

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of Guin, Alabama

PHA Number: AL071

PHA Fiscal Year Beginning: 07/2001

PHA Plan Contact Information:

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Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- Main administrative office of the PHA
- PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

PHA Programs Administered:

- Public Housing and Section 8 Section 8 Only Public Housing Only

**Annual PHA Plan
Fiscal Year 2001**
[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

The Housing Authority of Guin has prepared this Agency Plan in accordance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements. We have adopted the following mission statement for the Housing Authority of Guin, Inc.:

To provide drug free, decent, safe, and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.

In order to achieve this mission, we will:

- ☞ Recognize residents as our ultimate customer;
- ☞ Improve Public Housing Authority (HA) management and service delivery efforts through effective and efficient management of HA staff;
- ☞ Seek problem-solving partnerships with residents, community, and government leadership;
- ☞ Apply HA resources, to the effective and efficient management and operation of public housing programs, taking into account changes in Federal funding.

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year’s PHA Plan that are not covered in other sections of this Update.

The Housing Authority of Guin has made some changes to the five year action plan from last year. All of these changes involve capital fund needs associated with each housing development. We are attaching for your information the changes that are being made in our capital fund needs for our five year action plan. The attachment will show the capital fund needs that was submitted last year and what we are submitting this year. The major change we are making is in this years capital funding request. We had proposed last year to build a gym for youth activities. After reviewing our needs, we feel that work needs to be performed on our 71-3 development before we consider the construction of a youth activity center. We have moved the construction of the youth activities center to our 7/2005 fiscal year submission. We believe emphasis must be placed on dwelling units before we began construction of a youth center. Please review the changes made in attachments H and I.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Yes No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA’s estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ 356,573

C. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as Attachment C

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as Attachment B

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to next component ; if “yes”, complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

A. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A. Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA’s estimated or actual (if known) PHDEP grant for the upcoming year?
\$44,962

C. Yes No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

D. Yes No: The PHDEP Plan is attached at Attachment D

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are Attached at Attachment: G

3. In what manner did the PHA address those comments? (select all that apply)

- The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included
 Yes No: below or
 Yes No: at the end of the RAB Comments in Attachment ____.
- Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in Attachment G.
- Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: Alabama Department of Economic and Community Affairs
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)
 - Other: (list below)
3. PHA Requests for support from the Consolidated Plan Agency
 Yes No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

A. Substantial Deviation from the 5-year Plan:

- * changes to rent or admissions policies or organization of the waiting list;
- * additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- * additions of new activities not included in the current PHDEP Plan;
- * and any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

B. Significant Amendment or Modification to the Annual Plan:

- * changes to rent or admissions policies or organization of the waiting list;
- * additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- * additions of new activities not included in the current PHDEP Plan;
- * and any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Attachment A
Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
X	PHDEP-related documentation: <ul style="list-style-type: none"> · Baseline law enforcement services for public housing developments assisted under the PHDEP plan; · Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); · Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; · Coordination with other law enforcement efforts; · Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and · All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

ATTACHMENT B

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: - Housing Authority of Guin, AL		Grant Type and Number Capital Fund Program:AL09P07191399 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/00		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements	30,000	30,503	30,503	
4	1410 Administration	6,565	6,565	6,565	3,000
5	1411 Audit				
6	1415 liquidated Damages				
7	1430 Fees and Costs	1,500	1,500	1,500	
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	261,440	261,440	261,440	123,394
11	1465.1 Dwelling Equipment—Nonexpendable	18,396	18,396	18,396	18,396
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	8,080	8,080	8,080	6,629
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	14,084	13,581	13,581	143
18	1498 Mod Used for Development				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2-19)	340,065	340,065	340,065	151,562
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

