

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Sumiton Housing Authority

PHA Number: AL176

PHA Fiscal Year Beginning: (mm/yyyy) 04/2001

PHA Plan Contact Information:

Name: Janet Eustice

Phone: 205-648-6910

TDD:

Email (if available): walkercountyha@csi.com

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

**Annual PHA Plan
Fiscal Year 2001**
[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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| <input checked="" type="checkbox"/> Attachment <u>B</u> : Capital Fund Program Annual Statement | |
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| <input type="checkbox"/> Attachment <u> </u> : Capital Fund Program Replacement Housing Factor Annual Statement | |
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| <input checked="" type="checkbox"/> Attachment <u>F</u> : Comments of Resident Advisory Board or Boards & Explanation of PHA Response (must be attached if not included in PHA Plan text) | |
| <input checked="" type="checkbox"/> Other (List below, providing each attachment name) | |
| Attachment G: Implementation of Community Service Requirements | |
| Attachment H: Pet Policy Summary | |
| Attachment I: Mission & Goals Summary | |

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

N/A

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

We have adopted the Community Service requirements and a Pet Policy. We have also appointed a tenant to serve on the Board of Commissioners. We adopted a new Lease and Grievance procedures for our authority.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 80,127.00

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment B

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment C

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component ; if "yes", complete one activity description for each development.)

2. Activity Description

| Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities) | |
|--|--------------------------------------|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Activity type: Demolition <input type="checkbox"/> | Disposition <input type="checkbox"/> |
| 3. Application status (select one) | |
| Approved <input type="checkbox"/> | |
| Submitted, pending approval <input type="checkbox"/> | |
| Planned application <input type="checkbox"/> | |
| 4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u> | |
| 5. Number of units affected: | |
| 6. Coverage of action (select one) | |
| <input type="checkbox"/> Part of the development | |
| <input type="checkbox"/> Total development | |
| 7. Relocation resources (select all that apply) | |
| <input type="checkbox"/> Section 8 for units | |
| <input type="checkbox"/> Public housing for units | |
| <input type="checkbox"/> Preference for admission to other public housing or section 8 | |
| <input type="checkbox"/> Other housing for units (describe below) | |
| 8. Timeline for activity: | |
| a. Actual or projected start date of activity: | |
| b. Actual or projected start date of relocation activities: | |
| c. Projected end date of activity: | |

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner down payment requirement of at least 3 percent and requiring that at least 1 percent of the down payment comes from the family’s resources

- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____
- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.
- D. Yes No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

- 1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
- 2. If yes, the comments are Attached at Attachment (File name) F
- 3. In what manner did the PHA address those comments? (select all that apply)
 - The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment _____.
 - Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment F.
 - Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **(State of Alabama Consolidated Plan)**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
- Other: (list below)

Based on the Housing Authority's waiting list.

3. PHA Requests for support from the Consolidated Plan Agency

Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Housing Needs: Alabama Consolidated Plan indicated two critical housing needs: increasing the affordability of housing and quantity of affordable housing. To address affordable housing needs, rent controlled housing to reduce cost burdens. To address housing quantity, funds for the construction of new rental housing. Another priority was the rehabilitation of existing housing.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

As imposed upon by HUD.

A. Significant Amendment or Modification to the Annual Plan:

As needed for a more comprehensive plan.

Attachment A Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Related Plan Component |
| X (A-1) | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| N/A | State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update) | 5 Year and Annual Plans |
| X (A-2) | Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans |
| X (A-3) | Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X (A-4) | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources |
| X (A-5) | Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| N/A | Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy | Annual Plan: Eligibility, Selection, and Admissions Policies |
| N/A | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X (A-6) | Public housing rent determination policies, including the method for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X (A-7) | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| N/A | Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |

| List of Supporting Documents Available for Review | | |
|--|--|--|
| Applicable & On Display | Supporting Document | Related Plan Component |
| X (A-8) | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| X (A-9) | Results of latest binding Public Housing Assessment System (PHAS) Assessment | Annual Plan: Management and Operations |
| X (A-10) | Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary) | Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency |
| N/A | Results of latest Section 8 Management Assessment System (SEMAP) | Annual Plan: Management and Operations |
| N/A | Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Operations and Maintenance |
| X (A-11) | Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| N/A | Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Grievance Procedures |
| X (A-12) | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year | Annual Plan: Capital Needs |
| X (A-13) | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants | Annual Plan: Capital Needs |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| X (A-14) | Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA). | Annual Plan: Capital Needs |
| N/A | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937 | Annual Plan: Conversion of Public Housing |
| N/a | Approved or submitted public housing homeownership programs/plans | Annual Plan: Homeownership |

| List of Supporting Documents Available for Review | | |
|--|--|---|
| Applicable & On Display | Supporting Document | Related Plan Component |
| N/A | Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan) | Annual Plan: Homeownership |
| X (A-15) | Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies | Annual Plan: Community Service & Self-Sufficiency |
| N/A | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| X (A-16) | Section 3 documentation required by 24 CFR Part 135, Subpart E | Annual Plan: Community Service & Self-Sufficiency |
| N/A | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| N/A | The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report | Annual Plan: Safety and Crime Prevention |
| N/A | PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. | Annual Plan: Safety and Crime Prevention |
| X (A-17) | Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy | Pet Policy |
| X (A-18) | The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| N/A | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| N/A | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |

