

# PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004  
Annual Plan for Fiscal Year 2000

Approved by PHA Board: April 3, 2000; Revision #1: July 24, 2000

and

5 Year Plan for Fiscal Years 2001 -05  
Annual Plan for Fiscal Year 2001

Approved by PHA Board: April 2, 2001.

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS  
LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan  
Agency Identification**

**PHA Name:** Housing Authority of the City of North Little Rock, AR

**PHA Number:** AR002

**PHA Fiscal Year Beginning:** 07/2000; 07/2001

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2000 – 2004; 2001 - 2005**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers: *350 over 5 years, subject to availability. 1<sup>st</sup> year: 150; 2<sup>nd</sup> year: 50; 3<sup>rd</sup> year: 50; 4<sup>th</sup> year: 50; 5<sup>th</sup> year: 50..*
  - Reduce public housing vacancies:  
*Strive to maintain 97% occupancy; Year 1 through Year 5;*
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments:
  - Other (list below)
    1. *Investigate feasibility of forming nonprofit corporation and use either (a) tax credits; (b) issue bonds; or (c) develop partnerships with other housing entities; etc. to create additional housing opportunities: Year 2*
    2. *Investigate feasibility of applying to HUD for funds when available to acquire or build public housing units or developments. Year 2 – year 3:*

• **Statement of Progress in Meeting the 5-Year Plan Goals and Objectives.**

1. PHA applied for 100 fair share allocation of incremental rental voucher funding January 2001.
2. PHA's eight month occupancy rate is 96%, and will continue monitoring and outreach.

- PHA Goal: Improve the quality of assisted housing**  
Objectives:
- Improve public housing management:  
*(PHMAP score 96.25)*
  - Improve voucher management:  
*(SEMAP score) No grade*
  - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
  - a. *Strive to maintain/decrease maintenance turn-around time on apartments: PHMAP Average days: 26; goal: 25. Year 1 through Year 5*
  - b. *Strive to improve lease-up time of apartments: PHMAP Average days: 25; goal: 20. Year 1 through Year 5*
  - c. *Establish on-going staff training program; Goal: 1<sup>st</sup> year.*
  - d. *Improve availability of financial records; Goal: 1<sup>st</sup> year.*
  - e. *Convert HUD accounting to GAAP accounting; Goal: 1<sup>st</sup> year.*
- Renovate or modernize public housing units:
  - a. *Develop long-range plan with goals for capital funds: Goal: Year 1 through Year 5.*
  - b. *Investigate feasibility of applying for HOPE VI funds to revitalize a public housing project; Goal: Year 1 and Year 2*
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)
  - a. *Upgrade Project Site Improvements (2-all):*
    - 1. *Investigate feasibility of applying to City for CDBG funding; Goal: 1<sup>st</sup> year and 2<sup>nd</sup> year.*
    - 2. *Propose to form a partnership with the City of North Little Rock. Goal: Year 1 through Year 5.*
    - 3. *Use Capital Improvement funds, all projects-5 yr. plan; Goal: Year 1 through Year 5.*

• **Statement of Progress in Meeting the 5-Year Plan Goals and Objectives.**

1. PHA continues to focus on management improvements and specific functions.
2. Voucher lease-up rate for the eight-month period (July 2000-March 2001) averages 97%.
3.
  - a. Maintenance turn-around on apartments: goal 25 days; eight-month period averaged 28 days.
  - b. Lease-up time of apartments: goal 20 days; eight-month period averaged 37 days.
  - c. Staff training program is in planning stage.
  - d. Availability of financial records: Goal accomplished.
  - e. Convert to GAAP accounting: Goal accomplished.
4. Long-range plan (5-Year) to renovate or modernize public housing units updated each year.
5. PHA Board not receptive to applying for HOPE VI funds at this time due to short timeframe and large outlay of funds for outside consultant to prepare application. Will review at later date.
6.
  - a. Apply to City for CDBG funding. City agreed to purchase new lobby furniture for Campus Towers in the amount of \$12,000.
  - b. The City continues to cooperate with the PHA by lending equipment, performing services such as paving parking lots, waiving electric deposits for residents being moved by PHA; etc.
  - c. Apply Capital funds to most critical needs as funds become available.

**PHA Goal: Increase assisted housing choices**

Objectives:

- Provide voucher mobility counseling;  
*Goal Year 1 - Year 5: Propose that 100 percent of voucher holders will be advised of mobility during briefing or transfer procedures.*
- Conduct outreach efforts to potential voucher landlords:  
*Goals: on-going program, Year 1 - Year 5.*  
*(1) Propose to continue annual landlord meetings. Average outreach to 50-60 landlords/owners.*  
*(2) Participate in local landlord/owners association;*  
*(3) Advertise availability in local newspaper.*
- Increase voucher payment standards;  
*Goal: Propose to compare standards annually with HUD's FMR and increase accordingly.*  
*Goal: Year 1 through Year 5.*
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)  
*Investigate feasibility of voucher homeownership program in Year 2.*  
*Goal: to offer program to five participants in Year 3; five participants in Year 4;*  
*And five participants in Year 5.*

• **Statement of Progress in Meeting the 5-Year Goals and Objectives.**

1. PHA advises all applicants of portability during the briefing and all are advised at annual recertification
2. a. PHA held annual landlord meeting September 7, 2000; approximately 40 in attendance.  
b. Section 8 staff attends local monthly, landlord association meetings and trainings lead-based paint regulations.  
c. The PHA advertised landlord meeting in newspaper, fliers and follow-up reminders were mailed to all landlords on program.
3. The PHA analyzed FMRs to determine percentage of clients paying over 30% of income and plans to monitor standards according to bedroom sizes and if there is more in certain categories, raise them 110%.
4. The PHA plans to tie homeownership to the FSS program, conduct outreach and set up mechanics of program and plan of action for qualifying and contacts with local lending institutions. The PHA is amending its goal of 5 homeownerships to 2 homeowners in Year 3-5, for total of 10 homeownerships in five years.

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment  
Objectives:
  - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements:  
*Apply to HUD for grant funds to install video security cameras in lobbies of high-rises for elderly/disabled.*  
*Goal: 1<sup>st</sup> Year.*
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other: (list below)

- a. *Investigate feasibility of marketing public housing units to attract higher-income applicants.  
Goal: 1<sup>st</sup> year;*
- b. *Continue efforts to attract higher-income households.  
Goal: 2<sup>nd</sup> Year.*

*Investigate feasibility of developing a plan to designate housing for elderly only, and an alternative plan to house non-elderly persons with disabilities, if all efforts to effect a workable program fails to reconcile both groups of residents, elderly and young disabled. Goal 2<sup>nd</sup> Year:*

*Continue providing a limited number of alternative single-family standard-housing on scattered sites in the community for low-income (assisted or non-assisted) families; On-going goal Year 1 - Year 5;*

*Continue managing a non-assisted senior multifamily retirement center; On-going goal Year 1 -Year 5:*

• **Statement of Progress in Meeting the 5-Year Goals and Objectives**

1. The PHA was awarded a 1999 ROSS Grant in which security improvement equipment was included. Equipment has been ordered and installed. Goal accomplished.
2. The PHA has partnered with local medical provider to establish drug/alcohol rehabilitation center within the Eastgate public housing project to provide substance abuse for women with children within the community funded by US Department of Health and Human Services. Twelve set-aside units are in process of being renovated for the program.
3.
  - a. The PHA has marketed units on radio, newspaper, banners on buildings, yard signs and adopted flat rent, and takes applications on Saturday mornings. Has marketed units but has not met goals. Will continue with efforts to attract applicants including higher income households.
  - b. PHA plans to use some of the Capital funds to convert zero bedrooms to one-bedroom units to attract applicants. A small stipend has been offered as incentive for referrals from other residents with no takers at this writing.
  - c. The PHA plans to continue offering limited number of single-family units for low-income families.
  - d. The PHA plans to continue managing a non-assisted senior multifamily retirement center.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
  - Increase the number and percentage of employed persons in assisted families:  
*Goal: On-going Volunteer FSS Program, Year 1 - Year 5*
  - Provide or attract supportive services to improve assistance recipients' employability:
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities.  
*Goal: Year 2. Initiate program of supportive services for independence of persons with disabilities.*
- Other: (list below)
  - a. *Continue with FSS program of supportive services and referrals to improve clients' employability.  
Goal: Year 1-Year 5.*
  - b. *Propose to apply to HUD annually for continuous funding of two service coordinator grants: (1) for assisted families and (2) elderly and families with disabilities.  
Goal: Year 1 - Year 5.*
  - c. *If feasible, continue to manage a Welfare-to-Work (TEA) center managed by the housing authority supported by funding from the State of Arkansas.  
Goal: Year 1 - Year 5.*
  - d. *Apply to HUD for grant to provide resident service delivery program for its senior population.  
Goal: Year 1.*
  - e. *Continue with the Resident Initiatives Program(FSS) in family public housing to promote self-sufficiency.  
Goal: Year 1 through Year 5.*

- f. *Continue with a limited resident stipends program to provide on-the-job training skills by performing miscellaneous jobs for the PHA.  
Goal: \$3,500 budget per year. Year 1 through Year 5.*
- g. *Continue on-going adult education program and computer training classes for family public housing residents.  
Goal: Year 1 through Year 5.*

• **Statement of Progress in Meeting the 5-Year Goals and Objectives.**

1. On-going volunteer FSS program. PHA is working with 77 families of which 32 have participated in voluntary self-sufficiency programs.
2. a. Each family continues to receive case management, supportive services and referrals
3. b. The PHA proposes to apply to HUD annually for continuous funding of two service coordinator grants for assisted families and for elderly and families with disabilities.
- c. Consider feasibility of continuing welfare-to-work center.
- d. The PHA was awarded 1999 ROSS grant for elderly and disabled residents. A local medical facility is providing services to the residents.
- e. The PHA plans to continue with the resident initiatives programs in family public housing.
- f. The PHA plans to continue with a limited resident stipend program to provide on-the-job training skills by performing miscellaneous jobs.
- g. The PHA plans to continue offering adult education and computer training classes for family public housing residents.

