

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of Walker County, AL

PHA Number: AL129

PHA Fiscal Year Beginning: (mm/yyyy)04/2001

PHA Plan Contact Information:

Name: Janet Eustice

Phone: 205-648-5963

TDD:

Email (if available): walkercountyha@csi.com

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

Annual PHA Plan
Fiscal Year 2001
 [24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

Being a high performer last year we were not required to fill out all areas of the 5 year agency plan. However, in 1999 we applied for and received 69 Welfare to Work Vouchers with very little response from the public. We were given 1 year for full lease up. During the year we could see that we were not going to be able to lease these vouchers up so we let HUD recapture 62 of the 69 vouchers to give to another housing authority. We also have a new Self Sufficiency Program consisting of 34 slots. We also approved a new pet policy and adopted a Community Service policy.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 183,653

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment C

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment B

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C.

1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources

- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

- B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ 25,000

- C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

- D. Yes No: The PHDEP Plan is attached at Attachment D.

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

- 1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

- 2. If yes, the comments are Attached at Attachment (File name)G

- 3. In what manner did the PHA address those comments? (select all that apply)
 - The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment ____.
 - Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment G.
 - Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **(State of Alabama Consolidated Plan)**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
- Other: (list below)

Based on the Housing Authority waiting list.

3. PHA Requests for support from the Consolidated Plan Agency

Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Housing Needs: Alabama Consolidated Plan indicated two critical housing needs: increasing the affordability of housing and quantity of affordable housing. To address affordable housing needs, rent controlled housing to reduce cost burdens. To address housing quantity, funds for the construction of new rental housing. Another priority was the rehabilitation of existing housing.

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan: (See Attachment K)

A. Significant Amendment or Modification to the Annual Plan: (See Attachment K)

Attachment A Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X (A-1)	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
N/A	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X (A-2)	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X (A-3)	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X (A-4)	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X (A-5)	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X (A-6)	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X (A-7)	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X (A-8)	Public housing rent determination policies, including the method for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X (A-9)	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X (A-10)	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X (A-11)	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X (A-12)	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X (A-13)	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X (A-14)	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X (A-15)	Any required policies governing any Section 8 special housing types <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X (A-16)	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X (A 17)	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X (A-18)	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X (A-19)	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X (A 20)	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X (A-21)	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
X (A-22)	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X (A-23)	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
X (A-24)	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X (A-25)	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X (A 26)	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X (A 27)	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X (A 28)	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

Annual Statement/Performance and Evaluation Report ATTACHMENT
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP)

PHA Name: The Housing Authority of Walker County Dora, Alabama	Grant Type and Number Capital Fund Program: Capital Fund Program Replacement Housing Factor Grant No:
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised A
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised
1	Total non-CFP Funds		
2	1406 Operations		
3	1408 Management Improvements	\$ 7,000.00	
4	1410 Administration	\$ 5,753.00	
5	1411 Audit		
6	1415 liquidated Damages		
7	1430 Fees and Costs	\$ 10,700.00	
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures	\$160,200.00	
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1490 Replacement Reserve		
16	1492 Moving to Work Demonstration		
17	1495.1 Relocation Costs		
18	1498 Mod Used for Development		
19	1502 Contingency		
20	Amount of Annual Grant: (sum of lines 2-19)	\$183,653.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Compliance		
23	Amount of line 20 Related to Security		
24	Amount of line 20 Related to Energy Conservation Measures	\$158,400.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP
Part II: Supporting Pages

PHA Name: Housing Authority of Walker County, AL		Grant Type and Number Capital Fund Program #: Capital Fund Program Replacement Housing Factor #:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
HA-Wide	<u>MANAGEMENT IMPROVEMENTS</u> (a) Upgrade Computer system	1408	L.S	\$ 7,000.00	
HA-Wide	<u>ADMINISTRATION</u> (a) Accounting and Miscellaneous Expense.	1410	L.S.	\$ 5,753.00	
HA-Wide	<u>FEEES AND COSTS</u> (a) Employ A/E for plans, specifications and inspections.	1430	L.S.	\$10,700.00	
AL129-02	<u>DWELLING STRUCTURES</u> (a) Install central HVAC system and up-grade electrical 7 D.U. @ \$7200. (b) Install aluminum gutters at perimeter of apartment buildings. 400 L.F. @ \$4.50/L.F. (c) Install central HVAC system And upgrade electric 15 D.U @ \$7200.00.	1460	7 D.U.	\$160,200.00	
AL129-10					
AL129-13					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP
Part III: Implementation Schedule