Annual Statement/Performance and Evaluation Report **Attachment**
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/

| | |
|---|--|
| PHA Name: SUMITON HOUSING AUTHORITY | Grant Type and Number Capital Fund Program Capital Fund Program Replacement Housing Factor Grant No: |
|---|--|

| | |
|--|---|
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised A <input type="checkbox"/> Final Performance and Evaluation Report |
|--|---|

| Line No. | Summary by Development Account | Total Estimated Cost | |
|----------|---|----------------------|---------|
| | | Original | Revised |
| 1 | Total non-CFP Funds | | |
| 2 | 1406 Operations | | |
| 3 | 1408 Management Improvements | | |
| 4 | 1410 Administration | \$ 4,527.00 | |
| 5 | 1411 Audit | | |
| 6 | 1415 liquidated Damages | | |
| 7 | 1430 Fees and Costs | \$ 6,350.00 | |
| 8 | 1440 Site Acquisition | | |
| 9 | 1450 Site Improvement | | |
| 10 | 1460 Dwelling Structures | \$ 64,250.00 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | |
| 12 | 1470 Nondwelling Structures | \$ 5,000.00 | |
| 13 | 1475 Nondwelling Equipment | | |
| 14 | 1485 Demolition | | |
| 15 | 1490 Replacement Reserve | | |
| 16 | 1492 Moving to Work Demonstration | | |
| 17 | 1495.1 Relocation Costs | | |
| 18 | 1498 Mod Used for Development | | |
| 19 | 1502 Contingency | | |
| 20 | Amount of Annual Grant: (sum of lines 2-19) | \$ 80,127.00 | |
| 21 | Amount of line 20 Related to LBP Activities | | |
| 22 | Amount of line 20 Related to Section 504 Compliance | | |
| 23 | Amount of line 20 Related to Security | | |
| 24 | Amount of line 20 Related to Energy Conservation Measures | \$ 17,875.00 | |

Annual Statement/Performance and Evaluation Report **Attach**
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/
Part II: Supporting Pages

| PHA Name: SUMITON HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program #: Capital Fund Program Replacement Housing Factor #: | | | |
|--|--|---|----------|----------------------|---------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | |
| | | | | Original | Revised |
| AL176-01 | <u>ADMINISTRATION</u> (a) ACCOUNTING & MISC. EXPENSE. | 1410 | | \$ 4,527.00 | |
| AL176-01 | <u>FEES AND COSTS</u> (a) EMPLOY A/E FOR PLANS, SPECIFICATIONS & INSPECTIONS | 1430 | | \$ 6,350.00 | |
| AL176-01 | <u>DWELLING STRUCTURES</u> (a) REPAIR & PAINT INTERIOR WALLS & CEILINGS OF 4 D.U. @ \$1600. (b) INSTALL CENTRAL HVAC SYSTEM INCLUDING CONSTRUCTION OF PLENUMS AND CLOSETS AS NECESSARY. 4 D.U. @ \$5500.00. (c) REWIRE 4 D.U. @ \$4500.00. (c) REROOF BUILDING WITH 3 TABL SHINGLES AND A TWO-STEP NAILABLE BASE INSULATION SYSTEM. 51 SQ @ \$350.00. . | 1460 | | \$64,250.00 | |
| AL176-01 | <u>NON-DWELLING STRUCTURES</u> (a) RE-ROOF COMMUNITY BUILDING, RE- WORK HVAC DUCT WORK & REPAIR CEILING | 1470 | | \$ 5,000.00 | |

Annual Statement/Performance and Evaluation Report **Attach**
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/
Part III: Implementation Schedule