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)
    - a. *Monitor, maintain and continue to promote affirmative measures to ensure access to assisted housing and provide a suitable living environment for all families living in assisted housing, ensure accessible housing to persons with disabilities, all regardless of race, color, religion, national origin, sex, familial status and disability. Goal: Year 1 - Year 5.*
    - b. *Continue to use equal housing logo/reference in all advertisements for employment, housing and contracting opportunities.*

• **Statement of Progress in Meeting the 5-Year Goals and Objectives.**

1. The PHA plans to continue its on-going program of ensuring equal opportunity in housing for all persons.

**OTHER PHA GOALS AND OBJECTIVES: (LIST BELOW)**

**PHA GOAL: Safety and Crime Prevention**

- PHA Goal: Promote safety and activities for crime/illegal drug prevention Objectives:

- Strive to improve image of public housing through positive press and involvement with community - based organizations.  
 Goal: *Year 1 through Year 5*
- Continue surveying residents annually to assess the safety of residents in four family housing developments.  
 Goal: *Year 1 through Year 5*
- Undertake self-defense education for residents and establish neighborhood crime watches in four family housing developments by partnering with local law enforcement.  
 Goal: *Year 1*
- Continue to support C.O.P. police substations in three of four family housing developments.  
 Goal: *Year 1 through Year 5*
- Continue applicant criminal background checks.  
 Goal: *Year 1 through Year 5*
- Continue promoting crime/illegal drug prevention activities.  
 Goal: *Year 1 through Year 5.*

- **Statement of Progress in Meeting the 5-Year Goals and Objectives.**

1. The PHA plans to continue on-going program to improve image of public housing through positive press.
2. The PHA plans to continue applying for Technical Assistance Grant and survey residents.
3. The substation police have tried unsuccessfully to organize a crime watch with no interest from the residents. They also conduct a Karate class for the youth.
4. The PHA plans to continue supporting the C.O.P. police substations in three of the four public housing projects with PHDEP grant monies.
5. The PHA plans to continue criminal background checks on applicants.
6. The PHA plans to add more activities to involve more partnerships for youth of the family public housing projects after school, weekends and summer months.

## Annual PHA Plan

### PHA Fiscal Year 2000/2001

[24 CFR Part 903.7]

#### **i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

Standard Plan

#### **Streamlined Plan:**

- High Performing PHA  
 Small Agency (<250 Public Housing Units)  
 Administering Section 8 Only

Troubled Agency Plan

#### **ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**N/A - Eliminated by PIH 99-51 Dated December 14, 1999**

#### **iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

#### Table of Contents

##### **5-Year Plan 2000-04; 2001-05**

- A. Mission
- B. Goals
  - Objectives
  - Statements of Progress Meeting the 5-Year Plan Goals and Objectives

##### **Annual Plan 2000-01; 2001-02**

	<u>2000 Pages</u>	<u>2001 Pages</u>
i. Executive Summary	N/A	
ii. Table of Contents	1	11
1. Housing Needs	5	16
2. Financial Resources	13	25
3. Policies on Eligibility, Selection and Admissions	14	26
4. Rent Determination Policies	24	34
5. Operations and Management Policies	28	38
6. Grievance Procedures	29	39
7. Capital Improvement Needs	30	39
8. Demolition and Disposition	32	41
9. Designation of Housing	33	42
10. Conversions of Public Housing	34	43
11. Homeownership	36	44
12. Community Service Programs	38	45

13. Crime and Safety	40	48
14. Pet Ownership in Public Housing Developments	43	49
15. Civil Rights Certifications (included with PHA Plan Certifications)		
16. Audit	43	50
17. Asset Management	43	50
18. Other Information		

### Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### Required Attachments:

- Admissions Policy for Deconcentration for FY2000- Inserted, See 3.A, Item 6 – N/A PIH 99-51; FY2001: see updated statement under category: Other, Sec. 3.A, Item 6.
- FY 2000 & FY2001 Capital Fund Program Annual Statement – Inserted, Attachment #2
- FY 2000 & FY2001 Capital Fund Program 5 Year Action Plan & FY2001 P & E Report – Inserted, Attachment #3
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

#### Optional Attachments:

- PHA Management Organizational Chart – Separate file, AR002a04
  - FY2000 & FY2001 Public Housing Drug Elimination Program (PHDEP) Plan – Inserted, Attachment #1
  - Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text); Inserted, See 18.A FY2000 & FY2001
  - Other (List below, providing each attachment name)
    - FY2001: Attachment #4, Substantial Deviation and Significant Amendment or Modification from the 5-Year/Annual Plan.
    - FY2001: Attachment #5, Public Housing Resident Community Service Requirement
    - FY2001: Attachment #6, Pet Ownership in Public Housing Family Developments
    - FY2001: Attachment #7, PHA Response to REAC Customer Service and Satisfaction Survey.
    - FY2001: Attachment #8, PHA Resident Advisory Board Membership
    - FY2001: Attachment #9, Resident Membership of the PHA Governing Board.
    - FY2001: Attachment #10, Section 8 Homeownership Capacity Statement.
- Notice: Brief Statements of Progress Meeting the 5-Year Plan and Objectives included within the 5-Year Plan Goals and Objectives above.**

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Statement reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program 6/30/00; 6/30/01	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
x	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
x	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures	Annual Plan: Grievance

**List of Supporting Documents Available for Review**

Applicable & On Display	Supporting Document	Applicable Plan Component
	<input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Procedures
x	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
x	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
x	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
x	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
x	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
x	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

**1. Statement of Housing Needs**

[24 CFR Part 903.7 9 (a)]

**A.1. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

<b>Housing Needs of Families in the Jurisdiction by Family Type</b>							
<b>FY2000: Section 8 tenant-based assistance</b>							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1574	5	4	3	1	1	3

**Housing Needs of Families in the Jurisdiction  
by Family Type**

**FY2000: Section 8 tenant-based assistance**

Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income >30% but <=50% of AMI	1574	5	4	3	1	1	3
Income >50% but <80% of AMI	1986	2	3	1	1	1	3
Elderly	1122	5	4	5	1	1	3
Families with Disabilities	682	5	4	3	5	1	3
Race/Ethnicity	B-1509-2	5	4	3	1	1	3
Race/Ethnicity	W-3625-2	5	4	3	1	1	3
Race/Ethnicity							
Race/Ethnicity							

**Housing Needs of Families in the Jurisdiction  
by Family Type**

**FY2001: Section 8 tenant-based assistance**

Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1119	5	1	NA	NA	NA	5
Income >30% but <=50% of AMI	1119	5	1	NA	NA	NA	5
Income >50% but <80% of AMI	1774	5	1	NA	NA	NA	5
Elderly	1122	5	1	NA	NA	NA	5
Families with Disabilities	1417	5	1	NA	3	NA	5
Race/Ethnicity	B-1509-2	5	4	NA	NA	NA	5
Race/Ethnicity	W-3625-2	5	1	NA	NA	NA	5
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 1995-99
- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2000-05
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)

**A.2. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Public Housing: FY2000 & FY2001							
Family Type	Overall	Afford-ability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	5	4	3	3	2	3	5
Income >30% but <=50% of AMI	1	1	1	1	1	1	1
Income >50% but <80% of AMI	1	1	1	1	1	1	1
Elderly							
Families with Disabilities							
Race/Ethnicity							
Race/Ethnicity							
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)
  - a. *The North Little Rock Housing Authority’s waiting list data.*

**B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

State the housing needs of the families on the PHA’s waiting list/s. Complete one table for each type of PHA-wide waiting list administered by the PHA. PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance <b>FY2000</b>		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover

### Housing Needs of Families on the Waiting List

Waiting list total	158		340
Extremely low income <=30% AMI	109	69%	
Very low income (>30% but <=50% AMI)	49	31%	
Low income (>50% but <80% AMI)	--	--	
Families with children	140	89%	
Elderly families	11	7%	
Families with Disabilities	7	4%	
Race/ethnicity	150-B-2	95%	
Race/ethnicity	8-W-2	5%	
Race/ethnicity			
Race/ethnicity			

Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Is the waiting list closed (select one)?  No  Yes  
 If yes:  
 How long has it been closed (# of months)? 2 months  
 Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes  
 Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)  
 Section 8 tenant-based assistance **FY2001**  
 Public Housing  
 Combined Section 8 and Public Housing  
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)  
 If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	314		204
Extremely low	189	60%	

### Housing Needs of Families on the Waiting List

income <=30% AMI			
Very low income (>30% but <=50% AMI)	125	40%	
Low income (>50% but <80% AMI)	--	--	
Families with children	274	87%	
Elderly families	8	3%	
Families with Disabilities	33	11%	
Race/ethnicity	150-B-2 287	91%	
Race/ethnicity	W-2 25	8%	
Race/ethnicity	2-Other	.06%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 8 months			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

### Housing Needs of Families on the Waiting List

Waiting list type: (select one) FY2000			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing 10/25/99			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	152		526/50%
Extremely low income <=30% AMI	152	100%	
Very low income	-0-	0%	

### Housing Needs of Families on the Waiting List

(>30% but <=50% AMI)			
Low income (>50% but <80% AMI)	-0-	0%	
Families with children	118	78%	
Elderly families	34	22%	
Families with Disabilities			
Race/ethnicity-family - 1	6	4%	
Race/ethnicity-family - 2	112	75%	
Race/ethnicity- elderly - 1	20	13%	
Race/ethnicity - elderly - 2	12	8%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	98	65%	
2 BR	28	18%	
3 BR	14	9%	
4 BR	12	8%	
5 BR	-0-	0%	
5+ BR	-0-	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### Housing Needs of Families on the Waiting List

Waiting list type: (select one) FY2001			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing 10/26/00			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	199		221/30%
Extremely low income <=30% AMI	199	100%	
Very low income (>30% but <=50% AMI)	-0-	0%	
Low income (>50% but <80% AMI)	-0-	0%	
Families with children	147	74%	
Elderly families	52	26%	
Families with Disabilities			

### Housing Needs of Families on the Waiting List

Race/ethnicity-family - 1	9	4%	
Race/ethnicity-family – 2	138	69%	
Race/ethnicity- elderly – 1	31	16%	
Race/ethnicity – elderly – 2	21	11%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	135	68%	
2 BR	38	19%	
3 BR	14	9%	
4 BR	7	3%	
5 BR	-0-	0%	
5+ BR	-0-	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? N/A			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

#### Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)
  - a. *Study feasibility of designation of public housing for the elderly.*

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)
  - a. *Study feasibility of designation of public housing for families with disabilities.*

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)
  - a. *Continue with on-going program of counseling Section 8 tenants as to location of units outside areas of poverty or minority concentration.*
  - b. *Continue with agency representative on the CHRB (Community Housing Resource Board) to continue outreach and education on fair housing.*
  - c. *Continue to cooperate with the City’s Community Development Agency to promote fair housing, review local laws and procedures to assure up-to-date compliance language.*

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2001 grants)</b>		
a) Public Housing Operating Fund	1,498,000	

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
b) Public Housing Capital Fund	1,398,829	
c) HOPE VI Revitalization	-0-	
d) HOPE VI Demolition	-0-	
e) Annual Contributions for Section 8 Tenant-Based Assistance	3,850,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	241,372	
g) Resident Opportunity and Self- Sufficiency Grants	53,272	
h) Community Development Block Grant	-0-	
i) HOME	-0-	
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
95 Service Coordinator Grant Ext.	40,755	PH supportive services
2000 ROSS Grant (Elderly)	22,500	PH supportive services
2000 ROSS Grant (Family)	50,000	PH safety & security
99 PHDEP	131,597	PH safety & security
2000 PHDEP	120,686	PH safety & security
99 CGP	195,000	Capitol Expenditures
2000 Capitol Funds	765,552	Capitol Expenditures
<b>3. Public Housing Dwelling Rental Income</b>	1,611,000	PH operations
<b>4. Other income</b> (list below)		
Operating reserves	724,150	Operations & reserves
<b>4. Non-federal sources</b> (list below)		
Management fee	35,000	PH operations of Scattered site housing
<b>Total resources</b>	<b>10,737,713</b>	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.79 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)

- Other: (describe)  
*a. Families are determined eligible within approximately two weeks of applying for a unit. The applicant families' eligibility is re-determined after 90 days on the waiting list. The approximate waiting periods for different bedroom sizes may range from immediate to six month to be housed.*

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity  
 Rental history  
 Housekeeping  
 Other (describe)  
Balance owed to PHA and other landlords.