ATTACHMENT B

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of Guin, AL		Grant Type and Number Capital Fund Program #: AL09P07191399 Capital Fund Program Replacement Housing Factor #:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
071-002	Purchase Back-hoe	1408	1	30,000	30,503	30,503	-0-	In Progress
071-002	Accounting	1410		4,000		4,000	3,000	In Progress
	Office Expense	1410		2,565		2,565	-0-	In Progress
071-002	A & E Fees	1430		1,500		1,500	-0-	In Progress
071-002	Renovate Units	1460		261,440	161,440	161,440	123,394	In Progress
071-002 -003	Re-roof 34 Buildings	1460		100,000	100,000		-0-	In Progress
071-002	Ranges/Refrigerators	1465.1		18,396		18,396	18,396	Completed
HA Wide	Laser Printer	1475.1		5,000		5,000	4,048	In Progress
HA Wide	Tables/Chairs/Lectern	1475.3		3,080		3,080	2,581	In Progress
071-002	Relocation	1495.1		14,084	13,581	13,581	143	In Progress

ATTACHMENT B

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary						
PHA Name: - Housing Authority of Guin, AL		Grant Type and Number Capital Fund Program: AL09P07150100 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 2000	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/00 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	69,890		-0-		
3	1408 Management Improvements	12,000		-0-		
4	1410 Administration	5,000		-0-		
5	1411 Audit					
6	1415 liquidated Damages					
7	1430 Fees and Costs	20,000		-0-		
8	1440 Site Acquisition	10,000		-0-		
9	1450 Site Improvement	35,000		-0-		
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures	197,596		-0-		
13	1475 Nondwelling Equipment					
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs					
18	1498 Mod Used for Development					
19	1502 Contingency					
20	Amount of Annual Grant: (sum of lines 2-19)	349,486		-0-		
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Compliance					
23	Amount of line 20 Related to Security					
24	Amount of line 20 Related to Energy Conservation Measures					

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: - Housing Authority of Guin, AL		Grant Type and Number Capital Fund Program:AL09P07150101 Capital Fund Program Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	35,657			
3	1408 Management Improvements				
4	1410 Administration	5,000			
5	1411 Audit				
6	1415 liquidated Damages				
7	1430 Fees and Costs	5,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	15,000			
10	1460 Dwelling Structures	248,916			
11	1465.1 Dwelling Equipment—Nonexpendable	30,000			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	12,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	5,000			
18	1498 Mod Used for Development				
19	1502 Contingency				
20	Amount of Annual Grant: (sum of lines 2-19)	356,573			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of Guin, AL			Grant Type and Number Capital Fund Program #: AL09P07150101 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
071-003	Supplement PHA Operations	1406		35,657				
071-003	Accounting	1410		3,000				
	Office Expense	1410		2,000				
071-003	A & E Fees	1430		5,000				
071-003	Landscaping	1450		15,000				
071-003	Renovate Units	1460		248,916				
071-004	Install HVAC	1460		30,000				
071-003	Ranges/Refrigerators	1465.1		30,000				
HA Wide	New Copier	1475.1		12,000				
071-003	Relocation	1495.1		5,000				

ATTACHMENT C

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL071-1	HOSPITAL CIRCLE	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
A. LANDSCAPING	\$25,000	7/2004
B. MANAGEMENT IMPROVEMENTS		
(Purchase 2 new Grasshopper mowers with attachments)	\$ 30,000	7/2004
(Purchase new pickup for maintenance department)	\$ 30,000	7/2004
(Purchase new utility truck for maintenance department)	\$ 40,000	7/2005
(Purchase cherry picker to trim trees)	\$ 22,000	7/2004
(Purchase new high pressure sewer clean out)	\$ 25,000	7/2004
(Upgrade computer system)	\$ 75,000	7/2005
Total estimated cost over next 5 years	\$247,000	