PHA Name: Housing Authority of Walker County, AL		Grant Type and Number Capital Fund Program #: Capital Fund Program Replacement Housing Factor #:					Federal
Development Number Name/HA-Wide Activities	All Fund Obligated (Quart Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide	03-31-02			12-31-05			

AL129-01 (a) Install central HVAC system 16 D.U. @ \$6,000.00 sites 4 & 6 Doliska Road; Included are Architect's fees and administrative costs. (b) Install central HVAC system 15 D.U. @ sites 1,2,3, & 5; Included are Architect's fees and administrative costs. (c) Complete modernization of six (6) D.U. at sites 2 & 5, to include floor tile, paint, doors, storm doors, kitchen cabinets, plumbing fixtures, light fixtures. Install new roof 132 sq. @ 125.00. Included are Architect's fees and administrative costs. (d) Repair sidewalks 10,000 S.F. @ \$5.50	\$103,239.00 \$131,700.00 \$180,639.00 \$ 58,850.00	01/2002 01/2003 01/2004 01/2005
Total estimated cost over next 5 years	\$474,428.00	

Capital Fund Program 5-Year Action Plan

Attachment C

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL129-02	Housing Authority of Walker County, AL	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)

<p>(a) Install central HVAC system 3 D.U. @ \$6,000.00. Install new roofs 150 sq. @ 125.00. Included are Architect's fees and administrative costs.</p>	<p>\$39,760.00</p>	<p>01/2002</p>
<p>Total estimated cost over next 5 years</p>	<p>\$90,160.00</p>	

Capital Fund Program 5-Year Action Plan

Attachment C

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

<p>CFP 5-Year Action Plan</p> <p><input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement</p>			
<p>Development Number</p>	<p>Development Name (or indicate PHA wide)</p>		
<p>AL129-10</p>	<p>Housing Authority of Walker County, AL</p>		
<p>Description of Needed Physical Improvements or Management Improvements</p>		<p>Estimated Cost</p>	<p>Planned Start Date (HA Fiscal Year)</p>
<p>(a) Install new topsoil and grass sod 2,500 S.Y. @ \$7.50; install new shrubbery 10 D.U. @ \$250.00; replace sidewalks 3,000 S.F. @ 6.50; Included are Architect's fees and administrative costs.</p>		<p>\$48,939.00</p>	<p>01/2003</p>
<p>Total estimated cost over next 5 years</p>		<p>\$50,739.00</p>	

Capital Fund Program 5-Year Action Plan

Attachment C

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL129-13	Housing Authority of Walker County, AL	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
AL129-13		
(a) Install topsoil and grass sod 6000 S.Y. @ \$7.50; install shrubbery 40 D.U. @ \$250.00; screen fence individual backyards 2035 LFC @ \$5.00; repair and replace sidewalks 12,700 S.F. @ \$6.50; Included are Architect's fees and administrative costs.	\$180,639.00	01/2004
(b) Repair parking lot 1,600 S.Y. @ 17.50.	\$ 30,000.00	01/2002
(c) Install gutter around perimeter of buildings 1,200 L.F. @ \$4.50; Included are Architect's fees and administrative costs.	\$ 5,800.00	01/2002
Total estimated cost over next 5 years	\$324,439.00	

Capital Fund Program 5-Year Action Plan

Attachment C

Complete one table for each development in which work is planned in the next 5 PHA fiscal years.
 Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input checked="" type="checkbox"/> Original statement <input type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
HA-Wide	Housing Authority of Walker County, AL	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Repair concrete parking lot at central office	\$50,000.00	01/2005
Total estimated cost over next 5 years	\$73,453.00	

Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 1995						
FY 1996	28,650	AL09DEP1290196	-0-			Closed
FY 1997	50,000	AL09DEP1290197	-0-			Closed
FY1998	50,000	AL09DEP1290198	-0-		01-01-1999	12-31-2000
FY 1999	25,000	AL09DEP1290199	10,952.45		02-01-2000	01-31-2001
FY 2000	25,000	AL09DEP1290100	25,000		10-01-2001	09-30-2002