- c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?  
d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?  
e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

## **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list  
 Sub-jurisdictional lists  
 Site-based waiting lists  
 Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office  
 PHA development site management office  
 Other (list below)

a. *PHA Leasing Office: 2501 Willow Street, North Little Rock, AR.*

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office  
 All PHA development management offices  
 Management offices at developments with site-based waiting lists

- At the development to which they would like to apply
- Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or more

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs

- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
*Working family preference only.*

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

#### 1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
a. #2 – *working family.*

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

#### **(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision

- Other (list)  
a. *Within 10 days of change.*

**(6) Deconcentration and Income Mixing** - FY2000 N/A – Eliminated by PIH-99-51.

a.  Yes  No: FY2000: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

aa.  Yes  No: FY2001: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?  
*See statement below under 'Other.'*

b.  Yes  No: FY2000: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of sitebased waiting lists  
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:

Employing new admission preferences at targeted developments  
If selected, list targeted developments below:

Other (list policies and developments targeted below)  
*FY2000: Included in PHA Statement of Policies: The PHA has adopted a system of income targeting ranges by development to provide for de-concentration of poverty and income-mixing by bringing higher income tenants into lower income projects and lower income tenants into higher income projects, to avoid concentrations of the most economically deprived families with serious social problems.*

*FY2001: The PHA conducted an analysis of all public housing residents and all applicants on its waiting lists that revealed that all incomes are below the median family income limit for the MSA and determined that no action will be taken at this time. However, the PHA will continue to monitor the average incomes of families and make adjustments for a family whose income is above the median average.*

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was 'yes,' how would you describe these changes? (select all that apply)

- Additional affirmative marketing  
 Actions to improve the marketability of certain developments  
 Adoption or adjustment of ceiling rents for certain developments  
 Adoption of rent incentives to encourage deconcentration of poverty and income-mixing  
 Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts  
 List (any applicable) developments below:

*All family developments: Silver City Courts, Hemlock Courts, Eastgate Terrace, and Windemere Hills.  
Comment: Tracking will not reflect retention of higher income families due to HUD-required Resident Income Disallowances and phase-in rent regulations.*

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts.  
*Comment: Family development residents are at or below 30% of median income.*

List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation  
 Criminal and drug-related activity, more extensively than required by law or regulation  
 More general screening than criminal and drug-related activity (list factors below)  
 Other (list below)

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity  
 Other (describe below)

### **(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None  
 Federal public housing  
 Federal moderate rehabilitation  
 Federal project-based certificate program  
 Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office  
 Other (list below)

*Housing Assistance Office, 2501 Willow Street, North Little Rock, AR*

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

1. *Disability requiring assistance with housing search;*
2. *Verifiable medical emergencies;*
3. *To comply with the 40% limit on tenant rent.*

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1      Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Victims of domestic violence  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

#### **(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

#### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

##### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member  
 For increases in earned income  
 Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:

- For household heads  
 For other family members  
 For transportation expenses  
 For the non-reimbursed medical expenses of non-disabled or non-elderly families  
 Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

h.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

*PHA plans to have program available on case-by-case basis at request of tenant.*

## **(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

*Current Section 8 Fair Market Rents*

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

### **(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## 5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.  
 A brief description of the management structure and organization of the PHA follows:

### B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	1054	372
Section 8 Vouchers	860	150
Section 8 Certificates	N/A	
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)	15	5
Public Housing Drug Elimination Program (PHDEP)	325	300
Other Federal Programs(list individually)		

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
  - Admission and Occupancy Policy*
  - Preventive Maintenance Policy*
  - Procurement Policy*
  - Pest eradication contracted with private pest control firm*
- (2) Section 8 Management: (list below)
  - Section 8 Administrative Plan*

## 6. PHA Grievance Procedures N/A

[24 CFR Part 903.79 (f)]

Exemptions from component 6: **High performing** PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office  
 PHA development management offices  
 Other (list below)

### B. Section 8 Tenant-Based Assistance

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

A. *Housing Assistance Office, 2501 Willow Street, North Little Rock, AR*

## 7. Capital Improvement Needs

[24 CFR Part 903.79 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### (1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) AR

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

**(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) AR

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

**B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

*The PHA plans to investigate the feasibility of applying for funds to revitalize the Eastgate Terrace project.*

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

## **9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	

- Conversion Plan in development
- Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- Conversion Plan approved by HUD on: (DD/MM/YYYY)
- Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- Units addressed in a pending or approved demolition application (date submitted or approved: \_\_\_\_\_)
- Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: \_\_\_\_\_)
- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: \_\_\_\_\_)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

**Public Housing Homeownership Activity Description  
(Complete one for each development affected)**

1a. Development name:

1b. Development (project) number:

2. Federal Program authority:

- HOPE I
- 5(h)
- Turnkey III

<input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <u>(DD/MM/YYYY)</u>
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

**B. Section 8 Tenant Based Assistance**

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

**12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

**A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

## Services and Programs

Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>EDSS Grant (Economic Development &amp; Supportive Services). Purpose is to assist public housing and Section 8 clients finds jobs, prepare for employment, secure education, move to self-sufficiency through supportive services.</i>	<i>194 clients</i>	<i>Referrals and Family needs assessment from new residents – Public housing &amp; Section 8</i>	<i>Centrally located within city. Family Development TEA/TANF Center located across street from largest public housing family development.</i>	<i>Both public housing and Section 8 programs. Few referrals from Adult Education located in public housing site.</i>

### **(2) Family Self Sufficiency program/s**

#### a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	-0-	
Section 8	75	77 (11-30-99)

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

### **C. Welfare Benefit Reductions**

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
  - Informing residents of new policy on admission and reexamination
  - Actively notifying residents of new policy at times in addition to admission and reexamination.
  - Pursue a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
  - Pursue establishing a protocol for exchange of information with all appropriate TANF agencies
  - Other: (list below)

### **D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937**

FY2001: Implementation of Public Housing Resident Community Services Requirements effective July 1, 2001. See Attachment #4.

### **13. PHA Safety and Crime Prevention Measures**

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

**A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)
  - a. *The public's misconception about high crime in public housing and it being a dangerous place to live.*

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)
  - Collaborative efforts by community organizations, local government, law enforcement agencies.

3. Which developments are most affected? (list below)

- a. *Silver City Courts*
- b. *Eastgate Terrace*

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)
  - a. *Partnerships with community organizations serving youth and families;*
  - b. *Police Athletic League.*

2. Which developments are most affected? (list below)

- a. *All family developments, Silver City Courts, Windemere Hills, Hemlock Courts, Eastgate Terrace Projects.*

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and occasionally with residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)
  - a. *Applicant criminal background checks;*
  - b. *Prevention activities available to project youth;*

3. Which developments are most affected? (list below)  
a. *All public housing family projects*

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment File name: ???\_???)

**14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

FY2001: Pet Ownership in Public Housing Family Developments, effective July 1, 2001.  
Attachment #5.

**15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

**16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

- 1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
- 2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
- 3.  Yes  No: Were there any findings as the result of that audit?
- 4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
- 5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

**17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

### **18. Other Information**

[24 CFR Part 903.7 9 (r)]

#### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name)
- FY2000, Provided below:
  1. *Request for Capital Improvements (HOPE IV) Eastgate.*
  2. *Increase social activities (Hemlock).*
  3. *Increase lighting and security (Hemlock).*
  4. *Positive comments on proposed plan and activities.*

FY2001, Provided below:

1. *PHA needs to work closer with resident councils, better relationships between management and tenants, provide better maintenance.*
2. *Expand staff training program to include residents who request to attend.*
3. *Remodel family apartment units, install new plumbing and sewer lines, new heating systems.*
4. *Provide more programs for the children, need child-care and transportation for those who participate in community services program.*

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments  
List changes below:
- Other: (list below)

#### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

*North Little Rock Community Development Agency*

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.  
**Attachments**

use this section to provide any additional attachments referenced in the Plans.

## ATTACHMENT #1: FY 2000

### Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

#### Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

#### Section 1: General Information/History

A. Amount of PHDEP Grant \$241,372

B. Eligibility type (Indicate with an "x") N1 \_\_\_\_\_ N2 \_\_\_\_\_ R X \_\_\_\_\_

C. FFY in which funding is requested 2000

#### D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

A majority of PHDEP activities are targeted to develop resiliency in youth, through education and positive nurturing relationships of volunteers and staff of our partners. Seventy-nine youth are actively participating in the tutoring and substance abuse program that is strengthening their ability to resist illegal drugs, 72 of these youth have not participated in illegal drug activity. Twenty-nine youth from Silver City Courts have participated in after school tutoring. One hundred and eight youth have participated in positive, safe recreational and cultural activities that have contributed to Hemlock Courts remaining gang free.

#### E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Silver City Courts	148	92
Hemlock Courts	106	113
Eastgate Terrace	178	202
Windemere Hills	75	81

#### F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months \_\_\_\_\_ 12 Months X 18 Months \_\_\_\_\_ 24 Months \_\_\_\_\_ Other \_\_\_\_\_

#### G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date

FY 1995	322,500	AR37P0020195	-0-	N/A	N/A
FY 1996	322,500	AR37P0020196	-0-	N/A	N/A
FY 1997	321,000	AR37P0020197	-0-	N/A	N/A
FY 1998	321,000	AR37P0020198	167,187		
FY 1999	231,000	AR37P0020199	241,372		

## Section 2: PHDEP Plan Goals and Budget

### A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The housing authority has an extensive network of partners several public and private organizations who provide programs on site and in the community that benefit the residents supported by thousands of hours from volunteers. The gymnasium in Eastgate Terrace received a first place award in community development from the Arkansas Chamber of Commerce for extensive cooperation and sharing of resources between partners in developing the facility. It is equipped with basketball courts, ping pong, foosball tables, office space, computer room, an audio-visual system that is used for education, community presentations and gatherings. Staffing and coordination of activities are provided by STEP Ministries at no cost to the authority. STEP is a partnership of churches that invest their resources in the lives of Eastgate youth through mentoring programs such as tutoring, leadership and friendship club and partnering that occur on site. Other on site partnerships include the Ouachita Girl Scout Council, River City Ministries and NLR Boys and Girls Club. NLR Police officers continue to serve as Community Oriented Police (COPS) housing developments where they have substations and work with youth through the Police Athletic League (PAL) and Explorer Scout Program.