ATTACHMENT C

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL071-2	PEACHTREE HEIGHTS	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
A. NEW LANDSCAPING	\$ 30,000	7/2003
B. NEW CENTRAL HEAT AND AIR CONDITIONING (32 units @\$5,000)	\$160,000	7/2004
C. EXTERIOR DOORS AND HARDWARE (64 doors plus hardware @ \$400.00)	\$ 25,600	7/2003
D. EXTERIOR STORM/SCREEN DOORS (64 doors and hardware @\$300.00)	\$ 19,200	7/2003
Total estimated cost over next 5 years	\$234,800	

ATTACHMENT C

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL071-3	EARNEST COURTS	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
A. INSTALL NEW WINDOWS AND SECURITY SCREENS (462 windows @350.00)	\$161,700	7/2002
B. NEW EXTERIOR DOORS AND HARDWARE (100 doors plus hardware @ \$400.00)	\$ 40,000	7/2002
C. NEW EXTERIOR STORM/SCREEN DOORS (100 doors and hardware @\$300.00)	\$ 30,000	7/2002
D. INSTALL NEW HVAC SYSTEM WITH DUCTWORK (25 units @ \$5,000)	\$125,000	7/2002
E. INSTALL REMAINDER HVAC SYSTEM WITH DUCTWORK (25 units @ \$5,000)	\$125,000	7/2003
Total estimated cost over next 5 years	481,700	

ATTACHMENT C

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL071-4	YAMPERTOWN LANE	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
A. INSTALL NEW WINDOWS AND SECURITY SCREENS (240 windows @ \$350.00)	\$ 84,000	7/2004
B. LANDSCAPING	\$ 30,000	7/2005
Total estimated cost over next 5 years	\$114,000	

ATTACHMENT C

Capital Fund Program 5-Year Action Plan

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

CFP 5-Year Action Plan		
<input type="checkbox"/> Original statement <input checked="" type="checkbox"/> Revised statement		
Development Number	Development Name (or indicate PHA wide)	
AL071-6	ROWELL COURTS	
Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
A. PAINT AND REPAIR DRYWALL AND CEILINGS (35 units @\$1,000)	\$ 35,000	7/2005
B. NEW EXTERIOR DOORS AND HARDWARE (70 doors plus hardware @ \$400.00)	\$ 28,000	7/2005
C. LANDSCAPING	\$ 25,000	7/2005
D. INSTALL NEW HVAC SYSTEM (35 units @ \$5,000)	\$175,000	7/2003
E. CONSTRUCT NEW GYM FOR YOUTH ACTIVITIES	\$500,000	7/2005
Total estimated cost over next 5 years	\$763,000	

ATTACHMENT D

PHA Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Section 1: General Information/History

A. Amount of PHDEP Grant \$ 44,962

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R X

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority of Guin, Alabama purposes to address the problems of drugs, crime and violence in public housing with additional patrols, community policing and partnerships with community agencies. Our objective is to continue and expand comprehensive programs for youth and adults. These programs include drug, crime and violence prevention programs, after-school tutoring program, a summer youth program that will include a Day Camp, Sports and Recreational activities and family activities. The Elderly program will address the needs and desires of the elderly. All of the above programs will be designed to promote caring, supporting and bonding with others, and to develop social skills and enable each participant to contribute to the community to make it a safer community for all.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area. Unit count information should be consistent with that available in PIC.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
All sites in Public Housing	183	342
Hospital Circle	36	75
Peachtree Heights	32	38
Earnest Courts	50	81
Yampertown Lane	30	70
Rowell Courts	35	78

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

12 Months _____ 18 Months _____ 24 Months X

G. Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. The Fund Balances should reflect the balance as of Date of Submission of the PHDEP Plan. The Grant Term End Date should include any HUD-approved extensions or waivers. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Grant Start Date	Grant Term End Date
FY 1996						
FY 1997						
FY 1998	91,500	AL09DEP0710197	-0-		1/1/1999	12/31/2000
FY 1999	40,249	AL09DEP0710199	18,487.35		2/1/2000	1/31/2002
FY 2000	41,948	AL09DEP0710100	41,609.15		10/1/2000	9/30/2002