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

In the past our PHDEP Grants were much larger than they are now and for this reason we have had to cut back on some of the funding that which allowed more policing of our units. However our goals and objectives of the Housing Authority of Walker County, AL Drug Elimination strategy to prevent children from becoming involved with drugs, rid our community of persons dealing drugs and seek to provide counseling and assistance for persons addicted to drugs remains the same. Our emphasis will be heavy on prevention by contracting with the Boys and Girls Club of Central Alabama, to provide after school and Summer programs for our youth. PHA staff will also involve the youth in events and programs of the greater community at large as well as providing holiday events targeted specifically to them. Close contact with the police officers will continue along with their patrols above and beyond the baseline requirements of the City. Because the Boys & Girls Club has grown from 10 – 12 children in the beginning to an average of 30-40 children daily, we are adding additional space onto an old facility to accommodate the growth of the Boys & Girls Club.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FFY 2001 PHDEP Budget Summary	
Original statement	
Revised statement dated:	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	\$10,000
9115 - Special Initiative	
9116 - Gun Buyback TA Match	
9120 - Security Personnel	

9130 – Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$15,000
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	\$25,000

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement						Total PHDEP Funding: \$ 10,000	
Goal(s)		Eliminate access to drugs in PHA Community					
Objectives		Provide additional police patrols					
Proposed Activities		# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDE P Funding	Other Funding (Amount/ Source)
1. Community Policing				10/02	09/2003	\$10,000	-0-
2.							
3.							

9115 - Special Initiative						Total PHDEP Funding: \$	
Goal(s)		N/A					
Objectives							
Proposed Activities		# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/ Source)
1.							
2.							
3.							

9116 - Gun Buyback TA Match						Total PHDEP Funding: \$	
Goal(s)		N/A					
Objectives							

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.						
2.						
3.						

9120 - Security Personnel N/A						Total PHDEP Funding: \$
Goal(s)						
Objectives						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.						
2.						
3.						

9130 – Employment of Investigators N/A						Total PHDEP Funding: \$
Goal(s)						
Objectives						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.						
2.						
3.						

9140 – Voluntary Tenant Patrol N/A						Total PHDEP Funding: \$
Goal(s)						
Objectives						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)
1.						
2.						

3.						
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9150 - Physical Improvements N/A						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

9160 - Drug Prevention						Total PHDEP Funding: \$ 15,000	
Goal(s)							
Provide supervised, constructive activities for children’s free time							
Objectives							
Provide meeting space and financial support for Boy’s & Girls Club on site.							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	
1. Contract with Boy’s & Girls Club	40		10/01/02	9/30/03	\$15,000	-0-	
2.							
3.							

9170 - Drug Intervention						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

9180 - Drug Treatment						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	
1.							

2.							
3.							

9190 - Other Program Costs						Total PHDEP Funds: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	
1.							
2.							
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Act. # 1 (12-31-2002)	\$2500.00	Act # 1 (12-31-2002)	\$10,000
9120				
9130				
9140				
9150				
9160	Act # 1 (12-31-2002)	\$3750.00	Act # 1 (12-31-2002)	\$15,000
9170				
9180				
9190				
TOTAL		\$ 6250.00		\$25,000

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

All related certifications such as “Drug Free Workplace” and “ HUD50071 Certification of Payments to Influence Federal Transactions” were sent by hard copy to Hud office on January 12, 2001.

Required Attachment E : Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **James Gallimore**

B. How was the resident board member selected: (select one)?

- Elected
- Appointed

C. The term of appointment is (include the date term expires):02/2002

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

B. Date of next term expiration of a governing board member:

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Required Attachment F : Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

1. James Gallimore, Public Housing
2. Annie Vann, Public Housing
3. April Killings, Public Housing
4. John Carr, Section 8 participant
5. fifth advisory board member no longer on Section 8 program, her name was Wanda Whitlow.

Attachment G

Comments of Resident Advisory Board

An advisory board meeting was held on December 8, 2000 at 1:00 p.m. to discuss the authority's annual agency plan for FY 2001. Those in attendance were: Board members, Annie Vann and April Killings and the Executive Director, Janet Eustice. The executive director went over each and every page of the agency plan with the advisory board as well as giving each board member a copy of the agency plan. The board agreed that the plan was consistent with the needs of the authority. However, they wanted to add a few additional items for future funding. The items suggested are as follows and are supporting pages in the annual agency plan for review.

Comments from Ms. April Killings:

1. Playgrounds for the children to play on.
2. Improve parking lots.
3. Garbage facilities
4. Speed breakers at James R. Baird Circle.

Comments from Ms. Annie Vann:

1. Playgrounds
2. Gutters
3. Parking Lot improvements
4. Garbage facilities
5. Speed breakers

Based on the comments received, the authority felt that these items do need addressed, so we are including them in our plans. Some of these items can be accomplished using housing authority reserves, and items that can not be done with operating funds and other items will be addressed in our long range plans.