### B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

<b>FY 2000 PHDEP Budget Summary</b>	
<b>Budget Line Item</b>	<b>Total Funding</b>
9110 - Reimbursement of Law Enforcement	188,578
9120 - Security Personnel	N/A
9130 - Employment of Investigators	N/A
9140 - Voluntary Tenant Patrol	N/A
9150 - Physical Improvements	N/A
9160 - Drug Prevention	N/A
9170 - Drug Intervention	12,000
9180 - Drug Treatment	N/A
9190 - Other Program Costs	40,794
<b>TOTAL PHDEP FUNDING</b>	<b>241,372</b>

### C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise— not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9110 - Reimbursement of Law Enforcement</b>					<b>Total PHDEP Funding: \$200,578</b>		
Goal(s)	Establish police substation in three family developments						
Objectives	Drug free public housing developments						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Police Substations			07/01/00	06/30/01	188,578	95,728	There are three full functional police substation in our family developments. Officers

							interact very well with the children, youth and adults.
2. Background checks			07/01/00	06/30/01	12,000	12,000	To assure that each applicant is clear of any drug or criminal activities

9190 - Other Program Costs						Total PHDEP Funds: \$31, 019	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Program Manager Salary and Fringe			07/01/00	06/30/01	31,019	5,443	Drug Prevention Coordinator is working with the police department and other agencies to prevent drugs and criminal activity in the family developments
2. Drug Prevention Education and Activities			07/01/00	06/30/01	9,775		
3.							

### Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Reimburse Law Enforcement	200,578	Reimburse Law Enforcement	200,578
9120	N/A	N/A	N/A	N/A
9130	N/A	N/A	N/A	N/A
9140	N/A	N/A	N/A	N/A
9150	N/A	9,775	N/A	9,775
9160	Drug Prevention	N/A	N/A	N/A
9170	Drug Intervention	12,000	Drug Intervention	12,000
9180	N/A	N/A	N/A	N/A
9190	Other Program Cost	31,019	Other Program Cost	31,019
<b>TOTAL</b>		\$241,372		\$241,372

### Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

# ATTACHMENT #1a

## Public Housing Drug Elimination Program Plan

**Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.**

### Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

### Section 1: General Information/History

**A. Amount of PHDEP Grant** \$241,372

**B. Eligibility type (Indicate with an "x")** N1 \_\_\_\_\_ N2 \_\_\_\_\_ R X \_\_\_\_\_

**C. FFY in which funding is requested** 2001

**D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

A majority of PHDEP activities are targeted to develop resiliency in youth, through education and positive nurturing relationships of volunteers and staff of our partners. Seventy-nine youth are actively participating in the tutoring and substance abuse program that is strengthening their ability to resist illegal drugs, 72 of these youth have not participated in illegal drug activity. Twenty-nine youth from Silver City Courts have participated in after school tutoring. One hundred and eight youth have participated in positive, safe recreational and cultural activities that have contributed to Hemlock Courts remaining gang free.

### **E. Target Areas**

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Silver City Courts	148	92
Hemlock Courts	106	113
Eastgate Terrace	178	202
Windemere Hills	75	81

### **F. Duration of Program**

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months \_\_\_\_\_ 12 Months X 18 Months \_\_\_\_\_ 24 Months \_\_\_\_\_ Other \_\_\_\_\_

### **G. PHDEP Program History**

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	322,500	AR37P0020195	-0-	N/A	N/A
FY 1996	322,500	AR37P0020196	-0-	N/A	N/A
FY 1997	321,000	AR37P0020197	-0-	N/A	N/A
FY 1998	321,000	AR37P0020198	-0-	N/A	

FY 1999	231,000	AR37P0020199	160,043		11/30/01
FY 2000	241,372	AR37DEP0020100	241,372		01/24/02

**Section 2: PHDEP Plan Goals and Budget**

**A. PHDEP Plan Summary**

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The housing authority has an extensive network of partners several public and private organizations who provide programs on site and in the community that benefit the residents supported by thousands of hours from volunteers. The gymnasium in Eastgate Terrace received a first place award in community development from the Arkansas Chamber of Commerce for extensive cooperation and sharing of resources between partners in developing the facility. It is equipped with basketball courts, ping pong, foosball tables, office space, computer room, an audio-visual system that is used for education, community presentations and gatherings. Staffing and coordination of activities are provided by STEP Ministries at no cost to the authority. STEP is a partnership of churches that invest their resources in the lives of Eastgate youth through mentoring programs such as tutoring, leadership and friendship club and partnering that occur on site. Other on site partnerships include the Ouachita Girl Scout Council, River City Ministries and NLR Boys and Girls Club. NLR Police officers continue to serve as Community Oriented Police (COPS) housing developments where they have substations and work with youth through the Police Athletic League (PAL) and Explorer Scout Program.

**B. PHDEP Budget Summary**

Enter the total amount of PHDEP funding allocated to each line item.

<b>FY 2001 PHDEP Budget Summary</b>	
<b>Budget Line Item</b>	<b>Total Funding</b>
9110 - Reimbursement of Law Enforcement	196,352
9120 - Security Personnel	N/A
9130 - Employment of Investigators	N/A
9140 - Voluntary Tenant Patrol	N/A
9150 - Physical Improvements	N/A
9160 - Drug Prevention	N/A
9180 - Drug Treatment	N/A
9190 - Other Program Costs	45,020
<b>TOTAL PHDEP FUNDING</b>	<b>241,372</b>

**D. PHDEP Plan Goals and Activities**

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

<b>9110 - Reimbursement of Law Enforcement</b>						<b>Total PHDEP Funding: \$196,352</b>	
Goal(s)		Establish police substation in three family developments					
Objectives		Drug free public housing developments					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Police Substations			07/01/01	06/30/02	188,578	95,728	There are three full functional police substation in our family developments. Officers interact very well with the children, youth and adults.
2. Surveillance Equipment Security Light and Equipment			07/01/01	06/30/02	7,774		

<b>9190 - Other Program Costs</b>	<b>Total PHDEP Funds: \$45,020</b>

Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Program Manager Salary and Fringe			07/01/01	06/30/02	35,245	5,443	Drug Prevention Coordinator is working with the police department and other agencies to prevent drugs and criminal activity in the family developments
2. Drug Prevention Education and Activities			07/01/01	06/30/02	9,775		

**Section 3: Expenditure/Obligation Milestones** indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Reimburse Law Enforcement	196,352	Reimburse Law Enforcement	196,352
9120	N/A	N/A	N/A	N/A
9130	N/A	N/A	N/A	N/A
9140	N/A	N/A	N/A	N/A
9160	Drug Prevention	N/A	N/A	N/A
9180	N/A	N/A	N/A	N/A
9190	Other Program Cost	45,020	Other Program Cost	45,020
<b>TOTAL</b>		\$241,372		\$241,372

**Section 4: Certifications**

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

**PHA Plan  
Table Library**

**Component 7**

**ATTACHMENT #2: FY2000**

**Capital Fund Program Annual Statement  
Parts I, II, and II**

**Annual Statement**

**Capital Fund Program (CFP) Part I: Summary**

**Capital Fund Grant Number AR37P002709 FFY of Grant Approval: 2000**

**Amount of Annual Grant (line 20) average of 1998 (\$1,023,286 and 1999 (\$1,198,055)**

**Original Annual Statement**

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	-0-
2	1406 Operations	150,230
3	1408 Management Improvements	70,000
4	1410 Administration	100,000
5	1411 Audit	440
6	1415 Liquidated Damages	
7	1430 Fees and Costs	20,000
8	1440 Site Acquisition	
9	1450 Site Improvement	140,000
10	1460 Dwelling Structures	545,000
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	85,000
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>1,110,670</b>
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

form HUD-52837

**Annual Statement**  
**Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
Operations		1406	150,230
AR 2-All	Additional accounting clerk needed to assist w/large number of programs	1408	25,000
AR 2-All	Part-time Resident Initiative Coordinator to assist FSS Coordinator w/resident programs	1408	17,000
AR 2-All	HVAC Technician to assist all projects	1408	28,000
AR 2-All	Administration of Capital Fund	1410	100,000
AR 2-All	Capital Fund portion of audit cost	1411	440
AR002006 Campus Towers	Engineering cost brick panel repairs	1430	20,000
AR002003 Eastgate Terrace	Replace entire water lines and mains throughout and install individual water meters	1450	140,000
AR002003 Eastgate Terrace	Renovate 75 units; paint, floor tile, cabinets, plumbing	1460	125,000

AR002003 Eastgate Terrace	Renovate floors upstairs units (in phases)	1460	100,000
AR002006 Campus Towers	Brick Panel Repairs	1460	280,000
AR002006 Campus Towers	New Compactor	1460	20,000
AR002007 Willow House	New Compactor	1460	20,000
Contingency Account		1502	85,000

form HUD-52837

**Annual Statement**

**Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
Management Improvements	9/30/01	9/30/03
Administrative Costs	9/30/01	9/30/03
Audit Cost	9/30/01	9/30/03
AR002001	N/A	N/A
Silver City Courts	N/A	N/A
Hemlock Courts	N/A	N/A
AR002002	9/30/01	9/30/03
Eastgate Terrace AR002003 "A"	N/A	N/A
Eastgate Terrace AR002003 "B"	N/A	N/A
Windemere Hills	N/A	N/A
AR002004	N/A	N/A
Heritage House	N/A	N/A
AR002005	9/30/01	9/30/03
Campus Towers	9/30/01	9/30/03
AR002006	9/30/01	9/30/03
Willow House AR002007	N/A	N/A
S.W. Bowker AR002022	N/A	N/A
AR2-ALL	N/A	N/A

form HUD-52837

## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

### ATTACHMENT #3: FY2000

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AR 006P002001	Silver City Courts Total Units - 147	16	As of 12/22/99 10.9%
<b>Description of Needed Physical Improvements or Management Improvements</b>		<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Closet Doors (50 units)		40,000	2001 Year 2
Roof replacement		42,000	2001 Year 2
Replace sewer line throughout		250,000	2001 Year 2
<b>Total estimated cost over next 5 years</b>		<b>332,000</b>	

form HUD-52834

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AR 006P002002	Hemlock Courts Total Units - 84	5	As of 12/22/99 6%
<b>Description of Needed Physical Improvements or Management Improvements</b>		<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Central heating units		10,000	2001 Year 2
Replace sewer line throughout		250,000	2004 Year 5
<b>Total estimated cost over next 5 years</b>		<b>260,000</b>	

form HUD-52834

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AR 006P002003	Eastgate Terrace Total Units 190	18	As of 12/22/99 9.5%
<b>Description of Needed Physical Improvements or Management Improvements</b>		<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Replace roof 16 buildings		70,000	2001 Year 2
Security screen doors		50,000	2001 Year 2
Sewer system		250,000	2002 Year 3
Pole lighting		10,000	2003 Year 4
100 water heaters		30,000	2003 Year 4
Bathroom heat lights		12,100	2004 Year 5
<b>Total estimated cost over next 5 years</b>		<b>422,100</b>	