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

Currently the most used drug in public housing is crack cocaine. However, methamphetamine and marijuana are close seconds. U. S. Highway 78 (main highway through Guin) from Birmingham to Memphis is known as “Meth Highway”. Therefore, the Guin PHA is collaborating through a contractual partnership with the Guin PD to provide additional patrols, community policing, and assist in prevention programs. Guin’s anti-drug, crime and violence program also includes an after-school tutoring program. The PHA also has a contract with the Park and Recreation Board for seasonal sports and recreational activities. These programs are monitored by the Housing Authority staff and evaluations are conducted for each program.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FFY 2001 PHDEP Budget Summary	
Original statement X	
Revised statement dated:	
Budget Line Item	Total Funding
9110 – Reimbursement of Law Enforcement	15,217
9115 - Special Initiative	
9116 - Gun Buyback TA Match	
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	29,745
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	44,962

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 – Reimbursement of Law Enforcement					Total PHDEP Funding: \$15,217		
Goal(s)		Reduce/eliminate the drugs/crime/violence in public housing					
Objectives		Continue to work with the law enforcement to monitor and elimnate the drug/crime and violence problems from public housing in Guin, Alabama.					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/ Source)	Performance Indicators
1. Additional Police Patrols			1/1/02	12/31/03	15,217		The GPD is evaluated by several indicators: 1) Statistical Data from I & O Reports 2) UCR Part 1 crime arrests in public housing 3) Resident Surveys 4) Resident complaints 5) Local crime/drug data 6) Interviews with PHA and Law enforcement
2.							
3.							

9115 - Special Initiative					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/ Source)	Performance Indicators
1.							
2.							
3.							

9116 - Gun Buyback TA Match					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9120 - Security Personnel					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9130 – Employment of Investigators					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9140 – Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$29,745		
Goal(s)	To provide accurate information, positive alternative programs						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. After School Tutoring	22	All sites	1/1/02	12/31/03	8,000	In-Kind 1,200.00	Data collection is based on: 1) Participants improvement of grades. 2) Interviews with teachers 3) Program reports 4) parents reports
2. GED Program	8	All sites	1/1/02	12/31/03	-0-	In-Kind	The ABE program at Bevil State College will be responsible for reporting performance indicators to the Authority.
3. Elderly Program	60	All sites	1/1/02	12/31/03	2,000		Method of data collection and analysis, evaluation and establishment of baseline are surveys, individual interviews, discussion groups/resident meetings, program reports and working knowledge of targeted area.
4. Park & Recreation Youth Sports	93	All sites	1/1/02	12/31/03	2,000		Same as above
5. Grant Coordinator		All sites	1/1/02	12/31/03	15,000		
6. Resident Survey Consultant	183 units	All sites	10/02	10/02	2,745		This will be a door to door survey. All residents will be interviewed.

9170 Drug Intervention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funds: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHEDEP Funds: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

Required Attachment ___E___: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **William K. Upton**

B. How was the resident board member selected: (select one)?

- Elected
- Appointed

C. The term of appointment is (include the date term expires): **9/30/2003**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
- the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
- Other (explain):

B. Date of next term expiration of a governing board member: **9/30/2001**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): **Phil Seagraves – Mayor City of Guin**

Required Attachment __F____: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Lessie Nell Hollis

James Nix

Jackie Beaty

Pat Gann

Donna Newsome

Attachment G : Comments of Resident Advisory Board & Explanation of PHA Response

The resident advisory board met at the Housing Authority Administration building on Tuesday, April 3, 2001 to discuss the Annual and Five Year Plan. Those present were: Lessie Nell Hollis, Jackie Beaty, Donna Newsome, and James Nix.

There was discussion of the physical needs of the developments and what the residents would like to see in the way of improvements. All of the advisory board members agreed as to including central heat and air throughout the developments. In addition, the residents would like to see more landscaping included within the developments. The resident advisory board discussed the need for a youth activity center for the children living in our developments. They were very excited about the opportunity for their children to have access to a facility of this type. Guin is very limited in indoor facilities for youth activities. The only available facility is the high school gym.