| PHA Name: SUMITON HOUSING AUTHORITY | | Grant Type and Number Capital Fund Program #: Capital Fund Program Replacement Housing Factor #: | | | | | Federal |
|--|---|--|--------|---|---------|--------|---------|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quart Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | |
| | Original | Revised | Actual | Original | Revised | Actual | |
| AL176-01 | 03-31-2002 | | | 12-31-2005 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| | | |
|--|---|---|
| <p>AL176-01</p> <p>(a) Remove existing roofing and install new roofing system, repair and repaint interior ceilings and walls, install new HVAC systems, and rewire 4 D.U. @ \$20,032.00. Included are Architect's fees and Administrative Costs</p> <p>(b) Remove existing roofing and install new roofing system, repair and repaint interior ceilings and walls, install new HVAC systems, and rewire 4 D.U. @ \$20,032.00. Included are Architect's fees and Administrative Costs</p> <p>(c) Remove existing roofing and install new roofing system, repair and repaint interior ceilings and walls, install new HVAC systems, and rewire 4 D.U. @ \$20,032.00. Included are Architect's fees and Administrative Costs</p> <p>(d) Remove existing roofing and install new roofing system, repair and repaint interior ceilings and walls, install new HVAC systems, and rewire 4 D.U. @ \$20,032.00. Included are Architect's fees and Administrative Costs</p> | <p>\$80,127.00</p> <p>\$80,127.00</p> <p>\$80,127.00</p> <p>\$80,127.00</p> | <p>01/2002</p> <p>01/2003</p> <p>01/2004</p> <p>01/2005</p> |
| <p>Total estimated cost over next 5 years</p> | <p>\$400,635.00</p> | |

PHA Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Section 1: General Information/History

- A. Amount of PHDEP Grant \$** N/A
- B. Eligibility type (Indicate with an “x”)** N1_____ N2_____
- R**_____
- C. FFY in which funding is requested** _____
- D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

| PHDEP Target Areas (Name of development(s) or site) | Total # of Units within the PHDEP Target Area(s) | Total Population to be Served within the PHDEP Target Area(s) |
|--|--|--|
| | | |
| | | |
| | | |

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

12 Months_____ **18 Months**_____ **24 Months**_____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place “GE” in column or “W” for waivers.

| Fiscal Year of Funding | PHDEP Funding Received | Grant # | Fund Balance as of Date of this Submission | Grant Extensions or Waivers | Grant Start Date | Grant Term End Date |
|---------------------------|------------------------------|---------|--|-----------------------------------|---------------------|------------------------|
| FY 1995 | | | | | | |

| | | | | | | |
|---------|--|--|--|--|--|--|
| FY 1996 | | | | | | |
| FY 1997 | | | | | | |
| FY1998 | | | | | | |
| FY 1999 | | | | | | |

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

| FFY _____ PHDEP Budget Summary | |
|---|----------------------|
| Original statement | |
| Revised statement dated: | |
| Budget Line Item | Total Funding |
| 9110 – Reimbursement of Law Enforcement | |
| 9115 - Special Initiative | |
| 9116 - Gun Buyback TA Match | |
| 9120 - Security Personnel | |
| 9130 - Employment of Investigators | |
| 9140 - Voluntary Tenant Patrol | |
| 9150 - Physical Improvements | |
| 9160 - Drug Prevention | |
| 9170 - Drug Intervention | |
| 9180 - Drug Treatment | |
| 9190 - Other Program Costs | |
| | |
| TOTAL PHDEP FUNDING | |

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

| | | | | | | | |
|--|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| 9110 - Reimbursement of Law Enforcement | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount/Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|----------------------------------|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| 9115 - Special Initiative | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount/Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|------------------------------------|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| 9116 - Gun Buyback TA Match | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|----------------------------------|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| 9120 - Security Personnel | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|---|---------------------|-------------------|------------|------------------------|---------------|--------------------------------|--|
| 9130 – Employment of Investigators | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|---------------------------------------|---------------------|-------------------|------------|------------------------|---------------|--------------------------------|--|
| 9140 – Voluntary Tenant Patrol | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|-------------------------------------|---------------------|-------------------|------------|------------------------|---------------|--------------------------------|--|
| 9150 - Physical Improvements | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| | | | | | | | |
|-------------------------------|---------------------|-------------------|------------|------------------------|---------------|--------------------------------|--|
| 9160 - Drug Prevention | | | | | | Total PHDEP Funding: \$ | |
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |

| | | | | | |
|----|--|--|--|--|--|
| 3. | | | | | |
|----|--|--|--|--|--|

| 9170 - Drug Intervention | | | | | | Total PHDEP Funding: \$ | |
|---------------------------------|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| 9180 - Drug Treatment | | | | | | Total PHDEP Funding: \$ | |
|------------------------------|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

| 9190 - Other Program Costs | | | | | | Total PHDEP Funds: \$ | |
|-----------------------------------|---------------------|-------------------|------------|------------------------|----------------|--------------------------------|--|
| Goal(s) | | | | | | | |
| Objectives | | | | | | | |
| Proposed Activities | # of Persons Served | Target Population | Start Date | Expected Complete Date | PHEDEP Funding | Other Funding (Amount /Source) | |
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |

Required Attachment D : Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **ELIZABETH HARRISON**

B. How was the resident board member selected: (select one)?

- Elected
 Appointed

C. The term of appointment is (include the date term expires): 11-01-2005

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):

B. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Required Attachment E : Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

1. ELIZABETH HARRISON
2. HELEN JONES
3. RUTH ODEN
4. JUDITH HIGGINBOTHAM
5. (fifth advisory board member was Joan Allison who recently moved 11-01-00 but has not been replaced yet.