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 006P002004	Windemere Hills Total Units - 75	1	As of 12/22/99 1.4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Forty-five heating units			19,500	2001 Year 2
Roof replacement 10 buildings			35,000	2001 Year 2
Replace sewer line throughout			250,000	2004 Year 5
Total estimated cost over next 5 years			304,500	

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 006P002005	Heritage House Total Units - 192	7	As of 12/22/99 3.7%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Replace screen doors			20,000	2001 Year 2
Replace main roof			225,000	2002 Year 3
New compactor			20,000	2002 Year 3
Replace all boilers			250,000	2003 Year 4
Total estimated cost over next 5 years			515,000	

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 006P002006	Campus Towers Total Units - 75	7	As of 12/22/99 9.4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Replace all boilers			250,000	2003 Year 4
Total estimated cost over next 5 years			250,000	

form HUD-52834

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development

AR 006P002007	Willow House Total Units - 216	4	As of 12/22/99 1.9%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Interior door modification			45,000	2001 year 2
Fire dampers			109,615	2001 year 2
Replace all boilers			250,000	2002 Year 3
Roof replacement			225,000	2003 Year 4
Total estimated cost over next 5 years			629,615	

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 006P002011	S. W. Bowker Apts. Total Units - 74	2	As of 12/22/99 2.8%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Replace all boilers			250,000	2004 Year 5
Total estimated cost over next 5 years			250,000	

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 2-ALL (Non-Dwelling Needs)	N/A	N/A	N/A	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
AR2-ALL Administration			100,000	2001 - Year 2
AR2-ALL Operations			144,115	2001 - Year 2
AR2-ALL Audit			440	2001- Year 2
AR2-ALL Contingency			85,000	2001 - Year 2
AR2-ALL Management Improvement			73,000	2001 - Year 2
AR2-ALL Non-Dwelling Equipment - Pick-up			17,000	2001 - Year 2
Total for 2001 - Year 2 - \$419,555				
AR2-ALL Administration			100,000	2002 - Year 3
AR2-ALL Operations			104,210	2002 - Year 3
AR2-ALL Audit			460	2002 - Year 3
AR2-ALL Contingency			85,000	2002 - Year 3
AR2-ALL Management Improvements			76,000	2002 - Year 3
Total 2002 - Year 3 - \$365,670				
AR2-ALL Administration			100,000	2003 - Year 4
AR2-ALL Operations			71,190	2003 - Year 4
AR2-ALL A&E Fees			25,000	2003 - Year 4
AR2-ALL Audit			480	2003 - Year 4

AR2-ALL Contingency	70,000	2003 - Year 4
AR2-ALL Management Improvements	79,000	2003 - Year 4
Total 2003 - Year 4 - \$345,670		
AR2-ALL Administration	100,000	2004- Year 5
AR2-ALL Operations	116,455	2004 - Year 5
AR2-ALL Audit	500	2004 - Year 5
AR2-ALL Contingency	50,000	2004 - Year 5
Management Improvements	81,615	2004 - Year 5
Total 2004 - Year 5 - \$348,570		
Total estimated cost over next 5 years	1,479,465	

form HUD-52834

## PHA Plan Table Library

### Component 7

### Capital Fund Program Annual Statement

### Parts I, II, and III

**ATTACHMENT #2a**

**Annual Statement**

**Capital Fund Program (CFP) Part I: Summary**

**Capital Fund Grant Number \_\_\_\_\_ FFY of Grant Approval: 2001**  
**Average 1999 (\$1,198,055) and Average 2000 (\$1,599,602) = \$1,398,829 estimated 2001**  
**Original Annual Statement**

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	-0-
2	1406 Operations	91,000
3	1408 Management Improvements	50,000
4	1410 Administration	100,000
5	1411 Audit	440
6	1415 Liquidated Damages	
7	1430 Fees and Costs	66,000
8	1440 Site Acquisition	
9	1450 Site Improvement	300,000
10	1460 Dwelling Structures	494,389
11	1465.1 Dwelling Equipment-Nonexpendable	117,000
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	102,000
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	78,000
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>1,398,829</b>
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

**Annual Statement  
Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
Operations		1406	91,000
AR 2-All	Part-time Resident Initiative Coordinator to assist FSS Coordinator w/resident programs	1408	20,000
AR 2-All	HVAC Technician to assist all projects	1408	30,000
AR 2-All	Administration of Capital Fund	1410	100,000
AR 2-All	Capital Fund portion of audit cost	1411	440
AR2-All	A&E Fees and Costs	1430	66,000
AR002002 Hemlock Courts	Replace sewer line throughout	1450	250,000
AR002003 Silver C.	Sewer line repairs/replacement	1450	50,000
AR002001 Silver C.	Repair exterior disconnet, meter base, broken boxes (in phases)	1460	20,000
AR002002 Hemlock	Electrical system Update	1460	10,000
AR002003 Eastgate Terrace	Security Screen Doors	1460	50,000
AR002004 Windemere Hills	Heating Units (45)	1460	12,000
AR002004 Windemere Hills	Roof replacement (10 buildings) Storage	1460	35,000
AR002005 Heritage House	Replace Main Roof	1460	225,000
AR002005 Heritage	Apartment Conversions	1460	132,389
AR002007 Willow and/or AR002006 Campus Towers			
AR002007 Willow House	Fire Dampers Repair	1460	10,000
AR2-ALL	Water Heaters (100)	1465.1	30,000
AR2-ALL	Refrigerators (100)	1465.1	40,000
AR2-ALL	Air Conditioners (50)	1465.1	25,000
AR2-ALL	Electric Ranges (30)	1465.1	8,500
AR2-ALL	Gas Ranges (50)	1465.1	13,500
AR2-ALL	Garbage Truck – Replace/Repair	1475	90,000
AR2-ALL	Copier Central Office	1475	12,000
Contingency		1502	78,000

**Annual Statement  
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
Management Improvements	3/30/03	9/30/04
Administrative costs	3/30/03	9/30/04
Audit Cost	3/30/03	9/30/04
AR002001 Silver City Courts	3/30/03	9/30/04
Hemlock Courts AR002002	3/30/03	9/30/04
Eastgate Terrace AR002003 "A"	3/30/03	9/30/04
Windemere Hills AR002004	3/30/03	9/30/04
Heritage House AR002005	3/30/03	9/30/04
Campus Towers AR002006	3/30/03	9/30/04
Willow House AR002007	3/30/03	9/30/04
S.W. Bowker AR002022	N/A	N/A
AR2-ALL	3/30/03	9/30/04

form HUD-52837

**ATTACHMENT #3a**

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
AR 006P002001	Silver City Courts Total Units - 147	4	As of 12/22/00 2.8%
<b>Description of Needed Physical Improvements or Management Improvements</b>	<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>	
Gas Ranges (approx. 12)	3,375	2002 Year 2	
Refrigerators (approx. 14)	5,000	2002 Year 2	
Repair lawn sprinkler system	3,000	2002 Year 2	
Sidewalks – Repair/replace (In phases)	25,000	2002 Year 2	
Gas lines (do portions)	50,000	2002 Year 2	
Roof repairs (in phases)	40,000	2002 Year 2	
Electrical update (in phases)	24,000	2002 Year 2	
Install yard sprinkler system – back section	10,000	2002 Year 2	
Rekey to one master	10,000	2002 Year 2	
Replace approximately 60 water heaters	18,000	2003 Year 3	
Drainage repairs at 20 <sup>th</sup> & Chandler	15,000	2003 Year 3	
Plumbing repairs and provide drier vents in units	10,000	2003 Year 3	
Repair/replace soffit and fascia	25,000	2003 Year 3	
Replace sewer line throughout	100,000	2003 Year 3	
Sod and seeding of lawn	4,401	2005 Year 5	
<b>Total estimated cost over next 5 years</b>	<b>342,776</b>		

form HUD-52834

## ATTACHMENT #3a

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
AR 006P002002	Hemlock Courts Total Units – 84	2	As of 12/22/00 2.4%
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>
			<b>Planned Start Date (HA Fiscal Year)</b>
Gas Ranges (approx. 5)			1,500
Refrigerators (approx. 8)			2,800
Stabilize building foundation and building repairs			50,000
Replace main elec. Disconnect and meter bases throughout – electrical upgrade			150,000
Drainage Improvements			100,000
Washer Drains			25,000
Replace main water line			175,000
Roof replacement 9 buildings and office			48,000
Gas line repairs (in phases)			20,000
Sidewalks – repair/replacement (In phases)			25,000
Heat unit replacement (in phases)			15,000
Repair fencing			15,000
Landscape			10,000
Plumbing repairs and provide drier vents in units			24,000
Rekey to one master			10,000
Playground equipment replacement			15,000
<b>Total estimated cost over next 5 years</b>			<b>686,300</b>

form HUD-52834

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
AR 006P002003	Eastgate Terrace Total Units 192	13	As of 12-22-00 6.8%
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>
			<b>Planned Start Date (HA Fiscal Year)</b>
Gas Ranges (approx. 12)			3,375
Refrigerators (approx. 14)			5,000
Replace drain lines through out site			160,000
Plumbing repairs and provide drier vents in units			10,000
Eaves and fascia boards			10,000
Floor replacements			10,000
Kitchen cabinets and sinks			10,000
Roof repairs approximately 8 buildings			30,000
Gas line repairs			25,000
Heating unit replacements			20,000
Sidewalks and concrete ditches, parking lots			20,000
Bathroom heat lights			12,100
Replace approximately 75 water heaters			25,000
Replace 22 water heaters "Site "B"			6,600
Washer drains			50,000
Pole lighting and security cameras			10,000
Landscape			10,000
Electrical upgrade (in phases)			25,000
Rekey to one master			15,000
Replace playground equipment			15,000
Repair fencing/brick work and gates			10,000
Paint exterior of buildings or install siding			20,000
<b>Total estimated cost over next 5 years</b>			<b>502,075</b>