PHA Response:

We have included all of the recommendations of the resident advisory board within our five-year plan. These include central heat and air of all units, landscaping and the construction of a youth activity center at our 71 6 development. The Executive Director discussed with the advisory board the need to make renovations to the apartments before any youth activity center is undertaken. He explained that the last year of our five-year plan, FY 2005 would include a youth activity center.

Attachment H**FIVE YEAR PLAN BY FISCAL YEAR**

FFY	PROJECT NUMBER	DESCRIPTION	AMT.
2000	HA-WIDE	AUTO FOR ED	35,000
	HA-WIDE	DUMP TRUCK FOR MAINT.	40,000
	HA-WIDE	TRAILER FOR TRACTOR	13,062
	71-1	ADMINISTRATION	5,000
	71-1	FEES & COSTS	10,000
	71-1	SITE ACQUISTION	10,000
	71-1	SITE IMPROVEMENTS	35,000
	71-1	NEW MAINTENANCE SHOP	135,000
	71-1	EQUIPMENT FOR MS	<u>40,000</u>
			323,062
2001	71-6	NEW GYM	500,000
2002	71-1	LANDSCAPING	25,000
	71-2	LANDSCAPING	30,000
	"	EXT. DOORS/HW	22,000
	"	HVAC	150,000
	71-3	LANDSCAPING	25,000
	"	EXT. DOORS/HW	30,000
	"	REPAIR/PAINT DW	25,000
	"	WINDOW SHADES	9,500
	"	WATER HEATERS	<u>20,250</u>
			336,750
2003	71-3	WINDOWS/SECURITY SCN.	195,000
	71-4	LANDSCAPING	30,000
	"	HVAC (15 UNITS)	75,000
	71-6	LANDSCAPING	<u>36,000</u>
			336,000
2004	71-3	HVAC (25 UNITS)	125,000
	71-4	HVAC (15 UNITS)	75,000
	71-6	HVAC	<u>150,000</u>
			350,000
TOTAL EXPENDITURES FOR FIVE YEAR PLAN			\$1,845,812

Attachment I

FIVE YEAR PLAN BY FISCAL YEAR

FFY	PROJECT NUMBER	DESCRIPTION	AMT.
2001	71-3	OPERATIONS	35,657
		ADMINISTRATION	5,000
		FEES AND COSTS	5,000
		SITE IMPROVEMENT	15,000
		DWELLING STRUCTURES	248,916
		DWELLING EQUIP.	30,000
		NONDWELLING EQUIP.	12,000
		RELOCATION COSTS	<u>5,000</u>
			356,573
2002	71-3	WINDOWS/SECURITY SCN.	161,700
	“	EXT. DOORS/HW	40,000
	“	EXT. STRM. SCREENS	30,000
	“	HVAC 25 APTS.	<u>125,000</u>
			356,700
2003	71-2	EXT. DOORS/HW	25,600
	“	LANDSCAPING	30,000
	“	EXT. STRM./SCRN.	19,200
	71-3	HVAC SYSTEM 25 UNITS	125,000
	71-6	HVAC SYSTEM	<u>175,000</u>
			374,800
2004	71-1	LANDSCAPING	25,000
	HA-Wide	MANAGEMENT IMP.	107,000
	71-2	HVAC SYSTEM	160,000
	71-4	WINDOWS/SEC. SCNS.	<u>84,000</u>
			376,000
2005	HA-Wide	MANAGEMENT IMP.	115,000
	71-4	LANDSCAPING	30,000
	71-6	REPAIR/PAINT DW	35,000
	“	EXT. DOORS/HW	28,000
	“	LANDSCAPING	25,000
	“	GYM FOR YOUTH ACT.	<u>500,000</u>
			733,000
TOTAL EXPENDITURES FOR FIVE YEAR PLAN			\$2,197,073