\$896,034	\$766,660	
M99-6	Inspection Costs	1430.07	N/A	\$250,000				In progress
M99-7	Sundry Planning Costs	1430.19	N/A	<u>\$87,939</u> \$527,939	\$300,930	\$300,930	\$181,188	Ongoing
	Physical Improvements				\$250,000	\$250,000	\$186,518	Ongoing
CAL301/Site301 M99-8	Peralta Villa Comprehensive Redevelopment	1460	390 units	\$1,231,682	<u>\$169,774</u> \$720,704	<u>\$169,774</u> \$720,704	<u>\$116,231</u> \$483,937	In progress
CAL303/Site303 M99-9	Lockwood Gardens Comp. Redevel.	1450		\$760,077				
M99-10	Site Improvements	1460	372 units	\$3,080,000				
M99-12	Dwelling Structures Demo / Abatement	1485		\$0	\$1,071,804	\$173,254	\$173,254	Work complete Claims to be settled
CAL316/Site 138 CAL336/Site 316 M99-14	554 37 th Street and MacArthur Blvd. (repairs only)	1460	16 units	\$200,000	\$250,000	\$250,000	\$164,785	In progress
					\$2,990,384	\$4,286,354	\$1,134,567	In progress
					\$1,317,240	\$1,317,240	\$483,210	Added line item for demo

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				
X				X				
Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended	
	<u>Physical Improvements (cont.)</u>							
CAL301/Site507 M99-15	Peralta Villa Multi-Purpose Building Architectural and Engineering Fees	1430	N/A	\$250,000	\$250,000	\$0	\$0	Committed contract
CAL113/Site 371 M99-23	1621 Harrison Street Replace Elevators	1460	N/A	\$201,533				
M99-17	Relocation Costs	1495.1	N/A	\$75,000	\$210,275	\$198,275	\$0	Will begin work in April
	Contingency	1502	N/A	\$630,000	\$50,000	\$0	\$0	Ongoing
<u>Authority-Wide</u> M99-19	1430 Seminary Avenue	1460	10 units	\$768,956	\$0	\$0	\$0	Transferred to Peralta
CAL350/Site 215 M99-26					\$768,956	\$760,629	\$284,549	Nearing completion

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.	
Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date X	Signature of Public Housing Director/Office of Native American Programs Administrator and Date X
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