form HUD-52834

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
AR 006P002004	Windemere Hills Total Units – 75	1	As of 12/22/00 1.4%
<b>Description of Needed Physical Improvements or Management Improvements</b>		<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Gas Ranges (approx. 12)		3,375	2002 Year 2
Refrigerators (approx. 14)		5,000	2002 Year 2
Install downspout and roof valley drainage protection To prevent erosion		30,000	2002 2002
Heating Units (in phases)		20,000	2003 Year 3
Roof repairs all buildings		25,000	2003 Year 3
Replace/repair sidewalks (In phases)		20,000	2003 Year 3
Replace or repair 75 attic fans		10,000	2004 Year 4
Replace approximately 50 water heaters		15,000	2004 Year 4
Replace sewer line throughout		190,000	2004 Year 4
Gas lines (in phases)		20,000	2004 Year 4
Brick and/or fence		10,000	2004 Year 4
Playground equipment		15,000	2005 Year 5
Landscaping and surface water drainage		10,000	2005 Year 5
Electrical upgrade (In phases) – Provide drier vents		20,000	2005 Year 5
Convert six (6) existing units to handicapped (In phases)		30,000	2005 Year 5
Upgrade exterior lighting		10,000	2005 Year 5
Rekey to one master		10,000	2005 Year 5
<b>Total estimated cost over next 5 years</b>		<b>443,375</b>	

form HUD-52834

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
AR 006P002005	Heritage House Total Units – 192	16	As of 12-22-00 8.4%	
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Refrigerators (approx. 10)			3,500	2002 Year 2
Air Conditioners (approx. 10)			4,000	2002 Year 2
Electric Ranges (approx. 8)			2,125	2002 Year 2
Upgrade elevator system and car renovations			90,000	2002 Year 2
Water Riser leak repairs			10,000	2002 Year 2
Sidewalks – repair/replace (in phases)			15,000	2003 Year 3
Complete replacement of remaining pump and old equipment mechanical room			25,000	2003 Year 3
Repair Garbage Chute Doors			5,000	2003 Year 3
Replace Screen doors			10,000	2003 Year 3
Replace all boilers			200,000	2004 Year 4
Sewer line repair and replacement			165,000	2005 Year 5
Boiler room update, including motors, boilers, heating and cooling system			50,000	2005 Year 5
Paint interior building/wallpaper			5,000	2005 Year 5
Replace blinds			5,000	2005 Year 5
Security gates to parking lot			5,000	2005 Year 5
Carpet for chapel			5,000	2005 Year 5
Replace floor tile common areas			5,000	2005 Year 5
Convert zero bedroom apartments			10,000	2005 Year 5
Clean and paint handrails			10,000	2005 Year 5
Paint exterior of building			10,000	2005 Year 5
Repair lawn sprinkler system			5,000	2005 Year 5
Upgrade lighting exterior parking lot			5,000	2005 Year 5
Rekey to one master			10,000	2005 Year 5
<b>Total estimated cost over next 5 years</b>			<b>654,625</b>	

form HUD-52834

<b>Optional 5-Year Action Plan Tables</b>			
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>
AR 006P002006	Campus Towers Total Units – 75	5	As of 12/22/00 6.7%
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>
			<b>Planned Start Date (HA Fiscal Year)</b>
Refrigerators (approx. 14)			5,000
			2002 Year 2
Air Conditioners (approx. 10)			4,000
			2002 Year 2
Electric Ranges (approx. 8)			2,125
			2002 Year 2
Water riser leak repairs			10,000
			2002 Year 2
Washer drains and repairs			20,000
			2002 Year 2
Upgrade chiller			25,000
			2002 Year 2
Replace all boilers			200,000
			2003 Year 3
Roof replacement main building			50,000
			2004 Year 4
Repair/replace sidewalks (In phases)			20,000
			2004 Year 4
Complete replacement of remaining pumps and old equipment			10,000
			2004 Year 4
Window coverings common areas			10,000
			2004 Year 4
Elevator repairs/upgrades			10,000
			2004 Year 4
Renovate /expand office			10,000
			2004 Year 4
Convert zero bedrooms			10,000
			2004 Year 4
Repair/replace lawn sprinkler			10,000
			2005 Year 5
Paint exterior of building			10,000
			2005 Year 5
Clean and paint hand railing			10,000
			2005 Year 5
Paint interior and or wallpaper common areas			10,000
			2005 Year 5
Rekey to one master			5,000
			2005 Year 5
<b>Total estimated cost over next 5 years</b>			<b>431,125</b>

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 006P002007	Willow House Total Units – 215	16	As of 12-22-00 7.5%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Refrigerators (14)			5,000	2002 Year 2
Electric Ranges (8)			2,125	2002 Year 2
Water riser leak repairs			10,000	2002 Year 2
Roof Replacement			180,000	2003 Year 3
Window Treatments Lobby			10,000	2003 Year 3
Ceiling tile replacement or clean common areas			10,000	2003 Year 3
Replace/repair sidewalks (In phases)			15,000	2003 Year 3
Relocate trash dumpster			3,000	2003 Year 3
Replace all boilers			180,000	2004 Year 4
Elevator update			60,000	2004 Year 4
Convert Zero bedrooms			10,000	2004 Year 4
Paint/Wallpaper common areas			10,000	2004 Year 4
Interior door modifications			25,000	2004 Year 4
Rekey to one master			5,000	2005 Year 5
Replace circulation pumps			15,000	2005 Year 5
Upgrade exterior lighting			10,000	2005 Year 5
Total estimated cost over next 5 years			550,125	

form HUD-52834

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
AR 006P002011	S. W. Bowker Apts. Total Units – 74	0	As of 12-22-00 0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Refrigerators (14)			5,000	2002 Year 2
Electric Ranges (8)			2,125	2002 Year 2
Water line replacement			20,000	2002 Year 2
Lawn sprinkler system repair			5,000	2002 Year 2

Sidewalks repair/replacement (In phases)	25,000	2002 Year 2
Repair garbage chute and replace doors	12,000	2003 Year 3
Roof replacement main building	72,000	2003 Year 3
Elevator upgrade	60,000	2004 Year 4
Air conditioner replacement upgrade in units	20,000	2004 Year 4
Upgrade exterior lighting	15,000	2004 Year 4
Replace all boilers	180,000	2005 Year 5
Replace carpet with vinyl tile corridors	10,000	2005 Year 5
Window Treatment common areas	10,000	2005 Year 5
Cooling/heating system replacement	60,000	2005 Year 5
Emergency generator	90,000	2005 Year 5
Landscaping	10,000	2005 Year 5
Retile community room/carpet lobby	8,000	2005 Year 5
Replace ceiling fans community room	15,000	2005 Year 5
<b>Total estimated cost over next 5 years</b>	<b>619,125</b>	

form HUD-52834

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
AR 2-ALL (Non-Dwelling Needs)	N/A	N/A	N/A

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
AR2-ALL Administration	100,000	2002 - Year 2
AR2-ALL Operations	50,000	2002 - Year 2
AR2-ALL Audit	460	2002 - Year 2
AR2-ALL Contingency	59,844	2002 - Year 2
AR2-ALL Management Improvements	60,000	2002 - Year 2
AR2-ALL - Carpenter shop equipment	10,000	2002 - Year 2
AR2-ALL - General equipment purchases including Tools, lawn equip, A/C repairs, edgers, mowers, etc.	15,000	2002 - Year 2
<b>Total 2002 - Year 2 - \$295,304</b>		
AR2-ALL Administration	100,000	2003 - Year 3
AR2-ALL Operations	65,749	2003 - Year 3
AR2-ALL Audit	480	2003 - Year 3
AR2-ALL Contingency	65,000	2003 - Year 3
AR2-ALL Management Improvements	68,000	2003 - Year 3
AR2-ALL Tractor and Mowers	30,000	2003 - Year 3
AR2-ALL Computer Hardware/Software Update	25,000	2003 - Year 3
<b>Total 2003 - Year 3 - \$354,229</b>		

<b>AR2-ALL Administration</b>	<b>100,000</b>	<b>2004- Year 4</b>
<b>AR2-ALL Operations</b>	<b>60,529</b>	<b>2004 - Year 4</b>
<b>AR2-ALL Audit</b>	<b>500</b>	<b>2004 - Year 4</b>
<b>AR2-ALL Contingency</b>	<b>60,000</b>	<b>2004 - Year 4</b>
<b>AR2-ALL Management Improvements</b>	<b>75,000</b>	<b>2004 - Year 4</b>
<b>AR2-ALL Dump Truck</b>	<b>40,000</b>	<b>2004 – Year 4</b>
<b>AR2-ALL Board room chairs &amp; serving table</b>	<b>7,800</b>	<b>2004 – Year 4</b>
<b>Total 2004 - Year 4 - \$343,829</b>		
<b>AR2-ALL Administration</b>	<b>100,000</b>	<b>2005 – Year 5</b>
<b>AR2-ALL Operation</b>	<b>70,000</b>	<b>2005 – Year 5</b>
<b>AR2-ALL Audit</b>	<b>550</b>	<b>2005 – Year 5</b>
<b>AR2-ALL Contingency</b>	<b>73,878</b>	<b>2005 – Year 5</b>
<b>AR2-ALL Management Improvements</b>	<b>85,000</b>	<b>2005 – Year 5</b>
<b>AR2-All Pickup truck \$15,000 &amp; Van \$25,000</b>	<b>40,000</b>	<b>2005 – Year 5</b>
<b>AR2-ALL Sewer Machine</b>	<b>3,000</b>	<b>2005 – Year 5</b>
<b>Total 2005 – Year 5 - \$372,428</b>		
<b>Total estimated cost over next 5 years</b>	<b>1,365,790</b>	

form HUD-52834

**ATTACHMENT #3a**

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor  
(CFP/CFPRHF) Part 1: Summary**

PHA Name Housing Authority of the City of North Little Rock, Arkansas, P. O. Box 516, North Little Rock, AR 72115	Grant Type and Number Capital Fund Program Grant No: AR37P00250100 Replacement Housing Factor Grant No:	Federal FY of Grant: 2000
--	---	------------------------------------

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: ) Nothing obligated  
as of 12/31/00