Attachment H

Implementation of Resident Community Service Requirements

NARATIVE

The Housing Authority of Walker County, AL. adopted by Resolution Number 200068 a Community Service Policy for our public housing residents on November 16, 2000. This policy requires all public housing residents who are non-exempt and over age 18 to perform 8 hours of community service that are a public benefit and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. This requirement is effective with residents reexaminations of family income and composition on or after July 1, 2001.

Each head of household must present documentation on all nonexempt members of household of compliance with the program prior to reexamination.

Housing authority will verify compliance of each family member who is required to fulfill the community service obligation. If the family fails to provide signed certification to HA a determination of noncompliance will be made and the head of the household will be notified in writing with the reasons of non-compliance and state at the end of the 12 month lease their lease will not be renewed unless they take actions to remedy the situation such as signing an agreement to perform community service or give notice of intent to vacate.

Failure to remedy non-compliance will result in termination of lease.

Full Community Service Policy is a supporting document to the annual plan.

Attachment I

Pet Policy

Narrative

The Housing Authority of Walker County, AL., adopted by Board Resolution Number 2000-53 on August 15, 2000 a Pet Policy and a Pet Policy Lease Addendum.

This policy allows each head of household to own one or more common household pets or have one or more common household pets present in the dwelling unit of such tenant subject to conditions as outlined in the policy which will be a supporting document of the agency plan.

Each owner will be responsible for the actions of the pets.

There are prohibited pets.

The owner of any pet is responsible for the peaceful enjoyment of other tenants accommodations per problems with noise and disturbances caused by such animals.

Any pet that is destructive, creates a nuisance, represents a threat to the safety and security of other persons, or creates a problem in the area of cleanliness and sanitation, the housing authority will take appropriate action to notify the owner in writing to remove the animal within 10 days of notice. The tenant will be given the right to request a hearing in accordance with the HA's established grievance procedure.

The tenant is solely responsible for cleaning of waste from premises. Fees of \$25.00 will be assessed if housing authority staff are required to remove waste.

The pet is required to be restrained during maintenance performed in apartment.

There are schedule of annual fees and initial deposits required prior to any pet residing in complex.

Attachment J

Mission Plans & Goals

Progress Report

CIAP - Grant Number AL09P129907-99 in the amount of \$180,639.00:

We have executed a contract with an architect in the amount of \$10,500
We have expended \$18,652.00 for purchase of a lawnmower and gaap conversion

This is the only progress made so far. We are waiting on specs and plans from the architect and will be combining funds for FY 99 and FY 2000 to carry out projects.

CFP - Grant Number AL09P129501-00 in the amount of \$183,653.00

Survey performed in the amount of \$2,200.00. This is the only money spent from this grant so far.

Drug Grant Information:

Drug Grant AL09DEP1290198 in the amount of \$50,000 has been closed out effective 12-31-2000. Funds in the amount of \$20,592.00 were disbursed to the City of Dora for reimbursement of law enforcement and \$29,408.00 were disbursed for drug prevention line item 9160, which we used to pay for leasing of a van and continued support of our Boy's and Girls Club functions.

Drug Grant AL09DEP1290199 in the amount of \$25,000 is in progress. We have spent \$58.51 of these funds for reimbursement of law enforcement at this time. We have spent \$7755.55 from line item 9160 drug prevention for leasing of a van and continued support of our Boy's and Girls Club functions.

Drug Grant AL09DEP1290100 in the amount of \$25,000. **No funds disbursed yet.**

POLICIES ADOPTED THIS YEAR- REQUIRED IN MEETING OHWRA

We have adopted a community service policy for our authority as well as a pet policy is in force. We adopted a new crime tracking policy in order to work close with the City of Sumiton in reporting any criminal activity we may observe. We have adopted the new lease and grievance procedures created by the Task Force. We have appointed a tenant to serve on the Board of Commissioners. We have good working relations with our tenant advisory board who all worked with us on the annual agency plan.

Housing Authority of Walker County, AL
Project AL129
Criteria For Substantial Deviations and Significant Amendments

Attachment K

Definitions & Response

1. Amendment and Deviation Definitions

24 CFR Part 903.7®

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

As imposed upon by HUD or as needed to be in compliance with HUD.

A. Significant Amendment or Modification to the Annual Plan:

Changes to rent or admissions policies or organization of the waiting list;

Additions of non-emergency work items (items not included in the current Annual Statement or 5-year Action Plan) or change in use of replacement reserve funds under the Capital Fund;

Additions of new activities not included in the current PHDEP Plan;

And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.