Attachment F

Resident Advisory Board Comments

An advisory board meeting was held on December 8, 2000 at 9:00 a.m. to discuss the authority's agency plan for FY 2001. Those in attendance were: Helen Jones, Elizabeth Harrison and Judith Higginbotham. The advisory and the executive director, Janet Eustice went over each and every page and the advisory board received a copy of the plan. The board agreed that the plan was consistent with the needs of the authority. However they wanted to add a few additional items for future funding that will be addressed in the next 5 year agency plan. The items suggested are as follows and are supporting pages in the annual agency plan for review.

Comments from Ms. Helen Jones:

1. Kitchen cabinets,
2. Overhead Lighting in living room,
3. Side walks repaired,
4. New commodes,
5. Gutters installed,
6. New Maintenance Building.

Comments from Ms. Judith Higginbotham:

1. Kitchen cabinets in Elderly Units,
2. New Gutters
3. Overhead lighting in living room,
4. New Maintenance Building
5. Foundations repaired,
6. Improve Community Center

Comments from Ms. Elizabeth Harrison:

1. Kitchen cabinets,
2. Overhead lighting in living room
3. New side walks
4. New Maintenance Building or
New Community Center
5. Foundations fixed
6. Gutters
7. New sinks and tubs in some of the units

Based on the comments received, the authority felt that these items do need addressed however some of these items can be accomplished using housing authority reserves, and items that can not be done with operating funds and other items will be addressed in our long range plans or in our next 5 year agency plan.

Attachment G

Implementation of Resident Community Service Requirements

NARATIVE

The Sumiton Housing Authority adopted by Resolution Number 2000-20 a Community Service Policy for our public housing residents on October 10, 2000. This policy requires all public housing residents who are non-exempt and over age 18 to perform 8 hours of community service that are a public benefit and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. This requirement is effective with residents reexaminations of family income and composition on or after July 1, 2001.

Each head of household must present documentation on all non-exempt members of household of compliance with the program prior to reexamination.

Housing authority will verify compliance of each family member who is required to fulfill the community service obligation. If the family fails to provide signed certification to HA a determination of noncompliance will be made and the head of the household will be notified in writing with the reasons of non-compliance and state at the end of the 12 month lease their lease will not be renewed unless they take actions to remedy the situation such as signing an agreement to perform community service or give notice of intent to vacate.

Failure to remedy non-compliance will result in termination of lease.

Full Community Service Policy is a supporting document to the annual plan.

Attachment H

Pet Policy

Narrative

The Sumiton Housing Authority adopted by Board Resolution Number 2000-21 on October 10, 2000 a Pet Policy and a Pet Policy Lease Addendum.

This policy allows each head of household to own one or more common household pets or have one or more common household pets present in the dwelling unit of such tenant subject to conditions as outlined in the policy which will be a supporting document of the agency plan.

Each owner will be responsible for the actions of the pets.

There are prohibited pets.

The owner of any pet is responsible for the peaceful enjoyment of other tenants accommodations per problems with noise and disturbances caused by such animals.

Any pet that is destructive, creates a nuisance, represents a threat to the safety and security of other persons, or creates a problem in the area of cleanliness and sanitation, the housing authority will take appropriate action to notify the owner in writing to remove the animal within 10 days of notice. The tenant will be given the right to request a hearing in accordance with the HA's established grievance procedure.

The tenant is solely responsible for cleaning of waste from premises. Fees of \$25.00 will be assessed if housing authority staff are required to remove waste.

The pet is required to be restrained during maintenance performed in apartment.

There are schedule of annual fees and initial deposits required prior to any pet residing in complex.

Attachment I

Mission Plans & Goals

Progress Report

CIAP - Grant Number AL09P17690599 in the amount of \$:70,691.00

We have executed a contract with an architect in the amount of \$5,500.00
We have expended \$14,890.00 for purchase of a lawnmower and gaap conversion

This is the only progress made so far. We are waiting on specs and plans from our architect.

CGP - Grant Number AL09P17650100 in the amount of \$80,127.00

No funds spent as of date and no contracts executed. Combining FY 99 Funds with FY 2000 funds.

POLICIES ADOPTED THIS YEAR - REQUIRED IN MEETING QHWRA

We have adopted a community service policy for our authority as well as a pet policy is in force. We adopted a new crime tracking policy in order to work close with the City of Sumiton in reporting any criminal activity we may observe. We have adopted the new lease and grievance procedures created by the Task Force. We have appointed a tenant to serve on the Board of Commissioners. We have good working relations with our tenant advisory board who all worked with us on the annual agency plan.