X Performance and Evaluation Report for Period Ending: 12/31/00  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	-0-			
2	1406 Operations	150,230	150,230	-0-	-0-
3	1408 Management Improvements Soft Costs	70,000	70,000	-0-	-0-
	Management Improvements Hard Costs	-0-	-0-		
4	1410 Administration	100,000	100,000	-0-	-0-
5	1411 Audit	440	440	-0-	-0-
6	1415 Liquidated Damages	-0-	-0-		
7	1430 Fees and Costs	-0-	45,000		
8	1440 Site Acquisition	-0-	-0-		
9	1450 Site Improvement	390,000	345,000	-0-	-0-
10	1460 Dwelling Structures	720,000	720,000	-0-	-0-
11	1465.1 Dwelling Equipment—Nonexpendable	78,750	78,750		
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency	90,182	90,182	-0-	-0-
	Amount of Annual Grant: (sum of lines.....)	1,599,602	1,599,602		
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name:Housing Authority of the City of North Little Rock, AR, P. O. Box 516, North Little Rock, AR 72115		Grant Type and Number Capital Fund Program Grant No: <b>AR37P00250100</b> Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quanti ty	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
AR2ALL	Operations	1406	N/A	150,230	150,230			
AR2ALL	Acct. Clerk to assist w/large number of programs; PT Res. Initiative Coord. to assist FSS Coord. w/resident programs; HVAC Technician to assist all projects	1408		70,000	70,000			
AR2ALL	Administration of Capital Fund	1410	NA	100,000	100,000			
AR2ALL	Capital Fund Portion of Audit Costs	1411	N/A	440	440			
AR002003 E.T.	A&E Fees and Costs	1430	N/A	-0-	45,000			
AR002003 Eastgate Terrace	Replace entire water lines and mains throughout and install indiv. meters	1450	N/A	140,000	120,000			
AR002003 E. T.	Replace Sewer System	1450	N/A	250,000	225,000			
AR002003 E. T.	Renovate 75 units; paint, floor tile, cabinets, plumbing	1460	75 units	125,000	125,000			
AR002003 E.T.	Renovate floors upstairs (in phases)	1460	N/A	100,000	100,000			
AR002003 E.T.	Replace Roof 16 Building	1460	16 bldg.	70,000	70,000			
AR002006 C. T.	New Fire Alarm System	1460	N/A	385,000	385,000			
AR002006 C.T.	New Compactor	1460	N/A	20,000	20,000			
AR002007 W.H.	New Compactor	1460	N/A	20,000	20,000			
AR2ALL	Refrigerators	1465.1	100	37,500	37,500			
AR2ALL	Air Conditioners	1465.1	50	21,250	21,250			
AR2ALL	Electric Ranges	1465.1	30	7,500	7,500			
AR2ALL	Gas Ranges	1465.1	50	12,500	12,500			
Contingency		1502	N/A	90,182	90,182			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: <b>Housing Authority of the City of North Little Rock, AR, P. O. Box 516, North Little Rock, AR 72115</b>		Grant Type and Number Capital Fund Program No: <b>AR37P00250100</b> Replacement Housing Factor No:					Federal FY of Grant: <b>2000</b>
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Management Improve.	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02
Administrative Cost	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02
Audit Cost	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02
AR002001 Silver City	N/A						
AR002002 Hemlock	N/A						
AR002003 Eastgate “A”	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02
AR002003 Eastgate “B”	N/A						
AR002004 Windemere	N/A						
AR002005 Heritage	N/A						
AR002006 Campus Towers	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02
AR002007 Willow House	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02
AR002011 S.W. Bowker	N/A						
AR2ALL	9/30/01	3/31/02		9/30/03			Error on original obligated – 18 mo. Period 3/31/02

**Capital Fund Program Five-Year Action Plan**

**Part I: Summary**

PHA Name Housing Authority of the City of North Little Rock		P. O. Box 516, North Little Rock, AR 72115		<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
Development Number/Name/H A-Wide	Year 1	Work Statement for <b>Year 2</b> FFY Grant: <b>2001</b> PHA FY: 2002	Work Statement for <b>Year 3</b> FFY Grant: <b>2002</b> PHA FY: 2003	Work Statement for <b>Year 4</b> FFY Grant: <b>2003</b> PHA FY: 2004	Work Statement for <b>Year 5</b> FFY Grant: <b>2004</b> PHA FY 2005:
	Annual Statement				
AR002001 Silver City		Closet doors, roof replacements, sewer line	-0-	-0-	-0-
AR002001 Hemlock		Central heating units	-0-	-0-	Replace sewer line
AR002003 Eastgate		Replace roof 16 building, security screen doors	Replace sewer line	Pole lighting, water heaters	Bathroom heat lights
AR002004 Windemere		45 heating units, roof replacement 10 buildings	-0-	-0-	Replace sewer line
AR002005 Heritage House		Screen doors	Roof replacement, trash compactor	Replace boilers	-0-
AR002006 Campus		-0-	-0-	Replace all boilers	-0-
AR002007 Willow House		Interior door modification, fire dampers	Replace all boilers	Roof replacement	-0-
AR002011 S.W. Bowker		-0-	-0-	-0-	Replace all boilers
AR2ALL		Administration, operations, audit costs, contingency, management improvements and non-dwelling equipment (pick-up)	Administration, operations, audit costs, management improvements and contingency	Administration, operations, audit costs, A&E fees, management improvement and contingency	Administration, operations, audit costs, contingency and management improvements
Total CFP Funds (Est.)		1,110,670	1,110,670	1,110,670	1,110,670
Total Replacement					
Housing Factor Funds					



**2001 ANNUAL PLAN**  
**SUBSTANTIAL DEVIATION AND SIGNIFICANT AMENDMENT OR MODIFICATION FROM THE 5-YEAR PLAN/ANNUAL PLAN**

**EXCEPTION TO RULE:**

An exception to the proposed definitions will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

**STATEMENT OF INTENT.** The PHA proposes to operate under specific PHA definitions of deviations and amendments/modifications, as follows:

**Capital Funds**

- Delete, delay or reprogram funds from stated work items in the current Annual Statement or 5-Year Action Plan, with fungibility to move work items forward, or backward in the 5-Year Plan.
- Include emergency work items, without public review, from Capital Funds in the current Annual Statement or 5-Year Action Plan.
- Reprogram excess Capital Funds in the current Annual Statement or 5-Year Action Plan to add non-emergency but necessary work items for the viability of the building structures and/or well-being of the residents to prevent loss of funds.

**PHDEP Funds**

- Reprogram excess PHDEP funds prior to end of program year to add new activities to prevent loss of funds.

**Admissions Policies**

- Necessary changes to rent or admissions policies after required notices to the residents and HUD approval;
- Minor modifications to Section 8 homeownership program that would conflict with administration of program or would be a detriment to the participants in the program.
- Special conversion activities unknown at time of preparation of plan but approved by HUD.

## IMPLEMENTATION OF PUBLIC HOUSING RESIDENT COMMUNITY SERVICE REQUIREMENTS EFFECTIVE JULY 1, 2001

- **Scheduled Changes in Lease.** Resident community service requirements for contributing eight hours per month for all non-exempt individuals will be incorporated into the dwelling lease effective concurrently with resident community services policy, or July 1, 2001. The lease will specify that it is automatically renewable for all purposes, unless the family fails to comply with the service requirement. All residents were given 60 days notice of lease changes with opportunity for comments.
- **Development of Written Description of Service Requirements.** Each adult resident (18 years of age or head of household) of public housing shall (i) contribute eight hours per month of community services (not including political activities); or (ii) participate in an economic self-sufficiency program for eight hours per month; or (iii) perform eight hours per month of combined activities as described in (i) and (ii) of this section.
- **Written Notification to Residents Regarding Requirement and Exempt Status.** Preliminary notices have been given to the residents by means of Initial resident meetings held in October 2000 to introduce the program, the summer 2000 issue Resident newsletter article, Annual/5-year plan meetings resident advisory board with housing officials in November 2000, and January 2001. The PHA plans to distribute individual notices to each family by May 1, 2001.
- **Cooperative Agreements with TANF (Welfare) Agency.** The local TANF agency cooperates with the PHA, however, a formal agreement with them will continue to be pursued.
- **What Entity Will Administer the Program.** The PHA plans to be the lead agency in administering the program, however, the partnering agencies have agreed to assess the residents needed skill level and qualifications along with the assignment of the volunteer duties. The City of North Little Rock Office of Volunteers has also offered its services in assisting the housing authority in placing residents in local community service jobs.
- **Programmatic Aspects of the Requirements.** The resident will first complete a community service application with service options of special interests and flexible hours most convenient for them. PHA employees will assist them in enrolling in the program. The resident's compliance with the service requirement will be monitored in the form of signed, written third-party verification forms. Such information will be entered on a service log and retained until re-examination time to compare family compliance with the service requirements.

The housing authority may not renew the lease of any family with a tenant in violation of the service requirement unless (a) the non-compliant resident enters into a written agreement with the housing authority to cure the non-compliance in a way satisfactory to the housing authority; and (b) all other nonexempt members of the family are complying with the requirement.

## **PET OWNERSHIP IN PUBLIC HOUSING FAMILY DEVELOPMENTS**

**Registration:** (1) The pet must be registered with management **before** it is brought on the premises, and the resident must be physically and mentally able to take care of it. Registration must be updated annually at the annual recertification all damages caused by the pet to date must be paid in full. The resident must furnish a certificate from a veterinarian certifying that the pet is in good health and has had all necessary and appropriate shots and/or vaccinations and proof that pet is licensed by the City. (3) Only **one** pet per family is permitted. (The resident may choose a dog, cat, fish or bird.) No exotic animals, such as lions, tigers or snakes, will be allowed. No dangerous or vicious animals will be allowed. (4) Dogs or cats must weigh **no more than 20 pounds** and be no more than 21 inches in length, excluding tail. Proof of weight and length must be furnished **before** pet is allowed on the premises. (5) Pets must be spayed or neutered, and cats must be de-clawed.

**Charges/Fees:** (1) A \$200 refundable deposit is required for each pet and must be paid in full at the time the pet is registered. When the resident vacates, the deposit will be applied to any damage caused by the pet. The owner will be given an itemized statement of the charges. (2) A non-refundable monthly fee of \$10 per pet must be paid to cover costs associated with implementation of this policy.

**Pet Location:** (1) Pets are not allowed outside the apartment unattended. Pets must be kept on a leash at all times when not in the resident-owner's apartment, or carried going to and from the resident's apartment. Pets must not be left unattended in a dwelling unit for longer than 8 hours at a time. The resident-owner shall allow entry into the apartment to attempt to quieten the disturbance in event he/she is absent, and disturbances from the pet continues unabated. Pets are not allowed in another apartment, in the office, community room or other community space.

**Pet Behavior:** If a pet disturbs other residents by barking, growling, meowing, scratching, whining or making other unusual noises or exhibiting threatening behavior to employees, residents or other animals, the resident-owner will be asked to remove the pet or vacate the premises.

**Pet Health and Care:** The pet must be kept free of fleas, ticks and other pests. Any pet suffering an illness must be removed immediately from the premises to a licensed veterinarian. A release must be provided for the pet to return to the resident-owner's apartment. Pet waste must be disposed of daily. Litter must be changed twice weekly.

**Other Requirements:** The housing authority assumes no liability for any pet. In the event of an emergency or building evacuation, it is the responsibility of the resident to remove his or her own pet, In the case of the death of the owner, the pet will be placed with a responsible person who resident-owner identifies on the Responsibility Form. **NO VISITING PETS WILL BE ALLOWED.** This does not apply to service animals that assist persons with disabilities.

## Response to REAC Customer Service and Satisfaction Survey

The housing authority was cited in three areas as result of a survey conducted by HUD: Communication, Safety and Neighborhood Appearance.

- **Communication**. The housing authority agrees that the lack of resident/tenant organization is an area of concern, and pledges to make it a priority.
- **Safety**. Bad lighting and broken locks. Bad lighting is an on-going problem since vandals continue to short circuit the light poles. Broken resident locks is another on-going problem that is corrected when reported by the resident. The housing authority will continue to monitor these areas.
- **Neighborhood Appearance**. Trash, litter and grass. Trash and litter are ongoing problems and primarily caused by the residents and their guests. The PHA proposes to continue to direct education in this area. Maintenance pledges to make a concentrated effort to pick up litter every day. The housing authority is looking into other ways to improve the grass cutting, such as dedicating a crew for lawn care in all areas during the growing season.

**Comments:** The HUD survey did not identify where the unsatisfied tenants were located so the housing authority could respond specifically, and/or focus improvements in those areas. The housing authority conducted a follow-up survey of more respondents than the HUD responses that showed the majority of the residents are happy and had positive remarks about the housing authority. In this regard, we feel the HUD REAC survey was incorrect due to the low response and only unsatisfied residents replied to the HUD mail-out.

**ATTACHMENT #8**

**2001 Annual/5-Year Plan  
RESIDENT ADVISORY BOARD MEMBERS**

**Peggy Lee, Silver City Courts  
Cherry Price, Windemere Hills  
Carolyn Johnson, Hemlock  
Elbert Jarrett, Eastgate  
Haroldean Wilson, Heritage House  
Essie Stratton, Campus Towers  
Virginia Garrett, Willow House  
Margarite Bearden, Bowker  
Ella Gilmore, Section 8**

Attachment # 9

**2001-05 Annual/5-Year Plan**  
**RESIDENT MEMBERSHIP ON THE PHA GOVERNING BOARD**

Name of Resident Commissioner: Haroldean Wilson

Date of Election or Appointment: December 13, 1999

Date of Commencement of Term of Office: 01/03/00

Date of Expiration of Term of Office: 01/08/03\*

*\*Resident commissioner elected to fill unexpired term of former commissioner who retired.*

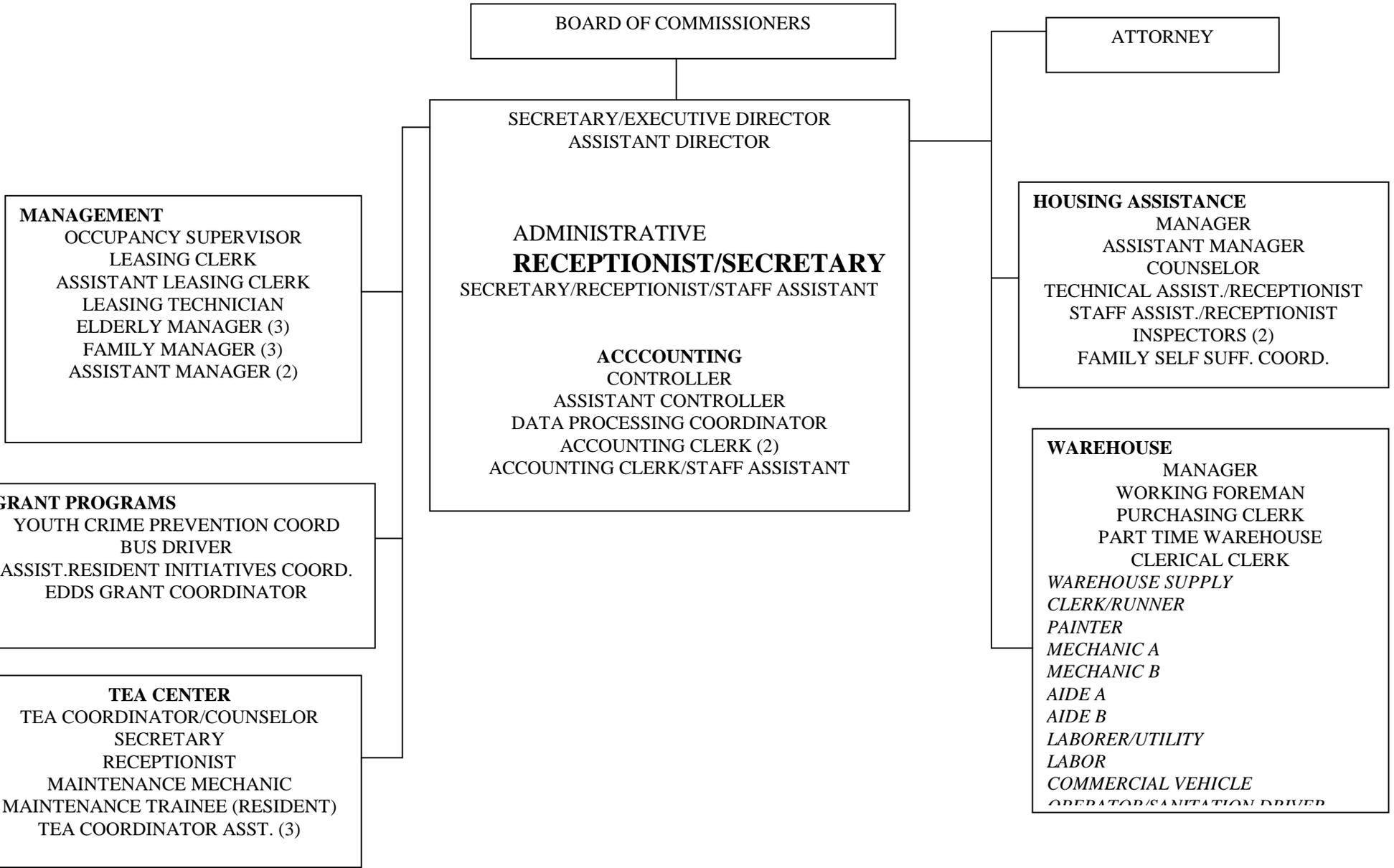
### **SECTION 8 HOMEOWNERSHIP CAPACITY STATEMENT**

The housing authority's initial goal was to determine the feasibility of implementing the Section 8 Voucher Homeownership Program in Year 2. The authority plans to link the homeownership with the Family Self-sufficiency program and has proceeded to set up the mechanics of the program and plan of action including: qualifications such as stable employment, income level, homeownership counseling, basic home maintenance training and set up referrals with loan institutions.

The housing authority will require a minimum down-payment of at least three percent of the purchase price and require at least one percent of the down-payment come from the family's personal resources.

In addition, the housing authority will require that financing for the purchase of a home under the Section 8 homeownership program be provided, insured or guaranteed by the state or federal government, comply with secondary mortgage market underwriting requirements, or comply with generally accepted private sector underwriting standards.

HOUSING AUTHORITY OF THE CITY OF NORTH LITTLE ROCK



APRIL, 2001



**PHA PLAN**

**Component 7**

**Capital Fund Program Annual Statement**

**PART 1**

**AMENDED**

**Annual Statement**

**Capital Fund Program (CFP) Part I: Summary**

**Capital Fund Grant Number AR37P00250101 FFY 2001**

**Revised Annual Statement – Amount of Capital Fund Program \$1,632,233**

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	-0-
2	1406 Operations	91,000
3	1408 Management Improvements	50,000
4	1410 Administration	100,000
5	1411 Audit	440
6	1415 Liquidated Damages	
7	1430 Fees and Costs	99,404
8	1440 Site Acquisition	
9	1450 Site Improvement	300,000
10	1460 Dwelling Structures	694,389
11	1465.1 Dwelling Equipment-Nonexpendable	117,000
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	102,000
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	78,000
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>1,632,233</b>
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

**Annual Statement  
Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
Operations		1406	91,000
AR 2-All	Part-time Resident Initiative Coordinator to assist FSS Coordinator w/resident programs	1408	20,000
AR 2-All	HVAC Technician to assist all projects	1408	30,000
AR 2-All	Administration of Capital Fund	1410	100,000
AR 2-All	Capital Fund portion of audit cost	1411	440
AR2-All	A&E Fees and Costs	1430	99,404
AR002002 Hemlock Courts	Replace sewer line throughout	1450	250,000
AR002001 Silver C.	Sewer line repairs/replacement	1450	50,000
AR002001 Silver C.	Repair exterior disconnet, meter base, broken boxes (in phases)	1460	20,000
AR002002 Hemlock	Electrical system Update	1460	10,000
AR002003 Eastgate Terrace	Security Screen Doors	1460	50,000
AR002004 Windemere Hills	Heating Units (45)	1460	12,000
AR002004 Windemere Hills	Roof replacement (10 buildings) Storage	1460	35,000
AR002005 Heritage House	Replace Main Roof	1460	225,000
AR002005 Heritage	Apartment Conversions	1460	332,389
AR002007 Willow and/or AR002006 Campus Towers			
AR002007 Willow House	Fire Dampers Repair	1460	10,000
AR2-ALL	Water Heaters (100)	1465.1	30,000
AR2-ALL	Refrigerators (100)	1465.1	40,000
AR2-ALL	Air Conditioners (50)	1465.1	25,000
AR2-ALL	Electric Ranges (30)	1465.1	8,500
AR2-ALL	Gas Ranges (50)	1465.1	13,500
AR2-ALL	Garbage Truck - Replace/Repair	1475	90,000
AR2-ALL	Copier Central Office	1475	12,000
Contingency		1502	78,000

**Annual Statement  
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
Management Improvements	3/30/03	9/30/04
Administrative costs	3/30/03	9/30/04
Audit Cost	3/30/03	9/30/04
AR002001 Silver City Courts	3/30/03	9/30/04
Hemlock Courts AR002002	3/30/03	9/30/04
Eastgate Terrace AR002003 "A"	3/30/03	9/30/04
Windemere Hills AR002004	3/30/03	9/30/04
Heritage House AR002005	3/30/03	9/30/04
Campus Towers AR002006	3/30/03	9/30/04
Willow House AR002007	3/30/03	9/30/04
S.W. Bowker AR002011	N/A	N/A
AR2-ALL	3/30/03	9/30/04

